

## L-space surgery and twisting operation

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A knot in the 3-sphere is called an L-space knot if it admits a nontrivial Dehn surgery yielding an L-space, ie a rational homology 3-sphere with the smallest possible Heegaard Floer homology. Given a knot K, take an unknotted circle c and twist K n times along c to obtain a twist family  $\{K_n\}$ . We give a sufficient condition for  $\{K_n\}$  to contain infinitely many L-space knots. As an application we show that for each torus knot and each hyperbolic Berge knot K, we can take c so that the twist family  $\{K_n\}$  contains infinitely many hyperbolic L-space knots. We also demonstrate that there is a twist family of hyperbolic L-space knots each member of which has tunnel number greater than one.

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# **1** Introduction

Heegaard Floer theory (with  $\mathbb{Z}/2\mathbb{Z}$  coefficients) associates a group  $\widehat{HF}(M, t)$  to a closed, orientable spin<sup>c</sup> 3-manifold (M, t). The direct sum of  $\widehat{HF}(M, t)$  for all spin<sup>c</sup> structures is denoted by  $\widehat{HF}(M)$ . A rational homology 3-sphere M is called an *L*-space if  $\widehat{HF}(M, t)$  is isomorphic to  $\mathbb{Z}/2\mathbb{Z}$  for all spin<sup>c</sup> structures  $t \in \operatorname{Spin}^{c}(M)$ . Equivalently, the dimension  $\dim_{\mathbb{Z}/2\mathbb{Z}} \widehat{HF}(M)$  is equal to the order  $|H_1(M;\mathbb{Z})|$ . A knot K in the 3-sphere  $S^3$  is called an *L*-space knot if the result K(r) of r-surgery on K is an L-space for some nonzero integer r, and the pair (K, r) is called an *L*-space surgery. The class of L-spaces includes lens spaces (except  $S^2 \times S^1$ ), and more generally, 3-manifolds with elliptic geometry; see Ozsváth and Szabó [47, Proposition 2.3]. Since the trivial knot, nontrivial torus knots and Berge knots [5] admit nontrivial surgeries yielding lens spaces, these are fundamental examples of L-space knots. For the mirror image  $K^*$  of K,  $K^*(-r)$  is homeomorphic to K(r) with the opposite orientation. So if K(r) is an L-space, then  $K^*(-r)$  is also an L-space [47, page 1288]. Hence if K is an L-space knot, then so is  $K^*$ .

Let K be a nontrivial L-space knot with a positive L-space surgery. Then Ozsváth and Szabó prove in [48, Proposition 9.6] (see also Hedden [25, Lemma 2.13]) that r-surgery on K results in an L-space if and only if  $r \ge 2g(K) - 1$ , where g(K) denotes the genus of K. This result, together with Thurston's hyperbolic Dehn surgery

theorem (see [51; 52] and also Benedetti and Petronio [4], Petronio and Porti [49], and Boileau and Porti [7]), shows that each hyperbolic L-space knot, say a hyperbolic Berge knot, produces infinitely many hyperbolic L-spaces by Dehn surgery.

On the other hand, there are some strong constraints for L-space knots:

- The nonzero coefficients of the Alexander polynomial of an L-space knot are ±1 and alternate in sign [47, Corollary 1.3].
- An L-space knot is fibered; see Ni [43, Corollary 1.2] and [44], and also Ghiggini [19] and Juhász [29].
- An L-space knot is prime; see Krcatovich [31, Theorem 1.2].

Note that these conditions are not sufficient. For instance,  $10_{132}$  satisfies the above conditions, but it is not an L-space knot; see [47].

As shown in Hedden [25] and Hom, Lidman and Vafaee [26], some satellite operations keep the property of being L-space knots. In the present article, we consider whether some suitably chosen twistings also keep the property of being L-space knots. Given a knot K, take an unknotted circle c which bounds a disk intersecting K at least twice. Then performing an n-twist, ie (-1/n)-surgery along c, we obtain another knot  $K_n$ . Then our question is formulated as:

**Question 1.1** Which knots K admit an unknotted circle c such that an n-twist along c converts K into an L-space knot  $K_n$  for infinitely many integers n? Furthermore, if K has such a circle c, which circles enjoy the desired property?

**Example 1.2** Let *K* be a pretzel knot P(-2, 3, 7), and take an unknotted circle *c* as in Figure 1. Then following Ozsváth and Szabó [47],  $K_n$  is an L-space knot if  $n \ge -3$  and thus the twist family  $\{K_n\}$  contains infinity many L-space knots. Note that this family, together with a twist family  $\{T_{2n+1,2}\}$ , comprise all Montesinos L-space knots; see Lidman and Moore [33] and Baker and Moore [3].

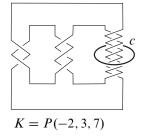


Figure 1: A knot  $K_n$  obtained by *n*-twist along *c* is an L-space knot if  $n \ge -3$ .

In this example, it turns out that c becomes a Seifert fiber in the lens space K(19) (see Figure 10). We employed such a circle for relating Seifert fibered surgeries in Deruelle, Miyazaki and Motegi [13]. A pair (K, m) of a knot K in  $S^3$  and an integer m is a *Seifert surgery* if K(m) has a Seifert fibration; we allow the fibration to be degenerate, ie it contains an exceptional fiber of index 0 as a degenerate fiber. See [13, Section 2.1] for details. The definition below enables us to say that c is a seifert for the Seifert (lens space) surgery (K, 19).

**Definition 1.3** [13] Let (K,m) be a Seifert surgery. A knot c in  $S^3 - N(K)$  is called a *seiferter* for (K,m) if c satisfies the following:

- c is a trivial knot in  $S^3$ .
- c becomes a fiber in a Seifert fibration of K(m).

As remarked in [13, Convention 2.15], if c bounds a disk in  $S^3 - K$ , then we do not regard c as a seiferter. Thus for any seiferter c for (K, m),  $S^3 - \operatorname{int} N(K \cup c)$  is irreducible.

Let (K, m) be a Seifert surgery with a seiferter c. There are two cases: either c becomes a fiber in a nondegenerate Seifert fibration of K(m) or c becomes a fiber in a degenerate Seifert fibration of K(m). In the former case, for homological reasons, the base surface is the 2-sphere  $S^2$  or the projective plane  $\mathbb{R}P^2$ . Suppose that c is a fiber in a nondegenerate Seifert fibration of K(m) over the 2-sphere  $S^2$ . Then in the following we assume that K(m) contains at most three exceptional fibers, and if there are three exceptional fibers, then c is an exceptional fiber. We call such a seiferter a *seiferter for a small Seifert fibered surgery* (K, m). To be precise, the images of K and m after an n-twist along c should be denoted by  $K_{c,n}$  and  $m_{c,n}$ , but for simplicity, we abbreviate them to  $K_n$  and  $m_n$  respectively as long as there is no confusion.

**Theorem 1.4** Let *c* be a seiferter for a small Seifert fibered surgery (K, m). Then  $(K_n, m_n)$  is an L-space surgery for an infinite interval of integers *n* if and only if the result of (m, 0)-surgery on  $K \cup c$  is an L-space.

In remaining cases, it turns out that every seiferter enjoys the desired property in Question 1.1.

**Theorem 1.5** Let *c* be a seiferter for (K, m) that becomes a fiber in a Seifert fibration of K(m) over  $\mathbb{R}P^2$ . Then  $(K_n, m_n)$  is an L-space surgery for all but at most one integer  $n_0$  with  $(K_{n_0}, m_{n_0}) = (O, 0)$ . Hence  $K_n$  is an L-space knot for all integers *n*.

Let us turn to the case where *c* is a (degenerate or nondegenerate) fiber in a degenerate Seifert fibration of K(m). Recall from [13, Proposition 2.8] that if K(m) has a degenerate Seifert fibration, then it is a lens space or a connected sum of two lens spaces such that neither summand is  $S^3$  or  $S^2 \times S^1$ . The latter 3-manifold will be simply referred to as a *connected sum of two lens spaces*, which is an L-space; see Szabó [50, page 221] and also Ozsváth and Szabó [46, Proposition 6.1].

**Theorem 1.6** Let c be a seiferter for (K, m) which becomes a (degenerate or nondegenerate) fiber in a degenerate Seifert fibration of K(m).

- (1) If K(m) is a lens space, then  $(K_n, m_n)$  is an L-space surgery; hence  $K_n$  is an L-space knot for all but at most one integer n.
- (2) If K(m) is a connected sum of two lens spaces, then  $(K_n, m_n)$  is an L-space surgery, hence  $K_n$  is an L-space knot for any  $n \ge -1$ , or for any  $n \le 1$ .

Following Greene [23, Theorem 1.5], if K(m) is a connected sum of two lens spaces, then K is a torus knot  $T_{p,q}$  or a cable of a torus knot  $C_{p,q}(T_{r,s})$  where  $p = qrs \pm 1$ . We may assume  $p, q \ge 2$  by taking the mirror image if necessary. The next theorem is a refinement of Theorem 1.6(2).

**Theorem 1.7** Let *c* be a seiferter for  $(K, m) = (T_{p,q}, pq)$  or  $(C_{p,q}(T_{r,s}), pq)$  where  $p = qrs \pm 1$ . We assume  $p, q \ge 2$ . Then a knot  $K_n$  obtained from *K* by an *n*-twist along *c* is an L-space knot for any  $n \ge -1$ . Furthermore, if the linking number *l* between *c* and *K* satisfies  $l^2 \ge 2pq$ , then  $K_n$  is an L-space knot for all integers *n*.

In the above theorem,  $K_n$  (n < -1) may be an L-space knot even when  $l^2 < 2pq$ ; see Motegi and Tohki [41].

In Sections 5, 6 and 7 we will exploit seiferter technology developed in Deruelle, Miyazaki and Motegi [13; 12] and Deruelle, Eudave-Muñoz, Miyazaki and Motegi [11] to give a partial answer to Question 1.1. Even though Theorem 1.7 treats a special kind of Seifert surgeries, it offers many applications. In particular, it enables us to give new families of L-space twisted torus knots. See Section 5 for the definition of twisted torus knots K(p,q;r,n) introduced by Dean [10].

Theorem 1.8 (L-space twisted torus knots)

(1) The following twisted torus knots are L-space knots for all integers *n*:

- K(p,q; p+q,n) with  $p,q \ge 2$ ,
- K(3p+1, 2p+1; 4p+1, n) with p > 0,
- K(3p+2, 2p+1; 4p+3, n) with p > 0.

- K(p,q;p-q,n) with  $p,q \ge 2$ ,
- K(2p+3, 2p+1; 2p+2, n) with p > 0.

Theorem 1.8 has the following corollary, which asserts that every nontrivial torus knot admits twistings desired in Question 1.1.

**Corollary 1.9** For any nontrivial torus knot  $T_{p,q}$ , we can take an unknotted circle c so that an n-twist along c converts  $T_{p,q}$  into an L-space knot  $K_n$  for all integers n. Furthermore,  $\{K_n\}_{|n|>3}$  is a set of mutually distinct hyperbolic L-space knots.

For the simplest L-space knot, ie the trivial knot O, we can strengthen Corollary 1.9 as follows.

**Theorem 1.10** (L-space twisted unknots) For the trivial knot O, we can take infinitely many unknotted circles c so that an n-twist along c changes O into a nontrivial L-space knot  $K_{c,n}$  for any nonzero integer n. Furthermore,  $\{K_{c,n}\}_{|n|>1}$  is a set of mutually distinct hyperbolic L-space knots.

Using a relationship between Berge's lens space surgeries and surgeries yielding a connected sum of two lens spaces, we can prove:

**Theorem 1.11** (L-space twisted Berge knots) For any hyperbolic Berge knot K, there is an unknotted circle c such that an n-twist along c converts K into a hyperbolic L-space knot  $K_n$  for infinitely many integers n.

In Section 8, we consider the tunnel number of L-space knots. Recall that the *tunnel* number of a knot K in  $S^3$  is the minimum number of mutually disjoint, embedded arcs connecting K such that the exterior of the resulting 1–complex is a handlebody. Hedden's cabling construction [25], together with the work of Morimoto and Sakuma [40], enables us to obtain an L-space knot with tunnel number greater than 1. Actually, Baker and Moore [3] have shown that for any integer N, there is an L-space knot with tunnel number greater than one constructed above are all satellite (nonhyperbolic) knots, and they ask:

**Question 1.12** [3] Is there a nonsatellite L-space knot with tunnel number greater than one?

Examining knots with Seifert surgeries which do not arise from the primitive/Seifertfibered construction given by Eudave-Muñoz, Jasso, Miyazaki and Motegi [16], we prove the following, which answers the question in the positive. **Theorem 1.13** There exist infinitely many hyperbolic *L*-space knots with tunnel number greater than one.

Each knot in the theorem is obtained from a trefoil knot  $T_{3,2}$  by alternate twisting along two seiferters for the lens space surgery  $(T_{3,2}, 7)$ .

In Section 9, we will discuss further questions on relationships between L-space knots and the twisting operation.

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## 2 Seifert fibered L-spaces

Let M be a rational homology 3-sphere which is a Seifert fiber space. For homological reasons, the base surface of M is either  $S^2$  or  $\mathbb{R}P^2$ . In the latter case, Boyer, Gordon and Watson [8, Proposition 5] prove that M is an L-space. Now assume that the base surface of M is  $S^2$ . Following Ozsváth and Szabó [45, Theorem 1.4], if M is an L-space, then it carries no taut foliation; in particular, it carries no horizontal (ie transverse) foliation. Furthermore, Lisca and Stipsicz [34, Theorem 1.1] prove that the converse also holds. Therefore, a Seifert fibered rational homology 3-sphere M over  $S^2$  is an L-space if and only if it does not admit a horizontal foliation. Note that if M does not carry a horizontal foliation, then it is necessarily a rational homology 3-sphere. In fact, if  $|H_1(M; \mathbb{Z})| = \infty$ , then M is a surface bundle over the circle (see [27, Theorem VI.34] and [24, page 22]), and hence it has a horizontal foliation. On the other hand, Eisenbud, Hirsh and Neumann [14], Jankins and Neumann [28], and Naimi [42] gave necessary and sufficient conditions for a Seifert fibered 3-manifold to carry a horizontal foliation. Combining them we have Theorem 2.1 below. See also [9, Theorem 5.4]; we follow the convention of Seifert invariants in [9, Section 4].

For ordered triples  $(a_1, a_2, a_3)$  and  $(b_1, b_2, b_3)$ , we write  $(a_1, a_2, a_3) < (b_1, b_2, b_3)$ (resp.  $(a_1, a_2, a_3) \le (b_1, b_2, b_3)$ ) if  $a_i < b_i$  (resp.  $a_i \le b_i$ ) for  $1 \le i \le 3$ , and denote by  $(a_1, a_2, a_3)^*$  the ordered triple  $(\sigma(a_1), \sigma(a_2), \sigma(a_3))$ , where  $\sigma$  is a permutation such that  $\sigma(a_1) \leq \sigma(a_2) \leq \sigma(a_3)$ .

**Theorem 2.1** [45; 34; 14; 28; 42] A Seifert fiber space  $S^2(b, r_1, r_2, r_3)$  (for  $b \in \mathbb{Z}$  and  $0 < r_i < 1$ ) is an L-space if and only if one of the following holds:

- (1)  $b \ge 0 \text{ or } b \le -3$ ,
- (2) b = -1 and there are no relatively prime integers a, k such that  $0 < a \le k/2$ and  $(r_1, r_2, r_3)^* < (1/k, a/k, (k-a)/k)$ ,
- (3) b = -2 and there are no relatively prime integers  $0 < a \le k/2$  such that  $(1-r_1, 1-r_2, 1-r_3)^* < (1/k, a/k, (k-a)/k).$

For our purpose, we consider the following problem:

**Problem 2.2** Given an integer b and rational numbers  $0 < r_1 \le r_2 < 1$ , describe rational numbers  $-1 \le r \le 1$  for which  $S^2(b, r_1, r_2, r)$  is an L-space.

We begin by observing:

**Lemma 2.3** Assume that  $0 < r_1 \le r_2 < 1$ .

- (1) If  $b \ge 0$  or  $b \le -3$ , then  $S^2(b, r_1, r_2, r)$  is an L-space for any 0 < r < 1.
- (2) If  $r_1 + r_2 \ge 1$ , then  $S^2(-1, r_1, r_2, r)$  is an L-space for any 0 < r < 1.
- (3) If  $r_1 + r_2 \le 1$ , then  $S^2(-2, r_1, r_2, r)$  is an L-space for any 0 < r < 1.

