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STRUCTURED THEOREMS FOR RELATIVELY COMPLEMENTED LATTICES

JACK E. MCLAUGHLIN

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STRUCTURED THEOREMS FOR RELATIVELY COMPLEMENTED LATTICES

J. E. McLaughlin

Introduction. In a previous paper [3] a study was made of the projectivities between the points of a simple relatively complemented lattice of finite dimension. It was shown that for a given dimension there is an upper bound for the number of transposes required to establish the projectivities between the points. The examples given in which this upper bound is attained have a particularly simple structure - they are closely related to a direct union. We shall prove here some general structure theorems for relatively complemented lattices and then apply these to the case of maximal projectivities.

The notation will be that of [3]. The lattice L to which we refer is always relatively complemented.

1. Structure Theorems. Our arguments depend heavily upon the simplicity or indecomposability [2] of L, and it is convenient to have the following characterization of a direct union:

THEOREM 1.1. If L has dimension n, and a, b are two elements of L, then $L \cong a/z \vee b/z$ if and only if

- (1) $\rho(a) + \rho(b) \leq n$, and
- (2) $p \subseteq a$ if and only if $p \notin b$ for all points $p \in L$.

Proof. Certainly if $L \cong a/z \vee b/z$, conditions (1) and (2) will hold. Suppose (1) and (2) hold in L. We shall proceed by induction on n. The theorem is true when n = 1, 2. Suppose it is true for all lattices of dimension less than n, but $L \ddagger a/z \vee b/z$.

It is clear that

$$x = (a \ \mathsf{n} \ x) \ \mathsf{u} \ (b \ \mathsf{n} \ x)$$

for all $x \in L$. Consider the mapping

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$$x \longrightarrow \sigma x = (a \cap x, b \cap x) \in a/z \vee b/z.$$

Now $x \supseteq y$ if and only if

$$a \cap x \supset a \cap y$$
 and $b \cap x \supseteq b \cap y$;

and the latter occurs if and only if $\sigma x \supseteq \sigma y$. Ilence L is isomorphic, as a partially ordered set, to a subset of $a/z \vee b/z$, where

$$\sigma u = (a, b), \ \sigma z = (z, z).$$

These remarks show that if any two elements a, b of L satisfy (2), we must have

$$\rho(a) + \rho(b) \ge n.$$

If $L \stackrel{*}{\neq} a/z \vee b/z$, there are points $p \subseteq a$ and $q \subseteq b$ such that $p/z P_2 q/z$. Hence there is a maximal element m such that $m \stackrel{1}{\neq} p$, $m \stackrel{1}{\neq} q$. Then s_1 and s_2 exist with

$$a > s_1 \supseteq m \cap a, b > s_2 \supseteq m \cap b.$$

Furthermore,

$$a \cup s_2 = b \cup s_1 = u$$

Let $u = x_0 > x_1 > \cdots > x_{n-1} > x_n = z$ be a complete chain in L. This chain maps onto

$$\sigma u = (a, b) > \sigma x_1 > \cdots > \sigma x_{n-1} > \sigma x_n = (z, z).$$

Either (i) $\sigma x_1 = (a, t_2)$, where $b > t_2$, or (ii) $\sigma x_1 = (t_1, b)$, where $a > t_1$. Suppose the former is true. The points of x_1 are in either a or t_2 , but not both. Then a and t_2 satisfy (2) in x_1/z , and since

$$\rho(x_1) = n - 1,$$

we have

$$\rho(a) + \rho(t_2) \ge n - 1.$$

But

$$\rho(a) + \rho(b) \le n$$
, so $\rho(t_2) = \rho(b) - 1$.

Then by the induction hypothesis, $x_1/z \cong a/z \vee t_2/z$. This gives the exist-

ence of a chain from s_1 through a to u of length $1 + \rho(b) - 1 + 1$, or $\rho(b) + 1$. By Lemma 3.6 of [3], there is a chain from b to z of length at least $\rho(b) + 1$, which is a contradiction. A similar contradiction arises if $\sigma x_1 = (t_1, b)$. Therefore $L \cong a/z \vee b/z$, and thus the theorem is proved.

The following theorem gives more information about the quotient lattices a_p^k/z introduced in Lemma 3.5 of [3].

