

# *Algebra & Number Theory*

Volume 7

2013

No. 1

*L*-functions and periods of  
adjoint motives

Michael Harris



# $L$ -functions and periods of adjoint motives

Michael Harris

The article studies the compatibility of the refined Gross–Prasad (or Ichino–Ikeda) conjecture for unitary groups, due to Neal Harris, with Deligne’s conjecture on critical values of  $L$ -functions. When the automorphic representations are of motivic type, it is shown that the  $L$ -values that arise in the formula are critical in Deligne’s sense, and their Deligne periods can be written explicitly as products of Petersson norms of arithmetically normalized coherent cohomology classes. In some cases this can be used to verify Deligne’s conjecture for critical values of adjoint type (Asai)  $L$ -functions.

## Introduction

The refined Gross–Prasad conjecture, or Ichino–Ikeda conjecture, is an explicit and exact expression for certain products of special values of automorphic  $L$ -functions in terms of automorphic periods. In the situation of the present article,  $\pi$  and  $\pi'$  are automorphic representations of unitary groups  $U(W)$  and  $U(W')$ , respectively, where  $W$  is a hermitian space of dimension  $n$  over a CM field  $\mathcal{K}$  and  $W' \subset W$  is a nondegenerate hermitian subspace of codimension 1. We assume  $\pi$  and  $\pi'$  admit base change to automorphic representations  $BC(\pi)$  and  $BC(\pi')$  of  $GL(n, \mathcal{K})$  and  $GL(n-1, \mathcal{K})$ , respectively. The original Ichino–Ikeda conjecture is stated for inclusions of special orthogonal groups; the version for unitary groups, due to Neal Harris [N. Harris 2011], gives a formula for the quotient

$$\frac{L\left(\frac{1}{2}, BC(\pi) \times BC(\pi')\right)}{L(1, \pi, \text{Ad})L(1, \pi', \text{Ad})} \quad (0.1)$$

in terms of global periods, local integrals, and some elementary terms (for details, see Section 2.1). Here the numerator is a Rankin–Selberg tensor product  $L$ -function for  $GL(n) \times GL(n-1)$ , and the  $L$ -functions attached to the adjoint

---

Institut des Mathématiques de Jussieu, U.M.R. 7586 du CNRS. Membre, Institut Universitaire de France. This work was partially supported by the Association of Members of the Institute for Advanced Study.

MSC2010: primary 11F67; secondary 11F70, 14G35, 11G09.

Keywords: adjoint  $L$ -functions, automorphic forms, motives, Ichino–Ikeda conjecture, periods.

representations of the  $L$ -groups of unitary groups can be identified with the Asai  $L$ -functions  $L(s, \text{BC}(\pi), \text{As}^\pm)$ ,  $L(1, \text{BC}(\pi'), \text{As}^\mp)$  of the conjugate self-dual representations  $\text{BC}(\pi)$ ,  $\text{BC}(\pi')$  as follows (see [N. Harris 2011, Remark 1.4; Gan et al. 2012a, Proposition 7.4]):

$$\begin{aligned} L(s, \pi, \text{Ad}) &= L(s, \text{BC}(\pi), \text{As}^{(-1)^n}), \\ L(s, \pi', \text{Ad}) &= L(s, \text{BC}(\pi), \text{As}^{(-1)^{n-1}}). \end{aligned} \tag{0.2}$$

In its formulation for special orthogonal groups, the Ichino–Ikeda conjecture is inspired by formulas for the central values of  $L$ -functions of  $\text{GL}(2)$ , due to Waldspurger [1985] and others, and represents the culmination of several decades of work in connection with the Birch–Swinnerton-Dyer conjecture, including various attempts to generalize the Gross–Zagier formula. It is natural to focus on the central value in the numerator in the Ichino–Ikeda conjecture, and to view the  $L$ -values in the denominator as error terms. The present paper is instead primarily concerned with the denominator.

In what follows, when  $\pi$  is attached to a motive  $M$  of rank  $n$  over a number field, the value  $L(1, \pi, \text{Ad}) = L(s, \text{BC}(\pi), \text{As}^{(-1)^n})$  is critical in Deligne’s sense [1979a], and is expected to be closely connected to the classification of  $p$ -adic deformations of the mod  $p$  Galois representations attached to  $M$ . For  $n = 2$  this principle is well understood and there are very precise results due to Hida [1981], Diamond–Flach–Guo [2004], and Dimitrov [2009]. This is the first of a series of papers whose goal is to indicate a way to prove similar results for  $n > 2$ . The approach suggested here is heuristic and speculative, inasmuch as the Ichino–Ikeda conjecture has only been proved in special cases,<sup>1</sup> and a number of the steps rely on nonvanishing results for special values of  $L$ -functions, and ergodicity results for automorphic periods, that have yet to be studied seriously. Nevertheless, the Ichino–Ikeda conjecture, in conjunction with Deligne’s conjecture on critical values of  $L$ -functions, indicates the existence of structural links between congruences among automorphic forms and the divisibility of the value  $L(1, \pi, \text{Ad})$ , and these links seem worth exploring.

The function  $L(s, \pi, \text{Ad})$  is interpreted as the  $L$ -function of the Asai motive  $\text{As}^{(-1)^n}(M)$  attached to  $M$ . The present paper introduces the family of cohomological realizations that should be attached to the conjectural object  $\text{As}^{(-1)^n}(M)$  and explains how to relate them to automorphic forms. The main results interpret the Deligne period of  $\text{As}^{(-1)^n}(M)$  in terms of coherent cohomological automorphic forms, and show how the Ichino–Ikeda conjecture can be used to prove a version of Deligne’s conjecture for the critical value  $L(1, \pi, \text{Ad}) = L(1, \text{As}^{(-1)^n}(M))$ ,

---

<sup>1</sup> Added in proof: Since this paragraph was written, Wei Zhang has made remarkable progress on the conjecture, especially on the case considered in the final section of this paper. I will be returning to this question in forthcoming work with Harald Grobner.

assuming certain nonvanishing conjectures for twists of standard  $L$ -functions of unitary groups by finite order characters. Heuristic evidence for the nonvanishing conjectures is provided by the existence of  $p$ -adic  $L$ -functions: when  $\pi$  varies in a Hida family of ordinary automorphic representations with global root number  $+1$ , the  $p$ -adic  $L$ -function of the family is generically nonzero at the central critical point. Although the foundations are largely available for general CM fields, the main applications of the present article are limited to the case where  $\mathcal{K}$  is a quadratic imaginary field and  $n$  is even; this provides for some simplification of the main formulas, while presenting the general picture. The author and L. Guerberoff hope to treat the general case in a subsequent article. Applications to congruence modules, in Hida's sense, will be treated in forthcoming joint work with C. Skinner.

The present paper can also be read as a confirmation of the compatibility between the Ichino–Ikeda conjecture and Deligne's conjecture for pairs of automorphic motives satisfying the inequalities (2.3.4), which correspond to period integrals on totally definite hermitian spaces  $W$  and  $W'$ . It appears that compatibility in general cannot be established by purely automorphic methods.

### Notation and conventions

Throughout the article, we let  $\mathcal{K}$  be a CM quadratic extension of a totally real field  $F$ , with  $c \in \text{Gal}(\mathcal{K}/F)$  complex conjugation. Let  $\Sigma_F$  denote the set of real places of  $F$ , and let  $\Sigma$  denote a CM type of  $\mathcal{K}$ , a set of extensions of  $\Sigma_F$  to  $\mathcal{K}$ , so that  $\Sigma \coprod c \cdot \Sigma$  is the set of archimedean embeddings of  $\mathcal{K}$ . If  $\sigma \in \Sigma_F$ , we let  $\sigma_{\mathcal{K}}$  denote its extension in  $\Sigma$ . We let  $\eta_{\mathcal{K}/F} : \text{Gal}(\bar{F}/F) \rightarrow \{\pm 1\}$  denote the Galois character attached to the quadratic extension  $\mathcal{K}/F$ .

Unless otherwise indicated, a discrete series representation of an algebraic group  $G$  over  $\mathbb{R}$  will always be assumed to be *algebraic*, in the sense that its infinitesimal character is the same as that of a finite-dimensional representation. This is of course a condition on the central character.

Let  $E$  be a number field, and let  $\alpha, \beta \in E \otimes_{\mathbb{Q}} \mathbb{C}$ . Following Deligne, we write  $\alpha \sim_E \beta$  if either  $\beta \notin (E \otimes_{\mathbb{Q}} \mathbb{C})^{\times}$  or  $\beta^{-1}\alpha \in E = E \otimes_{\mathbb{Q}} \mathbb{Q}$ . In the situations that arise, if  $\beta \notin (E \otimes_{\mathbb{Q}} \mathbb{C})^{\times}$  then we will assume  $\beta = 0$ .

Suppose  $\mathcal{K}$  is a number field with a given embedding in  $\mathbb{C}$ . Then we write  $\alpha \sim_{E, \mathcal{K}} \beta$  if either  $\beta \notin (E \otimes_{\mathbb{Q}} \mathbb{C})^{\times}$  or  $\beta^{-1}\alpha \in E \otimes_{\mathbb{Q}} \mathcal{K} \subset E \otimes_{\mathbb{Q}} \mathbb{C}$ .

## 1. Deligne periods of polarized regular motives

**1.1. Polarized regular motives over CM fields.** Let  $\Pi$  be a cuspidal cohomological automorphic representation  $\Pi$  of  $\text{GL}(n, \mathcal{K})$  satisfying the polarization condition

$$\Pi^{\vee} \xrightarrow{\sim} \Pi^c. \tag{1.1.1}$$

Let  $E = E(\Pi)$  denote a field of definition of  $\Pi_f$ .<sup>2</sup> This is a CM field [Blasius et al. 1994] and in what follows we will consider  $c$ -linear automorphisms of  $E$ -vector spaces. By the results of a number of people, collected in [Chenevier and Harris 2013],  $\Pi$  gives rise to a compatible system of  $\lambda$ -adic representations  $\rho_{\Pi,\lambda} : \text{Gal}(\overline{\mathbb{Q}}/\mathcal{H}) \rightarrow \text{GL}(n, E_\lambda)$ , where  $\lambda$  runs over places of  $E$ , with a nondegenerate pairing

$$\rho_{\Pi,\lambda} \otimes \rho_{\Pi,\lambda}^c \rightarrow E_\lambda(1-n). \quad (1.1.2)$$

To keep these Galois representations company, we postulate the existence of a pure motive  $M = M_\Pi$  over  $\mathcal{H}$  of rank  $n$  and weight  $w = n - 1$ , with coefficients in  $E$ , whose  $\lambda$ -adic realization is  $\rho_{\Pi,\lambda}$  and whose other realizations can be constructed using automorphic forms. For the present purposes, all we know of  $M$  is its family of realizations, together with compatibility isomorphisms. The relation between  $M$  and  $\Pi$  is encapsulated in the formula

$$L(s, M) = L(s + \frac{1}{2}(1-n), \Pi) = L(s, \Pi \otimes (|\cdot| \circ \det)^{(1-n)/2}) \quad (1.1.3)$$

Consider the motives  $RM = R_{\mathcal{H}/F}M$  and  $\mathcal{R}M = R_{\mathcal{H}/\mathbb{Q}}M$  over  $F$  and  $\mathbb{Q}$ , respectively. The base change  $RM_{\mathcal{H}}$  of  $RM$  breaks up as  $M \oplus M^c$ , where the distinction between  $M$  and  $M^c$  depends on the choice of CM type  $\Sigma$ . Indeed, for each real embedding  $\sigma$  of  $F$  we can consider  $RM_{B,\sigma}$ , which can be interpreted as the topological cohomology  $H^*(RM \times_{\sigma,\mathbb{C}}(\mathbb{C}), E)$ ; then

$$RM_{\mathcal{H},B,\sigma} = H^*(RM \times_{\sigma_{\mathcal{H},\mathbb{C}}(\mathbb{C}), E}) \oplus H^*(RM \times_{c\sigma_{\mathcal{H},\mathbb{C}}(\mathbb{C}), E}).$$

The polarization is a nondegenerate pairing

$$\langle \cdot, \cdot \rangle_B : M \otimes M^c \rightarrow E(1-n) \quad (1.1.4)$$

whereas  $F_\infty$  is just an isomorphism of Betti realizations that is linear with respect to the  $E$ -module structure:

$$F_\infty : M_B \xrightarrow{\sim} M_B^c. \quad (1.1.5)$$

We choose an  $E$ -basis  $(e_1, \dots, e_n)$  of  $M_B$  and let  $e_i^c = F_\infty(e_i)$  for  $i = 1, \dots, n$ . I refer to my paper [Harris 1997] for generalities about Deligne's conjectures [1979a] on special values of  $L$ -functions, as specialized to polarized regular motives. In that paper it is assumed  $M \xrightarrow{\sim} M^c$ , or equivalently that  $\Pi$  is a base change from  $F$  to  $\mathcal{H}$ , so that the superscripts  $c$  can be removed in (1.1.1) and (1.1.2). The

---

<sup>2</sup>To be completely accurate, although it is known that  $\Pi_f$  has a model over its field of rationality, it is not known that the motive we construct below has coefficients in the same field; for example, it has not been checked that the associated Galois representations can be realized over the  $\lambda$ -adic completions of  $E(\Pi)$ , because of the possibility of a nontrivial Brauer obstruction. So we will take  $E(\Pi)$  to be a finite extension of the field of rationality of  $\Pi_f$  over which all the subsequent constructions are valid.

arguments in general are simple modifications of this self-dual case; however, there are roughly twice as many invariants in the general case. I follow [Harris et al. 2011], where these invariants are discussed in connection with automorphic forms on unitary groups.

The restriction of scalars  $R_{\mathcal{H}/\mathbb{Q}}M_{\Pi}$  is naturally a motive of rank  $n$  over  $\mathbb{Q}$  with coefficients in  $E(\Pi) \otimes \mathcal{H}$ . The de Rham realization of  $R_{\mathcal{H}/\mathbb{Q}}M_{\Pi}$ , denoted  $M_{\mathcal{H}/\mathbb{Q},DR}(\Pi)$ , is a free rank  $n$  module over  $E(\Pi) \otimes \mathcal{H}$ . The Hodge decomposition

$$M_{\mathcal{H}/\mathbb{Q},DR}(\Pi) \otimes \mathbb{C} \xrightarrow{\sim} \bigoplus_{p+q=n-1} M_{\mathcal{H}/\mathbb{Q}}^{p,q}(\Pi) \tag{1.1.6}$$

and the natural decomposition of  $E(\Pi) \otimes \mathcal{H} \otimes \mathbb{C}$ -modules

$$M_{\mathcal{H}/\mathbb{Q},DR}(\Pi) \otimes \mathbb{C} \xrightarrow{\sim} \bigoplus_{\sigma:E(\Pi)\otimes\mathcal{H}\rightarrow\mathbb{C}} M_{\mathcal{H}/\mathbb{Q},\sigma}(\Pi) \tag{1.1.7}$$

are compatible with the  $E(\Pi) \otimes \mathcal{H}$ -action in the sense that complex conjugation  $c$  defines antilinear isomorphisms

$$c : M_{\mathcal{H}/\mathbb{Q},\sigma}^{p,q}(\Pi) \xrightarrow{\sim} M_{\mathcal{H}/\mathbb{Q},c\sigma}^{q,p}(\Pi) \tag{1.1.8}$$

such that

$$c(am) = c(a)c(m) \quad \text{for } a \in E(\Pi) \otimes \mathcal{H}, m \in M_{\mathcal{H}/\mathbb{Q},\sigma}^{p,q}(\Pi). \tag{1.1.9}$$

Here

$$M_{\mathcal{H}/\mathbb{Q},\sigma}^{p,q}(\Pi) = M_{\mathcal{H}/\mathbb{Q}}^{p,q}(\Pi) \cap M_{\mathcal{H}/\mathbb{Q},\sigma}(\Pi).$$

**1.1.10 Formal properties of polarized regular motives.** One expects the following properties to hold:

- (a) For all  $p, q, \sigma$ ,  $\dim M_{\mathcal{H}/\mathbb{Q},\sigma}^{p,q}(\Pi) \leq 1$ .
- (b) For all  $p, q$ ,  $\dim M_{\mathcal{H}/\mathbb{Q},\sigma}^{p,q}(\Pi)$  is independent of the restriction of  $\sigma$  to  $E(\Pi) \otimes 1$ .
- (c) Let  $\sigma$  be as above and denote by  $w \in \Sigma_{\mathcal{H}}$  its restriction to  $1 \otimes \mathcal{H}$ , and  $w^+ \in \Sigma_F$  its restriction to  $F$ . Let  $\mu(w)$  be the infinitesimal character of the finite-dimensional representation  $W_w$  defined in [Harris et al. 2011, Section 2.3] and let

$$p(w) = \mu(w) + \frac{n-1}{2}(1, 1, \dots, 1) := (p_1(w), p_2(w), \dots, p_n(w))$$

so that for all  $i$ , [Harris et al. 2011, (2.3.2)] implies that

$$p_i(w) + p_{n+1-i}(cw) = n - 1.$$

Then  $\dim M_{\mathcal{H}/\mathbb{Q},\sigma}^{p,q}(\Pi) = 1$  if and only if  $(p, q) = (p_i(w), p_{n+1-i}(cw))$  for some  $i \in \mathbf{n} := \{1, \dots, n\}$ .

(d) The motive  $R_{\mathcal{K}/\mathbb{Q}}M_{\Pi}$  has a nondegenerate polarization

$$\langle \cdot, \cdot \rangle : R_{\mathcal{K}/\mathbb{Q}}M_{\Pi} \otimes R_{\mathcal{K}/\mathbb{Q}}M_{\Pi} \rightarrow \mathbb{Q}(1-n)$$

that is alternating if  $n$  is even and symmetric if  $n$  is odd. The involution  $\dagger$  on the coefficients  $E(\Pi) \otimes \mathcal{K}$  induced by this polarization,

$$\langle ax, y \rangle = \langle x, a^{\dagger}y \rangle \quad \text{for } a \in E(\Pi) \otimes \mathcal{K} \text{ and } x, y \in R_{\mathcal{K}/\mathbb{Q}}M_{\Pi},$$

coincides with complex conjugation. In particular, the polarization induces a nondegenerate hermitian pairing

$$\langle \cdot, \cdot \rangle_{i,w} : M_{\mathcal{K}/\mathbb{Q},\sigma}^{p_i(w), p_{n+1-i}(cw)}(\Pi) \otimes M_{\mathcal{K}/\mathbb{Q},\sigma}^{p_i(cw), n-1-p_i(w)}(\Pi) \rightarrow \mathbb{C}$$

for each pair  $(i, w)$ .