**Proof** The first assertion is nothing but Theorem 2.1(1).

Suppose for a contradiction that  $S^2(-1, r_1, r_2, r)$  is not an L-space for some 0 < r < 1. Then, by Theorem 2.1(2) we can take relatively prime integers a, k with  $0 < a \le k/2$  so that  $(r_1, r_2, r)^* < (1/k, a/k, (k-a)/k)$ . This then implies that  $r_1 < a/k$  and  $r_2 < (k-a)/k$ . Hence  $r_1 + r_2 < a/k + (k-a)/k = 1$ , a contradiction. This proves (2).

To prove (3), assume for a contradiction that  $S^2(-2, r_1, r_2, r)$  is not an L-space for some 0 < r < 1. Then, by Theorem 2.1(3) we have relatively prime integers a, k with  $0 < a \le k/2$  such that  $(1-r_1, 1-r_2, 1-r)^* < (1/k, a/k, (k-a)/k)$ . Thus we have  $(1-r_2) < a/k$  and  $(1-r_1) < (k-a)/k$ . Thus  $(1-r_1) + (1-r_2) < 1$ , which implies  $r_1 + r_2 > 1$ , contradicting the assumption.

Now let us prove the following, which gives an answer to Problem 2.2.

**Proposition 2.4** Assume that  $0 < r_1 \le r_2 < 1$ .

- (1) If  $b \le -3$  or  $b \ge 1$ , then  $S^2(b, r_1, r_2, r)$  is an L-space for any  $-1 \le r \le 1$ .
- (2) If b = -2, then there exists  $\varepsilon > 0$  such that  $S^2(-2, r_1, r_2, r)$  is an L-space for any  $-1 \le r \le \varepsilon$ . Furthermore, if  $r_1 + r_2 \le 1$ , then  $S^2(-2, r_1, r_2, r)$  is an L-space if  $-1 \le r < 1$ .
- (3) Suppose that b = -1.
  - (i) If  $r_1 + r_2 \ge 1$ , then  $S^2(-1, r_1, r_2, r)$  is an L-space for any  $0 < r \le 1$ .
  - (ii) If  $r_1 + r_2 \le 1$ , then  $S^2(-1, r_1, r_2, r)$  is an L-space for any  $-1 \le r < 0$ .
- (4) If b = 0, then there exists  $\varepsilon > 0$  such that  $S^2(r_1, r_2, r)$  is an L-space for any  $-\varepsilon \le r \le 1$ . Furthermore, if  $r_1 + r_2 \ge 1$ , then  $S^2(r_1, r_2, r)$  is an L-space if  $-1 < r \le 1$ .

**Proof** If  $r = 0, \pm 1$ , then  $S^2(b, r_1, r_2, r)$  is a lens space.

**Claim 2.5** Suppose that r is an integer. Then the lens space  $S^2(b, r_1, r_2, r)$  is  $S^2 \times S^1$  if and only if b + r = -1 and  $r_1 + r_2 = 1$ . In particular, if  $b + r \neq -1$ , then  $S^2(b, r_1, r_2, r)$  is an L-space.

**Proof of claim** We recall that  $H_1(S^2(a/b, c/d)) \cong \mathbb{Z}$  for  $b, d \ge 1$  if and only if ad + bc = 0, ie a/b + c/d = 0. Thus  $S^2(b, r_1, r_2, r)$  is  $S^2 \times S^1$  if and only if  $b + r_1 + r_2 + r = 0$ , ie  $r_1 + r_2 = -b - r \in \mathbb{Z}$ . Since  $0 < r_i < 1$ , we have  $r_1 + r_2 = 1$  and b + r = -1.

We divide into two cases, since  $0 \le r \le 1$  or  $-1 \le r \le 0$ .

**Case I**  $(0 \le r \le 1)$  (i) If  $b \ge 0$  or  $b \le -3$ , then  $S^2(b, r_1, r_2, r)$  is an L-space for any 0 < r < 1 by Lemma 2.3(1). Since  $b + r \ne -1$  for r = 0, 1, by Claim 2.5  $S^2(b, r_1, r_2, r)$  is an L-space for r = 0, 1. Hence  $S^2(b, r_1, r_2, r)$  is an L-space for any  $0 \le r \le 1$ .

(ii) Suppose that b = -1. By Lemma 2.3(2), if  $r_1 + r_2 \ge 1$ , then  $S^2(-1, r_1, r_2, r)$  is an L-space for any 0 < r < 1. Since  $S^2(-1, r_1, r_2, 1)$  is an L-space by Claim 2.5,  $S^2(-1, r_1, r_2, r)$  is an L-space for any  $0 < r \le 1$ .

(iii) Assume b = -2. Suppose that  $0 < r \le r_1$  so that  $0 < 1 - r_2 \le 1 - r_1 \le 1 - r < 1$ . Now let

$$A = \{(k-a)/k \mid 1 - r_2 < 1/k, \ 1 - r_1 < a/k, \ 0 < a \le k/2, a \text{ and } k \text{ are relatively prime integers} \}.$$

If  $A = \emptyset$ , ie there are no relatively prime integers *a* and *k* ( $0 < a \le k/2$ ) such that  $1-r_2 < 1/k$  and  $1-r_1 < a/k$ , then  $S^2(-2, r_1, r_2, r)$  is an L-space for any  $0 < r \le r_1$  by Theorem 2.1. Suppose that  $A \ne \emptyset$ . Since there are only finitely many integers *k* satisfying  $1 - r_2 < 1/k$ , *A* consists of only finitely many elements. Let  $r_0$  be the maximal element in *A*. If  $0 < r \le 1 - r_0$ , then  $r_0 \le 1 - r < 1$ , and hence there are no relatively prime integers *a* and *k* satisfying both

$$0 < a \le k/2$$
 and  $(1 - r_2, 1 - r_1, 1 - r) < (1/k, a/k, (k - a)/k).$ 

Let  $\varepsilon = \min\{r_1, 1 - r_0\}$ . Then  $S^2(-2, r_1, r_2, r)$  is an L-space for any  $0 < r \le \varepsilon$  by Theorem 2.1. Since  $S^2(-2, r_1, r_2, 0)$  is an L-space by Claim 2.5,  $S^2(-2, r_1, r_2, r)$ is an L-space for any  $0 \le r \le \varepsilon$ . Furthermore, if we have the additional condition  $r_1 + r_2 \le 1$ , then Lemma 2.3(3) improves the result so that  $S^2(-2, r_1, r_2, r)$  is an L-space for any  $0 \le r < 1$ .

**Case II**  $(-1 \le r \le 0)$  Note that  $S^2(b, r_1, r_2, r) = S^2(b-1, r_1, r_2, r+1)$ .

(i) If  $b \ge 1$  or  $b \le -2$  (ie  $b - 1 \ge 0$  or  $b - 1 \le -3$ ), then  $S^2(b, r_1, r_2, r) = S^2(b - 1, r_1, r_2, r + 1)$  is an L-space for any 0 < r + 1 < 1, ie -1 < r < 0, by Lemma 2.3(1). Since  $b + r \ne -1$  for r = -1, 0, then  $S^2(b, r_1, r_2, r)$  is an L-space for r = -1, 0 by Claim 2.5. Thus  $S^2(b, r_1, r_2, r)$  is an L-space for any  $-1 \le r \le 0$ .

(ii) If b = 0 (ie b - 1 = -1), then  $S^2(0, r_1, r_2, r) = S^2(-1, r_1, r_2, r+1)$ . Let us assume  $r_2 - 1 \le r < 0$  so that  $0 < r_1 \le r_2 \le r+1 < 1$ . Set

$$A = \{(k-a)/k \mid r_1 < 1/k, r_2 < a/k, 0 < a \le k/2,$$

a and k are relatively prime integers}.

If  $A = \emptyset$ , for any r with  $r_2 \le r+1 < 1$ , we can easily observe that  $S^2(-1, r_1, r_2, r+1)$  is an L-space by Theorem 2.1. Hence for any  $r_2 - 1 \le r < 0$ ,  $S^2(0, r_1, r_2, r)$  is an L-space. Suppose that  $A \ne \emptyset$ . Since A is a finite set, we take the maximal element  $r_0$  in A. If  $r_0 \le r+1 < 1$  (ie  $r_0 - 1 \le r < 0$ ), then there are no relatively prime integers a and k satisfying both

$$0 < a \le k/2$$
 and  $(r_1, r_2, r+1) < (1/k, a/k, (k-a)/k).$ 

Let  $\varepsilon = \min\{1-r_2, 1-r_0\}$ . Then  $S^2(0, r_1, r_2, r) = S^2(-1, r_1, r_2, r+1)$  is an L-space for any  $-\varepsilon \le r < 0$  by Theorem 2.1. Since  $S^2(0, r_1, r_2, 0) = S^2(r_1, r_2)$  is an L-space by Claim 2.5,  $S^2(0, r_1, r_2, r)$  is an L-space for any  $-\varepsilon \le r \le 0$ . Furthermore, if we have the additional condition  $r_1 + r_2 \ge 1$ , then Lemma 2.3(2) improves the result so that  $S^2(r_1, r_2, r) = S^2(-1, r_1, r_2, r+1)$  is an L-space for any  $-1 < r \le 0$ .

(iii) If b = -1 (ie b - 1 = -2), then  $S^2(-1, r_1, r_2, r) = S^2(-2, r_1, r_2, r+1)$ . Assume that  $r_1 + r_2 \le 1$ . By Lemma 2.3(3),  $S^2(-1, r_1, r_2, r) = S^2(-2, r_1, r_2, r+1)$ 

is an L-space for any 0 < r + 1 < 1, ie -1 < r < 0. Since Claim 2.5 shows that  $S^{2}(-1, r_{1}, r_{2}, -1)$  is an L-space,  $S^{2}(-1, r_{1}, r_{2}, r)$  is an L-space for any  $-1 \le r < 0$ .

Combining cases I and II, the proof of Proposition 2.4 is complete.

The next proposition shows that if  $S^2(b, r_1, r_2, r_\infty)$  is an L-space for some rational number  $0 < r_\infty < 1$ , then we can find r near  $r_\infty$  such that  $S^2(b, r_1, r_2, r)$  is an L-space.

**Proposition 2.6** Suppose that  $0 < r_1 \le r_2 < 1$  and  $S^2(b, r_1, r_2, r_\infty)$  is an L-space for some rational number  $0 < r_\infty < 1$ .

(1) If b = -1, then  $S^2(-1, r_1, r_2, r)$  is an L-space for any  $r_{\infty} \le r \le 1$ .

(2) If b = -2, then  $S^2(-2, r_1, r_2, r)$  is an L-space for any  $-1 \le r \le r_\infty$ .

**Proof** (1) Assume for a contradiction that  $S^2(-1, r_1, r_2, r)$  is not an L-space for some *r* satisfying  $r_{\infty} \le r < 1$ . By Theorem 2.1 we have relatively prime integers *a* and *k* with  $0 < a \le k/2$  such that  $(r_1, r_2, r)^* < (1/k, a/k, (k-a)/k)$ . Since we have  $r_{\infty} \le r < 1$ , it follows that

$$(r_1, r_2, r_\infty)^* \le (r_1, r_2, r)^* < (1/k, a/k, (k-a)/k).$$

Hence Theorem 2.1 shows that  $S^2(-1, r_1, r_2, r_\infty)$  is not an L-space, a contradiction. Since  $S^2(-1, r_1, r_2, 1) = S^2(r_1, r_2)$  is an L-space by Claim 2.5,  $S^2(-1, r_1, r_2, r)$  is an L-space for any  $r_\infty \le r \le 1$ .

(2) Next assume for a contradiction that  $S^2(-2, r_1, r_2, r)$  is not an L-space for some r satisfying  $0 < r \le r_{\infty}$ . Then following Theorem 2.1 we have  $(1-r_1, 1-r_2, 1-r)^* < (1/k, a/k, (k-a)/k)$  for some relatively prime integers a and k with  $0 < a \le k/2$ . Since  $r \le r_{\infty}$ , we have  $1 - r_{\infty} \le 1 - r$ , and hence

$$(1-r_1, 1-r_2, 1-r_\infty)^* \le (1-r_1, 1-r_2, 1-r)^*$$
  
< $(1/k, a/k, (k-a)/k).$ 

This means  $S^2(-2, r_1, r_2, r_\infty)$  is not an L-space, contradicting the assumption. Thus  $S^2(-2, r_1, r_2, r)$  is an L-space for any  $0 < r \le r_\infty$ . Furthermore, as shown in Proposition 2.4(2),  $S^2(-2, r_1, r_2, r)$  is an L-space if  $-1 \le r \le \varepsilon$  for some  $\varepsilon > 0$ , so  $S^2(-2, r_1, r_2, r)$  is an L-space for any  $-1 \le r \le r_\infty$ .

We close this section with the complement of Proposition 2.6.

**Proposition 2.7** Suppose that  $0 < r_1 \le r_2 < 1$  and  $S^2(b, r_1, r_2, r_\infty)$  is not an L-space for some rational number  $0 < r_\infty < 1$ .

- (1) If b = -1, then there exists  $\varepsilon > 0$  such that  $S^2(-1, r_1, r_2, r)$  is not an L-space for any  $0 < r < r_{\infty} + \varepsilon$ .
- (2) If b = -2, then there exists  $\varepsilon > 0$  such that then  $S^2(-2, r_1, r_2, r)$  is an L-space for any  $r_{\infty} \varepsilon < r < 1$ .

**Proof** (1) Since  $S^2(-1, r_1, r_2, r_\infty)$  is not an L-space, Theorem 2.1 shows that there are relatively prime integers a and k with  $0 < a \le k/2$  such that  $(r_1, r_2, r_\infty)^* < (1/k, a/k, (k-a)/k)$ . Then there exists  $\varepsilon > 0$  such that for any  $0 < r < r_\infty + \varepsilon$ , we have  $(r_1, r_2, r)^* < (1/k, a/k, (k-a)/k)$ . Thus by Theorem 2.1 again,  $S^2(-1, r_1, r_2, r)$  is not an L-space for any  $0 < r < r_\infty + \varepsilon$ .

(2) Since  $S^2(-2, r_1, r_2, r_\infty)$  is not an L-space, by Theorem 2.1 we have relatively prime integers *a* and *k* such that

$$0 < a \le k/2$$
 and  $(1 - r_1, 1 - r_2, 1 - r_\infty)^* < (1/k, a/k, (k - a)/k).$ 

Hence there exists  $\varepsilon > 0$  such that if  $0 < 1 - r < 1 - r_{\infty} + \varepsilon$ , ie  $r_{\infty} - \varepsilon < r < 1$ , then  $(1 - r_1, 1 - r_2, 1 - r)^* < (1/k, a/k, (k - a)/k)$ . By Theorem 2.1,  $S^2(-2, r_1, r_2, r)$  is not an L-space for any  $r_{\infty} - \varepsilon < r < 1$ .

## **3** L-space surgeries and twisting along seiferters, I: Nondegenerate case

The goal in this section is to prove Theorems 1.4 and 1.5.

Let c be a seiferter for a small Seifert fibered surgery (K, m). The 3-manifold obtained by (m, 0)-surgery on  $K \cup c$  is denoted by  $M_c(K, m)$ .

**Proof of Theorem 1.4** First we prove the "if" part. If K(m) is a lens space and c is a core of the genus-one Heegaard splitting, then  $K_n(m_n)$  is a lens space for any integer n. Thus  $(K_n, m_n)$  is an L-space surgery for all  $n \in \mathbb{Z}$  except when  $K_n(m_n) \cong S^2 \times S^1$ , ie  $K_n$  is the trivial knot and  $m_n = 0$ ; see [17, Theorem 8.1]. Since  $(K_n, m_n) = (K_{n'}, m_{n'})$  if and only if n = n' by [13, Theorem 5.1], there is at most one integer n such that  $(K_n, m_n) = (O, 0)$ . Henceforth, in the case where K(m) is a lens space, we assume that K(m) has a Seifert fibration over  $S^2$  with two exceptional fibers  $t_1$  and  $t_2$ , and c becomes a regular fiber in this Seifert fibration.

