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## Group algebra extensions of depth one

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A ring extension  $A \subseteq B$  is said to have depth one if *B* is isomorphic to a direct summand of  $A^n$  as an (A, A)-bimodule, for some positive integer *n*. We prove group-theoretic characterizations of this property in the case  $kH \subseteq kG$ , where *H* is a subgroup of a finite group *G* and *k* is a field. We determine when the source algebra of a block of kG with defect group *P* is a depth-one extension of kP.

## Introduction

A ring extension is a unitary ring homomorphism  $f: A \to B$  between two rings A and B. In this situation, the ring B can be viewed as an (A, A)-bimodule using the map f. A ring extension  $f: A \to B$  is said to be of *depth one* (or *centrally projective* [Kadison 1999]) if B is isomorphic, as an (A, A)-bimodule, to a direct summand of  $A^n$  for some positive integer n. We write  $B | A^n$  for this condition. Whenever A is a unitary subring of B and  $f: A \to B$  is the inclusion map, we denote the corresponding ring extension by  $A \subseteq B$ .

To the best of our knowledge, centrally projective ring extensions were first considered by Hirata [1969]. The identification of centrally projective ring extensions with ring extensions of depth one appeared in [Kadison and Szlachányi 2003]. Ring extensions of higher depth were studied in [Kadison 2008], for example.

In this paper we try to answer the question of when a ring extension  $kH \subseteq kG$ of group rings has depth one. Here and throughout this paper we denote by ka commutative ring, by G a group and by H a subgroup of finite index in G. In [Boltje and Külshammer 2010] we considered the question of when the ring extension  $kH \subseteq kG$  has depth two (that is, when  $kG \otimes_{kH} kG \mid (kG)^n$  as (kG, kH)bimodules, or equivalently as (kH, kG)-bimodules, for some positive integer n). It turns out that this is equivalent to H being normal in G, independently of k. If the ring extension  $kH \subseteq kG$  has depth one it also has depth two, since one can apply the functor  $kG \otimes_{kH}$ - to the relation  $kG \mid (kH)^n$ . In particular, H has to be normal in G. The converse is not true in general, as our main results, Theorems 1.7 and 1.9,

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show. In these theorems we have to assume that *k* is a field (or a complete discrete valuation ring of characteristic 0 and positive residual characteristic *p*). In both cases the depth-one condition is equivalent to a purely group theoretic condition on the inclusion  $H \leq G$ , namely that  $G = HC_G(X)$ , for every cyclic subgroup *X* of *H* in the characteristic 0 case, and for every *p*-hypoelementary subgroup *X* of *H* in the modular case (see Remark 1.8 for a definition of *p*-hypoelementary groups). Therefore, the group theoretic depth-one condition does depend on the base ring *k*. We do not have a group theoretic reformulation in the case  $k = \mathbb{Z}$ .

At the end we study the depth-one condition for the ring extension  $FP \rightarrow A$ , where P is the defect group of a block of a group algebra over a field F of positive characteristic p, and A is a source algebra of the block.

## 1. Depth one for group algebra extensions

**1.1.** Assume that g belongs to  $N_G(H)$ , the normalizer of H in G. Then

$$c_{g^{-1}}: kH \to kH, \quad a \mapsto g^{-1}ag$$

is a k-algebra automorphism of kH. Restriction along this automorphism defines a functor

$$C_g: {}_{kH}\mathsf{Mod} \to {}_{kH}\mathsf{Mod}, \quad M \mapsto {}^{g}M$$

on the category of left *kH*-modules. More explicitly, for  $M \in {}_{kH}Mod$ , the left *kH*-module  ${}^{g}M$  is defined to be equal to M as an abelian group, and it is endowed with the module structure  $a * m := (g^{-1}ag) \cdot m$ , where " $\cdot$ " denotes the original *kH*-module structure of M. The functor  $C_g$  maps a homomorphism  $f : M_1 \to M_2$  in  ${}_{kH}Mod$  to  ${}^{g}f := f : {}^{g}M_1 \to {}^{g}M_2$ .

If  $C_g$  is naturally equivalent to the identity functor on  $_{kH}$  Mod, we say that g acts trivially on  $_{kH}$  Mod. For this paper, we say that G acts trivially on  $_{kH}$  Mod if H is normal in G and g acts trivially on  $_{kH}$  Mod for every  $g \in G$ . Note that H acts trivially on  $_{kH}$  Mod. This is also an immediate consequence of the next proposition.

The subset kgH = gkH = kHg of kG is a (kH, kH)-subbimodule of kG. It is isomorphic to  ${}^{(g,1)}kH$  if we view (kH, kH)-bimodules M as left  $k[H \times H]$ -modules via

$$(h_1, h_2) \cdot m := h_1 m h_2^{-1}$$
 for  $h_1, h_2 \in H$  and  $m \in M$ .