Let  $q_i(w) = n - 1 - p_i(w) = p_{n+1-i}(cw)$ . For each pair  $(i, w) \in \mathbf{n} \times \Sigma_{\mathcal{K}}$ , we let  $\omega_{i,w}(\Pi) \in M_{\mathcal{K}/\mathbb{Q},\tau}^{p_i(w), q_i(w)}(\Pi)$  be the nonzero image of some  $F$ -rational class in the appropriate stage of the Hodge filtration on  $M_{\mathcal{K}/F, DR}(\Pi)$ ; see [Harris 1997, Section 1.4]. Via the comparison isomorphism

$$RM_B \otimes \mathbb{C} \xrightarrow{\sim} RM_{DR} \otimes \mathbb{C}$$

there is an action of  $F_{\infty}$  on  $RM_{DR}$ , linear with respect to the coefficients  $E$ , that exchanges  $M_{DR}$  with  $M_{DR}^c$ . Define the de Rham polarization  $\langle \cdot, \cdot \rangle_{DR}$  by analogy with (1.1.4). It restricts to perfect pairings

$$M^{p_i(w), n-1-p_i(w)} \otimes M^{p_{n+1-i}(cw), n-1-p_{n+1-i}(cw)} \rightarrow E(1-n).$$

Let

$$Q_{i,w}(\Pi) = \langle \omega_{i,w}(\Pi), F_{\infty}(\omega_{i,w}(\Pi)) \rangle_{DR} \in \mathbb{R}^{\times}. \quad (1.1.11)$$

Here  $F_{\infty}$  is complex conjugation on the Betti realization of  $M_{\mathcal{K}/\mathbb{Q}, DR}(\Pi)$ ; see [Harris 1997, (1.0.4)]. Then we may assume

$$F_{\infty}(\omega_{i,w}(\Pi)) = Q_{i,w}(\Pi) \cdot \omega_{n+1-i, cw}(\Pi). \quad (\text{P})$$

For the rest of Section 1 we will assume  $F = \mathbb{Q}$ , since the main applications will be in this setting. We can thus choose an embedding  $w : \mathcal{K} \hookrightarrow \mathbb{C}$  once and for all and drop the subscripts  $w$  in what follows, writing for example  $\omega_i$  for  $\omega_{i,w}$ .

**1.2. The determinant motive.** The determinant  $\det(M)$  is a rank one motive over  $\mathcal{K}$  of weight  $nw = n(n-1)$  with coefficients in  $E$ . Since its  $\lambda$ -adic realization is the Galois character  $\xi_{\Pi, \lambda} = \det \rho_{\Pi, \lambda}$  we can write  $\det(M) = M(\xi_{\Pi})$  where

$$\xi_{\Pi} = \chi_{\Pi} \cdot \|\cdot\|^{-n(n-1)/2} \quad (1.2.1)$$

is the indicated shift of the central character  $\chi_{\Pi}$  of  $\Pi$ , calculated using (1.1.3).

The polarization of  $M$  defines a polarization

$$M(\xi_\Pi) \otimes M(\xi_\Pi^c) \rightarrow E(n(1-n)), \quad (1.2.2)$$

which is obviously consistent with (1.2.1). Taking  $\Omega_M = \bigwedge_{i=1}^n \omega_i$  as an  $E$ -rational basis of  $\det(M)_{DR}$ , and defining  $\Omega_M^c$  analogously, relation (P) yields

$$F_\infty(\Omega_M) = Q_{\det(M)} \Omega_M^c, \quad Q_{\det(M)} = \prod_{i=1}^n Q_i. \quad (1.2.3)$$

On the other hand, letting  $e_M$  and  $e_M^c$  denote  $E$ -rational bases of  $\det(M)_B$  and  $\det(M^c)_B$  respectively, we can write

$$e_M = \delta(M) \Omega_M, \quad (1.2.4)$$

where following Deligne we let  $\delta(M)$  denote the determinant of the comparison isomorphism  $I_\infty : M_B \otimes \mathbb{C} \xrightarrow{\sim} M_{DR} \otimes \mathbb{C}$  calculated in  $E$ -rational bases;  $\delta(M)$  is well-defined as an element of  $(E \otimes \mathbb{C})^\times / E^\times$ ; see [Harris 1997, (1.2.2)].<sup>3</sup> The determinant of the dual map  $(I_\infty^\vee)^{-1} : M_B^\vee \otimes \mathbb{C} \xrightarrow{\sim} M_{DR}^\vee \otimes \mathbb{C}$  equals  $\delta(M)^{-1}$ , up to a multiple in  $E^\times$ , but by the polarization we find that this is the determinant of

$$I^c(1-n)_\infty : M^c(n-1)_B \otimes \mathbb{C} \xrightarrow{\sim} M^c(n-1)_{DR} \otimes \mathbb{C}.$$

This in turn is  $(2\pi i)^{n(n-1)}$  times the determinant of  $I_\infty^c : M_B^c \otimes \mathbb{C} \xrightarrow{\sim} M_{DR}^c \otimes \mathbb{C}$ ; in other words,

$$\delta(M)^{-1} = (2\pi i)^{n(n-1)} \delta(M^c). \quad (1.2.5)$$

Or, with respect to the comparison isomorphism,

$$e_M^c = (2\pi i)^{n(1-n)} \delta(M)^{-1} \Omega_M^c. \quad (1.2.6)$$

Now by (1.2.3) and (1.2.4) we have

$$\Omega_M^c = Q_{\det(M)}^{-1} F_\infty(\Omega_M) = Q_{\det(M)}^{-1} \delta(M)^{-1} e_M^c,$$

which combined with (1.2.6) yields the following:

**Lemma 1.2.7.** *Under the hypotheses of Section 1.1, we have the relation*

$$\prod_{i=1}^n Q_i = Q_{\det(M)} = (2\pi i)^{n(1-n)} \delta(M)^{-2}$$

as elements of  $(E \otimes \mathbb{C})^\times / E^\times$ . In other words, there is an element  $d(M) \in E^\times$  such that

$$\delta(M)^{-1} = d(M)^{1/2} \cdot (2\pi i)^{n(n-1)/2} \cdot Q_{\det(M)}^{1/2},$$

<sup>3</sup>Deligne's  $\delta$  is the determinant of the period matrix of a motive over  $\mathbb{Q}$ ; here the motive is over  $\mathcal{K}$ .

where the choice of square root  $d(M)^{1/2}$  depends on the choice of square root of  $Q_{\det(M)}$  in  $(E \otimes \mathbb{C})^\times / E^\times$ .

This is to be compared to [Harris 1997, Lemma 1.4.12]. There the independent definition of  $\delta(M)$  determines a square root of  $d(M) = [d_{DR}(M)/d_B(M)]$ . Presumably  $d(M)$  is again a ratio of discriminants of forms attached to the polarization, and its square root can therefore be given an independent definition in an appropriate quadratic extension of  $E$ .

**1.3. Asai motives.** We postulate that the adjoint motive  $\text{Ad}(M) = M \otimes M^\vee$  descends to a motive over  $F$ , denoted  $\text{As}(M)$  (for Asai). This is true for the  $\ell$ -adic realizations, as explained in [Gan et al. 2012a], and we introduce the corresponding ad hoc descents of the de Rham and Betti realizations in order to define the Deligne periods.

More precisely, in the article [Gan et al. 2012a] of Gan, Gross and Prasad, there are two descents, denoted  $\text{As}(M)^+$  and  $\text{As}(M)^-$ , that differ from one another by twist by the quadratic character  $\eta_{\mathcal{K}/F}$ , and are distinguished by the signature of  $F_\infty$ , which is  $n(n \pm 1)/2$  on  $\text{As}(M)^\pm$ . Ours is the one denoted  $\text{As}(M)^{(-1)^n}$ , as one sees by the definition of the  $F_\infty$  action below. Because the signs interfere with the notation for Deligne's periods, we write  $\text{As}(M)$  instead of  $\text{As}(M)^{(-1)^n}$  and  $(\text{As}(M)_B)^\pm$  with parentheses to designate the  $\pm 1$ -eigenspaces of  $F_\infty$ .

We denote by  $\mathbb{Q}(\eta_{\mathcal{K}/F})$  the Artin motive of rank 1 over  $F$  attached to the character  $\eta_{\mathcal{K}/F}$ . Let  $e_\eta$  denote a basis vector for  $\mathbb{Q}(\eta_{\mathcal{K}/F})_B$ . The archimedean Frobenius  $F_\infty$  acts as  $-1$  on  $\mathbb{Q}(\eta_{\mathcal{K}/F})_B$ . Let  $t$  be a rational basis of  $\mathbb{Q}(1)_{DR} = \mathbb{Q}$  (see [Harris 1997, 1.1]),  $t_B = 2\pi i t$  a rational basis of  $\mathbb{Q}(1)_B = (2\pi i)\mathbb{Q}$ ; then  $F_\infty(t_B) = -t_B$ .

We identify  $\text{Ad}(M)^c \xrightarrow{\sim} \text{Ad}(M)$  by composing

$$\begin{aligned} \text{Ad}(M)^c &= M^c \otimes M^{\vee,c} \xrightarrow{\sim} M^\vee(1-n) \otimes (M^c(n-1))^c \\ &= M^\vee \otimes M \xrightarrow{\sim} M \otimes M^\vee = \text{Ad}(M), \end{aligned}$$

where the last isomorphism is just exchanging the factors and the first is defined by the polarization. As a model for  $\text{As}(M)_B$  over  $F$  we take

$$\text{As}(M)_B = M_B \otimes M_B^c(1-n) \otimes \mathbb{Q}(\eta_{\mathcal{K}/F})^{\otimes n}$$

with the action

$$\begin{aligned} F_\infty(e_i \otimes e_j^c \otimes t_B^{1-n} \otimes e_\eta^{\otimes n}) &= e_j \otimes e_i^c \otimes (-1)^{1-n} t_B^{1-n} \otimes (-1)^n e_\eta^{\otimes n} \\ &= -e_j \otimes e_i^c \otimes t_B^{1-n} e_\eta^{\otimes n}. \end{aligned}$$

Here we have exchanged the first two factors after applying complex conjugation. Thus the vectors

$$\{e_{ij}^+ = [e_i \otimes e_j^c - e_j \otimes e_i^c] \otimes t_B^{1-n} \otimes e_\eta^{\otimes n}, i < j\}$$

and

$$\{e_{ij}^- = [e_i \otimes e_j^c + e_j \otimes e_i^c] \otimes t_B^{1-n} \otimes e_\eta^{\otimes n}, i \leq j\}$$

form bases for  $(\text{As}(M)_B)^+$  and  $(\text{As}(M)_B)^-$ , respectively, in Deligne's notation (where we have added parentheses as explained above). In particular,

$$\dim(\text{As}(M)_B)^+ = \frac{1}{2}n(n-1) \quad \text{and} \quad \dim(\text{As}(M)_B)^- = \frac{1}{2}n(n+1). \quad (1.3.1)$$

But, in the applications we will be interested in the special value  $L(1, \text{As}(M)) = L(0, \text{As}(M)(1))$ . The action of  $F_\infty$  on the Tate twist

$$\text{As}(M)(1)_B = M_B \otimes M_B^c(2-n) \otimes \mathbb{Q}(\eta_{\mathcal{K}/F})^{\otimes n}$$

is as above, with  $(1-n)$  replaced by  $n$ . The motive  $\text{As}(M)(1)$  is pure of weight  $-2$ , and the dimension calculation shows that  $F_\infty$  acts as the scalar  $+1$  on the space of  $(-1, -1)$  classes; thus  $\text{As}(M)(1)$  is *critical* in Deligne's sense.<sup>4</sup> This implies in particular that the Hodge filtration of  $\text{As}(M)(1)_{DR}$  has two distinguished steps  $F^\pm \text{As}(M)(1)_{DR}$  (see [Harris 1997, Section 1.2]) uniquely determined by the equalities

$$\dim F^\pm \text{As}(M)(1)_{DR} = \dim(\text{As}(M)(1)_B)^\pm = \frac{1}{2}n(n \pm 1),$$

where the dimension calculation follows from (1.3.1), bearing in mind that  $F_\infty$  acts as  $-1$  on  $\mathbb{Q}(1)_B$ . We can similarly define steps in the filtration of  $\text{As}(M)_{DR}$ :

$$n^\pm := \dim F^\pm \text{As}(M)_{DR} = \dim(\text{As}(M)_B)^\pm = \frac{1}{2}n(n \mp 1). \quad (1.3.2)$$

Thus,

$$F^+ \text{As}(M)_{DR} \subsetneq F^- \text{As}(M)_{DR} \quad \text{and} \quad F^- \text{As}(M)(1)_{DR} \subsetneq F^+ \text{As}(M)(1)_{DR}.$$

With respect to the isomorphism  $M^\vee \xrightarrow{\sim} M^c(n-1)$ , we can take the differentials  $\omega_j^c(n-1) = \omega_j^c \otimes t^{\otimes n-1}$  as a basis of  $M_{DR}^\vee$ . It follows from the dimension calculation above that the relevant step  $F^+ \text{As}(M)_{DR}$  in the Hodge filtration is spanned by the classes  $\omega_{ij} = \omega_i \otimes \omega_j^c(n-1)$ , of Hodge type

$$H_{ij}(\text{As}(M)) := (p_i + p_j^c + 1 - n, n - 1 - p_i - p_j^c)$$

satisfying the condition

$$p_i + p_j^c > n - 1. \quad (C(+))$$

---

<sup>4</sup>Dick Gross has pointed out that this can be seen purely in terms of representation theory. The local  $L$ -factor at infinity  $L_\infty(s, \text{As}(M))$  has no pole at  $s = 1$  because discrete series parameters are generic, and no pole at  $s = 0$  because the corresponding representations are in the discrete series.

This is equivalent to  $p_i - p_{n+1-j} > 0$ , and since the  $p_i$  are strictly decreasing,  $(C(+))$  is true if and only if  $i + j \leq n + 1$ . Similarly  $F^- \text{As}(M)_{DR}$  is spanned by  $\omega_{ij}$  satisfying

$$p_i + p_j^c \geq n - 1 \quad (n \text{ even}), \quad (C(-))$$

which holds if and only if  $i + j \leq n + 1$ .

We define the motives  $\bigwedge^2 M$  and  $\text{Sym}^2 M$  over  $\mathcal{H}$  in the obvious way. Because we will need a uniform notation we write  $S^+(M) = \text{Sym}^2 M$  and  $S^-(M) = \bigwedge^2 M$ .

Write

$$\omega_j = \sum a_{ij} e_i \quad \text{and} \quad \omega_j^c = \sum a_{ij}^c e_i^c.$$

Then we have the relation  $a_{i,n+1-j}^c = Q_j^{-1} a_{ij}$ . Now let  $\{e_{ik}^{\pm,*}\}$  denote the dual basis to the basis  $\{e_{ik}^{\pm}\}$  of  $(\text{As}(M)_B)^{\pm}$  introduced above. It follows from the identity (P) that we have

$$\begin{aligned} e_{ik}^{\pm,*}(\omega_{j,n+1-\ell}) &\sim [a_{ij} a_{k,n+1-\ell}^c \pm a_{kj} a_{i,n+1-\ell}^c] (2\pi i)^{1-n} \\ &\sim (2\pi i)^{1-n} Q_\ell^{-1} (a_{ij} a_{k,\ell} \pm a_{kj} a_{i,\ell}) \\ &\sim (2\pi i)^{1-n} Q_\ell^{-1} e_{ik}^{\pm,*}(\omega_j \otimes \omega_\ell), \end{aligned}$$

where  $\sim$  means that the calculations are up to factors in the coefficient field. Now if  $H_{j,n+1-\ell}(\text{As}(M))$  satisfies  $(C(+))$ , then  $j < \ell$ . The arguments of [Harris 1997, Section 1.5] allow us to calculate the matrix for the Deligne period  $c^+(\text{As}(M)^\vee)$  of the dual of  $\text{As}(M)$ . However, the self-duality of  $\text{Ad}(M)$  easily implies that  $\text{As}(M)$  is self-dual, so the calculation that follows gives an expression for  $c^+(\text{As}(M))$ . The entries in the matrix are given by  $e_{ik}^{\pm,*}(\omega_{j\ell})$  as  $(i, k)$  varies over pairs with  $i \leq k$  and  $j \leq \ell$  if  $n$  is odd, with strict inequalities if  $n$  is even.

Keep  $n^\pm$  as in (1.3.2). Then the determinant of the period matrix calculating  $c^\pm(\text{As}(M))$  is equal to a certain product  $Q^\pm(\text{As}(M))$  of factors of the form  $Q_\ell^{-1}$ , to be determined below, multiplied by the determinant  $\Delta$  of the matrix

$$(e_i \otimes e_k - e_k \otimes e_i)^*(\omega_j \otimes \omega_\ell)$$

as  $(i, k)$  ranges over pairs with  $i \leq k$  and  $(j, \ell)$  ranges over pairs with  $j < \ell$ , the whole multiplied by  $(2\pi i)^{(1-n)n^\pm}$ . The determinant  $\Delta$  is precisely the inverse of the determinant of the full period matrix of the motive  $S^\mp(M)$  in the implicit bases, which Deligne denotes  $\delta(S^\mp(M))$ .

The factor  $Q^\pm(\text{As}(M))$  is determined as follows. For  $1 \leq \ell \leq n$ , let  $m^+(\ell)$  and  $m^-(\ell)$  denote the number of  $j$  such that  $j \leq \ell$  and  $j < \ell$ , respectively. Then  $m^+(\ell) = \ell$  and  $m^-(\ell) = \ell - 1$ . Let

$$Q^+(M) = \prod_\ell Q_\ell^{-m^+(\ell)} = \prod_\ell Q_\ell^{-\ell} \quad \text{and} \quad Q^-(M) = \prod_\ell Q_\ell^{-m^-(\ell)} = \prod_\ell Q_\ell^{1-\ell}.$$

It follows that:

$$\textbf{Formula 1.3.3.} \quad Q^\pm(\text{As}(M)) = Q^\mp(M).$$

This proves the first statement of the following proposition; the second statement is proved analogously.

