

## Sign refinement for combinatorial link Floer homology

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Link Floer homology is an invariant for links which has recently been described entirely in a combinatorial way. Originally constructed with mod 2 coefficients, it was generalized to integer coefficients thanks to a sign refinement. In this paper, thanks to the spin extension of the permutation group we give an alternative construction of the combinatorial link Floer chain complex associated to a grid diagram with integer coefficients. In particular we prove that the sign refinement comes from a 2-cohomological class corresponding to the spin extension of the permutation group.

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### 1 Introduction

Heegaard–Floer homology (Ozsváth–Szabó [9]) is an invariant for closed oriented 3-manifolds which was extended to give an invariant for null-homologous oriented links in such manifolds called link Floer homology (Ozsváth–Szabó [8; 10], Rasmussen [11]). It gives the Seifert genus  $g(K)$  of a knot  $K$  (Ozsváth–Szabó [7]), detects fibered knots (Ghiggini [2] in the case where  $g(K) = 1$  and Ni [6] in general) and its graded Euler characteristic gives the Alexander polynomial [8; 11]. Recently, a combinatorial description of link Floer homology was given (Manolescu–Ozsváth–Sarkar [4]) and its topological invariance was proved in a purely combinatorial way (Manolescu–Ozsváth–Sarkar–Thurston [5]). The purpose of this paper is to give an alternative description of combinatorial link Floer homology with  $\mathbb{Z}$  coefficients. This point of view was recently used by Audoux [1] to describe combinatorial Heegaard–Floer homology for singular knots.

Let first recall the context of combinatorial link Floer homology: we follow conventions of [5]. A planar grid diagram  $G$  lies in a square on the plane with  $n \times n$  squares where  $n$  is the complexity of  $G$ . Each square is decorated with an  $X$ , an  $O$  or nothing in such a way that each row and each column contains exactly one  $X$  and one  $O$ . We number the  $X$  and the  $O$  from 1 to  $n$  and denote  $\mathbb{X}$  the set  $\{X_i\}_{i=1}^n$  and  $\mathbb{O}$  the set  $\{O_i\}_{i=1}^n$ .

Given a grid diagram  $G$ , we place it in standard position on the plane as follows: the bottom left corner is at the origin and each cell is a square of length one. We construct a planar link projection by drawing horizontal segments from the  $O$  to the  $X$  in each row and vertical segments from the  $X$  to the  $O$  in each column. At each intersection point, the vertical segment is over the horizontal one. This gives an oriented link  $\vec{L}$  in  $S^3$  and we say that  $\vec{L}$  has a grid presentation given by  $G$ .

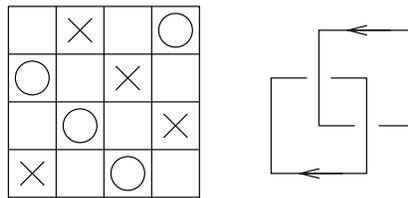


Figure 1: Grid presentation of the Hopf link.

We place the grid diagram on the oriented torus  $\mathcal{T}$  by making the usual identification of the boundary of the square. We endow  $\mathcal{T}$  with the orientation induced by the planar orientation. Let  $\mathcal{H}$  be the collection of the horizontal circles and  $\mathcal{V}$  the collection of the vertical ones. We associate with  $G$  a chain complex  $(C^-, \partial^-)$ : it is the group ring of  $\mathfrak{S}_n$  over  $\mathbb{Z}/2\mathbb{Z}[U_{O_1}, \dots, U_{O_n}]$  where  $\mathfrak{S}_n$  is the permutation group of  $n$  elements. A generator  $\mathbf{x} \in \mathfrak{S}_n$  is given on  $G$  by its graph: we place dots in points  $(i, x(i))$  for  $i = 0, \dots, n - 1$  (thus the fundamental domain of  $G$  is the square minus the right vertical segment and the top horizontal segment).

For  $A, B$  two finite sets of points in the plane we define  $\mathcal{I}(A, B)$  to be the number of pairs  $(a_1, a_2) \in A$  and  $(b_1, b_2) \in B$  such that  $a_1 < b_1$  and  $a_2 < b_2$ . Let  $\mathcal{J}(A, B) = (\mathcal{I}(A, B) + \mathcal{I}(B, A))/2$ . We provide the set of generators with a Maslov degree  $M$  given by

$$M(\mathbf{x}) = \mathcal{J}(\mathbf{x} - \mathbb{O}, \mathbf{x} - \mathbb{O}) + 1$$

where we extend  $\mathcal{J}$  by bilinearly over formal sums (or differences) of subsets. Each variable  $U_{O_i}$  has a Maslov degree equal to  $-2$  and constants have Maslov degree equal to zero. Let  $M_S(\mathbf{x})$  be the same as  $M(\mathbf{x})$  with the set  $S$  playing the role of  $\mathbb{O}$ .

We provide the set of generators with an Alexander filtration  $A$  given by  $A(\mathbf{x}) = (A_1(\mathbf{x}), \dots, A_l(\mathbf{x}))$  with

$$A_i(\mathbf{x}) = \mathcal{J}(\mathbf{x} - \frac{1}{2}(\mathbb{X} + \mathbb{O}), \mathbb{X}_i - \mathbb{O}_i) - \frac{n_i - 1}{2}$$

where when we number the components of  $\vec{L}$  from 1 to  $\ell$ ,  $\mathbb{O}_i \subset \mathbb{O}$  (resp.  $\mathbb{X}_i \subset \mathbb{X}$ ) is the subset of  $\mathbb{O}$  (resp.  $\mathbb{X}$ ) which belongs to the  $i$ th component of  $\vec{L}$  and  $n_i$  is the number of horizontal segments which belongs to the  $i$ th component. We let  $A(U_{O_j}) = (0, \dots, -1, 0, \dots, 0)$  where  $-1$  corresponds to the  $i$ th coordinate if  $O_j$  belongs to the  $i$ th component of  $\vec{L}$ .

