

# Counting genus-zero real curves in symplectic manifolds

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There are two types of  $J$ -holomorphic spheres in a symplectic manifold which are invariant under an anti-symplectic involution: those that have a fixed point locus and those that do not. The former are described by moduli spaces of  $J$ -holomorphic disks, which are well studied in the literature. In this paper, we first study moduli spaces describing the latter and then combine the two types of moduli spaces to get a well-defined theory of counting real curves of genus 0. We use equivariant localization to show that these invariants (unlike the disk invariants) are essentially the same for the two (standard) involutions on  $\mathbb{P}^{4n-1}$ .

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## 1 Introduction and main results

Let  $(X, \omega, \phi)$  be a symplectic manifold, which we will assume to be connected throughout this paper, with a real structure  $\phi$ , ie a diffeomorphism  $\phi: X \rightarrow X$  such that  $\phi^2 = \text{id}_X$  and  $\phi^*\omega = -\omega$ . Let  $L = \text{Fix}(\phi) \subset X$  be the fixed point locus of  $\phi$ ; so  $L$  is a Lagrangian submanifold of  $(X, \omega)$  which can be empty. In the simplest case of  $(X, \omega) = (\mathbb{P}^1, \omega_{\text{FS}})$ , where  $\omega_{\text{FS}}$  is the Fubini–Study symplectic form, there are involutions of both types. An almost complex structure  $J$  on  $TX$  is called  $(\omega, \phi)$ -compatible if  $\phi^*J = -J$  and  $\omega(\cdot, J\cdot)$  is a metric. Denote the set of such almost complex structures by  $\mathcal{J}_{\omega, \phi}$  or simply  $\mathcal{J}_{\phi}$ .

Fix a compatible almost complex structure  $J$ . Let  $u: \mathbb{P}^1 \rightarrow X$  be an  $n$ -marked somewhere injective  $J$ -holomorphic sphere, ie

$$(1-1) \quad du + J \circ du \circ j = 0, \quad u^{-1}(u(z)) = \{z\} \text{ for almost every } z \in \mathbb{P}^1,$$

where  $j$  is the complex structure of  $\mathbb{P}^1$ . We call such a  $J$ -holomorphic map *real* if its image (as a marked curve) is invariant under the action of  $\phi$ . In this case, pulling back  $\phi$  to  $\mathbb{P}^1$ , we get an involution on  $\mathbb{P}^1$ , which may or may not have fixed points and preserves the set of marked points. After a change of coordinates, an anti-symplectic involution with fixed points can be written as

$$\tau: \mathbb{P}^1 \rightarrow \mathbb{P}^1, \quad \tau([z, w]) = [\bar{w}, \bar{z}],$$

while a fixed point free involution can be written as

$$(1-2) \quad \eta: \mathbb{P}^1 \rightarrow \mathbb{P}^1, \quad \eta([z, w]) = [\bar{w}, -\bar{z}].$$

For  $k, l \in \mathbb{Z}^{\geq 0}$  and  $A \in H_2(X)$ , we define  $\mathcal{M}_{k,l}(X, A)^{\phi, \tau}$  and  $\mathcal{M}_l(X, A)^{\phi, \eta}$  to be the moduli spaces of degree  $A$  genus-zero  $J$ -holomorphic curves  $u: \mathbb{P}^1 \rightarrow X$  satisfying

$$(1-3) \quad u = \phi \circ u \circ \tau \quad \text{and} \quad u = \phi \circ u \circ \eta,$$

respectively, with  $l$  disjoint ordered conjugate pairs of marked points, along with  $k$  real ( $\tau$ -fixed) marked points in the first case. Similar to McDuff and Salamon [21, Appendix C], these moduli spaces have real virtual dimension

$$(1-4) \quad \begin{aligned} \dim^{\text{vir}} \mathcal{M}_{k,l}(X, A)^{\phi, \tau} &= \dim_{\mathbb{C}} X + c_1(A) + 2l + k - 3, \\ \dim^{\text{vir}} \mathcal{M}_l(X, A)^{\phi, \eta} &= \dim_{\mathbb{C}} X + c_1(A) + 2l - 3. \end{aligned}$$

Every  $J$ -holomorphic map  $u: \mathbb{P}^1 \rightarrow X$  in  $\mathcal{M}_{k,l}(X, A)^{\phi, \tau}$  corresponds to two  $J$ -holomorphic disks  $u: (D^2, S^1) \rightarrow (X, L)$  with  $k$  boundary marked points and  $l$  ( $\pm$ )-decorated<sup>1</sup> interior marked points, representing  $\beta, -\phi_*\beta \in H_2(X, L)$ ; the  $j^{\text{th}}$  decoration is (+) if the first point of the conjugate pair  $(z_j, \tau(z_j))$  lies on the chosen disk and is (−) otherwise. We define  $\mathcal{M}_{k,l}^{\text{disk}}(X, L, \beta)_{\text{dec}}$  and  $\mathcal{M}_{k,l}^{\text{disk}}(X, L, \beta)$  to be the moduli spaces of such  $J$ -holomorphic disks with and without decorations, respectively. Let  $\overline{\mathcal{M}}_l(X, A)^{\phi, \eta}$  and  $\overline{\mathcal{M}}_{k,l}(X, A)^{\phi, \tau}$  be the stable map compactifications of  $\mathcal{M}_l(X, A)^{\phi, \eta}$  and  $\mathcal{M}_{k,l}(X, A)^{\phi, \tau}$ , respectively. Let

$$(1-5) \quad \begin{aligned} \text{ev}_i: \overline{\mathcal{M}}_l(X, A)^{\phi, \eta} &\rightarrow X, & \text{ev}_i([u, \Sigma, (z_j, \eta(z_j))_{j=1}^l]) &= u(z_i), \\ \text{ev}_i^B: \overline{\mathcal{M}}_{k,l}(X, A)^{\phi, \tau} &\rightarrow L, & \text{ev}_i^B([u, \Sigma, (w_j)_{j=1}^k, (z_j, \tau(z_j))_{j=1}^l]) &= u(w_i), \\ \text{ev}_i: \overline{\mathcal{M}}_{k,l}(X, A)^{\phi, \tau} &\rightarrow X, & \text{ev}_i([u, \Sigma, (w_j)_{j=1}^k, (z_j, \tau(z_j))_{j=1}^l]) &= u(z_i), \end{aligned}$$

be the natural evaluation maps.

For the classic moduli space  $\overline{\mathcal{M}}_n(X, A)$  of  $J$ -holomorphic spheres in a homology class  $A$ , genus-0 *Gromov–Witten invariants* are defined via integrals of the form

$$(1-6) \quad \langle \theta_1, \dots, \theta_n \rangle_A = \int_{[\overline{\mathcal{M}}_n(X, A)]^{\text{vir}}} \text{ev}_1^*(\theta_1) \wedge \dots \wedge \text{ev}_n^*(\theta_n),$$

where the  $\theta_i$  are cohomology classes on  $X$ ; see Fukaya and Ono [9], Li and Tian [20], and Ruan and Tian [25]. These integrals make sense and are independent of  $J$ , because  $\overline{\mathcal{M}}_n(X, A)$  has a (virtually) orientable fundamental cycle without real codimension-one boundary. One would like to define similar invariants for the moduli spaces  $\overline{\mathcal{M}}_{k,l}^{\text{disk}}(X, L, \beta)$  and the evaluation maps in (1-5). The existence of such invariants is

<sup>1</sup>Decorated moduli spaces are studied by Georgieva [10].

predicted by physicists (see Aganagic, Klemm and Vafa [1], Labastida, Mariño and Vafa [19], Ooguri and Vafa [22], and Witten [29]), but there are obstacles to defining such invariants mathematically. In addition to the transversality issues (which are also present in the classical case), issues concerning orientability and codimension-one boundary arise.

### 1.1 Disk or $\tau$ -invariants

Whereas moduli spaces of closed curves have a canonical orientation induced by  $J$ ,  $\mathcal{M}_{k,l}^{\text{disk}}(X, L, \beta)$  is not necessarily orientable. Moreover, if it is orientable, there is no canonical orientation. If  $L$  has a spin (or relative spin) structure, then  $\mathcal{M}_{k,l}^{\text{disk}}(X, L, \beta)$  is orientable and a choice of spin structure canonically determines an orientation on  $\mathcal{M}_{k,l}^{\text{disk}}(X, L, \beta)$ ; see [7, Theorem 8.1.1].

Let  $\iota: H_2(X) \rightarrow H_2(X, L)$  be the inclusion homomorphism. The union of moduli spaces  $\overline{\mathcal{M}}_{k,l}^{\text{disk}}(X, L, \beta)_{\text{dec}}$  over all  $\beta \in H_2(X, L)$  such that  $\iota(A) = \beta - \phi_*\beta$  is an étale<sup>2</sup> double covering of  $\overline{\mathcal{M}}_{k,l}(X, A)^{\phi, \tau}$ , with the deck transformation

$$(1-7) \quad \tau_{\mathcal{M}}: [u, (w_j)_{j=1}^k, (z_j, \epsilon_j)_{j=1}^l] \rightarrow [\phi \circ u \circ c, (w_j)_{j=k}^1, (c(z_j), -\epsilon_j)_{j=1}^l],$$

where  $\epsilon_j = \pm$  is the decoration and  $c(z) = \bar{z}$ . See [10, Theorem 1.1(3)] if  $l > 0$ , and [23, Section 1.3.4] if  $l = 0$ , for a more detailed description of this covering map. At several points in the paper, we go back and forth between the two descriptions to relate the known results for  $J$ -holomorphic disks with the corresponding statements for the  $(\phi, \tau)$ -real maps.

For every  $\beta \in H_2(X, L)$ ,  $k, l \geq 0$ , a choice of spin structure on  $L = \text{Fix}(\phi)$  determines an orientation on  $\mathcal{M}_{k,l}^{\text{disk}}(X, L, \beta)_{\text{dec}}$ , with the anti-complex orientation imposed on the tangent spaces at the  $(-)$  marked points, as in [10, Section 4]. By [8, Theorem 1.3] and [11, Corollary 5.4],  $\tau_{\mathcal{M}}$  is orientation-preserving if and only if  $\frac{1}{2}\mu(\beta) + k$  is even, where  $\mu(\beta) \in 2\mathbb{Z}$  is the Maslov index of  $\beta$ . In particular, if  $L$  is spin and  $4 \mid c_1(TX)$  (ie  $4 \mid c_1(A)$  for every  $A \in H_2(X)$ ), then  $\mathcal{M}_{0,l}(X, A)^{\phi, \tau}$  or simply  $\mathcal{M}_l(X, A)^{\phi, \tau}$  is orientable, while  $\tau_{\mathcal{M}}$  is orientation-reversing on  $\mathcal{M}_{1,l}^{\text{disk}}(X, L, \beta)_{\text{dec}}$ .

The boundary of  $\overline{\mathcal{M}}_{k,l}(X, A)^{\phi, \tau}$ , ie the subspace of maps with at least one node, has two types of real (virtual) codimension-one strata; see Figure 1. The first type, called *disk bubbling*, consists of maps from two spheres with a real point in common. This stratum breaks into unions of components isomorphic to

$$(1-8) \quad \mathcal{M}_{k_1+1, l_1}(X, A_1)^{\phi, \tau} \times_{(\text{ev}_1^B, \text{ev}_1^B)} \mathcal{M}_{k_2+1, l_2}(X, A_2)^{\phi, \tau} / G,$$

<sup>2</sup>Étale double covering means that over the main stratum  $\mathcal{M}_{k,l}^{\text{disk}}(X, L, \beta)$ , it is a double covering; however, over the boundary strata it has higher degrees.

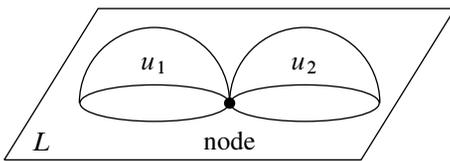
where

$$l_1 + l_2 = l, \quad k_1 + k_2 = k, \quad A_1 + A_2 = A, \quad G = \begin{cases} \mathbb{Z}_2 & \text{if } k, l = 0, A_1 = A_2, \\ \{1\} & \text{otherwise.} \end{cases}$$

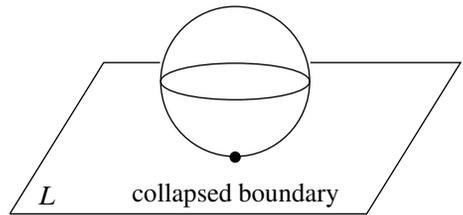
The second type, called *sphere bubbling*, appears only if  $k = 0$  and  $A = B - \phi_* B$  for some  $B \in H_2(X)$ . It consists of maps from the nodal domain  $\Sigma = \mathbb{P}^1 \cup_q \mathbb{P}^1$ , taking the node  $q$  to  $L$ . This stratum is isomorphic to (the  $\mathbb{Z}_2$ -quotient of)

$$(1-9) \quad \bigsqcup_{\substack{B \in H_2(X) \\ B - \phi_* B = A}} (\mathcal{M}_{1+l}(X, B)_{\text{dec}} \times_{\text{ev}_1} L),$$

where the intersection point with  $L$ , which corresponds to the first marked point in the  $1 + l$  marked points, has no decoration. Note that the natural extension of  $\tau_{\mathcal{M}}$  preserves each component of the domain of every map in the first case and interchanges them in the second case.



Disk bubbling



Sphere bubbling

Figure 1: Half of the curves in the codimension-one boundary strata of  $\overline{\mathcal{M}}_{k,l}(X, A)^{\phi, \tau}$ .

If a codimension one stratum is a boundary, an integral similar to (1-6) depends on the particular choices of the integrands (and other choices), and thus does not produce invariants. The boundary problem is present in nearly all cases. In the disk formulation, it has been overcome in a number of cases by either adding other terms to compensate for the effect of the boundary [27; 28; 6] or by gluing boundary components to each other to get moduli spaces without boundary [26; 10]. In the real curve formulation, the latter approach actually shows that the disk-bubbling stratum is a hypersurface in the real moduli space across which the orientation extends; see Proposition 3.1. None of these methods can address the issue of sphere bubbling; we address it in this paper.

### 1.2 $\eta$ -invariants

The moduli spaces  $\overline{\mathcal{M}}_l(X, A)^{\phi, \eta}$  have mostly been ignored in the literature. As we show, the codimension-one boundary consists of maps from a wedge of two spheres

taking the node to  $L$ . The restrictions of each map to the two spheres determine elements of  $\mathcal{M}_{1+l}(X, B)_{\text{dec}}$  and  $\mathcal{M}_{1+l}(X, -\phi_* B)_{\text{dec}}$  that differ by the involution

$$(1-10) \quad \begin{aligned} \phi_{\mathcal{M}}: \mathcal{M}_{1+l}(X, B)_{\text{dec}} \times_{\text{ev}_1} L &\rightarrow \mathcal{M}_{1+l}(X, -\phi_* B)_{\text{dec}} \times_{\text{ev}_1} L, \\ [u, z_0, (z_1, \epsilon_1), \dots, (z_l, \epsilon_l)] &\rightarrow [\phi \circ u \circ c, c(z_0), (c(z_1), -\epsilon_1), \\ &\dots, (c(z_l), -\epsilon_l)], \end{aligned}$$

where  $c: \mathbb{P}^1 \rightarrow \mathbb{P}^1$ , and  $c(z) = \bar{z}$ . Thus, the codimension-one boundary breaks into unions of components isomorphic to  $(\mathbb{Z}_2\text{-quotient})$

$$(1-11) \quad \mathcal{M}_{1+l}(X, B)_{\text{dec}} \times_{\text{ev}_1} L,$$

with  $B \in H_2(X)$  such that  $A = B - \phi_* B$ . In particular, if  $\text{Fix}(\phi) = \emptyset$ , there are no codimension-one boundary components, and we obtain the following result.

**Proposition 1.1** *If  $(X, \omega, \phi)$  is a symplectic manifold with a real structure  $\phi$  and  $\text{Fix}(\phi) = \emptyset$ , then  $\overline{\mathcal{M}}_l(X, A)^{\phi, \eta}$  has a topology with respect to which it is compact and Hausdorff. It has a Kuranishi structure without boundary of virtual real dimension*

$$d = c_1(A) + \dim_{\mathbb{C}} X - 3 + 2l.$$

This proposition and [Theorem 1.7](#) are proved in [Section 2](#) based on [\[7\]](#) by providing the adjustments to the real case.

**Remark 1.2** There are many symplectic manifolds  $(X, \omega)$  admitting anti-symplectic involutions without fixed points. For example, the involution  $\eta_{2m-1}$  on  $\mathbb{P}^{2m-1}$  defined in [\(1-13\)](#) has no fixed points. Furthermore, the symplectic cut of [\[5, Section 2\]](#) associates to each real symplectic manifold  $(X, \omega, \phi)$  with  $\text{Fix}(\phi) \cong S^n, \mathbb{R}\mathbb{P}^n$  a real symplectic manifold  $(X_+, \omega_+, \phi_+)$  with  $\text{Fix}(\phi_+) = \emptyset$  by “cutting out”  $\text{Fix}(\phi)$  and replacing it with a divisor.

In order to define invariants, we also need to consider the orientation problem, which has not been studied before. A *real structure* on a complex vector bundle  $E \rightarrow X$  is an anti-complex linear involution  $\phi_E: E \rightarrow E$  covering  $\phi$ . A *real square root* of a complex line bundle  $\mathcal{L} \rightarrow X$  with real structure  $\phi_{\mathcal{L}}$  is a complex line bundle  $\mathcal{L}' \rightarrow X$  with real structure  $\phi_{\mathcal{L}'}$  such that

$$(\mathcal{L}, \phi_{\mathcal{L}}) \cong (\mathcal{L}' \otimes \mathcal{L}', \phi_{\mathcal{L}'} \otimes \phi_{\mathcal{L}'}).$$

The involution  $\phi$  on  $X$  canonically lifts to an involution  $\phi_{K_X}$  on the complex line bundle  $K_X = \Lambda_{\mathbb{C}}^{\text{top}} T^* X$ .

**Theorem 1.3** *Let  $(X, \omega, \phi)$  be a symplectic manifold with a real structure. If the bundle  $(K_X, \phi_{K_X})$  admits a real square root, then all moduli spaces  $\mathcal{M}_l(X, A)^{\phi, \eta}$  are orientable. Moreover, a choice of real isomorphism  $(K_X, \phi_{K_X}) \cong (\mathcal{L} \otimes \mathcal{L}, \phi_{\mathcal{L}} \otimes \phi_{\mathcal{L}})$  canonically determines the orientation.*

This theorem is proved in Section 2.1. In an abuse of terminology, throughout the rest of this paper, by a real square root we mean a choice of complex line bundle  $\mathcal{L}$  on  $X$  with a real structure  $\phi_{\mathcal{L}}$ , together with a choice of real bundle isomorphism  $(K_X, \phi_{K_X}) \cong (\mathcal{L} \otimes \mathcal{L}, \phi_{\mathcal{L}} \otimes \phi_{\mathcal{L}})$ .

**Remark 1.4** If  $\mathcal{L} \rightarrow \mathbb{P}^1$  is a holomorphic line bundle with a complex anti-linear involution lift  $\tilde{\eta}$  of  $\eta: \mathbb{P}^1 \rightarrow \mathbb{P}^1$ , then for all  $k \in \mathbb{Z}$  there is a decomposition

$$H^0(\mathcal{L} \otimes (T\mathbb{P}^1)^{\otimes k}) = H^0_+(\mathcal{L} \otimes (T\mathbb{P}^1)^{\otimes k}) \oplus H^0_-(\mathcal{L} \otimes (T\mathbb{P}^1)^{\otimes k})$$

into the  $\pm 1$  eigenspaces of the endomorphism

$$H^0(\mathcal{L} \otimes (T\mathbb{P}^1)^{\otimes k}) \rightarrow H^0(\mathcal{L} \otimes (T\mathbb{P}^1)^{\otimes k}), \quad \xi \rightarrow \tilde{\eta} \circ \xi \circ \eta;$$

the two eigenspaces are interchanged by the action of  $i$ . Since the action of  $\eta$  on  $\mathbb{P}^1$  has no fixed points and  $H^0(\mathcal{L} \otimes (T\mathbb{P}^1)^{\otimes k})$  is nonzero for  $k$  large enough, the zeros of every element of  $H^0_+(\mathcal{L} \otimes (T\mathbb{P}^1)^{\otimes k})$  come in pairs and thus  $\deg \mathcal{L}$  is even. Hence, if  $\mathcal{M}_l(X, A)^{\phi, \eta}$  is non-empty, then  $2 \mid K_X(A)$ . Thus, if  $K_X$  has a real square root, then  $4 \mid K_X(A)$  whenever  $\mathcal{M}_l(X, A)^{\phi, \eta}$  is non-empty. The last requirement can not be removed. For example, if  $(X, \phi) = (\mathbb{P}^{4m+1}, \tau_{4m+1})$ , then  $K_X$  has a real square root but  $4 \nmid K_X(\ell)$ , where  $\ell \in H_2(\mathbb{P}^{4m+1})$  is the homology class of the complex projective line.

If  $(X, \omega, \phi)$  is a Kähler manifold with an anti-holomorphic anti-symplectic involution  $\phi$  and  $\mathcal{L}' \rightarrow X$  is a holomorphic line bundle, then  $\mathcal{L}' \otimes \overline{\phi^* \mathcal{L}'}$  is a holomorphic line bundle with a real structure. Hence, if  $\mathcal{L} \rightarrow X$  is a holomorphic line bundle,  $\mathcal{L} = \mathcal{L}' \otimes \mathcal{L}'$ , and  $\overline{\phi^* \mathcal{L}'} \cong \mathcal{L}'$ , then  $\mathcal{L}$  admits a real structure. Suppose  $4 \mid K_X$ , ie there is a divisor  $D$  such that  $K_X = [4D]$ . Since  $\overline{\phi^* K_X} = K_X$ , it follows that  $[D - \overline{\phi_* D}]$  is torsion.

**Proposition 1.5** *Let  $(X, \omega, \phi)$  be a symplectic manifold with a real structure. If either*

- (1)  $H^1(X; \mathbb{R}) = 0$  and  $c_1(TX) = 4\alpha$  for some  $\alpha \in H^2(X; \mathbb{Z})$  such that  $\alpha = -\phi^* \alpha$ , or
- (2)  $X$  is compact Kähler,  $\phi$  is anti-holomorphic, and  $K_X = [4D]$  for some divisor  $D$  on  $X$  such that  $[D] = [\phi_* D]$ ,

then  $(K_X, \phi_{K_X})$  admits a real square root.

We prove this proposition in Section 2.2. An example with  $\overline{\mathcal{M}}_l(X, A)^{\phi, \eta}$  non-orientable is described in Section 2.2. In the simply connected case, [15, Example 2.6] provides an example where  $\overline{\mathcal{M}}_l(X, A)^{\phi, \eta}$  is not orientable.

### 1.3 Real GW invariants

If  $L = \text{Fix}(\phi) \neq \emptyset$  and sphere bubbling is present ( $k = 0$  and  $A = B - \phi_* B$  for some  $B \in H_2(X)$ ), we cannot define either the  $\tau$ -invariants or the  $\eta$ -invariants separately. It is noted in [23, Section 1.5] that in order to get well-defined invariants in these cases, the moduli spaces  $\overline{\mathcal{M}}_l(X, A)^{\phi, \tau}$  and  $\overline{\mathcal{M}}_l(X, A)^{\phi, \eta}$  need to be combined somehow. This is achieved in this paper.

As described in Sections 1.1 and 1.2, the codimension-one boundary corresponding to sphere bubbling in  $\overline{\mathcal{M}}_l(X, A)^{\phi, \tau}$  is the same as the codimension-one boundary of  $\overline{\mathcal{M}}_l(X, A)^{\phi, \eta}$ . By attaching  $\overline{\mathcal{M}}_l(X, A)^{\phi, \tau}$  and  $\overline{\mathcal{M}}_l(X, A)^{\phi, \eta}$  along their common boundary (ie considering all genus-0 real curves representing class  $A$ ), we obtain a moduli space  $\overline{\mathcal{M}}_l(X, A)^\phi$  whose only possible codimension-one boundary corresponds to disk bubbling. We then use the results of [26] and [10] and observe that the codimension-one strata of  $\overline{\mathcal{M}}_l(X, A)^\phi$  corresponding to disk bubbling are in fact hypersurfaces and therefore  $\overline{\mathcal{M}}_l(X, A)^\phi$  (virtually) does not have any codimension-one boundary.

If  $K_X$  has a real square root,  $L$  is spin, and  $4 \mid c_1(TX)$ , the moduli spaces  $\overline{\mathcal{M}}_l(X, A)^{\phi, \eta}$  and  $\overline{\mathcal{M}}_l(X, A)^{\phi, \tau}$  are oriented. By studying the orientation along the common boundary we show that the union is also orientable.

If  $K_X$  admits a real square root,  $(\mathcal{L}, \phi_{\mathcal{L}})$ , as above,

$$(1-12) \quad \Lambda_{\mathbb{R}}^{\text{top}} TL = \mathcal{L}^{\phi_{\mathcal{L}}} \otimes \mathcal{L}^{\phi_{\mathcal{L}}},$$

thus the Lagrangian  $L$  is orientable and the induced orientation is independent of the choice of real square root. A spin structure on  $L$  is a trivialization  $L[2] \times \mathbb{R}^{\dim_{\mathbb{R}} L}$  of  $TL$  over the 2-dimensional skeleton  $L[2]$  of a triangulation of  $L$ . Given such a trivialization, by taking its determinant we obtain a trivialization of  $\Lambda_{\mathbb{R}}^{\text{top}} TL$  over  $L[2]$ . Therefore, if we know that  $L$  is orientable, there is a unique choice of orientation on  $L$  which is equal to the one induced by the spin structure on  $(\Lambda_{\mathbb{R}}^{\text{top}} TL)|_{L[2]}$  as above.

**Definition 1.6** We say that a given real square root for  $K_X$  and a given spin structure on  $L$  are *compatible* if their induced orientations on  $L$  as above are the reverse of each other.

In the situation of Definition 1.6, we would orient  $L$  with the induced orientation of the spin structure.

**Theorem 1.7** *If  $(X, \omega, \phi)$  is a symplectic manifold with a real structure  $\phi$ , then  $\overline{\mathcal{M}}_l(X, A)^\phi$  has a topology with respect to which it is compact and Hausdorff. It has a Kuranishi structure without boundary of virtual real dimension*

$$d = c_1(A) + \dim_{\mathbb{C}}(X) - 3 + 2l.$$

*If in addition  $4 \mid c_1(TX)$ , then a compatible pair of a real square root for  $K_X$  and a spin structure on  $L$  determines an orientation on  $\overline{\mathcal{M}}_l(X, A)^\phi$ , hence a virtual fundamental class  $[\overline{\mathcal{M}}_l(X, A)^\phi]^{\text{vir}}$ .*

We prove the first part of this theorem in [Section 2.3](#) and the second part in [Section 3](#). We call the resulting invariants *real GW invariants*. The moduli space  $\overline{\mathcal{M}}_l(X, A)^\phi$  provides a framework to define real GW invariants without any restriction on the topology of the image or the involution. If  $\mathcal{M}_l(X, A)^{\phi, \eta}$  or  $\mathcal{M}_l(X, A)^{\phi, \tau}$  is empty, the real invariants reduce to the disk invariants or  $\eta$ -invariants above. If  $\overline{\mathcal{M}}_l(X, A)^\phi$  is not orientable, we may still consider invariants with twisted coefficients (coefficients in the orientation bundle). For example, [\[11\]](#) shows that for some cases where both the Deligne–Mumford space and  $\mathcal{M}_{k,l}(X, A)^{\phi, \tau}$  are not orientable, invariants with twisted coefficients pulled back from the Deligne–Mumford moduli space exist. In our case, the Deligne–Mumford space is orientable; however, one may still find non-orientable geometric cycles within  $\overline{\mathcal{M}}_l(X, A)^\phi$  that provide the necessary twisting coefficients.

For example, if  $\text{Fix}(\phi) = L \cong S^3$ ,  $X$  is a real symplectic Calabi–Yau threefold,<sup>3</sup> and  $A \in H_2(X)$  is non-trivial, then  $\overline{\mathcal{M}}(X, A)^\phi$  is (virtually) zero-dimensional and orientable. In fact,  $TL$  is trivializable (hence it is spin), by [Proposition 1.5](#) every real symplectic Calabi–Yau threefold admits a real square root, and by [Theorem 1.7](#) we should choose the one which is compatible with the chosen spin structure on  $L$ . Therefore, the orientation of  $\overline{\mathcal{M}}(X, A)^\phi$  depends on the choice of spin structure on  $L$ . In this case we cannot define disk invariants or  $\eta$ -invariants separately. We define genus-0 real GW invariants of  $(X, \phi)$  by

$$N_A^\phi(X) = \#[\mathcal{M}(X, A)^\phi]^{\text{vir}} \in \mathbb{Q}.$$

By applying the degeneration technique of [\[5\]](#), we prove the following theorem in [Section 4](#). It implies that for some  $J$ , the only contribution to  $N_A^\phi(X)$  is from  $\eta$ -curves. Hence, it demonstrates that considering only  $J$ -holomorphic disks does not suffice to get non-trivial invariants in this set of examples.

<sup>3</sup>Following [\[16, Section 14.2\]](#), by a “symplectic Calabi–Yau” we mean a connected symplectic manifold of vanishing first Betti number.

**Theorem 1.8** *Let  $(X, \omega, \phi)$  be a real symplectic Calabi–Yau threefold. If  $\text{Fix}(\phi) \cong S^3$ , then for every nonzero  $A \in H_2(X, \mathbb{Z})$  there exists an almost complex structure  $J \in \mathcal{J}_{\omega, \phi}$  such that the  $\tau$ -moduli space  $\overline{\mathcal{M}}(X, A, J)^{\phi, \tau}$  is empty.*

In fact, in [5] we show that there is a natural Hamiltonian  $S^1$ -action on a neighborhood of  $L$  in  $X$ . Applying the symplectic cut and symplectic sum procedures to this action, we build a symplectic fibration  $\pi: \mathcal{X} \rightarrow \Delta$  over a disk in  $\mathbb{C}$ , where the smooth fibers are symplectomorphic to  $X$  and the central fiber is a normal crossing,  $X_0 = X_- \cup_D X_+$ . We get an induced anti-symplectic involution on  $\mathcal{X}$  which leaves  $X_{\pm}$  invariant and which restricted to  $X_+$  has no fixed point. Moreover, we get a canonical inclusion of  $H_2(X)$  in  $H_2(X_+)$ . Via the symplectic sum procedure, every almost complex structure  $J_0$  on  $X_0$  — ie union of two almost complex structures  $J_+$  and  $J_-$  on  $X_+$  and  $X_-$ , respectively, where both preserve  $D$  — extends to an almost complex structure  $J$  on  $\mathcal{X}$  which is compatible with the fibration and the symplectic structure. We can think of  $J_{\lambda} = J|_{X_{\lambda}}$ ,  $X_{\lambda} = \pi^{-1}(\lambda)$ , as a family of almost complex structures on  $X$  which converge to a singular almost complex structure. Then, for any  $E > 0$ , we show that there exist  $J_0$  and  $0 < \lambda_0$  such that  $\overline{\mathcal{M}}(X, A, J_{\lambda})^{\phi, \tau}$  is empty whenever  $0 < \lambda < \lambda_0$  and  $\omega(A) < E$ .