**Proposition 1.3.4.** *Let  $M$  be a polarized motive satisfying the conditions of 1.1.10, and with the property that  $\text{Ad}(M)$  descends to  $F = \mathbb{Q}$ . Then*

$$\begin{aligned} c^+(\text{As}(M)) &= (2\pi i)^{(1-n)n^+} Q^-(M)\delta(S^-(M))^{-1}, \\ c^-(\text{As}(M)) &= (2\pi i)^{(1-n)n^-} Q^+(M)\delta(S^+(M))^{-1}. \end{aligned}$$

Applying [Deligne 1979a, formula (5.1.8)], with  $n^-$  as in (1.3.2), we have

$$c^+(\text{As}(M)(1)) = c^-(\text{As}(M))(2\pi i)^{n^-}.$$

One calculates easily that  $\delta(S^\pm(M)) = \delta(\det(M)^{n^\pm}) = \delta(M)^{n^\pm}$ , where the last equality follows from the considerations of Section 1.2.

Combining the formulas of this section with Lemma 1.2.7, we can therefore write the Deligne period for the motive of interest explicitly in terms of the  $Q_j$  and  $\delta$ .

**Corollary 1.3.5.** *Under the above hypotheses, we have the following expression for  $c^+(\text{As}(M)(1))$ :*

$$\begin{aligned} c^+(\text{As}(M)(1)) &= (2\pi i)^{n^-} (2\pi i)^{(1-n)n^-} Q^+(M)\delta(S^+(M))^{-1} \\ &= d(M)^{1/2} (2\pi i)^{n(n+1)/2} [Q_{\det(M)}]^{(n-1)/2} \cdot \prod_\ell Q_\ell^{1-\ell} \\ &= d(M)^{1/2} (2\pi i)^{n(n+1)/2} \prod_\ell Q_\ell^{(n+1)/2-\ell} \end{aligned}$$

We see that  $\delta(S^-(M))^{-1}$  is an odd power of  $\delta(M)^{-1}$ ; therefore we need to include the factor  $d(M)^{1/2}$  introduced in Lemma 1.2.7 along with the half-integral power of  $Q_{\det(M)}$ . The half-integral powers of the  $Q_\ell$  that occur in the expression for even  $n$  are not meaningful individually, and have only been included for their suggestive similarity with the standard expression for the half-sum of positive roots.

**Remark 1.3.6.** If one defines  $Q_\ell^c$  by analogy with the definition of  $Q_\ell$  above, one sees easily that  $Q_\ell^c = Q_{n+1-\ell}^{-1}$ . It is obvious that the expression in Corollary 1.3.5 is invariant when  $M$  and  $M^c$  are exchanged, as it should be.

**1.4. Tensor products.** In subsequent sections we will explore the relations between the calculations of the previous section and the Ichino–Ikeda conjecture. Here we briefly explain how a similar calculation determines the Deligne period of the tensor product of two motives of the type considered in Section 1.

Suppose  $M$  and  $M'$  are two motives of dimension  $n$  and  $n'$ , respectively, both of the type considered above. We let  $\omega_a, \omega_i^c, e_i, e_i^c$ , where  $1 \leq a, t, i \leq n$ , be the

basis vectors defined for  $M$  above. For  $M'$  we use the notation  $\eta_b, \eta_u^c, f_j, f_j^c$ , with  $1 \leq b, u, j \leq n'$ . The Hodge types for  $M$  are  $(p_i, n - 1 - p_i)$  and  $(p_i^c, n - 1 - p_i^c)$  as before; for  $M'$  we write  $(r_j, n' - 1 - r_j)$  and  $(r_j^c, n' - 1 - r_j^c)$ . The tensor product motive we consider is not  $RM \otimes RM'$  but rather  $R(M \otimes M') = R_{\mathcal{K}/F}(M \otimes M')$ , whose Betti realization is  $M_B \otimes M'_B \oplus M_B^c \otimes (M')_B^c$ , and whose de Rham realization breaks up analogously. In particular, the differentials  $\omega_a \otimes \eta_b$  and  $\omega_i^c \otimes \eta_u^c$  form a basis for  $R(M \otimes M')_{DR}$ .

The motive  $R(M \otimes M')$  is of dimension  $2nn'$  over its coefficient field and of weight  $w = n + n' - 2$ . We will only need to consider the case when  $n$  and  $n'$  are of opposite parity; for example, when  $n' = n - 1$ , as in the original Gross–Prasad conjecture. Then  $w$  is odd and  $R(M \otimes M')$  has no  $(0, 0)$  classes; it follows that the value  $(w + 1)/2 = (n + n' - 1)/2$  is a critical value of the  $L$ -function  $L(s, R(M \otimes M'))$ .

The basis for  $R(M \otimes M')_B^\pm$  is then  $e_i \otimes f_j \pm e_i^c \otimes f_j^c$  for  $1 \leq i \leq n$  and  $i \leq j \leq n'$ . To determine the basis for  $F^+R(M \otimes M')_{DR} = F^-R(M \otimes M')_{DR}$  we need to determine the sets  $A(M, M')$  and  $T(M, M')$  of pairs  $a, b$  and  $t, u$  such that  $p_a + r_b \geq (w + 1)/2$  and  $p_t^c + r_u^c \geq (w + 1)/2$ , respectively. Bearing in mind Hodge duality, the cardinality

$$|A(M, M')| + |T(M, M')| = nn' = \dim F^+R(M \otimes M')_{DR}.$$

The set  $\{\omega_a \otimes \eta_b \mid (a, b) \in A(M, M')\} \cup \{\omega_t^c \otimes \eta_u^c \mid (t, u) \in T(M, M')\}$  forms a basis for  $F^+R(M \otimes M')_{DR}$ . A calculation using the relation (P), as in Section 1.3, shows that:

**Lemma 1.4.1.**

$$\begin{aligned} c^+(R(M \otimes M')^\vee) &= \pm c^-(R(M \otimes M')^\vee) \\ &= \prod_{(t,u) \in T(M, M')} Q_{n+1-t}(M)^{-1} Q_{n'+1-u}(M')^{-1} \cdot \delta(M \otimes M')^{-1}, \end{aligned}$$

where  $\delta$  is the determinant of the full period matrix for  $M \otimes M'$ , viewed as a motive over  $\mathcal{K}$ .

More precisely, letting  $(i, j)$  run over pairs of integers with  $1 \leq i \leq n$  and  $i \leq j \leq n'$ , the Deligne period  $c^+(R(M \otimes M'))$  is the determinant of the matrix whose first  $|A(M, M')|$  columns, indexed by pairs  $(a, b) \in A(M, M')$ , are the vectors  $(a_{ia}b_{jb})$ , and whose last  $|T(M, M')|$  columns, indexed by pairs  $(t, u) \in T(M, M')$ , are the vectors  $(a_{it}^c b_{ju}^c)$ . Here as above, we have written

$$\omega_a = \sum a_{ia} e_i, \quad \eta_b = \sum b_{jb} f_j, \quad \omega_t^c = \sum a_{it}^c e_i^c, \quad \eta_u^c = \sum b_{ju}^c f_j^c.$$

By identity (P) we have

$$\omega_t^c = \mathcal{Q}_{n+1-t}(M)^{-1} \sum a_{it} e_i^c \quad \text{and} \quad \eta_u^c = \mathcal{Q}_{n'+1-u}(M')^{-1} \sum b_{ju} f_j^c.$$

The formula for  $c^+(R(M \otimes M')^\vee)$  then follows as in Section 1.3.

Because the Hodge types satisfy  $p_t^c > p_{t+1}^c$  and  $r_u^c > r_{u+1}^c$ , we have this:

**Lemma 1.4.2.** *The set  $T(M, M')$  is a tableau: if  $(t, u) \in T(M, M')$ , then for any  $t' < t$  and  $u' < u$ , the pairs  $(t', u)$  and  $(t, u')$  are also in  $T(M, M')$ .*

We can represent  $T(M, M')$  geometrically as a tableau in the rectangular grid of height  $n$  and width  $n'$ , whose boxes are indexed by pairs with  $1 \leq t \leq n$  and  $1 \leq u \leq n'$ . The box at position  $(t, u)$  is filled in if  $(t, u) \in T(M, M')$ . Then the lemma asserts that if a given box  $(t, u)$  is filled in, all boxes above it or to the left of it are also filled in.

In the notation of the introduction, the set  $T(M, M')$  determines the pair of hermitian spaces  $W' \subset W$  whose automorphic periods are expressed by the Ichino–Ikeda conjecture as the quotient of the central critical value of  $L(s, R(M \otimes M'))$  by a product of critical values at  $s = 1$  of Asai  $L$ -functions. The automorphic periods can be normalized as in [Harris 2012], where they are called *Gross–Prasad periods*. The relation between Gross–Prasad periods and motivic periods is in general not transparent, and it is therefore not clear how to establish compatibility between the Ichino–Ikeda and Deligne conjectures in general. We will return to this topic in a subsequent article. The remainder of the present article is devoted to studying a special case where compatibility of the two conjectures can be studied.

## 2. The Ichino–Ikeda conjecture for unitary groups

In the present section,  $W$  denotes an  $n$ -dimensional hermitian space over  $\mathcal{H}$ , relative to conjugation over  $F$ ; until the end of Section 2.4, we allow  $F$  to be an arbitrary totally real field. If  $W_1$  and  $W_2$  are two such spaces, then for almost all finite primes  $v$  of  $F$  we have

$$U(W_1 \otimes F_v) \xrightarrow{\sim} U(W_2 \otimes F_v) \tag{2.0.1}$$

This allows us to consider automorphic representations of all unitary groups  $U(W)$  simultaneously, and to organize them into *near equivalence classes*: the automorphic representations  $\pi_1$  of  $U(W_1)$  and  $\pi_2$  of  $U(W_2)$  are nearly equivalent if, for all but finitely many  $v$  for which (2.0.1) holds, the local components  $\pi_{1,v}$  and  $\pi_{2,v}$  are equivalent.

The Gross–Prasad and Ichino–Ikeda conjectures concern special values of  $L$ -functions and local  $\varepsilon$ -factors for near equivalence classes of local and automorphic representations respectively. A given near equivalence class gives rise to a family of

motives (or at least realizations) in the cohomology of the corresponding Shimura varieties; the details are recalled in [Section 2.4](#).

All the automorphic representations in a near equivalence class are supposed to have a common base change, say  $\Pi$ , an automorphic representation of  $\mathrm{GL}(n)_{\mathcal{K}}$  that satisfies the polarization condition [\(1.1.1\)](#). This has been proved in a great many cases (see [\[Labesse 2011; White 2010\]](#), for example) and will be taken as an axiom in what follows. The near equivalence class will sometimes be denoted  $\Phi(\Pi)$  — convention actually dictates it should be  $\Pi(\Phi)$ , or even  $\Pi(\Phi(\Pi))$ , where  $\Phi$  is supposed to suggest the Langlands parameter of  $\Pi$ , but since the letter  $\Pi$  is otherwise engaged this looks problematic.

**2.1. Statement of the conjecture.** Let  $W' \subset W$  a codimension one subspace on which the restriction of the hermitian form is nondegenerate, so that  $W = W' \oplus W_0$  with  $W_0 = W^\perp$ . The unitary groups of  $W$ ,  $W'$  and  $W_0$  are reductive algebraic groups over  $F$ ; we write  $G' = U(W')$ ,  $G_0 = U(W_0)$  and  $G = U(W)$ .

Let  $\pi$ ,  $\pi'$  and  $\pi_0$  be tempered cuspidal automorphic representations of  $G$ ,  $G'$  and  $G_0$ , respectively. Let

$$\chi_\pi : Z_G(\mathbf{A})/Z_G(F) \rightarrow \mathbb{C}^\times \quad \text{and} \quad \chi'_{\pi'} : Z_{G'}(\mathbf{A})/Z_{G'}(F) \rightarrow \mathbb{C}^\times$$

denote their central characters —  $\pi_0$  is itself a character — and assume that

$$\chi_\pi \cdot \chi'_{\pi'} \otimes \pi_0|_{Z_G(\mathbf{A})} = 1. \tag{2.1.1}$$

Fix factorizations

$$\pi \simeq \otimes'_v \pi_v, \quad \pi' \simeq \otimes'_v \pi'_v, \quad \pi^\vee \simeq \otimes'_v \pi_v^\vee, \quad \pi'^{\vee} \simeq \otimes'_v \pi'^{\vee}_v \tag{2.1.2}$$

and likewise for the contragredients  $\pi^\vee$  and  $\pi'^{\vee}$ . We assume the factorizations [\(2.1.2\)](#) are compatible with factorizations of pairings

$$\langle \cdot, \cdot \rangle_\pi = \prod_v \langle \cdot, \cdot \rangle_{\pi_v} \quad \text{and} \quad \langle \cdot, \cdot \rangle_{\pi'} = \prod_v \langle \cdot, \cdot \rangle_{\pi'_v},$$

where in each case the left hand side is the  $L_2$  pairing on cusp forms and the right hand side is the product of canonical pairings between a representation and its

contragredient. We define

$$P(f, f') = \int_{G'(F) \backslash G'(\mathbf{A})} f(g') f'(g') dg', \tag{2.1.3}$$

$$P(f^\vee, f'^{\vee}) = \int_{G'(F) \backslash G'(\mathbf{A})} f^\vee(g') f'^{\vee}(g') dg',$$

$$Q(f, f^\vee) = \int_{G(F) \backslash G(\mathbf{A})} f(g) f^\vee(g) dg, \tag{2.1.4}$$

$$Q(f', f'^{\vee}) = \int_{G'(F) \backslash G'(\mathbf{A})} f'(g') f'^{\vee}(g') dg'.$$

For any place  $v$  of  $F$ , write  $G_v = G(F_v)$  and  $G'_v = G'(F_v)$ . Let  $dg$  and  $dg'$  denote Tamagawa measures on  $G(\mathbf{A})$  and  $G'(\mathbf{A})$ , respectively. We choose factorizations  $dg = \prod_v dg_v$ ,  $dg' = \prod_v dg'_v$  over the places of  $v$  with these properties:

- For every finite  $v$ , the measures  $dg_v$  and  $dg'_v$  take rational values on open subsets of  $G_v$  and  $G'_v$ , respectively.
- For all  $v$  outside a finite set  $S$ , including all archimedean places and all places at which either  $\pi$  or  $\pi'$  is ramified,

$$\int_{K_v} dg_v = \int_{K'_v} dg'_v = 1,$$

where  $K_v$  and  $K'_v$  are hyperspecial maximal compact subgroups of  $G_v$  and  $G'_v$  respectively.

Assume  $f \in \pi$ ,  $f' \in \pi'$ ,  $f^\vee \in \pi^\vee$ ,  $f'^{\vee} \in \pi'^{\vee}$  are factorizable vectors, that is,

$$f = \bigotimes_v f_v, \quad \text{where } f_v \in \pi_v, \quad f' = \bigotimes_v f'_v, \quad \text{etc.}$$

with respect to the isomorphisms (2.1.2). In what follows, we have:

- $|S(\pi, \pi')|$  is an integer measuring the size of the global  $L$ -packets of  $\pi$  and  $\pi'$ .
- $\Delta_G$  is the value at  $s = 0$  of the  $L$ -function of the *Gross motive* of the group  $G$ ; explicitly,

$$\Delta_G = \prod_{i=1}^n L(i, \eta^i_{\mathfrak{K}/F}).$$

- For each finite  $v$ ,

$$\begin{aligned} Z_v &= Z_v(f_v, f_v^\vee, f'_v, f'^{\vee}_v) \\ &= \int_{G'_v} c_{f_v, f_v^\vee}(g'_v) c_{f'_v, f'^{\vee}_v}(g'_v) dg'_v \cdot \frac{L(1, \pi_v, \text{Ad}) L(1, \pi'_v, \text{Ad})}{L(\frac{1}{2}, \text{BC}(\pi_v) \times \text{BC}(\pi'_v))}. \end{aligned}$$

(d) For each archimedean  $v$ ,

$$Z_v = Z_v(f_v, f_v^\vee, f'_v, f'^{\vee}_v) = \int_{G'_v} c_{f_v, f_v^\vee}(g'_v) c_{f'_v, f'^{\vee}_v}(g'_v) dg'_v.$$

(e) In (c) and (d), the notation  $c_{f_v, f_v^\vee}(g'_v)$  designates the local matrix coefficient  $c_{f_v, f_v^\vee}(g_v) = (\pi(g_v) f_v, f_v^\vee)$  with respect to the canonical local pairing of representations (likewise for  $c_{f'_v, f'^{\vee}_v}$ ).

The Ichino–Ikeda conjecture is the assertion that

$$\frac{P(f, f')P(f^\vee, f'^{\vee})}{Q(f, f^\vee)Q(f', f'^{\vee})} = 2^{-|S(\pi, \pi')|} \Delta_G \prod_v Z_v \cdot \frac{L(\frac{1}{2}, \text{BC}(\pi) \times \text{BC}(\pi'))}{L(1, \pi, \text{Ad})L(1, \pi', \text{Ad})} \quad (2.1.5)$$

Here the  $L$ -functions are defined in [N. Harris 2011] by Euler products over finite primes only. One of the main results of [Ichino and Ikeda 2010; N. Harris 2011] is that  $Z_v = 1$  for all  $v$  outside a finite set  $S$ , including all archimedean places; thus convergence of the product  $\prod_v Z_v$  is not an issue. We can rewrite the right hand side

$$2^{|S(\pi, \pi')|} \Delta_G Z_{\text{loc}} \cdot \frac{L(\frac{1}{2}, \text{BC}(\pi) \times \text{BC}(\pi'))}{L(1, \pi, \text{Ad})L(1, \pi', \text{Ad})}$$

with  $Z_{\text{loc}} = \prod_{v \in S} Z_v$ .

**2.2. Local vanishing and the Gross–Prasad conjecture.** The map  $P : \pi \otimes \pi' \rightarrow \mathbb{C}$  of (2.1.3) is invariant under  $G'(\mathbf{A})$ . Its nontriviality therefore implies that, for every  $v$ , there is a bilinear map

$$P_v : \pi_v \otimes \pi'_v \rightarrow \mathbb{C}, \quad (2.2.1)$$

invariant under the diagonal action of  $G'_v$ . (The integral  $Z_v$  defines a multilinear form on  $(\pi_v \otimes \pi'_v) \otimes (\pi_v^\vee \otimes \pi'^{\vee}_v)$ .)

The existence of  $G'_v$ -invariant maps like (2.2.1) is the subject of the Gross–Prasad conjecture [Gan et al. 2012a]. For the purposes of the present exposition, it will suffice to assume  $\pi_v \otimes \pi'_v$  to be tempered. Assume that  $L$ -packets can be attached consistently to tempered Langlands parameters for the group  $G_v \times G'_v$  and all its inner twists; see [Mœglin 2007]. Let  $L(\pi_v, \pi'_v)$  denote the space of  $G'_v$ -invariant maps (2.2.1).