Given two generators  $\mathbf{x}$  and  $\mathbf{y}$  and an immersed rectangle  $r$  in the torus whose edges are arcs in the horizontal and vertical circles, we say that  $r$  connects  $\mathbf{x}$  to  $\mathbf{y}$  if  $\mathbf{y} \cdot \mathbf{x}^{-1}$  is a transposition, if all four corners of  $r$  are intersection points in  $\mathbf{x} \cup \mathbf{y}$ , and if we traverse each horizontal boundary component of  $r$  in the direction dictated by the orientation of  $r$  induced by  $\mathcal{T}$ , then the arc is oriented from a point in  $\mathbf{x}$  to the point in  $\mathbf{y}$ . Let  $\text{Rect}(\mathbf{x}, \mathbf{y})$  be the set of rectangles connecting  $\mathbf{x}$  to  $\mathbf{y}$ : either it is the empty set or it consists of exactly two rectangles. Here a rectangle  $r \in \text{Rect}(\mathbf{x}, \mathbf{y})$  is said to be empty if there is no point of  $\mathbf{x}$  in its interior. Let  $\text{Rect}^\circ(\mathbf{x}, \mathbf{y})$  be the set of empty rectangles connecting  $\mathbf{x}$  to  $\mathbf{y}$ .

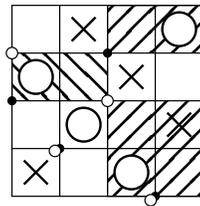


Figure 2: Rectangles. We mark with black dots the generator  $\mathbf{x}$  and with white dots the generator  $\mathbf{y}$ . There are two rectangles in  $\text{Rect}(\mathbf{x}, \mathbf{y})$  but only the left one is in  $\text{Rect}^\circ(\mathbf{x}, \mathbf{y})$ .

The differential  $\partial^-: C^-(G) \rightarrow C^-(G)$  is given on the set of generators by

$$\partial^- \mathbf{x} = \sum_{\mathbf{y} \in \mathfrak{S}_n} \sum_{r \in \text{Rect}^\circ(\mathbf{x}, \mathbf{y})} U_{O_1}^{O_1(r)} \dots U_{O_n}^{O_n(r)} \cdot \mathbf{y}$$

where  $O_i(r)$  is the number of times  $O_i$  appears in the interior of  $r$ .

**Theorem 1.1** (Manolescu–Ozsváth–Sarkar [4])  $(C^-(G), \partial^-)$  is a chain complex for  $CF^-(S^3)$  with homological degree induced by  $M$  and filtration level induced by  $A$  which coincides with the link filtration of  $CF^-(S^3)$ .

In [5], the authors define a sign assignment for empty rectangles  $\mathbf{S}: \text{Rect}^\circ \rightarrow \{\pm 1\}$ . Then, by considering  $C^-(G)$  the group ring of  $\mathfrak{S}_n$  over  $\mathbb{Z}[U_{O_1}, \dots, U_{O_n}]$  and the

differential  $\partial^-: C^-(G) \rightarrow C^-(G)$  given by

$$\partial^- \mathbf{x} = \sum_{\mathbf{y} \in \mathfrak{S}_n} \sum_{r \in \text{Rect}^\circ(\mathbf{x}, \mathbf{y})} \mathbf{S}(r) \cdot U_{O_1}^{O_1(r)} \dots U_{O_n}^{O_n(r)} \cdot \mathbf{y}$$

they obtain the following result.

**Theorem 1.2** (Manolescu–Ozsváth–Szabó–Thurston [5]) *Let  $\vec{L}$  be an oriented link with  $\ell$  components. We number the  $\circledast$  so that  $O_1, \dots, O_\ell$  correspond to the different components of  $\vec{L}$ . Then the filtered quasi-isomorphism type of  $(C^-(G), \partial^-)$  over  $\mathbb{Z}[U_{O_1}, \dots, U_{O_\ell}]$  is an invariant of the link.*

In this paper, we give a way to refine the complex over  $\mathbb{Z}$  thanks to  $\tilde{\mathfrak{S}}_n$  the spin extension of  $\mathfrak{S}_n$  which is a non-trivial central extension of  $\mathfrak{S}_n$  by  $\mathbb{Z}/2\mathbb{Z}$ . In Section 2 we define the spin extension  $\tilde{\mathfrak{S}}_n$  and make some algebraic calculus. Let  $z$  be the unique non-trivial central element of  $\tilde{\mathfrak{S}}_n$  and  $\Lambda = \mathbb{Z}[U_{O_1}, \dots, U_{O_n}]$ . In Section 3 we define a filtered chain complex  $(\tilde{C}^-(G), \tilde{\partial}^-)$  where  $\tilde{C}^-(G)$  is the quotient module of the free  $\Lambda$ -module with generating set  $\tilde{\mathfrak{S}}_n$  by the submodule generated by  $\{z + 1\}$ . Finally, in Section 4, we prove that our chain complex defines a sign assignment in the sense of [5] and that  $(\tilde{C}^-(G), \tilde{\partial}^-)$  is filtered quasi-isomorphic to  $(C^-(G), \partial^-)$  with coefficients in  $\mathbb{Z}$ .