### 1.4 Projective spaces (joint with A Zinger)

We now discuss in some detail the case  $X = \mathbb{P}^{2m-1}$ . The involutions  $\tau, \eta: \mathbb{P}^1 \rightarrow \mathbb{P}^1$  are special cases of the anti-holomorphic involutions

$$\tau_{2m-1}, \eta_{2m-1}: \mathbb{P}^{2m-1} \rightarrow \mathbb{P}^{2m-1},$$

where

$$(1-13) \quad \begin{aligned} \tau_{2m-1}([Z_1, Z_2, \dots, Z_{2m-1}, Z_{2m}]) &= ([\bar{Z}_2, \bar{Z}_1, \dots, \bar{Z}_{2m}, \bar{Z}_{2m-1}]), \\ \eta_{2m-1}([Z_1, Z_2, \dots, Z_{2m-1}, Z_{2m}]) &= ([-\bar{Z}_2, \bar{Z}_1, \dots, -\bar{Z}_{2m}, \bar{Z}_{2m-1}]). \end{aligned}$$

The fixed locus of  $\tau_{2m-1}$  is the real projective space  $\mathbb{R}\mathbb{P}^{2m-1}$ , while the fixed locus of  $\eta_{2m-1}$  is empty. The latter implies

$$(1-14) \quad \overline{\mathcal{M}}_l(\mathbb{P}^{2m-1}, d)^{\eta_{2m-1}, \tau} = \emptyset.$$

The next observation is established in Section 5.1.

**Lemma 1.9** *Suppose  $d, m \in \mathbb{Z}^+$  and  $l \in \mathbb{Z}^{\geq 0}$ . Then*

$$(1-15) \quad \begin{aligned} \overline{\mathcal{M}}_l(\mathbb{P}^{2m-1}, d)^{\tau_{2m-1}, \eta} &= \emptyset \quad \text{if } d \notin 2\mathbb{Z}, \\ \overline{\mathcal{M}}_l(\mathbb{P}^{2m-1}, d)^{\eta_{2m-1}, \eta} &= \emptyset \quad \text{if } d \in 2\mathbb{Z}. \end{aligned}$$

Since  $K_{\mathbb{P}^{4m-1}} = [-4m\mathbb{P}^{4m-2}]$  and  $\mathbb{R}\mathbb{P}^{4m-1}$  is spin, it follows by [Proposition 1.5](#) and [Theorem 1.7](#) that  $\overline{\mathcal{M}}_l(\mathbb{P}^{4m-1}, d)^\phi$  is orientable for  $\phi = \tau_{4m-1}, \eta_{4m-1}$ . In fact, Euler’s sequence of holomorphic vector bundles

$$(1-16) \quad 0 \longrightarrow \mathbb{P}^{n-1} \times \mathbb{C} \xrightarrow{f} n\mathcal{O}_{\mathbb{P}^{n-1}}(1) \xrightarrow{h} T\mathbb{P}^{n-1} \longrightarrow 0$$

over  $\mathbb{P}^{n-1}$  provides a canonical compatible pair of real square root for  $K_{\mathbb{P}^{n-1}}$  and spin structure for  $\mathbb{R}\mathbb{P}^{n-1}$ , whenever  $n = 4m$ ; see [Section 5.5](#). For  $l, t_1, \dots, t_l \in \mathbb{Z}^+$ , we can then define

$$(1-17) \quad N_d^\phi(t_1, \dots, t_l) = \int_{\overline{\mathcal{M}}_l(\mathbb{P}^{4m-1}, d)^\phi} \text{ev}_1^* H^{t_1} \wedge \dots \wedge \text{ev}_l^* H^{t_l},$$

where  $H \in H^2(\mathbb{P}^{4m-1}, \mathbb{Z})$  is the hyperplane class.

**Theorem 1.10** *For all  $m, d, l, t_1, \dots, t_l \in \mathbb{Z}^+$ ,*

$$(1-18) \quad N_d^{\eta_{4m-1}}(t_1, \dots, t_l) = -N_d^{\tau_{4m-1}}(t_1, \dots, t_l).$$

*Furthermore, these invariants vanish if  $d \in 2\mathbb{Z}$  or  $t_k \in 2\mathbb{Z}$  for some  $k$ .*

We prove this theorem in [Section 6.3](#) using the equivariant localization theorem of [\[2\]](#). While  $(K_{\mathbb{P}^{4m+1}}, \eta_{4m+1})$  does not admit a real square root and  $\text{Fix}(\tau_{4m+1})$  does not admit a spin structure, we show that [Theorem 1.10](#) and its proof extend to  $\mathbb{P}^{4m+1}$  with the orientations on the moduli spaces explicitly constructed in [Section 5.2](#); see [Remark 6.9](#).

If  $d$  is odd, then

$$(1-19) \quad \begin{aligned} \overline{\mathcal{M}}_l(\mathbb{P}^{4m-1}, d)^{\tau_{4m-1}} &= \overline{\mathcal{M}}_l(\mathbb{P}^{4m-1}, d)^{\tau_{4m-1}, \tau}, \\ \overline{\mathcal{M}}_l(\mathbb{P}^{4m-1}, d)^{\eta_{4m-1}} &= -\overline{\mathcal{M}}_l(\mathbb{P}^{4m-1}, d)^{\eta_{4m-1}, \eta}, \end{aligned}$$

by the first statement in [Equations \(1-15\)](#) and by [\(1-14\)](#), respectively. The sign in [\(1-18\)](#) and [\(1-19\)](#) occurs because we reverse the orientation of  $\overline{\mathcal{M}}_l(X, A)^\phi, \eta$  when gluing it to  $\overline{\mathcal{M}}_l(X, A)^\phi, \tau$  in order to make the glued moduli space oriented. In fact, the canonical square root and spin structure described in [Section 5.5](#) give the same orientation on  $\text{Fix}(\tau_{4m-1})$ . Therefore they are not compatible in the sense of [Definition 1.6](#) and one of the orientations has to be flipped. As described in [Sections 6.1](#) and [6.2](#), the torus fixed loci in

$$\overline{\mathcal{M}}_l(\mathbb{P}^{4m-1}, d)^{\tau_{4m-1}, \tau} \quad \text{and} \quad \overline{\mathcal{M}}_l(\mathbb{P}^{4m-1}, d)^{\eta_{4m-1}, \eta},$$

their normal bundles, and the corresponding restrictions of the cohomology classes being integrated are the same; this confirms [\(1-18\)](#) for  $d$  odd.

If  $d$  is even,  $N_d^{\eta_{4m-1}}(t_1, \dots, t_l) = 0$  by (1-14) and the second statement in (1-15). On the other hand, in this case the fixed loci in

$$(1-20) \quad \overline{\mathcal{M}}_l(\mathbb{P}^{4m-1}, d)^{\tau_{4m-1}, \tau} \quad \text{and} \quad \overline{\mathcal{M}}_l(\mathbb{P}^{4m-1}, d)^{\tau_{4m-1}, \eta},$$

their normal bundles, and the corresponding restrictions of the cohomology classes being integrated are the same. Since the canonical orientation on the second space in (1-20) gets flipped when it is glued to the first, the contributions to  $N_d^{\tau_{4m-1}}(t_1, \dots, t_l)$  from the fixed loci cancel in pairs. This confirms (1-18) for  $d$  even and establishes Theorem 1.10 whenever  $2 \mid d$ .

Whether  $d$  is odd or even, if  $2 \mid t_k$ , the contributions to  $N_d^\phi(t_1, \dots, t_l)$  from the fixed loci in  $\overline{\mathcal{M}}_l(\mathbb{P}^{4m-1}, d)^{\phi, c}$ , for  $c = \tau, \eta$  fixed, also cancel in pairs. This establishes the remaining vanishing statement of Theorem 1.10.

In Example 6.3, we show that

$$(1-21) \quad N_1^{\tau_{4m-1}}(t_1, \dots, t_l) = 1$$

whenever

$$(1-22) \quad t_1, \dots, t_l \in \mathbb{Z}^+ - 2\mathbb{Z} \quad \text{and} \quad t_1 + \dots + t_l = 4m - 2 + l.$$

In particular, the signed number of real lines passing through a single non-real point in  $\mathbb{P}^{4m-1}$  with the standard conjugation is  $+1$  with respect to the canonical spin structure of Section 5.5. In Example 6.4, we show that

$$(1-23) \quad N_3^{\tau_{4m-1}}(t_1, t_2, 4m - 1) = -1$$

whenever

$$(1-24) \quad t_1, t_2 \in \mathbb{Z}^+ - 2\mathbb{Z}, \quad t_1, t_2 \geq 3, \quad \text{and} \quad t_1 + t_2 = 4m + 2.$$

A similar computation shows that

$$N_5^{\tau_3}(3, 3, 3, 3, 3) = 5.$$

**Remark 1.11** All moduli spaces  $\overline{\mathcal{M}}_l(\mathbb{P}^{2m-1}, d)^{\phi, c}$  are given explicit orientations in Section 5.2. In the  $c = \tau$  case, the orientation turns out to come from a relative spin structure on  $\mathbb{R}\mathbb{P}^{2m-1}$  and Proposition 3.1 still applies. We show directly that so does Proposition 3.3; see Proposition 5.5. Thus, we can also define the numbers  $N_d^\phi(t_1, \dots, t_l)$  as in (1-17) using the algebraic orientations of Section 5.2. They can be computed using the equivariant localization data of Sections 6.1 and 6.2 with only minor changes; see Remark 6.9. The conclusions of Theorem 1.10 still apply. The conclusions of (1-21) and (1-23) apply to the algebraic orientations on the moduli

spaces for  $\mathbb{P}^{4m+1}$ , which agree with the orientations by a canonical relative spin structure for  $d$  odd; see Remarks 6.5, 6.6, and 6.9.

## 1.5 Outline and acknowledgments

In Section 2, we investigate the boundary and orientation problems for moduli spaces of real curves without fixed points and define  $\eta$ -invariants. In Section 3, we combine the orientation problem of  $\overline{\mathcal{M}}(X, A)^{\phi, \tau}$  and  $\overline{\mathcal{M}}(X, A)^{\phi, \eta}$  and finish the proof of Theorem 1.7. Theorem 1.8 is proved in Section 4. In Section 5, we study the moduli spaces of real maps  $\mathbb{P}^1 \rightarrow \mathbb{P}^{2m-1}$  in detail. We provide equivariant localization data for them and establish Theorem 1.10, (1-21), and (1-23) in Section 6.

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## Part I Construction of genus-zero real GW invariants

### 2 Moduli spaces of real curves without fixed points

In this section, we study the moduli space of real curves of genus 0 without real points. As before, let

$$(2-1) \quad \eta, \tau: \mathbb{P}^1 \rightarrow \mathbb{P}^1, \quad \eta(z) = \frac{-1}{\bar{z}}, \quad \tau(z) = \frac{1}{\bar{z}}.$$

Denote by  $G_\eta$  the set of Möbius transformations (automorphisms of  $\mathbb{P}^1$ ),

$$\rho(z) = \frac{az+b}{cz+d},$$

commuting with  $\eta$ . It acts freely and transitively on the sphere bundle  $S(T\mathbb{P}^1)$  of  $T\mathbb{P}^1$ . Since  $S(T\mathbb{P}^1) \cong \mathbb{R}\mathbb{P}^3$ ,  $G_\eta$  is a compact orientable Lie group. Furthermore, the induced orientation on  $S(T\mathbb{P}^1)$  as the boundary of the unit disk bundle  $D(T\mathbb{P}^1)$  with its complex orientation, with the convention as in (3-1), induces a canonical orientation on  $G_\eta$ . For this orientation on  $G_\eta$ , an ordered set of vectors  $v_1, v_2, v_3 \in T_{\text{id}}G_\eta$  is given by

$$v_1 + iv_2 = \frac{d}{da} \Big|_{a=0} \frac{z+a}{1-\bar{a}z}, \quad v_3 = \frac{d}{d\theta} \Big|_{\theta=0} e^{i\theta} z.$$

Similarly, let  $G_\tau$  be the set of Möbius transformations commuting with  $\tau$ . The automorphism group  $G_\tau$  has two connected components:  $G_\tau^0$  containing the identity, and  $\rho \cdot G_\tau^0$ , where  $\rho(z) = z^{-1}$ . The former is the automorphism group of a disk, and the latter switches the two disk components of  $\mathbb{P}^1 \setminus \text{Fix}(\tau)$ . Fixing one of the disk components  $D$  as the reference disk,  $G_\tau^0$  acts freely and transitively on the sphere bundle  $S(TD)$  of  $TD$ . Since  $S(TD) \cong D \times S^1$ ,  $G_\tau^0$  inherits an induced orientation. Let  $D$  be the choice containing  $z = 0 \in \mathbb{P}^1$ , then  $u_1, u_2, u_3 \in T_{\text{id}}G_\tau^0$ , where

$$u_1 + iu_2 = \left. \frac{d}{da} \right|_{a=0} \frac{z+a}{1+\bar{a}z}, \quad u_3 = \left. \frac{d}{d\theta} \right|_{\theta=0} e^{i\theta} z,$$

is an oriented basis. We use these conventions in orienting the corresponding moduli spaces and in the proofs of Theorems 1.3 and 1.7.

The involution  $\phi$  on  $X$  induces an involution  $\tilde{\phi}$  on the moduli space  $\mathcal{M}_{2l}(X, A)$  of all degree  $A$   $2l$ -marked somewhere injective  $J$ -holomorphic spheres:

$$\tilde{\phi}([u, z_1, z_2, \dots, z_{2l-1}, z_{2l}]) = [\phi \circ u \circ \eta, \eta(z_2), \eta(z_1), \dots, \eta(z_{2n}), \eta(z_{2l-1})].$$

For every  $J$ -holomorphic sphere  $u: \mathbb{P}^1 \rightarrow X$  in the fixed point locus of  $\tilde{\phi}$ , there exists at most one anti-holomorphic involution  $\eta_u$  such that  $\text{Fix}(\eta_u) = \emptyset$  and  $u = \phi \circ u \circ \eta_u$ ; therefore, the fixed point locus of  $\tilde{\phi}$  contains  $\mathcal{M}_l(X, A)^{\phi, \eta}$ . Intuitively,  $\mathcal{M}_l(X, A)^{\phi, \eta}$  has half the dimension of  $\mathcal{M}_{2l}(X, A)$ .

**Remark 2.1** If  $X \cong \mathbb{P}^1$ ,  $\phi = \tau$ ,  $A = [1] \in H_2(\mathbb{P}^1) \cong \mathbb{Z}$ , and  $l = 0$ , then  $\mathcal{M}_0(\mathbb{P}^1, [1])$  is just one point on which  $\tilde{\phi}$  acts as the identity while  $\mathcal{M}_0(\mathbb{P}^1, [1])^{\tau, \eta}$  is empty; therefore,  $\text{Fix}(\tilde{\phi}) \neq \mathcal{M}_0(\mathbb{P}^1, [1])^{\tau, \eta}$ .

Let  $\overline{\mathcal{M}}_l(X, A)^{\phi, \eta}$  denote the stable map compactification of  $\mathcal{M}_l(X, A)^{\phi, \eta}$ . This is a closed subset of  $\overline{\mathcal{M}}_{2l}(X, A)$  consisting of maps  $[u, \Sigma, z_1, \dots, z_{2l}]$  with the property that there exists an anti-holomorphic involution  $\eta_u$  on the domain  $\Sigma$  of  $u$  such that

$$|\text{Fix}(\eta_u)| \leq 1, \quad u = \phi \circ u \circ \eta_u, \quad \eta_u(z_2) = z_1, \dots, \eta_u(z_{2l}) = z_{2l-1}.$$

Thus, there are two possible cases for  $\eta_u: \Sigma \rightarrow \Sigma$ :

- (1)  $\Sigma = \Sigma_0 \cup \bigcup_i (\Sigma_i \sqcup \Sigma_{\bar{i}})$ ,  $\eta_u: \Sigma_0 \rightarrow \Sigma_0$  is an anti-holomorphic involution without fixed points, and  $\eta_u: \Sigma_i \rightarrow \Sigma_{\bar{i}}$  is an anti-holomorphic map with inverse  $\eta_u: \Sigma_{\bar{i}} \rightarrow \Sigma_i$ .
- (2)  $\Sigma = \bigcup_i (\Sigma_i \cup \Sigma_{\bar{i}})$ , and  $\eta_u: \Sigma_i \rightarrow \Sigma_{\bar{i}}$  is an anti-holomorphic map with inverse  $\eta_u: \Sigma_{\bar{i}} \rightarrow \Sigma_i$ .

In the second case,  $\eta_u$  fixes a node of  $\Sigma$ , which must be mapped by  $u$  to  $\text{Fix}(\phi)$ ;  $\overline{\mathcal{M}}_l(X, A)$  contains no such elements if  $\text{Fix}(\phi) = \emptyset$ .

The virtual codimension of a boundary stratum of  $\overline{\mathcal{M}}_l(X, A)^{\phi, \eta}$  is the number of nodes in the domains of the elements of the stratum. If  $\text{Fix}(\phi) = \emptyset$ , then  $\overline{\mathcal{M}}_l(X, A)^{\phi, \eta}$  contains no elements of the second type above, and so its boundary strata have codimension at least two. Thus,  $\overline{\mathcal{M}}_l(X, A)^{\phi, \eta}$  is a moduli space without (virtual) codimension-one boundary if  $\text{Fix}(\phi) = \emptyset$ , and there is a hope of defining GW-type invariants directly from  $\overline{\mathcal{M}}_l(X, A)^{\phi, \eta}$ .

We study the orientation problem for  $\overline{\mathcal{M}}_l(X, A)^{\phi, \eta}$  in Section 2.1 and describe a Kuranishi structure in Section 2.3.

### 2.1 Orientation

Let  $c = \tau, \eta$ . In the orientation problem for  $\mathcal{M}_l(X, A)^{\phi, c}$ , it is sufficient to consider the case  $l = 0$  because any pair of marked points  $(z_i, \bar{z}_i)$  increases the tangent space by  $T_{z_i} \mathbb{P}^1$ , which has a canonical orientation. Denote by  $\mathcal{P}_0(X, A)^{\phi, c}$  the space of (parametrized) degree  $A$   $J$ -holomorphic maps  $u: \mathbb{P}^1 \rightarrow X$  such that  $\phi \circ u = u \circ c$ . The group  $G_c$  acts on this space by

$$G_c \times \mathcal{P}_0(X, A)^{\phi, c} \longrightarrow \mathcal{P}_0(X, A)^{\phi, c}, \quad g \cdot u = u \circ g^{-1}.$$

By definition,

$$\mathcal{M}_0(X, A)^{\phi, c} = \mathcal{P}_0(X, A)^{\phi, c} / G_c.$$

For example,  $\mathcal{P}_0(\mathbb{P}^1, 1)^{c, c} = G_c$  and  $\mathcal{M}_0(\mathbb{P}^1, 1)^{c, c}$  consists of a single point. The next observation is used in Section 5.

**Lemma 2.2** *Let  $c = \tau, \eta$ . If  $\mathcal{P}_0(\mathbb{P}^1, 1)^{c, c} = G_c$  is oriented with the canonical orientation of  $G_c$  as at the beginning of Section 2, then  $\mathcal{M}_0(\mathbb{P}^1, 1)^{c, c}$  is a single negative point.*

**Proof** The group action in this case is given by

$$G_c \times G_c \longrightarrow G_c, \quad g \cdot h \longrightarrow h \circ g^{-1}.$$

The claim is thus equivalent to the statement that the differential of the map

$$G_c \longrightarrow G_c, \quad g \longrightarrow g^{-1},$$

is orientation-reversing at the identity. This differential is the multiplication by  $-1$ . Since the dimension of  $G_c$  is odd, it is orientation-reversing.  $\square$

The orientation problem for  $\mathcal{M}_l(X, A)^{\phi, \tau}$  has a long history. Below we focus on the orientation problem for  $\mathcal{M}_l(X, A)^{\phi, \eta}$ . In contrast to the group  $G_\tau$ , the group  $G_\eta$  is connected. In order to put an orientation on  $\mathcal{M}_0(X, A)^{\phi, \eta}$ , it is thus enough to orient  $\mathcal{P}_0(X, A)^{\phi, \eta}$ . For this, we need to orient the determinant of the index bundle

$$\det_{\mathbb{R}}(E) \equiv \Lambda^{\text{top}} H^0(E)_{\mathbb{R}} \otimes \Lambda^{\text{top}}(H^1(E)_{\mathbb{R}})^*,$$

where  $E = u^*TX$  and  $H^0(E)_{\mathbb{R}}$  and  $H^1(E)_{\mathbb{R}}$  are the real elements of the kernel and cokernel of a Cauchy–Riemann operator on  $E$ . Recall that  $E$  admits an anti-complex linear involution  $T_\phi$ ; see the diagram on the left in (2-11).

**Definition 2.3** Let  $E \rightarrow \mathbb{P}^1$  be a complex vector bundle with a real structure  $\phi$  covering  $\eta$ . We call a trivialization of  $E$  over  $\mathbb{C}^* \subset \mathbb{P}^1$ ,

$$\begin{array}{ccc} E & \xrightarrow{\psi} & \mathbb{C}^* \times \mathbb{C}^m \\ \pi \downarrow & & \pi \downarrow \\ \mathbb{C}^* & \xrightarrow{\text{id}} & \mathbb{C}^*, \end{array}$$

admissible if the involution  $\phi_\psi(z) = \psi_{\eta(z)} \circ \phi \circ \psi_z^{-1}$  coincides with the standard involution  $C: (z, v) \rightarrow (\eta(z), \bar{v})$ . Admissible trivializations  $\psi$  and  $\psi'$  of  $(E, \phi)$  over  $\mathbb{C}^*$  are called *homotopic* if there is a family of such trivializations  $\psi_t$ ,  $t \in [0, 1]$ , such that  $\psi_0 = \psi$  and  $\psi_1 = \psi'$ .

**Lemma 2.4** For every complex vector bundle  $E \rightarrow \mathbb{P}^1$  with a real structure  $\phi$  covering  $\eta$ , there are two homotopy classes of admissible trivializations over  $\mathbb{C}^* \subset \mathbb{P}^1$ . Moreover, for every admissible trivialization  $\psi$  and every map

$$R_{(\epsilon_i)}: \mathbb{C}^* \times \mathbb{C}^m \rightarrow \mathbb{C}^* \times \mathbb{C}^m, \quad R_{(\epsilon_i)}(z, v) = (\epsilon_i v_1, \dots, \epsilon_m v_m), \quad \epsilon_i = \pm 1,$$

$R_{(\epsilon_i)} \circ \psi$  is another admissible trivialization which is in the same homotopy class as  $\psi$  if and only if  $\prod \epsilon_i = 1$ .

**Proof** (1) As a complex vector bundle,  $E$  is trivial over  $\mathbb{C}^*$ . Therefore, we can fix a trivialization  $\psi: E \rightarrow \mathbb{C}^* \times \mathbb{C}^m$ . The involution  $\phi$  then corresponds to a map

$$\phi_\psi: \mathbb{C}^* \rightarrow \text{GL}(2m, \mathbb{R})$$

whose image lies in the set of anti-complex linear matrices. In order to obtain an admissible trivialization, we find a change of trivialization matrix

$$(2-2) \quad A: \mathbb{C}^* \rightarrow \text{GL}(m, \mathbb{C}) \quad \text{such that} \quad A_{\eta(z)} \circ \phi_\psi \circ A_z^{-1} = C.$$

Let  $B_\psi(z) = C \circ \phi_\psi(z) \in GL(m, \mathbb{C})$ . Since  $\phi_\psi$  is an involution,  $\overline{B_\psi(\eta(z))} B_\psi(z) = \mathbb{I}_m$ . Composing on the left by  $C$ , we can rewrite (2-2) as

$$(2-3) \quad \overline{A_{\eta(z)}} \circ B_\psi \circ A_z^{-1} = \mathbb{I}_m.$$

Let  $\alpha: \mathbb{H} \setminus \{0\} \rightarrow GL(m, \mathbb{C})$ , where  $\mathbb{H}$  is the closed upper half-plane, be a family of matrices such that

$$\alpha(r) = \begin{cases} \mathbb{I}_m & \text{if } r \in \mathbb{R}^+, \\ \overline{B_\psi(\eta(r))} & \text{if } r \in \mathbb{R}^-. \end{cases}$$

Next define

$$A(z) = \begin{cases} \alpha(z) B_\psi(z) & \text{if } z \in \mathbb{H} \setminus \{0\}, \\ \overline{\alpha(\eta(z))} & \text{if } z \in \overline{\mathbb{H}} \setminus \{0\}. \end{cases}$$

It is easy to check that  $A$  is continuous and satisfies (2-3).

(2) If  $\psi$  is an admissible trivialization, any other admissible trivialization is of the form  $\rho \circ \psi$ , where

$$(2-4) \quad \rho: \mathbb{C}^* \rightarrow GL(m, \mathbb{C}) \quad \text{and} \quad \overline{\rho(\eta(z))} \rho(z)^{-1} = \mathbb{I}_m.$$

The question is whether  $\rho$  is homotopic to identity through a family  $\rho_t$  of matrices satisfying the same equation as (2-4).

Let

$$G = \{\gamma: [0, 1] \rightarrow GL(m, \mathbb{C}) \mid \gamma(0) = \overline{\gamma(1)}\}, \quad G_0 = \{\gamma \in G : \gamma(0) = \mathbb{I}_m\};$$

the set  $G$  is a group under pointwise multiplication, while  $G_0$  is its subgroup. The restriction of  $\rho$  to the upper semi-circle  $\{z = e^{i\pi t} \mid t \in [0, 1]\}$  determines an element of  $G$ . In fact, the space of  $\rho$  satisfying (2-4) is homotopic to  $G$ . The map

$$(2-5) \quad \pi: G \rightarrow GL(m, \mathbb{C}), \quad \gamma \rightarrow \gamma(0),$$

is a fiber bundle with fiber  $G_0$ . From the associated long exact sequence

$$\cdots \rightarrow \pi_1(GL(m, \mathbb{C})) \rightarrow \pi_0(G_0) \rightarrow \pi_0(G) \rightarrow \pi_0(GL(m, \mathbb{C})) \rightarrow 0,$$

we conclude that  $\pi_0(G) = \mathbb{Z}/2\mathbb{Z}$ . In fact, the connecting homomorphism

$$\pi_1(GL(m, \mathbb{C})) \rightarrow \pi_0(G_0) \cong \pi_1(GL(m, \mathbb{C})) \cong \mathbb{Z}$$

is multiplication by 2 for the following reason.

We start from the loop  $\gamma: [0, 1] \rightarrow GL(m, \mathbb{C})$  given by

$$\gamma(s) = \begin{pmatrix} e^{2\pi is} & 0_{1 \times (m-1)} \\ 0_{(m-1) \times 1} & \text{Id}_{(m-1) \times (m-1)} \end{pmatrix}.$$

This loop generates  $\pi_1(\mathrm{GL}(m, \mathbb{C}))$ . With the projection map  $\pi$  as in (2-5), the restricted  $S^1$ -family  $\pi^{-1}(\gamma) \subset G$  is a non-trivial  $G_0$ -bundle. For every  $s \in [0, 1]$ , let  $\alpha_s \in \pi^{-1}(\gamma(s)) \subset G$  be the path of matrices

$$\alpha_s(t) = \begin{pmatrix} e^{2\pi i(s(1-2t))} & 0_{1 \times (m-1)} \\ 0_{(m-1) \times 1} & \mathrm{Id}_{(m-1) \times (m-1)} \end{pmatrix}, \quad t \in [0, 1].$$

Note that  $\alpha_0 \equiv \mathrm{id}$  and  $\alpha_1 \cong \gamma^{-2}$ . Then

$$(2-6) \quad \pi^{-1}(\gamma(s)) = \alpha_s \cdot G_0 = \{\alpha_s \delta \mid \delta \in G_0\}.$$

Moving along the family of identifications (2-6) over  $[0, 1]$ , we find that the holonomy map of  $\pi^{-1}(\gamma)$  is isomorphic to

$$h: G_0 \rightarrow G_0, \quad h(\delta) = \alpha_1^{-2} \delta = \gamma^2 \delta.$$

In other words,

$$(2-7) \quad \pi^{-1}(\gamma) \cong G_0 \times [0, 1] / \delta \times \{0\} \sim (\gamma^2 \cdot \delta) \times \{1\}.$$

This implies that the connecting homomorphism takes  $\gamma \in \pi_1(\mathrm{GL}(m, \mathbb{Z}))$  to

$$\gamma^2 \in \pi_0(G_0) \cong \pi_1(\mathrm{GL}(m, \mathbb{Z})).$$

The remaining claim of the lemma is checked by chasing the maps in the long exact sequence. For  $\rho = R_{(\epsilon_i)}$ , the corresponding path  $\gamma$  in  $G$  is the constant path  $\gamma(t) \equiv \mathrm{diag}(\epsilon_i)$ . Inside  $G$ , via the path

$$\gamma_s(t) = \mathrm{diag}(e^{(1-\epsilon_i)\pi i f_s(t)}), \quad t \in [0, 1], \quad s \in [0, 1],$$

where  $f_s(t) = -st + (1 + s)/2$ , we can deform  $\gamma = \gamma_0$  to the path  $\gamma_1 \in G_0$  given by

$$\gamma_1(t) = \mathrm{diag}(e^{(1-\epsilon_i)\pi i(1-t)}).$$

Then  $[\gamma_1] \in \pi_0(G_0) = \pi_1(G_1) \cong \mathbb{Z}$  is equal to  $n_\gamma = -|\{i : \epsilon_i = -1\}|$ . Since the homomorphism

$$\pi_1(\mathrm{GL}(m, \mathbb{C})) \rightarrow \pi_0(G_0) \cong \pi_1(\mathrm{GL}(m, \mathbb{C})) \cong \mathbb{Z}$$

is multiplication by  $-2$ , it follows that  $\gamma \in \pi_0(G)$  is trivial if and only if  $2 \mid n_\gamma$ , ie if and only if  $\prod \epsilon_i = 1$ . □

**Lemma 2.5** *Let  $E \rightarrow \mathbb{P}^1$  be a complex vector bundle with a real structure  $\phi$  lifting  $\eta$ . Every admissible trivialization of  $(E, \phi)$  over  $\mathbb{C}^* \subset \mathbb{P}^1$  canonically determines an orientation of  $\Lambda^{\mathrm{top}} H^0(E)_{\mathbb{R}} \otimes \Lambda^{\mathrm{top}} (H^1(E)_{\mathbb{R}})^*$ . The two orientations given by two different admissible trivializations coincide if and only if they are in the same homotopy class.*

**Proof** The proof is analogous to that of [7, Proposition 8.1.4]. Contracting each of the two circles

$$C_{0,r} = \{z \in \mathbb{C}^* : |z| = r\} \quad \text{and} \quad C_{\infty,r} = \left\{z \in \mathbb{C}^* : |z| = \frac{1}{r}\right\}$$

to a point, we obtain a nodal curve  $\Sigma = \Sigma_{\text{top}} \cup \Sigma_0 \cup \Sigma_{\text{bot}}$  (see Figure 2) with an induced fixed point free involution  $\eta_\Sigma$ . We denote the quotient map by  $\pi: \mathbb{P}^1 \rightarrow \Sigma$ . Denote by  $q$  and  $\eta_\Sigma(q)$  the nodal points of  $\Sigma$ . We may assume that  $q$  and  $\eta_\Sigma(q)$  are respectively 0 and  $\infty$  in  $\Sigma_0 \cong \mathbb{P}^1$ .

