On exceptional quotient singularities

IVAN CHELTSOV CONSTANTIN SHRAMOV

We study exceptional quotient singularities. In particular, we prove an exceptionality criterion in terms of the α -invariant of Tian, and utilize it to classify four-dimensional and five-dimensional exceptional quotient singularities.

We assume that all varieties are projective, normal, and defined over $\mathbb C$.

1 Introduction

Let X be a smooth Fano variety (see Iskovskikh and Prokhorov [19]) of dimension n, and let $g = g_{i\bar{j}}$ be a Kähler metric with a Kähler form

$$\omega = \frac{\sqrt{-1}}{2\pi} \sum g_{i\bar{j}} dz_i \wedge d\bar{z}_j \in c_1(X).$$

Definition 1.1 The metric g is a Kähler–Einstein metric if $Ric(\omega) = \omega$, where $Ric(\omega)$ is a Ricci curvature of the metric g.

Let $\overline{G} \subset \operatorname{Aut}(X)$ be a compact subgroup. Suppose that g is \overline{G} -invariant.

Definition 1.2 Let $P_{\overline{G}}(X,g)$ be the set of C^2 -smooth \overline{G} -invariant functions φ such that

$$\omega + \frac{\sqrt{-1}}{2\pi} \partial \overline{\partial} \varphi > 0$$

and $\sup_X \varphi = 0$. Then the \bar{G} -invariant α -invariant of the variety X is the number

$$\alpha_{\overline{G}}(X) = \sup \bigg\{ \lambda \in \mathbb{Q} \ \Big| \ \exists \ C \in \mathbb{R} \ \text{such that} \ \int_X e^{-\lambda \varphi} \omega^n \leqslant C \ \text{for any} \ \varphi \in P_{\overline{G}}(X,g) \bigg\}.$$

The number $\alpha_{\overline{G}}(X)$ was introduced by Tian [42] and Tian and Yau [44] and now it is called the α -invariant of Tian.

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Theorem 1.3 [42] The Fano variety X admits a \overline{G} –invariant Kähler–Einstein metric if $\alpha_{\overline{G}}(X) > n/(n+1)$.

The normalized Kähler–Ricci flow on the smooth Fano X is defined by the equation

(1.4)
$$\begin{cases} \frac{\partial \omega(t)}{\partial t} = -\text{Ric}(\omega(t)) + \omega(t), \\ \omega(0) = \omega, \end{cases}$$

where $\omega(t)$ is a Kähler form such that $\omega(t) \in c_1(X)$, and $t \in \mathbb{R}_{\geq 0}$. It follows from Cao [8] that the solution $\omega(t)$ to (1.4) exists for every t > 0.

Theorem 1.5 (Tian–Zhu [45]) If X admits a Kähler–Einstein metric with a Kähler form ω_{KE} , then any solution to (1.4) converges to ω_{KE} in the sense of Cheeger–Gromov.

The normalized Kähler–Ricci iteration on the smooth Fano variety X is defined by the equation

(1.6)
$$\begin{cases} \omega_{i-1} = \operatorname{Ric}(\omega_i), \\ \omega_0 = \omega, \end{cases}$$

where ω_i is a Kähler form such that $\omega_i \in c_1(X)$. It follows from Yau [46] that the solution ω_i to (1.6) exists for every $i \ge 1$.

Theorem 1.7 (Rubinstein [35]) If $\alpha_{\overline{G}}(X) > 1$ then X admits a \overline{G} -invariant Kähler-Einstein metric with a Kähler form ω_{KE} and any solution to (1.6) converges to ω_{KE} in $C^{\infty}(X)$ -topology.

Smooth Fano varieties that satisfy all hypotheses of Theorem 1.7 do exist.

Example 1.8 Let X be a smooth del Pezzo surface such that $K_X^2 = 5$. Then X is unique and $\operatorname{Aut}(X) \cong \operatorname{S}_5$. Moreover, one can show that $\alpha_{\overline{G}}(X) = 2$ in the case when $\overline{G} \cong \operatorname{S}_5$ or $\overline{G} \cong \operatorname{A}_5$ (see Cheltsov [9, Example 1.11] and Cheltsov and Shramov [11, Theorem A.3]).

Suppose now that $X=\mathbb{P}^n$ (the simplest possible case). Then the Fubini-Study metric on \mathbb{P}^n is Kähler-Einstein. Moreover, if \overline{G} is the maximal compact subgroup of $\operatorname{Aut}(\mathbb{P}^n)$, then the only \overline{G} -invariant metric on \mathbb{P}^n is the Fubini-Study metric and we have $\alpha_{\overline{G}}(\mathbb{P}^n)=+\infty$ by Definition 1.2. In particular, the solution to (1.6) is trivial (and constant) in the latter case, since the initial metric g must be the Fubini-Study metric. On the other hand, the convergence of any solution to (1.6) is not clear in the case when \overline{G} is a finite group. So, Yanir Rubinstein asked the following question in the spring of 2009.

Question 1.9 Is there a finite subgroup $\bar{G} \subset \operatorname{Aut}(\mathbb{P}^n)$ such that $\alpha_{\bar{G}}(\mathbb{P}^n) > 1$?

This paper is inspired by Question 1.9. In particular, we will show that the answer to Question 1.9 is positive in the case when $n \le 4$, which follows from [11, Theorem A.3] and Theorems 4.1, 4.2, 4.13, 5.6 and 3.21.

It came as a surprise that Question 1.9 is strongly related to the notion of exceptional singularity that was introduced by Vyacheslav Shokurov in [39]. Let us recall this notion. Let $(V \ni O)$ be a germ of Kawamata log terminal singularity (see Kollár [23, Definition 3.5]).

Definition 1.10 [39, Definition 1.5] The singularity $(V \ni O)$ is said to be *exceptional* if for every effective \mathbb{Q} -divisor D_V on the variety V such that (V, D_V) is log canonical (see [23, Definition 3.5]) and for every resolution of singularities $\pi \colon U \to V$ there exists at most one π -exceptional divisor $E \subset U$ such that $a(V, D_V, E) = -1$, where the rational number $a(V, D_V, E)$ can be defined through the equivalence

$$K_U + D_U \sim_{\mathbb{Q}} \pi^*(K_V + D_V) + \sum a(V, D_V, E)E,$$

where the sum is taken over all f-exceptional divisors, and D_U is the proper transform of the divisor D_V on the variety U.

One can show that exceptional Kawamata log terminal singularities are straightforward generalizations of the Du Val singularities of type \mathbb{E}_6 , \mathbb{E}_7 and \mathbb{E}_8 (cf Theorem 4.1), which partially justifies the word "exceptional" in Definition 1.10.

Remark 1.11 One can easily check (for example, by applying Theorem 3.11) that the singularity $(V \ni O)$ is not exceptional if V is smooth and $\dim(V) \ge 2$.

It follows from Shokurov [38], Ishii and Prokhorov [18] and Markushevich and Prokhorov [27] that exceptional Kawamata log terminal singularities do exist in dimensions 2 and 3. The existence in dimension 4 follows from Johnson and Kollár [20] and Prokhorov [31, Theorem 4.9]. Actually, exceptional Kawamata log terminal singularities exist in every dimension (see Example 3.13). We will see later (cf Theorem 1.14, Remark 1.16, Theorem 1.17 and Conjecture 1.23) that Question 1.9 is *almost* equivalent to the following

Question 1.12 Are there exceptional *quotient* singularities of dimension n + 1?

Recall that quotient singularities are always Kawamata log terminal by [23, Proposition 3.16]. So Question 1.12 fits well to Definition 1.10. Moreover, it follows from Shokurov [39] and Markushevich and Prokhorov [27] that the answer to Question 1.12 is positive for n = 1 and n = 2, respectively. The purpose of this paper is to study exceptional *quotient* singularities and, in particular, to give positive answers to Questions 1.9 and 1.12 for every $n \le 4$. In a subsequent paper we will show that the answers to Questions 1.9 and 1.12 are still positive for n = 5 and are surprisingly negative for n = 6 (see [10]). So it is hard to predict what would be the answer to Question 1.9 in general. However, we still believe in the following:

Conjecture 1.13 For every $N \in \mathbb{Z}_{>0}$ there exist exceptional quotient singularities of dimension greater than N.

Let G be a finite subgroup in $GL_{n+1}(\mathbb{C})$, where $n \ge 1$. Denote by Z(G) the center and by [G, G] the commutator of group G. Let $\phi: GL_{n+1}(\mathbb{C}) \to Aut(\mathbb{P}^n) \cong PGL_{n+1}(\mathbb{C})$ be the natural projection. Put $\overline{G} = \phi(G)$ and put

$$\operatorname{lct}(\mathbb{P}^n, \bar{G}) = \sup \left\{ \lambda \in \mathbb{Q} \;\middle|\; \text{the log pair } (\mathbb{P}^n, \lambda D) \text{ has log canonical singularities} \\ \text{for every } \bar{G} \text{-invariant effective } \mathbb{Q} \text{-divisor } D \sim_{\mathbb{Q}} -K_{\mathbb{P}^n} \right\}.$$

Theorem 1.14 (See eg [11, Theorem A.3].) One has $lct(\mathbb{P}^n, \overline{G}) = \alpha_{\overline{G}}(\mathbb{P}^n)$.

The number $\operatorname{lct}(\mathbb{P}^n, \overline{G})$ is usually called \overline{G} -equivariant global log canonical threshold of \mathbb{P}^n . Despite the fact that $\operatorname{lct}(\mathbb{P}^n, \overline{G}) = \alpha_{\overline{G}}(\mathbb{P}^n)$, we still prefer to work with the number $\operatorname{lct}(\mathbb{P}^n, \overline{G})$ throughout this paper, because it is easier to handle than $\alpha_{\overline{G}}(\mathbb{P}^n)$. For example, it follows immediately from Definition 3.1 that $\operatorname{lct}(\mathbb{P}^n, \overline{G}) \leq d/(n+1)$ if the group G has a semi-invariant of degree d (a semi-invariant of the group G is a polynomial whose zeroes define a \overline{G} -invariant hypersurface in \mathbb{P}^n).

Remark 1.15 A semi-invariant of the group G is its invariant if $Z(G) \subseteq [G, G]$ and \overline{G} is a nonabelian simple group.

Recall that an element $g \in G$ is called a *reflection* (or sometimes a *quasireflection*) if there is a hyperplane in \mathbb{P}^n that is pointwise fixed by $\phi(g)$ (cf Springer [40, Section 4.1]).

Remark 1.16 Let $R \subseteq G$ be a subgroup generated by all reflections. Then the quotient \mathbb{C}^{n+1}/R is isomorphic to \mathbb{C}^{n+1} (see Shephard and Todd [37] and Springer [40, Theorem 4.2.5]). Moreover, the subgroup $R \subseteq G$ is normal, and the singularity \mathbb{C}^{n+1}/G is isomorphic to the singularity $\mathbb{C}^{n+1}/(G/R)$. Note that the subgroup R is trivial if $G \subset \mathrm{SL}_{n+1}(\mathbb{C})$. If G is a trivial group, then the singularity $\mathbb{C}^{n+1}/G \cong \mathbb{C}^{n+1}$ is not exceptional by Remark 1.11.

Thus to answer Question 1.12 one can always assume that the group G does not contain reflections. On the other hand, one can easily check that there exists a finite subgroup $G' \subset \mathrm{SL}_{n+1}(\mathbb{C})$ such that $\phi(G') = \overline{G}$. So to answer Question 1.9 one can also assume that $G \subset \mathrm{SL}_{n+1}(\mathbb{C})$, which implies, in particular, that the group G does not contain reflections. Moreover, if the group G does not contain reflections, then the singularity \mathbb{C}^{n+1}/G is exceptional if and only if the singularity \mathbb{C}^{n+1}/G' is exceptional thanks to the following:

Theorem 1.17 Let G be a finite subgroup in $GL_{n+1}(\mathbb{C})$ that does not contain reflections. Then

- the singularity \mathbb{C}^{n+1}/G is exceptional if $lct(\mathbb{P}^n, \overline{G}) > 1$,
- the singularity \mathbb{C}^{n+1}/G is not exceptional if either $lct(\mathbb{P}^n, \overline{G}) < 1$ or G has a semi-invariant of degree at most n+1,
- for any subgroup $G' \subset GL_{n+1}(\mathbb{C})$ such that G' does not contain reflections and $\phi(G') = \overline{G}$, the singularity \mathbb{C}^{n+1}/G is exceptional if and only if the singularity \mathbb{C}^{n+1}/G' is exceptional.

Proof All required assertions immediately follow from Theorem 3.17 (cf [32, Proposition 3.1; 32, Lemma 3.1]).

It should be pointed out that the assumption that G contains no reflections is crucial for Theorem 1.17.

Example 1.18 Let G be a finite subgroup in $GL_4(\mathbb{C})$ that is the subgroup number 32 in Shephard and Todd [37, Table VII]. Then the group G is generated by reflections (see [37]), so that the singularity \mathbb{C}^4/G is not exceptional by Remark 1.16. On the other hand, it follows from Theorem 4.13 that $lct(\mathbb{P}^3, \overline{G}) \ge 5/4$, because $\overline{G} \cong PSp_4(\mathbb{F}_3)$. It follows from Theorem 4.13 that there exists a subgroup $G' \subset SL_4(\mathbb{C})$ such that $\overline{G} = \phi(G')$ and the singularity \mathbb{C}^4/G' is exceptional. One can produce similar examples for two-dimensional and three-dimensional singularities.

By Theorem 1.17 and [40, Section 4.5], if G is a finite subgroup in $GL_2(\mathbb{C})$ that does not contain reflections, then the singularity \mathbb{C}^2/G is exceptional if and only if G has no semi-invariants of degree at most 2. A similar result holds in dimension 3.

Theorem 1.19 [27, Theorem 1.2] Let G be a finite group in $GL_3(\mathbb{C})$ that does not contain reflections. Then the singularity \mathbb{C}^3/G is exceptional if and only if G does not have semi-invariants of degree at most 3.

For finite subgroups in $GL_4(\mathbb{C})$, the assertion of Theorem 1.19 is no longer true.