Let *E* be  $K(m) - \operatorname{int} N(c)$  with a fibered tubular neighborhood of the union of two exceptional fibers  $t_1$  and  $t_2$  and one regular fiber  $t_0$  removed. Then *E* is a product

circle bundle over the fourth-punctured sphere. Take a cross section of E such that K(m) is expressed as  $S^2(b, r_1, r_2, r_3)$ , where the Seifert invariant of  $t_0$  is  $b \in \mathbb{Z}$ , that of  $t_i$  is  $0 < r_i < 1$  for i = 1, 2, and that of c is  $0 \le r_3 < 1$ . Without loss of generality, we may assume  $r_1 \le r_2$ . Let s be the boundary curve on  $\partial N(c)$  of the cross section so that  $[s] \cdot [t] = 1$  for a regular fiber  $t \subset \partial N(c)$ . Let  $(\mu, \lambda)$  be a preferred meridian-longitude pair of  $c \subset S^3$ . Then

$$[\mu] = \alpha_3[s] + \beta_3[t] \in H_1(\partial N(c)) \text{ and } [\lambda] = -\alpha[s] - \beta[t] \in H_1(\partial N(c))$$

for some integers  $\alpha_3$ ,  $\beta_3$ ,  $\alpha$  and  $\beta$  which satisfy  $\alpha_3 > 0$  and  $\alpha\beta_3 - \beta\alpha_3 = 1$ , where  $r_3 = \beta_3/\alpha_3$ . Now let us write  $r_c = \beta/\alpha$ , which is the slope of the preferred longitude  $\lambda$  of  $c \subset S^3$  with respect to the (s, t)-basis.

**Claim 3.1**  $M_c(K,m)$  is a (possibly degenerate) Seifert fiber space  $S^2(b, r_1, r_2, r_c)$ ; if  $r_c = -1/0$ , then it is a connected sum of two lens spaces.

**Proof of claim**  $M_c(K,m)$  is regarded as a 3-manifold obtained from K(m) by performing  $\lambda$ -surgery along the fiber  $c \subset K(m)$ . Since  $[\lambda] = -\alpha[s] - \beta[t]$ , we see that  $M_c(K,m)$  is a (possibly degenerate) Seifert fiber space  $S^2(b, r_1, r_2, r_c)$ . If  $\alpha = 0$ , ie  $r_c = -1/0$ , then  $M_c(K,m)$  has a degenerate Seifert fibration, and it is a connected sum of two lens spaces.

Recall that  $(K_n, m_n)$  is a Seifert surgery obtained from (K, m) by twisting *n* times along *c*. The image of *c* after the *n*-twist along *c* is also a seiferter for  $(K_n, m_n)$ , and is denoted by  $c_n$ . We study how the Seifert invariant of K(m) behaves under the twisting. We compute the Seifert invariant of  $c_n$  in  $K_n(m_n)$  under the same cross section on *E*.

Since we have

$$\begin{pmatrix} [\mu] \\ [\lambda] \end{pmatrix} = \begin{pmatrix} \alpha_3 & \beta_3 \\ -\alpha & -\beta \end{pmatrix} \begin{pmatrix} [s] \\ [t] \end{pmatrix},$$
$$\begin{pmatrix} [s] \\ [t] \end{pmatrix} = \begin{pmatrix} -\beta & -\beta_3 \\ \alpha & \alpha_3 \end{pmatrix} \begin{pmatrix} [\mu] \\ [\lambda] \end{pmatrix}.$$

it follows that

Twisting *n* times along *c* is equivalent to performing -1/n-surgery on *c*. A preferred meridian-longitude pair  $(\mu_n, \lambda_n)$  of  $N(c_n) \subset S^3$  satisfies  $[\mu_n] = [\mu] - n[\lambda]$  and  $[\lambda_n] = [\lambda]$  in  $H_1(\partial N(c_n)) = H_1(\partial N(c))$ .

We thus have

$$\begin{pmatrix} [s]\\[t] \end{pmatrix} = \begin{pmatrix} -\beta & -n\beta - \beta_3\\ \alpha & n\alpha + \alpha_3 \end{pmatrix} \begin{pmatrix} [\mu_n]\\[\lambda_n] \end{pmatrix},$$

and it follows that

$$\begin{pmatrix} [\mu_n] \\ [\lambda_n] \end{pmatrix} = \begin{pmatrix} n\alpha + \alpha_3 & n\beta + \beta_3 \\ -\alpha & -\beta \end{pmatrix} \begin{pmatrix} [s] \\ [t] \end{pmatrix}$$

Hence the Seifert invariant of the fiber  $c_n$  in  $K_n(m_n)$  is  $(n\beta + \beta_3)/(n\alpha + \alpha_3)$ , and  $K_n(m_n) = S^2(b, r_1, r_2, (n\beta + \beta_3)/(n\alpha + \alpha_3))$ .

**Remark 3.2** Since  $(n\beta + \beta_3)/(n\alpha + \alpha_3)$  converges to  $\beta/\alpha$  when |n| tends to  $\infty$ ,  $M_c(K,m)$  can be regarded as the limit of  $K_n(m_n)$  when |n| tends to  $\infty$ .

We divide into three cases:  $r_c = -1/0$ ,  $r_c \in \mathbb{Z}$  or  $r_c \in \mathbb{Q} \setminus \mathbb{Z}$ . Except for the last case, we do not need the assumption that  $M_c(K, m)$  is an L-space.

**Case 1** Suppose that  $r_c = \beta/\alpha = -1/0$ . Since  $\alpha_3 > 0$  and  $\alpha\beta_3 - \beta\alpha_3 = 1$ , we have  $\alpha_3 = 1$  and  $\beta = -1$ . Hence  $K_n(m_n)$  is a Seifert fiber space:

$$K_n(m_n) = S^2(b, r_1, r_2, (n\beta + \beta_3)/(n\alpha + \alpha_3)) = S^2(b, r_1, r_2, -n + \beta_3),$$

which is a lens space for any  $n \in \mathbb{Z}$ . Following Claim 2.5,  $S^2(b, r_1, r_2, -n + \beta_3)$  is an L-space if  $n \neq b + \beta_3 + r_1 + r_2$ . Thus  $(K_n, m_n)$  is an L-space surgery for all  $n \in \mathbb{Z}$  except possibly  $n = b + \beta_3 + r_1 + r_2$ .

Next suppose that  $r_c = \beta/\alpha \neq -1/0$ . Then the Seifert invariant of  $c_n$  is

$$f(n) = \frac{n\beta + \beta_3}{n\alpha + \alpha_3} = \frac{\beta}{\alpha} + \frac{\beta_3 - (\beta/\alpha)\alpha_3}{n\alpha + \alpha_3} = r_c + \frac{\beta_3 - r_c\alpha_3}{n\alpha + \alpha_3}$$

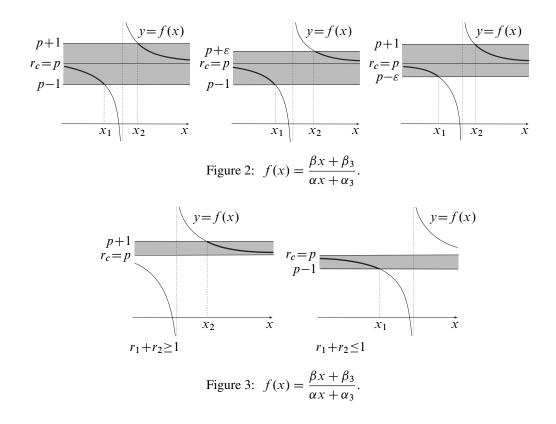
Since  $\alpha\beta_3 - \beta\alpha_3 = \alpha(\beta_3 - r_c\alpha_3) = 1$ , then  $\alpha$  and  $\beta_3 - r_c\alpha_3$  have the same sign.

**Case 2** Now suppose that  $r_c \in \mathbb{Z}$ . Let  $p = r_c$ . Then we can write  $S^2(b, r_1, r_2, r_c) = S^2(b + p, r_1, r_2)$ .

(i) If we have  $b \leq -p-3$  or  $b \geq -p+1$ , then Proposition 2.4(1) shows that  $S^2(b, r_1, r_2, f(n)) = S^2(b+p, r_1, r_2, f(n)-p)$  is an L-space if  $-1 \leq f(n) - p \leq 1$ , ie  $p-1 \leq f(n) \leq p+1$ . Hence  $(K_n, m_n)$  is an L-space for all n but  $n \in (x_1, x_2)$ , where  $f(x_1) = p-1$  and  $f(x_2) = p+1$ ; see Figure 2(left).

(ii) If b = -p-2, then it follows from Proposition 2.4(2) that there is an  $\varepsilon > 0$  such that  $S^2(b, r_1, r_2, f(n)) = S^2(b+p, r_1, r_2, f(n)-p) = S^2(-2, r_1, r_2, f(n)-p)$  is an L-space if  $-1 \le f(n) - p \le \varepsilon$ . Hence  $(K_n, m_n)$  is an L-space except for only finitely many  $n \in (x_1, x_2)$ , where  $f(x_1) = p - 1$ ,  $f(x_2) = p + \varepsilon$ ; see Figure 2(middle).

(iii) Suppose that b = -p-1. If  $r_1 + r_2 \ge 1$  (resp.  $r_1 + r_2 \le 1$ ), then Proposition 2.4(3) shows that  $S^2(b, r_1, r_2, f(n)) = S^2(b+p, r_1, r_2, f(n)-p) = S^2(-1, r_1, r_2, f(n)-p)$  is an L-space if  $0 < f(n) - p \le 1$  (resp.  $-1 \le f(n) - p < 0$ ). Hence  $(K_n, m_n)$  is



an L-space for any integer  $n \ge x_2$ , where  $f(x_2) = p + 1$  (resp.  $n \le x_1$ , where  $f(x_1) = p - 1$ ), see Figure 3.

(iv) Suppose that b = -p. Then Proposition 2.4(4) shows that

$$S^{2}(b, r_{1}, r_{2}, f(n)) = S^{2}(b + p, r_{1}, r_{2}, f(n) - p) = S^{2}(r_{1}, r_{2}, f(n) - p)$$

is an L-space if  $-\varepsilon \le f(n) - p \le 1$ , ie  $p - \varepsilon \le f(n) \le p + 1$ , for some  $\varepsilon > 0$ . Hence  $(K_n, m_n)$  is an L-space for all *n* but  $n \in (x_1, x_2)$ , where  $f(x_1) = p - \varepsilon$  and  $f(x_2) = p + 1$ ; see Figure 2(right).

**Case 3** Finally, suppose that  $r_c \in \mathbb{Q} \setminus \mathbb{Z}$  and that  $M_c(K,m) = S^2(b, r_1, r_2, r_c)$  is an L-space. We assume  $p < r_c < p + 1$  for some integer p. Then we have  $S^2(b, r_1, r_2, r_c) = S^2(b + p, r_1, r_2, r_c - p)$ , where  $0 < r_c - p < 1$ .

(i) If  $b \le -p - 3$  or  $b \ge -p + 1$ , then by Proposition 2.4(1),  $S^2(b, r_1, r_2, f(n)) = S^2(b+p, r_1, r_2, f(n)-p)$  is an L-space if  $-1 \le f(n) - p \le 1$ , ie  $p-1 \le f(n) \le p+1$ . Hence  $(K_n, m_n)$  is an L-space for all *n* but  $n \in (x_1, x_2)$ , where  $f(x_1) = p - 1$  and  $f(x_2) = p + 1$ ; see Figure 4(left).

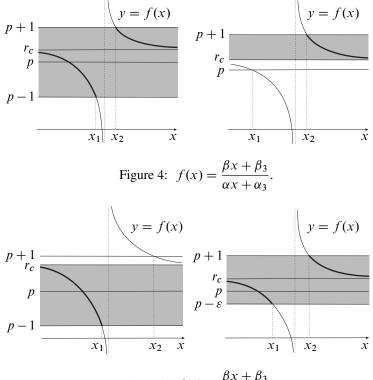


Figure 5:  $f(x) = \frac{\beta x + \beta_3}{\alpha x + \alpha_3}$ .

(ii) Suppose that b = -p - 1. Since

$$S^{2}(b, r_{1}, r_{2}, r_{c}) = S^{2}(b + p, r_{1}, r_{2}, r_{c} - p) = S^{2}(-1, r_{1}, r_{2}, r_{c} - p)$$

is an L-space, by Proposition 2.6(1),  $S^2(b, r_1, r_2, f(n)) = S^2(-1, r_1, r_2, f(n) - p)$ is an L-space if  $r_c - p \le f(n) - p \le 1$ , ie  $r_c \le f(n) \le p + 1$ . Hence  $(K_n, m_n)$  is an L-space for any  $n \ge x_2$ , where  $f(x_2) = p + 1$ ; see Figure 4(right). (Furthermore, if  $r_1 + r_2 \ge 1$ , then by Proposition 2.4(3i),  $S^2(-1, r_1, r_2, f(n) - p)$  is an L-space provided  $0 < f(n) - p \le 1$ , ie  $p < f(n) \le p + 1$ . Hence  $(K_n, m_n)$  is an L-space surgery for any integer n except for  $n \in [x_1, x_2)$ , where  $f(x_1) = p$  and  $f(x_2) = p + 1$ .)

(iii) Suppose that b = -p - 2. Since

$$S^{2}(b, r_{1}, r_{2}, r_{c}) = S^{2}(b + p, r_{1}, r_{2}, r_{c} - p) = S^{2}(-2, r_{1}, r_{2}, r_{c} - p)$$

is an L-space, by Proposition 2.6(2),  $S^2(b, r_1, r_2, f(n)) = S^2(-2, r_1, r_2, f(n) - p)$ is an L-space if  $-1 \le f(n) - p \le r_c - p$ , ie  $p - 1 \le f(n) \le r_c$ . Hence  $(K_n, m_n)$ is an L-space for  $n \le x_1$ , where  $f(x_1) = p - 1$ ; see Figure 5(left). (Furthermore, if  $r_1 + r_2 \le 1$ , then by Proposition 2.4(2),  $S^2(-2, r_1, r_2, f(n) - p)$  is an L-space

provided  $-1 \le f(n) - p < 1$ , ie  $p - 1 \le f(n) . Hence <math>(K_n, m_n)$  is an L-space surgery for any integer *n* except for  $n \in (x_1, x_2]$ , where  $f(x_1) = p - 1$  and  $f(x_2) = p + 1$ .)

(iv) If b = -p, then it follows from Proposition 2.4(4) that

$$S^{2}(b, r_{1}, r_{2}, f(n)) = S^{2}(b + p, r_{1}, r_{2}, f(n) - p) = S^{2}(r_{1}, r_{2}, f(n) - p)$$

is an L-space if  $-\varepsilon \le f(n) - p \le 1$ , ie  $p - \varepsilon \le f(n) \le p + 1$ , for some  $\varepsilon > 0$ . Hence  $(K_n, m_n)$  is an L-space for all *n* but  $n \in (x_1, x_2)$ , where  $f(x_1) = p - \varepsilon$  and  $f(x_2) = p + 1$ ; see Figure 5(right).

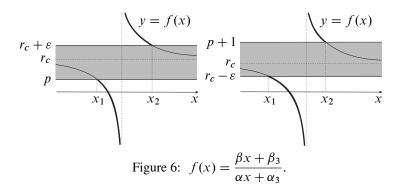
Now let us prove the "only if" part of Theorem 1.4. We begin by observing:

**Lemma 3.3**  $M_c(K,m)$  cannot be  $S^2 \times S^1$ ; in particular, if  $M_c(K,m)$  is a lens space, then it is an L-space.

**Proof** Let w be the linking number between c and K. Then  $H_1(M_c(K,m)) =$  $\langle \mu_c, \mu_K \mid w\mu_c + m\mu_K = 0, w\mu_K = 0 \rangle$ , where  $\mu_c$  is a meridian of c and  $\mu_K$  is that of K. If  $M_c(K,m) \cong S^2 \times S^1$ , then  $H_1(M_c(K,m)) \cong \mathbb{Z}$ , and we have w = 0. Let  $V = S^3 - \operatorname{int} N(c)$ , which is a solid torus containing K in its interior; K is not contained in any 3-ball in V. Since w = 0, we know K is null-homologous in V. Furthermore, since c is a seiferter for (K, m), the result V(K; m) of V after *m*-surgery on K has a (possibly degenerate) Seifert fibration. Then [13, Lemma 3.22] shows that the Seifert fibration of V(K;m) is nondegenerate and neither a meridian nor a longitude of V is a fiber in V(K;m), and the base surface of V(K;m) is not a Möbius band. Since K is null-homologous in V, we know V(K;m) is not a solid torus [18, Theorem 1.1], and hence V(K;m) has a Seifert fibration over the disk with at least two exceptional fibers. Then  $M_c(K,m) = V(K;m) \cup N(c)$  is obtained by attaching N(c) to V(K;m) so that the meridian of N(c) is identified with a meridian of V. Since a regular fiber on  $\partial V(K;m)$  intersects a meridian of V (ie a meridian of N(c)) more than once,  $M_c(K,m)$  is a Seifert fiber space over  $S^2$  with at least three exceptional fibers. Therefore  $M_c(K,m)$  cannot be  $S^2 \times S^1$ . Thus the lemma is proved. 