## 2 Algebraic preliminaries

Let  $\mathfrak{S}_n$  be the group of bijections of a set with  $n$  elements numbered from 0 to  $n - 1$ . It is given in terms of generators and relations where the set of generators is  $\{\tau_i\}_{i=0}^{n-2}$  with  $\tau_i$  the transposition which exchanges  $i$  and  $i + 1$  and relations are

$$\begin{aligned} \tau_i^2 &= \mathbf{1} & 0 \leq i \leq n - 2 \\ \tau_i \cdot \tau_j &= \tau_j \cdot \tau_i & |i - j| > 1, \quad 0 \leq i, j \leq n - 2 \\ \tau_i \cdot \tau_{i+1} \cdot \tau_i &= \tau_{i+1} \cdot \tau_i \cdot \tau_{i+1} & 0 \leq i \leq n - 3. \end{aligned}$$

**Theorem 2.1** *The group given by generators and relations*

$$\begin{aligned} \tilde{\mathfrak{S}}_n = \langle \tilde{\tau}_0, \dots, \tilde{\tau}_{n-2}, z \mid & z^2 = \tilde{\mathbf{1}}, z\tilde{\tau}_i = \tilde{\tau}_iz, \tilde{\tau}_i^2 = z, & 0 \leq i \leq n - 2; \\ \tilde{\tau}_i \cdot \tilde{\tau}_j = z\tilde{\tau}_j \cdot \tilde{\tau}_i & |i - j| > 1, & 0 \leq i, j \leq n - 2; \\ \tilde{\tau}_i \cdot \tilde{\tau}_{i+1} \cdot \tilde{\tau}_i = \tilde{\tau}_{i+1} \cdot \tilde{\tau}_i \cdot \tilde{\tau}_{i+1} & & 0 \leq i \leq n - 3 \rangle \end{aligned}$$

is a non-trivial central extension ( $n \geq 4$ ) of  $\mathfrak{S}_n$  by  $\mathbb{Z}/2\mathbb{Z}$  called the spin extension of  $\mathfrak{S}_n$ .

**Remark 2.2** A proof of this theorem can be found in Karpilovsky [3, Theorem 2.12.3]. To see that it is a non-trivial extension, one can notice the following: let  $\mathbb{Q}_8$  be the subgroup of  $\widetilde{\mathfrak{S}}_n$  generated by  $\tilde{\tau}_0, \tilde{\tau}_2, z$ . Then  $\mathbb{Q}_8$  is isomorphic to the unit sphere in the space of quaternions intersected with the lattice  $\mathbb{Z}^4$  by a morphism  $\Phi$  such that  $\Phi(\tilde{\tau}_0) = i$ ,  $\Phi(\tilde{\tau}_2) = j$ ,  $\Phi(\tilde{\tau}_0.\tilde{\tau}_2) = k$  and  $\Phi(z) = -1$ . Therefore  $\widetilde{\mathfrak{S}}_n$  is non-trivial.

**Remark 2.3** Cases  $n = 2$  and  $n = 3$  are not interesting in our situation: the only knot which can be represented by a grid diagram of complexity 2 or 3 is the trivial knot. Nevertheless, the group given by generators and relations above still exists: in the case  $n = 2$ , it is isomorphic to  $\mathbb{Z}/4\mathbb{Z}$ , in the case  $n = 3$ , it is isomorphic to a subgroup of  $GL(2, \mathbb{C})$  (see [3, Lemma 2.12.2]).

For  $i < j$ , define

$$\tilde{\tau}_{i,j} = \tilde{\tau}_i.\tilde{\tau}_{i+1} \dots \tilde{\tau}_{j-2}.\tilde{\tau}_{j-1}.\tilde{\tau}_{j-2} \dots \tilde{\tau}_{i+1}.\tilde{\tau}_i$$

and  $\tilde{\tau}_{j,i} = z\tilde{\tau}_{i,j}$ .

Let  $\varepsilon: \mathfrak{S}_n \rightarrow \{0, 1\}$  be the signature morphism.

**Lemma 2.4** Let  $\tilde{\mathbf{x}} = \tilde{\tau}_{i_1}.\tilde{\tau}_{i_2} \dots \tilde{\tau}_{i_k}$  be an element in  $\widetilde{\mathfrak{S}}_n$  and  $\mathbf{x} = p(\tilde{\mathbf{x}}) \in \mathfrak{S}_n$ . Then for any  $0 \leq i \neq j \leq n - 1$

$$\tilde{\mathbf{x}}.\tilde{\tau}_{i,j}.\tilde{\mathbf{x}}^{-1} = z^{\varepsilon(\mathbf{x})}\tilde{\tau}_{\mathbf{x}(i),\mathbf{x}(j)}.$$

**Proof** Since  $\tilde{\mathbf{x}} = \tilde{\tau}_{i_1}.\tilde{\tau}_{i_2} \dots \tilde{\tau}_{i_k}$ ,  $\tilde{\mathbf{x}}^{-1} = z^{\varepsilon(\mathbf{x})}\tilde{\tau}_{i_k} \dots \tilde{\tau}_{i_1}$ . We prove by induction on  $k \geq 1$  that for any  $i, j \in \{0, \dots, n - 1\}$  we have  $\tilde{\mathbf{x}}.\tilde{\tau}_{i,j}.\tilde{\mathbf{x}}^{-1} = z^{\varepsilon(\mathbf{x})}\tilde{\tau}_{\mathbf{x}(i),\mathbf{x}(j)}$ .

- **Initialization** Let  $\tilde{\mathbf{x}} = \tilde{\tau}_l$  and  $0 \leq i < j \leq n - 1$ . So  $\tilde{\tau}_l^{-1} = z\tilde{\tau}_l$  and  $\varepsilon(\mathbf{x}) = 1$ . There are several cases.