**Conjecture 2.2.2** (local Gross–Prasad conjecture). *Let  $WD_{F_v}$  denote the Weil–Deligne group of  $F_v$ , and let*

$$\Phi_v \times \Phi'_v : WD_{F_v} \rightarrow L(G_v \times G'_v)$$

denote a tempered Langlands parameter for the group  $G_v \times G'_v$  and all its inner twists. Then

$$\sum_{W_v=W'_v \oplus W_{0,v}} \sum_{\substack{\pi_v \otimes \pi'_v \in \\ \Pi(\Phi_v \times \Phi'_v; U(W_v) \times U(W'_v))}} \dim L(\pi_v, \pi'_v) = 1.$$

Here the outer sum runs over isometry classes of pairs of hermitian spaces over  $F_v$ , as in Section 2.1, and the inner sum runs over the  $L$ -packet of the given inner form of  $G_v \times G'_v$  attached to  $\Phi_v \times \Phi'_v$ .

The full Gross–Prasad conjecture treats more general inclusions of groups and gives a formula in terms of the Langlands parameter determining the unique pair  $\pi_v \otimes \pi'_v$  in the  $L$ -packet for which  $L(\pi_v, \pi'_v) \neq 0$ . This has been proved for special orthogonal groups by Waldspurger in the tempered case and by Mœglin and Waldspurger in general; see [Mœglin and Waldspurger 2012]. Conjecture 2.2.2 for unitary groups is the subject of work in progress by R. Beuzart-Plessis.<sup>5</sup>

Now let  $(\pi, \pi')$  be a pair of tempered cuspidal automorphic representations of  $G$  and  $G'$ , as in Section 2.1. For each place  $v$ , Conjecture 2.2.2 asserts the existence of unique (strong) inner forms  $G_{1,v}$  and  $G'_{1,v}$  of  $G_v$  and  $G'_v$ , respectively, and unique representations  $\pi_{1,v}$  and  $\pi'_{1,v}$  of  $G_{1,v}$  and  $G'_{1,v}$  in the  $L$ -packets given by the local Langlands parameters of  $\pi_v$  and  $\pi'_v$ , such that  $L(\pi_{1,v}, \pi'_{1,v}) \neq 0$ . The following is a restatement of [Gan et al. 2012a, Conjecture 26.1] in the present situation.

**Conjecture 2.2.3** (global Gross–Prasad conjecture). *With  $\pi$  and  $\pi'$  as above, the following are equivalent:*

- (1) *There are unitary groups  $G_1 \supset G'_1$  over  $F$  with local forms the given  $G_{1,v}$  and  $G'_{1,v}$ , automorphic representations  $\pi_1$  and  $\pi'_1$  with the given local components, and forms  $f_1 \in \pi_1$  and  $f'_1 \in \pi'_1$ , such that the period integral  $P(f_1, f'_1)$  is not zero.*
- (2) *The central value  $L(\frac{1}{2}, \text{BC}(\pi_1) \otimes \text{BC}(\pi'_1)) = L(\frac{1}{2}, \text{BC}(\pi) \otimes \text{BC}(\pi')) \neq 0$ .*

The Ichino–Ikeda conjecture (2.1.5) is a refinement of Conjecture 2.2.3.<sup>6</sup> As a part of their refinement of the global Gross–Prasad conjecture for special orthogonal groups, Ichino and Ikeda have proposed a refinement of the local conjecture as well. I state it here in the unitary case. (It seems not to have been stated in [N. Harris 2011], though it is certainly compatible with the global conjecture stated there.)

<sup>5</sup> Assuming standard conjectures on  $L$ -packets of unitary groups, Beuzart-Plessis has now proved Conjecture 2.2.2 together with its refinement.

<sup>6</sup> Added in proof: Wei Zhang has now proved this under some local restrictions.

**Conjecture 2.2.4** (of Ichino–Ikeda [2010, Conjecture 1.3]). *Under the hypotheses of Conjecture 2.2.2 — in particular, assuming  $\pi_v$  and  $\pi'_v$  belong to tempered  $L$ -packets — we have  $L(\pi_v, \pi'_v) \neq 0$  if and only if the local integral  $Z_v$  defines a nonzero multilinear form on  $(\pi_v \otimes \pi'_v) \otimes (\pi_v^\vee \otimes \pi'^{\vee}_v)$ . In other words, the local zeta integral defines a basis vector in the one-dimensional vector space  $L(\pi_v, \pi'_v) \otimes L(\pi_v^\vee, \pi'^{\vee}_v)$ .*

If one admits these conjectures, the nonvanishing of the numerator of the quotient of  $L$ -functions on the right hand side of (2.1.5), together with the local nonvanishing Conjecture 2.2.3, picks out a unique global pair of hermitian spaces  $W \supset W'$  and a unique pair of automorphic representations  $\pi, \pi'$  of the chosen inner forms  $U(W)$  and  $U(W')$ , for which the left hand side and the product  $Z_v$  do not vanish. The arithmetic meaning of the local conditions at finite primes is not yet understood, but the local conditions at archimedean primes can be translated into simple conditions on the relative positions of the Hodge structures attached to the motives  $M(\pi)$  and  $M(\pi')$ . The next two sections explain these conditions when  $W$  and  $W'$  are totally definite, and interprets the expressions on the left hand side of (2.1.5).

**2.3. Hodge structures in the definite case.** When  $v$  is a real place of  $F$  and  $\pi_v$  and  $\pi'_v$  are discrete series representations of  $G_v$  and  $G'_v$ , the dimension of  $L(\pi_v, \pi'_v)$  is determined in [Gan et al. 2012b, Section 2] in terms of the local Langlands parameters. The relation with Hodge types is reduced there to a calculation of signs, which in general is rather elaborate.

The definite case is simpler. Let  $H$  denote the compact Lie group  $U(n)$ , the symmetry group of the hermitian form  $\sum_{i=1}^n z_i \bar{z}_i$ . Let  $H' = U(n-1) \times U(1)$ , diagonally embedded in  $H$ , and fix an irreducible representation  $\tau$  of  $H$ , with highest weight  $a_1 \geq a_2 \geq \dots \geq a_n$ , where  $a_i \in \mathbb{Z}$ , in the standard normalization. The classic branching formula [Fulton and Harris 1991] determines the highest weights of the representations  $\tau'$  that occur in the restriction of  $\tau$  to  $H'$ .

**Formula 2.3.1** (branching formula). Let  $\tau'$  be the irreducible representation of  $H'$  with highest weight  $(b_1, \dots, b_{n-1}; b_n) \in \mathbb{Z}^n$ , where  $b_1 \geq \dots \geq b_{n-1}$  is a highest weight for  $U(n-1)$  and  $b_n$  is the weight of a character of  $U(1)$ . Then  $L(\tau, \tau') \neq 0$  if and only if

- $\sum_{i=1}^n a_i = -\sum_{i=1}^n b_i$ ,
- $a_1 \geq -b_{n-1} \geq a_2 \geq -b_{n-2} \geq \dots \geq a_{n-1} \geq -b_1 \geq a_n$ .

Assume  $W$  is a totally definite hermitian space over  $\mathcal{K}$ , and let  $\pi$  and  $\pi'$  be automorphic representations of  $G$  and  $G'$ , whose base changes to  $\mathrm{GL}(n, \mathcal{K})$  and  $\mathrm{GL}(n-1, \mathcal{K})$  are denoted  $\Pi$  and  $\Pi'$ . Choose a pair  $(w, cw)$  of conjugate complex embeddings of  $\mathcal{K}$  over the real embedding  $w^+$  of  $F$ , with  $w \in \Sigma$ , and extend  $w$  to a

map  $\sigma : E(\Pi) \otimes \mathcal{K} \rightarrow \mathbb{C}$  as in [Section 1.1](#). Suppose  $\pi_{w^+} = \tau$ ,  $\pi'_{w^+} = \tau'$ , with parameters as in [Formula 2.3.1](#). The condition [1.1.10\(c\)](#) determines the Hodge numbers of  $R_{\mathcal{K}/\mathbb{Q}}M_{\Pi}$ . Bearing in mind that  $\Pi$  is an automorphic representation whose local component  $\Pi_w$  has cohomology with coefficients in the *dual* representation  $\tau^{\vee}$  of  $\mathrm{GL}(n, \mathbb{C})$ , we have

$$\begin{aligned} \dim M_{\mathcal{K}/\mathbb{Q}, \sigma}^{p, q}(\Pi) &= 1 \quad \text{if and only if, for some } i, \\ (p, q) &= (p_i(w), q_i(w)) = (n - i - a_{n+1-i}, i - 1 + a_{n+1-i}). \end{aligned} \tag{2.3.2}$$

Similarly,

$$\begin{aligned} \dim M_{\mathcal{K}/\mathbb{Q}, \sigma}^{p, q}(\Pi') &= 1 \quad \text{if and only if, for some } i, \\ (p, q) &= (p'_i(w), q'_i(w)) = (n - 1 - i - b_{n-i}, i - 1 + b_{n-i}). \end{aligned} \tag{2.3.3}$$

Comparing this to [Formula 2.3.1\(2\)](#), we find that

$$\begin{aligned} p_1(w) > p'_1(cw) \geq p_2(w) > p'_2(cw) \geq \dots \\ > p_{n-1}(w) > p'_{n-1}(cw) \geq p_n(w) \end{aligned} \tag{2.3.4}$$

**2.4. Realizations of motives in unitary group Shimura varieties.** The hermitian spaces  $W$  and  $W'$  are assumed definite at infinity, as in the previous section. Let  $\Pi$  be a cuspidal cohomological automorphic representation of  $\mathrm{GL}(n)_{\mathcal{K}}$  satisfying [\(1.1.1\)](#). We consider the near equivalence class  $\Phi(\Pi)$  of automorphic representations of varying  $U(W)$ . The hermitian pairing  $\langle \cdot, \cdot \rangle_W$  on  $W$  defines an involution  $\tilde{c}$  on the algebra  $\mathrm{End}_F(W)$  via  $\langle a(v), v' \rangle_W = \langle v, a^{\tilde{c}}(v') \rangle_W$ . For each such  $W$ , there is a Shimura variety  $Sh(W)$  attached to the rational similitude group  $\mathrm{GU}(W)$ , defined as the functor on the category of  $\mathbb{Q}$ -algebras  $R$  by

$$\mathrm{GU}(W)(R) = \{g \in \mathrm{GL}(V \otimes_{\mathbb{Q}} R) \mid g \cdot \tilde{c}(g) = \nu(g) \text{ for some } \nu(g) \in R^{\times}\}.$$

For each automorphic representation  $\pi \in \Phi(\Pi)$  of  $U(W)$ , we choose an extension  $\pi^+$  to an automorphic representation of  $\mathrm{GU}(W)$ ; we can arrange that the central character  $\chi_{\pi^+}$  of  $\pi^+$  is independent of  $\pi \in \Phi(\Pi)$ . We summarize the discussions in [\[Harris 1997, Section 2\]](#) (for  $F = \mathbb{Q}$ ) and [\[Harris et al. 2011, §3.2\]](#), and provide a few additional details.

For each  $W$ , we fix an irreducible admissible representation  $\pi_f = \pi_{f, W}$  of  $U(W)(\mathbb{A}^f)$  such that  $\pi_{\infty} \otimes \pi_f \in \Phi(\Pi)$  for some discrete series representation  $\pi_{\infty}$  of  $U(W_{\mathbb{R}}) := U(W \otimes_{\mathbb{Q}} \mathbb{R})$ . For each place  $w$  of  $\mathcal{K}$ , let  $(r_w, s_w)$  denote the signature of the hermitian space  $W_w$ , and let  $d_W = \sum_{v: F \hookrightarrow \mathbb{R}} r_w \cdot s_w$ , where  $w$  is one of the two extensions of  $v$  to  $\mathcal{K}$  and  $r_w \cdot s_w$  does not depend on the choice. Define the Shimura variety  $Sh(W)$  and the local system  $\tilde{W}^+(\Pi)$  over  $Sh(W)$  as in [\[Harris et al. 2011, Section 3.2\]](#); here  $\tilde{W}^+(\Pi)$  is attached to a finite-dimensional

algebraic representation  $W^+(\Pi)$  of  $\mathrm{GU}(W)$ . Then the motivic realization of  $\Pi$  on  $Sh(W)$  is the motive

$$\begin{aligned} M(\pi_f^+) &= \mathrm{Hom}_{\mathrm{GU}(\mathcal{A}^f)}(\pi_f^+, H^{dw}(Sh(W), \tilde{W}^+(\Pi))) \\ &= \mathrm{Hom}_{\mathrm{GU}(\mathcal{A}^f)}(\pi_f^+, H^{dw}(Sh(W)^*, j_{!*}\tilde{W}^+(\Pi))), \end{aligned} \tag{2.4.1}$$

where  $j : Sh(W) \hookrightarrow Sh(W)^*$  is the embedding of  $Sh(W)$  in its Baily–Borel compactification.

Let  $M_\Pi$  be the rank  $n$  motive over  $\mathcal{H}$  introduced in Section 1.1 and  $M_{\mathcal{H}/\mathbb{Q}}(\Pi)$  for its restriction of scalars to  $\mathbb{Q}$ . As in [Harris et al. 2011, (3.2.4)], we have

$$M(\pi_f^+) \xrightarrow{\sim} \bigotimes_{w \in \Sigma} \wedge^{s_w}(St)M_{F/\mathbb{Q}}(\Pi) \otimes (M(\chi_{\pi^+, W})(t_W)), \tag{2.4.2}$$

where  $t_W = \frac{1}{2} \sum_{w \in \Sigma} s_w(s_w - 1)$ .

All the motives  $M(\pi_f^+)$  are assumed to have coefficients in a common field  $E(\pi_f)$ . Let  $E(W)$  be the reflex field of  $Sh(W)$ ; it is contained in the Galois closure of  $\mathcal{H}$  over  $\mathbb{Q}$ , and of course it depends on the signatures of  $W$  at places of  $\Sigma$ . The de Rham realization  $M_{\mathcal{H}/\mathbb{Q}, DR}(\pi_f^+)$  is free over  $E(W) \otimes E(\pi_f^+)$  of rank  $\prod_w \binom{n}{s_w}$ ; the lowest nontrivial stage of its Hodge filtration  $F_{\mathcal{H}/\mathbb{Q}, DR}^{\max}(\pi_f^+)$  is a free rank one  $E(W) \otimes E(\pi_f^+)$ -submodule. Let  $\Omega_W(\Pi)$  be any  $E(W) \otimes E(\pi_f^+)$ -basis of  $F_{\mathcal{H}/\mathbb{Q}, DR}^{\max}(\pi_f^+)$ . By analogy with (1.1.11), we define

$$Q_W(\Pi) = \langle \omega_W(\Pi), F_\infty(\omega_W(\Pi)) \rangle_{DR} \in (E(W) \otimes E(\pi_f^+) \otimes \mathbb{R})^\times. \tag{2.4.3}$$

We now simplify formulas by assuming  $F = \mathbb{Q}$ . The index  $W$  is in fact superfluous in the character  $\chi_{\pi^+, W}$ , given the presence of the twist  $t_W$ , but we will leave it in place. In [Harris 1997] there is a parameter denoted  $c$  in the highest weight of the representation  $W^+(\Pi)$ , corresponding to the restriction of the central character to the diagonal subgroup  $\mathbb{G}_{m, \mathbb{Q}} \subset \mathrm{GU}(W)$ . Dually, the central character  $\chi_{\pi^+}$  of  $\pi^+$  has the property that

$$\chi_{\pi^+}(t) = t^{-c} \quad \text{for } t \in \mathbb{R}^\times \subset Z_{\mathrm{GU}(W)}(\mathbb{R}). \tag{2.4.4}$$

Let  $W(\Pi)$  denote the restriction of  $W^+(\Pi)$  to  $\mathrm{U}(W)$ , and identify  $W(\Pi)$  with the representation  $\tau^\vee$  of Section 2.3, with parameters as in 2.3.1. Then  $c \equiv \sum_i a_i \pmod{2}$ . To simplify the formulas, we assume  $\sum_i a_i$  to be *even* and take  $c = 0$ . Then  $M(\chi_{\pi^+, W})$  is a motive of weight 0.

**2.5. Automorphic forms on definite unitary groups.** Let  $G = \mathrm{U}(W)$ ,  $G' = \mathrm{U}(W')$ , as in Section 2.1, and assume  $W$  and  $W'$  are totally definite. We can define Shimura data  $(G, x) \supset (G', x')$ , where  $x = x'$  is the point consisting of the trivial homomorphism from  $R_{\mathbb{C}/\mathbb{R}}\mathbb{G}_{m, \mathbb{C}}$  to the group  $G'$ . This satisfies all the axioms of [Deligne 1979b, (2.1.1)] with the exception of (2.1.1.3), which is in fact unnecessary except

for considerations having to do with strong approximation. All points of the corresponding Shimura varieties are defined over (the reflex field)  $\mathbb{Q}$ , but automorphic forms are rational over the fields of definition of their coefficients.

We can determine these fields of definition easily. Let  $(\rho, V)$  be an irreducible algebraic representation of  $G$ . An automorphic form on  $G$  of type  $\rho$  is a function  $f : G(F)\backslash G(\mathbf{A}) \rightarrow V(\mathbb{C})$ , locally constant with respect to  $G(\mathbf{A}^f)$ , and satisfying

$$f(gg_\infty) = \rho^{-1}(g_\infty)f(g), \quad \text{for } g \in G(\mathbf{A}), \quad g_\infty \in G_\infty = G(F \otimes_{\mathbb{Q}} \mathbb{R}). \quad (2.5.1)$$

Let  $\mathcal{A}(G, \rho)$  denote the space of automorphic forms of type  $\rho$ . It follows from (2.5.1) that the restriction map

$$R_f : \mathcal{A}(G, \rho) \rightarrow C^\infty(G(F)\backslash G(\mathbf{A}^f), V(\mathbb{C}))$$

is an isomorphism. If  $V$  is realized over the number field  $E_V$ , then

$$M_{DR}(S(G, x), V) := C^\infty(G(F)\backslash G(\mathbf{A}^f), V(E_V))$$

is an  $E_V$ -rational model for  $\mathcal{A}(G, \rho)$ , and for any  $\sigma \in \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ , there is a canonical isomorphism

$$\sigma(M_{DR}(G, V)) \xrightarrow{\sim} M_{DR}(G, \sigma(V)). \quad (2.5.2)$$

The same naturally holds for  $G'$ .