Assume that *R* is an arbitrary ring and that  $\alpha$  is an automorphism of *R*. Let  $R_{\alpha}$  denote the (R, R)-bimodule that equals *R* as abelian group but has the twisted action  $axb := \alpha(a)xb$ , for  $a, x, b \in R$ . It is well-known and straightforward to prove that  $\alpha$  is an inner automorphism if and only if  $R_{\alpha}$  is isomorphic to *R* as an (R, R)-bimodule. It is also equivalent to  $\alpha$  acting trivially on  $_R$ Mod. The following proposition is a special case, and we leave its proof to the reader.

**Proposition 1.2.** For  $g \in N_G(H)$ , the following are equivalent:

- (i)  $kgH \cong kH$  as (kH, kH)-bimodules.
- (ii) There exists a unit u of kH such that  $gag^{-1} = uau^{-1}$  for all  $a \in kH$ .
- (iii) g acts trivially on  $_{kH}$  Mod.

For every subset X of H we denote by  $C_G(X)$  the centralizer of X in G.

**Corollary 1.3.** If  $G = HC_G(H)$ , then the ring extension  $kH \subseteq kG$  has depth one. Conversely, if the ring extension  $kH \subseteq kG$  has depth one, then H is normal in G.

*Proof.* Suppose first that  $G = HC_G(H)$ . Then every  $g \in G$  satisfies condition (ii). Using condition (i) together with the decomposition  $kG = \bigoplus_{gH \in G/H} kgH$  into (kH, kH)-subbimodules, the first assertion follows. The second assertion was already observed in the introduction.

**1.4.** If  $\Lambda$  is a *k*-order (that is, a *k*-algebra that is finitely generated and projective as a *k*-module) we say that the *Krull–Schmidt theorem holds* for  $\Lambda$ -lattices if the following two properties hold for every  $\Lambda$ -module *M* that is finitely generated and projective as a *k*-module:

- *M* has a decomposition  $M = U_1 \oplus \cdots \oplus U_r$  into indecomposable  $\Lambda$ -submodules, and
- if M = U<sub>1</sub>⊕···⊕U<sub>r</sub> = V<sub>1</sub>⊕···⊕V<sub>s</sub> are two decompositions into indecomposable Λ-submodules, then r = s and there exists a permutation σ of {1,..., r} such that U<sub>i</sub> ≅ V<sub>σ(i)</sub> for all i ∈ {1,..., r}.

If k is a field or a complete discrete valuation ring, then the Krull–Schmidt theorem holds for every k-order  $\Lambda$  [Curtis and Reiner 1981, Theorem 6.12].

**Proposition 1.5.** Assume that G is finite and that the Krull–Schmidt theorem holds for  $k[H \times H]$ -lattices. The following are equivalent:

- (i)  $kH \subseteq kG$  is a ring extension of depth one.
- (ii) *H* is normal in *G* and  $kgH \cong kH$  as (kH, kH)-bimodules for every  $g \in G$ .
- (iii) *H* is normal in *G* and  $kG \cong (kH)^{[G:H]}$  as (kH, kH)-bimodules.
- (iv)  $kG \cong (kH)^{[G:H]}$  as (kH, kH)-bimodules.

*Proof.* (i)  $\Rightarrow$  (ii): Since the ring extension  $kH \subseteq kG$  has depth one, Corollary 1.3 implies that *H* is normal in *G*. Therefore, for every  $g \in G$ , one has  $kgH | kG | (kH)^n$  as (kH, kH)-bimodules for some positive integer *n*. Since the Krull–Schmidt theorem holds for  $k[H \times H]$ -lattices, every indecomposable direct summand of the  $k[H \times H]$ -module kgH is isomorphic to an indecomposable direct summand of the  $k[H \times H]$ -module kH. But the indecomposable direct summands of kH are the blocks of kH, and they are pairwise nonisomorphic. Since kgH is isomorphic to

 ${}^{(g,1)}kH$  and since  $C_{(g,1)}: {}_{k[H \times H]}Mod \rightarrow {}_{k[H \times H]}Mod$  is a category equivalence, the indecomposable direct summands of kgH are also pairwise nonisomorphic. Thus, we can decompose kgH and kH multiplicity-free into a direct sum of indecomposable  $k[H \times H]$ -submodules. The number of these summands coincides, since  $kgH \cong {}^{(g,1)}kH$ . Since every summand of kgH occurs as a summand of kH, we can conclude that  $kgH \cong kH$  as (kH, kH)-bimodules.

(ii)  $\Rightarrow$  (iii): This follows from the decomposition  $kG = \bigoplus_{gH \in G/H} kgH$  into (kH, kH)-subbimodules.

