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A CLASS OF GENERALIZED WALSH FUNCTIONS

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1. Introduction. Let α denote a fixed integer, $\alpha \geq 2$, and put $\omega = \exp(2\pi i/\alpha)$.

DEFINITION 1. The Rademacher functions of order α are defined by

 $\phi_0(x) = \omega^k$ if $k/\alpha \leq x < (k+1)/\alpha$, $k = 0, \dots, \alpha - 1$;

and for $n \ge 0$

$$\phi_n(x+1) = \phi_n(x) = \phi_0(\alpha^n x).$$

DEFINITION 2. The Walsh functions of order α are defined by

$$\psi_0(x) = 1$$

and if $n = a_1 \alpha^{n_1} + \cdots + a_m \alpha^{n_m}$ where $0 < a_j < \alpha$ and $n_1 > n_2 > \cdots > n_m$, then

$$\psi_n(x) = \phi_{n_1}^{a_1}(x) \cdots \phi_{n_m}^{a_m}(x).$$

For convenience we let Ψ_{α} denote the set of Walsh functions of order α . We may observe that Ψ_2 is the orthonormal system of functions defined by Walsh [4]. R.E.A.C. Paley's proof that Ψ_2 is orthonormal and complete in L(0, 1) may be modified by the reader to establish the same properties for Ψ_{α} , $\alpha = 3, 4, \cdots$. [3; pp. 242-244].

It is the purpose of this paper to study Fourier expansions in the sets Ψ_{α} . The results obtained here will include known results for ordinary Walsh Fourier series, most of which are contained in a paper of N. J. Fine [1]. In fact, most

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of the properties of Fourier expansions in Ψ_2 are shared by expansions in Ψ_a .

The system Ψ_{α} is in fact the character group of G_{α} , the countable product of cyclic groups of order α , transferred to the unit interval. The operation +, introduced in § 2, is precisely the image of the group operation. Some of our results and many of our methods readily admit interpretations in G_{α} , although little mention of these will be made in the text. For example, in Lemma 1 we prove that the Haar integral in the group corresponds to the Lebesgue integral on (0,1).

Using an obvious abbreviation, we summarize our most important results: (i) The $W_{\alpha}FS$ of f(x) converges to f(x) a.e. if f(x) is of bounded variation, and the convergence tests of Dini and Dini-Lipschitz are valid. (ii) If f(x) has variation V and if c_k is the coefficient of $\psi_k(x)$ in the $W_{\alpha}FS$ of f(x), then $|c_k| \leq Vk^{-1} \csc \pi/\alpha$. (iii) The continuity of f(x) is a sufficient condition for the uniform (C, 1) summability of the $W_{\alpha}FS$.

2. Notation and preliminary results. Define

$$l_{n,k} = l_{n,k}(\alpha) = \{x : k \alpha^{-n} \le x < (k+1) \alpha^{-n} \},\$$

 $k = 0, \dots, \alpha^n - 1, n = 1, 2, \dots$ Then if $\phi_n(x)$ is the *n*th Rademacher function of order $\alpha, \phi_n(x) = \omega^k$ if $x \in I_{n+1,k}$.

The term, α -adic rational, will denote any number of the form $k\alpha^{-n}$ where k and n are integers. Thus if x has the base α expansion

$$\sum_{j=1}^{\infty} x_j \alpha^{-j}, \quad 0 \leq x_j < \alpha,$$

where the terminating expansion is taken in case x is an α -adic rational, we see that $\phi_n(x) = \omega^{x_n+1}$.

We introduce a binary operation, denoted by +, and defined as follows: If $0 \le a < 1$ and $0 \le x < 1$, and if a and x have base α expansions

$$\sum_{1}^{\infty} a_j \alpha^{-j} \text{ and } \sum_{1}^{\infty} x_j \alpha^{-j}$$

respectively, then a + x will denote the number

$$\sum_{1}^{\infty} y_{j} \alpha^{-j}$$

where $y_j \equiv a_j + x_j \pmod{\alpha}$, $0 \leq y_j < \alpha$. If we agree to take the terminating expansions for α -adic rationals whenever possible, it follows that for any fixed a and all $n \geq 0$ $\phi_n(a + x) = \phi_n(a) \phi_n(x)$, a.e. The exceptional values occur when a + x is the infinite expansion of an α -adic rational. It is also true that $\psi_n(a + x) = \psi_n(a) \psi_n(x)$, a.e.