Example 1.20 [32, Example 3.1] Let $\Gamma \subset SL_2(\mathbb{C})$ be a binary icosahedron group. Put

$$G = \left\{ \begin{pmatrix} a_{11} & a_{12} & 0 & 0 \\ a_{21} & a_{22} & 0 & 0 \\ 0 & 0 & b_{11} & b_{12} \\ 0 & 0 & b_{21} & b_{22} \end{pmatrix} \middle| \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} \in \Gamma \ni \begin{pmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{pmatrix} \right\} \subset \operatorname{SL}_{4}(\mathbb{C}),$$

where $a_{ij} \in \mathbb{C} \ni b_{ij}$. Then G does not have semi-invariants of degree at most 4, because Γ does not have semi-invariants of degree at most 4 (see [40, Section 4.5]). On the other hand, it follows from [32, Proposition 2.1] that the singularity \mathbb{C}^4/G is not exceptional (cf Corollary 3.20).

Actually, it is possible to modify the assertion of Theorem 1.19 so that its new version can be generalized to higher dimensions.

Definition 1.21 (Blichfeldt [3]) The subgroup $G \subset GL_{n+1}(\mathbb{C})$ is said to be primitive if there is no nontrivial decomposition $\mathbb{C}^{n+1} = \bigoplus_{i=1}^r V_i$ such that for any $g \in G$ and any i there is some j = j(g) such that $g(V_i) = V_i$.

If G is primitive, then $\overline{G} \cong G/Z(G)$ by Schur's lemma. It follows from [32, Proposition 2.1] that G must be primitive if \mathbb{C}^{n+1}/G is exceptional (we give a short proof of this fact in Corollary 3.20). Moreover, primitivity plays a crucial role in the main result of this paper:

Theorem 1.22 Let G be a finite subgroup in $GL_{n+1}(\mathbb{C})$ that does not contain reflections. Suppose that $n \leq 4$. Then the following conditions are equivalent:

- The singularity \mathbb{C}^{n+1}/G is exceptional.
- $lct(\mathbb{P}^n, \overline{G}) \ge (n+2)/(n+1)$.
- The group G is primitive and has no semi-invariants of degree at most n + 1.

Proof The required assertion follows from Theorems 1.19, 3.17, 3.18, 3.21, 4.13 and 5.6. \Box

It appears that in higher dimensions exceptionality cannot be expressed in terms of primitivity and absence of semi-invariants of small degree. In particular, there are nonexceptional six-dimensional quotient singularities arising from primitive subgroups without reflections in $GL_6(\mathbb{C})$ that have no semi-invariants of degree at most 6 (see

Example 3.25). On the other hand, it follows from Theorem 1.22 that we may expect the sufficient condition for exceptionality in Theorem 1.17 to be a necessary condition as well. Namely, inspired by Theorem 1.22 and Tian [43, Question 1] we believe in the following:

Conjecture 1.23 Let G be a finite subgroup in $GL_{n+1}(\mathbb{C})$ that does not contain reflections. Then the singularity \mathbb{C}^{n+1}/G is exceptional if and only if $let(\mathbb{P}^n, \overline{G}) > 1$.

It follows from Theorem 1.22 that Conjecture 1.23 holds for $n \le 4$. In a subsequent paper we will show that Conjecture 1.23 holds for n = 5 and n = 6 (see [10]). Note that Conjecture 1.23 is a special case of Conjecture 3.5.

To apply Theorem 1.22 we may assume that $G \subset SL_{n+1}(\mathbb{C})$, since there exists a finite subgroup $G' \subset SL_{n+1}(\mathbb{C})$ such that $\phi(G') = \overline{G}$. On the other hand, it is well known that there are at most finitely many primitive finite subgroups in $SL_{n+1}(\mathbb{C})$ up to conjugation (see Collins [12]). Primitive finite subgroups of $SL_2(\mathbb{C})$ are group-theoretic counterparts of Platonic solids and each of them gives rise to an exceptional singularity (see Theorem 4.1). Primitive finite subgroups of $SL_3(\mathbb{C})$ are classified by Blichfeldt in [3]. Prokhorov and Markushevich used Blichfeldt's classification in [27] to obtain an explicit classification of the subgroups in $SL_3(\mathbb{C})$ corresponding to three-dimensional exceptional quotient singularities (see Theorem 4.2). For dimension 2 the same was done by Shokurov (see Theorem 4.1). Similar classification is possible in dimensions 4 and 5, since primitive finite subgroups of $SL_4(\mathbb{C})$ and $SL_5(\mathbb{C})$ are classified by Blichfeldt [3] and Brauer [5], respectively. In fact, we obtain a complete list of finite subgroups in $SL_4(\mathbb{C})$ and $SL_5(\mathbb{C})$ that satisfy all hypotheses of Theorem 1.22 (see Theorems 4.13 and 5.6).

While the exceptionality of a quotient singularity \mathbb{C}^{n+1}/G depends on a lower bound for a global log canonical threshold $\operatorname{lct}(\mathbb{P}^n, \overline{G})$, it is interesting to find upper bounds for $\operatorname{lct}(\mathbb{P}^n, \overline{G})$ as well. Using [40, Section 4.5; 47] and a bit of direct computation, we see that it follows from Corollary 3.19 that

$$lct(\mathbb{P}^n, \bar{G}) \leqslant \begin{cases} 6 & \text{if } n = 1, \\ 2 & \text{if } n = 2, \\ 3 & \text{if } n = 3. \end{cases}$$

Theorem 1.24 The inequality $lct(\mathbb{P}^n, \overline{G}) \leq 4(n+1)$ holds for every $n \geq 1$. Moreover, if $n \geq 23$, then $lct(\mathbb{P}^n, \overline{G}) \leq 12(n+1)/5$.

Proof Let p be any prime number which does not divide |G|. Then G has a semi-invariant of degree at most (p-1)(n+1) by [41, Lemma 2]. Thus, it follows

from Definition 3.1 that $\operatorname{lct}(\mathbb{P}^n, \overline{G}) \leq p-1$. On the other hand, it follows from the Bertrand's postulate (see Ramanujan [34]) that there is a prime number p' such that 2n+3 < p' < 2(2n+3), which implies that $p' \leq 4n+5$. If G is not primitive, then $\operatorname{lct}(\mathbb{P}^n, \overline{G}) \leq 1$ by Corollary 3.19. If G is primitive, then p' does not divide |G| by Feit and Thompson [15, Theorem 1], which completes the proof of the first assertion of the theorem. A similar argument with an additional use of Nagura [29] gives the second assertion for $n \geq 23$.

In fact, we expect the following to be true (cf [41]).

Conjecture 1.25 There exists a universal constant $C \in \mathbb{R}$ such that $lct(\mathbb{P}^n, \overline{G}) \leq C$ for any finite subgroup $\overline{G} \subset Aut(\mathbb{P}^n)$ and for any $n \geq 1$.

Let us describe the structure of the paper. In Section 2 we collect auxiliary results. In Section 3 we prove the exceptionality criterion for a singularity \mathbb{C}^{n+1}/G . In Section 4 we classify exceptional quotient singularities in dimension 4 (see Theorem 4.13). In Section 5 we classify exceptional quotient singularities in dimension 5 (see Theorem 5.6). In Appendix A we prove Corollary A.2 and Theorem A.9 that are used in Section 5.

Many of our results can be obtained by direct computations using the *Atlas of finite groups* [13].

Throughout the paper we use the following standard notation: the symbol \mathbb{Z}_n denotes the cyclic group of order n, the symbol \mathbb{F}_n denotes the finite field consisting of n elements, the symbol S_n denotes the symmetric group of degree n, the symbol A_n denotes the alternating group of degree n, the symbols GL, PGL, SL, PSL, $\operatorname{Sp}_4(\mathbb{F}_3)$ and $\operatorname{PSp}_4(\mathbb{F}_3)$ denote the corresponding algebraic groups. The symbol k.G denotes a central extension of a group G with the center \mathbb{Z}_k (this might be nonunique).

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2 Preliminaries

Throughout the paper we use the standard language of the singularities of pairs (see Kollár [23]). By strictly log canonical singularities we mean log canonical singularities that are not Kawamata log terminal (see [23, Definition 3.5]).

Let X be a variety, let B_X and D_X be effective \mathbb{Q} -divisors on the variety X such that the singularities of the log pair (X, B_X) are Kawamata log terminal, and $K_X + B_X + D_X$ is a \mathbb{Q} -Cartier divisor. Let $Z \subseteq X$ be a closed nonempty subvariety.

Definition 2.1 The log canonical threshold of the boundary D_X along Z is

$$c_Z(X, B_X, D_X) = \sup\{\lambda \in \mathbb{Q} \mid \text{the pair } (X, B_X + \lambda D_X) \text{ is log canonical along } Z\}.$$

Note that the log pair $(X, B_X + D_X)$ is Kawamata log terminal along Z if and only if $c_Z(X, B_X, D_X) > 1$. For simplicity, we put $c(X, B_X, D_X) = c_X(X, B_X, D_X)$. We put $c_Z(X, D_X) = c_Z(X, B_X, D_X)$ in the case when $B_X = 0$. For simplicity, we also put $c(X, D_X) = c_X(X, D_X)$.

Apart from some rare but important occasions (cf Section 3), we only need to consider the case when $B_X = 0$. So from now on we assume that $B_X = 0$.

Let $\pi \colon \overline{X} \to X$ be a birational morphism such that \overline{X} is smooth. Then

$$K_{\overline{X}} + D_{\overline{X}} \sim_{\mathbb{Q}} \pi^*(K_X + D_X) + \sum_{i=1}^m d_i E_i,$$

where $D_{\overline{X}}$ is a proper transform of the divisor D_X on the variety \overline{X} , $d_i \in \mathbb{Q}$, and E_i is an exceptional divisor of the morphism π . Put $D_{\overline{X}} = \sum_{i=1}^r a_i \overline{D}_i$, where $a_i \in \mathbb{Q}_{\geq 0}$, and \overline{D}_i is a prime Weil divisor on \overline{X} . Suppose that $\sum_{i=1}^r \overline{D}_i + \sum_{i=1}^m E_i$ is a divisor with simple normal crossing. Put

$$\mathcal{I}(X, D_X) = \pi_* \mathcal{O}_{\bar{X}} \bigg(\sum_{i=1}^m \lceil d_i \rceil E_i - \sum_{i=1}^r \lfloor a_i \rfloor \bar{D}_i \bigg),$$

and let $\mathcal{L}(X, D_X)$ be a subscheme that corresponds to the ideal sheaf $\mathcal{I}(X, D_X)$ (the sheaf $\mathcal{I}(X, D_X)$ is an ideal sheaf, because D_X is an effective divisor). Put $LCS(X, D_X) = Supp(\mathcal{L}(X, D_X))$.

Remark 2.2 If (X, D_X) is log canonical, then $\mathcal{L}(X, D_X)$ is reduced.

The subscheme $\mathcal{L}(X, D_X)$ and locus LCS (X, D_X) were introduced by Shokurov [38]. They are called are called the subscheme of log canonical singularities of the log pair (X, D_X) and the locus of log canonical singularities of the log pair (X, D_X) , respectively. Note that the ideal sheaf $\mathcal{I}(X, D_X)$ is also known as the multiplier ideal sheaf of the log pair (X, D_X) (see Lazarsfeld [25]).

Theorem 2.3 [25, Theorem 9.4.8] Let H be a nef and big \mathbb{Q} –divisor on X such that $K_X + D_X + H \equiv D$ for some Cartier divisor D on the variety X. Then

$$H^i(\mathcal{I}(X, D_X) \otimes D) = 0$$

for every $i \ge 1$.

Corollary 2.4 [38, Lemma 5.7] Suppose that $-(K_X + D_X)$ is nef and big. Then the locus LCS (X, D_X) is connected.

Let $\mathbb{LCS}(X, D_X)$ be the set that consists of all possible centers of log canonical singularities of the log pair (X, D_X) (see [11, Definition 2.2]).

Remark 2.5 Let \mathcal{H} be a linear system on the variety X that has no base points. Put $Z \cap H = \sum_{i=1}^k Z_i$, where H is a general divisor in \mathcal{H} , and Z_i is an irreducible subvariety in H. Then $Z \in \mathbb{LCS}(X, D_X)$ if and only if all subvarieties Z_1, \ldots, Z_k are contained in the set $\mathbb{LCS}(H, D_X|_H)$.

If $Z \in \mathbb{LCS}(X, D_X)$ and no proper subvariety of Z is contained in $\mathbb{LCS}(X, D_X)$, then Z is said to be a *minimal* center in $\mathbb{LCS}(X, D_X)$ or *minimal* center of log canonical singularities of the log pair (X, D_X) .

Lemma 2.6 (Kawamata [21, Proposition 1.5]) Suppose that $Z \in \mathbb{LCS}(X, D_X)$ and (X, D_X) is log canonical. Let Z' be a center in $\mathbb{LCS}(X, D_X)$ such that $\emptyset \neq Z \cap Z' = \sum_{i=1}^k Z_i$, where $Z_i \subsetneq Z$ is an irreducible subvariety. Then $Z_i \in \mathbb{LCS}(X, D_X)$ for every $i \in \{1, ..., k\}$.

Theorem 2.7 [22, Theorem 1] Suppose $Z \subset X$ is a minimal center in $\mathbb{LCS}(X, D_X)$ and (X, D_X) is log canonical. Then Z is normal and has at most rational singularities. Let Δ be an ample \mathbb{Q} -Cartier \mathbb{Q} -divisor on X. Then there exists an effective \mathbb{Q} -divisor B_Z on the variety Z such that

$$(K_X + D_X + \Delta)|_Z \sim_{\mathbb{O}} K_Z + B_Z$$

and (Z, B_Z) has Kawamata log terminal singularities.

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Let $\overline{G} \subseteq \operatorname{Aut}(X)$ be a finite subgroup such that D_X is \overline{G} -invariant. Then $g(Z) \in \mathbb{LCS}(X, D_X)$ for every $g \in \overline{G}$, and the locus $\operatorname{LCS}(X, D_X)$ is \overline{G} -invariant.