THEOREM 1.2. Let L be simple of dimension n > 1. If p is any point and k is a nonnegative integer such that k < [(n + 1)/2], then a_p^k / z has dimension at least 2k + 1.

Proof. The theorem is true when k = 0. Suppose it is true for all k less than the one in which we are interested. Then a_p^{k-1} has dimension at least 2k - 1, and $a_p^k \supseteq a_p^{k-1}$. If $a_p^k = u$, we are through, so assume $u \supseteq a_p^k$. Then there is a point $s \in L$ with $s \notin a_p^k$, but $s/z P_2 t/z$ for some $t \in C_p^k$. Hence there is a maximal element m such that $m \oiint s$, $m \oiint a_p^k$. Since $s \in C_p^k$, we have $m \supseteq a_p^{k-1}$. Therefore $a_p^k \supseteq a_p^{k-1}$, and the dimension of a_p^k/z is at least 2k. Suppose dim $(a_p^k/z) = 2k$. Let b be the join of all points of L which are not in a_p^k . All of these points are in $x_p^k = \bigcap M_p^k$, where

$$M_p^k \equiv \{ m \in L \mid u > m \not \supseteq a_p^{k-1} \}.$$

(See proof of Lemma 3.5 of [3].) Hence $x_p^k \supseteq b$ and $b \cap a_p^k = z$. The latter follows from the assumption dim $(a_p^k/z) = 2k$, since, by Theorem 3.1 of [3] for any point q we would have $q \subseteq a_p^k$ if and only if $q \in C_p^k$. On the other hand, it is shown, in the proof of Lemma 3.5 of [3], that $q \in C_p^k$ if and only if $q \notin x_p^k$.

Since L is simple, there exists an x such that

$$u > x$$
, $x \ngeq a_p^k$, $x \clubsuit b$.

But $x \not\supseteq b$ implies $x \supseteq a_p^{k-1}$. Then

$$x = a_p^{k-1} \cup (b \cap x)$$
, and $u = b \cup x = a_p^{k-1} \cup b$.

Hence if u > m we have $m \supseteq a_p^{k-1}$, if and only if $m \not\supseteq b$. Therefore a_p^k , a_p^{k-1} , and b satisfy the conditions of Lemma 3.6 of [3], and there exists a chain of length at least 2k from u to b. Then

$$\rho(b) \leq n - 2k$$
, so $\rho(a_p^k) + \rho(b) \leq n$.

But by Theorem 1.1 we would have $L = a_p^k / z \vee b / z$, contrary to the simplicity of L. Therefore $\rho(a_p^k) \ge 2k + 1$ for all k < [(n+1)/2].

J. E. McLAUGHLIN

Let \mathfrak{P} denote the partially ordered subset of L consisting of u, the maximal elements, the points, and z. Let \mathfrak{P}_{ν} be the normal completion of \mathfrak{P} . Consider the mapping $A \longrightarrow \bigcup A$ from \mathfrak{P}_{ν} into L. (A is a normally closed subset of L.) If $A \supseteq B$, then $\bigcup A \supseteq \bigcup B$. Suppose $\bigcup A \supseteq \bigcup B$; then $x \in A^*$ implies $x \supseteq a$, all $a \in A$ implies $x \supseteq \bigcup A$, so $x \supseteq \bigcup B$, and hence $x \supseteq b$ all $b \in B$ and $x \in B^*$; therefore $A^* \subseteq B^*$, so $(A^*)_* \supseteq (B^*)_*$, or $A \supseteq B$. Thus the mapping is order preserving both ways.

Suppose $a \in L$, $a \neq u$, $a \neq z$. Set

 $P(a) = \{ p \in \mathfrak{P} \mid a \supset p > z \},\$ $M(a) = \{m \in \mathfrak{P} \mid u > m \supset a\}.$

Now $x \ge p$, all $p \in P(a)$, if and only if $x \ge a$, so $M(a) \le (P(a))^*$. Also $P(a) \subseteq (P(a)^*)_*$. Suppose $y \in (P(a)^*)_*$; then $y \subseteq x$, all $x \in P(a)^*$ implies $y \subseteq m$, all $m \in M(a)$ implies $y \subseteq a$. Suppose $a' \supseteq y$, all $y \in (P(a)^*)_*$; then $a' \supseteq p$, all $p \in P(a)$ implies $a' \supseteq a$, so $a = \bigcup (P(a)^*)_*$. If a = u, then $a = \bigcup (u)$; if a = z then a = U(z). (Here (x) denotes the principal ideal generated by x.) Hence each $a \in L$ has an inverse image under the above mapping, and $\mathfrak{P}_{\nu} \cong L$; see [2]. This proves the following:

THEOREM 1.3. The structure of L is completely determined by the structure of \$.