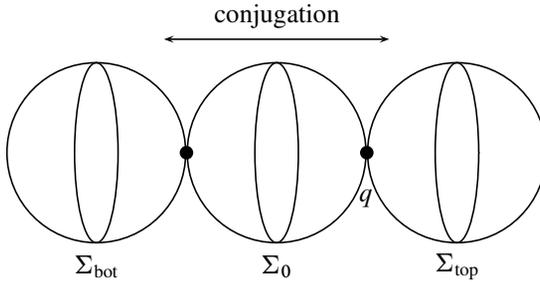


Figure 2: Nodal curve  $\Sigma$  obtained by pinching  $C_{0,r}$  and  $C_{\infty,r}$ .

Via the given trivialization, the bundle  $(E, \phi)$  descends to a bundle  $(\tilde{E}, \tilde{\phi})$  over  $\Sigma$  so that

$$\tilde{E}|_{\Sigma_0} \cong \mathbb{P}^1 \times \mathbb{C}^m$$

and the involution  $\tilde{\phi}|_{\Sigma_0}$  sends  $(z, v)$  to  $(\eta_\Sigma(z), \bar{v})$ . Over  $\Sigma_{\text{top}} \cup \Sigma_{\text{bot}}$ ,  $\tilde{\phi}$  is an anti-complex linear map of the form

$$\tilde{\phi}: \tilde{E}|_{\Sigma_{\text{top}}} \rightarrow \tilde{E}|_{\Sigma_{\text{bot}}}.$$

A section of  $(\tilde{E}, \tilde{\phi})$  is of the form  $\xi = (\xi_{\text{top}}, \xi_0, \xi_{\text{bot}})$ , with matching conditions at the nodes. A section  $\xi$  is real if and only if

$$\xi_{\text{bot}}(\eta_\Sigma(z)) = \tilde{\phi}(\xi_{\text{top}}(z)), \quad \forall z \in \Sigma_{\text{top}} \text{ and } \xi_0 \in \Gamma(\tilde{E}|_{\Sigma_0})_{\mathbb{R}}.$$

Therefore, it is determined by an arbitrary section of  $\tilde{E}|_{\Sigma_{\text{top}}}$  and a real section of  $\tilde{E}|_{\Sigma_0}$  which match at  $q$ .

The matching condition at the nodes gives a short exact sequence

$$0 \rightarrow W^{1,p}(\tilde{E})_{\mathbb{R}} \rightarrow W^{1,p}(\tilde{E}|_{\Sigma_{\text{top}}}) \oplus W^{1,p}(\tilde{E}|_{\Sigma_0})_{\mathbb{R}} \rightarrow \mathbb{C}_q^m \rightarrow 0.$$

The associated determinant of the pair  $(\tilde{E}, \tilde{\phi})$  is given by

$$(2-8) \quad \det_{\mathbb{R}}(\tilde{E}) \cong \det_{\mathbb{C}}(\tilde{E}|_{\Sigma_{\text{top}}}) \otimes \det_{\mathbb{R}}(\tilde{E}|_{\Sigma_0}) \otimes \det_{\mathbb{C}}(\mathbb{C}_q^m)^*.$$

Over  $\Sigma_0$ , the determinant bundle is canonically isomorphic (after deforming the Cauchy–Riemann operator) to

$$\Lambda^{\text{top}} H^0(\mathbb{P}^1 \times \mathbb{C}^m)_{\mathbb{R}} = \Lambda^{\text{top}}_{\mathbb{R}} \mathbb{R}^m \subset \Lambda^{\text{top}}_{\mathbb{C}} \mathbb{C}^m.$$

It inherits an orientation from the choice of trivialization. Since  $\det_{\mathbb{C}}(\tilde{E}|_{\Sigma_{\text{top}}})$  and  $\det_{\mathbb{C}}(\mathbb{C}^m)^*$  carry orientations induced by their complex structures, they are canonically oriented. Thus, (2-8) induces an orientation on  $\det_{\mathbb{R}}(\tilde{E})$ . □

**Proof of Theorem 1.3** By Lemma 2.5, a systematic way of trivializing  $u^*TX$  over  $\mathbb{C}^* \subset \mathbb{P}^1$  would orient  $\mathcal{P}_0(X, A)^{\phi, \eta}$ . Let  $K_X = \Lambda^{\text{top}}_{\mathbb{C}} T^*X$  be the canonical complex line bundle over  $X$ . It inherits an involution  $K_{\phi}: K_X \rightarrow K_X$  (covering  $\phi$ ) from  $T_{\phi}$ . Therefore, it is a complex line bundle with an involution. Any admissible trivialization of  $u^*TX|_{\mathbb{C}^*}$  canonically induces an admissible trivialization of  $u^*K_X|_{\mathbb{C}^*}$  and changing the homotopy class of admissible trivialization of the former changes the homotopy class of the induced admissible trivialization. We can therefore reduce the orientation problem to the problem of finding a canonical way of admissibly trivializing  $u^*K_X$ . This is an easier problem because  $K_X$  is just a line bundle and has less structure than  $TX$ .

Let  $(\mathcal{L}, \phi_{\mathcal{L}}) \rightarrow (X, \phi)$  be any complex line bundle over  $X$  with an anti-complex linear involution  $\phi_{\mathcal{L}}$  covering  $\phi$ . The line bundle  $\mathcal{L}^{\otimes 2}$  inherits an involution from the one on  $\mathcal{L}$  by

$$\phi_{\mathcal{L}^{\otimes 2}}(v_1 \otimes v_2) = \phi_{\mathcal{L}}(v_1) \otimes \phi_{\mathcal{L}}(v_2).$$

Every admissible trivialization of  $u^*\mathcal{L}|_{\mathbb{C}^*}$  induces an admissible trivialization of  $u^*\mathcal{L}^{\otimes 2}|_{\mathbb{C}^*}$ . However, changing the homotopy class of trivialization of  $L$  does not change the homotopy class of the induced trivialization on  $\mathcal{L}^{\otimes 2}$ , since changing the trivialization of  $\mathcal{L}$  by the complex linear map  $R_{-1}$  of Lemma 2.4 changes the homotopy class of admissible trivialization of  $\mathcal{L}^{\otimes 2}$  by  $R_{-1} \otimes R_{-1} = \text{id}$ . Thus, for the complex line bundle  $(\mathcal{L}^{\otimes 2}, \phi_{\mathcal{L}} \otimes_{\mathbb{C}} \phi_{\mathcal{L}})$  as above,  $u^*\mathcal{L}^{\otimes 2}$  has a canonical admissible trivialization.

We conclude that given a choice of real square root  $(K_X, K_{\phi}) \cong (\mathcal{L}^{\otimes 2}, \phi_{\mathcal{L}} \otimes_{\mathbb{C}} \phi_{\mathcal{L}})$  for  $K_X$ , it provides a choice of admissible trivialization for every  $u^*TX|_{\mathbb{C}^*}$ , hence an orientation on  $\mathcal{P}_l(X, A)^{\phi, \eta}$ . Finally, together with the choice of orientation on  $TG_{\eta}$  given in Section 2, we obtain an orientation on  $\mathcal{M}_l(X, A)^{\phi, \eta}$  such that

$$T_u \mathcal{P}_l(X, A)^{\phi, \eta} \cong T_f \mathcal{M}_l(X, A)^{\phi, \eta} \oplus T_{\text{id}} G_{\eta}$$

is an oriented isomorphism of vector spaces; see Lemma 1.9 for the negative sign. □

## 2.2 Complementary remarks and examples

**Proposition 1.5**, which we prove below, provides examples of symplectic manifolds with the canonical bundle admitting a real square root.

**Lemma 2.6** *Let  $\mathcal{L}$  be a holomorphic line bundle over a compact Kähler manifold  $X$  with an anti-holomorphic involution  $\phi$ . Up to multiplication by a constant number in  $U(1) \subset \mathbb{C}^*$ ,  $\mathcal{L}$  admits at most one anti-holomorphic conjugation lift  $\tilde{\phi}$  of  $\phi$ .*

**Proof** Assuming the existence, let  $\tilde{\phi}_1$  and  $\tilde{\phi}_2$  be two anti-holomorphic conjugation lifts of  $\phi$ . Then

$$\tilde{\phi}_2 = \rho \circ \tilde{\phi}_1$$

for some holomorphic automorphism  $\rho: X \rightarrow \mathbb{C}^*$ . Since  $X$  is compact,  $\rho \equiv e^{i\theta}$  is constant. □

**Lemma 2.7** *Let  $\mathcal{L}$  be a complex line bundle over a symplectic manifold  $X$  with an anti-symplectic involution  $\phi$ . Assuming  $H^1(X, \mathbb{R}) = 0$ , every two anti-complex linear conjugation lifts  $\tilde{\phi}$  of  $\phi$  are equivariantly isomorphic.*

**Proof** Assuming the existence, as in the proof of **Lemma 2.6**, let  $\rho: X \rightarrow \mathbb{C}^*$  be the resulting function. From  $\phi^2 = \text{id}$  we conclude that

$$(2-9) \quad \rho(\phi(x))\overline{\rho(x)} = \text{id}.$$

Since  $H^1(X, \mathbb{R}) = 0$ , for every loop  $\gamma \in \pi_1(X)$  we have  $\int_\gamma \rho^* d\theta = 0$ ; therefore,  $\text{image}(\rho(\gamma)) \subset \mathbb{C}^*$  is contractible. Thus, there is a well-defined square root

$$\sqrt{\rho}: X \rightarrow \mathbb{C}^*, \quad \sqrt{\rho}^2 = \rho.$$

From **Equation (2-9)** together with the identity

$$\sqrt{\rho}(\phi(x)) \circ \phi_1(x) \circ \sqrt{\rho}(x) = \sqrt{\rho}(\phi(x)) \circ \overline{\sqrt{\rho}(x)} \circ \phi_1(x),$$

we conclude that  $\psi = \sqrt{\rho}(\phi(x)) \circ \phi_1(x) \circ \sqrt{\rho}(x)$  is an anti-complex linear involution isomorphic to either  $\phi_2$  or  $-\phi_2$ . If the former happens, we conclude that  $(\mathcal{L}, \phi_2)$  is equivariantly isomorphic to  $(\mathcal{L}, \phi_1)$ ; otherwise, changing  $\sqrt{\rho}$  with  $i\sqrt{\rho}$  we obtain the desired isomorphism. □

**Proof of Proposition 1.5** If  $c_1(TX) = 4\alpha$  for some  $\alpha$  as in the statement of the proposition, a complex line bundle  $\mathcal{L}$  with Chern class  $2\alpha$  has a real structure given by the isomorphism

$$\mathcal{L} = \mathcal{L}' \otimes \overline{\phi^*(\mathcal{L}')},$$

where  $\mathcal{L}'$  is a complex line bundle with Chern class  $\alpha$ . From the isomorphism of complex line bundles

$$K_X \cong \mathcal{L}^* \otimes \mathcal{L}'$$

and Lemma 2.7, we conclude that the canonical real structure and the one induced by the above isomorphism on  $K_X$  are equivariantly isomorphic; thus,  $(K_X, \phi_{K_X})$  admits a real square root.

Similarly, under the assumptions of the second part, the line bundle  $[2D] \cong [D] \otimes \overline{[\phi_* D]}$  admits an anti-holomorphic involution. Thus, the line bundle

$$K_X = [2D] \otimes [2D]$$

admits an anti-holomorphic involution and a real square root. By Lemma 2.6, the canonical real structure and the one induced by the above isomorphism on  $K_X$  are equivariantly isomorphic; thus,  $(K_X, \phi_{K_X})$  admits a real square root.  $\square$

In particular, if either  $H^1(X, \mathbb{R}) = 0$  and  $c_1(TX) = 0$ , or  $X$  is a compact Kähler Calabi–Yau with anti-holomorphic involution  $\phi$ , then  $(K_X, \phi_{K_X})$  admits a real square root. A similar but weaker result can be found in [3, Lemma 2.9]. Through the isomorphism (1-12), we showed that the existence of a square root for  $(K_X, \phi_{K_X})$  implies that the Lagrangian  $L = \text{Fix}(\phi)$  is orientable. In the case of a Kähler Calabi–Yau manifold, the orientability of the Lagrangian  $L$  can also be seen directly as follows. It is possible to choose a holomorphic volume form  $\Omega$  so that  $\phi^* \Omega = \bar{\Omega}$ . On the fixed locus, it restricts to a (real-valued) volume form.

In Section 6 we consider  $(\mathbb{P}^{4m-1}, \eta_{4m-1})$ ; since  $4 \mid K_{\mathbb{P}^{4m-1}}$ , it has a real square root. If  $K_X$  is trivial as a complex line bundle and  $H_1(X, \mathbb{Z}) = 0$  (ie  $X$  is a symplectic Calabi–Yau manifold), then  $K_X$  has a real square root; moreover, in this case we can fix an admissible trivialization of  $K_X$  itself over  $X$  (independent of any map  $u$ ) and thus determine an orientation of the moduli space  $\mathcal{M}_l(X, A)^{\phi, \eta}$ .

As illustrated by the two examples below, there are cases where the determinant bundle is not orientable. The first example is similar to the non-orientable example of [7, Section 8.1.2].

**Example 2.8** Let  $E = S^1 \times \mathbb{P}^1 \times \mathbb{C} \rightarrow S^1 \times \mathbb{P}^1$ . Define a family of involutions

$$\phi_s: E|_{\{s\} \times \mathbb{P}^1} \rightarrow E|_{\{s\} \times \mathbb{P}^1}, \quad \phi_s(z, v) = (\eta(z), \overline{e^{2\pi i s} v}) \quad \forall s \in S^1.$$

The real line bundle  $F \rightarrow S^1$  given by  $F_s = H^0(E|_{\{s\} \times \mathbb{P}^1})_{\mathbb{R}}$  is then not orientable.

**Example 2.9** Let  $X = \mathbb{R}^2/\mathbb{Z}^2 \times \mathbb{P}^1 \times \mathbb{P}^1$ ,  $A = \{\text{pt}\} \times \mathbb{P}^1 \times \{\text{pt}\} \in H_2(X, \mathbb{Z})$ ,

$$(2-10) \quad \begin{aligned} \phi: X \rightarrow X, \quad \phi(s, t, z, w) &= \left(s, -t, \frac{-1}{z}, \overline{e^{2\pi i s} w}\right), \\ Y &= \{(s, t, w) \in \mathbb{R}^2/\mathbb{Z}^2 \times \mathbb{P}^1 : (-t, \overline{e^{2\pi i s} w}) = (t, w)\}. \end{aligned}$$

The space  $Y$  is a union of two Klein bottles with double cover

$$\mathbb{R} \cup \{\infty\} \times \mathbb{R}/\mathbb{Z} \times \{0, \frac{1}{2}\} \rightarrow Y, \quad (a, s, t) \rightarrow (2s, t, ae^{2\pi i s}).$$

Let  $\pi: X \rightarrow \mathbb{R}^2/\mathbb{Z}^2 \times \mathbb{P}^1$  be the projection to the first and third factors. Since

$$f: \mathcal{M}(X, A)^{\phi, \eta} \rightarrow Y, \quad [u] \rightarrow \pi(\text{Im}(u)),$$

is well-defined and is a diffeomorphism, it follows that  $\mathcal{M}(X, A)^{\phi, \eta}$  is not orientable. If  $\gamma \subset \mathcal{M}(X, A)^{\phi, \eta}$  is the preimage of the map  $S^1 \rightarrow Y$ ,  $s \rightarrow (s, 0, 0)$ , then

$$\gamma^* \det(T\mathcal{M}(X, A)^{\phi, \eta}) = \Lambda^{\text{top}} H_{\mathbb{R}}^0(\gamma^* TX) \otimes (\Lambda^{\text{top}} \text{Lie}(G_{\eta}))^* = \mathbb{R} \otimes F,$$

where  $F$  is the unorientable line bundle in [Example 2.8](#).

**Remark 2.10** In [3],<sup>4</sup> Crétois approaches the orientation problem from a different point of view. He computes the induced sign of the action of an automorphism of a complex vector bundle with a real structure  $(E, c_E)$  on the orientations of the determinant line bundle over the space of Cauchy–Riemann operators on  $(E, c_E)$ . His method is well suited to the case where the real locus of the underlying curve is not empty. The case related to our work is when  $(\Sigma, c_{\Sigma}) = (\mathbb{P}^1, \eta)$  and the automorphism is the lift of either the identity automorphism or  $\varphi([z, w]) = [w, z]$  (with  $\eta$  given by (1-2)). In this case, he uses (see [4, Section 3.2.3]) a symplectic divisor  $D$  (a polarization), invariant under the involution, which is Poincaré dual of the first Chern class, and finds an equation [4, Theorem 7] for the first Stiefel–Whitney class of the moduli of real maps that intersect  $D$  transversely. For example, if the canonical bundle is the square of a complex line bundle admitting a real structure, and if one can find a nice section of this square root, then the first Stiefel–Whitney class vanishes. One issue with this approach is that we need to consider different polarizations to cover the entire moduli space and this increases the complexity of the calculations. In the cases where the ambient manifold has no real part, it is not clear how to construct a section of the canonical bundle. Also, this approach does not provide a choice of orienting the moduli space.

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<sup>4</sup>Originally published in French about the same time as this paper was first published on the arXiv, with an English summary [4] uploaded later.

### 2.3 Kuranishi structure

If  $\overline{\mathcal{M}}_l(X, A)^{\phi, \eta}$  is not an orbifold, in order to construct a virtual fundamental class we need to put a Kuranishi structure on the moduli space. Such a construction for  $\overline{\mathcal{M}}_{k,l}(X, A)^{\phi, \tau}$  is described in [26, Section 7]; we only describe the necessary adjustments. For simplicity, we ignore the marked points until the end of this construction.

**Proof of Proposition 1.1 and the first part of Theorem 1.7** For  $(u, (z_i, \bar{z}_i)_{i=1}^l) \in \mathcal{M}_l(X, A)^{\phi, \eta}$ , let

$$E_u \equiv u^*TX \rightarrow \mathbb{P}^1, \quad E_u^{0,1} \equiv (T^*\mathbb{P}^1)^{0,1} \otimes_{\mathbb{C}} E_u.$$

There are commutative diagrams

$$(2-11) \quad \begin{array}{ccc} E_u & \xrightarrow{T_\phi} & E_u \\ \pi \downarrow & & \pi \downarrow \\ \mathbb{P}^1 & \xrightarrow{\eta} & \mathbb{P}^1, \end{array} \quad \begin{array}{ccc} E_u^{0,1} & \xrightarrow{T_\phi^1} & E_u^{0,1} \\ \pi \downarrow & & \pi \downarrow \\ \mathbb{P}^1 & \xrightarrow{\text{id}} & \mathbb{P}^1, \end{array}$$

where  $T_\phi v = d\phi(v)$  and  $T_\phi^1 \alpha = d\phi \circ \alpha \circ d\eta$ . The deformation theory of  $\mathcal{P}_0(X, A)^{\phi, \eta}$  is described by the linearization of the Cauchy–Riemann operator,

$$(2-12) \quad L_{J,u}: W^{k,p}(E_u) \rightarrow W^{k-1,p}(E_u^{0,1}), \quad p > 2, \quad k \geq 1;$$

see [21, Chapter 3] for a similar situation. If  $\nabla$  is the Levi-Civita connection of the metric  $\omega(\cdot, J\cdot)$ , then  $L_{J,u}$  can be written as

$$L_{J,u}(\xi) = \frac{1}{2}(\nabla\xi + J\nabla\xi \circ j) - \frac{1}{2}J(\nabla_\xi J)\partial_J(u).$$

There is a commutative diagram

$$\begin{array}{ccc} W^{k,p}(E_u) & \xrightarrow{L_{J,u}} & W^{k-1,p}(E_u^{0,1}) \\ \tilde{T}_\phi \downarrow & & \tilde{T}_\phi^1 \downarrow \\ W^{k,p}(E_u) & \xrightarrow{L_{J,u}} & W^{k-1,p}(E_u^{0,1}) \end{array}$$

where  $\{\tilde{T}_\phi \xi\}(z) = T_\phi(\xi(\eta(z)))$  and  $\{\tilde{T}_\phi^1 \alpha\}(z) = T_\phi^1(\alpha(z))$ . Let

$$(2-13) \quad \begin{aligned} W^{k,p}(E_u)_{\mathbb{R}} &= \{\xi \in W^{k,p}(E_u) \mid \tilde{T}_\phi(\xi) = \xi\}, \\ W^{k-1,p}(E_u^{0,1})_{\mathbb{R}} &= \{\alpha \in W^{k-1,p}(E_u^{0,1}) \mid \tilde{T}_\phi^1(\alpha) = \alpha\}, \end{aligned}$$

denote the spaces of *real* sections. Let  $H^0(E_u)_{\mathbb{R}}$  and  $H^1(E_u)_{\mathbb{R}}$  be the kernel and cokernel, respectively, of the restricted operator

$$L_{J,u}: W^{k,p}(E_u)_{\mathbb{R}} \rightarrow W^{k-1,p}(E_u^{0,1})_{\mathbb{R}}.$$

If  $H^1(E_u)_{\mathbb{R}} = 0$ , then  $\mathcal{P}(X, A)^{\phi,\eta}$  near  $u$  is a manifold  $U(u)$  of real dimension

$$(2-14) \quad \dim_{\mathbb{R}} H^0(E_u)_{\mathbb{R}} = \text{index}_{\mathbb{R}}(L_{J,u}) = c_1(A) + \dim_{\mathbb{C}} X;$$

see [21, Theorem C.1.10]. In order to stabilize the domain and kill the action of the automorphism group, we need to take a slice  $V(u)$  of the  $G_{\eta}$ -action on  $U(u)$ . To this end, we add back a few conjugate pairs of special marked points  $\{(w_i, \eta(w_i))\}$ , fix a corresponding set of (conjugate pairs of) slicing local divisors  $D_i$ , and take  $V(u)$  to be the submanifold of the maps in  $U(u)$  that intersect  $D_i$  at  $w_i$ . Then, by restricting the location of special marked points we will find a slice  $V(u)$  of the  $G_{\eta}$ -action such that  $V(u)$  is a submanifold of  $U(u)$  and  $\dim_{\mathbb{R}} V(u) = \dim_{\mathbb{R}} U(u) - 3$ . Each pair of ordinary conjugate marked points increases the dimension by two and we get the dimension formula (1-4).

If  $H^1(E_u)_{\mathbb{R}} \neq 0$ , we construct a Kuranishi chart around  $u$ . With this aim, we choose finite-dimensional complex subspaces  $\mathcal{E}_u \subset W^{k,p-1}(E_u^{0,1})$  such that

- (1) every  $\xi \in \mathcal{E}_u$  is smooth and supported away from the boundary, special, and marked points;
- (2)  $\tilde{T}_{\phi}^1(\mathcal{E}_u) = \mathcal{E}_u$ ;
- (3)  $L_{J,u}$  modulo  $\mathcal{E}_u$  is surjective.

After putting enough marked points and slicing conditions to kill the automorphism group, we choose our Kuranishi neighborhood to be  $V(u) = [\bar{\partial}^{-1}(\mathcal{E}_u)]_{\mathbb{R}}$ , which is a smooth manifold of dimension

$$c_1(A) + \dim_{\mathbb{C}} X - 3 + 2l + \dim_{\mathbb{C}}(\mathcal{E}_u).$$

The obstruction bundle  $\mathcal{E}(u)$  at each  $f \in V(u)$  is obtained by parallel translation of  $\mathcal{E}_u$  with respect to the induced metric of  $J$ . We thus get a Kuranishi neighborhood  $(V(u), \mathcal{E}(u))$ . The Kuranishi map in this case is just the Cauchy–Riemann operator  $f \rightarrow \bar{\partial}(f)$ .

In order to construct Kuranishi charts for  $u$  in the boundary strata of  $\bar{\mathcal{M}}_l(X, A)^{\phi,\eta}$ , we need gluing theorems as in [7, Chapter 7]. The gluing theorems are identical to those for  $J$ -holomorphic disks; we thus omit the details and refer the reader to [7].  $\square$

**Remark 2.11** If  $(X, \omega)$  is semi-positive or strongly semi-positive (see [21, Definition 6.4.5] or [10, Definition 7.1]) then the invariants can be defined via classical (geometric) methods of [21] or [25]. In the strongly semi-positive case, this is for example done for the  $(\phi, \tau)$ -moduli space in [10, Theorem 1.4].

### 3 Proof of Theorem 1.7 and real GW invariants

We continue this section with the proof of the first part of Theorem 1.7. If  $L = \text{Fix}(\phi)$  is non-empty, the codimension-one boundary of  $\overline{\mathcal{M}}_l(X, A)^{\phi, \eta}$  might be non-empty; see (1-11). An element of a codimension-one boundary is of the form  $(u, \Sigma = \Sigma_1 \cup_q \Sigma_2)$ , where  $\Sigma_i = \mathbb{P}^1$ ,  $\eta: \Sigma_1 \rightarrow \Sigma_2$ , and  $u(q) \in L$ . After a suitable reparametrization, we may assume  $q = 0 \in \mathbb{P}^1$  and  $\eta(z) = \bar{w}$ . For real parameters  $\epsilon \neq 0$ , we can glue  $\Sigma$  into a family of smooth curves

$$\Sigma_\epsilon = \{(z, w) \in \mathbb{C}^2 : zw = \epsilon\}.$$

For  $\epsilon \in \mathbb{R}$ ,  $\Sigma_\epsilon$  inherits a complex conjugation from  $\eta$ :

$$\eta_\epsilon: \Sigma_\epsilon \rightarrow \Sigma_\epsilon, \quad \eta_\epsilon(z, w) = (\bar{w}, \bar{z}).$$

The fixed point set of  $\eta_\epsilon$  is  $S^1$  if  $\epsilon > 0$  and is empty if  $\epsilon < 0$ . Assuming regularity, by smoothing in one direction ( $\epsilon$  negative), we get real curves without fixed points in  $\overline{\mathcal{M}}_l(X, A)^{\phi, \eta}$ ; by smoothing in the other direction ( $\epsilon$  positive), we get real curves with fixed points in  $\overline{\mathcal{M}}_l(X, A)^{\phi, \tau}$ . We identify the common boundary and glue the two moduli spaces to get a new moduli space whose only possible boundary component comes from the disk bubbling. We define  $\overline{\mathcal{M}}(X, A)^\phi$  to be the resulting space.