Let  $V_{\text{triv}}$  denote the trivial one-dimensional representation of  $G$ .

**Lemma 2.5.3.** *There is a perfect pairing*

$$M_{DR}(S(G, x), V) \otimes M_{DR}(S(G, x), V^\vee) \rightarrow M_{DR}(S(G, x), V_{\text{triv}})(E_V) \rightarrow E_V,$$

where the first map is defined by the natural pairing on coefficients and the second map is integration with respect to Tamagawa measure. The pairings transform under  $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$  by the action (2.5.2) on the coefficients  $V$ .

*Proof.* The first map is obviously rational over  $E_V$ , and the second map is rational because the Tamagawa measure of  $G(F)\backslash G(\mathbf{A})$  is a rational number. The pairing is perfect because it is essentially given by the  $L_2$ -pairing on automorphic forms; see [Harris 1997, Proposition 2.6.12].  $\square$

Now suppose  $V \rightarrow V'$  is a projection to an irreducible  $G'$ -invariant quotient, and let  $(V')^\vee \rightarrow V^\vee$  denote the dual inclusion map. The following lemma is proved in the same way as Lemma 2.5.3.

**Lemma 2.5.4.** *Under these hypotheses, there is a natural  $E_{V,V'} = E_V \cdot E_{V'}$ -rational pairing*

$$\begin{aligned} M_{DR}(S(G, x), V) \otimes M_{DR}(S(G', x'), (V')^\vee) \\ \rightarrow M_{DR}(S(G', x'), V_{\text{triv}})(E_{V,V'}) \rightarrow E_{V,V'}, \end{aligned}$$

where the first map is defined by the natural pairing on coefficients and the second map is integration with respect to Tamagawa measure. The pairings transform under  $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$  by the action (2.5.2) on the coefficients  $V, V'$ .

**Corollary 2.5.5.** *Let  $E$  be a number field containing  $E_{V, V'}$ , and suppose*

$$\begin{aligned} f &\in M_{DR}(S(G, x), V)(E), & f^\vee &\in M_{DR}(S(G, x), V^\vee)(E), \\ f' &\in M_{DR}(S(G', x'), (V')^\vee)(E), & f'^\vee &\in M_{DR}(S(G', x'), V')(E). \end{aligned}$$

Define  $P(f, f')$ ,  $Q(f, f^\vee)$ ,  $P(f^\vee, f'^\vee)$  and  $Q(f', f'^\vee)$  as in Section 2.1. Then the left hand side of (2.1.5),

$$\frac{P(f, f')P(f^\vee, f'^\vee)}{Q(f, f^\vee)Q(f', f'^\vee)},$$

belongs to  $E$  and for any  $\sigma \in \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ ,

$$\sigma\left(\frac{P(f, f')P(f^\vee, f'^\vee)}{Q(f, f^\vee)Q(f', f'^\vee)}\right) = \frac{P(\sigma(f), \sigma(f'))P(\sigma(f^\vee), \sigma(f'^\vee))}{Q(\sigma(f), \sigma(f^\vee))Q(\sigma(f'), \sigma(f'^\vee))},$$

where  $\sigma(f) \in M_{DR}(S(G, x), \sigma(V))(\sigma(E))$ , etc.

In [Harris 1997, (2.6.11)] it is explained how to use the highest weight  $\Lambda$  of  $V$ , relative to a fixed maximal torus  $H$ , to identify  $\mathcal{A}(G, \rho)$ , and therefore  $M_{DR}(S(G, x), V)$ , with a subspace of the space  $\mathcal{A}(G)$  of  $\mathbb{C}$ -valued automorphic forms on  $G(F)\backslash G(\mathbb{A})$ :

$$M_{DR}(S(G, x), V) \xrightarrow{\sim} \text{Hom}_H(\mathbb{C}_{-\Lambda}, \mathcal{A}(G)_{V^\vee}), \tag{2.5.6}$$

where  $\mathbb{C}_{-\Lambda}$  is the  $\Lambda^{-1}$ -eigenspace for  $H$  in  $V^\vee$  and  $\mathcal{A}(G)_{V^\vee}$  is the  $V^\vee$ -isotypic subspace for the action of  $G_\infty$  by right translation. The image under this identification naturally has a rational structure over the extension  $E(V, \Lambda) \supset E(V)$  over which the  $\Lambda$ -eigenspace in  $V$  is rational, and as  $V$  and  $H$  vary the maps (2.5.6) are rational over  $E(V, \Lambda)$  and transform naturally under the action of  $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ .

**Lemma 2.5.7.** *The map (2.5.6) takes the pairing of Lemma 2.5.3 to a rational multiple of the  $L_2$ -pairing on  $\mathcal{A}(G)$ .*

*Proof.* This is [Harris 1997, Proposition 2.6.12]. □

**2.6. Fields of rationality of automorphic representations of unitary groups.**

In this section,  $F$  is a general totally real field. Let  $\Pi$  be a cohomological cuspidal automorphic representation of  $\text{GL}(n, \mathcal{K})$ , and let  $E(\Pi)$  be the field fixed by the subgroup of  $\text{Aut}(\mathbb{C})$  consisting of  $\sigma$  such that  $\Pi_f^\sigma \xrightarrow{\sim} \Pi_f$ . It is known [Clozel 1990] that  $E(\Pi)$  is a number field and that  $\Pi_f$  has a rational model over  $E(\Pi)$ . Moreover, for any  $\sigma$  in  $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$  there is a (unique) cuspidal cohomological representation  $\sigma(\Pi)$  with  $\sigma(\Pi)_f \xrightarrow{\sim} \sigma(\Pi_f)$  — one obtains  $\sigma(\Pi)_\infty$  from  $\Pi_\infty$  by letting  $\sigma$  permute the archimedean places of  $\mathcal{K}$ .

Suppose  $\Pi$  satisfies the polarization condition (1.1.1) and  $G$  is quasisplit at all finite places of  $v$ . Then  $\Pi$  descends to an  $L$ -packet  $\{\pi_\alpha, \alpha \in A\}$  of  $G$  [Labesse 2011, Theorem 5.4]. We mean this in the following sense: let  $w$  be a finite place of  $\mathcal{H}$  at which  $\mathcal{H}/F$  and  $\Pi$  are unramified, and let  $v$  denote the restriction of  $w$  to  $F$ . If  $v$  splits in  $\mathcal{H}$ , we write  $\Pi_v = \Pi_w \otimes \Pi_{cw}$ ; if  $v$  is inert, then  $\Pi_v = \Pi_w$ . Then for all  $\alpha$ ,  $\pi_{\alpha,v}$  is spherical and the Satake parameters of  $\Pi_v$  are obtained from those of  $\pi_{\alpha,v}$  by the stable base change map [Mínguez 2011, Theorem 4.1]. It then follows that  $\pi_\infty$  is the unique irreducible representation of the (compact) group  $G_\infty$  with the same infinitesimal character as  $\Pi_\infty$  [Labesse 2011, Theorem 5.5].

**Proposition 2.6.1.** *If  $\Pi$  is a cohomological cuspidal polarized representation of  $GL(n)$  that descends to an  $L$ -packet  $\{\pi_\alpha\}$  of  $G$ , then the collection  $\{\pi_{\alpha,f}\}$  is rational over  $E(\Pi)$ . Moreover, for any  $\sigma$  in  $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ , the conjugate  $\sigma(\Pi)$  descends to  $\{\sigma(\pi)\}$ .*

*Proof.* Let  $S$  be the set of finite primes  $v$  at which  $\mathcal{H}/F$  and  $\Pi$  are unramified. We first note that for all  $v \notin S$ , the spherical representation  $\pi_{\alpha,v}$  is defined over the field of definition of  $\Pi_v$ . Indeed, this is clear from the relation [Mínguez 2011, Theorem 4.1] of Satake parameters. Now let  $\sigma \in \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ . If  $\sigma$  fixes  $E(\Pi)$ , then  $\sigma(\pi_{\alpha,v}) \xrightarrow{\sim} \pi_{\alpha,v}$  for all  $v \notin S$ . Thus by definition, the stable base change of  $\sigma(\pi_f)$  is  $\Pi$ , so  $\sigma(\pi_\alpha)$  is a  $\pi_{\alpha'}$ . The same argument implies the last assertion.  $\square$

### 3. Abelian representations of $U(m)$

**3.1. Existence of abelian representations.** In this section, the Weil group of a local or global field  $L$  is denoted  $W_L$ .

Let  $W'$  be an  $m$ -dimensional hermitian space over  $\mathcal{H}$ , and  $U(W')$  be the unitary group. Let  $\mu$  be a Hecke character of  $\mathcal{H}$  extending  $\eta_{\mathcal{H}/F}$ , that is,  $\mu|_{\mathbf{A}_F^\times} = \eta_{\mathcal{H}/F}$ . Let  $H = U(1)^m$  and let  $\xi_\mu : {}^L H \rightarrow {}^L U(W')$  be the  $L$ -homomorphism (in the Weil group form over  $F$ ) considered by White [2010, Section 3]. On the dual group  $\hat{H} = \text{GL}(1, \mathbb{C})^m$ ,  $\xi_\mu$  is just the diagonal embedding

$$(g_1, \dots, g_m) \mapsto \text{diag}(g_1, \dots, g_m) \in \hat{U}(W') = \text{GL}(m, \mathbb{C}).$$

The Hecke character  $\mu$  defines a character  $W_{\mathcal{H}} \rightarrow W_{\mathcal{H}}^{ab} \xrightarrow{\sim} \mathbf{A}_{\mathcal{H}}^\times / \mathcal{H}^\times \xrightarrow{\mu} \mathbb{C}$ , also denoted  $\mu$ . Set  $\mu_m = \mu$  if  $m$  is even,  $\mu_m = 1$  (the trivial character) if  $m$  is odd.

If  $w \in W_{\mathcal{H}}$ , we have

$$\begin{aligned} \xi_\mu(1, 1, \dots, 1) \times w &= \mu_m(w) \cdot I_m \times w \in \text{GL}(n, \mathbb{C}) \times W_{\mathcal{H}} \\ &\subset \text{GL}(m, \mathbb{C}) \rtimes W_F = {}^L U(W'). \end{aligned} \quad (3.1.1)$$

The map  $\xi_\mu$  is characterized by these formulas and by its value on a single element of  $(1 \times W_F) \setminus (1 \times W_{\mathcal{H}})$ , as in [White 2010]; we omit the formula.

Let  $\chi = (\chi_1, \dots, \chi_m)$  be an  $m$ -tuple of Hecke characters of  $U(1)(\mathbf{A}_F)/U(1)(F)$ ;  $\chi$  is an automorphic representation of  $H$ , and we can consider its functorial transfer to  $U(W')$  via the  $L$ -homomorphism  $\xi_\mu$ . Concretely, an automorphic representation  $\pi(\chi)$  of  $U(W')$  is a functorial transfer of  $\chi$  if its formal base change  $\Pi(\chi) = \text{BC}(\pi(\chi))$  to  $\text{GL}(m)_{\mathcal{K}}$  is a (noncuspidal) automorphic representation with the property

$$L(s, \Pi(\chi)) = \prod_{i=1}^m L\left(s + \frac{1}{2}(m-1), \text{BC}(\chi_i) \cdot \mu_m\right). \quad (3.1.2)$$

Here,

$$\text{BC}(\chi)(z) = \chi(z/c(z)), \quad z \in \mathbf{A}_{\mathcal{K}}^\times, \quad (3.1.3)$$

where  $c$  denotes Galois conjugation; this was denoted  $\tilde{\chi}$  in [Harris 1997]. By definition, the functorial transfers of  $\chi$  to  $U(W')$  form a single  $L$ -packet  $\pi(\chi)$  such that, for each place  $v$  of  $F$ ,  $\pi_v$  is a local functorial transfer of  $\chi_v$  for any  $\pi \in \pi(\chi)$ .

An  $L$ -packet of the form  $\pi(\chi)$  will be called an *abelian  $L$ -packet* of  $U(W')$ , and a member of  $\pi(\chi)$  that occurs with nonzero multiplicity in the automorphic spectrum of  $U(W')$  is called an *abelian representation*. The existence of abelian representations in this sense is considered in [White 2010], along with other cases of endoscopic transfer. More precisely, one can say that the local functorial transfers are the  $L$ -packets defined by Mœglin [2007] — we denote them  $\pi(\chi_v)$  — and that if we choose one  $\pi_v \in \pi(\chi_v)$  for each  $v$ , then we can ask for the multiplicity of  $\bigotimes'_v \pi_v$  in the automorphic spectrum of  $U(W')$ . These multiplicities are predicted by Arthur's conjectures. We return to this point in Section 4.3.

Let  $v$  be a real prime of  $F$  and suppose  $\chi_{j,v}(e^{i\theta}) = e^{ik_j\theta}$ , with  $k_j \in \mathbb{Z}$ . We say that  $k_j$  is the *weight* of  $\chi_j$  at  $v$  (or of  $\chi_{j,v}$ ). The Langlands parameter of  $\chi_{j,v}$  is given by the homomorphism  $\phi(\chi_{j,v}) : W_{\mathbb{R}} \rightarrow {}^L U(1) = \text{GL}(1, \mathbb{C}) \rtimes \text{Gal}(\mathbb{C}/\mathbb{R})$  whose restriction to  $\mathbb{C}^\times = W_{\mathbb{C}}$  is

$$W_{\mathbb{C}} \ni z \mapsto (z/\bar{z})^{k_j}.$$

Then  $\text{BC}_{\mathbb{C}/\mathbb{R}}(\Pi(\chi_v))$  is the representation of  $\text{GL}(n, \mathbb{C})$  with Langlands parameter

$$\phi(\chi_v) : W_{\mathbb{C}} \ni z \mapsto \text{diag}((z/\bar{z})^{k_1} \cdot \mu_m(z), \dots, (z/\bar{z})^{k_m} \cdot \mu_m(z)) \in \text{GL}(m, \mathbb{C}). \quad (3.1.4)$$

This descends to a discrete series  $L$ -packet of  $U(W')_v$ , for any  $W'$ , if and only if the  $k_j$  are all distinct [White 2010, Definition 5.3]; then the infinitesimal character of the discrete series  $L$ -packet coincides with the Langlands parameter, and we say  $\chi_v$  is *regular*.

On  $U(1) \subset \mathbb{C}^\times$  we write  $\mu_m(e^{i\theta}) = e^{it_m\theta}$  for some  $t_m \in \mathbb{Z}$ . We order the  $k_i$  so that

$$k_i > k_{i+1} \tag{3.1.5}$$

with  $k_i$  defined by

$$(z/\bar{z})^{k_i} \cdot \mu_m(z) = (z/\bar{z})^{k_i+t_m/2}, \quad k_i + \frac{1}{2}t_m \in \mathbb{Z} + \frac{1}{2}(m-1).$$

The half-integrality of  $k_i + \frac{1}{2}t_m$  follows from the parity of  $\mu_m$  and is as it should be; see [Clozel 1990, Section 3.5].

We can immediately prove the following:

**Lemma 3.1.6.** *Suppose  $\chi_v$  is regular for all real primes  $v$ . Then the local Langlands parameter  $\phi(\chi_v)$  is relevant for all  $U(W')_v$  and for any  $W'$  the  $L$ -packet  $\pi(\chi)$  of  $U(W')$  is of discrete series type at all real places.*

*The definite case.* Suppose now  $U(W'_v)$  is the compact form of  $U(m)$ . Then the  $L$ -packet  $\pi(\chi)$  is a singleton  $\tau'$  with highest weight  $(b_1 \geq b_2 \geq \dots \geq b_m)$ , in the notation of Section 2.3. The relation between  $b_i$  and  $k_i$  is given by

$$b_i = k_i - \frac{1}{2}(-t_m + m + 1 - 2i) \tag{3.1.7}$$

so that  $b_i \geq b_{i+1}$ , as required.

In what follows, we assume we are given a nontrivial abelian  $L$ -packet  $\pi(\chi)$  and apply it in the Ichino–Ikeda conjecture. Henceforward we specialize to the case  $F = \mathbb{Q}$ ,  $m = n - 1$ , with  $n$  even, so  $\mu_m = 1$  and  $k_i = b_i + \frac{1}{2}n - i$ . This will suffice to illustrate the general principles guiding this work. We hope to treat the general case in a subsequent paper.

**3.2. Review of CM periods.** We review the properties of the CM period invariants, as discussed in [Harris 1997, (1.10) and (3.6)]. Since the final results will only be stated when  $F = \mathbb{Q}$ , we only consider the CM periods attached to imaginary quadratic fields. Details of the more general CM periods have only been written up in the present language up to algebraic factors; most of the results of the present paper can be extended to general CM fields without going beyond the available literature, provided one is will to settle for rationality up to  $\overline{\mathbb{Q}}^\times$ .