Suppose first that K(m) is a lens space and c is a core of a genus-one Heegaard splitting of K(m). Then V(K;m) = K(m)-int N(c) is a solid torus, and  $M_c(K,m) = V(K;m) \cup N(c)$  is obviously a lens space. By Lemma 3.3,  $M_c(K,m)$  is an L-space.

In the remaining case, as in the proof of the "if" part of Theorem 1.4,  $M_c(K, m)$  has the form  $S^2(b, r_1, r_2, r_c)$ , where  $0 < r_1 \le r_2 < 1$ .



**Claim 3.4** If  $r_c = -1/0$  or  $r_c \in \mathbb{Z}$ , then  $M_c(K, m)$  is an L-space.

**Proof of claim** If  $r_c = -1/0$ , then  $M_c(K,m) = S^2(b, r_1, r_2, -1/0)$  is a connected sum of two lens spaces. Since a connected sum of L-spaces is also an L-space [50, page 221] (see also [46, Proposition 6.1]),  $M_c(K,m)$  is an L-space. If  $r_c \in \mathbb{Z}$ , then  $M_c(K,m)$  is a lens space; hence it is an L-space by Lemma 3.3.

Now suppose that  $M_c(K,m)$  is not an L-space. By Claim 3.4,  $r_c \in \mathbb{Q} \setminus \mathbb{Z}$ . We write  $r_c = r'_c + p$  so that  $0 < r'_c < 1$  and  $p \in \mathbb{Z}$ . Then  $M_c(K,m) = S^2(b, r_1, r_2, r_c) = S^2(b + p, r_1, r_2, r'_c)$ . Since  $M_c(K,m)$  is not an L-space, b + p = -1 or -2 by Theorem 2.1. It follows from Proposition 2.7 that there is an  $\varepsilon > 0$  such that

$$K_n(m_n) = S^2(b, r_1, r_2, f(n))$$
  
=  $S^2(b + p, r_1, r_2, f(n) - p)$   
=  $S^2(-1, r_1, r_2, f(n) - p)$  (resp.  $S^2(-2, r_1, r_2, f(n) - p)$ )

is not an L-space if  $0 < f(n) - p < r'_c + \varepsilon$ , ie  $p < f(n) < r_c + \varepsilon$  (resp.  $r'_c - \varepsilon < f(n) - p < 1$ , ie  $r_c - \varepsilon < f(n) < p + 1$ ). Hence there are at most finitely many integers n such that  $K_n(m_n)$  is an L-space, ie  $(K_n, m_n)$  is an L-space surgery. See Figure 6.

This completes the proof of Theorem 1.4.

**Proof of Theorem 1.5** Note that either  $K_n(m_n)$  is a Seifert fiber space which admits a Seifert fibration over  $\mathbb{R}P^2$ , or  $K_n(m_n)$  has  $S^2 \times S^1$  as a connected summand, depending on whether *c* becomes a nondegenerate fiber or a degenerate fiber in  $K_n(m_n)$ , respectively. In the former case, Boyer, Gordon and Watson [8, Proposition 5] prove that  $K_n(m_n)$  is an L-space. In the latter case,  $(K_n, m_n) = (O, 0)$  (see [17, Theorem 8.1]), which is not an L-space surgery, but there is at most one such integer *n*; see [13, Theorem 5.1]. This completes the proof.

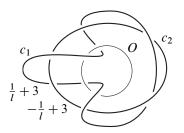


Figure 7:  $c_1$  and  $c_2$  become fibers in a Seifert fibration of O(0).

**Example 3.5** Let us consider the three-component link  $O \cup c_1 \cup c_2$  depicted in Figure 7. It is shown in [13, Lemma 9.26] that  $c_1$  and  $c_2$  become fibers in a Seifert fibration of O(0). Let A be an annulus in  $S^3$  cobounded by  $c_1$  and  $c_2$ . Performing a (-l)-annulus twist along A (equivalently performing (1/l+3)- and (-1/l+3)-surgeries on  $c_1$  and  $c_2$ , respectively), we obtain a knot  $K_l$  given by Eudave-Muñoz [15]. Then, as he shows in that paper,  $(K_l, 12l^2 - 4l)$  is a Seifert surgery such that  $K_l(12l^2 - 4l)$  is a Seifert fiber space over  $\mathbb{R}P^2$  with at most two exceptional fibers  $c_1$  and  $c_2$  of indices |l| and |-3l+1| for  $l \neq 0$ . Here we use the same symbol  $c_i$  to denote the image of  $c_i$  after the (-l)-annulus twist along A. Let c be one of  $c_1$  or  $c_2$ . Then c is a seifert for  $(K_l, 12l^2 - 4l)$ . Theorem 1.5 shows that a knot  $K_{l,n}$  obtained from  $K_l$  by an n-twist along c is an L-space knot for all integers n.

# 4 L-space surgeries and twisting along seiferters, II: Degenerate case

In this section we will prove Theorem 1.6.

**Proof of Theorem 1.6** Since K(m) has a degenerate Seifert fibration, it is a lens space or a connected sum of two lens spaces; see [13, Proposition 2.8].

(1) Suppose that K(m) is a lens space with degenerate Seifert fibration. Then there are at most two degenerate fibers in K(m) [13, Proposition 2.8]. Assume that there are exactly two degenerate fibers. Then (K,m) = (O,0), and the exterior of these two degenerate fibers is  $S^1 \times S^1 \times [0,1]$ . If *c* is a nondegenerate fiber, then  $K_n(m_n)$  has  $S^2 \times S^1$  as a connected summand for all integers *n*, and thus  $(K_n, m_n) = (O,0)$  for all integers *n* [17, Theorem 8.1]. This contradicts [13, Theorem 5.1]. If *c* is one of the degenerate fibers, then  $(K_n, m_n)$  is a lens space, which is  $S^2 \times S^1$  only when  $(K_n, m_n) = (O, 0) = (K_0, m_0)$ , ie n = 0, [13, Theorem 5.1]. Thus  $(K_n, m_n)$  is an L-space surgery except when n = 0.

Suppose that K(m) has exactly one degenerate fiber  $t_d$ . There are two cases to consider:  $K(m) - \operatorname{int} N(t_d)$  is a fibered solid torus, or it has a nondegenerate Seifert fibration over the Möbius band with no exceptional fiber [13, Proposition 2.8]. In either case, a meridian of  $t_d$  is identified with a regular fiber on  $\partial(K(m) - \operatorname{int} N(t_d))$ .

Assume that K(m)-int  $N(t_d)$  is a fibered solid torus. Suppose that c is a nondegenerate fiber. If c is a core of the solid torus, then K(m)-int N(c) is a solid torus and  $K_n(m_n)$ is a lens space. Hence  $(K_n, m_n)$  is an L-space surgery except when  $K_n(m_n) \cong S^2 \times S^1$ , ie when  $(K_n, m_n) = (O, 0)$ . By [13, Theorem 5.1], there is at most one such integer n. If c is not a core in the fibered solid torus K(m) - int  $N(t_d)$ , then  $K_n(m_n)$  is a lens space  $(\not\cong S^2 \times S^1)$ , a connected sum of two lens spaces, or a connected sum of  $S^2 \times S^1$ and a lens space  $(\not\cong S^3, S^2 \times S^1)$ . The last case cannot happen for homological reasons, and hence  $(K_n, m_n)$  is an L-space surgery. If c is the degenerate fiber  $t_d$ , then  $K_n(m_n)$ is a lens space, and except for possibly an integer  $n_0$  with  $(K_{n_0}, m_{n_0}) = (O, 0)$ , we have that  $(K_n, m_n)$  is an L-space surgery.

Next consider the case where  $K(m) - \operatorname{int} N(t_d)$  has a nondegenerate Seifert fibration over the Möbius band. Then (K, m) = (O, 0); see [13, Proposition 2.8]. If c is a nondegenerate fiber,  $K_n(m_n)$  has  $S^2 \times S^1$  as a connected summand for all integers n. This implies that  $(K_n, m_n) = (O, 0)$  for all n by [17, Theorem 8.1], contradicting [13, Theorem 5.1]. Thus c is a degenerate fiber, and  $K_n(m_n)$   $(n \neq 0)$  is a Seifert fiber space over  $\mathbb{R}P^2$  with at most one exceptional fiber, which has finite fundamental group. Hence if n is any nonzero integer, then  $(K_n, m_n)$  is an L-space [47, Proposition 2.3]. It follows that if c is a fiber in a degenerate Seifert fibration of a lens space K(m), then (K, m) is an L-space surgery except for at most one integer n.

(2) Next suppose that K(m) is a connected sum of two lens spaces. It follows from [13, Proposition 2.8] that K(m) has exactly one degenerate fiber  $t_d$ , and  $K(m) - \text{int } N(t_d)$  is a Seifert fiber space over the disk with two exceptional fibers. Note that a meridian of  $t_d$  is identified with a regular fiber on  $\partial(K(m) - \text{int } N(t_d))$ . We divide into two cases: c is a nondegenerate fiber or a degenerate fiber.

(i) First assume that *c* is a nondegenerate fiber. By [13, Corollary 3.21 (1)], *c* is not a regular fiber. Hence *c* is an exceptional fiber, and  $K_n(m_n)$  is a lens space  $(\not\cong S^2 \times S^1)$ , a connected sum of two lens spaces, or a connected sum of  $S^2 \times S^1$  and a lens space  $(\not\cong S^3, S^2 \times S^1)$ . The last case cannot happen for homological reasons. Hence  $(K_n, m_n)$  is an L-space surgery for any integer *n*.

(ii) Now assume that c is a degenerate fiber, ie  $c = t_d$ . As in the proof of Theorem 1.4, let E be K(m) - int N(c) with a fibered tubular neighborhood of the union of two exceptional fibers  $t_1$  and  $t_2$  and one regular fiber  $t_0$  removed. Then E is a product circle bundle over the fourth-punctured sphere. Take a cross section of E such that K(m)

has a Seifert invariant  $S^2(b, r_1, r_2, 1/0)$ , where the Seifert invariant of  $t_0$  is  $b \in \mathbb{Z}$ , that of  $t_i$  is  $0 < r_i < 1$  for i = 1, 2, and that of c is 1/0. We may assume that  $r_1 \le r_2$ . Let sbe the boundary curve on  $\partial N(c)$  of the cross section so that  $[s] \cdot [t] = 1$  for a regular fiber  $t \subset \partial N(c)$ . Then  $[\mu] = [t] \in H_1(\partial N(c))$  and  $[\lambda] = -[s] - \beta[t] \in H_1(\partial N(c))$  for some integer  $\beta$ , ie we have

$$\begin{pmatrix} [\mu] \\ [\lambda] \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ -1 & -\beta \end{pmatrix} \begin{pmatrix} [s] \\ [t] \end{pmatrix}.$$

Let  $c_n$  be the image of c after an n-twist along c. Then the argument in the proof of Theorem 1.4 shows that a preferred meridian-longitude pair  $(\mu_n, \lambda_n)$  of  $\partial N(c_n)$  has the expression

$$\begin{pmatrix} [\mu_n] \\ [\lambda_n] \end{pmatrix} = \begin{pmatrix} n & n\beta + 1 \\ -1 & -\beta \end{pmatrix} \begin{pmatrix} [s] \\ [t] \end{pmatrix}.$$

Thus  $K_n(m_n) = S^2(b, r_1, r_2, (n\beta + 1)/n) = S^2(b + \beta, r_1, r_2, (n\beta + 1)/n - \beta) = S^2(b + \beta, r_1, r_2, 1/n)$  for a nonzero integer *n*.

**Claim 4.1**  $K_n(m_n)$  is an L-space for  $n = 0, \pm 1$ .

**Proof of claim** Recall that  $K_0(m_0) = K(m)$  is a connected sum of two lens spaces  $L_1$  and  $L_2$  such that  $H_1(L_1) \cong \mathbb{Z}_{\alpha_1}$  and  $H_1(L_2) \cong \mathbb{Z}_{\alpha_2}$ , where  $r_i = \beta_i / \alpha_i$ . Thus  $K_0(m_0)$  is an L-space. Since  $K_{-1}(m_{-1})$  and  $K_1(m_1)$  are lens spaces, it remains to show that they are not  $S^2 \times S^1$ . Assume for a contradiction that  $K_1(m_1)$  or  $K_{-1}(m_{-1})$  is  $S^2 \times S^1$ . Then Claim 2.5 shows that  $r_1 + r_2 = 1$ ; hence  $r_2 = \beta_2 / \alpha_2 = (\alpha_1 - \beta_1) / \alpha_1$ . Thus  $\alpha_1 = \alpha_2$ , and  $H_1(K_0(m_0)) \cong \mathbb{Z}_{\alpha_1} \oplus \mathbb{Z}_{\alpha_2}$  is not cyclic, a contradiction. Hence neither  $K_1(m_1)$  nor  $K_{-1}(m_{-1})$  is  $S^2 \times S^1$  and they are L-spaces.

(1) If  $b + \beta \le -3$  or  $b + \beta \ge 1$ , then Proposition 2.4(1) shows that  $K_n(m_n) = S^2(b + \beta, r_1, r_2, 1/n)$  is an L-space if  $-1 \le 1/n \le 1$ , ie  $n \le -1$  or  $n \ge 1$ . See Figure 8(left). Since  $K_0(m_0)$  is also an L-space (Claim 4.1),  $K_n(m_n)$  is an L-space for any integer n.

(2) If  $b + \beta = -2$ , Proposition 2.4(2) shows that there is an  $\varepsilon > 0$  such that  $K_n(m_n) = S^2(b + \beta, r_1, r_2, 1/n)$  is an L-space if  $-1 \le 1/n \le \varepsilon$ . Hence  $K_n(m_n)$  is an L-space if  $n \le -1$  or  $n \ge 1/\varepsilon$ . See Figure 8(middle). This, together with Claim 4.1, shows that  $K_n(m_n)$  is an L-space if  $n \le 1$  or  $n \ge 1/\varepsilon$ .

(3) Suppose that  $b + \beta = -1$ . Then Proposition 2.4(3) shows that if  $r_1 + r_2 \ge 1$ (resp.  $r_1 + r_2 \le 1$ ),  $K_n(m_n) = S^2(b + \beta, r_1, r_2, 1/n)$  is an L-space for any integer *n* satisfying  $0 < 1/n \le 1$ , ie  $n \ge 1$  (resp.  $-1 \le 1/n < 0$ , ie  $n \le -1$ ). See Figure 8(left).

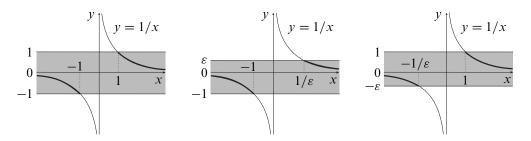


Figure 8: Left:  $-1 \le 1/n \le 1$  if  $n \le -1$  or  $n \ge 1$ . Middle:  $-1 \le 1/n \le \varepsilon$ if  $n \le -1$  or  $n \ge 1/\varepsilon$ . Right:  $-\varepsilon \le 1/n \le 1$  if  $n \le -1/\varepsilon$  or  $n \ge 1$ .

Combining this with Claim 4.1, we see that  $K_n(m_n)$  is an L-space for any  $n \ge -1$  (resp.  $n \le 1$ ).

(4) If  $b + \beta = 0$ , then Proposition 2.4(4) shows that there is an  $\varepsilon > 0$  such that  $K_n(m_n) = S^2(b + \beta, r_1, r_2, 1/n)$  is an L-space if  $-\varepsilon \le 1/n \le 1$ . Hence  $K_n(m_n)$  is an L-space if  $n \ge 1$  or  $n \le -1/\varepsilon$ . See Figure 8(right). This, together with Claim 4.1, shows that  $K_n(m_n)$  is an L-space if  $n \ge -1$  or  $n \le -1/\varepsilon$ .

This completes the proof of Theorem 1.6.

As shown by Greene in [23, Theorem 1.5], if K(m) is a connected sum of lens spaces, then K is a torus knot or a cable of a torus knot. More precisely,  $(K, m) = (T_{p,q}, pq)$ or  $(C_{p,q}(T_{r,s}), pq)$ , where  $p = qrs \pm 1$ . Note that  $T_{p,q}(pq) = L(p,q) \# L(q, p)$  and  $C_{p,q}(T_{r,s})(pq) = L(p,qs^2) \# L(q,\pm 1)$ .