REMARK. From the nature of the proof it is seen that the above theorem will be true for any lattice each of whose elements is a join of points and the meet of maximal elements.

2. Lattices with maximal projectivities. In this section we shall study simple lattices of odd dimension in which there occurs a maximal projectivity. We shall show that these lattices are quite close to a direct union in the sense that their structure can be completely described in terms of sublattices. Throughout this section L will be a simple lattice of dimension 2n + 1, and p, q are two points in L such that p/z P q/z requires 2n + 2 transposes. Then we have:

THEOREM 2.1. If $k \leq n$, the following statements are true:

- (1) $\rho(a_p^k) = 2k + 1$, $\rho(a_q^{n-k}) = 2n 2k + 1$; (2) $x_p^k = a_q^{n-k}, x_q^{n-k} = a_p^k;$

(3) a_p^k/z has a maximal projectivity if and only if a_q^{n-k}/z has a maximal projectivity;

(4) if a_p^k/z has a maximal projectivity then $a_p^k \cap a_q^{n-k} = r > z$, otherwise $a_p^k \cap a_q^{n-k} = z$.

Proof. Note that $s \in C_q^{n-k}$ implies $s \notin C_p^k$ implies $s \subseteq x_p^k$ implies $a_q^{n-k} \subseteq x_p^k$. Suppose there is a maximal element m such that $m \supseteq a_p^{k-1}$, $m \supseteq x_p^k$. If m/z is simple, we contradict the assumption of a maximal projectivity between p/z and q/z, since $\rho(m) \leq 2n$. Write

$$m/z = L_1 \vee L_2 \vee \cdots \vee L_{\nu},$$

where the L_i are simple nontrivial quotient lattices, and $\nu > 1$. Now a_q^{n-k}/z and a_p^{k-1}/z are both simple; if they are in the same L_i , we again contradict our maximal projectivity assumption. Hence they are in different components and we must have

$$\rho(a_p^{k-1}) + \rho(a_q^{n-k}) \leq 2n.$$

By Theorem 1.2,

$$\rho(a_p^{k-1}) \ge 2k - 1; \ \rho(a_q^{n-k}) \ge 2n - 2k + 1.$$

Therefore

$$\rho(a_q^{n-k}) = 2n - 2k + 1.$$

The elements a_p^{k-1} and a_q^{n-k} are in different L_i , so

$$\rho(a_p^{k-1} \cup a_q^{n-k}) = \rho(a_p^{k-1}) + \rho(a_q^{n-k}) \ge 2n,$$

and hence

$$m = a_p^{k-1} \cup a_q^{n-k}$$
 or $m/z = a_p^{k-1}/z \vee a_q^{n-k}/z$.

Now let s > z, $s \subseteq x_p^k$. Then $s \notin C_p^k$, so $s \notin a_p^{k-1}$. But $m \supseteq x_p^k$, so $m \supseteq s$, and therefore $s \subseteq a_q^{n-k}$. This shows that $x_p^k \subseteq a_q^{n-k}$, and hence $x_p^k = a_q^{n-k}$. Thus we have shown that if $a_p^{k-1} \cup x_p^k \neq u$, then $x_p^k = a_q^{n-k}$ and $\rho(a_q^{n-k}) = 2n - 2k + 1$.

Suppose $a_p^{k-1} \cup x_p^k = u$. Then for each maximal element $m, m \supseteq a_p^{k-1}$ if and only if $m \ngeq x_p^k$. We have $\rho(a_p^{k-1}) \ge 2k - 1$, so dim $(u/a_p^{k-1}) \le 2n + 2 - 2k$. Since L is simple, dim $(u/x_p^k) \ge 2k$, by Theorem 1.1. Hence $\rho(x_p^k) \le 2n - 2k + 1$. But $x_p^k \supseteq a_q^{n-k}$, and $\rho(a_q^{n-k}) \ge 2n - 2k + 1$. Hence, in all cases, $x_p^k = a_q^{n-k}$ and $\rho(a_q^{n-k}) = 2n - 2k + 1$. By a similar argument, $x_q^{n-k} = a_p^k$ and $\rho(a_p^k) = 2k + 1$. This demonstrates (1) and (2).