If Z is a minimal center in $\mathbb{LCS}(X, D_X)$ and (X, D_X) is log canonical, then it follows from Lemma 2.6 that

$$Z \cap g(Z) \neq \emptyset \iff Z = g(Z)$$

for every $g \in \overline{G}$.

Lemma 2.8 Suppose that Z is a minimal center in $\mathbb{LCS}(X, D_X)$, the log pair (X, D_X) is log canonical, and D_X is ample. Let ϵ be an arbitrary rational number such that $\epsilon > 1$. Then there exists an effective \overline{G} -invariant \mathbb{Q} -divisor D on the variety X such that

$$\mathbb{LCS}(X,D) = \bigcup_{g \in \overline{G}} \{g(Z)\},\$$

the log pair (X, D) is log canonical, and the equivalence $D \sim_{\mathbb{Q}} \epsilon D_X$ holds.

Proof Take $m \in \mathbb{Z}$ such that mD_X is a very ample Cartier divisor. Take a general divisor R in the linear system $|nmD_X|$ such that $Z \subset \operatorname{Supp}(R)$ and R is \overline{G} -invariant, where $n \gg 0$. Then

$$\bigcup_{g \in \overline{G}} \{g(Z)\} \subseteq \mathbb{LCS}(X, \lambda D_X + \mu R) \subseteq \mathbb{LCS}(X, D_X)$$

for some positive rational numbers λ and μ such that $\lambda < 1 \le \lambda + \mu nm < \epsilon$. One has $\lambda D_X + \mu R \sim_{\mathbb{Q}} (\lambda + \mu nm) D_X$.

It follows from the generality of the divisor R that $(X, \mu R)$ is Kawamata log terminal, and

$$\mathbb{LCS}(X, \lambda D_X + \mu R) = \bigcup_{g \in \overline{G}} g(Z),$$

because $\lambda < 1$ and $n \gg 0$. Then there is $\theta \in \mathbb{Q}_{>0}$ such that $0 < 1 - \theta \mu \le \lambda < 1$ and

$$\bigcup_{g \in \overline{G}} \{g(Z)\} \subseteq \mathbb{LCS}(X, (1 - \theta\mu)D_X + \mu R) \subseteq \mathbb{LCS}(X, \lambda D_X + \mu R),$$

but the log pair $(X, (1-\theta\mu)D_X + \mu R)$ is log canonical at the general point of Z.

Note that for a fixed R, the number θ is a function of μ . In the above process, we can choose the number μ so that $1 \le 1 - \theta \mu + \mu nm < \epsilon$ and

$$\mathbb{LCS}(X,(1-\theta\mu)D_X+\mu R)=\bigcup_{g\in \bar{G}}\{g(Z)\},$$

because Z is a minimal center in $\mathbb{LCS}(X, D_X)$ (see Lemma 2.6). Put

$$D = (1 - \theta \mu)D_X + \mu R + \frac{\epsilon - 1 - \theta \mu + \mu nm}{nm}M,$$

where M is a general \bar{G} -invariant divisor in |R|. Then D is the required divisor. \Box

Suppose now that $X = \mathbb{P}^n$. In this case we can say much more about the locus $LCS(X, D_{\mathbb{P}^n})$ and the set $\mathbb{LCS}(\mathbb{P}^n, D_{\mathbb{P}^n})$.

Lemma 2.9 Let H be a hyperplane in \mathbb{P}^n , and let μ be a nonnegative rational number such that $D_{\mathbb{P}^n} \sim_{\mathbb{Q}} \mu H$. Suppose that the locus $LCS(\mathbb{P}^n, D_{\mathbb{P}^n})$ is an equidimensional subvariety in \mathbb{P}^n of codimension s. Put

$$r = \begin{cases} \lceil \mu - s - 1 \rceil & \text{if } \mu \notin \mathbb{Z}, \\ \lceil \mu - s - 1 \rceil + 1 & \text{if } \mu \in \mathbb{Z}. \end{cases}$$

Then $r \ge 0$ and

$$\deg(\operatorname{LCS}(\mathbb{P}^n, D_{\mathbb{P}^n})) \leqslant {s+r \choose r}.$$

Proof Put $Y = LCS(\mathbb{P}^n, D_{\mathbb{P}^n})$. Let $\Pi \subset \mathbb{P}^n$ be a general linear subspace of dimension s. Put $D = D_{\mathbb{P}^n}|_{\Pi}$ and $\Lambda = H \cap \Pi$. Then $\deg(Y) = |Y \cap \Pi|$ and $LCS(\Pi, D) = Y \cap \Pi$ by Remark 2.5. One has $K_{\Pi} + D \sim_{\mathbb{Q}} (\mu - s - 1)\Lambda$.

It follows from Theorem 2.3 that there is an exact sequence of cohomology groups

$$0 \longrightarrow H^0(\mathcal{O}_{\Pi}(r\Lambda) \otimes \mathcal{I}(\Pi, D)) \longrightarrow H^0(\mathcal{O}_{\Pi}(r\Lambda)) \longrightarrow H^0(\mathcal{O}_{\mathcal{L}(\Pi, D)}) \longrightarrow 0,$$

and Supp $(\mathcal{L}(\Pi, D)) = LCS(\Pi, D) = Y \cap \Pi \neq \emptyset$. Therefore, we see that $r \ge 0$ and

$$\deg(Y) = |Y \cap \Pi| \leq h^0(\mathcal{O}_{\mathcal{L}(\Pi, D)}) \leq h^0(\mathcal{O}_{\Pi}(r\Lambda)) = h^0(\mathcal{O}_{\mathbb{P}^s}(r)) = \binom{s+r}{r},$$

which completes the proof.

Let $\phi: \operatorname{GL}_{n+1}(\mathbb{C}) \to \operatorname{Aut}(\mathbb{P}^n) \cong \operatorname{PGL}_{n+1}(\mathbb{C})$ be the natural projection, and let G be a finite subgroup in $\operatorname{GL}_{n+1}(\mathbb{C})$ such that $\overline{G} = \phi(G)$.

Remark 2.10 If G does not have semi-invariants of degree at most k, then every \overline{G} —orbits in \mathbb{P}^n contains at least k+1 points, because every \overline{G} —orbit consisting of s points defines a \overline{G} —invariant hypersurface in \mathbb{P}^n that is a union of s hyperplanes.

Lemma 2.11 Let H be a hyperplane in \mathbb{P}^n , and let μ be a nonnegative rational number such that $D_{\mathbb{P}^n} \sim_{\mathbb{Q}} \mu H$. Suppose that G does not have semi-invariants of degree at most $\lfloor \mu \rfloor$. Then $\mathbb{LCS}(\mathbb{P}^n, D_{\mathbb{P}^n})$ does not contain subvarieties in \mathbb{P}^n of codimension 1. If in addition $\lfloor \mu \rfloor \leq n+1$ and the log pair $(\mathbb{P}^n, D_{\mathbb{P}^n})$ is log canonical, then $\mathbb{LCS}(\mathbb{P}^n, D_{\mathbb{P}^n})$ does not contain points.

Proof Suppose that $\mathbb{LCS}(\mathbb{P}^n, D_{\mathbb{P}^n})$ contains an irreducible subvariety $Y \subset \mathbb{P}^n$ of codimension 1. Let R be the \overline{G} -orbit of the subvariety Y. Then

$$D_{\mathbb{P}^n} = aR + \Delta$$

for some rational number $a \ge 1$ and some effective \mathbb{Q} -divisor Δ on \mathbb{P}^n . Since $D_{\mathbb{P}^n} \sim_{\mathbb{Q}} \mu H$, we see that R is a hypersurface in \mathbb{P}^n of degree at most $\lfloor \mu/a \rfloor \le \lfloor \mu \rfloor$, which is impossible, because G does not have semi-invariants of degree at most $\lfloor \mu \rfloor$.

We see that $\mathbb{LCS}(\mathbb{P}^n, D_{\mathbb{P}^n})$ does not contain subvarieties in \mathbb{P}^n of codimension 1. Let us show that $\mathbb{LCS}(\mathbb{P}^n, D_{\mathbb{P}^n})$ does not contain points provided that $\lfloor \mu \rfloor \leq n+1$ and the log pair $(\mathbb{P}^n, D_{\mathbb{P}^n})$ is log canonical.

Suppose that $\lfloor \mu \rfloor \leq n+1$, the log pair $(\mathbb{P}^n, D_{\mathbb{P}^n})$ is log canonical, and $\mathbb{LCS}(\mathbb{P}^n, D_{\mathbb{P}^n})$ contains a point $P \in \mathbb{P}^n$. Let us show that these assumptions lead to a contradiction.

Let Σ be the \overline{G} -orbit of the point P, and let ϵ be a rational number such that $\epsilon > 1$ and $\lfloor \epsilon \mu \rfloor \leq n+1$. Then it follows from Lemma 2.8 that there is an effective \overline{G} -invariant \mathbb{Q} -divisor D on \mathbb{P}^n such that $D \sim_{\mathbb{Q}} \epsilon \mu H$, the log pair (\mathbb{P}^n, D) is log canonical and $\Sigma = \mathrm{LCS}(\mathbb{P}^n, D)$.

Since $|\epsilon \mu| \le n+1$, it follows from Theorem 2.3 that

$$H^0(\mathcal{O}_{\mathbb{P}^n}(1)\otimes\mathcal{I}(\mathbb{P}^n,D))=0,$$

because $K_{\mathbb{P}^n}+D\sim_{\mathbb{Q}}(\epsilon\mu-n-1)H$ and $\epsilon\mu-n-1<1$. Therefore, it follows from the exact sequence of cohomology groups

$$0 \longrightarrow H^0(\mathcal{O}_{\mathbb{P}^n}(1) \otimes \mathcal{I}(\mathbb{P}^n, D)) \longrightarrow H^0(\mathcal{O}_{\mathbb{P}^n}(1)) \longrightarrow H^0(\mathcal{O}_{\Sigma}) \longrightarrow 0$$

that $|\Sigma| \le n+1$, which is impossible because G does not have semi-invariants of degree at most $\lfloor \mu \rfloor \le n+1$.

Remark 2.12 If G is conjugate to a subgroup in $GL_{n+1}(\mathbb{R})$, then the subgroup G has an invariant of degree 2, which implies that $lct(\mathbb{P}^n, \overline{G}) \leq 2/(n+1)$.

Remark 2.13 If Z is a \overline{G} -invariant, then there is a homomorphism $\xi \colon \overline{G} \to \operatorname{Aut}(Z)$ that must be a monomorphism provided that Z is not contained in a linear subspace of \mathbb{P}^n , because eigenvectors that correspond to a fixed eigenvalue of any matrix in $\operatorname{GL}_{n+1}(\mathbb{C})$ form a vector subspace in \mathbb{C}^{n+1} .

Theorem 2.14 Let C be a smooth irreducible curve of genus $g \ge 2$. Then $|\operatorname{Aut}(C)| \le 84(g-1)$.

Proof The required inequality is the famous Hurwitz bound (see Breuer [6, Theorem 3.17]).

3 Exceptionality criterion

Let X be a variety, let B_X be an effective \mathbb{Q} -divisor on X such that the log pair (X, B_X) has at most Kawamata log terminal singularities, and the divisor $-(K_X + B_X)$ is ample. Recall that (X, B_X) is usually called a *log Fano variety*. Let $\overline{G} \subset \operatorname{Aut}(X)$ be a finite subgroup such that the divisor B_X is \overline{G} -invariant.

Definition 3.1 The global \overline{G} -invariant log canonical threshold of the log Fano variety (X, B_X) is a real number $lct(X, B_X, \overline{G})$ that can be defined as

$$\inf \left\{ \mathsf{c}(X, B_X, D_X) \in \mathbb{Q} \;\middle|\; \begin{array}{c} D_X \text{ is a \overline{G}-invariant \mathbb{Q}-Cartier effective \mathbb{Q}-divisor}\\ \text{on the variety X such that $D_X \sim_{\mathbb{Q}} -(K_X + B_X)$} \end{array} \right\}.$$

For simplicity, we put $lct(X, B_X, \overline{G}) = lct(X, \overline{G})$ if $B_X = 0$. Similarly, we put $lct(X, B_X, \overline{G}) = lct(X, B_X)$ if \overline{G} is trivial. Finally, we put $lct(X, B_X, \overline{G}) = lct(X)$ if $B_X = 0$ and \overline{G} is trivial. Then it follows from [11, Theorem A.3] that $lct(X, \overline{G}) = \alpha_{\overline{G}}(X)$ if X is smooth and $B_X = 0$ (see Definition 1.2).

Remark 3.2 Suppose that $B_X = 0$. Put $V = X/\overline{G}$. Let $\theta: X \to V$ be the quotient map. Then

$$K_X \sim_{\mathbb{Q}} \theta^*(K_V + R_V),$$

where R_V is a ramification \mathbb{Q} -divisor of the morphism θ . Note that $-(K_V + R_V)$ is an ample \mathbb{Q} -Cartier divisor, and (V, R_V) is Kawamata log terminal by [23, Proposition 3.16]. Moreover, it follows from [23, Proposition 3.16] that $lct(X, \overline{G}) = lct(V, R_V)$.

Example 3.3 Suppose that $X \cong \mathbb{P}^1$. Then $B_X = \sum_{i=1}^n a_i P_i$, where P_i is a point, and $a_i \in \mathbb{Q}$ such that $0 \le a_i < 1$. We may assume that $a_0 \le \ldots \le a_n$. Then

$$lct(X, B_X) = \frac{1 - a_n}{2 - \sum_{i=1}^{n} a_i},$$

where $\sum_{i=1}^{n} a_i < 2$, because the divisor $-(K_X + B_X)$ is ample. Moreover, it follows from Remark 3.2 that $lct(X, \overline{G}) = 2/\lambda$, where λ is the length of a \overline{G} -orbit of the smallest length (cf Theorem 4.1).