Let us continue to prove Theorem 1.7, which is a refinement of Theorem 1.6(2).

**Proof of Theorem 1.7** Henceforth, (K, m) is either  $(T_{p,q}, pq)$  or  $(C_{p,q}(T_{r,s}), pq)$ , where  $p, q \ge 2$  and  $p = qrs \pm 1$ . If *c* becomes a nondegenerate fiber in K(m), then as shown in the proof of Theorem 1.6,  $K_n$  is an L-space knot for any integer *n*. So we assume that *c* becomes a degenerate fiber in K(m). Recall from [13, Theorem 3.19 (3)] that the linking number *l* between *c* and *K* is not zero. Recall also that  $K_n(m_n)$  is expressed as  $S^2(b + \beta, r_1, r_2, 1/n) = S^2(b + \beta, \beta_1/\alpha_1, \beta_2/\alpha_2, 1/n)$ , where  $\alpha_i \ge 2$ and  $0 < r_i = \beta_i/\alpha_i < 1$ . See the proof of Theorem 1.6. Note that  $\{\alpha_1, \alpha_2\} = \{p, q\}$ , and  $\alpha_1\alpha_2 = pq \ge 6$ .

Claim 4.2  $b + \beta \neq -2$ .

**Proof of claim** Assume for a contradiction that  $b + \beta = -2$ . Then  $K_1(m_1) = S^2(-2, \beta_1/\alpha_1, \beta_2/\alpha_2, 1) = S^2(-1, \beta_1/\alpha_1, \beta_2/\alpha_2)$ . Therefore,  $|H_1(K_1(m_1))| = |-\alpha_1\alpha_2 + \alpha_1\beta_2 + \alpha_2\beta_1|$ , which equals  $pq + l^2 = \alpha_1\alpha_2 + l^2$ . Since  $\alpha_1\alpha_2 + l^2 > \alpha_1\alpha_2$ ,

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we have  $|-\alpha_1\alpha_2 + \alpha_1\beta_2 + \alpha_2\beta_1| > \alpha_1\alpha_2$ . This then implies  $\beta_1/\alpha_1 + \beta_2/\alpha_2 > 2$ or  $\beta_1/\alpha_1 + \beta_2/\alpha_2 < 0$ . Neither case can happen, because  $0 < \beta_i/\alpha_i < 1$ . Thus  $b + \beta \neq -2$ .

**Claim 4.3** If  $b + \beta = -1$ , then  $\beta_1/\alpha_1 + \beta_2/\alpha_2 > 1$ .

**Proof of claim** If  $b + \beta = -1$ , then we have  $K_1(m_1) = S^2(-1, \beta_1/\alpha_1, \beta_2/\alpha_2, 1) = S^2(\beta_1/\alpha_1, \beta_2/\alpha_2)$ . Thus  $|H_1(K_1(m_1))| = \alpha_1\beta_2 + \alpha_2\beta_1$ , which is equal to  $pq + l^2 = \alpha_1\alpha_2 + l^2$ . Since  $\alpha_1\alpha_2 + l^2 > \alpha_1\alpha_2$ , we have  $\alpha_1\beta_2 + \alpha_2\beta_1 > \alpha_1\alpha_2$ . This shows  $\beta_1/\alpha_1 + \beta_2/\alpha_2 > 1$ .

Claims 4.2 and 4.3, together with the argument in the proof of Theorem 1.6, prove that  $K_n$  is an L-space knot for any  $n \ge -1$ .

Now let us prove that  $K_n$  is an L-space knot for all integers n under the assumption that  $l^2 \ge 2pq$ .

Claim 4.4 If  $l^2 \ge 2pq$ , then  $b + \beta \ne -1$ .

**Proof of claim** Assume that  $l^2 \ge 2pq$ , and suppose that  $b + \beta = -1$  for a contradiction. Then  $K_{-1}(m_{-1}) = S^2(-1, \beta_1/\alpha_1, \beta_2/\alpha_2, -1) = S^2(-2, \beta_1/\alpha_1, \beta_2/\alpha_2)$ , and  $|H_1(K_{-1}(m_{-1}))| = |-2\alpha_1\alpha_2 + \alpha_1\beta_2 + \alpha_2\beta_1|$ , which equals  $|pq - l^2|$ . The assumption  $l^2 \ge 2pq = 2\alpha_1\alpha_2$  implies that  $|pq - l^2| = l^2 - pq = l^2 - \alpha_1\alpha_2 \ge \alpha_1\alpha_2$ . Hence  $|-2\alpha_1\alpha_2 + \alpha_1\beta_2 + \alpha_2\beta_1| = |pq - l^2| \ge \alpha_1\alpha_2$ . Thus we have  $\beta_1/\alpha_1 + \beta_2/\alpha_2 \ge 3$  or  $\beta_1/\alpha_1 + \beta_2/\alpha_2 \le 1$ . The former case cannot happen because  $0 < \beta_i/\alpha_i < 1$ , and the latter case contradicts Claim 4.3 which asserts that  $\beta_1/\alpha_1 + \beta_2/\alpha_2 > 1$ . Hence  $b + \beta \ne -1$ .

Claim 4.5 If  $l^2 \ge 2pq$ , then  $b + \beta \ne 0$ .

**Proof of claim** Suppose for a contradiction that  $b + \beta = 0$ . Then

$$K_{-1}(m_{-1}) = S^2(0, \beta_1/\alpha_1, \beta_2/\alpha_2, -1) = S^2(-1, \beta_1/\alpha_1, \beta_2/\alpha_2),$$

and thus  $|H_1(K_{-1}(m_{-1}))| = |-\alpha_1\alpha_2 + \alpha_1\beta_2 + \alpha_2\beta_1|$ , which equals  $|pq-l^2|$ . Since  $l^2 \ge 2pq = 2\alpha_1\alpha_2$ , we have  $|pq-l^2| = l^2 - pq = l^2 - \alpha_1\alpha_2 \ge \alpha_1\alpha_2$ . Therefore  $|-\alpha_1\alpha_2 + \alpha_1\beta_2 + \alpha_2\beta_1| = |pq-l^2| \ge \alpha_1\alpha_2$ . This then implies  $\beta_1/\alpha_1 + \beta_2/\alpha_2 \ge 2$  or  $\beta_1/\alpha_1 + \beta_2/\alpha_2 \le 0$ . Neither case can happen, because  $0 < \beta_i/\alpha_i < 1$ . Thus  $b + \beta \ne 0$ .

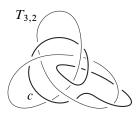


Figure 9: *c* is a seiferter for  $(T_{3,2}, 6)$ .

Under the assumption  $l^2 \ge 2pq$ , Claims 4.2, 4.4 and 4.5 imply that  $b + \beta \le -3$  or  $b + \beta \ge 1$ . Then the proof of Theorem 1.6 enables us to conclude that  $K_n$  is an L-space knot for all integers n. This completes the proof of Theorem 1.7.

**Example 4.6** Let K be a torus knot  $T_{3,2}$  and c an unknotted circle as depicted in Figure 9; the linking number between c and  $T_{3,2}$  is 5. Then c coincides with  $c_{3,2}^+$  in Section 5, and it is a seiferter for  $(T_{3,2}, 6)$ . Let  $K_n$  be a knot obtained from  $T_{3,2}$  by an *n*-twist along c. Since  $5^2 > 2 \cdot 3 \cdot 2 = 12$ , following Theorem 1.7  $K_n$  is an L-space knot for all integers *n*.

Example 4.7 below gives an example of a seiferter for (K, m), where K is a cable of a torus knot and K(m) is a connected sum of two lens spaces.

**Example 4.7** Let k be a Berge knot Spor a[p] (p > 1). Then  $k(22p^2 + 9p + 1)$  is a lens space, and [12, Proposition 8.1 and Table 9] show that  $(k, 22p^2 + 9p + 1)$  has a seiferter c such that the linking number between c and k is 4p + 1 and a (-1)-twist along c converts  $(k, 22p^2 + 9p + 1)$  into  $(C_{6p+1,p}(T_{3,2}), p(6p + 1))$ . Since p > 1,  $C_{6p+1,p}(T_{3,2})$  is a nontrivial cable of  $T_{3,2}$ . Thus c is a seiferter for  $(C_{6p+1,p}(T_{3,2}), p(6p + 1))$ . Let  $K_n$  be a knot obtained from  $C_{6p+1,p}(T_{3,2})$  by an *n*-twist along c so that  $K_1 = k$ . Since  $(4p + 1)^2 \ge 2(6p + 1)p$ , Theorem 1.7 shows that  $K_n$  is an L-space knot for all integers n.

Finally, we show that  $K_n$  is hyperbolic if |n| > 3. As shown in [12, Figure 41],  $K_n$  admits a Seifert surgery yielding a small Seifert space which is not a lens space, so we see that *c* becomes a degenerate fiber in  $C_{6p+1,p}(T_{3,2})(p(6p+1))$  [13, Lemma 5.6 (1)]. Hence [13, Corollary 3.21 (3)] shows that the link  $C_{6p+1,p}(T_{3,2}) \cup c$  is hyperbolic. The result now follows from [13, Proposition 5.11 (3)].

We close this section with the following observation, which shows the nonuniqueness of a degenerate Seifert fibration of a connected sum of two lens spaces.

Let c be a seiferter for  $(T_{p,q}, pq)$  which becomes a degenerate fiber in  $T_{p,q}(pq)$ . As the simplest example of such a seiferter c, take a meridian  $c_{\mu}$  of  $T_{p,q}$ . Then

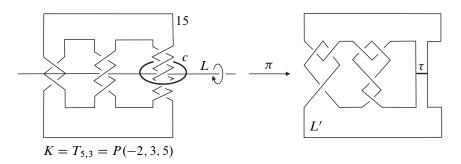


Figure 10:  $T_{5,3}(15)$  is the two-fold branched cover of  $S^3$  branched along L'.

 $c_{\mu}$  is isotopic to the core of the filled solid torus (ie the dual knot of  $T_{p,q}$ ) in  $T_{p,q}(pq)$ , which is a degenerate fiber. Hence  $c_{\mu}$  is a seiferter for  $(T_{p,q}, pq)$  which becomes a degenerate fiber in  $T_{p,q}(pq)$ , and  $T_{p,q} - \operatorname{int} N(c_{\mu})$  is homeomorphic to  $S^3 - \operatorname{int} N(T_{p,q})$ . However, in general,  $T_{p,q}(pq) - \operatorname{int} N(c)$  is not necessarily homeomorphic to  $S^3 - \operatorname{int} N(T_{p,q})$ .

**Example 4.8** Let us take an unknotted circle c as in Figure 10. Then c is a seiferter for  $(T_{5,3}, 15)$  which becomes a degenerate fiber in  $T_{5,3}(15)$ , but  $T_{5,3}(15) - \operatorname{int} N(c)$  is not homeomorphic to  $S^3 - \operatorname{int} N(T_{5,3})$ .

**Proof** As shown in Figure 10,  $T_{5,3}(15)$  is the two-fold branched cover of  $S^3$  branched along L', and c is the preimage of an arc  $\tau$ . Hence  $T_{5,3}(15) - \operatorname{int} N(c)$  is a Seifert fiber space  $D^2(2/3, -2/5)$ . Since  $|H_1(S^2(2/3, -2/5, x))| = |4 + 15x|$  cannot be 1 for any integer x, the Seifert fiber space  $T_{5,3}(15) - \operatorname{int} N(c)$  cannot be embedded in  $S^3$ , and hence it is not homeomorphic to  $S^3 - \operatorname{int} N(T_{5,3})$ . (Note that c coincides with  $c_{5,3}^-$  in Section 5.)

## 5 L-space twisted torus knots

Each torus knot obviously has an unknotted circle c which satisfies the desired property in Question 1.1.

**Example 5.1** Embed a torus knot  $T_{p,q}$  into a genus-one Heegaard surface of  $S^3$ . Then cores of the Heegaard splitting  $s_p$  and  $s_q$  are seiferters for  $(T_{p,q}, m)$  for all integers m. We call them *basic seiferters* for  $T_{p,q}$ ; see Figure 11. An n-twist along  $s_p$  (resp.  $s_q$ ) converts  $T_{p,q}$  into a torus knot  $T_{p+nq,q}$  (resp.  $T_{p,q+np}$ ), and hence an n-twist along a basic seiferter yields an L-space knot for all n.

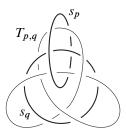


Figure 11:  $s_p$  and  $s_q$  are basic seiferters for  $(T_{p,q}, m)$ .

Twistings along a basic seiferter keep the property of being L-space knots, but produce only torus knots. In the following, we will give another circle c such that twistings of  $T_{p,q}$  along c produce an infinite family of hyperbolic L-space knots.

**Definition 5.2** [10] Let  $\Sigma$  denote a genus-one Heegaard surface of  $S^3$ . Let  $T_{p,q}$  $(p > q \ge 2)$  be a (p,q)-torus knot which lies on  $\Sigma$ . Choose an unknotted circle  $c \subset S^3 - T_{p,q}$  so that it bounds a disk D such that  $D \cap \Sigma$  is a single arc intersecting  $T_{p,q}$ in r  $(2 \le r \le p+q)$  points in the same direction. A *twisted torus knot* K(p,q;r,n) is a knot obtained from  $T_{p,q}$  by adding n full twists along c.

**Remark 5.3** Twisting  $T_{p,q}$  along the basic seiferter  $s_p$  (resp.  $s_q$ ) *n* times, we obtain the twisted torus knot K(p,q;q,n) (resp. K(p,q;p,n)), which is a torus knot  $T_{p+nq,q}$  (resp.  $T_{p,q+np}$ ), and hence an L-space knot.

In [53], Vafaee studied twisted torus knots from a viewpoint of knot Floer homology and showed that twisted torus knots  $K(p, kp \pm 1; r, n)$ , where  $p \ge 2, k \ge 1, n > 0$ and 0 < r < p, are L-space knots if and only if either r = p - 1 or  $r \in \{2, p - 2\}$ and n = 1. We will give yet more twisted torus knots which are L-space knots by combining seiferter technology and Theorem 1.7.

**Proof of Theorem 1.8** In the following, let  $\Sigma$  be a genus-one Heegaard surface of  $S^3$ , which bounds solid tori  $V_1$  and  $V_2$ .

K(p,q; p+q, n)  $(p > q \ge 2)$  Given any torus knot  $T_{p,q}$   $(p > q \ge 2)$  on  $\Sigma$ , let us take an unknotted circle  $c_{p,q}^+$  in  $S^3 - T_{p,q}$  as depicted in Figure 12(left); the linking number between  $c_{p,q}^+$  and  $T_{p,q}$  is p+q.

Let V be the solid torus  $S^3 - \operatorname{int} N(c_{p,q}^+)$ , which contains  $T_{p,q}$  in its interior. Then [36, Lemma 9.1] shows that  $V(K; pq) = T_{p,q}(pq) - \operatorname{int} N(c_{p,q}^+)$  is a Seifert fiber space over the disk with two exceptional fibers of indices p, q, and a meridian of  $N(c_{p,q}^+)$  coincides with a regular fiber on  $\partial V(K; pq)$ . Hence  $c_{p,q}^+$  is a degenerate fiber in  $T_{p,q}(pq)$ , and thus it is a seiferter for  $(T_{p,q}, pq)$ . Let D be a disk bounded by  $c_{p,q}^+$ .

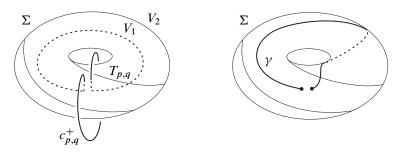


Figure 12:  $c_{p,q}^+$  is a seiferter for  $(T_{p,q}, pq)$ .

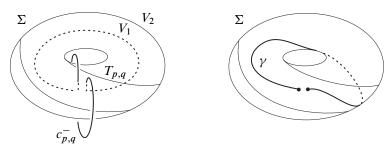


Figure 13:  $c_{p,q}^-$  is a seiferter for  $(T_{p,q}, pq)$ .

Since the arc  $c_{p,q}^+ \cap V_i$  is isotoped in  $V_i$  to an arc  $\gamma \subset \Sigma$  depicted in Figure 12(right) leaving its endpoints fixed, the disk D can be isotoped so that  $D \cap \Sigma = \gamma$ , which intersects  $T_{p,q}$  in p + q points in the same direction. Thus an *n*-twist along  $c_{p,q}^+$  converts  $T_{p,q}$  into the twisted torus knot K(p,q; p+q,n). Since  $c_{p,q}^+$  is a seiferter for  $(T_{p,q}, pq)$  and  $(p+q)^2 = p^2 + q^2 + 2pq > 2pq$ , we can apply Theorem 1.7 to conclude that T(p,q, p+q,n) is an L-space knot for all integers *n*.