J. F. McLAUGHLIN

Suppose r > z, $r \subseteq a_p^k$ such that r/z P p/z requires 2k + 2 transposes. Now $r \notin C_p^k$ implies $r \subseteq x_p^k = a_q^{n-k}$. Furthermore, $r \subseteq a_p^k = x_q^{n-k}$ implies $r \notin C_q^{n-k}$ implies that r/z P q/z requires 2n - 2k + 2 transposes. The argument is symmetric in p and q, and this proves (3).

Suppose s > z and s/z P p/z requires 2n + 2 transposes. Then $x_p^n = a_s^0 = s = a_q^0 = q$, so there is at most one point q such that p/z P q/z requires 2n + 2 transposes. This shows that the r in the preceding paragraph, if it exists, is unique, and we have (4).

We are now in a position to characterize the maximal elements of L in terms of the structure of a_p^k/z and a_q^{n-k}/z . When we know these maximal elements, we will know the structure of L, by Theorem 1.3. First we prove two useful lemmas.

LEMMA 2.1. There is a chain of length 2n + 1 through a_p^k .

Suppose $a_p^k \cup a_q^{n-k-1} = u$. Then the maximal elements of L are in two disjoint classes—those containing a_p^k and those containing a_q^{n-k-1} ; and by Theorem 1.1,

dim
$$(u/a_p^k)$$
 + dim $(u/a_q^{n-k-1}) > 2n + 1$.

But

dim
$$(u/a_p^k) \le 2n + 1 - (2k + 1);$$

dim $(u/a_q^{n-k-1}) \le 2n + 1 - (2n - 2k - 1).$

Hence dim $(u/a_p^k) = 2n - 2k$.

Suppose $u > m \supseteq a_p^k \cup a_q^{n-k-1}$. Now m/z is not simple, since $\rho(m) \le 2n$ and $m \supseteq p, m \supseteq q$. Suppose

$$m/z = L_1 \vee L_2 \vee \cdots \vee L_{\nu}$$
,

where $\nu > 1$. Then a_p^k/z and a_q^{n-k-1}/z are in different components and again there is a chain from a_p^k to u of length at least 2n - 2k since $\rho(a_q^{n-k-1}) = 2n - 2k - 1$. This proves the lemma.

LEMMA 2.2. If s > z, $a \not \ge s$, $b \not \ge s$, but $a \cup b \supseteq s$, then there are points $s_1 \subseteq a$, $s_2 \subseteq b$ such that $s_1/z P_2 s/z$ and $s_2/z P_2 s/z$.

Let $s \cup b > x \supseteq b$, and let x' be a relative complement of $s \cup b$ in $a \cup b/x$ such that $a \cup b > x'$. Then $x' \not\supseteq a$, $x' \not\supseteq s$; hence $x' \not\supseteq s_1$, for some point $s_1 \subseteq a$. Therefore $s/z T a \cup b/x' T s_1/z$. Similarly we can show the existence of s_2 . proving the lemma.

LEMMA 2.3. The following relation holds: dim $(a^k/a_p^{k-1}) = 2$.

For since L is simple there is a maximal m_0 such that $m_0
arrow a_p^k$, $m_0
arrow a_q^{n-k}$. Then $m_0 \supseteq a_p^{k-1}$, $m_0 \supseteq a_q^{n-k-1}$. Assume $a_p^k > a_p^{k-1}$. Then $m_0 \cap a_p^k = a_p^{k-1}$. Set $w = a_q^{n-k} \cap m_0$. Then y exists such that $a_q^{n-k} > y \supseteq w$. Since $m_0 = a_p^{k-1} \cup w$, we have $u = a_p^{k-1} \cup y = w \cup a_p^k$. Since there is a chain of length 2k from a_q^{n-k} to u, there exists a maximal m such that $m
arrow a_q^{n-k}$ and such that there exists a chain of length 2k from a_q^{n-k} to u, there exists a maximal m such that $m
arrow a_q^{n-k}$ and such that there exists a chain of length at least 2k from m to y. Now $m
arrow a_q^{n-k}$ since $a_p^k \cup y = u$. But $m \supseteq a_p^{k-1}$ and $m/z = a_p^{k-1}/z \vee y/z$ in contradiction with the length of the chain from y to m. Hence $a^k
arrow a_q^{k-1}$, and we must have dim $(a_p^k/a_p^{k-1}) = 2$.