Lemma 3.4 The global log canonical threshold $lct(X, B_X, \overline{G})$ is equal to

$$\inf \left\{ c \left(X, B_X, \sum_{i=1}^r a_i \mathcal{D}_i \right) \middle| \begin{array}{l} \mathcal{D}_i \text{ is a linear system and } a_i \in \mathbb{Q}_{\geqslant 0} \\ \text{for every } i \in \{1, \dots, r\}, \sum_{i=1}^r a_i \mathcal{D}_i \text{ is } \overline{G} \text{-invariant,} \\ \text{and } \sum_{i=1}^r a_i \mathcal{D}_i \sim_{\mathbb{Q}} -(K_X + B_X) \end{array} \right\}.$$

Proof The required assertion follows from Definition 3.1 and [23, Theorem 4.8]. □

In general, it is unknown whether $lct(X, B_X, \overline{G})$ is a rational number or not (cf [43, Question 1]). Of course, we expect that $lct(X, B_X, \overline{G})$ is rational. Moreover, we expect the following to be true.

Conjecture 3.5 There is an effective \overline{G} -invariant \mathbb{Q} -divisor D_X on X such that $lct(X, B_X, \overline{G}) = c(X, B_X, D_X) \in \mathbb{Q}$ and $D_X \sim_{\mathbb{Q}} -(K_X + B_X)$.

Let $(V \ni O)$ be a germ of a Kawamata log terminal singularity, and let $\pi \colon W \to V$ be a birational morphism such that the exceptional locus of π consists of one irreducible divisor $E \subset W$ such that $O \in \pi(E)$, the log pair (W, E) has purely log terminal singularities (see [23, Definition 3.5]), and -E is a π -ample \mathbb{Q} -Cartier divisor.

Theorem 3.6 The birational morphism $\pi: W \to V$ does exist.

Proof Modulo the Log Minimal Model Program in dimension $\dim(V)$, the existence of the morphism π follows from [31, Proposition 2.9] in the case when V has \mathbb{Q} -factorial singularities. It follows from [24, Theorem 1.5] that the \mathbb{Q} -factoriality condition in [31, Proposition 2.9] can be removed. Moreover, the proofs of [31, Proposition 2.9] and [24, Theorem 1.5] only need the Log Minimal Model Program for log pairs with big boundaries, which is proved now in [2].

We say that $\pi: W \to V$ is a *plt blow up* of the singularity $(V \ni O)$.

Definition 3.7 [31, Definition 4.1] We say that $(V \ni O)$ is *weakly-exceptional* if it has unique plt blow up.

Weakly-exceptional Kawamata log terminal singularities do exist (see [24, Example 2.2]).

Lemma 3.8 [24, Corollary 1.7] If $(V \ni O)$ is weakly-exceptional, then $\pi(E) = O$.

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Let $R_1, ..., R_s$ be irreducible components of Sing(W) such that $dim(R_i) = dim(W) - 2$ and $R_i \subset E$ for every $i \in \{1, ..., s\}$. Put

$$Diff_E(0) = \sum_{i=1}^{s} \frac{m_i - 1}{m_i} R_i,$$

where m_i is the smallest positive integer such that $m_i E$ is Cartier at a general point of R_i .

Lemma 3.9 [23, Theorem 7.5] The variety E is normal, and $(E, Diff_E(0))$ is Kawamata log terminal.

Therefore, if $\pi(E) = O$, then the log pair $(E, \operatorname{Diff}_E(0))$ is a log Fano variety, because -E is π -ample.

Theorem 3.10 [24, Theorem 2.1] The singularity $(V \ni O)$ is weakly-exceptional if and only if $\pi(E) = O$ and $lct(E, Diff_E(0)) \ge 1$.

Theorem 3.11 [31, Theorem 4.9] The singularity $(V \ni O)$ is exceptional if and only if $\pi(E) = O$ and $c(E, \operatorname{Diff}_E(0), D_E) > 1$ for every effective \mathbb{Q} -divisor D_E on the variety E such that $D_E \sim_{\mathbb{Q}} -(K_E + \operatorname{Diff}_E(0))$.

In particular, we see that if the assertion of Conjecture 3.5 is true, then $(V \ni O)$ is exceptional if and only if $\pi(E) = O$ and $lct(E, Diff_E(0)) > 1$ holds.

Corollary 3.12 If $(V \ni O)$ is exceptional, then $(V \ni O)$ is weakly-exceptional.

It should be pointed out that Theorem 3.11 is an applicable criterion. For instance, it can be used to construct exceptional singularities of any dimension.

Example 3.13 Suppose that $(V \ni O)$ is a Brieskorn–Pham hypersurface singularity

$$\sum_{i=0}^{n} x_i^{a_i} = 0 \subset \mathbb{C}^{n+1} \cong \operatorname{Spec}(\mathbb{C}[x_0, x_1, \dots, x_n]),$$

where $n \ge 3$ and $2 \le a_0 < a_1 < \cdots < a_n$. Arguing as in the proof of [4, Theorem 34], we see that it follows from Theorem 3.11 that the singularity $(V \ge O)$ is exceptional if

$$1 < \sum_{i=0}^{n} \frac{1}{a_i} < 1 + \min \left\{ \frac{1}{a_0}, \frac{1}{a_1}, \dots, \frac{1}{a_n} \right\}$$

and a_0, a_1, \ldots, a_n are pairwise coprime. This is satisfied if a_0, a_1, \ldots, a_n are primes and

(3.14)
$$\frac{1}{a_0} + \frac{1}{a_1} + \dots + \frac{1}{a_{n-1}} < 1 < \frac{1}{a_0} + \frac{1}{a_1} + \dots + \frac{1}{a_{n-1}} + \frac{1}{a_n}.$$

We use induction to construct the (n+1)-tuple (a_0, a_1, \ldots, a_n) such that a_0, a_1, \ldots, a_n are prime integers, and the (n+1)-tuple (a_0, a_1, \ldots, a_n) satisfies the inequality (3.14).

If n = 3, then the four-tuple $(a_0, a_1, a_2, a_3) = (2, 3, 7, 41)$ satisfies the inequality (3.14).

Suppose that $n \ge 4$, and there are prime numbers $2 \le c_0 < c_1 < c_2 < \cdots < c_{n-1}$ such that

$$\frac{1}{c_0} + \frac{1}{c_1} + \dots + \frac{1}{c_{n-2}} < 1 < \frac{1}{c_0} + \frac{1}{c_1} + \dots + \frac{1}{c_{n-2}} + \frac{1}{c_{n-1}},$$

and assume that $c_{n-1} > 8$ is the largest prime with these properties (for the fixed numbers c_0, \ldots, c_{n-2}). It follows from $c_{n-1} > 8$ that there are prime numbers p_1, p_2 and p_3 such that $c_{n-1} < p_1 < p_2 < p_3 < 2c_{n-1}$ (see [34, page 209, (18)]). Put $(a_0, a_1, \ldots, a_n) = (c_0, \ldots, c_{n-2}, p_2, p_3)$. Then

$$\sum_{i=0}^{n-2} \frac{1}{a_i} + \frac{1}{p_2} < \sum_{i=0}^{n-2} \frac{1}{a_i} + \frac{1}{p_1} \le 1 < \sum_{i=0}^{n-2} \frac{1}{c_i} + \frac{1}{2c_{n-1}} + \frac{1}{2c_{n-1}} < \sum_{i=0}^{n-2} \frac{1}{a_i} + \frac{1}{p_2} + \frac{1}{p_3}$$

by the maximality assumption imposed on c_{n-1} . So the (n+1)-tuple (a_0, a_1, \ldots, a_n) satisfies the inequality (3.14), which completes the construction¹.

Suppose, in addition, that $(V \ni O)$ is a quotient singularity \mathbb{C}^{n+1}/G , where $n \geqslant 1$ and G is a finite subgroup in $GL_{n+1}(\mathbb{C})$. Put $\overline{G} = \phi(G)$, where $\phi \colon GL_{n+1}(\mathbb{C}) \to Aut(\mathbb{P}^n) \cong PGL_{n+1}(\mathbb{C})$ is the natural projection.

Remark 3.15 Let $\eta: \mathbb{C}^{n+1} \to V$ be the quotient map. Then there is a commutative diagram

$$U \xrightarrow{\omega} W \qquad \qquad \downarrow \pi$$

$$\mathbb{C}^{n+1} \xrightarrow{\eta} V,$$

where γ is the blow up of O, the morphism ω is the quotient map that is induced by the lifted action of G on the variety U, and π is a birational morphism. Moreover, π is a plt blow up of the singularity \mathbb{C}^{n+1}/G .

¹ Alternatively, one can use the Sylvester sequence to construct (a_0, \ldots, a_n) explicitly (suggested by S. Galkin).

Thus, to prove the existence of a plt blow up of the quotient singularity \mathbb{C}^{n+1}/G we do not need to use Theorem 3.6.

Theorem 3.16 Suppose that the group $G \subset GL_{n+1}(\mathbb{C})$ does not contain reflections. Then the singularity \mathbb{C}^{n+1}/G is weakly-exceptional if and only if $lct(\mathbb{P}^n, \overline{G}) \ge 1$.

Proof Let us use the notation and assumptions of Remark 3.15. Let F be the exceptional divisor of the blow up γ . Put $E = \omega(F)$. Then $F \cong \mathbb{P}^n$ and $E \cong \mathbb{P}^n/\overline{G}$. Since the group G does not contain reflections, it follows from Remark 3.2 that $\operatorname{lct}(\mathbb{P}^n, \overline{G}) = \operatorname{lct}(E, \operatorname{Diff}_E(0))$, which implies that the singularity \mathbb{C}^{n+1}/G is weakly-exceptional if and only if $\operatorname{lct}(\mathbb{P}^n, \overline{G}) \geq 1$ by Theorem 3.11.

Theorem 3.17 Suppose that the group $G \subset GL_{n+1}(\mathbb{C})$ does not contain reflections. Then the singularity \mathbb{C}^{n+1}/G is exceptional if and only if for any \overline{G} -invariant effective \mathbb{Q} -divisor D on \mathbb{P}^n such that $D \sim_{\mathbb{Q}} -K_{\mathbb{P}^n}$ the log pair (\mathbb{P}^n, D) is Kawamata log terminal.

Proof Arguing as in the proof of Theorem 3.16 and using Theorem 3.11 together with [23, Proposition 3.16], we obtain the required assertion.

Recall that the subgroup $G \subset GL_{n+1}(\mathbb{C})$ is said to be transitive if the corresponding (n+1)-dimensional representation is irreducible (see [3]). Note that G is transitive if it is primitive. As an easy application of Theorems 3.17 and 3.16 in conjunction with Lemma 3.4 one can establish the relation between the primitivity of the group G (transitivity, respectively) and the exceptionality of the singularity \mathbb{C}^{n+1}/G (weak-exceptionality, respectively).

Theorem 3.18 Suppose that the group $G \subset GL_{n+1}(\mathbb{C})$ is not primitive (not transitive, respectively). Then there exists a \overline{G} -invariant effective \mathbb{Q} -divisor D on \mathbb{P}^n such that $D \sim_{\mathbb{Q}} -K_{\mathbb{P}^n}$ and the pair (\mathbb{P}^n, D) is not Kawamata log terminal (not log canonical, respectively).

Proof We will only prove that if the group G is not primitive, then there exists a \overline{G} -invariant effective \mathbb{Q} -divisor D on \mathbb{P}^n such that $D \sim_{\mathbb{Q}} -K_{\mathbb{P}^n}$ and the pair (\mathbb{P}^n, D) is not Kawamata log terminal, since the remaining assertion can be proved similarly.

Suppose that G is not primitive. Then there is a nontrivial decomposition

$$\operatorname{Spec}(\mathbb{C}[x_0, x_1, \dots, x_n]) \cong \mathbb{C}^{n+1} = \bigoplus_{i=1}^r V_i$$

such that $g(V_i) = V_j$ for all $g \in G$. We may assume that $\dim(V_1) \leq \ldots \leq \dim(V_r)$. Put $d = \dim(V_1)$. Then $d \leq \lfloor (n+1)/2 \rfloor$. We may assume that $V_1 \subset \mathbb{C}^{n+1}$ is given by $x_d = x_{d+1} = x_{d+2} = \cdots = x_n = 0$. Let \mathcal{M}_1 be a linear system on \mathbb{P}^n that consists of hyperplanes that are given by

$$\sum_{i=0}^{d-1} \lambda_i x_i = 0 \subset \mathbb{P}^n \cong \operatorname{Proj}(\mathbb{C}[x_0, x_1, \dots, x_n]),$$

where $\lambda_i \in \mathbb{C}$. Let $\mathcal{M}_1, \dots, \mathcal{M}_s$ be the \overline{G} -orbit of the linear system \mathcal{M}_1 . Then

$$\frac{n+1}{s}\left(\sum_{i=1}^{s}\mathcal{M}_{i}\right)\sim_{\mathbb{Q}}-K_{\mathbb{P}^{n}},$$

where $s \leq \lfloor (n+1)/d \rfloor$. Let $\Lambda \subset \mathbb{P}^n$ be a linear subspace that is given by the equations $x_0 = \ldots = x_d = 0$. Then

$$\frac{n+1}{s} \operatorname{mult}_{\Lambda} \left(\sum_{i=1}^{s} \mathcal{M}_{i} \right) \geqslant \frac{n+1}{s} \operatorname{mult}_{\Lambda} (\mathcal{M}_{1}) = \frac{n+1}{s} \geqslant d = n - \operatorname{dim}(\Lambda),$$

which implies the desired assertion by Lemma 3.4.

Corollary 3.19 Suppose that the group $G \subset GL_{n+1}(\mathbb{C})$ is not primitive (not transitive, respectively). Then $lct(\mathbb{P}^n, \overline{G}) \leq 1$ ($lct(\mathbb{P}^n, \overline{G}) < 1$, respectively).

Applying Theorems 3.16, 3.17 and 3.18, we obtain the following.

Corollary 3.20 [32, Proposition 2.1] Suppose that the group $G \subset GL_{n+1}(\mathbb{C})$ does not contain reflections. Then the group G is primitive (transitive, respectively) provided that the singularity \mathbb{C}^{n+1}/G is exceptional (weakly-exceptional, respectively).

Let us show how to apply Theorems 3.16 and 3.17 (cf [9, Example 1.9]).