Every disk-bubbling type nodal curve in (1-8) is of the form  $(u, \Sigma = \Sigma_1 \cup_q \Sigma_2)$ , where  $\Sigma_i = \mathbb{P}^1$ ,  $\tau: \Sigma_i \rightarrow \Sigma_i$ , and  $q \in \text{Fix}(\tau|_{\Sigma_i}) \cong S^1$ . After a suitable reparametrization, we may assume  $q = (z_i = 0) \in \mathbb{C} \subset \mathbb{P}^1$  and  $\tau|_{\mathbb{C}} = c$ , where  $c(z_i) = \bar{z}_i$ . For real  $\epsilon \neq 0$ , we can glue  $\Sigma$  into a family of smooth curves

$$\Sigma_\epsilon = \{(z_1, z_2) \in \mathbb{C}^2 : z_1 z_2 = \epsilon\}.$$

For  $\epsilon \in \mathbb{R}$ ,  $\Sigma_\epsilon$  inherits a complex conjugation from  $\tau$ :

$$\tau_\epsilon: \Sigma_\epsilon \rightarrow \Sigma_\epsilon, \quad \tau_\epsilon(z_1, z_2) = (\bar{z}_1, \bar{z}_2).$$

The fixed point set of  $\tau_\epsilon$  is  $S^1$ . By the stability condition, for each component  $i$ , either  $l_i \neq 0$  or the map  $u_i \equiv u|_{\Sigma_i}$  is non-trivial. If  $l_i \neq 0$ , we fix one of the marked points; if  $u_i$  is non-trivial and somewhere injective, we fix a somewhere injective

point of the corresponding domain. By tracking the image of the chosen points,<sup>5</sup> we see that gluing the map in positive and negative directions produces different  $J$ -holomorphic curves. If  $u_i$  is a multiple cover and  $l_i = 0$ , then the obstruction bundle near  $u_i \in \mathcal{M}_{1,0}(X, A_i)^{\phi, \tau}$  is non-trivial and a Kuranishi neighborhood depends on the choice of  $\mathcal{E}_{u_i}$  of the previous section. In this situation, the Cauchy–Riemann equation gives a section of the obstruction bundle. Then we need to deform this section into close-by transversal multi-sections to build a virtual fundamental class; see [7, Section 7]. By choosing (the branches of) these multi-sections to be non-symmetric with respect to the deck transformation of the covering map, we can ensure that gluing in different directions produces different maps. Therefore, the real codimension-one strata (1-8) and (1-11), corresponding to  $\epsilon = 0$ , are indeed hypersurfaces. This establishes the first part of Theorem 1.7, ie that  $\overline{\mathcal{M}}(X, A)^{\phi}$  has the structure of a closed Kuranishi space; the real codimension-one strata (1-8) and (1-11) are real codimension-one hypersurfaces in  $\overline{\mathcal{M}}(X, A)^{\phi}$ .  $\square$

If  $4 \mid c_1(TX)$ , given a compatible choice of a real square root for  $K_X$  and a spin structure on  $L = \text{Fix}(\phi)$ , the next two proposition and lemma show that  $\overline{\mathcal{M}}_l(X, A)^{\phi}$  is orientable (in fact, oriented). Given such a compatible choice, the spaces  $\mathcal{M}_l(X, A)^{\phi, \tau}$  and  $\mathcal{M}_l(X, A)^{\phi, \eta}$  can be oriented; see the beginning of Section 1.1 and Theorem 1.3. The first proposition below states that the orientation of  $\mathcal{M}_l(X, A)^{\phi, \tau}$  extends across the hypersurface (1-8). Then Proposition 3.3 implies that the orientations of  $\overline{\mathcal{M}}_l(X, A)^{\phi, \tau}$  and  $\overline{\mathcal{M}}_l(X, A)^{\phi, \eta}$  are compatible along the common boundary (1-11). In Proposition 3.3, we consider the induced orientation on the boundary  $\partial M$  of an oriented manifold  $M$  to be the one given by the inward normal vector field; ie

$$(3-1) \quad T|_{\partial M} = T\partial M \oplus \mathbb{R} \cdot v_{\text{in}}$$

is an isomorphism of oriented vector spaces. Therefore, if  $M_1$  and  $M_2$  are oriented and the induced orientations on  $\partial M \equiv \partial M_1 \cong \partial M_2$  are the reverse of each other,  $M_1 \cup_{\partial M} M_2$  inherits an orientation.

### Proof of the second part of Theorem 1.7

**Proposition 3.1** *Let  $(X, \omega, \phi)$  be a symplectic manifold with a real structure. If  $4 \mid c_1(TX)$ , then a choice of spin structure on  $L = \text{Fix}(\phi)$  determines an orientation on  $\overline{\mathcal{M}}(X, A)^{\phi, \tau}$ .*

This proposition is a special case of [10, Theorem 1.4] or [8, Theorem 1.1]. We first lift the orientation problem to the corresponding moduli spaces of decorated

<sup>5</sup>In one direction, the images of these two points lie in one half disk, and in the other direction, they lie in different half disks.

$J$ -holomorphic disks. A spin structure determines an orientation on

$$\mathcal{M}_{1,l}(X, L, \beta)_{\text{dec}}^{\text{disk}} \quad \text{and} \quad \mathcal{M}_l(X, L, \beta)_{\text{dec}}^{\text{disk}}.$$

This orientation induces<sup>6</sup> an orientation on

$$\mathcal{M}_{1,l_1}(X, L, \beta_1)_{\text{dec}}^{\text{disk}} \times_{(\text{ev}_1^B, \text{ev}_1^B)} \mathcal{M}_{1,l_2}(X, L, \beta_2)_{\text{dec}}^{\text{disk}}$$

which descends to an orientation on (1-8). Let

$$\mathcal{M}_{1,l_1}(X, L, \beta_1)_{\text{dec}}^{\text{disk}} \times_{(\text{ev}_1^B, \text{ev}_1^B)} \mathcal{M}_{1,l_2}(X, L, \beta_2)_{\text{dec}}^{\text{disk}} \times \mathbb{R}^{\geq 0} \xrightarrow{\Psi} \overline{\mathcal{M}}(X, L, \beta)_{\text{dec}}^{\text{disk}}$$

be the gluing map. With a proper convention of defining the fiber product orientation,  $\Psi$  is orientation preserving. Then we observe that gluing positively or negatively in the real curve formulation corresponds to flipping one of the disk components via  $\tau_{\mathcal{M}}$  (the map  $\tau_*$  in [8, Theorem 1.1]), ie gluing negatively corresponds to  $(u_1, u_2, \epsilon) \rightarrow \Psi(u_1, \tau_{\mathcal{M}}(u_2), -\epsilon)$ ; cf (1-7). Finally, [10, Theorem 1.4] or [8, Theorem 1.1] shows that if  $4 \mid c_1(TX)$ , this flipping action is orientation reversing, hence the gluing map

$$(\mathcal{M}_{1,l_1}(X, A_1)^{\phi, \tau} \times_{(\text{ev}_1^B, \text{ev}_1^B)} \mathcal{M}_{1,l_2}(X, A_2)^{\phi, \tau} / G) \times \mathbb{R} \longrightarrow \overline{\mathcal{M}}(X, A)^{\phi, \tau},$$

given by smoothing the domain with respect to the corresponding gluing parameter  $\epsilon$ , is an oriented isomorphism. Therefore, the orientation of  $\mathcal{M}(X, A)^{\phi, \tau}$  extends across the disk-bubbling codimension-one strata. □

**Remark 3.2** A relative spin structure  $[V, \sigma]$  on  $(X, L)$  also determines an orientation on every moduli space  $\mathcal{M}_l^{\text{disk}}(X, L, \beta)$ ; see [7, Theorem 8.1.1]. These orientations descend to  $\mathcal{M}_l(X, A)^{\phi, \tau}$  if

$$\frac{1}{2} \langle c_1(TX), A \rangle \equiv \langle w_2(V), A \rangle \pmod{2};$$

see [13, Corollary 5.9]. The conclusion of Proposition 3.1 is still true. For example,  $(\mathbb{P}^{4m+1}, \mathbb{R}\mathbb{P}^{4m+1})$  is not spin, but is relatively spin; each choice of the two homotopy classes of relative spin structures determines an orientation on  $\overline{\mathcal{M}}_l(X, A)^{\phi, \tau}$ . In the more general setting of Pin structures, analogous sign computations are carried out in [26, Proposition 2.12].

**Proposition 3.3** *Let  $(X, \omega, \phi)$  be a symplectic manifold with a real structure such that  $4 \mid c_1(TX)$ . Given a compatible pair of a real square root for  $K_X$  and a spin structure on  $L = \text{Fix}(\phi)$ , if  $A, B \in H_2(X)$  are such that  $A = B - \phi_* B$ , then via the gluing maps*

$$(3-2) \quad (\mathcal{M}_1(X, B) \times_{\text{ev}_1} L) \times \mathbb{R}^+ \rightarrow \mathcal{M}(X, A)^{\phi, \tau}, \mathcal{M}(X, A)^{\phi, \eta},$$

<sup>6</sup>The fiber product orientation depends on the convention.

the induced orientations on the first component of the left-hand side as the boundary of  $\overline{\mathcal{M}}(X, A)^{\phi, \tau}$  and  $\overline{\mathcal{M}}(X, A)^{\phi, \eta}$  are reverse of each other.

**Proof** By definition, the induced orientations on  $L$  via the given spin structure and the given real square root are inverses of each other. Without loss of generality, we may assume that the orientation of  $L$  coincides with the induced orientation of the given spin structure and is the reverse of the one given by the real square root. A curve in the common boundary of these two moduli spaces is of the form

$$f = [u, \Sigma = \mathbb{P}_{\text{top}}^1 \cup_q \mathbb{P}_{\text{bot}}^1],$$

with the involution  $c$  over  $\Sigma$  having one fixed point, the node  $q$ . We replace each such  $f$  with the unstable map

$$\tilde{f} = [\tilde{u}, \tilde{\Sigma} = \mathbb{P}_{\text{top}}^1 \cup \mathbb{P}_0^1 \cup \mathbb{P}_{\text{bot}}^1],$$

with  $\tilde{u}$  restricting to the constant  $u(q)$  over the central part  $\mathbb{P}_0^1$ . We can view  $\tilde{f}$  as an element of  $\partial\overline{\mathcal{M}}(X, A)^{\phi, \tau}$  by extending the involution to  $\mathbb{P}_0^1$  via  $c|_{\mathbb{P}_0^1} = \tau$  and as an element of  $\partial\overline{\mathcal{M}}(X, A)^{\phi, \eta}$  by extending the involution to  $\mathbb{P}_0^1$  via  $c|_{\mathbb{P}_0^1} = \eta$ . The real automorphism group of  $\tilde{f}$  restricted to the middle component is  $S^1$ .

First, let's consider  $\tilde{f}$  with  $c|_{\mathbb{P}_0^1} = \tau$ . In this case we can divide  $\tilde{f}$  into two nodal  $J$ -holomorphic disks; let

$$\tilde{f}_{\text{top}} = [\tilde{u}, \tilde{D} = \mathbb{P}_{\text{top}}^1 \cup D_0]$$

be the half including  $\mathbb{P}_{\text{top}}^1$  (the final conclusion is independent of the particular choice). For simplicity, we may assume that  $\tilde{f}_{\text{top}}$  can be glued to a  $J$ -holomorphic disk  $f_\epsilon$  over the glued domain  $D_\epsilon \cong D$ ; otherwise, we need to consider the obstruction bundle. In order to understand the induced orientation on  $T_f \partial\overline{\mathcal{M}}(X, A)^{\phi, \tau}$ , we need to understand the orientation on  $T_{f_\epsilon} \mathcal{M}^{\text{disk}}(X, L, \beta)$  and extend it to the sphere-bubbling boundary. Following the orientation and gluing argument in [7, Section 8.3] and [7, Section 7.4.1], in order to orient  $T_{f_\epsilon} \mathcal{M}^{\text{disk}}(X, L, \beta)$  we orient the tangent bundle of the parametrized  $J$ -holomorphic disks  $T_{u_\epsilon} \mathcal{P}^{\text{disk}}(X, L, \beta)$  and then consider the quotient orientation on  $T_{f_\epsilon} \mathcal{M}^{\text{disk}}(X, L, \beta)$  for which

$$T_{f_\epsilon} \mathcal{M}^{\text{disk}}(X, L, \beta) \oplus T_{\text{id}} G_\tau^0 \cong T_{u_\epsilon} \mathcal{P}^{\text{disk}}(X, L, \beta)$$

is an oriented isomorphism of vector spaces; see Lemma 1.9 for the negative sign. In order to orient  $T_{u_\epsilon} \mathcal{P}^{\text{disk}}(X, L, \beta)$ , we trivialize  $u_\epsilon|_{\partial D_\epsilon}^* TL$  via the given spin structure, and degenerate  $u_\epsilon^* TX$  into a bundle over  $\tilde{D}$ , such that over the central part the induced bundle is trivial. The path  $\{u_\epsilon\}_{\epsilon \rightarrow 0}$  exactly describes such a degeneration. Over the central part  $\tilde{u}|_{D_0}$  is trivial and by assumption, the orientation of  $T_{u(q)}L$  coincides with

the one given by the spin structure; therefore, the space of real sections of  $\tilde{u}|_{D_0}TX$  is orientably isomorphic to  $T_{u(q)}L$ .

Now let's consider  $\tilde{f}$  with  $c|_{\mathbb{P}_0^1} = \eta$ . For simplicity, we may again assume that  $\tilde{f}$  can be glued to a  $(\phi, \eta)$ -real  $J$ -holomorphic map  $f_\epsilon$  over the glued domain  $\Sigma_\epsilon \cong \mathbb{P}^1$ . Following the orientation procedure of the proof of Lemma 2.5, in order to orient  $T_{f_\epsilon}\mathcal{M}(X, A)^{\phi, \eta}$  we orient the tangent bundle of the parametrized  $J$ -holomorphic spheres  $T_{u_\epsilon}\mathcal{P}(X, A)^{\phi, \eta}$  and then consider the quotient orientation as above. In order to orient  $T_{u_\epsilon}\mathcal{P}(X, A)^{\phi, \eta}$ , we fixed an admissible trivialization of  $u_\epsilon^*TX$  over  $\mathbb{P}^1 - \{0, \infty\}$  given by the real square root and degenerated  $u_\epsilon^*TX$  into a bundle over  $\tilde{\Sigma}$ , such that over the central part the induced bundle is admissibly trivial. The path  $\{u_\epsilon\}_{\epsilon \rightarrow 0}$  exactly describes such a degeneration. Once again, over the central part  $\tilde{u}|_{\mathbb{P}_0^1}$  is trivial and by assumption, the orientation of  $T_{u(q)}L$  is the reverse of the one induced from the real square root; therefore, the space of real sections of  $\tilde{u}|_{\mathbb{P}_0^1}TX$  is orientably isomorphic to  $T_{u(q)}L$  with the reverse orientation.

Similar to Section 2.3, for  $\tilde{f} \in \partial\overline{\mathcal{M}}(X, A)^{\phi, \tau}, \partial\overline{\mathcal{M}}(X, A)^{\phi, \eta}$ , let  $E_{\tilde{u}} \equiv \tilde{u}^*TX$  and  $E_{\tilde{u}}^{0,1} \equiv E_{u_{\text{top}}}^{0,1} \oplus E_{u_0}^{0,1} \oplus E_{u_{\text{bot}}}^{0,1}$ , where  $u_{\text{top}}, u_0$ , and  $u_{\text{bot}}$  are the restrictions of  $u$  to the corresponding components, respectively. The deformation theory of  $\overline{\mathcal{P}}(X, A)^{\phi, \tau}$  and  $\overline{\mathcal{P}}(X, A)^{\phi, \eta}$  at  $f$  is described by the linearization of the Cauchy–Riemann operator

$$L_{J, \tilde{u}}: W^{k,p}(E_{\tilde{u}}) \rightarrow W^{k-1,p}(E_{\tilde{u}}^{0,1}), \quad p > 2, k \geq 1,$$

where  $L_{J, \tilde{u}} = L_{J, u_{\text{top}}} \oplus L_{J, u_0} \oplus L_{J, u_{\text{bot}}}$ . Let  $H^0(E_{\tilde{u}})_{\mathbb{R}}$  and  $H^1(E_{\tilde{u}})_{\mathbb{R}}$  be the kernel and cokernel respectively of the restricted operator

$$L_{J, \tilde{u}}: W^{k,p}(E_{\tilde{u}})_{\mathbb{R}} \rightarrow W^{k-1,p}(E_{\tilde{u}}^{0,1})_{\mathbb{R}}.$$

Assuming  $H^1(E_{\tilde{u}})_{\mathbb{R}} = 0$  (otherwise we need to work modulo the obstruction bundle), via the gluing maps  $f \rightarrow \{f_\epsilon\}_{\epsilon > 0}$ , we have

$$(3-3) \quad H^0(E_{\tilde{u}})_{\mathbb{R}} \cong H^0(E_{u_\epsilon})_{\mathbb{R}} \cong T_{u_\epsilon}\mathcal{P}(X, A)^{\phi, \tau}$$

and

$$(3-4) \quad H^0(E_{\tilde{u}})_{\mathbb{R}} \cong H^0(E_{u_\epsilon})_{\mathbb{R}} \cong T_{u_\epsilon}\mathcal{P}(X, A)^{\phi, \eta}.$$

As in the proof of Lemma 2.5, the orientation of  $H^0(E_{\tilde{u}})_{\mathbb{R}}$  is canonically determined by the orientation of  $T_{u(q)}L$ . By the argument of the past two paragraphs, the orientation on the left-hand side of (3-3), via the gluing map, gives the orientation on the right-hand side determined by the spin structure; and the orientation on the left-hand side of (3-4) (again via the gluing map) gives the reverse orientation on the right-hand side determined by the real square root.

Finally, in order to complete the comparison, it remains to compare the automorphism groups of domains before and after two different gluings. Let

$$G_0 = \text{Aut}_{\mathbb{R}}([\mathbb{P}_{\text{top}}^1 \cup_q \mathbb{P}_{\text{bot}}^1]) \cong \text{Aut}(\mathbb{P}_{\text{top}}^1, q) \subset \text{PSL}(2, \mathbb{C})$$

be the identity component of the real automorphism group of  $\Sigma$ . This is a real 2-dimensional complex Lie group which has a canonical orientation (although we do not care about its orientation; see Remark 3.4). After replacing  $\Sigma$  with  $\tilde{\Sigma}$ , the real automorphism group of the domain increases by a factor of  $S^1$  and the gluing parameter of the domain takes values in  $\mathbb{C}$ . To kill the extra  $S^1$ -action in both the automorphism group and the gluing parameter, as in the statement of the lemma, we consider the gluing parameter to be positive real (absolute value of the complex one) and restrict to a real 4-dimensional section of  $G_0 \times S^1$ , given by

$$G'_0 = \{(g, e^{i\theta}) \in G_0 \times S^1 : dg|_{T_0\mathbb{P}_{\text{top}}^1} \in R^+ e^{-i\theta}\},$$

which is canonically isomorphic to  $G_0$ . Let

$$\mathcal{C} = \{(z_t, z_0, z_b, \epsilon) \in \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{R}^{\geq 0} : z_t z_0 = \epsilon, z_b \overline{c(z_0)} = \epsilon, \epsilon \in \mathbb{R}^{\geq 0}\}.$$

This is a real one-parameter family of genus-zero real curves over  $\mathbb{R}^{\geq 0}$ ,

$$(z_t, z_0, z_b, \epsilon) \rightarrow \epsilon,$$

with the fiber-preserving involution

$$(z_t, z_0, z_b, \epsilon) \rightarrow (\bar{z}_b, c(z_0), \bar{z}_t, \epsilon)$$

that describes a gluing of the singular real curve  $\tilde{\Sigma}$  into smooth real curves.

Over  $\mathcal{C}$ , consider the group  $G_0^{\mathcal{C}}$  generated by the following set of maps (3-5)

$$R_r : (z_t, z_0, z_b, \epsilon) \rightarrow (r z_t, z_0, r z_b, r \epsilon), \quad r \in \mathbb{R}^+ \text{ close to } 1,$$

$$R_{\theta} : (z_t, z_0, z_b, \epsilon) \rightarrow (e^{-i\theta} z_t, e^{i\theta} z_0, e^{i\theta} z_b, \epsilon), \quad e^{i\theta} \in S^1,$$

$$T_a : (z_t, z_0, z_b, \epsilon) \rightarrow \left( \frac{z_t + (-1)^{|c|} \epsilon^2 \bar{a}}{1 + a z_t}, \frac{z_0 + a \epsilon}{1 + (-1)^{|c|} \epsilon \bar{a} z_0}, \frac{z_b + (-1)^{|c|} a \epsilon^2}{1 + \bar{a} z_b} \right),$$

$a \in \mathbb{C},$

with  $|c|$  defined as in (5-1). This group extends the action of  $G'_0$  to the whole family. Restricted to each fiber  $\mathcal{C}_{\epsilon}$ ,  $\epsilon \neq 0$ ,  $R_{\theta}$  and  $T_a$  generate the 3-dimensional real automorphism group of the fiber,  $G_{\eta}$  or  $G_{\tau}^0$ , depending on  $c$ . Let

$$v_1 = \frac{d}{dr} R_r|_{r=1}, \quad v_2 = \frac{d}{d\theta} R_{\theta}|_{\theta=0}, \quad v_3 + i v_4 = \frac{d}{da} T_a|_{a=0}.$$

Restricted to  $\mathcal{C}_0$ , the vectors  $-v_1, v_2, v_3, v_4$  form an oriented basis of  $T_{\text{id}}G'_0$ . With the conventions of Section 2, the restriction of  $v_2, v_3, v_4$  to  $\mathcal{C}_\epsilon$ ,  $\epsilon \neq 0$ , forms an oriented basis of  $T_{\text{id}}G_\eta$  or  $T_{\text{id}}G_\tau$ . Finally,  $v_1$  (after some positive rescaling) is a lift of the inward normal vector field  $\partial/\partial\epsilon$  to the family. Let  $(T_f\mathcal{M}_1(X, B) \times_{\text{ev}_1} L)^{[\partial\tau]}$  and  $(T_f\mathcal{M}_1(X, B) \times_{\text{ev}_1} L)^{[\partial\eta]}$  denote  $T_f\mathcal{M}_1(X, B) \times_{\text{ev}_1} L$ , oriented as the boundary of  $(\phi, \tau)$ -space and  $(\phi, \eta)$ -space, respectively. Then for each choice of  $c = \tau, \eta$ ,

$$T_f(\mathcal{M}_1(X, B) \times_{\text{ev}_1} L)^{[\partial c]} \oplus \mathbb{R} \cdot v_1 \cong T_f\overline{\mathcal{M}}(X, A)^{\phi, c}$$

is an oriented isomorphism of vector spaces. Adding the oriented basis  $v_2, v_3, v_4$  to both sides, we find that

$$T_f(\mathcal{M}_1(X, B) \times_{\text{ev}_1} L)^{[\partial c]} \oplus \bigoplus_{i=1}^4 \mathbb{R} \cdot v_i \cong T_f\overline{\mathcal{M}}(X, A)^{\phi, c} \oplus \bigoplus_{i=2}^4 \mathbb{R} \cdot v_i \cong T_f(\overline{\mathcal{P}}(X, A)^{\phi, c})$$

is an oriented isomorphism of vector spaces. Finally, replacing the right-hand side by  $H^0(E_{\tilde{u}})_{\mathbb{R}}$ , since the induced orientation on  $H^0(E_{\tilde{u}})_{\mathbb{R}}$  via the spin structure and the real square root are the reverse of each other, we conclude that the orientations  $T_f(\mathcal{P}_1(X, B) \times_{\text{ev}_1} L)^{[\partial\tau]}$  and  $T_f(\mathcal{P}_1(X, B) \times_{\text{ev}_1} L)^{[\partial\eta]}$  are the reverse of each other.  $\square$

This finishes the proof of Theorem 1.7.  $\square$

Thus, if  $K_X$  has a real square root,  $L$  is spin, and  $4 \mid c_1(TX)$ , choosing the spin structure and the square root compatibly,  $\overline{\mathcal{M}}_l(X, A)^\phi$  is closed and oriented. In this case, for  $\theta_1, \dots, \theta_l \in H^*(X)$ , we define real GW invariants by

$$N_A^\phi(\theta_1, \dots, \theta_l) = \int_{[\overline{\mathcal{M}}_l(X, A)^\phi]_{\text{vir}}} \text{ev}_1^*(\theta_1) \wedge \dots \wedge \text{ev}_l^*(\theta_l).$$

**Remark 3.4** In the proof of Proposition 3.3, we did not calculate the fiber product orientation on the left-hand side of (3-2); we just showed that the induced boundary orientations are reverses of each other. After fixing a fiber product orientation convention, it is not hard to compare the induced and fiber product orientations directly.

### 4 Proof of Theorem 1.8

By [5, Proposition 2.1], there exists a symplectic degeneration  $\pi: \mathcal{X} \rightarrow \Delta$  of  $X$  with an induced real structure  $\phi_{\mathcal{X}}$  over a disk  $\Delta \subset \mathbb{C}$  (which we can assume to be the unit disk) such that the central fiber  $X_0 = \pi^{-1}(0)$  is a simple normal crossing symplectic manifold with real structure  $\phi_{\mathcal{X}}|_{X_0}$ ,

$$X_0 = X_- \cup_D X_+, \quad D \cong \mathbb{P}^1 \times \mathbb{P}^1, \quad \phi_{\pm} := \phi_{\mathcal{X}}|_{X_{\pm}},$$

where  $(X_-, \phi_-)$  is symplectomorphic to real quadratic hypersurface in  $\mathbb{P}^4$  given by

$$x_0^2 - \sum_{i=1}^4 x_i^2 = 0, \quad D = (x_0=0),$$

and  $\text{Fix}(\phi_+) = \emptyset$ . Moreover, the fibers over  $\Delta^*$  are smooth and symplectically isotopic to  $(X, \phi)$ . Note that  $\mathcal{N}_D X_+ \cong \mathcal{O}(-1)|_D$ ; therefore, all curves inside  $D$ , as curves in  $X_+$ , have negative intersection with  $D$ .

Via the symplectic sum procedure, every almost complex structure  $J_0$  on  $X_0$ , ie union of two almost complex structures  $J_+$  and  $J_-$  on  $X_+$  and  $X_-$ , respectively, such that both preserve  $D$ , extends to an almost complex structure  $J_{\mathcal{X}}$  on  $\mathcal{X}$ . If the  $J_{\pm}$  are  $(\omega_{\pm}, \phi_{\pm})$ -compatible, the resulting  $J_{\mathcal{X}}$  is also  $(\omega_{\mathcal{X}}, \phi_{\mathcal{X}})$ -compatible. Each fiber of  $\mathcal{X}$  over  $[0, 1] \subset \Delta$  is invariant under  $\phi_{\mathcal{X}}$ . For  $t \neq (0, 1)$ ,

$$(X_t = \pi^{-1}(t), \omega_t = \omega_{\mathcal{X}}|_{X_t}, \phi_t = \phi_{\mathcal{X}}|_{X_t})$$

is isomorphic to  $(X, \omega, \phi)$ ; therefore, we can think of  $\{J_t = J_{\mathcal{X}}|_{X_t}\}_{(0,1]}$  as a family of compatible almost complex structures on  $(X, \omega, \phi)$  converging to the singular almost complex structure  $J_0$ .

Set

$$(4-1) \quad \overline{\mathcal{M}}(X, A, \{J_t\}_{t \in (0,1]})^{\phi} \equiv \bigcup_{t \in (0,1]} \overline{\mathcal{M}}(X, A, J_t)^{\phi}.$$

Let  $\overline{\mathcal{M}}(X, A, \{J_t\}_{t \in [0,1]})^{\phi}$  be the relative stable map compactification of (4-1), as in [5, Section 3.2], which includes “stable” real maps into  $X_0$ . Every element  $(u, \Sigma)$  of  $\overline{\mathcal{M}}(X, A, \{J_t\}_{t \in [0,1]})^{\phi}$  with image in  $X_0$  belongs to a fiber product of real relative moduli spaces over  $X_-$  and  $X_+$  with matching intersections along  $D$ , ie

$$(4-2) \quad \overline{\mathcal{M}}(X_-, D, \rho, \Gamma_-)^{\phi^-} \times_{(\text{ev}_{\xi^-}, \text{ev}_{\xi^+})} \overline{\mathcal{M}}(X_+, D, \rho, \Gamma_+)^{\phi^+},$$

where  $\overline{\mathcal{M}}(X_-, D, \rho, \Gamma_-)^{\phi^-}$  and  $\overline{\mathcal{M}}(X_+, D, \rho, \Gamma_+)^{\phi^+}$  are the relative moduli spaces of real curves, possibly with disconnected domains, with the same intersection pattern  $\rho$ . Here  $\xi^{\pm}$  are the contact points with  $D$ ,  $\text{ev}_{\xi^{\pm}}$  are the evaluation maps at  $\xi^{\pm}$ , and  $\Gamma_{\pm}$  encodes the data corresponding to the topological types of the domain and image; see [5, Section 4] for more details on the definition.

The moduli space  $\overline{\mathcal{M}}(X, A, \{J_t\}_{t \in [0,1]})^{\phi}$  gives a cobordism between the moduli space of real curves  $\overline{\mathcal{M}}(X, J_1, A)^{\phi}$  over a smooth fiber and the moduli space of real curves in the singular fiber. By [5, Proposition 2.1],  $c_1(TX_+) = -PD(D)$ . Therefore, if the

images of the maps in  $\overline{\mathcal{M}}(X_+, D, \rho, \Gamma_+)^{\phi_+}$  have homology class  $B \in H_2(X_+, \mathbb{Z})$  with  $B \cdot D > 0$ , then

$$\dim^{\text{vir}}(\overline{\mathcal{M}}(X_+, D, \rho, \Gamma_+)^{\phi_+}) \leq c_1(TX_+)(B) < 0.$$

This implies that for “generic”  $J_0$ , we should expect the limit maps in  $X_0$  to lie entirely in  $X_+ \setminus D$  or  $X_- \setminus D$ . On the other hand, note that the degree (or symplectic area) of every  $J_-$ -holomorphic map in  $X_-$  is proportionate to its intersection number with  $D$ , because  $D$  is the hyperplane class in  $X_-$ ; therefore, every non-trivial  $J_-$ -holomorphic map in  $X_-$  has non-trivial intersection with  $D$ . We conclude that for such generic  $J_0$ , the only non-empty terms in (4-2) correspond to real  $J_+$ -holomorphic maps inside  $X_+$ . Since  $\phi_+$  has no fixed points, the proof then follows from the Gromov compactness theorem.

The argument for finding such generic  $J_0$  is almost identical to that of [21, Theorem 3.1.5]. We provide the necessary adjustments. Let  $\mathcal{J}_{D,w_+,\phi_+}$  be the space of almost complex structures in  $\mathcal{J}_{w_+,\phi_+}$  which preserve<sup>7</sup>  $TD$ . Similar to the argument in the middle of [21, page 47], the tangent space

$$T_{J_+} \mathcal{J}_{D,w_+,\phi_+}$$

consists of those  $Y \in \text{End}(TX_+)$  where

$$(4-3) \quad \begin{aligned} YJ_+ + J_+Y &= 0, & \omega_+(Yv, w) + \omega_+(v, Yw) &= 0, \\ \phi_+^* Y &= -Y, & \text{and } Y(TD) &\subset TD. \end{aligned}$$

The extra conditions in the second row correspond to compatibility with  $\phi_+$  and  $D$  respectively. In the following argument, we consider two types of moduli spaces. Fix some  $B \in H_2(X_+, \mathbb{Z})$  such that  $B \cdot D > 0$ . For every  $J_+ \in \mathcal{J}_{D,w_+,\phi_+}$ , let  $\mathcal{M}^*(X_+, B, J_+)$  be the (ordinary) moduli space of somewhere injective  $J_+$ -holomorphic spheres of degree  $B$  whose image, as a set in  $X_+$ , is “not” invariant under the action of  $\phi_+$ . Every element of  $\mathcal{M}^*(X_+, B, J_+)$  intersects  $D$  in a finite set of points with total multiplicity  $B \cdot D$ . Similarly, let  $\mathcal{M}^*(X_+, B, J_+)^{\phi_+,\eta}$  be the moduli space of degree  $B$  somewhere injective  $(\phi_+, \eta)$ -maps  $u: (\mathbb{P}^1, \eta) \rightarrow (X_+, \phi_+)$ . By adjusting the proof of [21, Theorem 3.1.5], we prove the following proposition.