COROLLARY. The following relation holds:

$$\dim \left(\left. a_q^{n-k} \right/ a_q^{n-k-1} \right) = 2$$

This follows by symmetry.

3. Maximal elements when $a_p^k \cap a_q^{n-k} \neq z$. The following theorem gives the possibilities for maximal elements when a_p^k/z and a_q^{n-k}/z each have a maximal projectivity. We assume throughout that $1 \leq k \leq n-1$.

THEOREM 3.1. Let $a_p^k \cap a_q^{n-k} = r > z$, and let u > m. If $m \supseteq r$, either (1) $m \supseteq a_p^k$ and $a_q^{n-k} > m \cap a_q^{n-k}$,

or

(2)
$$a_p^k > m \cap a_p^k$$
 and $m \ge a_q^{n-k}$.
If $m \oiint r$, then $a_p^k > a_p^k \cap m$ and $a_q^{n-k} > a_q^{n-k} \cap m$.

Proof. Let $u > m \supseteq r$, and suppose $m \not \supseteq a_p^k$, $m \not \supseteq a_q^{n-k}$. Then $m \supseteq a_p^{k-1}$, and $m \supseteq a_q^{n-k-1}$, for otherwise we would not have a maximal projectivity in *L*. For the same reason, we have $r \not \subseteq a_p^{k-1}$, $r \not \subseteq a_q^{n-k-1}$. Then since

$$\begin{split} \rho(a_p^{k-1}) &= 2k - 1, & \rho(a_p^k) &= 2k + 1, \\ \rho(a_q^{n-k-1}) &= 2n - 2k - 1, & \rho(a_q^{n-k}) &= 2n - 2k + 1, \end{split}$$

we must have

$$a_p^k > m \ \mathsf{n} \ a_p^k = r \ \mathsf{u} \ a_p^{k-1}$$
 and $a_q^{n-k} > m \ \mathsf{n} \ a_q^{n-k} = r \ \mathsf{u} \ a_q^{n-k-1}$

Hence

J. E. McLAUGHLIN

$$m = (r \cup a_p^{k-1}) \cup (r \cup a_q^{n-k-1}) \text{ and } u = a_p^k \cup m = a_p^k \cup a_q^{n-k-1}.$$

Similarly, $u = a_q^{n-k} \cup a_p^{k-1}$.

By Lemma 2.1, there is a chain from a_p^k to u of length 2n - 2k. Since L is relatively complemented, it is easy to see that v exists such that u > v, $v \cap a_p^k = r \cup a_p^{k-1}$, and there is a chain from $r \cup a_p^{k-1}$ to v of length at least 2n - 2k. There is an $s \in C_p^k$ such that $s \notin a_p^{k-1} \cup r$. Hence $s \notin v$, and this implies $v \supseteq a_q^{n-k-1}$. Therefore $v \supseteq m$ and v = m. Then by Theorem 1.1,

$$m/z = a_p^{k-1} \cup r/z \vee a_q^{n-k-1}/z;$$

but this contradicts the existence of a chain from $a_p^{k-1} \cup r$ to m of length 2n - 2k. Hence we must have either $m \supseteq a_p^k$, or $m \supseteq a_q^{n-k}$.