Theorem 3.21 Suppose that $G \subset GL_3(\mathbb{C})$. Then $lct(\mathbb{P}^2, \overline{G}) \ge 4/3$ if and only if G does not have semi-invariants of degree at most 3.

Proof Suppose that the subgroup G does not have semi-invariants of degree at most 3. To complete the proof we must show that $lct(\mathbb{P}^2, \overline{G}) \ge 4/3$, because the remaining implication is obvious.

Suppose that the strict inequality $\operatorname{lct}(\mathbb{P}^2, \overline{G}) < 4/3$ holds. Then there exist a positive rational number $\lambda < 4/3$ and an effective \overline{G} -invariant \mathbb{Q} -divisor D on \mathbb{P}^2 such that $D \sim_{\mathbb{Q}} -K_{\mathbb{P}^2}$, and the log pair $(\mathbb{P}^2, \lambda D)$ is strictly log canonical. Applying Lemma 2.11, we obtain a contradiction.

Using Theorems 3.17 and 3.21, we obtain the following.

Corollary 3.22 Suppose that the group $G \subset GL_3(\mathbb{C})$ does not contain reflections. Then the following are equivalent:

- The singularity \mathbb{C}^3/G is exceptional.
- The subgroup G does not have semi-invariants of degree at most 3.
- The inequality $lct(\mathbb{P}^2, \bar{G}) \ge 4/3$ holds.

Arguing as in the proof of Theorem 3.21, we easily obtain a similar assertion that can be used for the classification of three-dimensional weakly exceptional quotient singularities (see [36]).

Theorem 3.23 Suppose that $G \subset GL_3(\mathbb{C})$. Then $lct(\mathbb{P}^2, \overline{G}) \ge 1$ if and only if G does not have semi-invariants of degree at most 2.

Proof The proof is left to the reader.

Suppose that n+1=2l for some integer $l \ge 2$. Let $G_1 \subset \operatorname{SL}_2(\mathbb{C})$ and $G_2 \subset \operatorname{SL}_l(\mathbb{C})$ be finite subgroups, let \mathbb{M} be the vector space of $(2 \times l)$ -matrices with entries in \mathbb{C} . For every $(g_1,g_2) \in G_1 \times G_2$ and every $M \in \mathbb{M}$, put

$$(g_1, g_2)(M) = g_1 M g_2^{-1} \in \mathbb{M} \cong \mathbb{C}^{2l},$$

which induces a homomorphism $\varphi: G_1 \times G_2 \to \mathrm{SL}_{2l}(\mathbb{C})$. Note that $|\ker(\varphi)| \leq 2$ if n is even, and φ is a monomorphism if n is odd.

Lemma 3.24 Suppose that $G = \varphi(G_1 \times G_2)$. Then $lct(\mathbb{P}^n, \overline{G}) < 1$.

Proof Put s = l - 1. Let $\psi \colon \mathbb{P}^1 \times \mathbb{P}^s \to \mathbb{P}^n$ be the Segre embedding. Put $Y = \psi(\mathbb{P}^1 \times \mathbb{P}^s)$ and let \mathcal{Q} be the linear system consisting of all quadric hypersurfaces in \mathbb{P}^n that pass through the subvariety Y. Then \mathcal{Q} is a nonempty \overline{G} -invariant linear system. The log pair $(\mathbb{P}^n, l \mathcal{Q})$ is not log-canonical along Y, which implies that $\operatorname{lct}(\mathbb{P}^n, \overline{G}) < 1$ by Lemma 3.4.

As an application of Lemma 3.24 one obtains nonexceptionality of some quotient singularities.

Example 3.25 (cf Theorem 1.22) Suppose that $G = \varphi(G_1 \times G_2)$ and l = 3. Then the singularity \mathbb{C}^6/G is not exceptional by Theorem 1.17 and Lemma 3.24. On the other hand, if $G_1 \cong 2.A_5$ and $G_2 \cong 3.A_6$, then G has no semi-invariants of degree at most 6 which can be shown by direct computation.

Suppose that l=2. The transposition of matrices in \mathbb{M} induces an involution $\iota \in \mathrm{SL}_4(\mathbb{C})$.

Lemma 3.26 If G is generated by $\varphi(G_1 \times G_2)$ and ι , then $lct(\mathbb{P}^3, \overline{G}) < 1$.

Proof See the proof of Lemma 3.24.

4 Four-dimensional case

Shokurov [38] and Prokhorov and Markushevich [27] obtained an explicit classification of exceptional quotient singularities of dimension 2 and 3. Namely, for Gorenstein quotient singularities they prove the following.

Theorem 4.1 [38, Example 5.2.3] Let G be the finite subgroup in $SL_2(\mathbb{C})$. Then the singularity \mathbb{C}^2/G is exceptional if and only if G is a binary central extension of one of the following groups: A_4 , S_4 or A_5 .

Theorem 4.2 [27, Theorem 3.13] Let G be a finite subgroup in $SL_3(\mathbb{C})$. Then the singularity \mathbb{C}^3/G is exceptional if and only if G is one of the following subgroups:

- a central extension of $PSL_2(\mathbb{F}_7)$, which is isomorphic to either $PSL_2(\mathbb{F}_7)$ or $\mathbb{Z}_3 \times PSL_2(\mathbb{F}_7)$,
- a nontrivial central extension 3.A₆ of the alternating group A₆ by \mathbb{Z}_3 ,
- the Hessian group, which can be characterized by the exact sequence

$$1 \longrightarrow \mathbb{H}(3, \mathbb{F}_3) \longrightarrow G \longrightarrow \mathbb{S}_4 \longrightarrow 1$$
,

where $\mathbb{H}(3, \mathbb{F}_3)$ is the Heisenberg group consisting of all unipotent (3×3) – matrices with entries in \mathbb{F}_3 ,

• the normal subgroup of the Hessian group of index 3 that contains $\mathbb{H}(3, \mathbb{F}_3)$.

The purpose of this section is to present an analogous classification for exceptional singularities of dimension 4 (see Theorem 4.13), and prove some relevant results.

Let \overline{G} be a finite subgroup in $\operatorname{Aut}(\mathbb{P}^3)$, and let $\phi \colon \operatorname{GL}_4(\mathbb{C}) \to \operatorname{Aut}(\mathbb{P}^3)$ be the natural projection. Then there is a finite subgroup in $\operatorname{SL}_4(\mathbb{C})$ such that $\phi(G) = \overline{G}$. Moreover, if G is primitive, then it follows from [3; 14] that one may assume that $Z(G) \subseteq [G, G]$, where Z(G) and [G, G] are the center and the commutator of the group G, respectively.

As a warming-up we start with a result that can be applied to a classification of four-dimensional weakly exceptional quotient singularities (see [36]).

Theorem 4.3 The inequality $lct(\mathbb{P}^3, \overline{G}) \ge 1$ holds if and only if the following three conditions are satisfied: the group G is transitive, the group G does not have semi-invariants of degree at most 3, and \overline{G} there is no \overline{G} -invariant smooth rational cubic curve in \mathbb{P}^3 .

Proof Let us prove the \Rightarrow part. If G has a semi-invariant of degree at most 3, then $lct(\mathbb{P}^3, \overline{G}) \leq 3/4$ by Definition 3.1. If G is not transitive, then $lct(\mathbb{P}^3, \overline{G}) < 1$ by Corollary 3.19.

Suppose that there is a \overline{G} -invariant smooth rational cubic curve $C \subset \mathbb{P}^3$. Let $R \subset \mathbb{P}^3$ be the surface that is swept out by lines that are tangent to C. Then $c(\mathbb{P}^3, R) = 5/6$ the surface R is \overline{G} -invariant, and $\deg(R) = 4$. Hence, we see that $\operatorname{lct}(\mathbb{P}^3, \overline{G}) \leq 5/6$.

Let us prove the \Leftarrow part. Suppose that G is transitive, the subgroup G has no semi-invariants of degree at most 3, there is no \overline{G} -invariant smooth rational cubic curve in \mathbb{P}^3 , but $lct(\mathbb{P}^3, \overline{G}) < 1$.

There is an effective \overline{G} -invariant \mathbb{Q} -divisor D on \mathbb{P}^3 such that $D \sim_{\mathbb{Q}} -K_{\mathbb{P}^3}$ and a positive rational number $\lambda < 1$ such that $(\mathbb{P}^3, \lambda D)$ is strictly log canonical. Let S be an irreducible subvariety of \mathbb{P}^3 that is a minimal center in $\mathbb{LCS}(\mathbb{P}^3, \lambda D)$. By Lemma 2.8, we may assume that

$$\mathbb{LCS}(\mathbb{P}^3, \lambda D) = \bigcup_{g \in \overline{G}} \{g(S)\},\$$

where $\dim(S) \neq 2$, because G has no semi-invariants of degree at most 3.

The locus LCS(\mathbb{P}^3 , λD) is connected by Corollary 2.4. Then S is \overline{G} -invariant by Lemma 2.6. Since the group G is transitive, we see that S is not a point. We see that S is a curve. Then $\deg(S) \leq 3$ by Lemma 2.9, and S is not contained in a plane, because G is transitive. Hence S is a smooth rational cubic curve.

Combining Remark 2.13, Theorem 4.3 and the classification of finite subgroups in $PGL_2(\mathbb{C})$, we easily obtain the following result (cf Theorem 3.23).

Corollary 4.4 The inequality $lct(\mathbb{P}^3, \overline{G}) \ge 1$ holds if the following three conditions are satisfied: the group G is transitive, the group G does not have semi-invariants of degree at most 3, and the group \overline{G} is not isomorphic to the alternating group A_5 .

²One can show that the third condition of Theorem 4.3 is not redundant. Namely, if $G \subset SL_4(\mathbb{C})$ is a primitive group isomorphic to $2.A_5$, then G has no semi-invariants of degree at most 3, but there is a \overline{G} -invariant twisted cubic in \mathbb{P}^3 . In fact, the primitive group $G \cong 2.A_5$ gives essentially the only example of this kind.

The main purpose of this section is to prove the following result (cf Theorem 1.19).

Theorem 4.5 The inequality $lct(\mathbb{P}^3, \overline{G}) \ge 5/4$ holds if the following three conditions are satisfied: the group G is primitive, the group G does not have semi-invariants of degree at most 4, and the inequality $|\overline{G}| \ge 169$ holds.

Proof Suppose that G is primitive and does not have semi-invariants of degree at most 4, the inequality $|\overline{G}| \ge 169$ holds, but $lct(\mathbb{P}^3, \overline{G}) < 5/4$. Let us derive a contradiction.

There is an effective \overline{G} -invariant \mathbb{Q} -divisor D on \mathbb{P}^3 such that $D \sim_{\mathbb{Q}} -K_{\mathbb{P}^3}$ and a positive rational number $\lambda < 5/4$ such that $(\mathbb{P}^3, \lambda D)$ is strictly log canonical.

Let S be an irreducible subvariety in \mathbb{P}^3 that is a minimal center in $\mathbb{LCS}(\mathbb{P}^3, \lambda D)$. Then S is a curve by Lemma 2.11.

Note that $g(S) \in \mathbb{LCS}(\mathbb{P}^3, \lambda D)$ for every $g \in \overline{G}$, because the divisor D is \overline{G} -invariant. It follows from Lemma 2.6 that

$$S \cap g(S) \neq \emptyset \iff S = g(S)$$

for every $g \in \overline{G}$. It follows from Lemma 2.8 that we may assume that

$$\mathbb{LCS}(\mathbb{P}^3, \lambda D) = \bigcup_{g \in \overline{G}} \{g(S)\}.$$

Let \mathcal{I} be the multiplier ideal sheaf of the log pair $(\mathbb{P}^3, \lambda D)$, and let \mathcal{L} be the log canonical singularities subscheme of the log pair $(\mathbb{P}^3, \lambda D)$. Then there is an exact sequence

$$(4.6) 0 \longrightarrow H^0(\mathcal{O}_{\mathbb{P}^3}(1) \otimes \mathcal{I}) \longrightarrow H^0(\mathcal{O}_{\mathbb{P}^3}(1)) \longrightarrow H^0(\mathcal{O}_{\mathcal{L}} \otimes \mathcal{O}_{\mathbb{P}^3}(1)) \longrightarrow 0$$

by Theorem 2.3. Then it follows from Theorem 2.7 that S is a smooth curve of genus g such that $2g - 2 < \deg(S)$.

Let Z be the \overline{G} -orbit of the curve S. Then Z is smooth and $\deg(Z) \leq 6$ by Lemma 2.9. Then $2g-2 < \deg(S) \leq 6$, which implies that $g \leq 3$. Note that $Z = \mathcal{L}$ by Remark 2.2, because $(\mathbb{P}^3, \lambda D)$ is log canonical. Moreover, the curve Z is not contained in a plane, because G is transitive.

Let r be the number of irreducible components of Z. Then $6 \ge \deg(Z) = r \deg(S)$, which implies that $r \le 6$. Note that g = 0 if $r \ge 3$.

Using (4.6) and the Riemann–Roch theorem, we see that

(4.7)
$$4 = h^0(\mathcal{O}_{\mathcal{L}} \otimes \mathcal{O}_{\mathbb{P}^3}(1)) = r(\deg(S) - g + 1),$$

because $\mathcal{L} = Z$ and $2g - 2 < \deg(S)$. In particular, we see that $r \leq 2$.

One has $\deg(S) \neq 1$, because G is primitive. Thus S is not contained in a plane, because otherwise the \overline{G} -orbit of the plane spanned by S would give a semi-invariant of G of degree 1 or 2. Thus, we have $6 \geqslant \deg(Z) = r \deg(S) \geqslant 3r$.

If r = 2, then $\deg(S) = 3$ and g = 0, which contradicts the equality (4.7). We see that r = 1 and Z = S. Then $g \le 1$ by Theorem 2.14 and Remark 2.13, because $|\overline{G}| \ge 169$.

Arguing as in the proof of Theorem 4.3, we see that $g \neq 0$, because G does not have semi-invariants of degree 4. Then it follows from (4.7) that g = 1 and $\deg(S) = 4$. We see that $S = Q_1 \cap Q_2$, where Q_1 and Q_2 are irreducible quadrics in \mathbb{P}^3 .