(iii)  $\Rightarrow$  (iv): This is trivial.

 $(iv) \Rightarrow (i)$ : This is immediate from the definition of depth one.

**Remark 1.6.** In the proof of Proposition 1.5, the Krull–Schmidt property is only used for permutation  $k[H \times H]$ -modules. By [Boltje and Glesser 2007, Theorem 1.6], the four conditions in Proposition 1.5 are still equivalent when *k* is a local domain containing a root of unity of order *e*, where *e* is defined as the exponent  $\exp(H)$  of *H* in the case that *k* has characteristic 0, and as the p'-part of  $\exp(H)$  in the case that *k* has positive characteristic *p*.

Next we will study the case where *k* is a field of characteristic 0 and *H* is a finite group. In this case we will denote by  $\overline{k}$  an algebraic closure of *k* and by Irr(*H*) the set of irreducible characters of  $\overline{k}H$ . Recall that  $N_G(H)$  acts from the left on Irr(*H*) via  $\chi \mapsto {}^g\chi$  for  $g \in N_G(H)$ , where  ${}^g\chi(h) = \chi(g^{-1}hg)$ , for  $h \in H$ . Recall also that  $N_G(H)$  acts on the set of conjugacy classes of *H* via  $\mathcal{H} \mapsto {}^g\mathcal{H}$ , where  ${}^g\mathcal{H} = \{ghg^{-1} \mid h \in \mathcal{H}\}$  for a conjugacy class  $\mathcal{H}$  of *H*.

**Theorem 1.7.** Assume that k is a field of characteristic 0 and that G is finite. The following are equivalent:

- (i)  $kH \subseteq kG$  is a ring extension of depth one.
- (ii) *H* is normal in *G* and *G* acts trivially on Irr(H).
- (iii) *H* is normal in *G* and *G* acts trivially on the set of conjugacy classes of *H*.
- (iv) For every cyclic subgroup X of H one has  $G = HC_G(X)$ .

*Proof.* (i)  $\iff$  (ii): Using Corollary 1.3, we may assume that *H* is normal in *G*. Using Propositions 1.2 and 1.5, one sees that it suffices to show that, for every  $g \in G$ , the (kH, kH)-bimodules kgH and kH are isomorphic if and only if *g* acts trivially on Irr(*H*). By the Deuring–Noether theorem [Nagao and Tsushima 1989, Theorem II.3.1],  $kgH \cong kH$  as (kH, kH)-bimodules if and only if  $\bar{k}gH \cong \bar{k}H$  as  $(\bar{k}H, \bar{k}H)$ -bimodules. By Proposition 1.2, the latter is equivalent to *g* acting trivially on  $_{\bar{k}H}$  Mod, which implies that *g* acts trivially on Irr(*H*). Conversely, if  ${}^g\chi = \chi$  for every  $\chi \in \text{Irr}(H)$  then  $\bar{k}gH \cong \bar{k}H$  as  $\bar{k}[H \times H]$ -modules, since the character of  $\overline{k}H$  is equal to  $\sum_{\chi \in Irr(H)} \chi \times \overline{\chi}$  and the character of  $\overline{k}gH$  is equal to

$$^{(g,1)}\left(\sum_{\chi\in\operatorname{Irr}(H)}\chi\times\overline{\chi}\right)=\sum_{\chi\in\operatorname{Irr}(H)}{}^{g}\chi\times\overline{\chi}=\sum_{\chi\in\operatorname{Irr}(H)}\chi\times\overline{\chi}.$$

(ii)  $\iff$  (iii): This follows immediately from Brauer's permutation lemma [Nagao and Tsushima 1989, Lemma III.2.19].

(iii)  $\Rightarrow$  (iv): Let  $X = \langle x \rangle$  be a cyclic subgroup of H and let g be an element of G. Since  $gxg^{-1}$  lies in the same conjugacy class as x, there exists  $h \in H$  such that  $gxg^{-1} = hxh^{-1}$ . This implies  $h^{-1}g \in C_G(x)$  and  $g \in hC_G(x) \subseteq HC_G(X)$ .

(iv)  $\Rightarrow$  (ii): Condition (iv) implies immediately that *H* is normal in *G*. Now let  $g \in G, \chi \in \operatorname{Irr}(H)$  and  $x \in H$ . Then there exists  $h \in H$  and  $c \in C_G(x)$  such that g = hc. Hence  $\chi(gxg^{-1}) = \chi(hcxc^{-1}h^{-1}) = \chi(hxh^{-1}) = \chi(x)$ . Thus  $g^{-1}\chi = \chi$  and  $\chi = g^{g}\chi$ .