We now show that T(p,q, p+q, n) is hyperbolic if |n| > 3. By a linking number consideration, we see that  $c_{p,q}^+$  is not a basic seiferter. Then [13, Corollary 3.21 (3)] (see also [36, Claim 9.2]) shows that  $T_{p,q} \cup c_{p,q}^+$  is a hyperbolic link. Thus [13, Proposition 5.11 (2)] shows that K(p,q; p+q, n) is a hyperbolic knot if |n| > 3.

K(p,q; p-q,n)  $(p > q \ge 2)$  Suppose that  $p-q \ne 1$ . Then let us take  $c_{p,q}^-$  as in Figure 13(left) instead of  $c_{p,q}^+$ ; the linking number between  $c_{p,q}^-$  and  $T_{p,q}$  is p-q. It follows from [13, Remark 4.7] that  $c_{p,q}^-$  is also a seiferter for  $(T_{p,q}, pq)$ , and the link  $T_{p,q} \cup c_{p,q}^-$  is hyperbolic. Note that if p-q=1, then  $c_{p,q}^-$  is a meridian of  $T_{p,q}$ . As above, we see that each arc  $c_{p,q}^- \cap V_i$  is isotoped in  $V_i$  to an arc  $\gamma \subset \Sigma$  depicted in Figure 13(right) leaving its endpoints fixed. So a disk D bounded by  $c_{p,q}^-$  can be isotoped so that  $D \cap \Sigma = \gamma$ , which intersects  $T_{p,q}$  in p-q points in the same direction. Thus an *n*-twist along  $c_{p,q}^-$  converts  $T_{p,q}$  into the twisted torus knot K(p,q; p-q, n). Since  $c_{p,q}^-$  is a seiferter for  $(T_{p,q}, pq)$ , Theorem 1.7 shows that T(p,q, p-q, n) is an

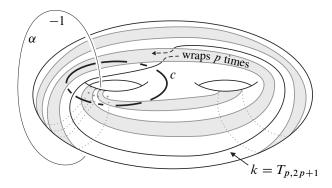


Figure 14: A surgery description of  $T_{3p+1,2p+1}$  and a seiferter c.

L-space knot for any  $n \ge -1$ . Following [13, Proposition 5.11 (2)], T(p, q, p-q, n) is a hyperbolic knot if |n| > 3.

K(3p+1, 2p+1; 4p+1, n) (p > 0) Let k be a torus knot  $T_{p,2p+1}$  on a genustwo Heegaard surface, with unknotted circles  $\alpha$  and c as shown in Figure 14. Applying a 1-twist along  $\alpha$ , we obtain a torus knot  $T_{3p+1,2p+1}$ . We continue to use the same symbol c to denote the image of c after a 1-twist along  $\alpha$ ; the linking number between c and  $T_{3p+1,2p+1}$  is 4p + 1. Note that a 1-twist along c converts  $T_{3p+1,2p+1}$  into a Berge knot Spor b[p] as shown in [12, Subsection 8.2]. Following [12, Lemma 8.4], c is a seiferter for a lens space surgery

$$(\text{Spor } \boldsymbol{b}[p], 22p^2 + 13p + 2) = (\text{Spor } \boldsymbol{b}[p], (3p+1)(2p+1) + (4p+1)^2).$$

Thus c is also a seiferter for  $(T_{3p+1,2p+1}, (3p+1)(2p+1))$ . Let D be a disk bounded by c. Then  $T_{3p+1,2p+1} \cup D$  can be isotoped so that  $T_{3p+1,2p+1}$  lies on  $\Sigma$ , and  $D \cap \Sigma$  consists of a single arc, which intersects  $T_{3p+1,2p+1}$  in 4p + 1 points in the same direction. Thus an *n*-twist along c converts  $T_{3p+1,2p+1}$  into a twisted torus knot K(3p+1,2p+1;4p+1,n). Since c is a seiferter for  $(T_{3p+1,2p+1}, (3p+1)(2p+1))$ and  $(4p+1)^2 > 2(3p+1)(2p+1)$ , Theorem 1.7 shows that K(3p+1,2p+1;4p+1,n)is an L-space knot for all integers n.

Let us observe that K(3p + 1, 2p + 1; 4p + 1, n) is a hyperbolic knot if |n| > 3. But [12, Figure 44] shows that an *n*-twist converts  $(T_{3p+1,2p+1}, (3p + 1)(2p + 1))$  into a Seifert surgery which is not a lens space surgery if  $|n| \ge 2$ . Hence *c* becomes a degenerate fiber in  $T_{3p+1,2p+1}((3p + 1)(2p + 1))$  by [13, Lemma 5.6 (1)], and [13, Corollary 3.21 (3)] shows that the link  $T_{3p+1,2p+1} \cup c$  is hyperbolic. The result now follows from [13, Proposition 5.11 (2)].

K(3p+2, 2p+1; 4p+3, n) (p > 0) As above, we follow the argument in [12, Subsection 8.3], but we need to take the mirror image at the end. Take a torus knot

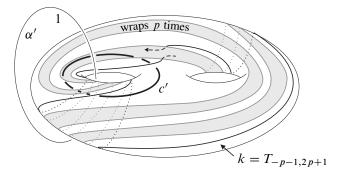


Figure 15: A surgery description of  $T_{-3p-2,2p+1}$  and a seiferter c'.

 $k = T_{-p-1,2p+1}$  on a genus-two Heegaard surface of  $S^3$  and unknotted circles  $\alpha'$ and c' as shown in Figure 15. Then a (-1)-twist along  $\alpha'$  converts  $T_{-p-1,2p+1}$ into  $T_{-3p-2,2p+1}$ . As above, we denote the image of c' after a (-1)-twist along  $\alpha'$ by the same symbol c'; the linking number between c' and  $T_{-3p-2,2p+1}$  is 4p + 3. Note that a (-1)-twist along c' converts  $T_{-3p-2,2p+1}$  into a Berge knot Spor c[p]as shown in [12, Subsection 8.3]. Then [12, Lemma 8.6] shows that c' is a seiferter for a lens space surgery

$$(\text{Spor } \boldsymbol{c}[p], -22p^2 - 31p - 11) = (\text{Spor } \boldsymbol{c}[p], (-3p - 2)(2p + 1) - (4p + 3)^2).$$

Thus c' is also a seiferter for  $(T_{-3p-2,2p+1}, (-3p-2)(2p+1))$ . Let D' be a disk bounded by c'. Then  $T_{-3p-2,2p+1} \cup D'$  can be isotoped so that  $T_{-3p-2,2p+1}$  lies on  $\Sigma$ , and  $D' \cap \Sigma$  consists of a single arc, which intersects  $T_{-3p-2,2p+1} \cup D'$ , we points in the same direction. Now, taking the mirror image of  $T_{-3p-2,2p+1} \cup D'$ , we obtain  $T_{3p+2,2p+1} \cup D$  with  $\partial D = c$ ; we see  $D \cap \Sigma$  consists of a single arc, and Dintersects  $T_{3p+2,2p+1} \cup I$  with  $\partial D = c$ ; we see  $D \cap \Sigma$  consists of a single arc, and Dintersects  $T_{3p+2,2p+1}$ , (3p+2)(2p+1)). Since  $(4p+3)^2 > 2(3p+2)(2p+1)$ , Theorem 1.7 shows that K(3p+2,2p+1;4p+3,n) is an L-space knot for all integers n.

Let us now show that K(3p+2, 2p+1; 4p+3, n) is hyperbolic if |n| > 3. Figure 47 in [12], together with [13, Lemma 5.6 (1)], shows that c' becomes a degenerate fiber in  $T_{-3p-2,2p+1}((-3p-2)(2p+1))$ , and so c becomes a degenerate fiber in  $T_{3p+2,2p+1}((3p+2)(2p+1))$ . Apply the same argument as above to obtain the desired result.

K(2p+3, 2p+1; 2p+2, n) (p > 0) We follow the argument in [12, Section 6]; as above; we will take the mirror image at the end. Take a torus knot  $k = T_{-3p-2,3}$  on a genus-two Heegaard surface of  $S^3$  and unknotted circles  $\alpha'$  and c' as in Figure 16(left). Then a (-2)-twist along  $\alpha'$  converts the torus knot  $T_{-3p-2,3}$  into a Berge knot VI[p]. Thus [12, Lemma 6.1] shows that c', the image of c' after the (-2)-twist along  $\alpha'$ , is a

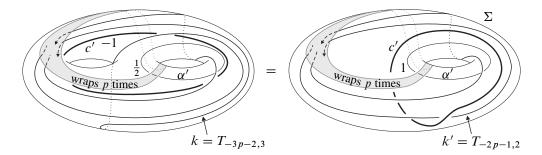


Figure 16: Surgery descriptions of  $T_{-2p-1,2p+3}$  and a seiferter c'.

seiferter for a lens space surgery (VI[p],  $-8p^2 - 16p - 7$ ); the linking number between c' and VI[p] is 2p + 2. We now show that a 1-twist along c' (after a (-2)-twist along  $\alpha'$ ) converts (VI[p],  $-8p^2 - 16p - 7$ ) into  $(T_{-2p-1,2p+3}, (-2p-1)(2p+3))$ . Note that c' remains a seiferter for  $(T_{-2p-1,2p+3}, (-2p-1)(2p+3))$ . Since the linking number between c' and VI[p] is 2p + 2, the surgery slope  $-8p^2 - 16p - 7$  becomes  $-8p^2 - 16p - 7 + (2p+2)^2 = (-2p-1)(2p+3)$ .

Let us observe that the knot obtained from VI[p] by a 1-twist along c', which has a surgery description given by Figure 16(left), is  $T_{-2p-1,2p+3}$ . The surgeries described in Figure 16(left) can be realized by the following two successive twistings: a 1twist along an annulus cobounded by c' and  $\alpha'$  (see [13, Definition 2.32]), and a (-1)-twist along  $\alpha'$ . The annulus twist converts  $k = T_{-3p-2,3}$  into  $k' = T_{-2p-1,2}$ as shown in Figure 16(right). Then a (-1)-twist along  $\alpha'$  changes  $k' = T_{-2p-1,2}$ into  $T_{-2p-1,2p+3}$ , which lies on the genus-one Heegaard surface  $\Sigma$ . Let D' be a disk bounded by c'. Then D' can be slightly isotoped so that  $D' \cap \Sigma$  consists of a single arc, which intersects  $T_{-2p-1,2p+3}$  in 2p + 2 points in the same direction; see Figure 16(right). Now taking the mirror image of  $T_{-2p-1,2p+3} \cup D'$ , we obtain  $T_{2p+1,2p+3} \cup D$  with  $\partial D = c$ , and  $D \cap \Sigma$  consists of a single arc, which intersects  $T_{2p+1,2p+3}$  in 2p + 2 points in the same direction. Then c is a seiferter for

$$(T_{2p+1,2p+3}, (2p+1)(2p+3)) = (T_{2p+3,2p+1}, (2p+3)(2p+1))$$

Theorem 1.7 shows that K(2p + 3, 2p + 1; 2p + 2, n) is an L-space knot for any integer  $n \ge -1$ . The hyperbolicity of knots K(2p + 3, 2p + 1; 2p + 2, n) for |n| > 3 follows from the same argument as above, in which we refer to [12, Figure 33] instead of [12, Figure 47].

**Proof of Corollary 1.9** Given any torus knot  $T_{p,q}$   $(p > q \ge 2)$ , let us take an unknotted circle  $c = c_{p,q}^+$  in  $S^3 - T_{p,q}$ ; see Figure 12(left). Then as shown in the

proof of Theorem 1.8, an *n*-twist along *c* converts  $T_{p,q}$  into the twisted torus knot K(p,q; p+q,n), which is an L-space knot for all integers *n* and hyperbolic if |n| > 3.

The last assertion of the corollary follows from Claim 5.4 below. Thus the unknotted circle c satisfies the required property.

#### **Claim 5.4** $\{K(p,q; p+q,n)\}_{|n|>3}$ is a set of mutually distinct hyperbolic knots.

**Proof** Recall that  $c_{p,q}^+$  is a seiferter for  $(T_{p,q}, pq)$  and the linking number between  $c_{p,q}^+$  and  $T_{p,q}$  is p+q. Thus an *n*-twist along  $c_{p,q}^+$  changes  $(T_{p,q}, pq)$  to a Seifert surgery  $(K(p,q; p+q,n), pq+n(p+q)^2)$ . Also,  $K(p,q; p+q,n)(pq+n(p+q)^2)$  is a Seifert fiber space over  $S^2$  with at most three exceptional fibers of indices p, q and |n|, see the proof of Theorem 1.8.

Assume that K(p,q; p+q, n) is isotopic to K(p,q; p+q, n') for some integers n and n' with |n|, |n'| > 3. Then  $pq + n(p+q)^2$ - and  $pq + n'(p+q)^2$ -surgeries on the hyperbolic knot K(p,q; p+q, n) yield Seifert fiber spaces. Hence,

$$|pq + n(p+q)^{2} - (pq + n'(p+q)^{2})| = |(n-n')(p+q)^{2}| \le 8,$$

by [32, Theorem 1.2]. Since  $p + q \ge 5$ , we have n = n'. This completes the proof. (In the above argument, we can apply [1, Theorem 8.1] which gives the bound 10 instead of 8.)

## 6 L-space twisted Berge knots

In this section we prove Theorem 1.11 using Theorem 1.7 and observations in [13; 12].

**Proof of Theorem 1.11** Berge [5] gave twelve infinite families of knots which admit lens space surgeries. These knots are referred to as *Berge knots* of types (I)–(XII) and are conjectured to comprise all knots with lens space surgeries. Recall that a Berge knot of type (I) is a torus knot and that of (II) is a cable of a torus knot, henceforth we consider Berge knots of types (III)–(XII).

**Berge knots of types (III)–(VI)** Suppose that *K* is a Berge knot of type (III), (IV), (V) or (VI). Then we have an unknotted solid torus *V* containing *K* in its interior such that V(K;m) is a solid torus [5; 12], and hence the core *c* of the solid torus  $W = S^3$ –int *V* is a seiferter for (K,m), and  $(K_n,m_n)$  is also a lens space. If  $K_n(m_n)$  is not an L-space, then it is  $S^2 \times S^1$ , and  $(K_n,m_n) = (O,0)$  by [17, Theorem 8.1]. Now let us exclude this possibility. First we note that  $V(K_n,m_n) \cong V(K;m)$  for all integers *n*, and  $H_1(V(K_n;m_n)) \cong \mathbb{Z} \oplus \mathbb{Z}_{(m_n,\omega)}$  [20, Lemma 3.3], where  $\omega$  is

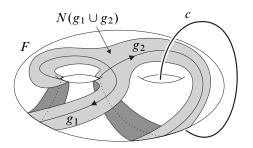


Figure 17: A regular neighborhood  $N(g_1 \cup g_2)$  of  $g_1 \cup g_2$  in F and an unknotted circle c.

the winding number of K in V, is the linking number between  $K_n$  and c. Since  $V(K_n; m_n) \cong S^1 \times D^2$ ,  $K_n$  is a 0 or 1-bridge braid in V [18]; hence  $\omega \ge 2$ . This then implies that  $m_n \ne 0$ . Hence  $(K_n, m_n)$  is an L-space knot for all integers n.

Berge knots of types (VII) and (VIII) Let  $g_1$  and  $g_2$  be simple closed curves embedded in a genus-two Heegaard surface F of  $S^3$  and c an unknot in  $S^3$  as in Figure 17.

Take a regular neighborhood  $N(g_1 \cup g_2)$  of  $g_1 \cup g_2$  in F, which is a once-punctured torus. Then the curve  $\partial N(g_1 \cup g_2)$  becomes a trefoil knot after a (-1)-twist along c, and the figure-eight knot after a 1-twist along c. Let k be a knot in  $N(g_1 \cup g_2)$ representing  $a[g_1]+b[g_2] \in H_1(N(g_1 \cup g_2))$ , where a and b are coprime integers. Then we see that k is a torus knot  $T_{a+b,-a}$ . The Berge knot K of type (VII) (resp. (VIII)) is obtained from  $T_{a+b,-a}$  by a (-1)-twist (resp. 1-twist) along c. As shown in [13, Lemma 4.6],  $T_{a+b,-a} \cup c$  is isotopic to  $T_{a+b,-a} \cup c_{a+b,-a}^+$ , and a Berge knot of type (VII) is K(a+b,-a;|b|,-1), while that of type (VIII) is K(a+b,-a;|b|,1); see the proof of Theorem 1.8. (Here we extend the notation K(p,q;r,n) for twisted torus knots in an obvious fashion to include the case where p, q are possibly negative integers.)