Let \mathcal{P} be a pencil generated by Q_1 and Q_2 . Then \mathcal{P} contains exactly 4 singular surfaces, which are simple quadric cones. This means that there is a \overline{G} -orbit in \mathbb{P}^3 consisting of at most 4 points, which is impossible by Remark 2.10.

In the rest of this section we will refine the assertion of Theorem 4.5 by removing the assumption that \bar{G} contains at least 169 elements and providing an explicit list of possible finite subgroups in $PGL_4(\mathbb{C})$ that satisfy all hypothesis of Theorem 4.5 (cf Theorems 4.1 and 4.2). Let us start with the following example.

Example 4.8 (See Blichfeldt [3, Section 123] and Nieto [30].) Let \mathbb{H} be a subgroup in $SL_4(\mathbb{C})$ that is conjugate to the subgroup generated by

$$\begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix}, \quad \begin{pmatrix} 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{pmatrix}, \quad \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}, \quad \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix},$$

and let $N \subset SL_4(\mathbb{C})$ be the normalizer of the subgroup \mathbb{H} . There is an exact sequence of groups³

$$1 \longrightarrow \widetilde{\mathbb{H}} \xrightarrow{\alpha} N \xrightarrow{\beta} S_6 \longrightarrow 1,$$

where $\widetilde{\mathbb{H}} = \langle \mathbb{H}, \operatorname{diag}(\sqrt{-1}) \rangle$. One can show that N is a primitive subgroup of $\operatorname{SL}_4(\mathbb{C})$.

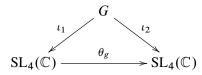
³The choice of the epimorphism β is not canonical even up to conjugation, due to the existence of outer automorphisms of S_6 . There are essentially two possible choices of β . To fix one of them we use the fact that the subspace $W \subset \operatorname{Sym}^4(\mathbb{C}^4)$ of $\widetilde{\mathbb{H}}$ -invariant quartics is five-dimensional; moreover, the group $N/\widetilde{\mathbb{H}}$ acts on W, and W is an irreducible representation of $N/\widetilde{\mathbb{H}}$ (cf the proof of Lemma 4.12 and references therein). We choose β so that W corresponds to the standard five-dimensional representation of S_6 twisted by the sign representation. Another way to describe the choice of β is through introducing the action of $N/\widetilde{\mathbb{H}}$ on the space $W' = \Lambda^2(\mathbb{C}^4)$ (see [30]).

The following theorem provides an explicit list of possible finite subgroups in $PGL_4(\mathbb{C})$ that satisfy all hypotheses of Theorem 4.5:

Theorem 4.9 (See [3, Chapter VII; 14, Section 8.5].) Let G be a primitive subgroup of $SL_4(\mathbb{C})$ such that $Z(G) \subseteq [G, G]$. Then one of the following possibilities holds:

- either G satisfies the hypotheses of Lemma 3.24 or Lemma 3.26,
- or G is one of the following groups:
 - A_5 or S_5 ,
 - $SL_2(\mathbb{F}_5)$,
 - $SL_2(\mathbb{F}_7)$,
 - 2.A₆, which is a central extension of the group $A_6 \cong \overline{G}$,
 - 2.S₆, which is a central extension⁴ of the group $S_6 \cong \overline{G}$,
 - 2.A₇, which is a central extension of the group $A_7 \cong \overline{G}$,
 - $\operatorname{Sp}_4(\mathbb{F}_3)$,
 - in the notation of Example 4.8, a primitive subgroup in N that contains $\alpha(\widetilde{\mathbb{H}})$.

It should be pointed out that Theorem 4.9 describes primitive subgroups of $SL_4(\mathbb{C})$ up to conjugation. Namely, if there are two monomorphisms $\iota_1\colon G\to SL_4(\mathbb{C})$ and $\iota_2\colon G\to SL_4(\mathbb{C})$ such that both subgroups $\iota_1(G)$ and $\iota_2(G)$ are primitive, then it follows from [3, Chapter VII] that $\iota_1(G)$ and $\iota_2(G)$ are conjugate, but it may happen that the representations of the group G given by ι_1 and ι_2 are nonisomorphic, ie there is no element $g\in SL_4(\mathbb{C})$ that makes the diagram



commutative, where θ_g is the conjugation by g (cf [13]).

Lemma 4.10 Suppose that $G \cong 2.A_6$. Then G has no semi-invariants of degree at most 4.

Proof Semi-invariants of G are its invariants by Remark 1.15, and G has no odd degree invariants, because G contains a scalar matrix whose nonzero entries are -1.

To complete the proof, it is enough to prove that G has no invariants of degree 4.

⁴There are three nonisomorphic nontrivial central extensions of the group S_6 with the center isomorphic to \mathbb{Z}_2 , two of which are embedded in $SL_4(\mathbb{C})$ (cf [13]). But up to conjugation there is only one subgroup of $PGL_4(\mathbb{C})$ isomorphic to S_6 .

Let $V \cong \mathbb{C}^4$ be the irreducible representation of the group G that corresponds to the embedding $G \subset \mathrm{SL}_4(\mathbb{C})$. Without loss of generality, we may assume that $\Lambda^2 V \cong \mathbb{C}^6$ is a permutation representation of the group $G/Z(G) \cong \mathrm{A}_6$, because G has two four-dimensional irreducible representations, which give one subgroup $G \subset \mathrm{SL}_4(\mathbb{C})$ up to conjugation.

Let χ be the character of the representation V, and let χ_4 be the character of the representation Sym⁴(V). Then

$$\chi_4(g) = \frac{1}{24} \left(\chi(g)^4 + 6\chi(g)^2 \chi(g^2) + 3\chi(g^2)^2 + 8\chi(g)\chi(g^3) + 6\chi(g^4) \right)$$

for every $g \in G$. The values of the characters χ and χ_4 are listed in Table 1. In this

	$[5,1]_{10}$	$[5,1]_5$	$[4,2]_8$	$[3,3]_6$	$[3,3]_3$	$[3,1,1,1]_6$	$[3,1,1,1]_3$	$[2,2,1,1]_4$	Z	e
#	144	144	180	40	40	40	40	90	1	1
χ	1	-1	0	-1	1	2	-2	0	-4	4
χ4	0	0	-1	2	2	-4	-4	3	35	35

Table 1

table, the first row lists the types of the elements in G (for example, the symbol $[5, 1]_{10}$ denotes the set⁵ of order 10 elements whose image in A_6 is a product of disjoint cycles of length 5 and 1), and z and e are the nontrivial element in the center of G and the identity element, respectively.

Now one can check that the inner product of the character χ_4 and the trivial character is zero, which implies that the subgroup G does not have invariants of degree 4. \square

Lemma 4.11 If $G \cong 2.S_6$ or $G \cong 2.A_7$, then G has no semi-invariants of degree at most 4.

Proof Recall that these groups contain $2.A_6$ and we can apply Lemma 4.10.

Lemma 4.12 Under the assumptions of Theorem 4.9 the subgroup G has no semi-invariants of degree at most 4 if and only if G is one of the following groups:

- 2.A₆, 2.S₆ or 2.A₇,
- $\operatorname{Sp}_4(\mathbb{F}_3)$,

⁵ Note that these sets do not coincide with conjugacy classes. For example, the image of the set of the elements of type $[5,1]_{10}$ under the natural projection $2.A_6 \rightarrow A_6$ is a union of two different conjugacy classes in A_6 .

- in the notation of Example 4.8, a subgroup of N that satisfies one of the following four conditions:
 - G = N,
 - $\alpha(\widetilde{\mathbb{H}}) \subseteq G$ and $\beta(G) \cong A_6$,
 - $\alpha(\widetilde{\mathbb{H}}) \subsetneq G$ and $\beta(G) \cong S_5$, where the embedding $\beta(G) \subset S_6$ is nonstandard, ie the standard one twisted by an outer automorphism of S_6 ,
 - $\alpha(\widetilde{\mathbb{H}}) \subsetneq G$ and $\beta(G) \cong A_5$, where the embedding $\beta(G) \subset S_6$ is nonstandard.

Proof Let d be the smallest positive number such G has an semi-invariant of degree d. If $G \cong 2.A_6$, then $d \geqslant 5$ by Lemma 4.10. If $G \cong 2.S_6$ or $G \cong 2.A_7$, then $d \geqslant 5$ by Lemma 4.11. In fact, one can check by direct computation that d = 8 if $G \cong 2.A_6$ or $G \cong 2.S_6$ or $G \cong 2.A_7$. If $G \cong SL_2(\mathbb{F}_7)$, then the equality d = 4 holds by [26] and Remark 1.15. If $G \cong Sp_4(\mathbb{F}_3)$, then the equality d = 12 holds by [28] and Remark 1.15.

Suppose that $G \cong SL_2(\mathbb{F}_5) \cong 2.A_5$. Then there is a \overline{G} -invariant smooth rational cubic curve $C \subset \mathbb{P}^3$, because the representation $G \to GL_4(\mathbb{C})$ is a symmetric square of a two-dimensional representation of the group G. The surface swept out by the lines tangent to the curve C is a \overline{G} -invariant surface of degree 4 (cf proof of Theorem 4.3). Therefore, the inequality $d \leq 4$ holds⁶.

Let us use the notation of Example 4.8. By Theorem 4.9, Remark 2.12 and Lemmas 3.24 and 3.26, to complete the proof we may assume that G is a primitive subgroup in N that contains $\alpha(\widetilde{\mathbb{H}})$.

One can show that the group $\widetilde{\mathbb{H}}$ has no invariants of degree less than 4 and its invariants of degree 4 form a five-dimensional vector space W (see eg [33, Lemma 3.18]).

The group $\beta(G)$ naturally acts on W. Moreover, the subgroup G has an invariant of degree 4 if and only if the representation W has a one-dimensional subrepresentation of the group $\beta(G)$. On the other hand, it follows from [30] that if G = N, then W is an irreducible representation of $\beta(G) = S_6$.

It follows from [3, Section 123] that, up to conjugation, there exist exactly 9 possibilities for the subgroup $G \subset N$ such that G is primitive. These possibilities are listed in Table 2. In this table, the first column lists the labels of the subgroup G according to [3, Section 123] and the last column lists the dimensions of the irreducible $\beta(G)$ —subrepresentations of W.

Note that $\mathbb{H} \subset \widetilde{\mathbb{H}}$ has no semi-invariants of degree 3, because \mathbb{H} has no invariants of degree 3, the center of the group \mathbb{H} coincides with its commutator and acts nontrivially on cubic forms.

⁶Actually, one can show that d = 4 in this case.

Label of the group G	$\beta(G)$	Generators of the subgroup $\beta(G) \subseteq S_6$	Splitting type	
13°	\mathbb{Z}_5	(24635)	1, 1, 1, 1, 1	
14°	$\mathbb{Z}_5 \rtimes \mathbb{Z}_2$	(24635), (36)(45)	1, 2, 2	
15°	$\mathbb{Z}_5 \rtimes \mathbb{Z}_4$	(24635), (3465)	1, 2, 2	
16°	A ₅	(24635), (34)(56)	1,4	
17°	A ₅	(24635), (12)(36)	5	
18°	S ₅	(24635), (56)	1,4	
19°	S ₅	(24635), (12)(34)(56)	5	
20°	A ₆	(24635), (12)(34)	5	
21°	S ₆	(24635), (12)	5	

Table 2

The subgroups of N described in Lemma 4.12 are the subgroups 21° , 20° , 19° , 17° , respectively. We see that $d \le 4$ if G is the subgroup 13° , 14° , 15° , 16° or 18° . On the other hand, if G is the subgroup 17° , 19° , 20° or 21° , then the subgroup G has neither semi-invariants of degree less than 4, nor invariants of degree 4. Let us prove that the subgroup 17° does not have semi-invariants of degree 4. Since the absence of semi-invariants of degree 4 implies the absence of semi-invariants of degree 2, this would imply that in the case when G is the subgroup 17° , 19° , 20° or 21° of the group N the inequality $d \ge 5$ holds⁷.

Suppose that G is the subgroup 17°, and suppose, in addition, that G does have a semi-invariant Φ of degree 4. Let us show that this assumption leads to a contradiction.

Note that the polynomial Φ is not $\widetilde{\mathbb{H}}$ -invariant, because Φ is not G-invariant and $G/\widetilde{\mathbb{H}}\cong\beta(G)\cong A_5$ is a simple group. Let Z be the center of the group $\widetilde{\mathbb{H}}$. Put $\overline{\mathbb{H}}=\phi(\widetilde{\mathbb{H}})$. Then $\widetilde{\mathbb{H}}/Z\cong\overline{\mathbb{H}}\cong\mathbb{Z}_2^4$, and Z acts trivially on Φ . Thus, there is a homomorphism $\xi\colon \overline{\mathbb{H}}\to\mathbb{C}^*$ such that $\ker(\xi)\neq\overline{\mathbb{H}}$, which implies that $\ker(\xi)\cong\mathbb{Z}_2^3$, because $\operatorname{im}(\chi)$ is a cyclic group. Let $\theta\colon \overline{G}\to\operatorname{Aut}(\overline{\mathbb{H}})$ be the homomorphism such that

$$\theta(g)(h) = ghg^{-1} \in \overline{\mathbb{H}} \cong \mathbb{Z}_2^4$$

for all $g \in \overline{G}$ and $h \in \overline{\mathbb{H}}$. Consider $\overline{\mathbb{H}}$ as a vector space over \mathbb{F}_2 . Then θ induces a monomorphism $\tau \colon \beta(G) \to \mathrm{GL}_4(\mathbb{F}_2)$ and $\ker(\xi)$ is a $\mathrm{im}(\tau)$ -invariant subspace. But $\mathrm{im}(\tau) \cong \mathrm{A}_5$ has no nontrivial three-dimensional representations over \mathbb{F}_2 , because

⁷In fact, one can check by direct computation that d = 8 if G is the subgroup 17° , 19° , 20° or 21° .