**Proposition 4.1** *For every  $B \in H_2(X_+, \mathbb{Z})$  with  $B \cdot D > 0$ , there exists a set of second category  $\mathcal{J}_{D,w_+,\phi_+}^* \subset \mathcal{J}_{D,w_+,\phi_+}$  such that for every  $J_+ \in \mathcal{J}_{D,w_+,\phi_+}^*$ , the moduli spaces  $\mathcal{M}^*(X_+, B, J_+)$  and  $\mathcal{M}^*(X_+, B, J_+)^{\phi_+,\eta}$  are empty.*

<sup>7</sup>We can impose more regularity conditions along  $D$  and it will not affect the argument below. In fact we may even assume that  $J_+$  is holomorphic in a neighborhood of  $D$ .

**Proof** In order to prove this proposition, we show that the proof of [21, Proposition 3.2.1] can be adjusted to the smaller set of almost complex structures  $\mathcal{J}_{D,w_+,\phi_+}$  considered here.

Set

$$(4-4) \quad \mathcal{M}^*(X_+, B, \mathcal{J}_{D,w_+,\phi_+}) \equiv \bigcup_{J_+ \in \mathcal{J}_{D,w_+,\phi_+}} \mathcal{M}^*(X_+, B, J_+).$$

Let  $u: \mathbb{P}^1 \rightarrow X_+$  be a  $J_+$ -holomorphic map in  $\mathcal{M}^*(X_+, B, \mathcal{J}_{D,w_+,\phi_+})$ . With notation similar to the proof of [21, Proposition 3.2.1], we have to show that

$$D\bar{\partial}_{u,J_+}: W^{1,p}(u^*TX_+) \times T_{J_+}\mathcal{J}_{D,w_+,\phi_+} \rightarrow W^0((T^*\mathbb{P}^1)^{0,1} \otimes u^*TX_+)$$

is surjective. Assume, for the sake of contradiction, that there exists a non-trivial

$$\gamma \in L^q((T^*\mathbb{P}^1)^{0,1} \otimes u^*TX_+),$$

with  $1/p + 1/q = 1$ , which annihilates the image of  $D\bar{\partial}_{u,J_+}$ . Then  $\gamma$  is of class  $W^{1,p}$  as well. By assumption, the set of points  $z \in \mathbb{P}^1$  where  $u$  is injective (ie (1-1) holds),  $u(z) \notin D$ , and

$$\phi_+(u(z)) \notin \text{image}(u)$$

is an open dense subset of  $\mathbb{P}^1$ . Choose one such point  $z \in \mathbb{P}^1$  such that  $\gamma(z) \neq 0$ . Then, as in the proof of [21, Proposition 3.2.1], there exists some  $Y \in \text{End}(TX_+)$ , supported in a neighborhood  $U \subset X_+$  of  $u(z)$ , such that

$$U \cap D = \emptyset, \quad \phi_+(U) \cap \text{image}(u) = \emptyset, \quad \int_{u^{-1}(U)} \langle \gamma, Y \circ du \circ j \rangle > 0,$$

and  $Y$  satisfies the first two conditions of (4-3); here  $j$  is the complex structure of  $\mathbb{P}^1$ . We replace  $Y$  over  $\phi_+(U)$  by  $-\phi_+^*Y$  and denote the result by  $Y'$ . Then,  $Y'$  satisfies all the conditions in (4-3) and

$$\int_{\mathbb{P}^1} \langle \gamma, Y' \circ du \circ j \rangle = \int_{u^{-1}(U)} \langle \gamma, Y \circ du \circ j \rangle > 0.$$

Thus,  $Y'$  is an element of  $T_{J_+}\mathcal{J}_{D,w_+,\phi_+}$  which is not annihilated by  $\gamma$ ; this is a contradiction.

Next, we consider

$$(4-5) \quad \mathcal{M}^*(X_+, B, \mathcal{J}_{D,w_+,\phi_+})^{\phi_+,\eta} = \bigcup_{J_+ \in \mathcal{J}_{D,w_+,\phi_+}} \mathcal{M}^*(X_+, B, J_+)^{\phi_+,\eta}.$$

Let  $u: (\mathbb{P}^1, \eta) \rightarrow (X_+, \phi_+)$  be a real  $J_+$ -holomorphic map in the moduli space  $\mathcal{M}^*(X_+, B, \mathcal{J}_{D,w_+,\phi_+})^{\phi_+,\eta}$ . The proof is similar, but involves the real version of

Banach spaces considered above. With notation as in Section 2.3, we have to show that

$$D\bar{\partial}_{u,J_+}: W^{1,p}(u^*TX_+)_{\mathbb{R}} \times T_{J_+}\mathcal{J}_{D,w_+,\phi_+} \rightarrow W^0((T^*\mathbb{P}^1)^{0,1} \otimes u^*TX_+)_{\mathbb{R}}$$

is surjective. Assume, for the sake of contradiction, that there exists some non-trivial  $\gamma \in L^q((T^*\mathbb{P}^1)^{0,1} \otimes u^*TX_+)_{\mathbb{R}}$  which annihilates the image of  $D\bar{\partial}_{u,J_+}$ . By assumption, the set of points  $z \in \mathbb{P}^1$  where  $u$  is injective and  $u(z) \notin D$  is an open dense subset of  $\mathbb{P}^1$ . Choose one such point  $z \in \mathbb{P}^1$  such that  $\gamma(z) \neq 0$ . Then, as in the proof of [21, Proposition 3.2.1], there exists some  $Y \in \text{End}(TX_+)$ , supported in a neighborhood  $U \subset X_+$  of  $u(z)$ , such that

$$U \cap D = \emptyset, \quad \phi_+(U) \cap U = \emptyset, \quad \int_{u^{-1}(U)} \langle \gamma, Y \circ du \circ j \rangle > 0,$$

and  $Y$  satisfies the first two conditions of (4-3). We replace  $Y$  over  $\phi_+(U)$  by  $-\phi_+^*Y$  and denote the result by  $Y'$ . Then,  $Y'$  satisfies all the conditions in (4-3) and

$$\int_{\mathbb{P}^1} \langle \gamma, Y' \circ du \circ j \rangle = 2 \int_{u^{-1}(U)} \langle \gamma, Y \circ du \circ j \rangle > 0.$$

Thus,  $Y'$  is an element of  $T_{J_+}\mathcal{J}_{D,w_+,\phi_+}$  which is not annihilated by  $\gamma$ ; this is a contradiction. □

**Lemma 4.2** *For some  $J_+ \in \mathcal{J}_{D,w_+,\phi_+}$ , let  $u: \mathbb{P}^1 \rightarrow X_+$  be a somewhere injective  $J_+$ -holomorphic map whose image, as a set, is invariant under the action of  $\phi_+$ . Then there exists an antiholomorphic involution  $c$  on  $\mathbb{P}^1$ , conjugate to  $\eta$ , such that  $u$  is a  $(\phi_+, c)$ -real map.*

The lemma implies that every degree  $B$  somewhere injective  $J_+$ -holomorphic sphere  $u$ , where  $B \cdot D > 0$ , either belongs to  $\mathcal{M}^*(X_+, B, J_+)$  or can be enhanced to an element of  $\mathcal{M}^*(X_+, B, J_+)^{\phi_+,\eta}$ .

**Proof** By assumption, outside a finite set of points  $S \subset \mathbb{P}^1$ , every  $z \in \mathbb{P}^1 \setminus S$  is a somewhere injective point and  $u(\mathbb{P}^1 \setminus S)$  is  $\phi_+$ -invariant. The involution  $\phi_+$  canonically lifts to an antiholomorphic involution  $c$  on  $\mathbb{P}^1 \setminus S$  with no fixed point. Then, every such involution has a unique extension across entire  $\mathbb{P}^1$  which, after a reparametrization, is isomorphic to  $\eta$ . □

Let us come back to the proof of Theorem 1.8. By the Gromov compactness theorem and in light of Proposition 4.1, for every  $E > 0$  there exists a  $J_+ \in \mathcal{J}_{D,w_+,\phi_+}$  such that for all  $B \in H_2(X_+, \mathbb{Z})$  with  $B \cdot D > 0$  and  $\omega(B) < E$ , the moduli spaces  $\mathcal{M}^*(X_+, B, J_+)$  and  $\mathcal{M}^*(X_+, B, J_+)^{\phi_+,\eta}$  are empty. For such  $J_+$ , assume by way of contradiction that there exists a non-trivial element  $f$  in  $\overline{\mathcal{M}}(X_+, D, \rho, \Gamma_+)^{\phi_+}$  with homology class

$B$  and  $\omega(B) < E$ . This element should have a smooth component, ie a map over some  $\mathbb{P}^1$ , which is a multiple cover of some somewhere injective map with non-trivial homology class  $B'$ , with  $\omega(B') > 0$  and  $B' \cdot D > 0$ . This somewhere injective map either belongs to  $\mathcal{M}^*(X_+, B', J_+)$ , or it belongs to  $\mathcal{M}^*(X_+, B', J_+)^{\phi_+, \eta}$ , or by [Lemma 4.2](#) it can be enhanced to an element of  $\mathcal{M}^*(X_+, B', J_+)^{\phi_+, \eta}$ . This is a contradiction to the assumption on  $J_+$ .

Starting from a  $J_+$  as in [Proposition 4.1](#) and extending it to  $J_{\mathcal{X}}$  on  $\mathcal{X}$ , in light of the Gromov compactness theorem and the fact that a limit of  $\tau$ -maps has non-trivial components in  $X_-$ , the conclusion of the previous paragraph implies that for some  $t_0 > 0$ , all the moduli spaces  $\{\overline{\mathcal{M}}(X, A, J_t)^{\phi, \eta}\}_{0 < t < t_0}$ , where  $A$  is non-trivial and  $\omega(A) < E$ , should be empty. This finishes the proof of [Theorem 1.8](#). □

## Part II Odd-dimensional projective spaces (joint with A Zinger)

### 5 Orientations for the moduli spaces

We give an explicit description of real maps from  $\mathbb{P}^1$  to  $\mathbb{P}^{2m-1}$  in [Section 5.1](#) and use it in [Section 5.2](#) to endow the moduli spaces of such maps with orientations. In [Section 5.3](#), we show that the sign of the diffeomorphism

$$\text{ev}_1: \mathcal{M}_1(\mathbb{P}^{2m-1}, 1)^{\phi, c} \longrightarrow \mathbb{P}^{2m-1}, \quad [u, (z^+, z^-)] \longrightarrow u(z^+),$$

is  $(-1)^{m-1}$  with the respect to the algebraic orientation of [Section 5.2](#) on the domain and the complex orientation in the target whenever

$$(\phi, c) = (\tau_{2m-1}, \tau), (\eta_{2m-1}, \eta);$$

otherwise, the moduli space above is empty. This is also the sign of the real line through a pair of conjugate points with respect to these orientations. In [Section 5.4](#), we focus on the even-degree maps and show the conclusion of [Proposition 3.3](#) applies to the algebraic orientations of [Section 5.2](#); see [Proposition 5.5](#). In [Section 5.5](#), we describe the canonical real square root structure on  $K_{\mathbb{P}^{4m-1}}$  and spin structure on  $\mathbb{R}\mathbb{P}^{4m-1}$  induced by the exact sequence [\(1-16\)](#) and used to define the numbers [\(1-17\)](#).

The algebraic orientations and the orientations on the moduli spaces arising from the structures of [Section 5.5](#) are compared in [Corollary 6.8](#); its conclusions are summarized at the end of [Section 5.2](#). Along with this corollary, [Proposition 5.5](#) provides a direct verification of the claim of [Proposition 3.3](#) for  $(\mathbb{P}^{4m-1}, \tau_{4m-1})$  with the real square root structure and spin structure of [Section 5.5](#).

For the remainder of the paper,  $c = \tau, \eta$  and  $\phi = \tau_{2m-1}, \eta_{2m-1}$ . Define

$$(5-1) \quad |c| = \begin{cases} 0 & \text{if } c = \tau, \\ 1 & \text{if } c = \eta; \end{cases} \quad |\phi| = \begin{cases} 0 & \text{if } \phi = \tau_{2m-1}, \\ 1 & \text{if } \phi = \eta_{2m-1}. \end{cases}$$

We identify  $0 \in \mathbb{C}$  and  $\infty$  with  $[1, 0] \in \mathbb{P}^1$  and  $[0, 1] \in \mathbb{P}^1$ , respectively.

### 5.1 Spaces of parametrized maps

For  $m, d \in \mathbb{Z}^+$ , the space  $\mathcal{P}_0(\mathbb{P}^{2m-1}, d)^{\phi, c}$  of (parametrized)  $(\phi, c)$ -real degree  $d$  holomorphic maps consists of maps of the form

$$(5-2) \quad u: \mathbb{P}^1 \longrightarrow \mathbb{P}^{2m-1}, \quad [x, y] \longrightarrow [p_1(x, y), q_1(x, y), \dots, p_m(x, y), q_m(x, y)],$$

where  $p_1, q_1, \dots, p_m, q_m$  are degree  $d$  homogeneous polynomials in two variables without a common factor which satisfy some compatibility properties. Suppose

$$p_i(x, y) = A_i \prod_{r=1}^d (a_{i;r}x + (-1)^{|c|} b_{i;r}y), \quad q_i(x, y) = \bar{B}_i \prod_{r=1}^d (a'_{i;r}x + b'_{i;r}y).$$

The condition  $u \circ c = \phi \circ \tau$  is then equivalent to the existence of  $\zeta \in \mathbb{C}^*$  such that

$$\begin{aligned} (-1)^{|\phi|} B_i(\bar{a}'_{i;r}, \bar{b}'_{i;r}) &= \zeta \cdot (-1)^{|c|d} A_i(b_{i;r}, a_{i;r}), \\ \bar{A}_i(\bar{a}_{i;r}, (-1)^{|c|} \bar{b}_{i;r}) &= \zeta \cdot \bar{B}_i(b'_{i;r}, (-1)^{|c|} a'_{i;r}) \end{aligned}$$

for all  $i$  and  $r$ . These two requirements are in turn equivalent to

$$(5-3) \quad |\phi| + |c|d \in 2\mathbb{Z}, \quad |\zeta| = 1, \quad \zeta \bar{B}_i(a'_{i;r}, b'_{i;r}) = \bar{A}_i(\bar{b}_{i;r}, \bar{a}_{i;r}) \quad \forall i, r.$$

**Proof of Lemma 1.9** For  $c = \eta$ , the first condition in (5-3) becomes  $|\phi| + d \in 2\mathbb{Z}$ . If it is not satisfied, the space  $\mathcal{P}_0(\mathbb{P}^{2m-1}, d)^{\phi, \eta}$  of parametrized maps is empty. This immediately implies that the stratum of the moduli space  $\mathcal{M}_l(\mathbb{P}^{2m-1}, d)^{\phi, \eta}$  consisting of smooth maps is empty. The claims of Lemma 1.9 are then obtained by observing that any map in a boundary stratum contains a real map from  $(\mathbb{P}^1, \eta)$  whose degree is of the same parity as  $d$ . □

From (5-3), we obtain the following observation.

**Lemma 5.1** *Suppose  $c = \tau, \eta$ ,  $\phi = \tau_{2m-1}, \eta_{2m-1}$ , and  $d \in \mathbb{Z}^+$  are such that  $|\phi| + |c|d \in 2\mathbb{Z}$ . The map (5-2) is  $(\phi, c)$ -real if and only if*

$$p_i(x, y) = A_i \prod_{r=1}^d (a_{i;r}x + (-1)^{|c|} b_{i;r}y), \quad q_i(x, y) = \bar{B}_i \prod_{r=1}^d (\bar{b}_{i;r}x + \bar{a}_{i;r}y),$$

for some  $A_i, B_i \in \mathbb{C}$  and  $[a_{i;r}, b_{i;r}] \in \mathbb{P}^1$  such that

$$|A_i| = |B_i| \quad \forall i = 1, \dots, m, \quad [A_1, \dots, A_m] = [B_1, \dots, B_m] \in \mathbb{P}^{m-1},$$

ie  $(B_1, \dots, B_m) = \zeta(A_1, \dots, A_m)$  for some  $\zeta \in S^1 \subset \mathbb{C}$ .

For  $a, b \in \mathbb{C}$  define

$$p_{a,b}: \mathbb{C}^2 \longrightarrow \mathbb{C}, \quad p_{a,b}(x, y) = ax + by.$$

Taking  $m = 1, \phi = c$ , and  $d = 1$  in Lemma 5.1, we find that

$$\begin{aligned} \{(a, b) \in \mathbb{C}^2 : |a| \neq |b|\} / \mathbb{R}^* &\longrightarrow G_\tau \equiv \text{Aut}(\mathbb{P}^1, \tau), \quad [a, b] \longrightarrow [p_{a,b}, p_{\bar{b}, \bar{a}}], \\ \{(a, b) \in \mathbb{C}^2 - 0\} / \mathbb{R}^* &\longrightarrow G_\eta \equiv \text{Aut}(\mathbb{P}^1, \eta), \quad [a, b] \longrightarrow [p_{a,-b}, p_{\bar{b}, \bar{a}}], \end{aligned}$$

are diffeomorphisms. In particular,  $G_\tau$  has two topological components, with the automorphism

$$\vartheta: \mathbb{P}^1 \longrightarrow \mathbb{P}^1, \quad \vartheta([x, y]) = [y, x],$$

contained in the non-identity component.

### 5.2 Algebraic orientations

For  $m, d \in \mathbb{Z}^+$ , let  $\Delta_{m,d}^\eta = \emptyset$  and

$$\Delta_{m,d}^\tau = \left\{ ([b_{1;1}, \dots, b_{1;d}], \dots, [b_{m;1}, \dots, b_{m;d}]) \in (\text{Sym}^d \mathbb{C})^m : S^1 \cap \bigcap_{i=1}^m \{b_{i;r} : r = 1, \dots, d\} \neq \emptyset \right\}.$$

We identify  $\mathbb{R}\mathbb{P}^{2m-1}$  with  $(\mathbb{C}^m - \{0\}) / \mathbb{R}^*$ , viewing the  $i^{\text{th}}$  complex coordinate as the  $(2i - 1)$  and  $2i^{\text{th}}$  real components.

Suppose  $c = \tau, \eta, \phi = \tau_{2m-1}, \eta_{2m-1}$ , and  $d \in \mathbb{Z}^+$  are such that  $|\phi| + |c|d \in 2\mathbb{Z}$ . By Lemma 5.1, the map

$$\Theta_c: ((\text{Sym}^d \mathbb{C})^m - \Delta_{m,d}^c) \times \mathbb{R}\mathbb{P}^{2m-1} \longrightarrow \mathcal{P}_0(\mathbb{P}^{2m-1}, d)^{\phi,c}$$

given by

$$\begin{aligned} &([b_{1;1}, \dots, b_{1;d}], \dots, [b_{m;1}, \dots, b_{m;d}], [A_1, \dots, A_m]) \\ &\longrightarrow \left[ A_1 \prod_{r=1}^d p_{1,(-1)^{|c|}b_{1;r}}, \bar{A}_1 \prod_{r=1}^d p_{\bar{b}_{1;r}, 1}, \dots, A_m \prod_{r=1}^d p_{1,(-1)^{|c|}b_{m;r}}, \bar{A}_m \prod_{r=1}^d p_{\bar{b}_{m;r}, 1} \right] \end{aligned}$$

is a diffeomorphism over the open subset of  $\mathcal{P}_0(\mathbb{P}^{2m-1}, d)^{\phi, c}$  consisting of maps  $u$  such that  $u([1, 0])$  does not lie in any of the coordinate subspaces of  $\mathbb{P}^{2m-1}$ . Since the complement of this subspace is of codimension 2,  $\Theta_c$  induces an orientation on  $\mathcal{P}_0(\mathbb{P}^{2m-1}, d)^{\phi, c}$ . The map

$$\Theta'_c: ((\text{Sym}^d \mathbb{C})^m - \Delta_{m,d}^c) \times \mathbb{R}\mathbb{P}^{2m-1} \longrightarrow \mathcal{P}_0(\mathbb{P}^{2m-1}, d)^{\phi, c}$$

given by

$$([a_{1;1}, \dots, a_{1;d}], \dots, [a_{m;1}, \dots, a_{m;d}], [B_1, \dots, B_m]) \\ \longrightarrow \left[ \bar{B}_1 \prod_{r=1}^d p_{\bar{a}_{1;r}, (-1)^{|c|}}, B_1 \prod_{r=1}^d p_{1, a_{1;r}}, \dots, \bar{B}_m \prod_{r=1}^d p_{\bar{a}_{m;r}, (-1)^{|c|}}, B_m \prod_{r=1}^d p_{1, a_{m;r}} \right]$$

is also a diffeomorphism over this open subset of  $\mathcal{P}_0(\mathbb{P}^{2m-1}, d)^{\phi, c}$ . The two diffeomorphisms induce the same orientation on  $\mathcal{P}_0(\mathbb{P}^{2m-1}, d)^{\phi, c}$  if and only if  $(d + 1)m \in 2\mathbb{Z}$ . In particular, the two orientations are the same if  $d \notin 2\mathbb{Z}$ .

The action of the automorphism  $\vartheta$  of  $(\mathbb{P}^1, \tau)$  lifts over  $\Theta$  and  $\Theta'$  as

$$((\text{Sym}^d \mathbb{C})^m - \Delta_{m,d}^\tau) \times \mathbb{R}\mathbb{P}^{2m-1} \longrightarrow ((\text{Sym}^d \mathbb{C})^m - \Delta_{m,d}^\tau) \times \mathbb{R}\mathbb{P}^{2m-1}, \\ ([b_{1;1}, \dots, b_{1;d}], \dots, [b_{m;1}, \dots, b_{m;d}], [A_1, \dots, A_m]) \\ \longrightarrow ([b_{1;1}^{-1}, \dots, b_{1;d}^{-1}], \dots, [b_{m;1}^{-1}, \dots, b_{m;d}^{-1}], [A_1 b_{1;1} \dots b_{1;d}, \dots, A_m b_{m;1} \dots b_{m;d}]).$$

This lift is orientation-preserving. Since the group  $G_\tau$  has two topological components, with  $\vartheta$  contained in the non-identity component, and the group  $G_\eta$  is connected, it follows that the above orientations descend to orientations on the quotient

$$\mathcal{M}_0(\mathbb{P}^{2m-1}, d)^{\phi, c} = \mathcal{P}_0(\mathbb{P}^{2m-1}, d)^{\phi, c} / G_c.$$

This implies that the moduli space  $\mathcal{M}_l(\mathbb{P}^{2m-1}, d)^{\phi, c}$  is orientable for all  $c, \phi$  and all  $m, d, l$ .

Let  $\pi: \mathbb{C}^m - 0 \longrightarrow \mathbb{R}\mathbb{P}^{2m-1}$  be the projection map. The standard action of  $\mathbb{R}^*$  on  $\mathbb{C}^m - 0$  determines an isomorphism

$$\Lambda_{\mathbb{R}}^{\text{top}} \mathbb{C}^m |_{\mathbb{C}^m - 0} \approx \pi^* \Lambda_{\mathbb{R}}^{\text{top}}(\mathbb{R}\mathbb{P}^{2m-1}) \otimes_{\mathbb{R}} \mathbb{R}$$

of real line bundles over  $\mathbb{C}^m - 0$ . We orient  $\mathbb{R}\mathbb{P}^{2m-1}$  from the standard orientations of  $\mathbb{C}^m$  and  $\mathbb{R}^+$  via this isomorphism. Thus,  $v_1, \dots, v_{2m-1} \in T_w \mathbb{C}^m$  descends to an oriented basis for  $\mathbb{R}\mathbb{P}^{2m-1}$  if  $v_1, \dots, v_{2m-1}, w$  is an oriented basis for  $\mathbb{C}^m$ . For example, an oriented pair of vectors in each of  $m - 1$  of the complex components

of  $\mathbb{C}^m$  and the negative rotation in the remaining component determine an oriented basis on  $\mathbb{R}\mathbb{P}^{2m-1}$ . In particular, the covering projection

$$S^1 \longrightarrow \mathbb{R}\mathbb{P}^1 = \mathbb{C}^*/\mathbb{R}^*, \quad \zeta \longrightarrow [\zeta],$$

is orientation-reversing with respect to the standard orientation on  $S^1 \subset \mathbb{C}$  and our orientation on  $\mathbb{R}\mathbb{P}^1$ .

We will call the orientations on  $\mathcal{P}_0(\mathbb{P}^{2m-1}, d)^{\phi, c}$  and  $\mathcal{M}_l(\mathbb{P}^{2m-1}, d)^{\phi, c}$  induced by  $\Theta_c$  the algebraic orientations; they agree with the orientations induced by  $\Theta'_c$  unless  $d \in 2\mathbb{Z}$  and  $m \notin 2\mathbb{Z}$ . By Corollary 6.8, the algebraic orientation of  $\mathcal{M}_l(\mathbb{P}^{2m-1}, d)^{\phi, c}$  is

- the opposite of the orientation induced by the spin structure on  $\mathbb{R}\mathbb{P}^{2m-1}$  described in Section 5.5 if  $m \in 2\mathbb{Z}$ ,  $d \notin 2\mathbb{Z}$ , and  $(\phi, c) = (\tau_{2m-1}, \tau)$ ;
- the opposite of the orientation induced by the real square root of  $K_{\mathbb{P}^{2m-1}}$  described in Section 5.5 if  $m \in 2\mathbb{Z}$ ,  $d \notin 2\mathbb{Z}$ , and  $(\phi, c) = (\eta_{2m-1}, \eta)$ ;
- the same as the orientation induced by the relative spin structure on  $\mathbb{R}\mathbb{P}^{2m-1}$  described at the end of Section 6.4 if  $m \notin 2\mathbb{Z}$ ,  $d \notin 2\mathbb{Z}$ , and  $(\phi, c) = (\tau_{2m-1}, \tau)$ ;
- the same as the orientation induced by the spin sub-structure on the real line bundle  $K_{\mathbb{P}^{2m-1}}$  described at the end of Section 6.4 if  $m \notin 2\mathbb{Z}$ ,  $d \notin 2\mathbb{Z}$ , and  $(\phi, c) = (\eta_{2m-1}, \eta)$ .

### 5.3 Moduli spaces of degree 1 maps

We will next note some properties of the algebraic orientation on  $\mathcal{M}_l(\mathbb{P}^{2m-1}, 1)^{\phi, c}$ .

**Lemma 5.2** *Let  $c = \tau, \eta$ .*

- (1) *The algebraic orientation on  $G_c = \mathcal{P}_0(\mathbb{P}^1, 1)^{c, c}$  is the opposite of the canonical orientation specified at the beginning of Section 2.*
- (2) *With respect to the algebraic orientation,  $\mathcal{M}_0(\mathbb{P}^1, 1)^{c, c}$  is a single positive point.*

**Proof** For  $m = 1$  and  $d = 1$ , the map  $\Theta_c$  determining the algebraic orientation reduces to

$$(\mathbb{C} - \Delta_{1,1}^c) \times \mathbb{R}\mathbb{P}^1 \longrightarrow \mathcal{P}_0(\mathbb{P}^1, 1)^{c, c},$$

$$(b, [e^{i\theta}]) \longrightarrow \frac{e^{-i\theta}}{e^{i\theta}} \cdot \frac{\bar{b}x + y}{x + (-1)^{|c|}by} = e^{-2i\theta} \cdot \frac{z + \bar{b}}{1 + (-1)^{|c|}bz}.$$

At  $(0, [1])$ , the left-hand side above is oriented by the complex orientation of  $\mathbb{C}$  and the negative  $\theta$ -direction. The right-hand side is oriented by the complex orientation of  $a$  and the positive  $\theta$ -direction in

$$(a, e^{i\theta}) \longrightarrow e^{i\theta} \cdot \frac{z+a}{1+(-1)^{|c|}\bar{a}z};$$

see the beginning of Section 2. Thus, the first map above is orientation-reversing (orientation-reversing on  $\mathbb{C}$  and orientation-preserving on  $\theta$ ). This establishes the first claim. The second claim of this lemma follows from the first and Lemma 2.2.  $\square$

With  $c, \phi$ , and  $m$  as above, let

$$\text{ev}_0: \mathcal{P}_0(\mathbb{P}^{2m-1}, 1)^{\phi,c} \longrightarrow \mathbb{P}^{2m-1}, \quad u \longrightarrow u(0),$$

denote the evaluation at  $0 \in \mathbb{P}^1$ . Let

$$\mathcal{N}^m \mathbb{P} \equiv \frac{T\mathbb{P}^{2m+1}|_{T\mathbb{P}^{2m-1}}}{T\mathbb{P}^{2m-1}} \quad \text{and} \quad \mathcal{N}^m \mathcal{P} \equiv \frac{T\mathcal{P}_0(\mathbb{P}^{2m+1}, 1)^{\phi,c}|_{\mathcal{P}_0(\mathbb{P}^{2m-1}, 1)^{\phi,c}}}{T\mathcal{P}_0(\mathbb{P}^{2m-1}, 1)^{\phi,c}}$$

respectively denote the normal bundle of  $\mathbb{P}^{2m-1}$  in  $\mathbb{P}^{2m+1}$  and the normal bundle of  $\mathcal{P}_0(\mathbb{P}^{2m-1}, 1)^{\phi,c}$  in  $\mathcal{P}_0(\mathbb{P}^{2m+1}, 1)^{\phi,c}$ . The complex orientations on the projective spaces induce an orientation on  $\mathcal{N}^m \mathbb{P}$ . If  $|\phi| = |c|$ , the algebraic orientations on the spaces of parametrized maps induce an orientation on  $\mathcal{N}^m \mathcal{P}$ . The differential of  $\text{ev}_0$  descends to an isomorphism

$$(5-4) \quad \text{dev}_0: \mathcal{N}^m \mathcal{P} \longrightarrow \text{ev}_0^* \mathcal{N}^m \mathbb{P}.$$

**Lemma 5.3** *Let  $c = \tau, \eta$  and  $\phi = \tau_{2m-1}, \eta_{2m-1}$ . If  $|\phi| = |c|$ , the isomorphism (5-4) is orientation-reversing with respect to the algebraic orientation on the domain and the complex orientation on the target.*

**Proof** It is sufficient to establish the claim near the image  $u_0$  of

$$(0, \dots, 0, [1, 0, \dots, 0]) \in \mathbb{C}^m \times \mathbb{R}\mathbb{P}^{2m-1}$$

under  $\Theta_c$ . The left-hand side in (5-4) is then oriented by the complex orientations of  $A_{m+1}$  and  $b_{m+1} \equiv b_{m+1;1}$ . Near  $u_0$ , the map  $\text{ev}_0$  between the normal neighborhoods can be written as

$$\mathbb{C}^2 \longrightarrow \mathbb{C}^2, \quad (A_{m+1}, b_{m+1}) \longrightarrow (A_{m+1}, \bar{A}_{m+1} \bar{b}_{m+1}).$$

This map is orientation-reversing near  $u_0$ .  $\square$

The moduli spaces

$$\begin{aligned} \overline{\mathcal{M}}_0(\mathbb{P}^{2m-1}, 1)^{\tau_{2m-1}} &= \mathcal{M}_0(\mathbb{P}^{2m-1}, 1)^{\tau_{2m-1}, \tau}, \\ \overline{\mathcal{M}}_0(\mathbb{P}^{2m-1}, 1)^{\eta_{2m-1}} &= \mathcal{M}_0(\mathbb{P}^{2m-1}, 1)^{\eta_{2m-1}, \eta}, \end{aligned}$$

are compact manifolds. Using the algebraic orientations on these spaces, we can thus define the numbers

$$\tilde{N}_1^\phi(2m-1) = \int_{[\overline{\mathcal{M}}_1(\mathbb{P}^{2m-1}, 1)^\phi]} \text{ev}_0^* H^{2m-1}$$

for  $\phi = \tau_{2m-1}, \eta_{2m-1}$ ; they are signed counts of real lines in  $\mathbb{P}^{2m-1}$  passing through a pair of conjugate points.