**Remark 1.8.** Next we study the depth-one condition for the ring extension  $kH \subseteq kG$  in the case where k is a field of positive characteristic p, or where k is a complete discrete valuation ring of characteristic 0 and positive residual characteristic p. We will need the theory of species developed by Benson and Parker [1998, Section 5.5]. For this remark assume that G is finite and that k contains a root of unity whose order is equal to  $\exp(G)$  if k has characteristic 0, and to the p'-part of  $\exp(G)$  if k has characteristic p. Let S and T be finite left G-sets. We denote the corresponding permutation kG-modules by kS and kT. The goal of this remark is to derive a criterion for kS being isomorphic to kT. Denote by  $\mathcal{H}_p(G)$  the set of p-hypoelementary subgroups E of G, that is, subgroups E that have a normal (Sylow) p-subgroup P such that E/P is cyclic. We claim that

$$kS \cong kT$$
 as  $kG$ -modules  $\iff |S^E| = |T^E|$  for all  $E \in \mathcal{H}_p(G)$ , (1.8.a)

where  $|S^E|$  denotes the cardinality of the set  $S^E$  of *E*-fixed points on *S*. In order to see this equivalence, it suffices to show that

$$s_{E,g}(kS) = |S^E| \tag{1.8.b}$$

for all  $E \in \mathcal{H}_p(G)$  and all p'-elements  $g \in E$  such that gP generates E/P, where P denotes the Sylow p-subgroup of E. For a definition of  $s_{E,g}$  see [Benson 1998, Section 5.5]. Since  $s_{E,g}(kS) = s_{E,g}(\operatorname{Res}_E^G(kS))$ , we may assume that G = E. Moreover, since  $s_{E,g}$  is additive, we may assume that S is a transitive E-set, that is, S = E/D for some subgroup D of E. Then  $kS \cong \operatorname{Ind}_D^E(k)$ . If P is not contained in D, then no indecomposable direct summand of kS has vertex P, and both sides of Equation (1.8.b) are equal to 0. If  $P \leq D < E$ , the Brauer species of  $\operatorname{Ind}_D^E(k)$  at g equals 0, since  $g \notin D$ , and again both sides in Equation (1.8.b) are equal to 0. Finally, if D = E, it is immediate that both sides of the equation are equal to 1.

In the next theorem we will apply the criterion in (1.8.a) to the  $H \times H$ -sets gH and H for  $g \in N_G(H)$ .

For a subgroup X of H, we set  $\Delta X := \{(x, x) \mid x \in X\} \leq H \times H$ .

**Theorem 1.9.** Assume that G is finite and that k is a field of characteristic p > 0 or a complete discrete valuation ring of characteristic 0 with residual characteristic p > 0. The following are equivalent:

- (i) The ring extension  $kH \subseteq kG$  has depth one.
- (ii) *H* is normal in *G*, and  $|(gH)^E| = |H^E|$  for all  $g \in G$  and  $E \in \mathcal{H}_p(H \times H)$ .
- (iii) *H* is normal in *G*, and  $|(gH)^{\Delta X}| = |H^{\Delta X}|$  for all  $g \in G$  and  $X \in \mathcal{H}_p(H)$ .
- (iv) For all  $X \in \mathcal{H}_p(H)$  one has  $G = C_G(X)H$ .

*Proof.* (i)  $\iff$  (ii): By Corollary 1.3, we may assume that *H* is normal in *G*. Now the equivalence of (i) and (ii) follows immediately from Proposition 1.5 and Remark 1.8 applied to the  $k[H \times H]$ -modules kgH and kH, for  $g \in G$ . In fact, by [Benson 1998, Corollary 3.11.4(i)] and the Deuring–Noether theorem [Nagao and Tsushima 1989, Theorem II.3.1], we have  $kgH \cong kH$  as  $k[H \times H]$ -modules if and only if  $k'gH \cong k'H$  as  $k'[H \times H]$ -modules, where k' is obtained from k by adjoining a root of unity whose order is equal to  $\exp(H)$  if k has characteristic 0, and to the p'-part of  $\exp(H)$  if k has characteristic p.

(ii)  $\Rightarrow$  (iii): This is trivial.

(iii)  $\Rightarrow$  (iv): Let  $g \in G$  and let  $X \in \mathcal{H}_p(H)$ . Since  $1 \in H^{\Delta X}$ , the set  $(gH)^{\Delta X}$  is nonempty. Let  $h \in H$  such that  $gh \in (gH)^{\Delta X}$ . Then  $gh \in C_G(X)$  and  $g \in C_G(X)H$ .