 $|GL_3(\mathbb{F}_2)| = 168$ is not divisible by $|A_5| = 60$. Thus, we see that there is a nonzero element $t \in \overline{\mathbb{H}}$ such that t is $\operatorname{im}(\tau)$ -invariant. Let F be the stabilizer of t in $GL_4(\mathbb{F}_2)$. Then $A_5 \cong \operatorname{im}(\tau) \subset F$, which is impossible, because |F| = 1344 is not divisible by $|A_5| = 60$.

Combining the previous results we obtain the following.

Theorem 4.13 Let G be a finite subgroup in $SL_4(\mathbb{C})$. Then the following conditions are equivalent:

- The singularity $(V \ni O)$ is exceptional.
- The inequality $lct(\mathbb{P}^3, \overline{G}) \ge 5/4$ holds.
- The group G is primitive and G does not have semi-invariants of degree at most 4.
- $\bar{G} = \phi(G')$, where G' is one of the 8 subgroups listed in Lemma 4.12.

Proof This follows from Theorems 1.17, 4.5 and 4.9 and Lemma 4.12.

5 Five-dimensional case

The purpose of this section is to present an explicit classification of exceptional five-dimensional singularities (see Theorem 5.6, cf Theorems 4.1, 4.2 and 4.13), and prove some relevant results.

Let \overline{G} be a finite subgroup in $\operatorname{Aut}(\mathbb{P}^4)$, and consider the natural projection

$$\phi \colon \mathrm{SL}_5(\mathbb{C}) \to \mathrm{Aut}(\mathbb{P}^4) \cong \mathrm{PGL}_5(\mathbb{C}).$$

Then there is a finite subgroup $G \subset SL_5(\mathbb{C})$ such that $\phi(G) = \overline{G}$. Suppose that G is primitive. Then we may assume that $Z(G) \subseteq [G, G]$ (see [5; 14]).

Example 5.1 (cf Appendix A) Let \mathbb{H} be the Heisenberg group of all unipotent (3×3) -matrices with entries in \mathbb{F}_5 . Then there is a monomorphism $\rho \colon \mathbb{H} \to SL_5(\mathbb{C})$. Let $\mathbb{H}\mathbb{M}$ be the normalizer of the subgroup $\rho(\mathbb{H}) \subset SL_5(\mathbb{C})$. Then there is an exact sequence

$$1 \longrightarrow \mathbb{H} \xrightarrow{\alpha} \mathbb{HM} \xrightarrow{\beta} \mathrm{SL}_2(\mathbb{F}_5) \longrightarrow 1,$$

and \mathbb{HM} is a primitive subgroup in $SL_5(\mathbb{C})$ (see [5, Theorem 9A; 17]).

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Theorem 5.2 (See [5; 14, Section 8.5].) Let G be a finite primitive subgroup in $SL_5(\mathbb{C})$ such that $Z(G) \subseteq [G, G]$. Then G is one of the groups A_5 , A_6 , S_5 , S_6 , $PSL_2(\mathbb{F}_{11})$, $PSp_4(\mathbb{F}_3)$, or, in the notation of Example 5.1, a primitive subgroup of \mathbb{HM} that contains $\alpha(\mathbb{H})$.

Note that if there are two monomorphisms $\iota_1: G \to \mathrm{SL}_5(\mathbb{C})$ and $\iota_2: G \to \mathrm{SL}_5(\mathbb{C})$ such that both subgroups $\iota_1(G)$ and $\iota_2(G)$ are primitive, then $\iota_1(G)$ and $\iota_2(G)$ are conjugate.

Lemma 5.3 Suppose that G is one of the following groups: A_5 , A_6 , S_5 , S_6 , $PSL_2(\mathbb{F}_{11})$ or $PSp_4(\mathbb{F}_3)$. Then G has an invariant of degree at most 4, which implies that $lct(\mathbb{P}^4, \overline{G}) \leq 4/5$.

Proof If G is A_5 , A_6 , S_5 or S_6 , then G has an invariant of degree 2 by Remark 2.12. If $G \cong PSp_4(\mathbb{F}_3)$, then G has an invariant of degree 4 (see [7]). If $G \cong PSL_2(\mathbb{F}_{11})$, then G has an invariant of degree 3 (see [1]).

Lemma 5.4 In the notation of Example 5.1, suppose that $\alpha(\mathbb{H}) \subsetneq G \subseteq \mathbb{HM}$. Then G has no semi-invariants of degree at most 5 if and only if either $G = \mathbb{HM}$ or G is a subgroup of \mathbb{HM} of index 5.

Proof Let V be the vector space of \mathbb{H} -invariant forms of degree 5. Then the group $\mathbb{HM}/\alpha(\mathbb{H}) \cong \mathrm{SL}_2(\mathbb{F}_5) \cong 2.\mathrm{A}_5$ naturally acts on the vector space V. Moreover, it follows from [17, Theorem 3.5] that $V = V' \oplus V''$, where V' and V'' are three-dimensional $\mathrm{im}(\beta)$ -invariant linear subspaces that arise from two nonequivalent three-dimensional representations of the group A_5 , respectively. Therefore, we see that G has a semi-invariant of degree 5 if and only if V' has a $\beta(G)$ -invariant one-dimensional subspace.

Let $Z \cong \mathbb{Z}_2$ be the center of the group $\mathbb{HM}/\alpha(\mathbb{H}) \cong 2.A_5$. Then $2.A_5/Z \cong A_5$. Moreover, either $\beta(G)$ is cyclic, or $Z \subseteq \beta(G)$ and $\beta(G)/Z$ is one of the following subgroups of A_5 : dihedral group of order 6, dihedral group of order 10, the group $\mathbb{Z}_2 \times \mathbb{Z}_2$, the group A_4 , the group A_5 .

If $\beta(G)$ is cyclic, then V' is a sum of one-dimensional $\beta(G)$ -invariant linear subspaces. Hence we may assume that $Z \subseteq \beta(G)$. Recall that $Z \cong \mathbb{Z}_2$ acts trivially on V'. Thus, if $\beta(G)/Z \cong \mathbb{Z}_2 \times \mathbb{Z}_2$, then V' is a sum of one-dimensional $\beta(G)$ -invariant subspaces.

If $\beta(G)/Z$ is a dihedral group, then V' must have one-dimensional $\beta(G)$ -invariant subspace, because irreducible representations of dihedral groups are one-dimensional or two-dimensional.

If $\beta(G)/Z \cong A_5$ or $\beta(G)/Z \cong A_4$, then V' is an irreducible representation of $\beta(G)/Z$, which implies that V' is an irreducible representation of the group $\beta(G)$. Now using Corollary A.2, we complete the proof.

The main purpose of this section is to prove the following result.

Theorem 5.5 In the notation of Example 5.1, let G be a subgroup of the group \mathbb{HM} of index 5. Then $lct(\mathbb{P}^4, \overline{G}) \ge 6/5$.

Combining the previous results we obtain the following.

Theorem 5.6 Let G be a finite subgroup in $SL_5(\mathbb{C})$. Then the following conditions are equivalent:

- The singularity $(V \ni O)$ is exceptional.
- The inequality $lct(\mathbb{P}^4, \overline{G}) \ge 6/5$ holds.
- The group G is primitive and G does not have semi-invariants of degree at most 5.
- In the notation of Example 5.1, either $G \cong \mathbb{HM}$ or G is isomorphic to a subgroup of the group \mathbb{HM} of index 5.

Proof The required assertion follows from Theorems 1.17, 5.5, 5.2 and Lemmas 5.4 and 5.3.

In the remaining part of this section we will prove Theorem 5.5. Let us use the notation of Example 5.1. Suppose that G be a subgroup of the group \mathbb{HM} of index 5.

Lemma 5.7 Let Λ be a \overline{G} -invariant subset of \mathbb{P}^4 . Then Λ consists of at least 10 points.

Proof The required assertion follows from Lemma 5.4 and Corollary A.2. \Box

Suppose that $lct(\mathbb{P}^4, \overline{G}) < 6/5$. Let us derive a contradiction.

There is a rational positive number $\lambda < 6/5$ and an effective \overline{G} -invariant \mathbb{Q} -divisor D on \mathbb{P}^5 such that $D \sim_{\mathbb{Q}} -K_{\mathbb{P}^4}$ and the log pair $(\mathbb{P}^4, \lambda D)$ is strictly log canonical. Let S be an irreducible subvariety of \mathbb{P}^4 that is a minimal center in $\mathbb{LCS}(\mathbb{P}^4, \lambda D)$. Then S is either a curve or a surface by Lemma 2.11.

Let Z be the \overline{G} -orbit of the subvariety $S \subset \mathbb{P}^4$, and let r be the number of irreducible components of the subvariety Z. We may assume that

$$\mathbb{LCS}(\mathbb{P}^4, \lambda D) = \bigcup_{g \in \overline{G}} \{g(S)\}\$$

by Lemma 2.8. Then $\operatorname{Supp}(Z) = \operatorname{LCS}(\mathbb{P}^4, \lambda D)$. It follows from Lemma 2.6 that

$$S \cap g(S) \neq \emptyset \iff S = g(S)$$

for every $g \in \overline{G}$. Then $\deg(Z) = r \deg(S)$.

Let \mathcal{I} be the multiplier ideal sheaf of the log pair $(\mathbb{P}^4, \lambda D)$, and let \mathcal{L} be the log canonical singularities subscheme of the log pair $(\mathbb{P}^4, \lambda D)$. By Theorem 2.3, there is an exact sequence

(5.8)
$$0 \longrightarrow H^0(\mathcal{O}_{\mathbb{P}^4}(n) \otimes \mathcal{I}) \longrightarrow H^0(\mathcal{O}_{\mathbb{P}^4}(n)) \longrightarrow H^0(\mathcal{O}_{\mathcal{L}} \otimes \mathcal{O}_{\mathbb{P}^4}(n)) \longrightarrow 0$$
 for every $n \ge 1$. Note that $Z = \mathcal{L}$ by Remark 2.2.

Lemma 5.9 The center S is not a curve.

Proof Suppose that S is a curve. Then it follows from Theorem 2.7 that S is a smooth curve of genus g such that $2g-2 < \deg(S)$. Moreover, it follows from Lemma 2.9 that $\deg(Z) \le 10$. Then $2g-2 < \deg(S) \le 10$, which implies that $g \le 5$. The curve S is not contained in a hyperplane, because S is transitive. Then S is S is transitive. Then S is S is deg(S), which implies that S is a smooth curve S is not contained in a hyperplane, because S is transitive. Then S is a smooth curve S is not contained in a hyperplane, because S is transitive. Then S is a smooth curve of genus S is a smooth curve S is a smooth curve S is a smooth curve of genus S is a smooth curve S is a smooth curve of genus S is a smooth curve S is a smooth curve of genus S is a smooth curve S is a smooth curve

Using (5.8) and the Riemann–Roch theorem, we see that

(5.10)
$$5 = h^{0}(\mathcal{O}_{\mathcal{L}} \otimes \mathcal{O}_{\mathbb{P}^{3}}(1)) = r(\deg(S) - g + 1),$$

because $\mathcal{L} = Z$ and $2g - 2 < \deg(S)$. Thus, either r = 1 or r = 5.

If r = 5, then $\deg(S) = 2$ and g = 0, which contradicts (5.10). We see that r = 1. Thus S is a \overline{G} -invariant irreducible curve of genus $g \le 5$, which is impossible by Lemma A.8.

We see that S is a surface. Then $\deg(Z) \leq 10$ by Lemma 2.9. It follows from Theorem 2.7 that S is normal and has at most rational singularities, and there is an effective \mathbb{Q} -divisor B_S and an ample \mathbb{Q} -divisor Δ on the surface S such that

$$K_S + B_S + \Delta \sim_{\mathbb{Q}} \mathcal{O}_{\mathbb{P}^4}(1)|_S$$
,

and the log pair (S, B_S) has Kawamata log terminal singularities. Therefore, the equality r=1 holds, since two irreducible surfaces in \mathbb{P}^4 have nonempty intersection. Thus, we see that the surface S=Z is \overline{G} -invariant.

Lemma 5.11 The surface S is not contained in a hyperplane in \mathbb{P}^4 .

Proof The required assertion follows from the fact that G is transitive.

Lemma 5.12 The surface S is not contained in a quadric hypersurface in \mathbb{P}^4 .

Proof Suppose that there is a quadric hypersurface $Q \subset \mathbb{P}^4$ such that $S \subset Q$. Then Q is irreducible by Lemma 5.11. Moreover, it follows from Lemma 5.4 that there is a quadric hypersurface $Q' \subset \mathbb{P}^4$ such that $S \subseteq Q \cap Q'$, because otherwise the quadric Q would be \overline{G} -invariant. Then Q' is irreducible by Lemma 5.11.

Suppose that $S=Q\cap Q'$. If S is nonsingular, consider a pencil $\mathcal P$ generated by the quadrics Q and Q'. Then $\mathcal P$ contains exactly 5 singular quadrics, which are simple quadric cones. This means that there is a $\overline G$ -orbit in $\mathbb P^4$ consisting of at most 5 points, which is impossible, because G has no semi-invariants of degree up to 5. Therefore, the surface S is singular.

It follows from [16] that $|Sing(S)| \le 4$, because S has canonical singularities since S is a complete intersection that has Kawamata log terminal singularities. But Sing(S) is \overline{G} -invariant, which contradicts Lemma 5.7.

We see that $S \neq Q \cap Q'$. Therefore, it follows from Lemma 5.11 that either S is a cone over a smooth rational cubic curve, or S is a smooth cubic scroll.

If S is a cone, then its vertex is \overline{G} -invariant, which is impossible since G is transitive. Thus, we see that S is a smooth cubic scroll. Then there is a unique line $L \subset S$ such that $L^2 = -1$, which implies that L must be \overline{G} -invariant, which is again impossible, because G is transitive.

Let H be a hyperplane section of the surface $S \subset \mathbb{P}^4$.

Lemma 5.13 The equalities $H \cdot H = -H \cdot K_S = 5$ and $\chi(\mathcal{O}_S) = 0$ hold.

Proof It follows from Corollary A.2 that there is $m \ge 0$ such that $h^0(\mathcal{O}_{\mathbb{P}^4}(3) \otimes \mathcal{I}) = 5m$. Let us show that this is possible only if $H \cdot H = -H \cdot K_S = 5$ and $\chi(\mathcal{O}_S) = 0$.