Suppose $m \supseteq a_q^{n-k}$, but $a_p^k > x \supset m \cap a_p^k$. Let y be a relative complement of x in $a_p^k / a_p^k \cap m$. Then $y \supset a_p^k \cap m$, since $a_p^k > x$. Hence $m \not\supseteq x$, $m \not\supseteq y$, so

$$x \cup a_q^{n-k} = y \cup a_q^{n-k} = u.$$

Since

$$\rho(a_p^k) = \rho(a_p^{k-1}) + 2 \text{ and } r \cup a_p^{k-1} \supset a_p^{k-1},$$

it follows that $m
atural a_p^{k-1}$. Hence either $x
atural a_p^{k-1}$ or $y
atural a_p^{k-1}$. Suppose the latter is the case. Then there is an $s \in C_p^{k-1}$ such that $s \notin y$. But $s \subseteq u = y \cup a_q^{n-k}$. Hence, by Lemma 2.2, $s/z P_{2n-2k+2} q/z$ and $p/z P_{2n} q/z$ contrary to our assumption of a maximal projectivity between p/z and q/z. A similar contradiction arises if $x
atural a_p^{k-1}$. Hence $a_p^k > a_p^k$ $\cap m$. The roles of p and q are symmetric, so if $m \supseteq a_p^k$, then $a_q^{n-k} > m \cap a_q^{n-k}$.

Now let $u > m \not\supseteq r$. Since $m \not\supseteq r$, we have $m \supseteq a_p^{k-1}$ and $m \supseteq a_q^{n-k-1}$. Suppose

$$a_p^k > x > a_p^{k-1} = a_p^k \cap m \text{ and } a_q^{n-k} > y > a_q^{n-k-1} = a_q^{n-k} \cap m.$$

Let x' be a relative complement of x in a_p^k/a_p^{k-1} . Suppose x'
arrow r, and let x" be a relative complement of a_p^k in u/x'. Since $a_p^k > x'$, we can assume u > x''. Now x''
arrow r, so $x''
arrow a_q^{n-k-1}$. Hence x'' = m, contrary to $a_p^{k-1} = m \cap a_p^k$. A similar contradiction arises if x
arrow r; and since $a_p^{k-1}
arrow r$, we must have $a_p^k > m \cap a_p^k$. Therefore either

$$a_p^k > m$$
 n a_p^k or $a_q^{n-k} > m$ n a_q^{n-k} .

Suppose

As before, v exists with u > v, $v \cap a_p^k = m \cap a_p^k$, and there is a chain from $m \cap a_p^k$ to v of length at least 2n - 2k. There is a point $s \in C_p^k$ such that $s \notin m \cap a_p^k$, and hence $s \notin v$. Therefore $v \supseteq a_q^{n-k-1}$, so v = m. But m/z, by Theorem 1.1, is equal to $m \cap a_p^k/z \lor a_q^{n-k-1}/z$ in contradiction with 'the length of the chain from $m \cap a_p^k$ to v = m. Hence $a_q^{n-k} > m \cap a_q^{n-k}$; and whenever $u > m \ngeq r$, we have

$$a_p^k > m$$
 n a_p^k , $a_p^{n-k} > m$ n a_q^{n-k} .

The converse of this theorem is not true; however we do have the following result:

THEOREM 3.2. If $a_p^k > x \supseteq r$, then $u > x \cup a_q^{n-k}$, while if $a_q^{n-k} > y \supseteq r$, then $u > a_p^k \cup y$. If $a_p^k > x \not\supseteq r$ and $a_q^{n-k} > y \not\supseteq r$, then $u > x \cup y$ if and only if for any points $t \subseteq x$, $s \subseteq y$, we have $t \cup s \not\supseteq r$.

Proof. Let $a_p^k > x \supseteq r$, and let x' be a relative complement of a_p^k in u/x such that u > x'. Then by Theorem 3.1 we have $x' \supseteq a_q^{n-k}$ and $x' = x \cup a_q^{n-k}$. A similar argument shows that if $a_q^{n-k} > y \supseteq r$, then $u > a_p^k \cup y$.

Suppose

$$a_p^k > x \downarrow r$$
, $a_q^{n-k} \supseteq y \downarrow r$.

If

$$x \supseteq t > z$$
 and $y \supseteq s > z$,

such that $s \cup t \supseteq r$, then

$$x \cup y \supseteq r$$
 and $x \cup y = (x \cup r) \cup (y \cup r) = u$.

Suppose $x \cup y = u$. Since

$$x \not \perp r, y \not \perp r,$$

it follows that

$$x \supseteq a_p^{k-1}$$
, $y \supseteq a_q^{n-k-1}$.

If $x = a_p^{k-1}$ or $y = a_q^{n-k-1}$, Lemma 2.2 tells us that $r \in C_p^k$ or $r \in C_q^{n-k}$. Hence $x > a_p^{k-1}$ and $y > a_q^{n-k-1}$.