(iv)  $\Rightarrow$  (ii): From (iv) we have immediately that *H* is normal in *G*. Now let  $g \in G$ and let  $E \in \mathcal{H}_p(H)$ . The  $H \times H$ -sets *H* and gH are transitive. The stabilizer of  $1 \in H$  is  $\Delta H$  and the stabilizer of  $g \in gH$  is  ${}^{(g,1)}\Delta H$ . One has  $|H^E| = 0 = |(gH)^E|$ unless *E* is  $H \times H$ -conjugate to a subgroup of  $\Delta H$  or  ${}^{(g,1)}\Delta H$ . Since the number of fixed points does not change if we replace *E* by an  $H \times H$ -conjugate of *E*, we may assume that  $E \leq \Delta H$  or  $E \leq {}^{(g,1)}\Delta H$ . We first assume that  $E \leq \Delta H$ . Then  $E = \Delta X$  for some  $X \in \mathcal{H}_p(H)$ . Since  $g \in C_G(X)H$ , we can write g = ch with  $c \in C_G(X)$  and  $h \in H$ . Then gH = cH, and for  $h' \in H$  we have

$$h' \in H^{\Delta X} \iff h' \in C_G(X) \iff ch' \in C_G(X) \iff ch' \in (cH)^{\Delta X}.$$

It follows that  $|H^{\Delta X}| = |(cH)^{\Delta X}| = |(gH)^{\Delta X}|$ . Finally, if  $E \leq {}^{(g,1)}\Delta H$ , then  ${}^{(g^{-1},1)}E = \Delta X$  for some  $X \in \mathcal{H}_p(H)$ . Again we can write g = ch with  $c \in C_G(X)$  and  $h \in H$ . Then g = h'c with  $h' = ghg^{-1} \in H$  and  $E = {}^{(g,1)}(\Delta X) = {}^{(h'c,1)}(\Delta X) = {}^{(h'c,1)}(\Delta X)$  is  $H \times H$ -conjugate to  $\Delta X$ . By the first case, this implies

$$|(gH)^{E}| = |(gH)^{\Delta X}| = |H^{\Delta X}| = |H^{E}|.$$

**Remark 1.10.** In the case  $k = \mathbb{Z}$ , we do not know if there is a similar equivalence (i)  $\iff$  (iv) as in Theorem 1.9 with  $\mathcal{H}_p(H)$  replaced by some other set  $\mathcal{G}(H)$  of subgroups of H. Even if there existed such a set  $\mathcal{G}(H)$ , we don't have a good guess what it should be.

If  $\mathbb{Z}H \subseteq \mathbb{Z}G$  has depth one, then  $kH \subseteq kG$  has depth one for every commutative ring *k* (by scalar extension). In particular, this implies that  $G = HC_G(X)$  for every *p*-hypoelementary subgroup *X* of *H* for all primes *p*. We do not know if the converse holds. On the other hand, if  $G = HC_G(H)$ , then  $\mathbb{Z}H \subseteq \mathbb{Z}G$  has depth one by Corollary 1.3. However, the converse is not true. In fact, by [Hertweck 2001, Theorem A], there exist a finite group *H* (metabelian of order  $2^{25} \cdot 97^2$ ), a noninner automorphism *g* of *H*, and a unit *u* of  $\mathbb{Z}H$  with  $g(a) = uau^{-1}$ . We set  $G := H \rtimes \langle g \rangle$ . By Proposition 1.2, we obtain  $\mathbb{Z}g^i H \cong \mathbb{Z}H$  as  $(\mathbb{Z}H, \mathbb{Z}H)$ -bimodules for every integer *i*. This implies that  $\mathbb{Z}G = \bigoplus_{xH \in G/H} \mathbb{Z}xH \cong (\mathbb{Z}H)^{[G:H]}$  and that  $\mathbb{Z}H \subseteq \mathbb{Z}G$ has depth one. But  $g \notin C_G(H)H$ , since *g* is not an inner automorphism of *H*. This shows that if, for each finite group *H*, there exists a set of subgroups  $\mathcal{G}(H)$  of *H* that replaces  $\mathcal{H}_p(H)$  in Theorem 1.9(iv) in the case  $k = \mathbb{Z}$ , then  $H \notin \mathcal{G}(H)$  for Hertweck's group *H*.

## 2. Depth one for source algebras of blocks

**2.1.** Let *G* be a finite group, let *p* be a prime, and let (K, R, F) be a *p*-modular system. Thus, *R* is a complete discrete valuation ring of characteristic zero, *K* is the field of fractions of *R*, and *F*, the residue field of *R*, has characteristic *p*. We assume that *R* contains a root of unity of order  $\exp(G)$  and that *F* is algebraically closed. Then *K* and *F* are splitting fields for *KG* and *FG*, respectively. For an *R*-order *A*, we denote by  $\overline{A}$  the finite-dimensional *F*-algebra  $F \otimes_R A$ . In the following, let  $k \in \{R, F\}$ .

In this section, we will consider the depth-one condition for blocks and source algebras. For general background, we refer to the books [Thévenaz 1995] and [Külshammer 1991]. For the convenience of the reader, we recall some of the basic concepts.