Thus,  $\mathcal{H}$  is an imaginary quadratic field, with chosen embedding  $\mathcal{H} \rightarrow \mathbb{C}$ , denoted  $\mathbb{1}$ . Let  $\eta : \mathbf{A}_{\mathcal{H}}^\times / \mathcal{H}^\times \rightarrow \mathbb{C}^\times$  be a Hecke character whose archimedean part is algebraic:  $\eta_\infty(z) = z^{-a_1} \cdot (cz)^{-a_c}$  for  $z \in \mathbb{C}^\times$ , with the exponents in  $\mathbb{Z}$ . Let  $E(\eta) \supset \mathcal{H}$  be the field generated by  $\eta|_{\mathbf{A}_{\mathcal{H}}^{f,\times}}$ , and let  ${}^c\eta = \eta \circ c$ . There are then two period invariants

$$p(\eta, \mathbb{1}), p(\eta, c) = p({}^c\eta, \mathbb{1}) \in (E(\eta) \otimes \mathbb{C})^\times / E(\eta)^\times.$$

These invariants satisfy the multiplicative relations

$$p(\eta_1, \cdot) p(\eta_2, \cdot) \sim_{\bar{E}(\eta_1, \eta_2)} p(\eta_1 \eta_2, \cdot), \quad \text{where } \cdot = \mathbb{1}, c, \quad (3.2.1)$$

and the normalization conditions (here  $\|\cdot\|$  is the norm)

$$p(\|\cdot\|^a, \mathbb{1}) = p(\|\cdot\|^a, c) = (2\pi i)^{-a}. \quad (3.2.2)$$

If  $\eta$  is the Hecke character attached to a Dirichlet character of conductor  $N$  (with archimedean component a power of the sign character) and  $\psi : \mathbb{Z}/N\mathbb{Z} \rightarrow \mathbb{C}^\times$  is an additive character, then

$$p(\eta, \mathbb{1}) = g(\eta, \psi)^{-1}, \quad (3.2.3)$$

where  $g(\eta, \psi) = \sum_{b \in (\mathbb{Z}/N\mathbb{Z})^\times} \eta(b) \psi(b)$  is the standard Gauss sum. If  $(a_{\mathbb{1}}, a_c) = (k, 0)$ , with  $k > 0$ , then for all critical values  $m$  of the Hecke  $L$ -function  $L(s, \eta)$ , we have

$$L(m, \eta) = L(0, \eta \cdot \|\cdot\|^{-m}) \sim_{E(\eta), \mathfrak{K}} (2\pi i)^m p(\check{\eta}, \mathbb{1}) \quad (3.2.4)$$

where  $\check{\eta}(z) = \eta^{-1}(cz)$ . In particular, if  $\chi$  is a character of the group  $U(1)$  as above, then  $\text{BC}(\chi) = \text{BC}(\chi)^\check{\phantom{\chi}}$ , so for critical values

$$\begin{aligned} L(m, \text{BC}(\chi)) &\sim_{E(\chi), \mathfrak{K}} (2\pi i)^m p(\text{BC}(\chi), \mathbb{1}) \\ &\sim_{E(\chi), \mathfrak{K}} (2\pi i)^m p(\chi^+, \mathbb{1}) p({}^c\chi^+, \mathbb{1})^{-1} \end{aligned} \quad (3.2.5)$$

for any extension  $\chi^+$  of  $\chi$  to an algebraic Hecke character of  $\mathfrak{K}$ .

**3.3. Asai  $L$ -functions of abelian representations.** Fix  $\chi$  as in the previous section, and let  $\Pi = \Pi(\chi)$ . The formula (3.1.2) gives an explicit expression for the motive  $M_{\Pi(\chi)}$  over  $\mathfrak{K}$ :

$$M_{\Pi(\chi)} = \bigoplus_{i=1}^{n-1} M_{\text{BC}(\chi_i)} \left( \frac{2-n}{2} \right). \quad (3.3.1)$$

It then follows from the definitions that  $L(s, \text{As}(M_{\Pi(\chi)}))$ , which is an  $L$ -function over  $F (= \mathbb{Q})$ , decomposes as

$$\begin{aligned} L(s, \text{As}(M_{\Pi(\chi)})) &= \prod_{1 \leq i < j \leq n-1} L(s, AI_{\mathfrak{K}/F} \text{BC}(\chi_j \cdot \chi_i^{-1})) L(s, \eta_{\mathfrak{K}/F})^{n-1} \\ &= \prod_{1 \leq i < j \leq n-1} L(s, AI_{\mathfrak{K}/F} \text{BC}(\chi_{ij})) L(s, \eta_{\mathfrak{K}/F})^{n-1}, \end{aligned} \quad (3.3.2)$$

where  $\chi_{ij} = \chi_j / \chi_i$ . Indeed,

$$L(s, \text{Ad}(M_{\Pi(\chi)})) = \prod_{1 \leq i \neq j \leq n-1} L(s, \text{BC}(\chi_j \cdot \chi_i^{-1})) \zeta_{\mathfrak{K}}(s)^{n-1},$$

where  $\zeta_{\mathcal{K}}$  is the Dedekind zeta function. The two descents  $As^{\pm}$  are distinguished by their  $L$ -functions over  $F$ ; in addition to the one indicated in (3.3.2), there is the one obtained by twisting by  $\eta_{\mathcal{K}/F}$ , namely

$$\prod_{1 \leq i < j \leq n-1} L(s, AI_{\mathcal{K}/F} \text{BC}(\chi_j \cdot \chi_i^{-1})) \zeta_F(s)^{n-1}.$$

The condition on the signature of  $F_{\infty}$  guarantees that (3.3.2) is the right choice for  $As(M_{\Pi}(\chi))$ .

We evaluate the values at  $s = 1$  of the factors of (3.3.2) using Blasius' result on special values of Hecke  $L$ -series (Damarell's formula in this case). As in Section 3.1, we assume  $\chi_i$  is of weight  $k_i$  at the archimedean prime, so that  $\chi_{ij}$  is of weight  $-k_{ij}$ , with  $k_{ij} = k_i - k_j$ . We assume the  $\chi_i$  are ordered so that  $k_{ij} > 0$  for  $i < j$ , as in Formula 2.3.1. This is the normalization used in [Harris 1997]. As in [ibid., Section 2.9], we define

$$\chi_{ij}^{(2)} = \chi_{ij}^2 \cdot (\chi_{ij,0} \circ N_{\mathcal{K}/\mathbb{Q}})^{-1}, \quad \text{where } \chi_{ij,0} = \chi_{ij}|_{\mathbb{A}_{\mathbb{Q}}^{\times}} \cdot \|\cdot\|_{\mathbb{A}}^{-k_{ij}}. \quad (3.3.3)$$

Then (see [Harris 1997, (3.6.1), (3.6.3)]),

$$L(1, \text{BC}(\chi_{ij})) = L(1 + k_i - k_j, \chi_{ij}^{(2)}) \sim (2\pi i)^{1+k_i-k_j} p((\chi_{ij}^{(2)})^{\vee}, 1).$$

By using the formula  $\chi_{ij}^{(2)} = \chi_{ij}^{(2)}/\chi_i^{(2)}$  and the relations in Section 3.2, we find that the value at 1 of (3.3.2) is

$$\begin{aligned} & [(2\pi i)g(\eta_{\mathcal{K}/F})]^{n-1} \cdot \prod_{i < j} (2\pi i)^{1+k_i-k_j} p((\chi_{ij}^{(2)})^{\vee}, 1) \\ & \sim [(2\pi i)g(\eta_{\mathcal{K}/F})]^{n-1} \cdot (2\pi i)^{(n-2)(n-1)/2} \cdot \prod_{i=1}^{n-1} [(2\pi i)^{k_i} p((\chi_i^{(2)})^{\vee}, 1)]^{2i-n} \\ & \sim g(\eta_{\mathcal{K}/F})^{n-1} \cdot (2\pi i)^{n(n-1)/2} \cdot \prod_{i=1}^{n-1} [(2\pi i)^{k_i} p((\chi_i^{(2)})^{\vee}, 1)]^{2i-n} \end{aligned} \quad (3.3.4)$$

Comparing this formula with Corollary 1.3.5(i), it is reasonable to suppose that

$$\mathcal{Q}_{\ell} = [(2\pi i)^{k_{\ell}} p((\chi_{\ell}^{(2)})^{\vee}, 1)]^{-2} \quad \text{for } \ell = 1, \dots, n-1, \quad (3.3.5)$$

so that  $[(2\pi i)^{k_{\ell}} p((\chi_{\ell}^{(2)})^{\vee}, 1)]^{2\ell-n} = \mathcal{Q}_{\ell}^{((n-1)+1)/2-\ell}$ , as predicted. However, it will not be necessary to verify this formula, since the same expression reappears in the numerator of the Ichino–Ikeda formula in the applications.

#### 4. The critical value of the Asai $L$ -function

We continue to assume  $F = \mathbb{Q}$  and  $n$  is even. Henceforward the groups  $G$  and  $G'$  are assumed to be definite. We let  $f, f^{\vee}, f', f'^{\vee}$  be automorphic forms as in the

statement of the Ichino–Ikeda conjecture, and we assume they are all  $E$ -rational, as in the statement of [Corollary 2.5.5](#).

We begin by studying the  $L$ -functions that occur on the right hand side of the Ichino–Ikeda conjecture for the pair  $\pi$  and  $\pi'$ . Starting in [Section 4.2](#), we will assume  $\pi' \in \pi(\chi)$  for an appropriate  $(n-1)$ -tuple  $\chi$  of Hecke characters. The weights of  $\chi$  will be chosen so that the unitary groups that occur on the left hand side of [\(2.1.5\)](#), and in the zeta integrals on the right hand side, are necessarily definite, as in [Section 2.3](#). The left hand side is then an algebraic number, as we have seen in [Corollary 2.5.5](#). We conclude with an expression for the value  $L(1, \pi, \text{Ad})$ , which we compare to the conjectured expression from [Section 1.3](#).

#### 4.1. Elementary and local terms in the Ichino–Ikeda formula for definite groups.

The left hand side of the Ichino–Ikeda conjecture [\(2.1.5\)](#) was studied in [Section 2.5](#). [Corollary 2.5.5](#) demonstrates that it is an algebraic number that transforms as expected under Galois conjugation. Thus the Ichino–Ikeda conjecture implies that the right hand side is also algebraic, and determines how it transforms under Galois conjugation. In this section we study the algebraicity of the elementary and local terms.

**4.1.1.** The power of 2 that appears as the first term is, of course, rational.

**4.1.2** *The normalizing factor.* The abelian normalizing factor  $\Delta_G$  is a product of  $n$  abelian  $L$ -functions of  $\mathbb{Q}$ —either  $\zeta(s)$  or  $L(s, \eta_{\mathcal{H}/\mathbb{Q}})$  depending on the parity—evaluated at integer points. Each of the integer points is well known to be critical, and the formulas for the special values can be written as follows:

$$\Delta_G \sim_{\mathcal{H}} \prod_{i=1}^n g(\eta_{\mathcal{H}/\mathbb{Q}}^i) \cdot (2\pi i)^i = (2\pi i)^{n(n+1)/2} g(\eta_{\mathcal{H}/\mathbb{Q}})^{n/2}.$$

Here  $\sim_{\mathcal{H}}$  means that the left hand side is a  $\mathcal{H}^\times$ -multiple of the right hand side. By the Iwasawa main conjecture, the integral properties of  $\Delta_G/(2\pi i)^{n(n+1)/2}$  are closely related to orders of class groups of cyclotomic fields.

**4.1.3** *Factorization.* For the next section, we need to write  $f, f^\vee, f', f'^\vee$  as tensor products of vectors  $f = \bigotimes_v f_v$ ,  $f_v \in \pi_v$ , and so on. Let  $E(\pi) \supset E(V)$  and  $E(\pi') \supset E(V')$  denote fields of definition of  $\pi$  and  $\pi'$ , respectively. In particular, each factor  $\pi_v$  is defined over  $E(\pi)$ , and we can assume that the isomorphisms  $\pi \xrightarrow{\sim} \bigotimes_v \pi_v$  and  $\pi' \xrightarrow{\sim} \bigotimes_v \pi'_v$  (and the corresponding dual maps) are defined over  $E(\pi)$  and  $E(\pi')$ , respectively. Our hypothesis is that the test vectors on the left hand side of [\(2.1.5\)](#) are all  $E$ -rational; thus  $f_v, f'_v, f_v^\vee, f'^\vee_v$  are also  $E$ -rational for all  $v$ .

Moreover, the canonical local pairings  $\langle \cdot, \cdot \rangle_{\pi_v}$  and  $\langle \cdot, \cdot \rangle_{\pi'_v}$  are tautologically  $E(\pi)$ - and  $E(\pi')$ -rational, respectively. It follows that the matrix coefficients

$c_{f_v, f_v^\vee}(g_v)$  and  $c_{f'_v, f'^{\vee}_v}(g'_v)$  are  $E$ -rational. For finite  $v$ , this means that they are functions that take values in the indicated number fields. For  $v = \infty$ , an  $E$ -rational matrix coefficient of the algebraic representation  $\pi_\infty$  is an element of the affine algebra  $E(G)$  of the algebraic group  $G$ ; likewise for  $\pi'_\infty$ .

**4.1.4 Measures and archimedean local terms.** We want to prove that the product  $Z_{\text{loc}}$  of local terms on the right hand side of (2.1.5) is an algebraic number that transforms appropriately under Galois conjugation. We begin by reconsidering the factorization  $dg' = \prod_v dg'_v$  of Tamagawa measure. For the moment  $F$  is an arbitrary totally real field, and  $G_\infty = \prod_{v|\infty} G_v$  is the product of definite unitary groups. For  $v \notin S$ , let  $K'_v \subset G'_v$  be a hyperspecial maximal compact subgroup; we recall from Section 2.1 that  $\int_{K'_v} dg'_v = 1$  for  $v \notin S$ .

**Lemma 4.1.5.** *For any sufficiently small open subgroup  $\prod_{v \in S} K'_v \subset \prod_{v \in S} G'_v$ , the open subgroup  $G'_\infty \times \prod_{v \nmid \infty} K'_v \subset G(\mathbf{A})$  acts freely (on the right) on  $G'(F) \backslash G'(\mathbf{A})$  with finitely many orbits. In particular,  $\int_{G'_\infty \times \prod_{v \nmid \infty} K'_v} dg$  is a rational number.*

*Proof.* Let  $U = G'_\infty \times \prod_v K'_v$ , and let  $g \in G(\mathbf{A})$  be a fixed point of some  $u \in U$ . Thus  $gu = \gamma g$  for some  $\gamma \in G'(F)$ , or  $gug^{-1} \in gUg^{-1} \cap G'(F)$ . It's well known that this intersection is trivial if  $U$  is sufficiently small; see the proof of [Clozel et al. 2008, Lemma 3.3.1]. Finiteness of the number of orbits is clear because  $U$  is open in  $G'(\mathbf{A})$  and  $G'(F) \backslash G'(\mathbf{A})$  is compact. The final assertion follows from the first because the Tamagawa number of  $G'$  is rational (in fact it equals 2).  $\square$

**Corollary 4.1.6.** *The volume of  $G'_\infty$  with respect to  $dg_\infty = \prod_{v|\infty} dg_v$  is rational.*

*Proof.* Indeed,

$$\int_{G'_\infty} dg_\infty = \frac{\int_{G'_\infty \times \prod_v K'_v} dg}{\int \prod_{v \nmid \infty} K'_v}.$$

The numerator is rational by the lemma, and the denominator is rational by conditions (1) and (2) of Section 2.1.  $\square$

Now for simplicity we assume  $F = \mathbb{Q}$ , so that there is only one archimedean prime.

**Corollary 4.1.7.** *The archimedean local factor  $Z_\infty$  of  $Z_{\text{loc}}$  is an algebraic number.*

*Proof.* It follows from Lemma 2.5.7 that  $Z_\infty$  is a rational multiple of the integral of a product of  $E$ -rational matrix coefficients of two algebraic representations of  $G'_v$  with respect to the measure of total volume 1. By the orthogonality relations, this is an element of  $E$ .  $\square$

**4.1.8 Nonarchimedean local factors.** Let  $p \in S$  be a finite prime and let  $E$  be a number field over which both  $\pi_p$  and  $\pi'_p$  are defined. Then it makes sense to speak of  $E$ -rational matrix coefficients  $c_{f_p, f_p^\vee}$  and  $c_{f'_p, f'^{\vee}_p}$  of  $\pi_p$  and  $\pi'_p$ , respectively.

Recall that in [Section 2.1](#) we have assumed that local measures at finite primes take rational values on compact open subsets.

**Lemma 4.1.9.** *Suppose  $\pi_p$  and  $\pi'_p$  are tempered. For any  $E$ -rational matrix coefficients  $c_{f_p, f_p^\vee}$  and  $c_{f'_p, f'^{\vee}_p}$  as above, the local zeta integral has the property that*

$$Z_p(f_p, f_p^\vee, f'_p, f'^{\vee}_p) \in E.$$

In [[Ichino and Ikeda 2010](#); [N. Harris 2011](#)] it is proved that the integral defining  $Z_p(f_p, f_p^\vee, f'_p, f'^{\vee}_p)$  converges absolutely when the two representations are tempered, but no information is given about the rationality of the integral. Using Casselman's results on asymptotics of matrix coefficients, Mœglin and Waldspurger [[2012, Lemma 1.7](#)] decompose the analogous integral for pairs of special orthogonal groups (even in the nontempered case) into a finite sum of terms that can easily be seen to be rational over  $E$ .

More precisely, we write  $G$  and  $G'$  for the local groups at  $p$ . Assume  $\pi$  and  $\pi'$  are constituents of representations induced from supercuspidal representations of the Levi components  $M$  and  $M'$  of parabolic subgroups  $P \subset G$  and  $P' \subset G'$ , respectively, with  $M$  and  $M'$  respectively of (split) rank  $t$  and  $t'$ . Thus  $\pi$  and  $\pi'$  belong to complex families (components of the respective Bernstein centers)  $C(\pi)$  and  $C(\pi')$  of dimension  $t$  and  $t'$ , parametrized by characters  $X(M)$  of  $M$  and  $M'$ , modulo the actions of the normalizers  $W_M = N_G(M)/M$  and  $W_{M'} = N_{G'}(M')/M'$ :

$$C(\pi) = \text{Spec}(\mathbb{C}[X(M)]^{W_M}), \quad C(\pi') = \text{Spec}(\mathbb{C}[X(M')]^{W_{M'}}). \quad (4.1.10)$$

These complex families have rational structures over  $\mathbb{Q}$  whose  $E$ -rational points are the  $E$ -rational orbits of  $W_M$  and  $W_{M'}$  on the character groups. The functions  $f_p, f_p^\vee$  and  $f'_p, f'^{\vee}_p$  can be extended to  $E$ -rational algebraic functions on  $C(\pi)$  and  $C(\pi')$ . The lemma proved by Mœglin and Waldspurger (in the orthogonal case, but the argument works as well for unitary groups) is then:

**Lemma 4.1.11** (Mœglin, Waldspurger). *There are polynomials*

$$D, L \in \mathbb{C}[X(M), X(M')],$$

*depending on  $f_p, f_p^\vee, f'_p, f'^{\vee}_p$ , such that  $D \cdot Z_p(f_p, f_p^\vee, f'_p, f'^{\vee}_p) = L$ .*

For the proof of the lemma, it is not assumed that  $\pi$  and  $\pi'$  are tempered. In the tempered case, the convergence proved in [[Ichino and Ikeda 2010](#); [N. Harris 2011](#)] implies that  $D$  has no pole at the point corresponding to  $\pi, \pi' \in C(\pi) \times C(\pi')$ .

For our purposes, the important point is that every step in the proof in [[Mœglin and Waldspurger 2012](#)] is rational over  $E$ . The main reduction step is the expression of the integral as a finite sum of terms indexed by rational parabolic subgroups of  $G$  or  $G'$ , in which the matrix coefficients are replaced by corresponding expressions involving the nonnormalized Jacquet modules. Since the nonnormalized

Jacquet functor preserves rationality over  $\mathbb{Q}$ , the proof of [Lemma 4.1.11](#) actually yields [Lemma 4.1.9](#).