So points s and t exist such that
$$x = t \cup a_p^{k-1}$$
 and $y = s \cup a_q^{n-k-1}$. Therefore

J. E. McLAUGHLIN

$$a_p^{k-1}$$
 u t u s u $a_q^{n-k-1} \supseteq r;$

and applying Lemma 2.2 twice we get $t \cup s \supseteq r$. All that is required to finish the proof of the theorem is to show that if $u > m \supseteq x \cup y$, then $m = x \cup y$. Suppose $m \supseteq r$; then

$$m \supseteq (x \cup r) \cup (y \cup r) = u$$
.

So $m \not \ge r$. Hence, by Theorem 3.1, $m = x_1 \cup y_1$, where

$$a_p^k > x_1 \stackrel{1}{\Rightarrow} r \text{ and } a_q^{n-k} > y_1 \stackrel{1}{\Rightarrow} r.$$

But this implies $x = x_1$, $y = y_1$, and $m = x \cup y$.

4. Maximal elements when $a_p^k \cap a_q^{n-k} = z$. Here as before we assume that $1 \le k \le n-1$.

THEOREM 4.1. If u > m then m is one of the following three types:

 $\begin{array}{ll} (1) & m \supseteq a_p^k, \ a_q^{n-k} > a_q^{n-k} & \cap m \gneqq a_q^{n-k-1}, \ or \ dually; \\ (2) & m \supseteq a_p^k, \ a_q^{n-k} & \cap m = a_q^{n-k-1}, \ or \ dually; \\ (3) & a_p^k > m \ \cap a_p^k \supseteq a_p^{k-1}, \ and \ a_q^{n-k} > m \ \cap a_q^{n-k} \supseteq a_q^{n-k-1}. \end{array}$

Proof. Suppose

$$u > m \supseteq a_p^k, m \not\supseteq a_q^{n-k-1}, \text{ but } a_q^{n-k} > x \supset a_q^{n-k} \cap m.$$

Then not all elements of a_q^{n-k}/z covering $m \cap a_q^{n-k}$ will contain a_q^{n-k-1} . On the other hand,

$$m = (m \cap a_q^{n-k}) \cup a_p^k,$$

so for any point

$$s \subseteq a_q^{n-k}$$
, $s \notin m$ n a_q^{n-k} ,

we have

$$s$$
 u $(m$ n a_q^{n-k}) u $a_p^k \supseteq a_q^{n-k-1}$.

Then by Lemma 2.2 we must have

$$s$$
 u $(m$ n $a_q^{n-k}) \supseteq a_q^{n-k-1}$,

contrary to the above assertion. Therefore if

$$u > m \supseteq a_p^k$$
 and $m \oint a_q^{n-k-1}$,

then $a_q^{n-k} > m \cap a_q^{n-k}$.

Now suppose $u > m \supseteq a_p^k$ and $m \supseteq a_q^{n-k-1}$. If m/z is simple, we contradict our maximal projectivity assumption; but arguing as before on the direct split of m/z, we see that

$$m/z = a_p^k/z \vee a_q^{n-k-1}/z,$$

and hence $m \cap a_q^{n-k} = a_q^{n-k-1}$.

Finally suppose u > m, but $m \oint a_p^k$, $m \oint a_q^{n-k}$. Then $m \supseteq a_p^{k-1}$ and $m \supseteq a_q^{n-k-1}$. Assume $m \cap a_p^k = a_p^{k-1}$, and let $a_p^k > x > a_p^{k-1}$, by Lemma 2.3. Let v be a relative complement of a_p^k in u/x such that u > v. Since $v \oint a_p^k$, we have $v \supseteq a_q^{n-k-1}$. Now $v \neq m$, so $a_q^{n-k} > m \cap a_q^{n-k}$. Then m' exists such that u > m', $m' \oint a_q^{n-k}$, and there is a chain from $m \cap a_q^{n-k}$ to m' of length at least 2k. Since $m' \oint a_q^{n-k}$, it follows that $m' \supseteq a_p^{k-1}$, and hence m' = m. But m/z is not simple; a_p^{k-1} and $a_q^{n-k} \cap m$ are in different components. This is contrary to the length of the above chain, since $\rho(a_p^{k-1}) = 2k - 1$. Hence we must have $a_p^k > m \cap a_p^k$, and dually $a_q^{n-k} > m \cap a_q^{n-k}$.