An *interior G*-algebra over *k* consists of a *k*-order *A* and a group homomorphism  $i: G \to A^{\times}$ , where  $A^{\times}$  denotes the group of units of *A*. In this case, we will consider the *k*-linear extension  $kG \to A$  of *i* as a ring extension. Two interior *G*-algebras  $A_1$  and  $A_2$  are called *isomorphic* if there exists an isomorphism  $f: A_1 \to A_2$  commuting with the structural maps  $i_1: G \to A_1^{\times}$  and  $i_2: G \to A_2^{\times}$ .

If A is an interior G-algebra, then a *point* of a subgroup H of G on A is an  $(A^H)^{\times}$ -conjugacy class  $\beta$  of primitive idempotents in the subalgebra

$$A^H := \{a \in A \mid ha = ah \text{ for all } h \in H\}$$

of A. In this case the pair  $(H, \beta) =: H_{\beta}$  is called a *pointed group* on A.

The point  $\beta$  of H on A is called *local* if  $\beta \not\subseteq \operatorname{Tr}_{L}^{H}(A^{L})$  for every proper subgroup L of H; here  $\operatorname{Tr}_{L}^{H} : A^{L} \to A^{H}$ ,  $a \mapsto \sum_{hL \in H/L} hah^{-1}$  is the *relative trace map*. If  $\beta$  is a local point of H on A then  $H_{\beta}$  is called a *local pointed group* on A. One can show that in this case H has to be a p-group.

Let  $H_{\beta}$  and  $L_{\gamma}$  be pointed groups on A. We write  $L_{\gamma} \leq H_{\beta}$  if  $L \leq H$  and  $jAj \subseteq iAi$  for suitable idempotents  $i \in \beta$ ,  $j \in \gamma$ . This defines a partial order on the set of pointed groups on A. The group G acts by conjugation on the set of all pointed groups  $H_{\beta}$  on A, and this action is compatible with the partial order relation. We denote by  $N_G(H_{\beta})$  the stabilizer of  $H_{\beta}$  in G. Thus,  $N_G(H_{\beta})$  is a subgroup of  $N_G(H)$ .

A *block* of *kG* is an indecomposable direct summand *B* of *kG*, considered as a (kG, kG)-bimodule. In this case *B* is a *k*-order in its own right. We consider *B* as an interior *G*-algebra via the group homomorphism  $G \to B^{\times}$ ,  $g \mapsto g1_B = 1_Bg$ . Then  $\alpha := \{1_B\}$  is a point of *G* on *B* and we consider  $G_{\alpha}$  as a pointed group on *B*.

The maximal local pointed groups  $P_{\gamma} \leq G_{\alpha}$  are called *defect pointed groups* of  $G_{\alpha}$  (and of *B*). They are unique up to conjugation in *G*. If  $P_{\gamma}$  is a defect pointed group on *B*, then *P* is also called a *defect group* of *B*. For  $i \in \gamma$ , the *k*-order  $B_{\gamma} = iBi = ikGi$  is called a *source algebra* of *B*. One can show that BiB = B, so that *B* and *iBi* are Morita equivalent *k*-orders via multiplication with *i*. The source algebra *iBi* will always be considered as an interior *P*-algebra via the map  $P \rightarrow (iBi)^{\times}, x \mapsto ix = xi$ .

The block *B* is called *nilpotent* if  $N_G(Q_\delta)/C_G(Q)$  is a *p*-group for every local pointed group  $Q_\delta \leq G_\alpha$  on *B*. (Note that indeed  $C_G(Q) \subseteq N_G(Q_\delta)$  here.) Puig [1988] determined the structure of the source algebra of a nilpotent block. It is a consequence of his results that every nilpotent block has a unique simple module in characteristic *p*, up to isomorphism. We will make use of Puig's results in the following theorem.

**Theorem 2.2.** Let *B* be a block of *RG* with defect pointed group  $P_{\gamma}$ , and let  $B_{\gamma}$  be a corresponding source algebra. Then the following assertions are equivalent:

- (i) The ring extension  $FP \to \overline{B_{\gamma}}$  defined by the canonical map  $P \to \overline{B_{\gamma}}^{\times}$  has depth one.
- (ii)  $B_{\gamma}$  and RP are isomorphic as interior P-algebras.
- (iii) *B* is a nilpotent block, and the unique simple  $\overline{B}$ -module *M* has a trivial source.