We assume  $|a|, |b| \ge 2$ , for otherwise  $K(a+b, -a, |b|, \pm 1)$  is a torus knot. Furthermore, if |a+b| = 1, then  $T_{a+b,-a} \cup c = T_{\pm 1,-a} \cup c$  is a torus link  $T_{2,2b}$  or  $T_{2,-2b}$ , and  $K(a+b, -a; |b|, \pm 1)$  is a torus knot, so we assume |a+b| > 1. Let  $K_n$  be a knot obtained from the Berge knot K by an n-twist along c, ie  $K_n = K(a+b, -a; |b|, n+\varepsilon)$ ;  $\varepsilon = -1$  if K is of type (VII) and  $\varepsilon = 1$  if K is of type (VIII). If a(a+b) < 0(ie -a(a+b) > 0), then by Theorem 1.8,  $K_n$  is an L-space knot for any integer n. If a(a+b) > 0 (ie -a(a+b) < 0), Theorem 1.8 shows that the mirror image  $K(a+b,a; |b|, -n-\varepsilon)$  of  $K_n$  is an L-space knot if  $-n-\varepsilon \ge -1$ , ie  $n \le 1-\varepsilon$ . Hence  $K_n$  is an L-space knot for any integer  $n \le 1-\varepsilon$ .

**Berge knots of types (IX)–(XII)** These knots are often called *sporadic* knots, and we denote them by Spor a[p], Spor b[p], Spor c[p] and Spor d[p], respectively, where

 $p \ge 0$ . It is easy to see that Spor a[0] and Spor b[0] are trivial knots, Spor  $c[0] = T_{-3,4}$ and Spor  $d[0] = T_{-5,3}$ . Thus we may assume p > 0. Furthermore, we observe that Spor a[1] is obtained from  $T_{3,2}$  by a 1-twist along the seiferter  $c = c_{3,2}^+$ ; see Figure 9. Hence, following Example 4.6, a knot  $K_n$  obtained from Spor a[1] by an n-twist along c is an L-space knot for any integer n. Thus we may assume p > 1 for Spor a[p].

As shown in Example 4.7, the lens space surgery (Spor a[p],  $22p^2 + 9p + 1$ ) is obtained from  $(C_{6p+1,p}(T_{3,2}), p(6p + 1))$  by a 1-twist along the seiferter c, and an n-twist along c converts  $C_{6p+1,p}(T_{3,2})$  into an L-space knot for all integers n. Hence an n-twist changes Spor a[p] to an L-space knot for all integers n.

The proof of Theorem 1.8 shows that the lens space surgery (Spor  $\boldsymbol{b}[p]$ ,  $22p^2 + 13p + 2$ ) is obtained from  $(T_{3p+1,2p+1}, (3p+1)(2p+1))$  by a 1-twist along the seiferter c; hence we obtain  $K_n = K(3p+1,2p+1;4p+1,n+1)$  by performing an n-twist on Spor  $\boldsymbol{b}[p]$  along c. By Theorem 1.8,  $K_n$  is an L-space knot for all integers n. Similarly, (Spor  $\boldsymbol{c}[p], -22p^2 - 31p - 11$ ) is obtained from  $(T_{-3p-2,2p+1}, (-3p-2)(2p+1))$  by a (-1)-twist along c', and  $K_n$ , obtained from Spor  $\boldsymbol{c}[p]$  by an n-twist along c', is K(-3p-2,2p+1;4p+4,n-1). Theorem 1.8 shows that its mirror image K(3p+2,2p+1;4p+4,-n+1) is an L-space knot for any integer n, and thus  $K_n$  is an L-space knot for all integers n.

Finally, let us consider a Berge knot Spor d[p]  $(p \ge 0)$ . By [12, Proposition 8.8], the lens space surgery (Spor  $d[p], -22p^2 - 35p - 14$ ) has a seiferter c' such that the linking number between c' and Spor d[p] is 4p + 3, and a 1-twist along c' converts (Spor  $d[p], -22p^2 - 35p - 14$ ) into  $(C_{-6p-5,p+1}(T_{-3,2}), (-6p - 5)(p + 1))$ , for which c' is a seiferter. Let  $K_n$  be a knot obtained from Spor d[p] by an n-twist along c'. Now we take the mirror image of  $C_{-6p-5,p+1}(T_{-3,2})$  by an (n-1)-twist along c'. Now we take the mirror image of  $C_{-6p-5,p+1}(T_{-3,2}) \cup c'$  to obtain a link  $C_{6p+5,p+1}(T_{3,2}) \cup c$ . Then c is a seiferter for  $(C_{6p+5,p+1}(T_{3,2}), (6p + 5)(p + 1))$ , and  $K_n$  is the mirror image of the knot obtained from  $C_{6p+5,p+1}(T_{3,2})$  by a (-n)-twist along c. Since  $(4p+3)^2 \ge 2(6p+5)(p+1)$ , Theorem 1.7 shows that  $K_n$  is an L-space knot for all integers n.

Let us show that  $K_n$  is a hyperbolic knot except for at most four integers n. Following [13, Theorem 5.10], it is sufficient to observe that  $K \cup c$  is a hyperbolic link. Suppose that K is a Berge knot of type (III), (IV), (V) or (VI). Then as mentioned above, V(K;m) is a solid torus, where  $V = S^3 - \operatorname{int} N(c)$ . By [6, Theorem 3.2],  $V - \operatorname{int} N(K)$  is atoroidal. If  $V - \operatorname{int} N(K)$  is not hyperbolic, then it is Seifert fibered and K is a torus knot; see [13, Lemma 3.3]. This contradicts the assumption. Hence  $K \cup c$  is a hyperbolic link.

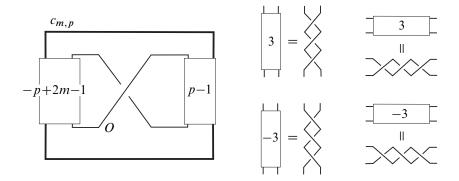


Figure 18:  $O \cup c_{m,p}$ ; a vertical (resp. horizontal) box with integer *n* denotes a vertical (resp. horizontal) stack of *n* crossings.

If K is of type (VII) or (VIII), then  $K \cup c \cong T_{a+b,-a} \cup c_{a+b,-a}^+$  is a hyperbolic link; see the proof of Theorem 1.8.

Assume that *K* is of type (IX), ie K = Spor a[p]. Then as shown in the proof of Example 4.7,  $K \cup c$  is a hyperbolic link. In the case where *K* is of type (X) or (XI), ie K = Spor b[p] or Spor c[p], it follows from the proof of Theorem 1.8 that  $K \cup c$  is a hyperbolic link. The argument in the proof of Example 4.7 shows that  $K \cup c$  is a hyperbolic link for a type (XII) Berge knot K = Spor d[p]; we refer to [12, Figure 53] instead of [12, Figure 41].

This completes the proof of Theorem 1.11.

## 7 L-space twisted unknots

In [13] we introduced the "*m*-move" to find seiferters for a given Seifert surgery. In particular, the *m*-move is effectively used in [13, Theorem 6.21] to show that (O, m) has infinitely many seiferters for each integer *m*. Among them, there are infinitely many seiferters *c* such that the (m, 0)-surgery on  $O \cup c$  is an L-space; see Remark 7.3.

Let us take a trivial knot  $c_{m,p}$  in  $S^3 - O$  as illustrated in Figure 18, where p is an odd integer with  $|p| \ge 3$ .

Then as shown in [13, Theorem 6.21],  $c_{m,p}$  is a seiferter for (O, m) such that  $O \cup c_{m,p}$  is a hyperbolic link in  $S^3$  if  $p \neq 2m \pm 1$ . Denote by  $K_{m,p,n}$  and  $m_{p,n}$  the images of O and m, respectively, after an n-twist along  $c_{m,p}$ . Now we investigate  $K_{m,p,n}(m_{p,n})$  using branched coverings and the Montesinos trick [38; 39]. Figure 19(upper-right) shows that  $K_{m,p,n}(m_{p,n})$  has an involution with axis L for any integer n. Taking the quotient by this involution, we obtain a 2-fold branched cover  $\pi \colon K_{m,p,n}(m_{p,n}) \to S^3$ 

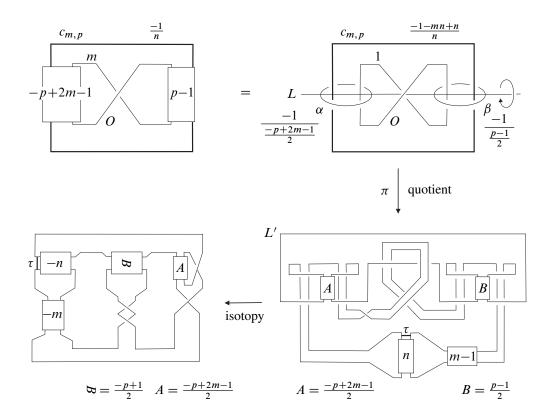


Figure 19:  $K_{m,p,n}(m_{p,n})$  is the two-fold branched cover of  $S^3$  branched along L'.

branched along L', the quotient of L; see Figure 19(lower-right). Then L' can be isotoped to a Montesinos link

$$M(-n/(mn+1), (-p+1)/2p, (-p+2m+1)/(-2p+4m))$$

as shown in Figure 19(lower-left). Hence by [38],  $K_{m,p,n}(m_{p,n})$ , which is the 2-fold branched cover branched along the Montesinos link L', is a Seifert fiber space

$$S^{2}\left(\frac{-n}{mn+1}, \frac{-p+1}{2p}, \frac{p-2m-1}{2p-4m}\right).$$

The image  $\pi(c_{m,p})$  is an arc  $\tau$  whose ends lie in L'; see Figure 19(lower-right) and (lower-left). It follows from [11, Lemma 3.2] that  $c_{m,p}$  is a seiferter for  $(K_{m,p,n}, m_{p,n})$ ; in case of n = 0,  $c_{m,p}$  is a seiferter for (O, m). In the following, the image of  $c_{m,p}$  after an *n*-twist along itself is also denoted by  $c_{m,p}$ .

**Proposition 7.1** Assume that  $m \le 0$  and  $p \ge 3$ .

- (1)  $(K_{m,p,n}, m_{p,n})$  is an L-space surgery except when (m, n) = (0, 0). If (m, n) = (0, 0), then  $(K_{m,p,n}, m_{p,n}) = (0, 0)$  and  $K_{m,p,n}(m_{p,n}) = O(0) \cong S^2 \times S^1$ .
- (2)  $K_{m,p,n}$  is a nontrivial knot if  $n \neq 0$ .
- (3)  $\{K_{m,p,n}\}_{|n|>1}$  is a set of mutually distinct hyperbolic L-space knots.

**Proof** We note here that the linking number between  $c_{m,p}$  and O is p-m.

(1) Assume first that m = 0. Then  $K_{m,p,n}(m_{p,n})$  is a lens space

$$S^{2}(-n, (-p+1)/2p, (p-1)/2p) = S^{2}(-n-1, (p+1)/2p, (p-1)/2p),$$

which is  $S^2 \times S^1$  if and only if n = 0 by Claim 2.5. Hence  $K_{m,p,n}(m_{p,n})$  is an L-space except when n = 0.

Next assume m = -1. Then

$$K_{m,p,n}(m_{p,n}) = S^2(-n/(-n+1), (-p+1)/2p, (p+1)/(2p+4))$$
  
=  $S^2(n/(n-1), (-p+1)/2p, (p+1)/(2p+4)).$ 

If n = 0 or 2, then  $K_{m,p,n}(m_{p,n})$  is a lens space, but it is not  $S^2 \times S^1$ , because  $m_{p,n} = -1 + n(m-p)^2 = -1 + n(p+1)^2 \neq 0$ . If n = 1,  $K_{m,p,n}(m_{p,n})$  is a connected sum of two lens spaces, and thus an L-space. Suppose that  $n \neq 0, 1, 2$ . In the case where n < 0, we have 0 < n/(n-1) < 1 and

$$K_{m,p,n}(m_{p,n}) = S^2(n/(n-1), (-p+1)/2p, (p+1)/(2p+4))$$
  
=  $S^2(-1, n/(n-1), (p+1)/2p, (p+1)/(2p+4)).$ 

Note that

$$(p+1)/2p + (p+1)/(2p+4) = 1/2 + 1/2p + 1/2 - 1/(2p+4)$$
  
=  $1 + 1/2p - 1/(2p+4)$ .

Since  $p \ge 3$ , we have 2p + 4 > 2p > 0, and hence 1/2p - 1/(2p + 4) > 0. It follows that (p+1)/2p + (p+1)/(2p+4) = 1 + 1/2p - 1/(2p+4) > 1. Then Lemma 2.3 (2) shows that  $K_{m,p,n}(m_{p,n})$  is an L-space. If n > 2, then 1 < n/(n-1) < 2 and

$$K_{m,p,n}(m_{p,n}) = S^2(n/(n-1), (-p+1)/2p, (p+1)/(2p+4))$$
  
=  $S^2(1/(n-1), (p+1)/2p, (p+1)/(2p+4)).$ 

Since 0 < 1/(n-1), (p+1)/2p, (p-2m-1)/(2p-4m) < 1, by Theorem 2.1 (1),  $K_{m,p,n}(m_{p,n})$  is an L-space.

Assume that m = -2. Then

$$K_{m,p,n}(m_{p,n}) = S^2(-n/(-2n+1), (-p+1)/2p, (p+3)/(2p+8))$$
  
=  $S^2(n/(2n-1), (-p+1)/2p, (p+3)/(2p+8)).$ 

If n = 0 or 1, then  $K_{m,p,n}(m_{p,n})$  is a lens space, but it is not  $S^2 \times S^1$ , because  $m_{p,n} = -2 + n(m-p)^2 = -2 + n(p+2)^2 \neq 0$ . Otherwise, 0 < n/(2n-1) < 1 and

$$K_{m,p,n}(m_{p,n}) = S^2(n/(2n-1), (-p+1)/2p, (p+3)/(2p+8))$$
  
=  $S^2(-1, n/(2n-1), (p+1)/2p, (p+3)/(2p+8)).$ 

Since

$$(p+1)/2p + (p+3)/(2p+8) = 1/2 + 1/2p + 1/2 - 1/(2p+8)$$
  
=  $1 + 1/2p - 1/(2p+8)$   
> 1,

 $K_{m,p,n}(m_{p,n})$  is an L-space by Lemma 2.3(2).

Finally, assume that  $m \leq -3$ . Then

$$K_{m,p,n}(m_{p,n}) = S^2(-n/(mn+1), (-p+1)/2p, (p-2m-1)/(2p-4m))$$
  
=  $S^2(-1, -n/(mn+1), (p+1)/2p, (p-2m-1)/(2p-4m)).$ 

If n = 0, then  $K_{m,p,n}(m_{p,n})$  is a lens space, but it is not  $S^2 \times S^1$ , because  $m_{p,n} = m + n(m-p)^2 = m \le -3$ . Assume  $n \ne 0$ . Then we have 0 < -n/(mn+1) < 1, 0 < (p+1)/2p < 1 and 0 < (p-2m-1)/(2p-4m) = 1/2 - 1/(2p-4m) < 1 by the assumptions  $p \ge 3$  and  $m \le -3$ . Since

$$(p+1)/2p + (p-2m-1)/(2p-4m) = 1/2 + 1/2p + 1/2 - 1/(2p-4m)$$
  
= 1 + 1/2p - 1/(2p - 4m)  
> 1,

Lemma 2.3(2) shows that  $K_{m,p,n}(m_{p,n})$  is an L-space.

(2) Since  $m \le 0$  and  $p \ge 3$ , we have  $p \ne 2m \pm 1$ , and hence  $O \cup c_{m,p}$  is a hyperbolic link; see [13, Theorem 6.21]. Then  $K_{m,p,n}$  is nontrivial for any  $n \ne 0$ ; see [30; 35].