**4.1.12 Conclusion.** Combining the results obtained above with [Corollary 2.5.5](#), we find that

$$\frac{(2\pi i)^{n(n+1)/2} L(\frac{1}{2}, \text{BC}(\pi) \times \text{BC}(\pi'))}{L(1, \pi, \text{Ad})L(1, \pi', \text{Ad})} \in \overline{\mathbb{Q}}. \quad (4.1.13)$$

For all  $\sigma \in \text{Gal}(\overline{\mathbb{Q}}/\mathcal{K})$ ,

$$\begin{aligned} \sigma \left[ \frac{(2\pi i)^{n(n+1)/2} L(\frac{1}{2}, \text{BC}(\pi) \times \text{BC}(\pi'))}{L(1, \pi, \text{Ad})L(1, \pi', \text{Ad})} \right] \\ = \frac{(2\pi i)^{n(n+1)/2} L(\frac{1}{2}, \text{BC}(\sigma(\pi)) \times \text{BC}(\sigma(\pi')))}{L(1, \sigma(\pi), \text{Ad})L(1, \sigma(\pi'), \text{Ad})}. \end{aligned} \quad (4.1.14)$$

Including the Gauss sums that appear in [4.1.2](#) in the expression [\(4.1.13\)](#) would allow us to assert the modified version of [\(4.1.14\)](#) for all  $\sigma \in \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ . However, the subsequent calculations are taken from [\[Harris 1997\]](#) and have only been proved for conjugation by  $\text{Gal}(\overline{\mathbb{Q}}/\mathcal{K})$ .

**4.2. Tensor products involving abelian representations.** Let  $\pi$  and  $\pi'$  be automorphic representations of the definite unitary groups  $G$  and  $G'$ , as in [Section 2.3](#), with base changes  $\Pi$  and  $\Pi'$  to  $\text{GL}(n)_{\mathcal{K}}$  and  $\text{GL}(n-1)_{\mathcal{K}}$ , respectively, and with central characters  $\chi_{\pi}$  and  $\chi_{\pi'}$ . We assume  $L(\tau, \tau') \neq 0$ , with  $\tau = \pi_{\infty}$  and  $\tau' = \pi'_{\infty}$ ; thus the highest weights of  $\tau$  and  $\tau'$  satisfy the branching law [2.3.1](#). Our goal is to understand the special value  $L(1, \pi, \text{Ad})$ . This is unchanged when  $\pi$  is twisted by a Hecke character, so we lose no generality if we assume the highest weight of  $\tau = \pi_{\infty}$ , with parameters as in [Section 2.3](#), has the form  $a_1 \geq a_2 \geq \dots \geq a_n \geq 0$ . It then follows from [2.3.1](#) that the  $k_j$  are all *negative*.

We assume  $\pi' \in \mathbf{\Pi}(\chi)$ . Then (since  $\mu_{n-1} = 1$ )

$$L(s, \Pi \times \Pi') = \prod_{i=1}^{n-1} L(s, \Pi \otimes \text{BC}(\chi_j)) = \prod_{i=1}^{n-1} L(s, \pi \otimes \chi_i \circ \det, St). \quad (4.2.1)$$

Here  $St$  is the standard  $L$ -function of the  $L$ -group of  $G$  in the unitary normalization, as in [\[Harris 1997\]](#). In the motivic normalization (see [\[Harris 1997\]](#)), we then have

$$L(s, \Pi \times \Pi') = \prod_{i=1}^{n-1} L^{\text{mot}}(s + \frac{1}{2}(n-1), \pi \otimes \chi_i \circ \det, St). \quad (4.2.2)$$

**Lemma 4.2.3.** *The value  $s_0 = n/2$  is critical in Deligne’s sense for each of the factors  $L^{\text{mot}}(s, \pi \otimes \chi_i \circ \det, St)$ .*

*(If  $n$  were odd, there would be a shift of  $\frac{1}{2}$  to compensate the character  $\mu$ .)*

*Proof.* The line  $\operatorname{Re}(s) = s_0$  is the axis of symmetry for the functional equation, and the integral point on the axis of symmetry of the  $L$ -function of a motive is critical whenever the motive is of odd weight. The motive in question is  $M(\Pi) \otimes M(\operatorname{BC}(\chi_i))$ . Since  $M(\Pi)$  is of weight  $n - 1$  and  $M(\operatorname{BC}(\chi))$  is of weight 0 for any algebraic Hecke character  $\chi$ , the lemma follows.  $\square$

Thus  $L(s_0, \Pi \times \Pi')$  can be expressed in terms of automorphic periods using the formulas in [Harris 1997; Harris 2008].

**Lemma 4.2.4.** *In the terminology of [Harris 1997, Section 1.7], the character  $\operatorname{BC}(\chi_i)$  belongs to the  $i$ -th critical interval for  $M(\Pi)$ , where  $i = 1, \dots, n - 1$ .*

*Proof.* Recall from [Harris 1997] that the  $i$ -th critical interval is the interval

$$\begin{aligned} [n - 2p_i, n - 2p_{i+1} - 2] &= [n - 2(n - i - a_{n+1-i}), n - 2(n - i - a_{n-i})] \\ &= [2a_{n+1-i} - n + 2i, 2a_{n-i} - n + 2i], \end{aligned}$$

where the first equality is (2.3.2). On the other hand, up to a twist by a power of the norm character  $z\bar{z}$ ,  $\operatorname{BC}(\chi_i)_\infty$  is of weight  $-2k_i = -2b_i - n + 2i$  (according to the conventions of [Harris 1997, p. 92]), so the lemma follows from the inequalities Formula 2.3.1(2).  $\square$

Now suppose the following hypothesis is satisfied:

**Hypothesis NE.** For every inner form  $J$  of  $G_\infty$ , there exists an inner form  $G_J$  of  $G$  with  $G_{J,\infty} = J$  and a holomorphic automorphic representation  $\pi_J$  of  $G_J$  that is nearly equivalent to  $\pi$ ; in other words, such that  $\pi_{J,v} \xrightarrow{\sim} \pi_v$  for all but finitely many places  $v$ .

Then we can apply [Harris 2008, Theorem 4.3] and find that

$$\begin{aligned} L^{\operatorname{mot}}(\tfrac{1}{2}n, \pi \otimes \chi_i, St) &\sim_{E(\pi, \chi_i), \mathfrak{K}} (2\pi i)^{n/2+k_i(2i-n)} g(\eta_{\mathfrak{K}/F})^{n/2} P^{(n-i)}(\Pi) p((\chi_i^{(2)})^\vee, 1)^{2i-n} \\ &\sim_{E(\pi, \chi_i), \mathfrak{K}} (2\pi i)^{n/2} G(i, \chi) P^{(n-i)}(\Pi), \end{aligned}$$

where we have introduced the abbreviation

$$G(i, \chi) = [(2\pi i)^{k_i} \cdot p((\chi_i^{(2)})^\vee, 1)]^{2i-n},$$

and we have chosen to ignore powers of  $g(\eta_{\mathfrak{K}/F})$ .

The periods  $P^{(s)}(\Pi)$  were defined in [Harris 1997, (2.8.2)], where they were denoted  $P^{(s)}(\pi, V; \beta)$ . Roughly speaking,  $P^{(s)}(\pi, V; \beta)$  is the normalized Petersson square norm of a holomorphic automorphic form  $\beta$  on the Shimura variety attached to a unitary group  $\operatorname{GU}(V)$  of a hermitian space  $V$  of signature  $(r, s)$ ; we assume  $\beta$  is rational over an appropriate coefficient field, and the period  $P^{(s)}(\pi, V; \beta)$  is well-defined up to multiplication by a scalar in this coefficient field. In [Harris

1997, Corollary 3.5.12], it is proved under somewhat restrictive hypotheses that  $P^{(s)}(\pi, V; \beta)$  depends only on the near equivalence class of  $\pi$  (and on the signature  $(r, s)$ ), and therefore only on  $\Pi$ . The argument used to prove that corollary can be applied to the result of [Harris 2008, Theorem 4.3] to obtain the same statement under a much weaker hypothesis, namely when the  $L$ -functions  $L^{\text{mot}}(s, \pi \otimes \chi_i, St)$  have nonvanishing critical values for some  $\chi_i$  in the corresponding critical interval for  $\Pi$ . Since this is a consequence of hypothesis (3) of Theorem 4.2.6, we will just assume this to be the case; thus it is legitimate to write  $P^{(s)}(\Pi)$  as a function of the near-equivalence class.<sup>7</sup>

The statement of [Harris 2008, Theorem 4.3] is conditional on the possibility of representing the special value in question as an integral of a holomorphic automorphic form — hence the need for Hypothesis NE — against an Eisenstein series realized by means of the Siegel–Weil formula. That this is possible for the central value is proved in [Harris et al. 2011, Section 4.2].

In other words,

$$L^{\text{mot}}\left(\frac{1}{2}n, \pi \otimes \pi'\right) = \prod_{i=1}^{n-1} L^{\text{mot}}\left(\frac{1}{2}n, \pi \otimes \chi_i, St\right) \\ \sim_{E(\pi, \{\chi_i\}, \mathcal{K})} (2\pi i)^{n(n-1)/2} \prod_{i=1}^{n-1} G(i, \boldsymbol{\chi}) \cdot P^{(n-i)}(\Pi).$$

Combining this with (3.3.4), and bearing in mind  $L(s, \text{As}(\pi')) = L(s, \text{As}(M_{\Pi(\boldsymbol{\chi})}))$ , we find

$$\frac{L^{\text{mot}}\left(\frac{1}{2}n, \pi \otimes \pi'\right)}{L(1, \text{As}(\pi'))} \sim_{E(\pi, \{\chi_i\}, \mathcal{K})} (2\pi i)^{n(n-1)/2} \frac{\prod_{i=1}^{n-1} G(i, \boldsymbol{\chi}) \cdot P^{(n-i)}(\Pi)}{(2\pi i)^{n(n-1)/2} \cdot \prod_{i=1}^{n-1} G(i, \boldsymbol{\chi})} \\ \sim_{E(\pi, \{\chi_i\}, \mathcal{K})} \prod_{i=1}^{n-1} P^{(n-i)}(\Pi) \tag{4.2.5}$$

The next theorem then follows immediately from (4.2.5) and 4.1.12.

**Theorem 4.2.6.** *We admit the Ichino–Ikeda conjecture (2.1.5). Fix a representation  $\tau$  of  $G_\infty$ , and an automorphic representation  $\pi$  of  $G$  of infinity type  $\tau$ . Suppose  $\pi$  satisfies Hypothesis NE, and suppose there exists an  $(n-1)$ -tuple  $\boldsymbol{\chi}$  satisfying the following:*

- (1) *The  $L$ -packet  $\Pi(\boldsymbol{\chi})$  on  $G'$  is nontrivial.*

---

<sup>7</sup>Under Hypotheses 4.1.4, 4.1.10, and 4.1.14 of [Harris 2007], Theorem 4.2.1 therein implies immediately that  $P^{(s)}(\pi, V; \beta)$  depends only on the near equivalence class of  $\pi$ . The most important of these hypotheses is 4.1.10:  $\Pi$  is cohomological with nontrivial cohomology with coefficients in a representation of  $\text{GL}(n)$  of regular highest weight.

- (2) Let  $\tau'$  denote the common archimedean component of all elements of  $\Pi(\chi)$ . Then  $\tau'$  satisfies the inequalities of [Formula 2.3.1\(2\)](#) relative to  $\tau$ , that is,  $L(\tau, \tau') \neq 0$ .
- (3) For each  $\chi_i$ , the central value  $L^{\text{mot}}(\frac{1}{2}n, \pi \otimes \chi_i, St) = L(\frac{1}{2}, \pi \otimes \chi_i, St) \neq 0$ .

Then

$$L(1, \pi, \text{Ad}) \sim_{E(\pi), \mathcal{K}} (2\pi i)^{n(n+1)/2} \prod_{i=1}^{n-1} P^{(n-i)}(\Pi).$$

**Remark 4.2.7.** (a) It is legitimate to replace  $E(\pi, \{\chi_i\})$  by  $E(\pi)$  because we can let the  $\chi_i$  vary over their Galois conjugates; only  $\pi$  remains on the two sides.

(b) Hypotheses (1) and (3) imply that the central value  $L(\frac{1}{2}, \Pi \times BC(\Pi(\chi)))$ , which is another expression for the numerator of the left-hand side of [\(4.2.5\)](#), does not vanish. The Ichino–Ikeda conjecture, together with the Gross–Prasad conjecture, then picks out a pair  $(G_1, G'_1)$  of inner forms of  $G$  and  $G'$ , respectively, and automorphic representations  $\pi_1$  and  $\pi'_1$  on  $G_1$  and  $G'_1$ , with  $\text{BC}(\pi_1) = \Pi$ ,  $\text{BC}(\pi'_1) = \text{BC}(\Pi(\chi))$ , such that the left hand side of the identity [\(2.1.5\)](#) does not vanish for some choice of data  $f, f', f^\vee, f'^\vee$ . In particular,  $L(\pi_{1,v}, \pi'_{1,v}) \otimes L(\pi_{1,v}^\vee, \pi'^\vee_{1,v}) \neq 0$  for all places  $v$ . Moreover, the quadruple  $(G_1, G'_1, \pi_1, \pi'_1)$  is unique. It follows from hypothesis (2) that  $G_{1,\infty} = G_\infty$  and  $G'_{1,\infty} = G'_\infty$  are compact. Since  $n - 1$  is odd, this implies that  $G'_1$  and  $G'$  are isomorphic. On the other hand,  $G_1$  may well be different from  $G$  at finite places, but since  $L(1, \pi_1, \text{Ad}) = L(1, \pi, \text{Ad})$ , we need not refer to  $\pi_1$  in the statement of [Theorem 4.2.6](#).

### 4.3. Verification of the hypotheses of [Theorem 4.2.6](#).

**4.3.1.** The existence of  $L$ -packets  $\Pi(\chi)$  satisfying hypotheses (1) and (2) is predicted in most cases by the Langlands functoriality conjectures. Proofs of endoscopic functoriality in related situations are based on the stable Arthur–Selberg trace formula. In the situation at hand, where  $G'$  is definite at archimedean places, White has some results to this effect in his thesis [\[2010, Theorems 5.12 and Theorem 5.15\]](#). Complete results for endoscopic transfer can be found in recent papers of C. P. Mok when the target group  $G'$  is quasisplit. There may be obstructions at finite places at which  $G'$  is not quasi-split; this should be settled by additional work on the stable trace formula.

**4.3.2** *The nonvanishing hypothesis (3) of [Theorem 4.2.6](#).* This hypothesis is not accessible at present. One can conjecture that it is always true, given the freedom one has in choosing  $\chi$  in the proof of [4.3.1](#). For each  $i$  one needs to find  $\chi_i$  of the appropriate weight such that  $L(\frac{1}{2}, \pi \otimes \chi_i, St) \neq 0$ ; equivalently, with  $\chi_i$  fixed, one needs to find  $\chi'_i$  of finite order, with trivial restriction to the idèles of  $\mathbb{Q}$ , such that  $L(\frac{1}{2}, \pi \otimes \chi_i \cdot \chi'_i, St) \neq 0$ .

The first condition is to find  $\chi'_i$  such that the sign of the functional equation of  $L(\frac{1}{2}, \pi \otimes \chi_i \cdot \chi'_i, St)$  is  $+1$ . This is a local problem and can always be solved. As explained in [Harris et al. 2011], the local signs  $\varepsilon(1/2, \pi_v \otimes \chi_{i,v} \cdot \chi'_i) \in \{\pm 1\}$  determine a certain Siegel–Weil Eisenstein series on a quasisplit unitary group  $U(n, n)$ , and the vanishing of the central value  $L(\frac{1}{2}, \pi \otimes \chi_i \cdot \chi'_i, St)$  corresponds to the triviality of the pairing of this Eisenstein series with vectors in

$$(\pi \otimes \chi_i \cdot \chi'_i) \otimes (\pi \otimes \chi_i \cdot \chi'_i)^\vee$$

in the doubling method. However, the Eisenstein series itself is nontrivial, so there are certainly representations  $\pi$  for which  $L(\frac{1}{2}, \pi \otimes \chi_i \cdot \chi'_i, St) \neq 0!$

One would like to say that the  $L$ -function does not vanish for most  $\pi$  in a family of representations. For the families typically considered by analytic number theorists this also seems to be an inaccessible problem. On the other hand, one can prove such a generic nonvanishing result for  $p$ -adic families of automorphic representations, provided one has well-behaved  $p$ -adic  $L$ -functions for these families. This will be explained in more detail in forthcoming work of the author with Eischen, Li, and Skinner.