Examples show that it is impossible from the structures of a_p^k/z and a_q^{n-k}/z to tell whether $u > a_p^k \cup a_q^{n-k-1}$ or $u = a_p^k \cup a_q^{n-k-1}$, and dually. However, for the other maximal elements we have:

THEOREM 4.2. lf

$$a_q^{n-k} > y \stackrel{1}{\Rightarrow} a_q^{n-k-1},$$

then $u > y \cup a_p^k$, and dually. If

$$a_p^k > x \supseteq a_p^{k-1}$$
 and $a_q^{n-k} > y \supseteq a_q^{n-k-1}$,

then $u > x \cup y$ if and only if for every pair of points $s \subseteq x$, $t \subseteq y$ the lattice $s \cup t/z$ is a Boolean algebra.

Proof. Suppose

$$a_q^{n-k} > y \supseteq a_q^{n-k-1}$$
 and $u = a_p^k \cup y$.

Then there is a point $t \subseteq a_q^{n-k-1}$ such that $t \notin y$, $t \notin a_p^k$, but $t \subseteq a_p^k \cup y$; and using Lemma 2.2 we obtain a contradiction of our maximal projectivity hypothesis. On the other hand, if $u > m \supseteq a_p^k \cup y$, then by Theorem 4.1 we get $m = a_p^k \cup y$.

Let $a_p^k > x \ge a_p^{k-1}$ and $a_q^{n-k} > y \ge a_q^{n-k-1}$. By Theorem 4.1, either $u = x \cup y$

or $u > x \cup y$. If $u = x \cup y$, there are points $s \subseteq x$, $t \subseteq y$ such that

$$t \cup a_p^{k-1} \cup s \cup a_q^{n-k-1} \supseteq a_q^{n-k}$$

Then by Lemma 2.2, we have

$$t \cup s \cup a_q^{n-k-1} \supseteq a_q^{n-k};$$

thus $t \cup a_q^{n-k}/z$ is not a direct union, so there is another point $r \subseteq a_p^k$ such that $t \cup s \cup a_q^{n-k-1} \supseteq r$, and hence $t \cup s \supseteq r$. But this tells us that $t \cup s/z$ is not a Boolean algebra.

If $u > x \cup y$, we must have $x \cup y/z = x/z \vee y/z$, and the condition is satisfied.

Here again, then, save for the one exception, the structure of L is determined by the structure of sublattices and the relations between points.

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Pacific Journal of Mathematics Vol. 3, No. 1 March, 1953

Herbert Busemann, Volume in terms of concurrent cross-sections	1
L. Carlitz, Some special equations in a finite field	13
Homer V. Craig and Billie Braden Townsend, On certain metric	
extensors	25
Philip J. Davis and Henry Pollak, <i>Linear functionals and analytic</i> continuation problems	47
Jacob C. E. Dekker, The constructivity of maximal dual ideals in certain	
Boolean algebras	73
Harley M. Flanders, <i>The norm function of an algebraic field extension</i>	103
Marshall Hall, Subgroups of free products	115
Israel (Yitzchak) Nathan Herstein, <i>Finite multiplicative subgroups in division rings</i>	121
Joseph Lawson Hodges, Jr. and Murray Rosenblatt, <i>Recurrence-time</i> moments in random walks	127
Alfred Horn, The normal completion of a subset of a complete lattice and	
lattices of continuous functions	137
Fulton Koehler, Estimates for the errors in the Rayleigh-Ritz method	153
M. H. Martin, The Monge-Ampère partial differential equation	
$rt - s^2 + \lambda^2 = 0$	165
John E. Maxfield, Normal k-tuples	189
Jack E. McLaughlin, Structured theorems for relatively complemented	
lattices	197
William H. Mills, A system of quadratic Diophantine equations	209
T. S. Motzkin, Ernst Gabor Straus and F. A. Valentine, <i>The number of</i>	
farthest points	221
G. Power, Forces on the boundary of a dielectric	233
Ralph Gordon Selfridge, <i>Approximations with least maximum error</i>	247