- **Case 1:**  $l < i - 1$  or  $l > j$   $\tilde{\mathbf{x}}.\tilde{\tau}_{i,j}.z\tilde{\mathbf{x}} = z\tau_{i,j}$ .
- **Case 2:**  $l = i - 1$   $\tilde{\mathbf{x}}.\tilde{\tau}_{i,j}.z\tilde{\mathbf{x}} = z\tilde{\tau}_{i-1}.\tilde{\tau}_{i,j}.\tilde{\tau}_{i-1} = z\tilde{\tau}_{i-1,j}$  by definition.
- **Case 3:**  $l = i$   $\tilde{\tau}_i.\tilde{\tau}_{i,j}.z\tilde{\tau}_i = z\tilde{\tau}_{i+1,j}$ .
- **Case 4:**  $i < l < j - 1$  We prove by induction on  $l - i \geq 1$  for  $i, j$  fixed that  $\tilde{\tau}_l.\tilde{\tau}_{i,j}.z\tilde{\tau}_l = z\tilde{\tau}_{\tau(i),\tau(j)}$ . For  $l = i + 1$  then we have

$$\begin{aligned} \tilde{\tau}_{i+1}.\tilde{\tau}_{i,j}.z\tilde{\tau}_{i+1} &= z\tilde{\tau}_i.\tilde{\tau}_{i+1}.\tilde{\tau}_i.\tilde{\tau}_{i+2,j}.\tilde{\tau}_i.\tilde{\tau}_{i+1}.\tilde{\tau}_i \\ &= z\tilde{\tau}_i.\tilde{\tau}_{i+1}.\tilde{\tau}_{i+2,j}.\tilde{\tau}_{i+1}.\tilde{\tau}_i \\ &= z\tilde{\tau}_{i,j}. \end{aligned}$$

Suppose it is proved until rank  $(l-1) - i$ . Then for  $\tilde{\mathbf{x}} = \tilde{\tau}_l$  with  $l < j-1$  we have

$$\begin{aligned} \tilde{\mathbf{x}}.\tilde{\tau}_i.z\tilde{\mathbf{x}} &= z\tilde{\tau}_l.\tilde{\tau}_{i,j}.\tilde{\tau}_l \\ &= z(\tilde{\tau}_i \dots \tilde{\tau}_{l-2}).(\tilde{\tau}_l.\tilde{\tau}_{l-1}.\tilde{\tau}_l).\tilde{\tau}_{l-1,j}.(\tilde{\tau}_l.\tilde{\tau}_{l-1}.\tilde{\tau}_l).(\tilde{\tau}_{l-2} \dots \tilde{\tau}_i) \\ &= z(\tilde{\tau}_i \dots \tilde{\tau}_{l-2}).(\tilde{\tau}_{l-1}.\tilde{\tau}_l.\tilde{\tau}_{l-1}).\tilde{\tau}_{l-1,j}.(\tilde{\tau}_{l-1}.\tilde{\tau}_l.\tilde{\tau}_{l-1}).(\tilde{\tau}_{l-2} \dots \tilde{\tau}_i) \\ &= z(\tilde{\tau}_i \dots \tilde{\tau}_{l-1}.\tilde{\tau}_l).\tilde{\tau}_{l-1,j}.(\tilde{\tau}_l.\tilde{\tau}_{l-1} \dots \tilde{\tau}_i) \text{ by induction} \\ &= z(\tilde{\tau}_i \dots \tilde{\tau}_{l-1}).\tilde{\tau}_{l,j}.(\tilde{\tau}_{l-1} \dots \tilde{\tau}_i) \text{ by induction} \\ &= z\tilde{\tau}_{i,j} \text{ by case 2.} \end{aligned}$$

– **Case 5:**  $l = j-1$

$$\begin{aligned} \tilde{\tau}_{j-1}.\tilde{\tau}_{i,j}.z\tilde{\tau}_{j-1} &= z(\tilde{\tau}_i \dots \tilde{\tau}_{j-3}).\tilde{\tau}_{j-1}.\tilde{\tau}_{j-2}.\tilde{\tau}_{j-1}.\tilde{\tau}_{j-2}.\tilde{\tau}_{j-1}.\tilde{\tau}_{j-2}.\tilde{\tau}_{j-1}.(\tilde{\tau}_{j-3} \dots \tilde{\tau}_i) \\ &= z\tilde{\tau}_{i,j-1}. \end{aligned}$$

– **Case 6:**  $l = j$

$$\begin{aligned} \tilde{\tau}_j.\tilde{\tau}_{i,j}.z\tilde{\tau}_j &= z(\tilde{\tau}_i \dots \tilde{\tau}_{j-2}).\tilde{\tau}_j.\tilde{\tau}_{j-1}.\tilde{\tau}_j.(\tilde{\tau}_{j-2} \dots \tilde{\tau}_i) \\ &= z\tilde{\tau}_{i,j+1}. \end{aligned}$$

- **Heredity** Suppose the property is true until rank  $k$ . Let  $\tilde{\mathbf{x}} = \tilde{\tau}_{i_1}.\tilde{\tau}_{i_2} \dots \tilde{\tau}_{i_k}$  and  $\tilde{\tau}_{i,j}$  be two elements in  $\tilde{\mathfrak{S}}_n$ . Denote  $\tilde{\mathbf{y}} = \tilde{\tau}_{i_2} \dots \tilde{\tau}_{i_k}$ . Then  $\tilde{\mathbf{x}}.\tilde{\tau}_{i,j}.\tilde{\mathbf{x}}^{-1} = \tilde{\tau}_{i_1}.\tilde{\mathbf{y}}.\tilde{\tau}_{i,j}.\tilde{\mathbf{y}}^{-1}.z\tilde{\tau}_{i_1}$ . By induction hypothesis,

$$\tilde{\mathbf{y}}.\tilde{\tau}_{i,j}.\tilde{\mathbf{y}}^{-1} = z^{\varepsilon(\mathbf{y})}.\tilde{\tau}_{\mathbf{y}(i),\mathbf{y}(j)}.$$