**4.4. Comparison of Theorem 4.2.6 with Deligne’s conjecture.** It remains to compare the expression

$$(2\pi i)^{n(n+1)/2} \prod_{i=1}^{n-1} P^{(n-i)}(\Pi)$$

of Theorem 4.2.6 with the expression

$$d(M)^{1/2} (2\pi i)^{n(n+1)/2} [Q_{\det(M)}]^{(n-1)/2} \cdot \prod_{\ell} Q_{\ell}^{1-\ell}$$

predicted by Deligne’s conjecture as expressed in Corollary 1.3.5; in other words, we wish to justify a comparison

$$\prod_{i=1}^{n-1} P^{(n-i)}(\Pi) \sim_{\mathfrak{H}} d(M)^{1/2} [Q_{\det(M)}]^{(n-1)/2} \cdot \prod_{\ell} Q_{\ell}^{1-\ell}. \tag{4.4.1}$$

The comparison can only be heuristic, because the invariants  $Q_{\ell}$  are defined in terms of a hypothetical polarized regular motive, whereas the  $P^{(n-i)}(\Pi)$  are normalized Petersson square norms of arithmetic holomorphic automorphic forms on Shimura varieties. We reason as in [Harris 1997, Section 3.7], deriving a version of (4.4.1) from the Tate conjecture. Briefly, we stipulate that the  $Q_{\ell}$  are defined for a motive  $M(\Pi)$  with  $\lambda$ -adic realizations  $\rho_{\Pi, \lambda}$ , as in Section 1.1, while the  $P^{(s)}(\Pi)$  are periods of a motive, say  $M^{(s)}(\Pi)$ , whose  $\lambda$ -adic realization is isomorphic to an explicit abelian twist of  $\bigwedge^{n-s} M(\Pi)^\vee$ ; see [Harris 1997, 2.7.6.1, 2.7.7, 3.7.9] and the subsequent discussion. More precisely, in view of the Tate conjecture, the

relation of  $L$ -functions asserted as [ibid., Conjecture 2.7.7] motivates the following version of [ibid., Hypothesis 3.7.9]:<sup>8</sup>

$$\begin{aligned} M^{(s)}(\Pi) &\simeq \bigwedge^r M(\Pi)^\vee \otimes M(\chi_{\pi^+})^{(\frac{1}{2}r(r-1))}, \\ &\simeq \left( \bigwedge^s M(\Pi) \right) \otimes M(\chi_{\pi^+})^{-1}^{(\frac{1}{2}r(r-1))}, \end{aligned} \tag{4.4.2}$$

where  $r = n - s$  and  $\chi_{\pi^+}$  is the central character of any of the representations  $\pi^+$  of one of the groups  $\mathrm{GU}(W) \supset U(W) = G$ , the base change of whose restriction to  $G$  is  $\Pi$ . With  $\chi_\Pi$  as in Section 1.2, we thus have

$$\chi_\Pi = \chi_{\pi^+} / \chi_{\pi^+}^c. \tag{4.4.3}$$

To be completely accurate, the restriction of  $\pi^+$  to  $G$  may have several irreducible components  $\pi$ , but they all have the same base change to  $\mathrm{GL}(n)$ . Note that the relation (4.4.3) is insensitive to the choice of extension of the central character of one such  $\pi$  to the center of  $\mathrm{GU}(W)$ , which is isomorphic to  $\mathrm{GL}(1)_{\mathcal{K}}$ . We have made the simplifying hypothesis that the parameter  $c$  of (2.4.4) equals 0, so we may assume the restriction of  $\chi_{\pi^+}$  to the idèles of  $\mathbb{Q}$  is a Hecke character of finite order, in other words a Dirichlet character  $\chi_0$ .

As in [Harris 1997], (4.4.2) motivates the following relations:

$$P^{(n-i)}(\Pi) \sim_{\mathcal{K}} \prod_{\ell=1}^{n-i} Q_\ell \cdot Q(\chi_{\pi^+})^{-1}.$$

Here  $Q(\chi_{\pi^+})$  is defined by analogy with  $Q_{\det M}$ .

The Tate twist is invisible at this stage because the periods  $P^{(s)}$  and  $Q_\ell$  are defined with respect to the de Rham pairing, and  $\mathbb{Q}(1)_{DR} = \mathbb{Q}$ . Then the left hand side of (4.4.1) is

$$\sim_{\mathcal{K}} \left[ \prod_{i=1}^{n-1} \prod_{\ell=1}^{n-i} Q_\ell \right] \cdot Q(\chi_{\pi^+})^{1-n} \sim_{\mathcal{K}} \left[ \prod_{\ell=1}^{n-1} Q_\ell^{n-\ell} \right] \cdot Q(\chi_{\pi^+})^{1-n}.$$

Thus the relation (4.4.1) follows from

$$\begin{aligned} Q(\chi_{\pi^+}) &\sim_{\mathcal{K}} d(M)^{1/2} Q_{\det M(\Pi)}^{1/2} \sim_{\mathcal{K}} d(M)^{1/2} Q(\xi_\Pi)^{1/2} \\ &= d(M)^{1/2} Q(\chi_\Pi)^{1/2}, \end{aligned} \tag{4.4.4}$$

---

<sup>8</sup>Thanks to progress on the stable trace formula, especially the proof of the Fundamental Lemma, Langlands' Conjecture 2.7.7 on the cohomology of Shimura varieties attached to unitary groups is much closer to being established now than when [Harris 1997] was published. The conjecture has been proved in a number of cases, under simplifying hypotheses, the corresponding relations of automorphic representations are the subject of [Clozel et al. 2011].

where the last relation is (1.2.1), bearing in mind that the Tate twist does not contribute to this calculation, so that  $Q(\xi_\Pi) = Q(\chi_\Pi)$ . By (4.4.3), the relation (4.4.4) is equivalent to

$$Q(\chi_{\pi^+}) \sim_{\mathcal{H}} d(M)^{1/2} Q(\chi_{\pi^+}/\chi_{\pi^+}^c)^{1/2}. \quad (4.4.5)$$

But  $\chi_\pi^c = \chi_\pi^{-1}$  (since it is a character of  $U(1)$ ), so  $\chi_{\pi^+} \cdot \chi_{\pi^+}^c$  factors through the norm from  $\mathcal{H}$  to  $\mathbb{Q}$ .

We hope to provide a hypothetical interpretation of  $d(M)$  in a subsequent paper with Guerberoff. In the meantime, we may as well square the two sides of (4.4.5), which reduces the question to

$$Q(\chi_{\pi^+} \cdot \chi_{\pi^+}^c) \sim_{\mathcal{H}} Q(\chi_0 \circ N_{\mathcal{H}/\mathbb{Q}}) \sim_{\mathcal{H}} 1, \quad (4.4.6)$$

with  $\chi_0$  as above. Finally, if we are willing to accept the analogue of the relation (3.3.5) (with  $k_\ell = 0$ ), namely,

$$Q(\chi_0 \circ N_{\mathcal{H}/\mathbb{Q}}) = p([\chi_0 \circ N_{\mathcal{H}/\mathbb{Q}}]^{(2)})^\vee, 1)^{-2},$$

then we are done, because the definition implies that  $\chi^{(2)}$  is trivial for any Dirichlet character  $\chi$  composed with the norm.

### Acknowledgments

This article was written in the stimulating environment of the Institute for Advanced Study in Princeton, during the special year on Galois Representations and Automorphic Forms organized by Richard Taylor. I thank the Institute and the organizer for the invitation that made my stay possible. I thank D. Blasius and B. Gross for helpful discussions, Lucio Guerberoff for pointing out several inconsistencies that allowed me to correct my calculations of Deligne periods, and Daniel Barrera Salazar for pointing out a sign mistake in the proof of Lemma 4.2.4. For the statements of the Ichino–Ikeda conjecture for unitary groups I thank Wee Teck Gan and Neal Harris. The anonymous referee deserves special thanks for a very careful reading that led to substantial improvements and clarifications. Finally, I thank the Association of Members of the IAS for their generous support.

### References

- [Blasius et al. 1994] D. Blasius, M. Harris, and D. Ramakrishnan, “Coherent cohomology, limits of discrete series, and Galois conjugation”, *Duke Math. J.* **73**:3 (1994), 647–685. MR 95b:11054 Zbl 0811.11034
- [Chenevier and Harris 2013] G. Chenevier and M. Harris, “Construction of automorphic Galois representations, II”, 2013. To appear in *Cambridge J. Math.*
- [Clozel 1990] L. Clozel, “Motifs et formes automorphes: applications du principe de functorialité”, pp. 77–159 in *Automorphic forms, Shimura varieties, and L-functions* (Ann Arbor, MI, 1988),

- vol. 1, edited by L. Clozel and J. S. Milne, *Perspect. Math.* **10**, Academic Press, Boston, MA, 1990. [MR 91k:11042](#) [Zbl 0705.11029](#)
- [Clozel et al. 2008] L. Clozel, M. Harris, and R. Taylor, “Automorphy for some  $l$ -adic lifts of automorphic mod  $l$  Galois representations”, *Publ. Math. Inst. Hautes Études Sci.* **108** (2008), 1–181. [MR 2010j:11082](#) [Zbl 1169.11020](#)
- [Clozel et al. 2011] L. Clozel, M. Harris, and J.-P. Labesse, “Construction of automorphic Galois representations, I”, pp. 497–527 in *Stabilization of the trace formula, Shimura varieties, and arithmetic applications, I: On the stabilization of the trace formula*, edited by L. Clozel et al., International Press, 2011. [MR 2856383](#)
- [Deligne 1979a] P. Deligne, “Valeurs de fonctions  $L$  et périodes d’intégrales”, pp. 313–346 in *Automorphic forms, representations and  $L$ -functions* (Corvallis, OR, 1977), vol. 2, edited by A. Borel and W. Casselman, Proc. Sympos. Pure Math. **33**, Amer. Math. Soc., Providence, R.I., 1979. [MR 81d:12009](#) [Zbl 0449.10022](#)
- [Deligne 1979b] P. Deligne, “Variétés de Shimura: Interprétation modulaire, et techniques de construction de modèles canoniques”, pp. 247–289 in *Automorphic forms, representations and  $L$ -functions* (Corvallis, OR, 1977), vol. 2, edited by A. Borel and W. Casselman, Proc. Sympos. Pure Math., Amer. Math. Soc., Providence, R.I., 1979. [MR 81i:10032](#) [Zbl 0437.14012](#)
- [Diamond et al. 2004] F. Diamond, M. Flach, and L. Guo, “The Tamagawa number conjecture of adjoint motives of modular forms”, *Ann. Sci. École Norm. Sup.* (4) **37**:5 (2004), 663–727. [MR 2006e:11089](#) [Zbl 1121.11045](#)
- [Dimitrov 2009] M. Dimitrov, “On Ihara’s lemma for Hilbert modular varieties”, *Compos. Math.* **145**:5 (2009), 1114–1146. [MR 2011d:11117](#) [Zbl 05625824](#)
- [Fulton and Harris 1991] W. Fulton and J. Harris, *Representation theory*, Graduate Texts in Mathematics **129**, Springer, New York, 1991. [MR 93a:20069](#) [Zbl 0744.22001](#)
- [Gan et al. 2012a] W. T. Gan, B. Gross, and D. Prasad, “Symplectic local root numbers, central critical  $L$ -values, and restriction problems in the representation theory of classical groups”, pp. 1–110 in *Sur les conjectures de Gross et Prasad*, *Astérisque* **346**, Société Mathématique de France, Paris, 2012.
- [Gan et al. 2012b] W. T. Gan, B. Gross, and D. Prasad, “Symplectic local root numbers, central critical  $L$ -values and restriction problems in the representation theory of classical groups”, pp. 111–170 in *Sur les conjectures de Gross et Prasad*, *Astérisque* **346**, Société Mathématique de France, Paris, 2012.
- [Harris 1997] M. Harris, “ $L$ -functions and periods of polarized regular motives”, *J. Reine Angew. Math.* **483** (1997), 75–161. [MR 98b:11070](#) [Zbl 0859.11032](#)
- [Harris 2007] M. Harris, “Cohomological automorphic forms on unitary groups, II: Period relations and values of  $L$ -functions”, pp. 89–149 in *Harmonic analysis, group representations, automorphic forms and invariant theory*, edited by J.-S. Li et al., Lect. Notes Ser. Inst. Math. Sci. Natl. Univ. Singap. **12**, World Sci. Publ., Hackensack, NJ, 2007. [MR 2009i:11065](#)
- [Harris 2008] M. Harris, “A simple proof of rationality of Siegel–Weil Eisenstein series”, pp. 149–185 in *Eisenstein series and applications*, edited by W. T. Gan et al., Progr. Math. **258**, Birkhäuser, Boston, MA, 2008. [MR 2009g:11061](#) [Zbl 1225.11069](#)
- [Harris 2012] M. Harris, “Beilinson–Bernstein localization over  $\mathbb{Q}$  and periods of automorphic forms”, *Int. Math. Res. Notices* (2012).
- [Harris et al. 2011] M. Harris, J.-S. Li, and B. Sun, “Theta correspondences for close unitary groups”, pp. 265–307 in *Arithmetic geometry and automorphic forms*, edited by J. Cogdell et al., Adv. Lect. Math. (ALM) **19**, International Press, 2011. [MR 2906912](#)

- [Hida 1981] H. Hida, “Congruence of cusp forms and special values of their zeta functions”, *Invent. Math.* **63**:2 (1981), 225–261. [MR 82g:10044](#) [Zbl 0459.10018](#)
- [Ichino and Ikeda 2010] A. Ichino and T. Ikeda, “On the periods of automorphic forms on special orthogonal groups and the Gross–Prasad conjecture”, *Geom. Funct. Anal.* **19**:5 (2010), 1378–1425. [MR 2011a:11100](#) [Zbl 1216.11057](#)
- [Labesse 2011] J.-P. Labesse, “Changement de base CM et séries discrètes”, pp. 429–470 in *Stabilization of the trace formula, Shimura varieties, and arithmetic applications, I: On the stabilization of the trace formula*, edited by L. Clozel et al., International Press, 2011. [MR 2856380](#)
- [Mínguez 2011] A. Mínguez, “Unramified representations of unitary groups”, pp. 389–410 in *Stabilization of the trace formula, Shimura varieties, and arithmetic applications, I: On the stabilization of the trace formula*, edited by L. Clozel et al., International Press, 2011. [MR 2856377](#)
- [Mœglin 2007] C. Mœglin, “Classification et changement de base pour les séries discrètes des groupes unitaires  $p$ -adiques”, *Pacific J. of Mathematics* **233**:1 (2007), 159–204. [MR 2009d:22022](#) [Zbl 1157.22010](#)
- [Mœglin and Waldspurger 2012] C. Mœglin and J.-L. Waldspurger, “La conjecture locale de Gross–Prasad pour les groupes spéciaux orthogonaux : le cas général”, in *Sur les conjectures de Gross et Prasad, II*, Astérisque **347**, Société Mathématique de France, Paris, 2012.
- [N. Harris 2011] N. Harris, *A refined Gross–Prasad conjecture for unitary groups*, thesis, University of California, San Diego, 2011.
- [Waldspurger 1985] J.-L. Waldspurger, “Sur les valeurs de certaines fonctions  $L$  automorphes en leur centre de symétrie”, *Compositio Math.* **54**:2 (1985), 173–242. [MR 87g:11061b](#) [Zbl 0567.10021](#)
- [White 2010] P.-J. White, *Le produit tensoriel automorphe et l’endoscopie sur le groupe unitaire*, thesis, Université Paris Diderot- Paris 7, 2010.

Communicated by Richard Taylor

Received 2011-07-10

Revised 2011-10-12

Accepted 2012-02-20

[harris@math.jussieu.fr](mailto:harris@math.jussieu.fr)

Tour 15-25, 4ème étage, bureau 420,  
Institut de Mathématiques de Jussieu, 4, place Jussieu,  
75252 Paris CEDEX 05, France  
<http://people.math.jussieu.fr/~harris/>

# Algebra & Number Theory

[msp.org/ant](http://msp.org/ant)

## EDITORS

### MANAGING EDITOR

Bjorn Poonen  
Massachusetts Institute of Technology  
Cambridge, USA

### EDITORIAL BOARD CHAIR

David Eisenbud  
University of California  
Berkeley, USA

## BOARD OF EDITORS

Georgia Benkart	University of Wisconsin, Madison, USA	Susan Montgomery	University of Southern California, USA
Dave Benson	University of Aberdeen, Scotland	Shigefumi Mori	RIMS, Kyoto University, Japan
Richard E. Borcherds	University of California, Berkeley, USA	Raman Parimala	Emory University, USA
John H. Coates	University of Cambridge, UK	Jonathan Pila	University of Oxford, UK
J-L. Colliot-Thélène	CNRS, Université Paris-Sud, France	Victor Reiner	University of Minnesota, USA
Brian D. Conrad	University of Michigan, USA	Karl Rubin	University of California, Irvine, USA
Hélène Esnault	Freie Universität Berlin, Germany	Peter Sarnak	Princeton University, USA
Hubert Flenner	Ruhr-Universität, Germany	Joseph H. Silverman	Brown University, USA
Edward Frenkel	University of California, Berkeley, USA	Michael Singer	North Carolina State University, USA
Andrew Granville	Université de Montréal, Canada	Vasudevan Srinivas	Tata Inst. of Fund. Research, India
Joseph Gubeladze	San Francisco State University, USA	J. Toby Stafford	University of Michigan, USA
Ehud Hrushovski	Hebrew University, Israel	Bernd Sturmfels	University of California, Berkeley, USA
Craig Huneke	University of Virginia, USA	Richard Taylor	Harvard University, USA
Mikhail Kapranov	Yale University, USA	Ravi Vakil	Stanford University, USA
Yujiro Kawamata	University of Tokyo, Japan	Michel van den Bergh	Hasselt University, Belgium
János Kollár	Princeton University, USA	Marie-France Vignéras	Université Paris VII, France
Yuri Manin	Northwestern University, USA	Kei-Ichi Watanabe	Nihon University, Japan
Barry Mazur	Harvard University, USA	Efim Zelmanov	University of California, San Diego, USA
Philippe Michel	École Polytechnique Fédérale de Lausanne		

## PRODUCTION

[production@msp.org](mailto:production@msp.org)

Silvio Levy, Scientific Editor

---

See inside back cover or [msp.org/ant](http://msp.org/ant) for submission instructions.

---

The subscription price for 2013 is US \$200/year for the electronic version, and \$350/year (+\$40, if shipping outside the US) for print and electronic. Subscriptions, requests for back issues and changes of subscribers address should be sent to MSP.

---

Algebra & Number Theory (ISSN 1944-7833 electronic, 1937-0652 printed) at Mathematical Sciences Publishers, 798 Evans Hall #3840, c/o University of California, Berkeley, CA 94720-3840 is published continuously online. Periodical rate postage paid at Berkeley, CA 94704, and additional mailing offices.

---

ANT peer review and production are managed by EditFLOW<sup>®</sup> from Mathematical Sciences Publishers.

PUBLISHED BY

 **mathematical sciences publishers**

**nonprofit scientific publishing**

<http://msp.org/>

© 2013 Mathematical Sciences Publishers

# Algebra & Number Theory

Volume 7    No. 1    2013

---

<a href="#">Powers of ideals and the cohomology of stalks and fibers of morphisms</a>	1
MARC CHARDIN	
<a href="#">Graphs of Hecke operators</a>	19
OLIVER LORSCHIED	
<a href="#">Group actions of prime order on local normal rings</a>	63
FRANZ KIRÁLY and WERNER LÜTKEBOHMERT	
<a href="#">On the arithmetic and geometry of binary Hamiltonian forms</a>	75
JOUNI PARKKONEN and FRÉDÉRIC PAULIN	
<a href="#">L-functions and periods of adjoint motives</a>	117
MICHAEL HARRIS	
<a href="#">Galois module structure of local unit groups</a>	157
ROMYAR SHARIFI	
<a href="#">On the invariant theory for tame tilted algebras</a>	193
CALIN CHINDRIS	
<a href="#">Period functions and cotangent sums</a>	215
SANDRO BETTIN and BRIAN CONREY	