*Proof.* (i)  $\Rightarrow$  (ii): Suppose that the ring extension  $FP \rightarrow \overline{B_{\gamma}}$  has depth one. Then  $\overline{B_{\gamma}} \mid (FP)^n$  as an (FP, FP)-bimodule, for some positive integer *n*. Thus every indecomposable direct summand of the (FP, FP)-bimodule  $\overline{B_{\gamma}}$  is isomorphic to *FP*. Hence [Thévenaz 1995, Theorem 44.3] implies that  $N_G(P_{\gamma}) = PC_G(P)$  and  $\overline{B_{\gamma}} \cong FP$ , as an (FP, FP)-bimodule; in particular, we have  $\operatorname{rk}_R(B_{\gamma}) = \dim_F \overline{B_{\gamma}} = |P|$ . The same theorem now implies that  $B_{\gamma} \cong RP$  as interior *P*-algebras.

(ii)  $\Rightarrow$  (iii): Suppose that  $B_{\gamma}$  and RP are isomorphic interior *P*-algebras. Then a result by Puig [1988, Theorem 1.6] implies that the block *B* is nilpotent [Thévenaz 1995, Remark 50.10]. We write  $\overline{B_{\gamma}} = iFGi$ , where *i* is a primitive idempotent in  $(FG)^{P}$ . Since every block has at least one simple module whose vertices are defect groups of the block, *P* is a vertex of the unique simple  $\overline{B}$ -module *M*. By [Thévenaz 1995, Proposition 38.3], *M* has an *FP*-source *V* such that  $V \mid iM$ , as an *FP*-module. Since  $\overline{B}$  and  $\overline{B_{\gamma}}$  are Morita equivalent via multiplication with *i*, the  $\overline{B_{\gamma}}$ -module *iM* is simple. Since  $\overline{B_{\gamma}} \cong FP$ , *iM* is trivial as an *FP*-module, and so is *V*.

(iii)  $\Rightarrow$  (i): Suppose that *B* is nilpotent and that the unique simple  $\overline{B}$ -module *M* has a trivial source. Then *M* has vertex *P*, as above, and a result by Puig [Thévenaz 1995, Theorem 50.6] implies that  $\overline{B_{\gamma}} \cong S \otimes_F FP$  as interior *P*-algebras, where *S* is an interior *P*-algebra that is simple as an *F*-algebra. (The tensor product of two interior *P*-algebras is again an interior *P*-algebra via the diagonal map.) As above, we write  $\overline{B_{\gamma}} = i F G i$ , where *i* is a primitive idempotent in  $(FG)^P$ . Since  $\overline{B}$  and  $\overline{B_{\gamma}}$  are Morita equivalent via multiplication with *i*, the module *i M* is the unique simple  $\overline{B_{\gamma}}$ -module, up to isomorphism. Thus, *S* and  $\operatorname{End}_F(iM)$  are isomorphic interior *P*-algebras; in particular,  $S^P \cong \operatorname{End}_{FP}(iM)$  as *F*-algebras. But  $S^P$  is a local ring (since  $\overline{B_{\gamma}}^P$  is), so *i M* is indecomposable as an *FP*-module. On the other hand, [Thévenaz 1995, Proposition 38.3] implies that *i M* has a direct summand, as an *FP*-module, which is a source of *M*. Thus dim<sub>F</sub> *i M* = 1. Hence dim<sub>F</sub> *S* = 1, so  $S \cong F$  and  $\overline{B_{\gamma}} \cong FP$ . In particular, the ring extension  $FP \to \overline{B_{\gamma}}$  has depth one.  $\Box$ 

**2.3.** It would be interesting to have a similar description of the depth-two condition for source algebras of blocks. The goal of this subsection is to show that  $RP \rightarrow B_{\gamma}$  (and also  $FP \rightarrow \overline{B_{\gamma}}$ ) is a *symmetric Frobenius extension*, so that the left and right depth-two conditions are equivalent [Kadison and Szlachányi 2003, Proposition 6.4].

Recall from [Kadison 1999, Theorem I.1.2] that a ring extension  $f: \Gamma \to \Delta$ is called a *Frobenius extension* if there exist a  $(\Gamma, \Gamma)$ -bimodule homomorphism  $E: \Delta \to \Gamma$  and elements  $x_j, y_j \in \Delta, j = 1, ..., n$ , such that

$$\sum_{j=1}^{n} x_j E(y_j a) = a = \sum_{j=1}^{n} E(ax_j) y_j$$
(2.3.a)

for all  $a \in \Delta$ . If in addition

$$E(ca) = E(ac) \tag{2.3.b}$$

holds for all  $a \in \Delta$  and  $c \in C_{\Delta}(\Gamma)$ , then one calls the extension  $f: \Gamma \to \Delta$  a symmetric Frobenius extension.