So,  $\tilde{\mathbf{x}}.\tilde{\tau}_{i,j}.\tilde{\mathbf{x}}^{-1} = \tilde{\tau}_{i_1}.z^{\varepsilon(\mathbf{y})}.\tilde{\tau}_{\mathbf{y}(i),\mathbf{y}(j)}.z\tilde{\tau}_{i_1}$ . By induction hypothesis one more time,

$$\tilde{\mathbf{x}}.\tilde{\tau}_{i,j}.\tilde{\mathbf{x}}^{-1} = z^{\varepsilon(\mathbf{y})+1}\tilde{\tau}_{\tau_{i_1},\mathbf{y}(i),\tau_{i_1},\mathbf{y}(j)} = z^{\varepsilon(\mathbf{x})}.\tilde{\tau}_{\mathbf{x}(i),\mathbf{x}(j)}. \quad \square$$

The group  $\tilde{\mathfrak{S}}_n$  has another presentation in terms of generators and relations. Take  $\{z'\} \cup \{\tilde{\tau}'_{i,j}\}_{i \neq j}$  where  $0 \leq i, j \leq n-1$  as the set of generators with the following relations:

- (2-1)  $z'.z' = \tilde{\mathbf{1}}' \quad z'\tilde{\tau}'_{i,j} = \tilde{\tau}'_{i,j}z' \quad \tilde{\tau}'_{i,j} = z'\tilde{\tau}'_{j,i} \quad \tilde{\tau}'_{i,j}.\tilde{\tau}'_{i,j} = z' \quad \text{for any } i, j$
- (2-2)  $\tilde{\tau}'_{i,j}.\tilde{\tau}'_{k,l} = z'\tilde{\tau}'_{k,l}.\tilde{\tau}'_{i,j} \quad \text{for any } i, j, k, l \text{ if } \{i, j\} \cap \{k, l\} = \emptyset$
- (2-3)  $\tilde{\tau}'_{i,j}.\tilde{\tau}'_{j,k}.\tilde{\tau}'_{i,j} = \tilde{\tau}'_{j,k}.\tilde{\tau}'_{i,j}.\tilde{\tau}'_{j,k} = \tilde{\tau}'_{i,k} \quad \text{for any } i, j, k.$

**Proof** Let  $\tilde{\mathfrak{S}}_n$  the group with  $z$  and  $\tilde{\tau}_i$  as generators and  $\tilde{\mathfrak{S}}'_n$  the other one. Define  $\phi: \tilde{\mathfrak{S}}_n \rightarrow \tilde{\mathfrak{S}}'_n$  given on generators by  $\phi(\tilde{\tau}_i) = \tilde{\tau}'_{i,i+1}$ ,  $\phi(z) = z'$ . For  $i < j$ , let  $\phi(\tilde{\tau}_{i,j}) = \tilde{\tau}'_{i,j}$ . By definition, (2-1) is verified. Lemma 2.4 gives equations (2-2) and (2-3). So the map  $\phi$  extends to a group isomorphism.  $\square$

In what follows, we drop the prime exponent and only refer to  $\tilde{\tau}_{i,j}$  and  $z$  ( $\tilde{\tau}_i$  means  $\tilde{\tau}_{i,i+1}$ ).

### 3 The chain complex

Let  $G$  be a grid presentation with complexity  $n$  of the link  $\vec{L}$ . Let  $\Lambda$  denote the ring  $\mathbb{Z}[U_{O_1}, \dots, U_{O_n}]$ . We define  $\tilde{C}^-(G)$  to be the free  $\Lambda$ -module with generating set  $\tilde{\mathfrak{S}}_n$  quotiented by the submodule generated by  $\{z + 1\}$  ie

$$\tilde{C}^-(G) = \Lambda[\tilde{\mathfrak{S}}_n] / \langle z + 1 \rangle .$$

Considered as module,  $\tilde{C}^-(G)$  coincides with the free  $\Lambda$ -module with generating set  $\mathfrak{S}_n$ . But we can also consider the structure of algebra of  $\tilde{C}^-(G)$  over  $\Lambda$ . In this case, one can think of  $\tilde{C}^-(G)$  as the group algebra of  $\mathfrak{S}_n$  over  $\Lambda$  where the product is twisted by a non-trivial 2-cocycle (see Section 4).

We endow the set of generators with a Maslov grading  $M$  and an Alexander filtration  $A$  given by  $M(\tilde{\mathbf{x}}) = M(\mathbf{x})$  and  $A(\tilde{\mathbf{x}}) = A(\mathbf{x})$ .

Let  $\tilde{\mathbf{x}}$  be an element of  $\tilde{\mathfrak{S}}_n$  and let  $\text{Rect}(\tilde{\mathbf{x}})$  be the set of rectangles starting at  $\tilde{\mathbf{x}}$ : by definition it is the set  $\{\tilde{\tau}_{i,j}\}_{0 \leq i \neq j \leq n-1}$ . If we consider the set  $\text{Rect}(\tilde{\mathbf{x}}, \tilde{\mathbf{y}})$  of rectangles connecting  $\mathbf{x}$  to  $\mathbf{y}$  (where  $\mathbf{y} = \mathbf{x} \cdot \tau_{i,j}$ ) as in [5], either it is the empty set, or it consists of two rectangles. We interpret the rectangle  $\tilde{\tau}_{i,j}$  in the oriented torus  $\mathcal{T}$  as the rectangle whose bottom left corner belongs to the  $i$ th vertical circle. So in the case where  $\text{Rect}(\mathbf{x}, \mathbf{y}) = \{r_1, r_2\}$  the two corresponding rectangles are  $\tilde{\tau}_{i,j}$  and  $\tilde{\tau}_{j,i}$ . Let  $r$  be the rectangle of  $\text{Rect}(\mathbf{x}, \mathbf{y})$  corresponding to  $\tilde{r}$ . A rectangle  $\tilde{r} \in \text{Rect}(\tilde{\mathbf{x}})$  is said to be empty if the corresponding rectangle  $r \in \text{Rect}(\mathbf{x}, \mathbf{y})$  is empty. The set of empty rectangles starting at  $\tilde{\mathbf{x}}$  is denoted  $\text{Rect}^\circ(\tilde{\mathbf{x}})$ .