(3) By (1),  $K_{m,p,n}$  is an L-space knot. Since  $O \cup c_{m,p}$  is a hyperbolic link, the hyperbolicity of  $K_{m,p,n}$  for |n| > 1 follows from [2; 21; 37]. Thus  $K_{m,p,n}$  (|n| > 1) is a hyperbolic L-space knot. Let us choose  $c_{m,p}$  and then apply an *n*-twist along  $c_{m,p}$  to obtain a knot  $K_{m,p,n}$ . It remains to show that the  $K_{m,p,n}$  are distinct knots. Suppose that  $K_{m,p,n}$  and  $K_{m,p,n'}$  are isotopic for some integers *n* and *n'* with |n|, |n'| > 1. Then  $(m+n(p-m)^2)$ - and  $(m+n'(p-m)^2)$ -surgeries on  $K_{m,p,n} = K_{m,p,n'}$  produce

small Seifert fiber spaces, where  $p-m \ge 3$ . (Note that mn+1 cannot be zero since |n| > 1.) Since  $K_{m,p,n}$  is a hyperbolic knot, Lackenby and Meyerhoff prove in [32, Theorem 1.2] that the distance  $|m + n(p-m)^2 - (m + n'(p-m)^2)|$  between these two nonhyperbolic surgeries is at most 8. Hence  $|(n-n')(p-m)^2| \le 8$ , which implies n = n' because  $p - m \ge 3$ .  $\square$ 

Next we investigate link types of  $O \cup c_{m,p}$ .

**Proposition 7.2** Let  $c_{m,p}$  and  $c_{m',p'}$  be seiferters for (O,m) and (O,m'), respectively. Suppose that  $m, m' \leq 0$  and  $p, p' \geq 3$ .

- If  $p m \neq p' m'$ , then  $O \cup c_{m,p}$  and  $O \cup c_{m',p'}$  are not isotopic. In particular, (1)if  $p \neq p'$ , then  $O \cup c_{m,p}$  and  $O \cup c_{m,p'}$  are not isotopic.
- If p m = p' m', then  $O \cup c_{m,p}$  and  $O \cup c_{m',p'}$  are not isotopic provided (2) that |m - m'| > 3.

**Proof** (1) Note that the linking number between  $c_{m,p}$  and O is p-m. Hence if  $O \cup c_{m,p}$  is isotopic to  $O \cup c_{m',p'}$  as ordered links, then we have p - m = p' - m'.

(2) Since  $p \neq 2m \pm 1$  and  $p' \neq 2m' \pm 1$ , both  $O \cup c_{m,p}$  and  $O \cup c_{m',p'}$  are hyperbolic links [13]. Recall that  $c_{m,p}$  is a seiferter for (O, m) and  $c_{m',p'}$  is a seiferter for (O, m'). Suppose that  $O \cup c_{m,p}$  and  $O \cup c_{m',p'}$  are isotopic. Then  $c_{m,p}$  is a seiferter for (O, m')as well. Let V be the solid torus  $S^3 - \operatorname{int} N(c_{m,p})$ , which contains O in its interior. Note that m-surgery of V along O yields a Seifert fiber space over the disk with two exceptional fibers of indices 2p and 2p - 4m, and m'-surgery of V along O yields a Seifert fiber space over the disk with two exceptional fibers of indices 2p' and 2p'-4m'. Since these Seifert fiber spaces contain essential annuli, Gordon and Wu show in [22, Corollary 1.2] that  $|m - m'| \leq 3$ . 

**Proof of Theorem 1.10** This follows from Propositions 7.1 and 7.2.

**Remark 7.3** For each seiferter  $c_{m,p}$   $(m \le 0, p \ge 3)$ , we can see that  $M_{c_{m,p}}(O,m)$ is an L-space. In fact,  $M_{c_{m,p}}(O,m)$  is the limit of  $K_{m,p,n}(m_{p,n})$  when |n| tends to  $\infty$ (see Remark 3.2), and

$$M_{c_{m,p}}(O,m) = S^{2}(-1/m, (-p+1)/2p, (p-2m-1)/(2p-4m))$$
  
= S<sup>2</sup>(-1, -1/m, (p+1)/2p, (p-2m-1)/(2p-4m)).

If m = -1, 0, then  $M_{c_{m,p}}(O, m)$  is an L-space by Claim 3.4. If m < -1, since (p+1)/2p + (p-2m-1)/(2p-4m) = 1 + 1/2p - 1/(2p-4m) > 1, we have that  $M_{c_{m,p}}(O,m)$  is an L-space.

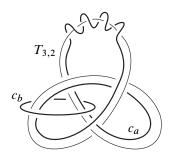


Figure 20:  $\{c_a, c_b\}$  is a pair of seiferters for  $(T_{3,2}, 7)$ .

On the other hand, for instance,

$$M_{c_{3,3}}(O,3) = S^2(-1/3, -1/3, 2/3) = S^2(-2, 2/3, 2/3, 2/3),$$

and taking k = 2 and a = 1 in Theorem 2.1(3), we have (1 - 2/3, 1 - 2/3, 1 - 2/3) = (1/3, 1/3, 1/3) < (1/2, 1/2, 1/2). Thus  $M_{c_{3,3}}(O, 3)$  is not an L-space.

# 8 Hyperbolic L-space knots with tunnel number greater than one

The purpose in this section is to exhibit infinitely many hyperbolic L-space knots with tunnel number greater than one; see Theorem 1.13. In [16], Eudave-Muñoz, Jasso, Miyazaki and the author gave Seifert fibered surgeries which do not arise from a primitive/Seifert-fibered construction [10].

Let us take unknotted circles  $c_a$  and  $c_b$  in  $S^3 - T_{3,2}$  as illustrated by Figure 20. Then as shown in [16],  $\{c_a, c_b\}$  is a *pair of seiferters* for  $(T_{3,2}, 7)$ , ie  $c_a$  and  $c_b$  become fibers simultaneously in some Seifert fibration of  $T_{3,2}(7)$ .

Note that the pair  $\{c_a, c_b\}$  forms the (4, 2)-torus link in  $S^3$ . Hence a (-1)-twist along  $c_a$  converts  $c_a \cup c_b$  into the (-4, 2)-torus link. Then we can successively apply a 1-twist along  $c_b$  to obtain the (4, 2)-torus link  $c_a \cup c_b$ . We denote the images of  $c_a$  and  $c_b$  under twistings along these components by the same symbols,  $c_a$  and  $c_b$ , respectively.

Let  $K_{n,0}$  be a knot obtained from  $T_{3,2}$  after the sequence of twistings

$$(c_a, (-1)-\text{twist}) \rightarrow (c_b, 1-\text{twist}) \rightarrow (c_a, n-\text{twist})$$

Then  $K_{n,0} = K(2, -n, 1, 0)$  in [16, Proposition 4.11]. See Figure 21.

Similarly, let  $K_{0,n}$  be a knot obtained from  $T_{3,2}$  after the sequence of twistings

$$(c_a, (-1)-\text{twist}) \rightarrow (c_b, (n+1)-\text{twist}).$$

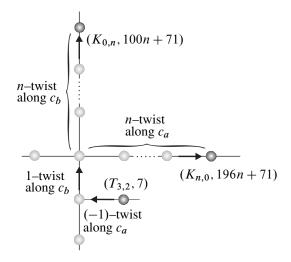


Figure 21: Seifert surgeries  $(K_{n,0}, 196n + 71)$  and  $(K_{0,n}, 100n + 71)$ ; each vertex corresponds to a Seifert surgery and each edge corresponds to a single twist along a seiferter.

Then  $K_{0,n} = K(2, 0, 1, -n)$  in [16, Proposition 4.11]. See Figure 21.

Theorem 1.13 follows from Theorem 8.1 below.

- **Theorem 8.1** (1)  $\{K_{n,0}\}_{n \in \mathbb{Z}}$  is a set of mutually distinct hyperbolic L-space knots with tunnel number two.
- (2)  $\{K_{0,n}\}_{n \in \mathbb{Z} \setminus \{-1\}}$  is a set of mutually distinct hyperbolic L-space knots with tunnel number two.

**Proof** We begin by recalling the following result, which is a combination of [16, Propositions 3.2, 3.7, 3.11].

- **Lemma 8.2** (1)  $K_{n,0}$  is a hyperbolic knot with tunnel number two. In addition,  $K_{n,0}(196n+71)$  is a Seifert fiber space  $S^2((11n+4)/(14n+5), -2/7, 1/2)$ .
- (2)  $K_{0,n}$  is a hyperbolic knot with tunnel number two if  $n \neq -1$ . In addition,  $K_{0,n}(100n + 71)$  is a Seifert fiber space  $S^2(-(3n + 2)/(10n + 7), 4/5, 1/2)$ .

**Lemma 8.3** (1) If  $K_{n,0}$  and  $K_{n',0}$  are isotopic, then n = n'.

(2) If  $K_{0,n}$  and  $K_{0,n'}$  are isotopic, then n = n'.

**Proof of lemma** (1) Suppose that  $K_{n,0}$  is isotopic to  $K_{n',0}$ . Then  $K_{n,0}(196n+71)$  and  $K_{n,0}(196n'+71)$  are both Seifert fiber spaces. Since  $K_{n,0}$  is hyperbolic, we have that  $|196n + 71 - (196n' + 71)| = |196(n - n')| \le 8$  from [32, Theorem 1.2]. Hence n = n'. Part (2) follows in a similar fashion.

Let us prove that  $K_{n,0}$  and  $K_{0,n}$  are L-space knots for any integer n.

**Lemma 8.4** (1)  $K_{n,0}(196n+71)$  is an L-space for any integer *n*.

(2)  $K_{0,n}(100n+71)$  is an L-space for any integer n.

**Proof of lemma** (1) Note that

$$K_{n,0}(196n+71) = S^{2}((11n+4)/(14n+5), -2/7, 1/2)$$
  
= S<sup>2</sup>(-1, (11n+4)/(14n+5), 5/7, 1/2).

Since 0 < (11n+4)/(14n+5) < 1 for any  $n \in \mathbb{Z}$  and  $5/7 + 1/2 \ge 1$ , Lemma 2.3(2) shows that  $K_{n,0}(196n+71)$  is an L-space for any integer n. This proves (1).

(2) As above, we first note that

$$K_{0,n}(100n + 71) = S^{2}(-(3n + 2)/(10n + 7), 4/5, 1/2)$$
  
= S<sup>2</sup>(-1, (7n + 5)/(10n + 7), 4/5, 1/2).

Since 0 < (7n + 5)/(10n + 7) < 1 for any  $n \in \mathbb{Z}$  and  $4/5 + 1/2 \ge 1$ , Lemma 2.3(2) shows that  $K_{0,n}(100n + 71)$  is an L-space for any integer *n*.

Now Theorem 8.1 follows from Lemmas 8.2, 8.3 and 8.4.

**Question 8.5** Does there exist a hyperbolic L-space knot with tunnel number greater than two? More generally, for a given integer p, does there exist a hyperbolic L-space knot with tunnel number greater than p?

## 9 Questions

#### Characterization of twistings which yield infinitely many L-space knots

For knots K with Seifert surgery (K, m), Theorems 1.4, 1.5, 1.6 and 1.7 characterize seiferters which enjoy the desired property in Question 1.1.

The next proposition, which is essentially shown in [25; 26], describes yet another example of twistings which yield infinitely many L-space knots.

**Proposition 9.1** (L-space twisted satellite knots) Let k be a nontrivial knot with L-space surgery (k, 2g - 1), where g denotes the genus of k and K a satellite knot of k which lies in V = N(k) with winding number w. Suppose that V(K;m) is a solid torus for some integer  $m \ge w^2(2g - 1)$ . Let c be the boundary of a meridian disk of V and  $K_n$  a knot obtained from K by an n-twist along c. Then  $K_n$  is an L-space knot for any  $n \ge 0$ . See Figure 22.

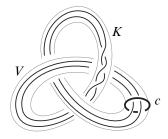


Figure 22:  $K_n$  is a knot obtained from K by an *n*-twist along c.

**Proof** Recall that  $K_n(m + nw^2) = k((m + nw^2)/w^2) = k(m/w^2 + n)$  [20]. Since k(2g - 1) is an L-space and  $m/w^2 \ge 2g - 1$ , [48, Proposition 9.6] ensures that  $k(m/w^2 + n)$  is also an L-space if  $n \ge 0$ . Hence  $K_n$  is an L-space knot provided  $n \ge 0$ .

- **Remark 9.2** (1) In Proposition 9.1, the knot K in the solid torus V is required to have a cosmetic surgery:  $V(K;m) \cong S^1 \times D^2$ . The cosmetic surgery of the solid torus is well-understood by [18; 6].
- (2) The twisting operation described in Proposition 9.1 can be applied only for satellite knots, and the resulting knots after the twistings are also satellite knots.
- (3) In Proposition 9.1, the knot k is assumed to be nontrivial. If k is a trivial knot in  $S^3$ , then  $K(m) = (S^3 \operatorname{int} V) \cup V(K;m)$  is a lens space; hence (K,m) is an L-space surgery. It is easy to see that c is a seiferter for (K,m).

For further study, we can weaken a condition of seiferter to obtain a notion of "pseudoseiferter" as follows.

**Definition 9.3** Let (K,m) be a Seifert surgery. A knot c in  $S^3 - N(K)$  is called a *pseudo-seiferter* for (K,m) if c satisfies (1) and (2) below.

- (1) c is a trivial knot in  $S^3$ .
- (2) c becomes a "cable" of a fiber in a Seifert fibration of K(m), and the preferred longitude  $\lambda$  of c in  $S^3$  becomes the cabling slope of c in K(m).

We do not know if a pseudo-seiferter exists, but if (K, m) admits a pseudo-seiferter, it behaves like a seiferter in the following sense. Let V be a fibered tubular neighborhood of a fiber t, and let c be a cable in V. Then the result of a surgery (corresponding to an *n*-twist) on c of V is again a solid torus, and this surgery is reduced to a surgery on the fiber t, which is a core of V. Hence  $K_n(m_n)$  is a (possibly degenerate) Seifert fiber space. This suggests that a pseudo-seiferter is also a candidate for an unknotted circle as described in Question 1.1.

We would like to ask the following question for nonsatellite knots.

**Question 9.4** Let K be a nonsatellite knot and  $K_n$  a knot obtained from K by an *n*-twist along an unknotted circle c in  $S^3 - K$ . Suppose that the twist family  $\{K_n\}$  contains infinitely many L-space knots.

- (1) Does K admit a Seifert surgery (K, m) for which c is a seiferter?
- (2) Does K admit a Seifert surgery (K, m) for which c is a seiferter or a pseudo-seiferter?

## L-space knots and strong invertibility

A knot is said to be *strongly invertible* if there exists an orientation-preserving involution of  $S^3$  which fixes the knot setwise and reverses orientation. Known L-space knots are strongly invertible, so it is natural to ask:

Problem 9.5 (Watson) Are L-space knots strongly invertible?

In [13], an "asymmetric seiferter" defined below is essentially used to find Seifert fibered surgery on knots with no symmetry.

**Definition 9.6** A seiferter c for a Seifert surgery (K, m) is said to be symmetric if we have an orientation preserving diffeomorphism  $f: S^3 \to S^3$  of finite order with f(K) = K and f(c) = c; otherwise, c is called an *asymmetric seiferter*.

Combining [13, Theorem 7.3] and Theorem 1.4, we obtain:

**Proposition 9.7** Let (K, m) be a Seifert fibered surgery on a nonsatellite knot with an asymmetric seiferter c which becomes an exceptional fiber. Suppose that  $M_c(K, m)$  is an L-space. Then there is a constant N such that  $K_n$ , a knot obtained from K by an n-twist along c, is a hyperbolic L-space knot either with no symmetry for any  $n \le N$  or with no symmetry for any  $n \ge N$ .

If c is a seiferter for  $(T_{p,q}, pq)$  which becomes a degenerate fiber in  $T_{p,q}(pq)$ , then c is a meridian of  $T_{p,q}$  or  $T_{p,q} \cup c$  is a hyperbolic link in  $S^3$ ; see [13, Theorem 3.19 (3)]. Hence the argument in the proof of [13, Theorem 7.3] and Theorem 1.6(2) enable us to show:

**Proposition 9.8** If *c* is an asymmetric seiferter for  $(T_{p,q}, pq)$  which becomes a degenerate fiber in  $T_{p,q}(pq)$ , then there is a constant *N* such that  $K_n$  is a hyperbolic *L*-space knot either with no symmetry for any  $n \le N$  or with no symmetry for any  $n \ge N$ .

For the asymmetric seiferter  $c = c'_1$  for (K, m) = (P(-3, 3, 5), 1) given in [13, Lemma 7.5],  $M_c(K, m)$  is not an L-space, and c does not satisfy the hypothesis of Proposition 9.7.

**Question 9.9** Does there exist an asymmetric seiferter as described in Propositions 9.7 and 9.8?

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