**Corollary 5.4** *If  $\phi = \tau_{2m-1}, \eta_{2m-1}$ , then*

$$\tilde{N}_1^\phi(2m-1) = (-1)^{m-1}.$$

**Proof** It is sufficient to show that

$$(5-5) \quad \tilde{N}_1^\phi(1) = 1, \quad \tilde{N}_1^\phi(2m+1) = -\tilde{N}_1^\phi(2m-1).$$

The map  $u_0$  in the proof of Lemma 5.3 is the only element of  $\mathcal{M}_0(\mathbb{P}^{2m-1}, 1)^\phi$  passing through the point

$$P_1 \equiv [1, 0, \dots, 0] \in \mathbb{P}^{2m-1}.$$

The sign of this element is the sign of the isomorphism

$$(5-6) \quad d_{[u_0, 0]} \text{ev}_1: T_{[u_0, 0]} \mathcal{M}_1(\mathbb{P}^{2m-1}, 1)^\phi \longrightarrow T_{P_1} \mathbb{P}^{2m-1}.$$

The orientation on the domain of this map is obtained via the exact sequence

$$(5-7) \quad 0 \longrightarrow T_0 \mathbb{P}^1 \longrightarrow T_{[u_0, 0]} \mathcal{M}_1(\mathbb{P}^{2m-1}, 1)^\phi \longrightarrow T_{[u_0]} \mathcal{M}_0(\mathbb{P}^{2m-1}, 1)^\phi \longrightarrow 0,$$

from the algebraic orientation of  $\mathcal{M}_0(\mathbb{P}^{2m-1}, 1)^\phi$  and the complex orientation of  $\mathbb{P}^1$ . By the second statement of Lemma 5.2, the first arrow in (5-7) is an orientation-preserving isomorphism if  $m = 1$ . Since its composition with (5-6) is the identity if  $m = 1$ , the first claim in (5-5) holds.

Let  $\mathcal{N}^m \mathcal{M}$  denote the normal bundle of  $\mathcal{M}_1(\mathbb{P}^{2m-1}, 1)^\phi$  in  $\mathcal{M}_1(\mathbb{P}^{2m+1}, 1)^\phi$ . The differential (5-6) induces a commutative diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & T_{[u_0, 0]} \mathcal{M}_1(\mathbb{P}^{2m-1}, 1)^\phi & \longrightarrow & T_{[u_0, 0]} \mathcal{M}_1(\mathbb{P}^{2m+1}, 1)^\phi & \longrightarrow & \mathcal{N}_{[u_0]}^m \mathcal{M} \longrightarrow 0 \\ & & \downarrow d_{[u_0, 0]} & & \downarrow d_{[u_0, 0]} & & \downarrow d_{[u_0, 0]} \\ 0 & \longrightarrow & T_{P_1} \mathbb{P}^{2m-1} & \longrightarrow & T_{P_1} \mathbb{P}^{2m+1} & \longrightarrow & \mathcal{N}_{P_1}^m \mathbb{P} \longrightarrow 0. \end{array}$$

The second claim in (5-5) is equivalent to the isomorphism given by the last vertical arrow above being orientation-reversing. The projection

$$\mathcal{P}_0(\mathbb{P}^{2m+1}, 1)^\phi \longrightarrow \mathcal{M}_1(\mathbb{P}^{2m+1}, 1)^\phi, \quad u \longrightarrow [u, 0],$$

pulls back this isomorphism to the isomorphism (5-4) at  $[u_0]$ . The latter is orientation-reversing by Lemma 5.3. □

### 5.4 Moduli spaces of even degree maps

Since  $\text{Fix}(\eta_{2m-1}) = \emptyset$ ,

$$\mathcal{M}_l(\mathbb{P}^{2m-1}, d)^{\eta_{2m-1}, \tau} = \emptyset \quad \forall d \in \mathbb{Z}.$$

By Lemma 1.9,

$$\mathcal{M}_l(\mathbb{P}^{2m-1}, d)^{\eta_{2m-1}, \eta} = \emptyset \quad \forall d \in 2\mathbb{Z}.$$

On the other hand, Lemma 5.1 implies that the moduli spaces

$$(5-8) \quad \mathcal{M}_l(\mathbb{P}^{2m-1}, 2d)^{\tau_{2m-1}, \tau} \quad \text{and} \quad \mathcal{M}_l(\mathbb{P}^{2m-1}, 2d)^{\tau_{2m-1}, \eta}$$

are both non-empty for all  $d \in \mathbb{Z}^+$ . They have common codimension-one boundary

$$(5-9) \quad \partial^1 \overline{\mathcal{M}}_l(\mathbb{P}^{2m-1}, 2d)^{\tau_{2m-1}, \tau} = \partial^1 \overline{\mathcal{M}}_l(\mathbb{P}^{2m-1}, 2d)^{\tau_{2m-1}, \eta}$$

consisting of real maps from a wedge of two copies of  $\mathbb{P}^1$  interchanged by an orientation-reversing involution; the corresponding image curves then have an isolated real node. The first moduli space also has a boundary component consisting of real maps from a wedge of two copies of  $\mathbb{P}^1$  with an involution preserving each copy; the corresponding image curves then have a non-isolated real node. By the next statement, the conclusion of Proposition 3.3 applies to the algebraic orientations on the moduli spaces (5-8).

**Proposition 5.5** *For all  $d \in \mathbb{Z}^+$ , the orientations on the codimension 1 boundary (5-9) induced by the algebraic orientations on the moduli spaces (5-8) are the same.*

**Proof** It is sufficient to consider the case  $l = 1$ . Let

$$\mathbb{P}_{2m}^{2m-1} \equiv \{[Z_1, \dots, Z_{2m}] \in \mathbb{P}^{2m-1} : Z_{2m} = 0\}.$$

For  $c = \tau, \eta$ , define

$$\mathcal{M}_\bullet(\mathbb{P}^{2m-1}, 2d)^{\tau_{2m-1}, c} = \{[u, 0] \in \mathcal{M}_1(\mathbb{P}^{2m-1}, 2d)^{\tau_{2m-1}, c} : u(0) \in \mathbb{P}_{2m}^{2m-1}\}$$

and let

$$\mathcal{P}_\bullet(\mathbb{P}^{2m-1}, 2d)^{\tau_{2m-1}, c} \subset \mathcal{P}_0(\mathbb{P}^{2m-1}, 2d)^{\tau_{2m-1}, c}$$

be the preimage of  $\mathcal{M}_\bullet(\mathbb{P}^{2m-1}, 2d)^{\tau_{2m-1},c}$  under the projection

$$\mathcal{P}_0(\mathbb{P}^{2m-1}, 2d)^{\tau_{2m-1},c} \longrightarrow \mathcal{M}_1(\mathbb{P}^{2m-1}, 2d)^{\tau_{2m-1},c}, \quad u \longrightarrow [u, 0].$$

The action of the subgroup  $S^1 \subset G_c$  of rotations around 0 restricts to an action on  $\mathcal{P}_\bullet(\mathbb{P}^{2m-1}, 2d)^{\tau_{2m-1},c}$  and

$$(5-10) \quad \mathcal{M}_\bullet(\mathbb{P}^{2m-1}, 2d)^{\tau_{2m-1},c} = \mathcal{P}_\bullet(\mathbb{P}^{2m-1}, 2d)^{\tau_{2m-1},c} / S^1.$$

Let  $\mathcal{P}_0(\mathbb{P}^{2m-1}, d)$  denote the space of (parametrized) degree  $d$  holomorphic maps  $u: \mathbb{P}^1 \longrightarrow \mathbb{P}^{2m-1}$ . Define

$$\mathcal{M}_\bullet(\mathbb{P}^{2m-1}, d)_\mathbb{R} = \{[u, 0, \infty] \in \mathcal{M}_2(\mathbb{P}^{2m-1}, d) : u(0) \in \mathbb{P}^{2m-1}_{2m}, u(\infty) \in \text{Fix}(\tau_{2m-1})\}$$

and let

$$\mathcal{P}_\bullet(\mathbb{P}^{2m-1}, d)_\mathbb{R} \subset \mathcal{P}_0(\mathbb{P}^{2m-1}, d)$$

be the preimage of  $\mathcal{M}_\bullet(\mathbb{P}^{2m-1}, d)_\mathbb{R}$  under the projection

$$(5-11) \quad \mathcal{P}_0(\mathbb{P}^{2m-1}, d) \longrightarrow \mathcal{M}_2(\mathbb{P}^{2m-1}, d), \quad u \longrightarrow [u, 0, \infty].$$

Thus,

$$(5-12) \quad \partial^1 \overline{\mathcal{M}}_\bullet(\mathbb{P}^{2m-1}, 2d)^{\tau_{2m-1},\tau}, \partial^1 \overline{\mathcal{M}}_\bullet(\mathbb{P}^{2m-1}, 2d)^{\tau_{2m-1},\eta} = \mathcal{M}_\bullet(\mathbb{P}^{2m-1}, d)_\mathbb{R}.$$

The actions of the subgroup  $S^1 \subset \text{PSL}(2, \mathbb{C})$  of rotations around 0 and the subgroup  $\mathbb{R}^+ \subset \text{PSL}(2, \mathbb{C})$  of scaling from  $\infty$  restrict to actions on  $\mathcal{P}_\bullet(\mathbb{P}^{2m-1}, d)_\mathbb{R}$  and

$$\mathcal{M}_\bullet(\mathbb{P}^{2m-1}, d)_\mathbb{R} = \mathcal{P}_\bullet(\mathbb{P}^{2m-1}, d)_\mathbb{R} / (\mathbb{R}^+ \times S^1).$$

Let

$$\begin{aligned} \mathcal{L}^+ &= \mathcal{P}_\bullet(\mathbb{P}^{2m-1}, d)_\mathbb{R} \times_{\mathbb{R}^+} \overline{\mathbb{R}^+} \longrightarrow \mathcal{P}_\bullet(\mathbb{P}^{2m-1}, d)_\mathbb{R} / \mathbb{R}^+, \\ \mathcal{L}^+(1) &= \{[u, \epsilon] \in \mathcal{L}^+ : \epsilon < 1\}, \quad \mathcal{L}^+_0(1) = \{[u, \epsilon] \in \mathcal{L}^+ : \epsilon \in (0, 1)\}. \end{aligned}$$

Let

$$[d] = \{1, \dots, d\}.$$

For  $\epsilon \in \mathbb{R}$  and  $\mathbf{b} \in (\text{Sym}^d \mathbb{C})^{2m}$ , let  $\epsilon \mathbf{b}$  be the element of  $(\text{Sym}^d \mathbb{C})^{2m}$  obtained from  $\mathbf{b}$  by multiplying all coordinates of  $\mathbf{b}$  by  $\epsilon$ . The group  $\mathbb{R}^+$  acts on  $(\text{Sym}^d \mathbb{C})^{2m}$  by

$$\mathbb{R}^+ \times (\text{Sym}^d \mathbb{C})^{2m} \longrightarrow (\text{Sym}^d \mathbb{C})^{2m}, \quad (\zeta, \mathbf{b}) \longrightarrow \zeta^{-1} \mathbf{b}.$$

Let

$$\widetilde{\mathcal{L}}^+ = (\text{Sym}^d \mathbb{C})^{2m} \times_{\mathbb{R}^+} \overline{\mathbb{R}^+} \longrightarrow (\text{Sym}^d \mathbb{C})^{2m} / \mathbb{R}^+.$$

We define

$$\begin{aligned} \mathbb{R}\mathbb{P}_\bullet^{2m-1} &= (\mathbb{C}^*)^m / \mathbb{R}^* \subset \mathbb{R}\mathbb{P}^{2m-1}, \\ (\text{Sym}^d \mathbb{C})_\bullet^{2m} &= \left\{ [(b_{i;r})_{r \in [d]}]_{i \in [2m]} \in (\text{Sym}^d \mathbb{C})^{2m} : b_{2m;m+1} \dots b_{2m;d} = 0, \right. \\ &\quad \left. |b_{i;r}| < 1 \ \forall i, r, \ \bigcap_{i=1}^m \{b_{i;r} : r \in [d]\} \cap \bigcap_{i=1}^m \{b_{m+i;r} : r \in [d]\} = \emptyset \right\}. \end{aligned}$$

The standard action of  $S^1 \subset \mathbb{C}$  on  $\mathbb{C}$  and the trivial action on  $\mathbb{R}\mathbb{P}_\bullet^{2m-1}$  induce an action on

$$W_\bullet \equiv (\text{Sym}^d \mathbb{C})_\bullet^{2m} \times \mathbb{R}\mathbb{P}_\bullet^{2m-1}.$$

Given an element  $(\mathbf{b}, [A])$  of  $W_\bullet$  and  $\zeta \in \mathbb{R}^+$  sufficiently close to 1 (depending on  $(\mathbf{b}, [A])$ ), we define  $\zeta \cdot (\mathbf{b}, [A])$  to be the element obtained by multiplying the components of  $\mathbf{b}$  by  $\zeta^{-1}$ . Let  $W'_\bullet$  be the quotient of  $W_\bullet$  by the resulting equivalence relation. Define

$$\begin{aligned} \tilde{\mathcal{L}}^+ &= \tilde{\mathcal{L}}^+ \times \mathbb{R}\mathbb{P}_\bullet^{2m-1} \Big|_{W'_\bullet}, \quad \tilde{\mathcal{L}}_0^+ = \tilde{\mathcal{L}}^+ - W'_\bullet, \\ \Phi: \tilde{\mathcal{L}}_0^+(1) &\equiv \{[\mathbf{b}, [A], \epsilon] \in \tilde{\mathcal{L}}_0^+ : \epsilon < 1\} \longrightarrow W_\bullet, \quad \Phi([\mathbf{b}, [A], \epsilon]) = (\epsilon \mathbf{b}, [A]). \end{aligned}$$

For  $c = \tau, \eta$ , the  $S^1$ -equivariant map

$$\widehat{\Theta}_c : W_\bullet \longrightarrow \mathcal{P}_\bullet(\mathbb{P}^{2m-1}, 2d)^{\phi, c},$$

$$\begin{aligned} ([ (b_{i;r})_{r \in [d]} ]_{i \in [2m]}, [ (A_i)_{i \in [m]} ]) &\longrightarrow \left[ \left( A_i \prod_{r=1}^d p_{b_{i;r}, 1} p_{1, (-1)^{|c|} \bar{b}_{m+i;r}} \right. \right. \\ &\quad \left. \left. \bar{A}_i \prod_{r=1}^d p_{1, (-1)^{|c|} \bar{b}_{i;r}} p_{b_{m+i;r}, 1} \right)_{i=1, \dots, m} \right], \end{aligned}$$

is a diffeomorphism onto an open subset of the target and induces an orientation on the latter from the canonical orientation of  $W_\bullet$ . The induced orientation on the left-hand side of (5-10) is the orientation induced by the algebraic orientation on  $\mathcal{M}_1(\mathbb{P}^{2m-1}, 2d)^{\tau_{2m-1}, c}$  and the complex orientation on  $\mathbb{P}_{2m}^{2m-1}$ . We will call this orientation the algebraic orientation as well.

The smooth map

$$\widehat{\Theta}: W_\bullet \longrightarrow \mathcal{P}_\bullet(\mathbb{P}^{2m-1}, d)_{\mathbb{R}},$$

$$([ (b_{i;r})_{r \in [d]} ]_{i \in [2m]}, [ (A_i)_{i \in [m]} ]) \longrightarrow \left[ \left( A_i \prod_{r=1}^d p_{b_{i;r}, 1}, \bar{A}_i \prod_{r=1}^d p_{b_{m+i;r}, 1} \right)_{i=1, \dots, m} \right],$$

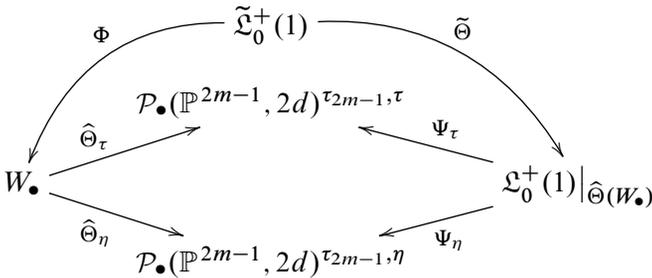
commutes with the  $S^1$ -actions and the local  $\mathbb{R}^+$ -actions. Thus, it lifts to an  $S^1$ -equivariant diffeomorphism

$$\tilde{\Theta}: \tilde{\mathcal{L}}^+ \rightarrow \mathcal{L}^+ |_{\widehat{\Theta}(W_\bullet)}$$

which is a linear isometry on the fibers. For  $c = \tau, \eta$ , define

$$\begin{aligned} \Psi_c: \mathcal{L}_0^+(1) |_{\widehat{\Theta}(W_\bullet)} &\rightarrow \mathcal{P}_\bullet(\mathbb{P}^{2m-1}, 2d)^{\tau_{2m-1}, c}, \\ \Psi_c(\tilde{\Theta}([\mathbf{b}, [A], \epsilon])) &= \widehat{\Theta}_c(\Phi([\mathbf{b}, [A], \epsilon])). \end{aligned}$$

Thus, the following diagram of  $S^1$ -equivariant maps commutes:



For each  $(\mathbf{b}, [A]) \in W_\bullet$ , the sequence of the equivalence classes of maps

$$(\text{id}, \widehat{\Theta}_c(\epsilon_k \mathbf{b}, [A])): \mathbb{P}^1 \rightarrow \mathbb{P}^1 \times \mathbb{P}^{2m-1}$$

with  $\epsilon_k \rightarrow 0$  converges to the equivalence class of the map

$$u_{\text{top}} \cup u_0 \cup u_{\text{bot}}: \mathbb{P}_{\text{top}}^1 \cup \mathbb{P}_0^1 \cup \mathbb{P}_{\text{bot}}^1 \rightarrow \mathbb{P}^1 \times \mathbb{P}^{2m-1}$$

as in the proof of Proposition 3.3. The second component of  $u_0$  is mapped to the point  $[A]$  in  $\text{Fix}(\tau_{2m-1})$ , while the second component of  $u_{\text{top}}$  is the image of  $\widehat{\Theta}(\mathbf{b}, [A])$  under (5-11). Contracting  $\mathbb{P}_0^1$ , we obtain the image of  $\widehat{\Theta}(\mathbf{b}, [A])$  under the identification (5-12). This implies that the map

$$\begin{aligned} \mathcal{L}^+(1)/S^1 &\rightarrow \overline{\mathcal{M}}_\bullet(\mathbb{P}^{2m-1}, 2d)^{\tau_{2m-1}, c}, \\ [u, \epsilon] &\rightarrow \begin{cases} [\Psi_c([u, \epsilon])] & \text{if } \epsilon \neq 0, \\ [u] & \text{if } \epsilon = 0, \end{cases} \end{aligned}$$

is a homeomorphism onto a neighborhood of

$$\widehat{\Theta}(W_\bullet)/S^1 \subset \partial^1 \overline{\mathcal{M}}_\bullet(\mathbb{P}^{2m-1}, 2d)^{\tau_{2m-1}, c}$$

in  $\overline{\mathcal{M}}_\bullet(\mathbb{P}^{2m-1}, 2d)^{\tau_{2m-1}, c}$ .

Since the boundary (5-9) is connected, it is sufficient to establish the claim of the proposition at the boundary elements contained in the image of  $\widehat{\Theta}(W_\bullet)$  under the identification (5-12). The substance of this claim is that the bottom diffeomorphisms in the commutative diagram

$$\begin{array}{ccc}
 \mathcal{P}_\bullet(\mathbb{P}^{2m-1}, 2d)^{\tau_{2m-1}, \tau} & \xleftarrow{\Psi_\tau} \mathfrak{L}_0^+(1)|_{\widehat{\Theta}(W_\bullet)} & \xrightarrow{\Psi_\eta} \mathcal{P}_\bullet(\mathbb{P}^{2m-1}, 2d)^{\tau_{2m-1}, \tau} \\
 \downarrow & & \downarrow \\
 \mathcal{M}_\bullet(\mathbb{P}^{2m-1}, 2d)^{\tau_{2m-1}, \eta} & \xleftarrow{\mathfrak{L}_0^+(1)|_{\widehat{\Theta}(W_\bullet)}/S^1} & \xrightarrow{\quad} \mathcal{M}_\bullet(\mathbb{P}^{2m-1}, 2d)^{\tau_{2m-1}, \eta}
 \end{array}$$

induce the same orientation on their domain from the algebraic orientations on the targets. The latter are induced from the orientations of the targets of  $\Psi_\tau$  and  $\Psi_\eta$  induced by the diffeomorphisms  $\widehat{\Theta}_\tau$  and  $\widehat{\Theta}_\eta$ . Thus, the claim is equivalent to the orientations on the domain of  $\Psi_\tau$  and  $\Psi_\eta$  induced by these orientations of their target being the same. This is immediate from the commutativity of the preceding diagram.  $\square$

### 5.5 The canonical orientations

The first homomorphism  $f = (f_1, \dots, f_n)$  in (1-16) is described by

$$\{f_i(\ell, \lambda)\}(a_1, \dots, a_n) = \lambda a_i \quad \forall (a_1, \dots, a_n) \in \ell.$$

With  $\mathcal{U}_i = \{[Z_1, \dots, Z_n] \in \mathbb{P}^{n-1} : Z_i \neq 0\}$ , let

$$z_i = (z_{i1}, \dots, z_{in}) : \mathcal{U}_i \rightarrow \mathbb{C}^n,$$

where  $z_{ij} = Z_j / Z_i$ . The second homomorphism in (1-16) over  $\mathcal{U}_i$  is described by

$$(p_1, \dots, p_n) \longrightarrow \sum_{j \neq i} (p_j(z_i(\ell)) - z_{ij} p_i(z_i(\ell))) \frac{\partial}{\partial z_{ij}} \quad \forall p_j \in \mathcal{O}_{\mathbb{P}^{n-1}}(1)|_\ell.$$

It is straightforward to check that this homomorphism is independent of the choice of  $i$  and the sequence (1-16) is indeed exact. This short exact sequence gives rise to a natural isomorphism

$$(5-13) \quad \Lambda_{\mathbb{C}}^{\text{top}}(n\mathcal{O}_{\mathbb{P}^{n-1}}(1)) \approx \Lambda_{\mathbb{C}}^{\text{top}}(\mathbb{P}^{n-1} \times \mathbb{C}) \otimes \Lambda_{\mathbb{C}}^{\text{top}}(T\mathbb{P}^{n-1}) \approx K_{\mathbb{P}^{n-1}}^*.$$

We define antiholomorphic maps  $\mathfrak{c}_\phi^\pm$  on  $\mathbb{C}^{2m}$  by

$$\mathfrak{c}_\phi^\pm(x_1, \dots, x_{2m}) = (\pm 1)^{|\phi|} ((-1)^{|\phi|} \bar{x}_2, \bar{x}_1, \dots, (-1)^{|\phi|} \bar{x}_{2m}, \bar{x}_{2m-1}).$$

The involution  $\phi = \eta_{2m-1}, \tau_{2m-1}$  lifts to an involution

$$\begin{aligned} \Phi: \mathcal{O}_{\mathbb{P}^{2m-1}}(-1) \oplus \mathcal{O}_{\mathbb{P}^{2m-1}}(-1) &\longrightarrow \mathcal{O}_{\mathbb{P}^{2m-1}}(-1) \oplus \mathcal{O}_{\mathbb{P}^{2m-1}}(-1), \\ \Phi(\ell, x, y) &= (\phi(\ell), c_{\phi}^-(y), c_{\phi}^+(x)). \end{aligned}$$

In turn, this involution induces an involution on the dual bundle,

$$(5-14) \quad \begin{aligned} \Phi: \mathcal{O}_{\mathbb{P}^{2m-1}}(1) \oplus \mathcal{O}_{\mathbb{P}^{2m-1}}(1) &\longrightarrow \mathcal{O}_{\mathbb{P}^{2m-1}}(1) \oplus \mathcal{O}_{\mathbb{P}^{2m-1}}(1), \\ \{\Phi(\ell, \alpha_1, \alpha_2)\}(\phi(\ell), x, y) &= \overline{\{(\ell, \alpha_1, \alpha_2)\}(\Phi(\phi(\ell), x, y))}, \end{aligned}$$

and thus involutions  $\Phi$  on

$$(5-15) \quad \begin{aligned} \mathcal{O}_{\mathbb{P}^{2m-1}}(2) &\approx \Lambda_{\mathbb{C}}^{\text{top}}(\mathcal{O}_{\mathbb{P}^{2m-1}}(1) \oplus \mathcal{O}_{\mathbb{P}^{2m-1}}(1)), \\ 2m\mathcal{O}_{\mathbb{P}^{2m-1}}(1) &\approx m(\mathcal{O}_{\mathbb{P}^{2m-1}}(1) \oplus \mathcal{O}_{\mathbb{P}^{2m-1}}(1)), \\ \mathcal{O}_{\mathbb{P}^{2m-1}}(2m) &\approx \Lambda_{\mathbb{C}}^{\text{top}}(2m\mathcal{O}_{\mathbb{P}^{2m-1}}(1)) \approx \mathcal{O}_{\mathbb{P}^{2m-1}}(2)^{\otimes m} \end{aligned}$$

lifting  $\phi$ . The last two lifts commute with the homomorphisms in (1-16) and the isomorphism (5-13), when  $n = 2m$  is even.

The isomorphisms (5-13) and (5-15) determine a real square root structure on  $K_{\mathbb{P}^{4m-1}}$ , as needed for orienting the moduli spaces  $\overline{\mathcal{M}}_l(\mathbb{P}^{4m-1}, d)^{\phi, \eta}$ . We describe it below. For  $i = 1, 2, \dots, 2m$ , we define

$$\bar{i} = \begin{cases} i + 1 & \text{if } 2 \nmid i, \\ i - 1 & \text{if } 2 \mid i. \end{cases}$$

A spin structure on  $\mathbb{R}\mathbb{P}^{4m-1} = \text{Fix}(\tau_{4m-1})$  is determined by a trivialization of  $T\mathbb{R}\mathbb{P}^{4m-1} = \text{Fix}(d\tau_{4m-1})$  over any one of the  $m$  circles

$$\mathbb{R}\mathbb{P}_i^1 = \mathbb{R}\mathbb{P}_{\bar{i}}^1 \equiv \{[Z_1, \dots, Z_{4m}] \in \mathbb{R}\mathbb{P}^{4m-1} : Z_j = 0 \quad \forall j \neq i, \bar{i}\},$$

with  $i = 1, 2, \dots, 2m$ . Via the real part (the fixed loci of the involutions) of the short exact sequence (1-16), such a trivialization induces a trivialization of

$$\begin{aligned} (4m\mathcal{O}_{\mathbb{P}^{4m-1}}(1))^{\mathbb{R}} &\equiv \text{Fix}(\Phi: 4m\mathcal{O}_{\mathbb{P}^{4m-1}}(1) \longrightarrow 4m\mathcal{O}_{\mathbb{P}^{4m-1}}(1)) \\ &\approx 2m(2\mathcal{O}_{\mathbb{P}^{4m-1}}(1))^{\mathbb{R}}, \end{aligned}$$

with the first trivializing section being  $f(\cdot, 1)$ . The homotopy class of the resulting trivialization is independent of the lifts of the  $4m - 1$  trivializing sections of  $T\mathbb{R}\mathbb{P}^{4m-1}$  over the homomorphism  $g$  in (1-16) and depends only on the homotopy class of the trivialization of  $T\mathbb{R}\mathbb{P}^{4m-1}$ . Furthermore, this induces a bijective correspondence between the homotopy classes of trivializations of the two bundles. On the other hand, any trivialization of  $(2\mathcal{O}_{\mathbb{P}^{4m-1}}(1))^{\mathbb{R}}$  over  $\mathbb{R}\mathbb{P}_i^1$  induces a trivialization of  $2(2\mathcal{O}_{\mathbb{P}^{4m-1}}(1))^{\mathbb{R}}$ , the homotopy class of which is independent of the choice of the first trivialization.

Therefore, there is a canonical homotopy class of trivializations of  $(4m\mathcal{O}_{\mathbb{P}^{4m-1}}(1))^{\mathbb{R}}$  over  $\mathbb{R}\mathbb{P}_i^1$ , which in turn determines a homotopy class of trivializations of  $T\mathbb{R}\mathbb{P}^{4m-1}$  over  $\mathbb{R}\mathbb{P}_i^1$  and thus a spin structure on  $\mathbb{R}\mathbb{P}^{4m-1}$  (which is independent of the choice of  $i$ ). This spin structure determines an orientation on  $\overline{\mathcal{M}}_I(\mathbb{P}^{4m-1}, d)^{\tau_{4m-1}, \tau}$ .