It follows from the Riemann-Roch theorem and Theorem 2.3 that

(5.14)
$$h^0(\mathcal{O}_S(nH)) = \chi(\mathcal{O}_S(nH)) = \chi(\mathcal{O}_S) + \frac{n^2}{2}(H \cdot H) - \frac{n}{2}(H \cdot K_S)$$

for any $n \ge 1$. It follows from Lemma 5.11, the equality (5.14) and the exact sequence (5.8) that

(5.15)
$$5 = h^0(\mathcal{O}_S(H)) = \chi(\mathcal{O}_S) + \frac{1}{2}(H \cdot H) - \frac{1}{2}(H \cdot K_S),$$

and it follows from Lemma 5.12, the equality (5.14) and the exact sequence (5.8) that

(5.16)
$$15 = h^0(\mathcal{O}_S(2H)) = \chi(\mathcal{O}_S) + 2(H \cdot H) - (H \cdot K_S).$$

It follows from Lemmas 2.9, 5.11 and 5.12 that $4 \le H \cdot H = \deg(S) \le 10$.

Suppose that $H \cdot H = 10$. It follows from the equalities (5.15) and (5.16) that $\chi(\mathcal{O}_S) = 5$ and $H \cdot K_S = H \cdot H = 10$, which is impossible, because $H \sim_{\mathbb{Q}} K_S + B_S + \Delta$, where Δ is ample and B_S is effective. Thus $H \cdot H \leq 9$.

It follows from the equalities (5.15) and (5.16) that

$$H \cdot K_S = 3\chi(\mathcal{O}_S) - 5 = 3(H \cdot H) - 20.$$

It follows from the equality (5.14) and the exact sequence (5.8) that

$$h^{0}(\mathcal{O}_{\mathbb{P}^{4}}(3)\otimes\mathcal{I}) = 35 - h^{0}(\mathcal{O}_{S}(3H)) = 35 - (\chi(\mathcal{O}_{S}) + \frac{9}{2}(H \cdot H) - \frac{3}{2}(H \cdot K_{S})) = 5m,$$

which implies that $H \cdot H = 5$, $\chi(\mathcal{O}_S) = 0$ and $H \cdot K_S = -5$, because $4 \le H \cdot H \le 9$. \square

Let $\pi: U \to S$ be the minimal resolution of the surface S. Then $\kappa(U) = -\infty$ and

$$1 - h^{1}(\mathcal{O}_{U}) = 1 - h^{1}(\mathcal{O}_{S}) = h^{2}(\mathcal{O}_{S}) = h^{2}(\mathcal{O}_{U}) = h^{0}(\mathcal{O}_{U}(K_{U})) = 0,$$

because S has rational singularities and $\kappa(U) = -\infty$ since $H \cdot K_S = -5 < 0$.

Corollary 5.17 The surface S is birational to $E \times \mathbb{P}^1$, where E is smooth elliptic curve.

By Remark 2.13, there is a monomorphism $\xi \colon \overline{G} \to \operatorname{Aut}(Y)$, which contradicts Corollary A.11.

The obtained contradiction completes the proof of Theorem 5.5.

Appendix A Horrocks-Mumford group

Let \mathbb{H} be the Heisenberg group of all unipotent (3×3) -matrices with entries in \mathbb{F}_5 . Then

$$\mathbb{H} = \langle x, y, z \mid x^5 = y^5 = z^5 = 1, \ xz = zx, \ yz = zy, \ xy = zyx \rangle$$

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for some $x, y, z \in \mathbb{H}$. There is a monomorphism $\rho: \mathbb{H} \to SL_5(\mathbb{C})$ such that

$$\rho(x) = \begin{pmatrix} 0 & 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \end{pmatrix}, \quad \rho(y) = \begin{pmatrix} \zeta & 0 & 0 & 0 & 0 \\ 0 & \zeta^2 & 0 & 0 & 0 \\ 0 & 0 & \zeta^3 & 0 & 0 \\ 0 & 0 & 0 & \zeta^4 & 0 \\ 0 & 0 & 0 & 0 & 1 \end{pmatrix},$$

where ζ is a nontrivial fifth root of unity. Let us identify \mathbb{H} with $\operatorname{im}(\rho)$. Then $Z(\mathbb{H}) \cong \mathbb{Z}_5$ and

$$\begin{pmatrix} \zeta & 0 & 0 & 0 & 0 \\ 0 & \zeta & 0 & 0 & 0 \\ 0 & 0 & \zeta & 0 & 0 \\ 0 & 0 & 0 & \zeta & 0 \\ 0 & 0 & 0 & 0 & \zeta \end{pmatrix} \in Z(\mathbb{H}),$$

where $Z(\mathbb{H})$ is the center of \mathbb{H} . Let $\phi: GL_5(\mathbb{C}) \to PGL_5(\mathbb{C})$ be the natural projection.

Lemma A.1 [17, Section 1] Let $\chi: \mathbb{H} \to GL_N(\mathbb{C})$ be an irreducible representation of \mathbb{H} . Then either N = 1 and $Z(\mathbb{H}) \subseteq \ker(\chi)$, or N is divisible by 5.

Take $n \in \mathbb{Z}_{\geq 0}$. Then \mathbb{H} naturally acts on $H^0(\mathcal{O}_{\mathbb{P}^4}(n))$.

Corollary A.2 Let V be a \mathbb{H} -invariant subspace in $H^0(\mathcal{O}_{\mathbb{P}^4}(n))$. Then either $\dim(V)$ is divisible by 5, or n is divisible by 5.

Let $\mathbb{HM} \subset SL_5(\mathbb{C})$ be the normalizer of the subgroup \mathbb{H} . Then there is an exact sequence

$$1 \longrightarrow \mathbb{H} \xrightarrow{\alpha} \mathbb{HM} \xrightarrow{\beta} SL_2(\mathbb{F}_5) \longrightarrow 1,$$

and it follows from [17, Section 1] that there is a subgroup $\mathbb{M} \subset \underline{\mathbb{H}}\underline{\mathbb{M}}$ such that $\mathbb{H}\mathbb{M} = \underline{\mathbb{H}} \times \mathbb{M}$ and $\mathbb{M} \cong \beta(\mathbb{M}) = \mathrm{SL}_2(\mathbb{F}_5) \cong 2.A_5$. Put $\overline{\mathbb{H}} = \phi(\mathbb{H})$ and $\overline{\mathbb{H}}\overline{\mathbb{M}} = \phi(\mathbb{H}\mathbb{M})$. Then $\overline{\mathbb{H}}\overline{\mathbb{M}}/\overline{\mathbb{H}} \cong \mathrm{SL}_2(\mathbb{F}_5)$ and $\overline{\mathbb{H}} \cong \mathbb{Z}_5 \times \mathbb{Z}_5$. Let $Z(\mathbb{H}\mathbb{M})$ be the center of the group $\mathbb{H}\mathbb{M}$. Then $Z(\mathbb{H}\mathbb{M}) = Z(\mathbb{H}) \cong \mathbb{Z}_5$.

Corollary A.3 The group $\overline{\mathbb{HM}}$ is isomorphic to $\mathbb{HM}/Z(\mathbb{HM})$.

Let G be a subgroup of the group \mathbb{HM} of index 5. Then $G \cong \mathbb{H} \rtimes 2.A_4 \subset \mathbb{H} \rtimes 2.A_5$ and $|\bar{G}| = 600$, where $\bar{G} = \phi(G)$. Let Z(G) be the center of the group G. Then $Z(G) = Z(\mathbb{HM}) = Z(\mathbb{H}) \cong \mathbb{Z}_5$.

Lemma A.4 Let g be an element of the group \overline{G} such that $gh = hg \in \overline{G}$ for every element $h \in \overline{\mathbb{H}}$. Then $g \in \overline{\mathbb{H}}$.

Proof The required assertion follows from [17, Section 1]. □

Lemma A.5 Let F be a proper normal subgroup of $2.A_4$. Then either $F \cong \mathbb{Z}_2$ is a center of the group $2.A_4$, or $F \cong \mathbb{Q}_8$, where \mathbb{Q}_8 is the quaternion group of order 8.

Proof The only nontrivial normal subgroup of the group A_4 is isomorphic to the group $\mathbb{Z}_2 \times \mathbb{Z}_2$.

Lemma A.6 The group $\overline{\mathbb{H}}$ contains no proper nontrivial subgroups that are normal in \overline{G} .

Proof Let θ : $\overline{\mathbb{H}\mathbb{M}} \to \operatorname{Aut}(\overline{\mathbb{H}})$ be the homomorphism such that

$$\theta(g)(h) = ghg^{-1} \in \overline{\mathbb{H}}$$

for all $g \in \overline{\mathbb{HM}}$ and $h \in \overline{\mathbb{H}}$. Then $\ker(\theta) = \overline{\mathbb{H}}$ by Lemma A.4.

The homomorphism θ induces an isomorphism $\tau \colon \mathbb{M} \to \mathrm{SL}_2(\mathbb{F}_5)$.

Let $F \subset \mathbb{M}$ be a subgroup such that $\beta(F) = \beta(G) \cong 2.A_4$. Then $G = \mathbb{H} \rtimes F$.

Suppose that the group $\overline{\mathbb{H}}$ contains a proper nontrivial subgroup that is a normal subgroup of the group \overline{G} . Let us consider $\overline{\mathbb{H}}$ as a two-dimensional vector space over \mathbb{F}_5 . Then $\mathbb{F}_5^2 \cong \overline{\mathbb{H}} = V_0 \oplus V_1$, where V_0 and V_1 are one-dimensional $\tau(F)$ -invariant subspaces, since $|2.A_4| = 24$ is coprime to 5.

By Lemma A.4, the homomorphism τ induces a monomorphism

$$F \longrightarrow \mathrm{GL}_1(\mathbb{F}_5) \times \mathrm{GL}_1(\mathbb{F}_5) \cong \mathbb{Z}_4 \times \mathbb{Z}_4,$$

which implies that F is an abelian group, which is not the case.

Lemma A.7 The group \overline{G} does not contain proper normal subgroups not containing $\overline{\mathbb{H}}$.

Proof Suppose that \overline{G} contains a normal subgroup \overline{G}' such that $\overline{\mathbb{H}} \not\subseteq \overline{G}'$. Then the intersection $\overline{G}' \cap \overline{\mathbb{H}}$ consists of the identity element in G by Lemma A.6. Hence

$$\bar{G}' \cong \beta(\bar{G}') \subseteq \beta(\bar{G}) \cong 2.A_4,$$

which implies that \bar{G}' is isomorphic to a normal subgroup of the group 2.A₄.

Let \bar{Z} be the center of \bar{G}' . Then \bar{Z} is a normal subgroup of the group \bar{G} . Thus, we have $\bar{Z} \cong \mathbb{Z}_2$ by Lemma A.5. Hence \bar{Z} is contained in the center of \bar{G} , which contradicts Lemma A.4.

Lemma A.8 Let E be a smooth irreducible curve of genus $g \le 8$. Then there is no monomorphism $\overline{G} \to \operatorname{Aut}(E)$.

Proof By classification of finite subgroups in $PGL_2(\mathbb{C})$ the case g = 0 is impossible. The cases $2 \le g \le 8$ are impossible by Theorem 2.14. Therefore, we may assume that E is an elliptic curve.

Let us consider E as an abelian group. Then there is an exact sequence

$$1 \longrightarrow E \xrightarrow{\iota} \operatorname{Aut}(E) \xrightarrow{\upsilon} \mathbb{Z}_n \longrightarrow 1$$

for some $n \in \{2, 4, 6\}$.

Suppose that there is a monomorphism $\theta \colon \overline{G} \to \operatorname{Aut}(E)$. Then $\theta(\overline{\mathbb{H}}) \subset \iota(E)$, because $\iota(E)$ contains all the elements of $\operatorname{Aut}(E)$ of order 5.

Let g be any element of \overline{G} such that $\theta(g) \in \iota(E)$. Then $\theta(g)\theta(h) = \theta(h)\theta(g)$ for every $h \in \overline{\mathbb{H}}$, because $\iota(E)$ is an abelian group, and thus $g \in \overline{\mathbb{H}}$ by Lemma A.4. Hence $\theta(\overline{G}) \cap \iota(E) = \theta(\overline{\mathbb{H}})$, which implies that $\upsilon(\overline{G}) \cong \beta(\overline{G}) \cong 2.A_4$, which is absurd. \square

The main purpose of this section is to prove the following result.

Theorem A.9 Let E be a smooth elliptic curve. Then there is no exact sequence of groups

$$(\mathbf{A.10}) \qquad \qquad 1 \longrightarrow G' \stackrel{\iota}{\longrightarrow} \bar{G} \stackrel{\upsilon}{\longrightarrow} G'' \longrightarrow 1,$$

where G' and G'' are subgroups of the groups $\operatorname{Aut}(\mathbb{P}^1)$ and $\operatorname{Aut}(E)$, respectively.

Proof Suppose that the exact sequence of groups (A.10) does exist. Then ι is not an isomorphism, because the group $\operatorname{Aut}(\mathbb{P}^1)$ does not contain subgroups isomorphic to \overline{G} . The monomorphism υ is not an isomorphism by Lemma A.8. Then $\overline{\mathbb{H}} \subset \iota(G')$ by Lemma A.7. But $\operatorname{Aut}(\mathbb{P}^1)$ contains no subgroups isomorphic to $\overline{\mathbb{H}}$, which is a contradiction.

Corollary A.11 There is no monomorphism $\overline{G} \to \text{Bir}(E \times \mathbb{P}^1)$, where E is a smooth elliptic curve.

We believe that there is a simpler proof of Theorem A.9.

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School of Mathematics, University of Edinburgh

James Clerk Maxwell Building, Mayfield Road, Edinburgh, EH93JZ, UK

Steklov Institute of Mathematics

8 Gubkina street, Moscow, 119991, Russia

IC, CS: Laboratory of Algebraic Geometry, GU-HSE

7 Vavilova street, Moscow 117312, Russia

i.cheltsov@ed.ac.uk, shramov@mccme.ru

http://www.maths.ed.ac.uk/cheltsov/

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