We endow  $\tilde{C}^-(G)$  with a differential  $\tilde{\partial}^-$  given on elements of  $\tilde{\mathfrak{S}}_n$  by:

$$\tilde{\partial}^- \tilde{\mathbf{x}} = \sum_{\tilde{r} \in \text{Rect}^\circ(\tilde{\mathbf{x}})} U_{O_1}^{O_1(\tilde{r})} \dots U_{O_n}^{O_n(\tilde{r})} \tilde{\mathbf{x}} \cdot \tilde{r}$$

where  $O_k(\tilde{r})$  is the number of times  $O_k$  appears in the interior of  $r$ .

**Proposition 3.1** *The differential  $\tilde{\partial}^-$  drops the Maslov degree by one and respect the Alexander filtration.*

**Proof** It is a straightforward consequence of calculus done in [5]. □

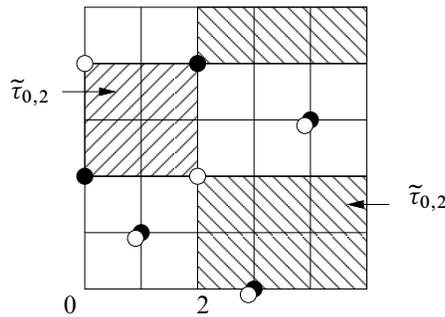


Figure 3: Rectangles. Black dots represent  $\mathbf{x}$  and white dots  $\mathbf{y}$ . The two hatched regions correspond to rectangles  $\tilde{\tau}_{0,2} \in \text{Rect}(\tilde{\mathbf{x}})$  and  $\tilde{\tau}_{2,0} \in \text{Rect}(\tilde{\mathbf{x}})$ . The rectangle  $\tilde{\tau}_{0,2}$  is an empty rectangle while  $\tilde{\tau}_{2,0}$  is not.

**Proposition 3.2** *The endomorphism  $\tilde{\partial}^-$  of  $\tilde{C}^-(G)$  is a differential, ie*

$$\tilde{\partial}^- \circ \tilde{\partial}^- = 0.$$

**Proof** Let  $\tilde{\mathbf{x}} = s(\mathbf{x}) \in \tilde{\mathfrak{S}}_n$ , viewed as a generator of  $\tilde{C}^-(G)$ . Then

$$\tilde{\partial}^- \circ \tilde{\partial}^-(\tilde{\mathbf{x}}) = \sum_{\tilde{r}_2 \in \text{Rect}^\circ(\tilde{\mathbf{x}}, \tilde{r}_1)} \sum_{\tilde{r}_1 \in \text{Rect}^\circ(\tilde{\mathbf{x}})} U_{O_1}^{O_1(\tilde{r}_1)+O_1(\tilde{r}_2)} \dots U_{O_n}^{O_n(\tilde{r}_1)+O_n(\tilde{r}_2)} \tilde{\mathbf{x}} \cdot \tilde{r}_1 \cdot \tilde{r}_2.$$

There are different cases which are illustrated by Figure 4.

**Cases 1,2** The rectangles corresponding to  $\tilde{\tau}_{i,j}$  and  $\tilde{\tau}_{k,l}$  give the elements  $\tilde{\mathbf{z}}_1 = \tilde{\mathbf{x}} \cdot \tilde{\tau}_{k,l} \cdot \tilde{\tau}_{i,j}$  and  $\tilde{\mathbf{z}}_2 = \tilde{\mathbf{x}} \cdot \tilde{\tau}_{i,j} \cdot \tilde{\tau}_{k,l}$ . By equation (2-2) contribution to  $\tilde{\partial}^- \circ \tilde{\partial}^-(\tilde{\mathbf{x}})$  is null.

**Case 3** Supports of the rectangles have a common edge. The two corresponding elements are  $\tilde{\mathbf{z}}_1 = \tilde{\mathbf{x}} \cdot \tilde{\tau}_{i,j} \cdot \tilde{\tau}_{j,k}$  and  $\tilde{\mathbf{z}}_2 = \tilde{\mathbf{x}} \cdot \tilde{\tau}_{i,k} \cdot \tilde{\tau}_{i,j}$  with  $i < j < k$ . By equation (2-3),  $\tilde{\mathbf{z}}_1 = z\tilde{\mathbf{z}}_2$  and so the contribution is null. Other cases work in a similar way.

**Case 4** The vertical annulus is of width 1 and corresponds to  $\tilde{\mathbf{z}}_1 = U_{O_m} \cdot \tilde{\mathbf{x}} \cdot \tilde{\tau}_i \cdot \tilde{\tau}_i$  (it is a consequence of the condition on rectangles to be empty).

To this vertical annulus corresponds the horizontal annulus of height 1 which contains  $O_m$ . This horizontal annulus contributes for  $U_{O_m} \cdot \tilde{\mathbf{x}} \cdot \tilde{\tau}_{l,k} \cdot \tilde{\tau}_{k,l} = U_{O_m} \cdot \tilde{\mathbf{x}}$  for a pair  $k < l \in \{0, \dots, n-1\}$ . So, the contribution of each vertical annulus is canceled by the corresponding horizontal annulus. The global contribution to  $\tilde{\partial}^- \circ \tilde{\partial}^-(\tilde{\mathbf{x}})$  is null.  $\square$