Since we trivialize the summands  $(2\mathcal{O}_{\mathbb{P}^{4m-1}}(1))^{\mathbb{R}}$  in the same way, the orientations on

$$\Lambda_{\mathbb{R}}^{\text{top}}(T\mathbb{R}\mathbb{P}^{4m-1}) = (\Lambda_{\mathbb{C}}^{\text{top}}T\mathbb{P}^{4m-1})^{\mathbb{R}} \approx \mathcal{O}_{\mathbb{P}^{4m-1}}(2m)^{\mathbb{R}} \otimes \mathcal{O}_{\mathbb{P}^{4m-1}}(2m)^{\mathbb{R}}$$

and thus on  $\mathbb{R}\mathbb{P}^{4m-1}$  induced by the canonical square root and spin structure, are the same. The canonical square root and spin structure are therefore *not* compatible in the sense of Definition 1.6. By Proposition 3.3, we must thus flip the canonical orientation of either  $\overline{\mathcal{M}}_I(\mathbb{P}^{4m-1}, d)^{\tau_{4m-1}, \tau}$  or  $\overline{\mathcal{M}}_I(\mathbb{P}^{4m-1}, d)^{\tau_{4m-1}, \eta}$  when orienting the moduli spaces  $\overline{\mathcal{M}}_I(\mathbb{P}^{4m-1}, d)^{\tau_{4m-1}}$  as in Section 3. For the purposes of Sections 1.4 and 6, we flip the orientation of the  $\eta$  moduli space. Thus, the chosen orientation of  $\overline{\mathcal{M}}_I(\mathbb{P}^{4m-1}, d)^{\tau_{4m-1}}$  agrees with the canonical orientation on its  $\tau$ -subspace and is the reverse of the canonical orientation on its  $\eta$ -subspace.

## 6 Equivariant localization

In this section, we use equivariant localization to prove Theorem 1.10 by summing over the fixed loci of a torus action on  $\overline{\mathcal{M}}_I(\mathbb{P}^{4m-1}, d)^{\phi}$ . As in [18, Sections 7, 8] and [23, Section 3], these loci are described by graphs with one half-edge. The contribution of the complement of the half-edge to the normal bundle of the corresponding locus is standard. Proposition 6.2 determines the key contribution of the half-edge to the normal bundle and is thus analogous to [18, (3)] and [23, Lemma 6], though our arguments are rather different from [18] and [23].

We describe the fixed loci of a natural action of

$$\mathbb{T} \equiv (S^1)^m \equiv \{(\zeta_1, \dots, \zeta_m) \in \mathbb{C}^m : |\zeta_k| = 1\}$$

on  $\overline{\mathcal{M}}_I(\mathbb{P}^{2m-1}, d)^{\phi}$  in Section 6.1 and their normal bundles in Section 6.2. In Section 6.3, we prove Theorem 1.10 and compute some low-degree real invariants. Proposition 6.2 is proved in Section 6.4.

### 6.1 Fixed loci

The  $m$ -torus  $\mathbb{T}$  acts on  $\mathbb{P}^{2m-1}$  by

$$(\zeta_1, \dots, \zeta_m) \cdot [Z_1, \dots, Z_{2m}] = [\zeta_1 Z_1, \zeta_1^{-1} Z_2, \dots, \zeta_m Z_{2m-1}, \zeta_m^{-1} Z_{2m}].$$

This action commutes with the involutions  $\phi = \tau_{2m-1}, \eta_{2m-1}$  and has  $2m$  fixed points,

$$P_1 = [1, 0, \dots, 0], \quad \dots, \quad P_{2m} = [0, \dots, 1].$$

We note that  $\phi(P_i) = P_{\bar{i}}$ . By composition on the left  $\mathbb{T}$  also acts on  $\mathcal{M}_l(\mathbb{P}^{2m-1}, d)^{\phi, c}$ , where  $c = \tau, \eta$ .

**Lemma 6.1** [24, Lemma 3.1] *The irreducible  $\mathbb{T}$ -fixed curves in  $\mathbb{P}^{2m-1}$  are the lines  $L_{ij}$  connecting the points  $p_i$  and  $p_j$ , with  $i \neq j$ . Moreover, the irreducible  $\phi$  and  $\mathbb{T}$ -fixed curves in  $\mathbb{P}^{2m-1}$  are the lines  $L_{i\bar{i}}$ .*

The above  $\mathbb{T}$ -action on  $\mathbb{P}^{2m-1}$  naturally lifts to the tautological line bundle

$$\mathcal{O}_{\mathbb{P}^{2m-1}}(-1) \longrightarrow \mathbb{P}^{2m-1},$$

and thus to the line bundle  $\mathcal{O}_{\mathbb{P}^{2m-1}}(a)$  for every  $a \in \mathbb{Z}$ . Let  $\lambda_i \in H_{\mathbb{T}}^*$  be the equivariant first Chern class of  $\mathcal{O}_{\mathbb{P}^{2m-1}}(1)|_{P_i}$ . Thus,

$$\lambda_{\bar{i}} = -\lambda_i, \quad H_{\mathbb{T}}^* = \mathbb{Q}[\lambda_1, \lambda_3, \dots, \lambda_{2m-1}].$$

Let  $[f, (z_k^+, z_k^-)_k]$  be an element of  $\mathcal{M}_l(\mathbb{P}^{2m-1}, d)^{\phi, c}$  fixed by the  $\mathbb{T}$ -action. Since there are no  $\mathbb{T}$ -fixed points in  $\mathbb{P}^{2m-1}$  that are also fixed by  $\phi$ , the domain  $\Sigma$  of  $f$  contains a central component  $\Sigma_0$ , while the remaining irreducible components come in conjugate pairs. Furthermore,  $f_0 \equiv f|_{\Sigma_0}$  is a cover of some line  $L_{i\bar{i}}$  of some degree  $d_0 \in \mathbb{Z}^+$  which is branched only over  $P_i$  and  $P_{\bar{i}}$ . Every nodal and marked point of  $\Sigma$  and branched point of  $f$  is mapped to a fixed point  $P_j$ . If  $d_0 < d$  or  $l > 1$ , the complement of  $\Sigma_0$  in  $\Sigma$  consists of two nodal curves  $\Sigma'$  and  $\Sigma''$ , each with  $l + 1$  marked points  $(x_k)_k^l$  so that  $x_0$  corresponds to the node shared with  $\Sigma_0$  and each of the remaining points is decorated by a sign  $s_k, +$  or  $-$ , depending on whether it is the first or the second point in the pair  $(z^+, z_k^-)$ .

Similarly to [17, Section 27.3], every fixed locus of such maps can be modeled on a labeled tree  $\Gamma$ , symmetric about the mid-point of a distinguished edge  $e_0$ , which corresponds to the central component  $\Sigma_0$  of the  $\mathbb{T}$ -fixed maps in the locus. Every edge  $e$  of  $\Gamma$  is labeled by some  $d_e \in \mathbb{Z}^+$ , indicating the degree of the corresponding map; these labels are preserved by the reflection symmetry of  $\Gamma$ . Every vertex  $v$  is labeled by some  $j_v = 1, 2, \dots, 2m$  in such a way that the reflection symmetry takes a vertex labeled  $j$  to a vertex labeled  $\bar{j}$ . The graph  $\Gamma$  also contains open edges which correspond to the marked points of the domain  $\Sigma$ ; we denote by  $v(k)$  the vertex to which the  $k^{\text{th}}$  marked point is attached. Figure 3(a) shows one such graph describing a  $\mathbb{T}$ -locus in  $\overline{\mathcal{M}}_7(\mathbb{P}^{2m-1}, [7])^{\phi, c}$ .

Removing  $e_0$  from  $\Gamma$ , we get a disconnected graph  $\Gamma' \sqcup \bar{\Gamma}'$ , with  $\bar{\Gamma}'$  obtained from  $\Gamma'$  by replacing each vertex label  $j$  by  $\bar{j}$ . Choose one of the connected subgraphs, eg  $\Gamma'$ ,



Figure 3: A decorated graph on the left and one of its halves on the right.

and add the corresponding half-edge in place of the central edge; see Figure 3(b). We denote the total half-graph by  $\Gamma_{\text{half}}$ . All calculations below are based on this half-graph; it is straightforward to check that the result is independent of which half we choose.

For each vertex  $v$  in  $\Gamma_{\text{half}}$ , let

$$\bar{\mathcal{M}}_v = \bar{\mathcal{M}}_{0, \text{val}(v)},$$

where  $\text{val}(v)$  is the valence of  $v$ , ie the number of edges and open edges in  $\Gamma$  leaving  $v$ . If  $\text{val}(v) = 1, 2$ , we take  $\bar{\mathcal{M}}_{0, \text{val}(v)}$  to be a point. Let

$$\bar{\mathcal{M}}_{\Gamma_{\text{half}}} = \prod_v \bar{\mathcal{M}}_v, \quad D_{\Gamma_{\text{half}}} = |\text{Aut}(\Gamma_{\text{half}})| d_0 \prod_e d_e,$$

where the products are taken over the vertices  $v$  and edges  $e$  in  $\Gamma_{\text{half}}$ , and  $\text{Aut}(\Gamma_{\text{half}})$  denotes the group of automorphisms of  $\Gamma_{\text{half}}$ .

### 6.2 Normal bundles

For every flag  $F = (v, e)$ , let  $j_F = j_v$ . For every element  $[f, (z^+, z^-)_k]$  in the fixed locus corresponding to  $\Gamma$ , there is an exact sequence

$$0 \longrightarrow \text{Aut}(\Sigma, (z_k^+, z_k^-)_k)_{\mathbb{R}} \longrightarrow \text{Def}(f)_{\mathbb{R}} \longrightarrow \text{Def}(f, (z_k^+, z_k^-)_k)_{\mathbb{R}} \\ \longrightarrow \text{Def}(\Sigma, (z_k^+, z_k^-)_k)_{\mathbb{R}} \longrightarrow 0,$$

where  $\Sigma$  is the domain of  $f$ . Thus,

$$(6-1) \quad e(N_{\Gamma}) = e(\text{Def}(f, (z_k^+, z_k^-)_k)_{\mathbb{R}}^{\text{mov}}) \\ = \frac{e(\text{Def}(f)_{\mathbb{R}}^{\text{mov}}) e(\text{Def}(\Sigma, (z_k^+, z_k^-)_k)_{\mathbb{R}}^{\text{mov}})}{e(\text{Aut}(\Sigma, (z_k^+, z_k^-)_k)_{\mathbb{R}}^{\text{mov}})},$$

where “mov” means the moving part (the part with the nonzero  $\mathbb{T}$ -weights) and  $e(\cdot)$  denotes the equivariant Euler class.

Following [17, Section 27.4], we now determine the three terms appearing on the right-hand side of (6-1).

For each edge  $e$  of  $\Gamma_{\text{half}}$ ,  $\text{Aut}(\Sigma, (z_k^+, z_k^-)_k)_{\mathbb{R}}$  contains a  $\mathbb{T}$ -fixed one-dimensional complex subspace of infinitesimal automorphisms of the corresponding non-contracted component  $\Sigma_e$  which fix the two branch points of  $f_e \equiv f|_{\Sigma_e}$ ; this subspace cancels with a similar piece in  $\text{Def}(f_e)_{\mathbb{R}}$ . The space  $\text{Aut}(\Sigma, (z_k^+, z_k^-)_k)_{\mathbb{R}}$  also contains a  $\mathbb{T}$ -fixed one-dimensional real subspace of infinitesimal automorphisms of the central component  $\Sigma_0$ ; this subspace cancels with a similar piece in  $\text{Def}(f_0)_{\mathbb{R}}$ , up to sign taken into account by Proposition 6.2. The remaining automorphisms, none of which is  $\mathbb{T}$ -fixed, correspond to the vertices  $v$  in  $\Gamma_{\text{half}}$  of valence 1; they describe the infinitesimal automorphisms moving the branch point  $x_v$  of  $f_e$ , where  $e$  is the unique edge containing  $v$  that lies over  $j_v$ . Thus, similarly to [17, Section 27.4],

$$(6-2) \quad e(\text{Aut}(\Sigma, (z_k^+, z_k^-)_k)_{\mathbb{R}}^{\text{mov}}) = \prod_{\substack{v \in e \\ \text{val}(v)=1}} e(T_{x_v} \Sigma_e) = \prod_{\substack{v \in e \\ \text{val}(v)=1}} w_{(v,e)},$$

where  $w_{(v,\{v,v'\})} = (\lambda_{j_v} - \lambda_{j_{v'}})/d_{\{v,v'\}}$ .

A deformation of a contracted component of the domain (as a marked curve) is  $\mathbb{T}$ -fixed. The moving deformations come from smoothing (conjugate pairs) of nodes of  $\Sigma$ . For each node  $x$  of  $\Sigma$  corresponding to  $\Gamma_{\text{half}}$ ,  $\text{Def}(\Sigma, (z_k^+, z_k^-)_k)_{\mathbb{R}}^{\text{mov}}$  contains the complex one-dimensional space isomorphic to the tensor product of the tangent spaces of the two components of  $\Sigma$  sharing  $x$ . There are two possibilities.

- Each  $v \in \Gamma_{\text{half}}$  shared by two edges contributes  $w_{F_1} + w_{F_2}$ , where  $F_1$  and  $F_2$  are the two flags containing  $v$ .
- Each flag  $F = (v, e)$  with  $v \in \Gamma_{\text{half}}$  and  $\text{val}(v) \geq 3$  contributes  $w_F - \psi_F$ , where  $\psi_F \in H^2(\overline{\mathcal{M}}_v)$  is the first Chern class of the universal cotangent bundle on  $\overline{\mathcal{M}}_v$  corresponding to the marked point determined by  $F$  on the contracted curve determined by the vertex  $v$ .

Thus,

$$(6-3) \quad e(\text{Def}(\Sigma, (z_k^+, z_k^-)_k)_{\mathbb{R}}^{\text{mov}}) = \prod_{\substack{\text{val}(v)=2 \\ v \in e_1, e_2; e_1 \neq e_2}} (w_{(v,e_1)} + w_{(v,e_2)}) \times \prod_{\text{val}(v) \geq 3} \prod_{v \in e} (w_{(v,e)} - \psi_{(v,e)}).$$

Finally, there is an exact sequence

$$\begin{aligned} 0 &\longrightarrow \text{Def}(f)_{\mathbb{R}} \\ &\longrightarrow H^0(\Sigma_{e_0}, f_0^* T\mathbb{P}^{2m-1})_{\mathbb{R}} \oplus \bigoplus_{e \neq e_0} H^0(\Sigma_e, f_e^* T\mathbb{P}^{2m-1}) \oplus \bigoplus_v T_{p_{jv}} \mathbb{P}^{2m-1} \\ &\longrightarrow \bigoplus_F T_{p_{jF}} \mathbb{P}^{2m-1} \longrightarrow 0, \end{aligned}$$

where the direct sums are taken over the vertices  $v$ , edges  $e$ , and flags  $F$  in  $\Gamma_{\text{half}}$ . Thus,

$$(6-4) \quad e(\text{Def}(f)_{\mathbb{R}}^{\text{mov}}) = \prod_v \prod_{j \neq j_v} (\lambda_{j_v} - \lambda_j) \times \frac{e(H^0(\Sigma_{e_0}, f_0^* T\mathbb{P}^{2m-1})_{\mathbb{R}}^{\text{mov}}) \prod_{e \neq e_0} e(H^0(\Sigma_e, f_e^* T\mathbb{P}^{2m-1})^{\text{mov}})}{\prod_F \prod_{j \neq j_F} (\lambda_{j_F} - \lambda_j)}.$$

The contribution of  $e \neq e_0$  is standard and given by

$$(6-5) \quad \begin{aligned} &e(H^0(\Sigma_e, f_e^* T\mathbb{P}^{2m-1})^{\text{mov}}) \\ &= (-1)^{d_e} \frac{d_e!^2}{d_e^{2d_e}} (\lambda_{j_1} - \lambda_{j_2})^{2d_e} \prod_{r=0}^{d_e} \prod_{k \neq j_1, j_2} \left( \frac{r\lambda_{j_1} + (d_e - r)\lambda_{j_2}}{d_e} - \lambda_k \right), \end{aligned}$$

where  $j_1$  and  $j_2$  are the two vertex labels of the edge  $e$ ; see [17, Section 27.4]. The contribution of the half-edge  $e_0$  is described by the next lemma, which is proved in Section 6.4.

**Proposition 6.2** *Let  $\phi = \tau_{4m-1}, \eta_{4m-1}$ ,  $c = \tau, \eta$ , and*

$$f_0: (\mathbb{P}^1, [1, 0], [0, 1]) \rightarrow (\mathbb{P}^{4m-1}, P_i, P_{\bar{i}})$$

*be the degree  $d_0$  cover of a line  $L_{i\bar{i}}$  branched over only  $P_i$  and  $P_{\bar{i}}$  and intertwining the involutions  $c$  and  $\phi$ . With respect to the canonical orientation of the moduli space  $\bar{\mathcal{M}}_0(\mathbb{P}^{4m-1}, d_0)^{\phi, c}$  as in Section 5.5,*

$$(6-6) \quad \begin{aligned} &e(H^0(\Sigma_{e_0}, f_0^* T\mathbb{P}^{4m-1})_{\mathbb{R}}^{\text{mov}}) \\ &= (-1)^{d_0} d_0! \left( \frac{2\lambda_i}{d_0} \right)^{d_0} \prod_{\substack{1 \leq j \leq 4m \\ j \neq i, 2|(j-i)}} \prod_{r=0}^{d_0} \left( \frac{d_0 - 2r}{d_0} \lambda_i - \lambda_j \right). \end{aligned}$$

### 6.3 Applications

By the classical localization theorem of [2],

$$(6-7) \quad N_d^\phi(t_1, \dots, t_l) = \sum_{\Gamma \triangleright \tau} \frac{1}{D_{\Gamma_{\text{half}}}} \int_{\mathcal{M}_{\Gamma_{\text{half}}}} \frac{\prod_{k=1}^l s_k^{t_k+1} \lambda_{j_{v(k)}}^{t_k}}{e(N_\Gamma)} - \sum_{\Gamma \triangleright \eta} \frac{1}{D_{\Gamma_{\text{half}}}} \int_{\mathcal{M}_{\Gamma_{\text{half}}}} \frac{\prod_{k=1}^l s_k^{t_k+1} \lambda_{j_{v(k)}}^{t_k}}{e(N_\Gamma)},$$

where the first and second sums are taken over the graphs  $\Gamma$  corresponding to the fixed loci in

$$(6-8) \quad \overline{\mathcal{M}}_l(\mathbb{P}^{4m-1}, d)^{\phi, \tau} \quad \text{and} \quad \overline{\mathcal{M}}_l(\mathbb{P}^{4m-1}, d)^{\phi, \eta}$$

respectively. By Section 5.1, such graphs satisfy  $|\phi| + |c|d_0 \in 2\mathbb{Z}$  with  $c = \tau, \eta$ , respectively. The negative sign in (6-7) arises due to the fact that we flip the orientation of the second moduli space above when gluing it to the first; see the last paragraph of Section 5.5. Along with (6-1)–(6-6), (6-7) provides an explicit way of computing the numbers (1-17).

**Proof of Theorem 1.10** Suppose  $t_k \in 2\mathbb{Z}$  for some  $k$ . Given any graph  $\Gamma$  corresponding to a fixed locus in either moduli space in (6-8), let  $\Gamma'$  be the graph obtained from  $\Gamma$  by changing the sign of the  $k^{\text{th}}$  marked point. By (6-7), the contribution of  $\Gamma'$  to  $N_d^\phi(t_1, \dots, t_l)$  is the negative of the contribution of  $\Gamma$ . Thus,  $N_d^\phi(t_1, \dots, t_l)$  vanishes.

Suppose  $d \in 2\mathbb{Z}$ . The graphs  $\Gamma$  describing the fixed loci in the spaces (6-8) with  $\phi = \tau_{4m-1}$  are the same. By (6-7), this implies that  $N_d^{\tau_{4m-1}}(t_1, \dots, t_l)$  vanishes. By Lemma 1.9, both spaces (6-8) are empty for  $\phi = \eta_{4m-1}$ . Thus,  $N_d^{\eta_{4m-1}}(t_1, \dots, t_l)$  also vanishes.

Suppose  $d \notin 2\mathbb{Z}$ . By Lemma 1.9, the second space in (6-8) for  $\phi = \tau_{4m-1}$  and the first space in (6-8) for  $\phi = \eta_{4m-1}$  are empty. The graphs  $\Gamma$  corresponding to the fixed loci in the first space in (6-8) for  $\phi = \tau_{4m-1}$  and the second space in (6-8) for  $\phi = \eta_{4m-1}$  are the same. Along with (6-7), this implies (1-18). □

If  $d, t_1, \dots, t_l$  are odd, (6-7) gives

$$(6-9) \quad N_d^{\tau_{4m-1}}(t_1, \dots, t_l) = -N_d^{\eta_{4m-1}}(t_1, \dots, t_l) = 2^{l-1} \sum_{\Gamma_{\text{half}}} \frac{1}{D_{\Gamma_{\text{half}}}} \int_{\mathcal{M}_{\Gamma_{\text{half}}}} \frac{\prod_{k=1}^l \lambda_{j_{v(k)}}^{t_k}}{e(N_\Gamma)},$$

where the sum is over all half-graphs  $\Gamma_{\text{half}}$  corresponding to  $\overline{\mathcal{M}}_l(\mathbb{P}^{4m-1}, d)^{\tau_{4m-1}, \tau}$  containing marked points with the  $+$  sign only.

**Example 6.3** ( $d = 1$ ) We now establish (1-21). For  $d = 1$  and  $t_1, \dots, t_l \in \mathbb{Z}^+$  odd, (6-9) and Proposition 6.2 give

$$N_1^{\tau_{4m-1}}(t_1, \dots, t_l) = -2^l \sum_{i=1}^{2m} \frac{\lambda_i^{t_1+\dots+t_l}}{2\lambda_i \prod_{j=1, j \neq i}^{2m} (\lambda_j^2 - \lambda_i^2)} (2\lambda_i)^{-(l-1)},$$

after formally replacing  $(\lambda_1, \lambda_3, \dots)$  by  $(\lambda_1, \lambda_2, \dots)$ . Using the second condition in (1-22) and the residue theorem on  $S^2$ , we obtain

$$(6-10) \quad N_1^{\tau_{4m-1}}(t_1, \dots, t_l) = \sum_{i=1}^{2m} \operatorname{Res}_{z=\lambda_i^2} \frac{z^{2m-1} dz}{\prod_{j=1}^{2m} (z - \lambda_j^2)} = - \operatorname{Res}_{z=\infty} \frac{z^{2m-1} dz}{\prod_{j=1}^{2m} (z - \lambda_j^2)} = 1.$$

**Example 6.4** ( $d = 3$ ) We now establish (1-23) using Pandharipande’s trick of twisting by the equivariant weights to reduce the number of the contributing torus fixed loci; the restrictions of the integrand to the remaining loci vanish. Let

$$J = \{1, 3, \dots, 4m - 1\} - \{1, 3\}.$$

By the last condition in (1-24),  $J = J_1 \sqcup J_2$  for some  $J_1, J_2 \subset J$  with  $|J_i| = (t_i - 3)/2$ . Set

$$\alpha_k = (H + \lambda_1)(H^2 - \lambda_3^2) \prod_{j \in J_k} (H^2 - \lambda_j^2), \quad k = 1, 2,$$

$$\alpha_3 = (H + \lambda_3)(H^2 - \lambda_1^2) \prod_{j \in J} (H^2 - \lambda_j^2).$$

We now apply the equivariant localization theorem of [2] to compute

$$(6-11) \quad N_3^{\tau_{4m-1}}(t_1, t_2, 4m - 1) = \int_{\overline{\mathcal{M}}_3(\mathbb{P}^{4m-1}, 3)^{\tau_{4m-1}}} \operatorname{ev}_1^* \alpha_1 \operatorname{ev}_2^* \alpha_2 \operatorname{ev}_3^* \alpha_3.$$

The restriction of  $\operatorname{ev}_3^* \alpha_3$  to a torus fixed locus vanishes unless the marked point 3 is sent to  $P_3$ . For  $k = 1, 2$ , the restriction of  $\operatorname{ev}_k^* \alpha_k$  to a fixed locus vanishes unless the marked point  $i$  is sent to  $P_j$  with  $j = 1$ , or  $j \in J - J_k$ , or  $\bar{j} \in R - J_k$ . Since any half-graph has at most two vertices in this case and  $J \subset J_1 \cup J_2$ , the restriction of the integrand in (6-11) to a torus fixed locus vanishes unless the marked points 1 and 2 are sent to  $P_1$ . Thus, Figure 4 shows all half-graphs contributing to (6-11). From (6-9)

and Proposition 6.2, we thus obtain

$$\begin{aligned}
 N_3^{\tau_{4m-1}}(t_1, t_2, 4m-1) &= \frac{(3\lambda_1 - \lambda_3)(\lambda_1 + \lambda_3)}{2\lambda_1(\lambda_1 - \lambda_3)} + \frac{(3\lambda_1 + \lambda_3)(\lambda_1 - \lambda_3)}{2\lambda_1(\lambda_1 + \lambda_3)} \\
 &\quad + \frac{\lambda_1(\lambda_3 + \lambda_1)}{\lambda_3(\lambda_3 - \lambda_1)} - \frac{\lambda_1(\lambda_3 - \lambda_1)}{\lambda_3(\lambda_3 + \lambda_1)} \\
 &= -1.
 \end{aligned}$$

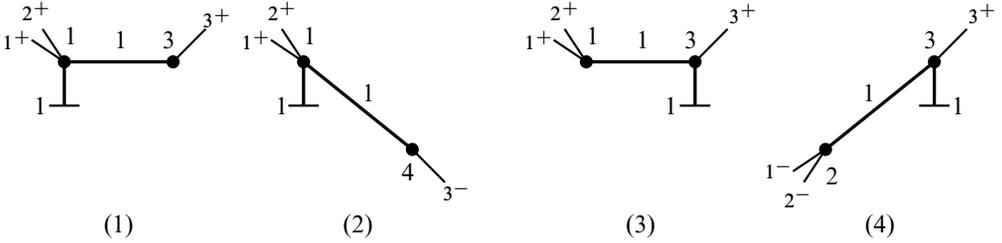


Figure 4: The half-graphs contributing to the localization computation of  $N_3^{\tau_{2m-1}}(t_1, t_2, 4m-1)$  with the constraints  $\alpha_1, \alpha_2, \alpha_3$ .

### 6.4 Comparisons of orientations

Most of this section is dedicated to establishing Proposition 6.2. We then compare the orientation on  $\overline{\mathcal{M}}_l(\mathbb{P}^{4m-1}, d)^{\phi, c}$  induced by the real square root and the spin structure of Section 5.5 with the algebraic orientation defined in Section 5.2; see Corollary 6.8.

**Proof of Proposition 6.2** For  $i = 1, \dots, 2m$ , let

$$L_{\bar{i}\bar{i}}^\bullet \equiv L_{\bar{i}\bar{i}} - \{P_i, P_{\bar{i}}\}$$

denote the complement of the torus fixed points of the line  $L_{\bar{i}\bar{i}}$ . The involution  $\Phi$  on  $\mathcal{O}_{\mathbb{P}^{2m-1}}(1) \otimes \mathcal{O}_{\mathbb{P}^{2m-1}}(1)$  induced by (5-14) is given by

$$\{\Phi(\ell, \alpha)\}(\phi(\ell), x \otimes y) = \overline{-\alpha(\mathfrak{c}_\phi^-(y) \otimes \mathfrak{c}_\phi^+(x))}.$$

The restriction of

$$\mathcal{O}_{\mathbb{P}^{2m-1}}(1) \otimes \mathcal{O}_{\mathbb{P}^{2m-1}}(1) \approx \Lambda_{\mathbb{C}}^{\text{top}}(\mathcal{O}_{\mathbb{P}^{2m-1}}(1) \oplus \mathcal{O}_{\mathbb{P}^{2m-1}}(1))$$

to  $L_{\bar{i}\bar{i}}^\bullet$  is trivialized by the homomorphism

$$(\ell, \alpha) \rightarrow (\ell, i z_{\bar{i}\bar{i}}^{-1} \alpha(z_i(\ell) \otimes z_i(\ell))).$$

Via this trivialization, the above involution on  $\mathcal{O}_{\mathbb{P}^{2m-1}}(1) \otimes \mathcal{O}_{\mathbb{P}^{2m-1}}(1)$  corresponds to the standard involution on  $L_{\bar{i}\bar{i}}^\bullet \times \mathbb{C}$  lifting the restriction of  $\phi$ . Thus, the trivialization

$$(6-12) \quad \begin{aligned} \mathcal{O}_{\mathbb{P}^{2m-1}}(2m) \Big|_{L_{\bar{i}\bar{i}}^\bullet} &\longrightarrow L_{\bar{i}\bar{i}}^\bullet \times \mathbb{C}, \\ (\ell, \alpha) &\longrightarrow (\ell, i^m z_{\bar{i}\bar{i}}^{-m} \alpha(z_i(\ell)^{\otimes 2m})), \end{aligned}$$

is an admissible trivialization of  $(\mathcal{O}_{\mathbb{P}^{2m-1}}(2m), \Phi)$  induced by a trivialization of its real square root via the canonical isomorphism

$$\mathcal{O}_{\mathbb{P}^{2m-1}}(2m) \approx \mathcal{O}_{\mathbb{P}^{2m-1}}(m) \otimes \mathcal{O}_{\mathbb{P}^{2m-1}}(m)$$

if  $m \in 2\mathbb{Z}$ .

In the case  $\phi = \tau_{2m-1}$ ,

$$(2\mathcal{O}_{\mathbb{P}^{2m-1}}(1))^\mathbb{R} = \{(\alpha_1, \alpha_2) \in 2\mathcal{O}_{\mathbb{P}^{2m-1}}(1) \Big|_\ell : \ell \in \mathbb{RP}^{2m-1}, \alpha_2(x) = \overline{\alpha_1(c_\phi^+(x))} \quad \forall x \in \ell\}.$$

Thus, we can trivialize  $(2\mathcal{O}_{\mathbb{P}^{2m-1}}(1))^\mathbb{R}$  over  $\mathbb{RP}_i^1$  by

$$(6-13) \quad (\ell, \alpha_1, \alpha_2) \rightarrow (\ell, \alpha_1(z_i(\ell))) \in \mathbb{RP}_i^1 \times \mathbb{C}.$$

Therefore, by Section 5.5, the trivialization

$$(6-14) \quad \begin{aligned} \Psi: (2m\mathcal{O}_{\mathbb{P}^{2m-1}}(1))^\mathbb{R} &\rightarrow \mathbb{RP}_i^1 \times \mathbb{C}^{\{j: 2|(j-i)\}}, \\ \Psi(\ell, \alpha_1, \dots, \alpha_{2m})_j &= \alpha_j(z_i(\ell)), \end{aligned}$$

determines the canonical spin structure on  $\mathbb{RP}^{2m-1}$  if  $m \in 2\mathbb{Z}$ .