If  $\Gamma \subseteq \Delta$  is a symmetric Frobenius extension and *e* is an idempotent in  $C_{\Delta}(\Gamma)$ , then  $e\Gamma e \subseteq e\Delta e$  is a symmetric Frobenius extension. In fact, if  $E : \Delta \to \Gamma$  satisfies

(2.3.a) and (2.3.b), then it is easy to verify that  $\widetilde{E}: e\Delta e \to e\Gamma e, a \mapsto eE(a)e$  satisfies

$$\sum_{j=1}^{n} ex_j e\widetilde{E}(ey_j ea) = a = \sum_{j=1}^{n} \widetilde{E}(aex_j e)ey_j e$$

for all  $a \in e \Delta e$ . Moreover, Equation (2.3.b) implies  $\widetilde{E}(ca) = \widetilde{E}(ac)$  for all  $a \in e \Delta e$ and  $c \in C_{e\Delta e}(e\Gamma e) = eC_{\Delta}(\Gamma)e$ .

If *H* is a subgroup of *G*, then  $kH \subseteq kG$  is a symmetric Frobenius extension. In fact, one can choose for  $E: kG \to kH$  the canonical projection, and for  $x_j$  and  $y_j$ , coset representatives of G/H and their inverses. Thus, if *e* is an idempotent in  $(kG)^H$ , then also  $ekHe \to ekGe$  is a symmetric Frobenius extension. This holds even over arbitrary commutative rings *k*.

Now our claim follows by specializing to H = P and  $e = 1_{B_{\gamma}}$  (or  $e = 1_{\overline{B_{\gamma}}}$ ), and noting that  $kP \rightarrow ekPe$ ,  $a \mapsto eae = ea = ae$  is an isomorphism of *k*-algebras.

By the preceding discussion, we do not need to distinguish between the left and the right depth-two condition in the following proposition.

**Proposition 2.4.** Let B be the principal block of RG, and let  $P_{\gamma}$  be a maximal local pointed group on B (so that P is a Sylow p-subgroup of G). Set  $E := N_G(P_{\gamma})/PC_G(P)$ . Let  $B_{\gamma}$  be a source algebra of B. Then the following assertions are equivalent:

- (i) The ring extension  $FP \to \overline{B_{\gamma}}$  defined by the structural map  $P \to \overline{B_{\gamma}}^{\times}$  has depth two.
- (ii)  $B_{\gamma}$  is isomorphic to a twisted group algebra  $R_{\sharp}[P \rtimes E]$  of the semidirect product  $P \rtimes E$ , as an interior *P*-algebra.

*Proof.* (i)  $\Rightarrow$  (ii): Suppose that the ring extension  $FP \rightarrow \overline{B_{\gamma}}$  has depth two, and write  $A := \overline{B_{\gamma}} = iFGi$ , where *i* is a primitive idempotent in  $(FG)^{P}$ . Then there exists a positive integer *n* such that

$$\operatorname{Res}_{FP}^{A}\operatorname{Ind}_{FP}^{A}\operatorname{Res}_{FP}^{A}(iM) | \operatorname{Res}_{FP}^{A}(iM)^{n}$$

for every B-module M. Taking for M the trivial FG-module F, we obtain

$$A \otimes_{FP} iF \mid (iF)^n$$

in  $_{FP}$  Mod. Thus, P acts trivially on  $A \otimes_{FP} iF$ . On the other hand, A is a direct sum of (FP, FP)-bimodules of the form F[PgP], for suitable  $g \in G$ . It is easy to see that  $F[PgP] \otimes_{FP} iF \cong \operatorname{Ind}_{P \cap gPg^{-1}}^{P}(F)$  in  $_{FP}$  Mod. And if P acts trivially on  $\operatorname{Ind}_{P \cap gPg^{-1}}^{P}(F)$ , then  $g \in N_G(P)$ . Thus A is in fact a direct sum of (FP, FP)bimodules of the form F[PgP], for suitable  $g \in N_G(P)$ . Hence [Thévenaz 1995, Theorem 44.3], a result by Puig, implies that  $\operatorname{rk}_R B_{\gamma} = \dim_F \overline{B_{\gamma}} = |P| \cdot |E|$ . Thus [Thévenaz 1995, Theorem 45.11], another result by Puig, implies (ii). (ii)  $\Rightarrow$  (i): Suppose that (ii) holds. Since  $R_{\sharp}[P \rtimes E]$  is a strongly *E*-graded ring with 1-component  $R_{\sharp}P \cong RP$ , [Boltje and Külshammer 2010, Proposition 1.5] shows that the ring extension  $RP \rightarrow R_{\sharp}[P \rtimes E]$  has depth two. Tensoring with *F*, we obtain (i).

**Remark 2.5.** The implication (ii)  $\Rightarrow$  (i) is valid for arbitrary blocks *B* of *RG*. Also, if (ii) holds, one can show that every simple  $\overline{B}$ -module *M* has trivial source by noting that *P* acts trivially on *iM*.

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