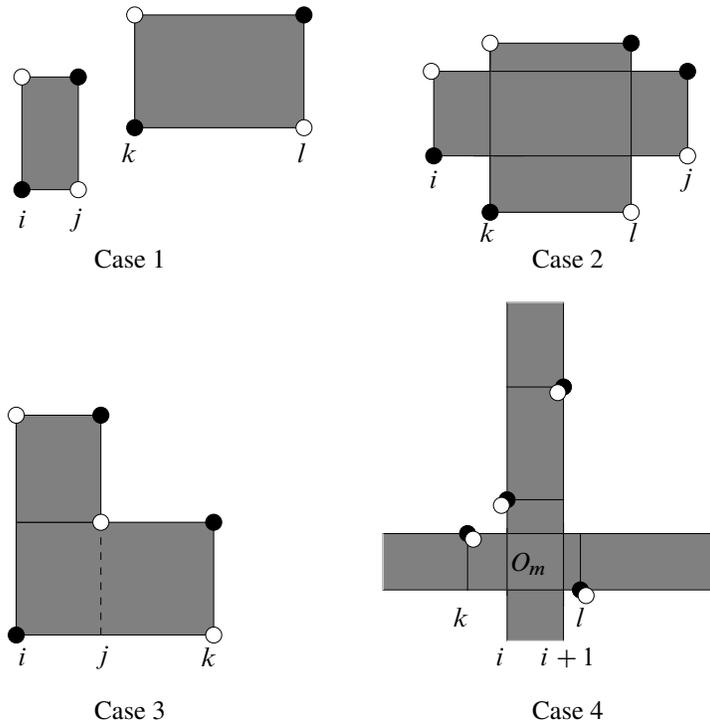


Figure 4:  $\tilde{\partial}^- \circ \tilde{\partial}^- = 0$ .

### 4 Sign assignment induced by the complex

In this section we prove that the chain complex  $\tilde{C}^-(G)$  coincides with the chain complex  $C^-(G)$  over  $\mathbb{Z}$  after a choice of a sign assignment.

**Definition 4.1** A sign assignment is a function  $\mathbf{S}: \text{Rect}^\circ \rightarrow \{\pm 1\}$  such that

(Sq) for any distincts  $r_1, r_2, r'_1, r'_2 \in \text{Rect}^\circ$  such that  $r_1 * r_2 = r'_1 * r'_2$  we have

$$\mathbf{S}(r_1) \cdot \mathbf{S}(r_2) = -\mathbf{S}(r'_1) \cdot \mathbf{S}(r'_2),$$

(V) if  $r_1, r_2 \in \text{Rect}^\circ$  are such that  $r_1 * r_2$  is a vertical annulus then

$$\mathbf{S}(r_1) \cdot \mathbf{S}(r_2) = -1,$$

(H) if  $r_1, r_2 \in \text{Rect}^\circ$  are such that  $r_1 * r_2$  is a horizontal annulus then

$$\mathbf{S}(r_1) \cdot \mathbf{S}(r_2) = +1.$$

Let  $s: \mathfrak{S}_n \rightarrow \tilde{\mathfrak{S}}_n$  be a section of the map  $p$  that is  $p \circ s = \text{id}_{\mathfrak{S}_n}$ .

$$1 \longrightarrow \mathbb{Z}/2\mathbb{Z} \xrightarrow{i} \tilde{\mathfrak{S}}_n \begin{matrix} \xrightarrow{p} \\ \xleftarrow{s} \end{matrix} \mathfrak{S}_n \longrightarrow 1$$

To define the sign assignment we need the 2-cocycle  $c \in C^2(\mathfrak{S}_n, \mathbb{Z}/2\mathbb{Z})$  associated to the map  $s$  given by

$$(4-1) \quad s(\mathbf{x}).s(\mathbf{y}) = (i \circ c(\mathbf{x}, \mathbf{y}))s(\mathbf{x}, \mathbf{y}).$$

The cohomological class of  $c$  measures how  $s$  fails to be a group morphism. In particular, it is non-trivial ( $n \geq 4$ ) since  $\tilde{\mathfrak{S}}_n$  is a non-trivial central extension of  $\mathfrak{S}_n$  by  $\mathbb{Z}/2\mathbb{Z}$ .

We say that a rectangle  $r$  is horizontally torn if given the coordinates  $(i_{bl}, j_{bl})$  of its bottom left corner and  $(i_{tr}, j_{tr})$  of its top right corner then  $i_{bl} > i_{tr}$ . Otherwise,  $r$  is said to be not horizontally torn.

**Lemma 4.2** *The complex  $(\tilde{C}^-(G), \tilde{\partial}^-)$  induces a sign assignment in the sense of Definition 4.1: for all  $(\mathbf{x}, \mathbf{y}) \in \mathfrak{S}_n^2$  and all  $r \in \text{Rect}^\circ(\mathbf{x}, \mathbf{y})$*

$$(4-2) \quad \mathbf{S}(r) = \varepsilon(r).c(\mathbf{x}^{-1}, \mathbf{y}, \mathbf{x})$$

where  $\varepsilon(r) = +1$  if  $r$  is a rectangle not horizontally torn and  $\varepsilon(r) = -1$  otherwise.

**Remark** The sign assignment in the sense of Definition 4.1 is unique up to a 1-coboundary: if  $\mathbf{S}_1$  and  $\mathbf{S}_2$  are two sign assignments then there exists an application  $f: \mathfrak{S}_n \rightarrow \{\pm 1\}$  such that for all rectangles  $r \in \text{Rect}^\circ(\mathbf{x}, \mathbf{y})$ ,  $\mathbf{S}_1(r) = f(\mathbf{x}).f(\mathbf{y}).\mathbf{S}_2(r)$ . It is a consequence of the fact that the central extension corresponds to a 2-cohomological class in  $H^2(\mathfrak{S}_n, \mathbb{Z}/2\mathbb{Z})$  (compare with [5, Theorem 4.2]). Here, we construct explicitly a map  $s: \mathfrak{S}_n \rightarrow \tilde{\mathfrak{S}}_n$  such that  $p \circ s = \text{id}$  which means making a choice of a representative of this class, another choice must differ by a 1-coboundary.