The standard coordinate vector fields on  $\mathcal{U}_i \subset \mathbb{P}^{2m-1}$  as in Section 5.5 induce a trivialization of  $T\mathbb{P}^{2m-1}$  along  $L_{\bar{i}\bar{i}}^\bullet$ . Let

$$\Phi_i, \tilde{\phi}: L_{\bar{i}\bar{i}}^\bullet \times \mathbb{C}^{2m-1} \longrightarrow L_{\bar{i}\bar{i}}^\bullet \times \mathbb{C}^{2m-1}$$

be the conjugation induced by this trivialization and the lift of  $\phi$  to the standard conjugation, respectively. The composition

$$\tilde{\phi} \circ \Phi_i: L_{\bar{i}\bar{i}}^\bullet \longrightarrow \text{GL}(2m-1, \mathbb{C})$$

is given by

$$(6-15) \quad (\tilde{\phi} \circ \Phi_i(z_{\bar{i}\bar{i}}))_{j_1 j_2} = \begin{cases} (-1)^{|\phi|+1} z_{\bar{i}\bar{i}}^{-2} & \text{if } j_1, j_2 = \bar{i}, \\ (-1)^{|\phi|(i+j_1)} z_{\bar{i}\bar{i}}^{-1} & \text{if } j_1 = \bar{j}_2 \neq i, \bar{i}, \\ 0 & \text{otherwise.} \end{cases}$$

Define

$$A_i: L_{i\bar{i}}^\bullet \longrightarrow \text{GL}(2m - 1, \mathbb{C})$$

by

$$(6-16) \quad (A_i(z_{i\bar{i}}))_{j_1 j_2} = \begin{cases} i a z_{i\bar{i}}^{-1} & \text{if } j_1, j_2 = \bar{i}, \\ (-i)^{j_1 - j_2 - 1} & \text{if } j_1 \in \{j_2, \bar{j}_2\}, 2 \mid (j_2 - i), \\ i^{j_1 + j_2} z_{i\bar{i}}^{-1} & \text{if } j_1 \in \{j_2, \bar{j}_2\}, 2 \nmid (j_2 - \bar{i}), \\ 0 & \text{otherwise.} \end{cases}$$

By (6-15), the composition of the above trivialization of  $T\mathbb{P}^{2m-1}$  over  $L_{i\bar{i}}^\bullet$  with  $(\text{id}, A_i)$  intertwines  $d\phi$  with  $\tilde{\phi}$  whenever  $a \in \mathbb{R}^*$ .

We order the standard coordinate vector fields along  $L_{i\bar{i}}^\bullet$  so that  $\partial/\partial z_{i\bar{i}}$  is listed first, followed by the pairs consisting of  $\partial/\partial z_{ij}, \partial/\partial z_{i\bar{j}}$  for  $j \neq i$  and  $2 \mid (j - i)$ . The corresponding element of  $\Lambda_{\mathbb{C}}^{\text{top}}(T\mathbb{P}^{2m-1})$  is then mapped to

$$\det(A_i(z_{i\bar{i}})) = (-1)^{(m-1)\bar{i}} i^m 2^{m-1} a z_{i\bar{i}}^{-m}$$

under the trivialization of  $\Lambda_{\mathbb{C}}^{\text{top}}(T\mathbb{P}^{2m-1})$  over  $L_{i\bar{i}}^\bullet$  induced by the composite trivialization of  $T\mathbb{P}^{2m-1}$ . On the other hand, the image of this  $(2m - 1)$ -tensor under the canonical isomorphism (5-13) followed by the trivialization (6-12) is  $(-1)^{m\bar{i}} i^m z_{i\bar{i}}^{-m}$ . Thus, the two trivializations of  $(K_{\mathbb{P}^{n-1}}^*, \Phi)$  over  $L_{i\bar{i}}^\bullet$  are homotopic in the sense of Definition 2.3 if and only if  $(-1)^{\bar{i}} a > 0$ .

In the case  $\phi = \tau_{2m-1}$ , the above composite trivialization restricts to a trivialization of  $T\mathbb{R}\mathbb{P}^{2m-1}$  over  $\mathbb{R}\mathbb{P}_i^1$ . The first trivializing section is  $-i a^{-1} z_{i\bar{i}} \partial/\partial z_{i\bar{i}}$ , followed by

$$(6-17) \quad \frac{1}{2} \left( -i \frac{\partial}{\partial z_{ij}} + i z_{i\bar{i}} \frac{\partial}{\partial z_{i\bar{j}}} \right) \quad \text{and} \quad \frac{(-1)^{\bar{i}}}{2} \left( \frac{\partial}{\partial z_{ij}} + z_{i\bar{i}} \frac{\partial}{\partial z_{i\bar{j}}} \right),$$

with  $j \neq i$  and  $2 \mid (j - i)$ . Lifting these sections over the homomorphism  $h$  in the real part of the short exact sequence (1-16) and combining with the image of  $f$ , we obtain a trivialization of  $(2m\mathcal{O}_{\mathbb{P}^{2m-1}}(1))^{\mathbb{R}}$ . The composition of this trivialization with the trivialization (6-14) sends the two standard real basis elements in one  $\mathbb{C}$ -factor to 1 and  $i/2a$ , and in  $(m - 1)$   $\mathbb{C}$ -factors to  $-i/2$  and  $(-1)^{\bar{i}}/2$ . This transformation is orientation-preserving if and only if  $(-1)^{(m-1)\bar{i}} a > 0$ . If  $m \in 2\mathbb{Z}$ , the trivialization of  $(2m\mathcal{O}_{\mathbb{P}^{2m-1}}(1))^{\mathbb{R}}$  over  $\mathbb{R}\mathbb{P}_i^1$  just discussed thus differs from a canonical one by a constant matrix-valued function which is orientation-preserving if and only if  $(-1)^{\bar{i}} a > 0$ . Therefore, the trivialization of  $T\mathbb{R}\mathbb{P}^{2m-1}$  over  $\mathbb{R}\mathbb{P}_i^1$  induced by the composite trivialization above corresponds to the chosen spin structure on  $\mathbb{R}\mathbb{P}^{2m-1}$  if  $(-1)^{(m-1)\bar{i}} a > 0$ .

In summary, the orientation of  $H^0(\Sigma_0, f_0^* T\mathbb{P}^{2m-1})_{\mathbb{R}}$  induced by the above composite trivialization is the orientation induced by

- the chosen square root if  $c = \eta$  and  $(-1)^{\bar{i}} a > 0$ ,
- the chosen spin structure if  $c = \tau$  and  $(-1)^{(m-1)\bar{i}} a > 0$ .

By (6-15), the components of a section  $s \in H^0(\Sigma_0, f_0^* T\mathbb{P}^{2m-1})_{\mathbb{R}}$  with respect to the trivialization of  $f_0^* T\mathbb{P}^n$  over  $\mathbb{P}^1 - \{0, \infty\}$  induced by the coordinate tangent vectors along  $L_{\bar{i}\bar{i}}^{\bullet}$  satisfy

$$(6-18) \quad s_{\bar{i}}(z) = z^{d_0} \sum_{r=-d_0}^{d_0} s_{\bar{i};r} z^r, \quad s_{\bar{i};r} = (-1)^{1+|c|r} \overline{s_{\bar{i};-r}},$$

$$(6-19) \quad s_j(z) = \sum_{r=0}^{d_0} s_{j;r} z^r, \quad s_{j;r} = (-1)^{|\phi|(i+j)+|c|r} \overline{s_{\bar{j};d_0-r}} \quad \forall j \neq i, \bar{i}.$$

Therefore, the complex coefficients

$$s_{\bar{i};-r} \quad \text{with } r = 1, \dots, d_0, \quad s_{j;r} \quad \text{with } r = 0, 1, \dots, d_0, \quad j \neq \bar{i}, 2 \mid (j - \bar{i}),$$

and the real coefficient  $s_{\bar{i};0}$  give coordinates on  $H^0(\Sigma_0, f_0^* T\mathbb{P}^{2m-1})_{\mathbb{R}}$ . With  $A_i$  as in (6-16),

$$(A_i s)_j(z) = \sum_{r=-d_0}^{d_0} b_{j;r} z^r,$$

where  $b_{\bar{i};r} = i a s_{\bar{i};r}$  and

$$b_{j;0} = 2 \begin{cases} \text{Im}(s_{\bar{j};d_0}) & \text{if } j \neq i, 2 \mid (j - i), \\ (-1)^{\bar{i}} \text{Re}(s_{j;d_0}) & \text{if } j \neq \bar{i}, 2 \mid (j - \bar{i}), \end{cases}$$

$$(b_{j;r}, b_{j;-r}) = \begin{cases} i(s_{j;r}, -s_{\bar{j};d_0-r}) & \text{if } r \geq 0, j \neq i, 2 \mid (j - i), \\ (-1)^{\bar{i}}(s_{\bar{j};r}, s_{j;d_0-r}) & \text{if } r \geq 0, j \neq \bar{i}, 2 \mid (j - \bar{i}). \end{cases}$$

We note that  $b_{j;r} = (-1)^{|c|r} \overline{b_{j;-r}}$  for  $j \neq i$ , as expected.

The weights of the  $\mathbb{T}$ -actions on the coordinate function  $z_{\bar{i}\bar{i}}$  and the coordinate vector fields  $\partial/\partial z_{ij}$  are  $-2\lambda_i/d_0$  and  $\lambda_i - \lambda_j$ , respectively. Thus, the weights of the  $\mathbb{T}$ -actions on the sections

$$z^{d_0} \cdot s_{\bar{i};-r} z^{-r} \frac{\partial}{\partial z_{\bar{i}\bar{i}}} \quad \text{with } r = 1, \dots, d_0,$$

$$s_{j;d_0-r} z^{d_0-r} \frac{\partial}{\partial z_{ij}} \quad \text{with } r = 0, 1, \dots, d_0, \quad j \neq i, \bar{i},$$

are given by

$$\left(1 - d_0 \frac{1}{d_0} + \frac{r}{d_0}\right) 2\lambda_i \quad \text{and} \quad \lambda_i - \lambda_j - \frac{d_0 - r}{d_0} 2\lambda_i,$$

respectively. Under the collapsing procedures of [Lemma 2.5](#) and [\[7, Proposition 8.1.4\]](#), the parts of  $A_i$ s involving negative and positive powers of  $z$  correspond to the holomorphic sections on  $\Sigma_{\text{top}}$  and  $\Sigma_{\text{bot}}$ , respectively. Since we use the complex orientation of sections on  $\Sigma_{\text{top}}$ , these parts contribute

$$\begin{aligned} d_0! \left(\frac{2\lambda_i}{d_0}\right)^{d_0} \prod_{\substack{1 \leq j \leq 2m \\ j \neq \bar{i}, 2|(j-\bar{i})}} \prod_{r=1}^{d_0} \left(\frac{2r - d_0}{d_0} \lambda_i - \lambda_j\right) \\ = (-1)^{(m-1)d_0} d_0! \left(\frac{2\lambda_i}{d_0}\right)^{d_0} \prod_{\substack{1 \leq j \leq 2m \\ j \neq i, 2|(j-i)}} \prod_{r=1}^{d_0} \left(\frac{d_0 - 2r}{d_0} \lambda_i - \lambda_j\right) \end{aligned}$$

to  $e(H^0(\Sigma_{e_0}, f_0^* T\mathbb{P}^{4m-1})_{\mathbb{R}}^{\text{mov}})$ .

The parts of  $A_i$ s constant in  $z$  correspond to holomorphic sections on  $\Sigma_0$  commuting with the involution and constitute the direct sum of the trivial representation of  $\mathbb{T}$  on the space of sections  $\{b_{\bar{i};0} z^0 : b_{\bar{i};0} \in \mathbb{R}\}$  and of the two-dimensional representations of weight

$$(-1)^i (-\lambda_i - \lambda_j) = (-1)^{\bar{i}} (\lambda_i - \lambda_{\bar{j}})$$

with  $j \neq \bar{i}$  and  $2 | (j - \bar{i})$ . Combining with the previous displayed expression, we find that

$$\begin{aligned} e(H^0(\Sigma_{e_0}, f_0^* T\mathbb{P}^{2m-1})_{\mathbb{R}}^{\text{mov}}) \\ = (-1)^{(m-1)(\bar{i}+d_0)} d_0! \left(\frac{2\lambda_i}{d_0}\right)^{d_0} \prod_{\substack{1 \leq j \leq 2m \\ j \neq \bar{i}, 2|(j-\bar{i})}} \prod_{r=0}^{d_0} \left(\frac{d_0 - 2r}{d_0} \lambda_i - \lambda_j\right) \end{aligned}$$

if the number  $a \in \mathbb{R}^*$  in [\(6-16\)](#) has the correct sign and the space of sections  $\{b_{\bar{i};0} z^0\}$  is oriented by the positive direction of  $b_{\bar{i};0} \in \mathbb{R}$ .

The characteristic vector field  $s$  for the action of  $S^1 \subset G_c$  which fixes the point  $z = 0$  in  $\mathbb{C} \subset \mathbb{P}^1$  is given by

$$(6-20) \quad (A_i s)_{\bar{i}} = i a z^{-d_0} \frac{d}{d\theta} (e^{-i\theta} z)^{d_0} \Big|_{\theta=0} = a d_0, \quad (A_i s)_j = 0 \quad \forall j \neq i, \bar{i}.$$

Combining this with the bullet points above, we conclude that

$$(6-21) \quad e(H^0(\Sigma_{e_0}, f_0^* T\mathbb{P}^{2m-1})_{\mathbb{R}}^{\text{mov}}) = (-1)^{(m-1)d_0 + m|c|\bar{i}} d_0! \left(\frac{2\lambda_i}{d_0}\right)^{d_0} \prod_{\substack{1 \leq j \leq 2m \\ j \neq i, 2|(j-i)}} \prod_{r=0}^{d_0} \left(\frac{d_0 - 2r}{d_0} \lambda_i - \lambda_j\right).$$

Taking  $m \in 2\mathbb{Z}$ , we obtain (6-6). □

**Remark 6.5** For  $m \in \mathbb{Z}^+$  and  $i = 1, \dots, 2m$ , let  $L_{i\bar{i}}^+ \subset L_{i\bar{i}}$  denote the disk cut out by  $\mathbb{R}\mathbb{P}_i^1$  that contains  $P_i$ . The projection

$$(2\mathcal{O}_{\mathbb{P}^{2m-1}}(1))^{\mathbb{R}} \longrightarrow \mathcal{O}_{\mathbb{P}^{2m-1}}(1)|_{\mathbb{R}\mathbb{P}^{2m-1}}$$

to the first component is an isomorphism. Thus, the trivialization (6-13) induces a trivialization  $\Psi'_i$  of  $\mathcal{O}_{\mathbb{P}^{2m-1}}(1)$  over  $\mathbb{R}\mathbb{P}_i^1$ . It extends over  $L_{i\bar{i}}^+$  by the same formula. The trivialization obtained from (6-13) by evaluating  $\alpha_2$ , instead of  $\alpha_1$ , differs from this trivialization by the orientation and spin:

$$\alpha_2(z_i(\ell)) = \overline{\alpha_1(c_\phi^+(z_i(\ell)))} = \overline{\alpha_1(z_{i\bar{i}}(\ell)z_i(\ell))} = z_{i\bar{i}}(\ell)\overline{\alpha_1(z_i(\ell))}.$$

For  $m \notin 2\mathbb{Z}$ , the reasoning of Section 5.5 determines a canonical spin structure on

$$T\mathbb{R}\mathbb{P}^{2m-1} \oplus \mathcal{O}_{\mathbb{P}^{2m-1}}(1)|_{\mathbb{R}\mathbb{P}^{2m-1}} \approx T\mathbb{R}\mathbb{P}^{2m-1} \oplus (2\mathcal{O}_{\mathbb{P}^{2m-1}}(1))^{\mathbb{R}},$$

and thus a *relative spin structure* on  $\mathbb{R}\mathbb{P}^{2m-1}$ . If  $i$  is odd, the restriction of this trivialization to  $\mathbb{R}\mathbb{P}_i^1$  is equivalent to the direct sum of the trivialization  $\Psi$  used in the proof of Proposition 6.2 and the trivialization  $\Psi'_i$ . If  $i$  is even, this restriction differs from the direct sum by the orientation and spin.

**Remark 6.6** For  $m, d \in \mathbb{Z}^+$ , let  $\mathcal{M}_0^{\text{disk}}(\mathbb{P}^{2m-1}, d)$  denote the moduli space of holomorphic disk maps to  $\mathbb{P}^{2m-1}$  with boundary on  $\mathbb{R}\mathbb{P}^{2m-1}$  that double to degree  $d$  holomorphic maps. Thus,

$$(6-22) \quad \mathcal{M}_0(\mathbb{P}^{2m-1}, d)^{\tau_{2m-1}, \tau} = \mathcal{M}_0^{\text{disk}}(\mathbb{P}^{2m-1}, d)/\tau_{\mathcal{M}}.$$

For  $m \notin 2\mathbb{Z}$ , the relative spin structure of Remark 6.5 determines an orientation on the disk space in (6-22); see [7, Theorem 8.1.1]. By [13, Corollary 5.9], this orientation descends to the left-hand side in (6-22). By Remark 6.5, the last orientation is the orientation determined in the proof of Proposition 6.2 if  $i$  is odd. If  $i$  is even and  $d_0$  is odd, the trivialization of  $f_0^* T\mathbb{R}\mathbb{P}^{2m-1}$  over  $S^1$  in this proof differs from the trivialization induced by the relative spin structure of Remark 6.5 by the orientation and the spin. Each of these changes by itself would reverse the induced orientation

on (6-22); the two of them together preserve it. If  $i$  and  $d_0$  are even, the trivialization of  $f_0^* T\mathbb{R}\mathbb{P}^{2m-1}$  over  $S^1$  in the proof differs from the relative spin trivialization only by the orientation; this change reverses the induced orientation on (6-22). In summary, (6-21) describes the orientation on the moduli space in (6-22) induced by the relative spin structure of Remark 6.5 unless  $d_0$  and  $i$  are even.

**Remark 6.7** Let  $\alpha: S^1 \rightarrow S^1$  denote the antipodal involution. A *spin substructure* on  $(K_{\mathbb{P}^{2m-1}}, d\phi)$  in the sense of the paragraph above [13, Corollary 5.10] consists of a trivialization of this real bundle pair over every real loop

$$\alpha: (S^1, \alpha) \rightarrow (\mathbb{P}^{2m-1}, \phi)$$

so that these trivializations extend over homotopies of such loops. Any such substructure orients  $\mathcal{M}_0(\mathbb{P}^{2m-1}, d)\phi, \eta$ . It can be specified by the trivialization over  $\mathbb{R}\mathbb{P}_i^1$  viewed as the boundary of  $L_{ii}^+$  to be given by (6-12). If  $m \notin 2\mathbb{Z}$  and  $\phi = \eta_{2m-1}$ , the proof of [13, Lemma 3.4] implies that the induced trivialization over  $\mathbb{R}\mathbb{P}_{\bar{i}}^1 = \mathbb{R}\mathbb{P}_i^1$  viewed as the boundary of  $L_{\bar{i}\bar{i}}^+$  is then the *opposite* of (6-12). Since (6-12) is invariant under the interchange of  $i$  and  $\bar{i}$ , this interchange thus changes the spin substructure used to orient  $\mathcal{M}_0(\mathbb{P}^{2m-1}, d)\phi, \eta$  in Lemma 2.5 and the proof of Proposition 6.2. Thus, the interchange of  $i$  and  $\bar{i}$  changes the orientation of the moduli space if  $m \notin 2\mathbb{Z}$ ,  $\phi = \eta_{2m-1}$ , and  $d \notin 2\mathbb{Z}$  (if  $d \in 2\mathbb{Z}$ , this moduli space is empty). If  $\phi = \tau_{2m-1}$ , then  $(K_{\mathbb{P}^{2m-1}}, d\phi)$  admits a real square. By [15, Corollary 2.4 (2)], reversing the orientation of a real loop  $\alpha$  does not change the trivialization in a spin substructure. Thus, the interchange of  $i$  and  $\bar{i}$  preserves the orientation of the moduli space if  $\phi = \tau_{2m-1}$  and  $d \in 2\mathbb{Z}$  (if  $d \notin 2\mathbb{Z}$ , this moduli space is empty).

We note that the right-hand side of (6-21) has the expected behavior if  $i$  is replaced by  $\bar{i}$ . This interchange changes the sign of the right-hand side of (6-21) if and only if

$$\delta_m^c(d_0) \equiv m(d_0 + 1 + |c|)$$

is even. If the target of the fibration

$$(6-23) \quad \bar{\mathcal{M}}_1(\mathbb{P}^{2m-1}, d)\phi, c \rightarrow \bar{\mathcal{M}}_0(\mathbb{P}^{2m-1}, d)\phi, c$$

is oriented at  $[f_0]$  using (6-12) if  $c = \eta$  and (6-14) if  $c = \tau$ , then (6-21) describes the corresponding orientation of the domain of (6-23) at the map  $f_0$  with the positive marked point sent to  $P_i$ . Interchanging  $i$  and  $\bar{i}$  reverses the orientation of the fiber of (6-23) over  $[f_0]$  and thus of the domain of (6-23). Thus,  $\delta_m^c(d_0)$  should be even if and only if the orientation on the target in (6-23) does not change under this interchange. If  $m \in 2\mathbb{Z}$ , (6-12) and (6-14) are the restrictions of a real square root over  $\mathbb{P}^{2m-1}$  and

of a spin structure on  $\mathbb{R}\mathbb{P}^{2m-1}$ , respectively, which orient the target in (6-23); in this case  $\delta_m^c(d_0)$  is even.

Suppose  $m \notin 2\mathbb{Z}$ . If  $c = \tau$ , (6-21) corresponds to the orientation of the target in (6-23) induced by the relative spin structure of Remark 6.5 unless  $d_0$  and  $i$  are even; see Remark 6.6. Thus, replacing  $i$  by  $\bar{i}$  preserves its orientation if  $d_0 \notin 2\mathbb{Z}$  (when  $\delta_m^c(d_0)$  is even) and reverses it if  $d_0 \in 2\mathbb{Z}$  (when  $\delta_m^c(d_0)$  is odd). If  $c = \eta$  and  $d \in 2\mathbb{Z}$  (and thus  $\phi = \tau_{2m-1}$ ), (6-21) corresponds to the orientation of the target in (6-23) induced by a spin substructure on  $(K_{\mathbb{P}^{2m-1}}, d\phi)$ ; see Remark 6.7. In this case,  $\delta_m^c(d_0)$  is indeed even. If  $c = \eta$  and  $d \notin 2\mathbb{Z}$  (and thus  $\phi = \eta_{2m-1}$ ), replacing  $i$  by  $\bar{i}$  changes the spin substructure used to orient the target in (6-23) and reverses its orientation. In this case,  $\delta_m^c(d_0)$  is indeed odd.

Let  $c = \tau, \eta$  and  $\phi = \tau_{2m-1}, \eta_{2m-1}$  be such that  $2 \mid (|\phi| - |c|d_0)$ . Suppose

$$f_0: \mathbb{P}^1 = \Sigma_{e_0} \longrightarrow \mathbb{P}^{2m-1}$$

is as above. Along with the characteristic vector field of the  $S^1$ -action on the parameter space, (6-21) determines an orientation on

$$(6-24) \quad H^0(\mathbb{P}^1, f_0^* T\mathbb{P}^{2m-1})_{\mathbb{R}} = T_{f_0} \mathcal{P}_0(\mathbb{P}^{2m-1}, d)^{\phi, c}.$$

Another orientation on this space is described in Section 5.2.

**Corollary 6.8** *The two orientations on the vector space in (6-24) are the same if and only if  $m((d_0 + 1)i + 1 + |c|\bar{i}) \notin 2\mathbb{Z}$ .*

**Proof** The algebraic orientation on (6-24) is induced by the diffeomorphism  $\Theta_c$  in Section 5.2. The orientation induced by the diffeomorphism  $\Theta'_c$  differs from this orientation by  $(-1)^{m(d_0+1)}$ . Let  $\Theta_i$  denote the first diffeomorphism if  $i \notin 2\mathbb{Z}$  and the second one if  $i \in 2\mathbb{Z}$ . The comparison below is thus made with the algebraic orientation multiplied by  $(-1)^{m(d_0+1)\bar{i}}$ .

Let  $1_i \in \mathbb{C}^m$  denote the unit coordinate vector for the component  $\lfloor (i + 1)/2 \rfloor$ . It is sufficient to establish the claim near the image  $f_0$  of

$$([0, \dots, 0], \dots, [0, \dots, 0], [1_i]) \in (\text{Sym}^{d_0} \mathbb{C})^m \times \mathbb{R}\mathbb{P}^{2m-1}$$

under  $\Theta_i$ . The deformations of the coefficients of  $z^r$  in

$$A_j \prod_{r=1}^{d_0} (1 + (-1)^{|c|} b_{j;r} z) \bigg/ A_i \prod_{r=1}^{d_0} (1 + (-1)^{|c|} b_{i;r} z),$$

for  $j \neq i$  and  $2 \mid (j - i)$ , correspond to the coefficients  $s_{j;r}$  in (6-19), up to a complex multiple. The deformations of the coefficients of  $z^{d_0+r}$  in

$$\bar{A}_i \prod_{r=1}^{d_0} (\bar{b}_{i;r} + z) / A_i \prod_{r=1}^{d_0} (1 + (-1)^{|c|} b_{i;r} z),$$

with  $r > 0$ , correspond to the coefficients  $s_{\bar{i};d_0+r}$  in (6-18). Thus, the complex orientations on  $(\text{Sym}^{d_0} \mathbb{C})^m$  and on  $A_j \in \mathbb{C}$  with  $j \neq i$  and  $2 \mid (j - i)$  give

$$(6-25) \quad e(H^0(\Sigma_{e_0}, f_0^* T\mathbb{P}^{2m-1})_{\mathbb{R}}^{\text{mov}}) = (-1)^{d_0} d_0! \left(\frac{2\lambda_i}{d_0}\right)^{d_0} \prod_{\substack{1 \leq j \leq 2m \\ j \neq i, 2 \mid (j-i)}} \prod_{r=0}^{d_0} \left(\frac{d_0 - 2r}{d_0} \lambda_i - \lambda_j\right).$$

The characteristic vector field corresponding to the  $S^1$  part of the variation of  $A_i$  is  $-2iz^{d_0} \partial / \partial z_{i\bar{i}}$ , ie a positive multiple of the characteristic vector field of the  $S^1$ -action on the parameter space. Our orientation of  $\mathbb{R}\mathbb{P}^{2m-1}$  is determined by the complex orientations on  $A_j \in \mathbb{C}$ , with  $j \neq i$  and  $2 \mid (j - i)$ , and the *negative* characteristic vector field of the  $S^1$ -action on  $A_i$ . Comparing (6-21) and (6-25), we then obtain the claim. □

The orientations on the moduli spaces (1-19) induced by the canonical spin structure on  $\mathbb{R}\mathbb{P}^{4m-1}$  and the canonical real square root of  $(K_{\mathbb{P}^{4m-1}}, \eta_{4m-1})$  described in Section 5.5 are thus the opposite of the algebraic orientations of Section 5.2. This is reflected in the opposite signs for the line counts of Example 6.3 and Corollary 5.4 with  $m$  replaced by  $2m$ . By Corollary 6.8 and Remark 6.6, the orientation on  $\bar{\mathcal{M}}_I(\mathbb{P}^{4m+1}, d)^{\tau_{4m+1}, \tau}$  induced by the canonical relative spin structure of Remark 6.5 is the same as the algebraic orientation if  $d \notin 2\mathbb{Z}$  and the opposite if  $d \in 2\mathbb{Z}$ . The orientation on  $\bar{\mathcal{M}}_I(\mathbb{P}^{4m+1}, d)^{\tau_{4m+1}, \eta}$  induced by the spin substructure on  $(\mathbb{P}^{4m+1}, \tau_{4m+1})$  as described in Remark 6.7 is the opposite of the algebraic orientation. The orientation on  $\bar{\mathcal{M}}_I(\mathbb{P}^{4m+1}, d)^{\eta_{4m+1}, \eta}$  induced by the spin substructure on  $(\mathbb{P}^{4m+1}, \eta_{4m+1})$  determined by the trivialization (6-12) over  $\mathbb{R}\mathbb{P}_1^1$  oriented as the boundary of  $L_{12}^+$  is the same as the algebraic orientation.

**Remark 6.9** Corollary 6.8 implies that Proposition 6.2 with the leading sign exponent changed to  $(-1)^{(d_0+1)(1+m\bar{i})}$  applies to the moduli spaces

$$(6-26) \quad \bar{\mathcal{M}}_I(\mathbb{P}^{2m-1}, d)^{\phi, \tau} \quad \text{and} \quad \bar{\mathcal{M}}_I(\mathbb{P}^{2m-1}, d)^{\phi, \eta}$$

with the algebraic orientations of Section 5.2 for  $d \notin 2\mathbb{Z}$ , whether  $m$  is odd or even. The resulting half-edge contribution then changes sign when  $i$  is replaced by  $\bar{i}$ , as expected. With  $4m$  replaced by  $2m$  in Example 6.3, this change introduces the sign of  $(-1)^{m-1}$

in (6-10) and recovers Corollary 5.4. The remaining considerations of Sections 6.1 and 6.2 apply to the algebraic orientations of these moduli spaces, with one important difference if  $m \notin 2\mathbb{Z}$ . By [14, Lemma 3.1], the correct orientation for the smoothing of the disk node differs from the complex one by  $(-1)^{md_+}$ , where  $d_+ = (d - d_0)/2$  is the degree of the graph  $\Gamma'$  attached to the disk. This is also consistent with the sentence preceding this remark and [12, Remark 2.6]. This introduces two new signs into the computation of Example 6.3, resulting in the same answer. The statement and proof of Theorem 1.10 apply to the algebraic orientations of the moduli spaces (6-26), after reversing the orientation on the second space (as needed for  $d \in 2\mathbb{Z}$  by Proposition 5.5).

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