**Proof** Since  $c$  is 2-cocycle we have  $\delta c = 1$  ie for all  $(\mathbf{x}, \mathbf{y}, \mathbf{z}) \in \mathfrak{S}_n^3$

$$\delta c(\mathbf{x}, \mathbf{y}, \mathbf{z}) = c(\mathbf{y}, \mathbf{z}).c(\mathbf{x}, \mathbf{y}, \mathbf{z}).c(\mathbf{x}, \mathbf{y}, \mathbf{z}).c(\mathbf{x}, \mathbf{y}) = 1.$$

By definition we have  $c(\mathbf{x}, \mathbf{1}) = c(\mathbf{1}, \mathbf{x}) = 1$  and  $c(\tau_{i,j}, \tau_{i,j}) = -1$ . Let's prove that  $\mathbf{S}$  satisfy properties (Sq), (V) et (H).

(Sq) Let any four distincts rectangles  $S \ r_1, r_2, r'_1, r'_2 \in \text{Rect}^\circ$  such that  $r_1 * r_2 = r'_1 * r'_2$ . Suppose  $\tilde{\tau}_{i,j} = \tilde{r}_1 \in \text{Rect}^\circ(\tilde{\mathbf{x}})$  corresponds to  $r_1$  and  $\tilde{\tau}_{k,l} = \tilde{r}_2 \in \text{Rect}^\circ(\tilde{\mathbf{x}})$  corresponds to  $r_2$ . Then  $\tilde{r}'_1 = \tilde{\tau}_{k,l} \in \text{Rect}^\circ(\tilde{\mathbf{x}})$  corresponds to  $r'_1$  and  $\tilde{r}'_2 = \tilde{\tau}_{i,j} \in$

$\text{Rect}^\circ(\tilde{\mathbf{x}}.\tilde{\tau}_{k,l})$  corresponds to  $r'_2$ . There are several cases to verify, as for the proof of  $\tilde{\partial}^- \circ \tilde{\partial}^- = 0$  but all cases can be verified in a similar way. We verify the case  $i < j < k < l$ . We calculate  $\delta c(\tau_{k,l}, \tau_{i,j}, \mathbf{x})$  and  $\delta c(\tau_{i,j}, \tau_{k,l}, \mathbf{x})$ . With equalities  $c(\tau_{i,j}.\tau_{k,l}, \mathbf{x}) = c(\tau_{k,l}.\tau_{i,j}, \mathbf{x})$  and  $c(\tau_{i,j}, \tau_{k,l}) = -c(\tau_{k,l}, \tau_{i,j})$  we get

$$\mathbf{S}(r_1).\mathbf{S}(r_2) = -\mathbf{S}(r'_1).\mathbf{S}(r'_2).$$

- (V) Let  $r_1, r_2 \in \text{Rect}^\circ$  such that  $r_1 * r_2$  is a vertical annulus. Suppose that  $\tilde{r}_1 = \tilde{\tau}_i \in \text{Rect}^\circ(\tilde{\mathbf{x}})$  corresponds to  $r_1$  and  $\tilde{r}_2 = \tilde{\tau}_i \in \text{Rect}^\circ(\tilde{\mathbf{x}}.\tilde{\tau}_i)$  corresponds to  $r_2$ . We calculate  $\delta c(\tau_i, \tau_i, \mathbf{x})$  and with equalities  $c(\mathbf{x}, \mathbf{1}) = 1$ ,  $c(\tau_i, \tau_i) = -1$  we get

$$\mathbf{S}(r_1).\mathbf{S}(r_2) = -1.$$

- (H) Let  $r_1, r_2 \in \text{Rect}^\circ$  such that  $r_1 * r_2$  is a horizontal annulus (of height one). Suppose  $\tilde{r}_1 = \tilde{\tau}_{i,j} \in \text{Rect}^\circ(\tilde{\mathbf{x}})$  corresponds to  $r_1$  and  $\tilde{r}_2 = \tilde{\tau}_{j,i} \in \text{Rect}^\circ(\tilde{\mathbf{x}}.\tilde{\tau}_{i,j})$  corresponds to  $r_2$ . We calculate  $\delta c(\tau_{i,j}, \tau_{i,j}, \mathbf{x})$  and with equalities  $c(\mathbf{x}, \mathbf{1}) = 1$ ,  $c(\tau_{i,j}, \tau_{i,j}) = -1$  we get

$$\mathbf{S}(r_1).\mathbf{S}(r_2) = +1. \quad \square$$

**Proposition 4.3** *The filtered chain complex  $(\tilde{C}^-(G), \tilde{\partial}^-)$  is filtered isomorphic to the filtered chain complex  $(C^-(G), \partial^-)$ .*

**Proof** The map  $s: \mathfrak{S}_n \rightarrow \tilde{\mathfrak{S}}_n$  extends linearly with respect to  $\mathbb{Z}[U_1, \dots, U_n]$  uniquely to a map  $s: C^-(G) \rightarrow \tilde{C}^-(G)$  which is an isomorphism of modules. It commutes with the differentials ie  $s \circ \partial^- = \tilde{\partial}^- \circ s$  where the sign assignment  $\mathbf{S}$  is given by equation (4-2). By definition,  $s$  respects the Alexander filtration and the Maslov grading. So  $s$  defines a filtered isomorphism between the complexes  $(C^-(G), \partial^-)$  and  $(\tilde{C}^-(G), \tilde{\partial}^-)$ .  $\square$

A consequence of the above proposition and [5, Theorem 1.2] is the following.

**Corollary 4.4** *Let  $\vec{L}$  be an oriented link with  $\ell$  components. We number the  $\circlearrowleft$  so that  $O_1, \dots, O_\ell$  correspond to the different components of  $\vec{L}$ . Then the filtered quasi-isomorphism type of  $(\tilde{C}^-(G), \partial^-)$  over  $\mathbb{Z}[U_{O_1}, \dots, U_{O_\ell}]$  is an invariant of the link.*

**Remark** The proof of this theorem can also be done by adaptating the original proof in [5], sometimes with slightly simplified arguments.

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