LEMMA 1. If $f(x) \in L(0, 1)$ then $f(a + x) \in L(0, 1)$ and

$$\int_0^1 f(x) \, dx = \int_0^1 f(a + x) \, dx \, dx$$

The reader will have no difficulty in modeling a proof after the proof in the case $\alpha = 2$ [1, p. 379].

If $f(x) \in L(0, 1)$ and if

$$c_n = \int_0^1 f(t) \,\overline{\psi}_n(t) \, dt$$

we say that $\sum_{0}^{\infty} c_n \psi_n(x)$ is the $W_a FS$ of f(x). Let $s_k(x)$ denote the *k*th partial sum of this series, so that

$$s_k(x) = \int_0^1 f(t) \sum_{j=0}^{k-1} \psi_j(x) \psi_j(t) dt = \int_0^1 f(t) D_k(x, t) dt$$

where the kernel $D_k(x, t)$ is defined accordingly. We will write $D_k(t) = D_k(0, t)$. Observe that for all $k \leq \alpha^n$, $D_k(x, t) = D_k(x', t')$ provided only that x and x' are in the same $I_{n,r'}$.

Let z = z(x, n) be that number satisfying

except when this relation determines z as the nonterminating expansion of an α -adic rational. In these cases let the first n digits in the expansion of z be determined by (2.1), and let the remaining digits be zeros. For all $k \leq \alpha^n$ we have for almost all t

(2.2)
$$D_k(x,t) = \sum_{0}^{k-1} \psi_j(x) \overline{\psi}_j(t) = \sum \overline{\psi}_j(z) \overline{\psi}_j(t) = \sum \overline{\psi}_j(z + t) = D_k(z + t).$$

If we use Lemma 1 we have the following useful result.

(2.3)
$$s_{k}(x) = \int_{0}^{1} D_{k}(z + t) f(t) dt$$
$$= \int_{0}^{1} D_{k}(x + z + t) f(x + t) dt = \int_{0}^{1} D_{k}(t) f(x + t) dt.$$

Unless otherwise stated all functions will be assumed to be periodic and integrable on (0, 1).

3. Convergence.

LEMMA 2.

$$D_{\alpha,n}(t) = \begin{cases} \alpha^n \text{ if } t \in I_{n,0}, \\ 0 \text{ otherwise.} \end{cases}$$

Proof. We have from the definitions

(3.1)
$$D_{an}(t) = \sum_{r=0}^{a^{n-1}} \overline{\psi}_r(t) = \prod_{r=0}^{n-1} \left[1 + \overline{\phi}_r(t) + \dots + \overline{\phi}_r^{a-1}(t) \right].$$

If $t \in I_{n,0}$ each $\phi_r(t) = 1$, while if $t \notin I_{n,0}$ at least one factor in the product vanishes. (The *p*th factor is zero if $\phi_n(t) \neq 1$.)

By translating under $\dot{+}$ we see that Lemma 2 has the following equivalent form: If $\rho = \rho(x, n)$ is such that $x \in I_{n,\rho}$ then

$$D_{an}(x, t) = \begin{cases} \alpha^n & \text{if } t \in I_n, \rho, \\ 0 & \text{otherwise.} \end{cases}$$

As an immediate consequence we have

THEOREM 1. If $f(x) \in L(0, 1)$ then $\lim_{n \to \infty} s_{\alpha n}(x) = f(x)$ a.e. In particular, $s_{\alpha n}(x) \longrightarrow f(x)$ at a point of continuity of f(x) and the convergence is uniform in a closed interval of continuity. If x is an α -adic rational then $s_{\alpha n}(x) \longrightarrow f(x)$ provided x is a point of right hand continuity of f(x). Additional usefulness of Lemma 2 is seen from the identity

$$(3.2) \quad D_n(x,t) = \sum_{j=1}^m \left\{ \phi_{n_1}^{a_1}(x) \overline{\phi}_{n_1}^{a_1}(t) \cdots \phi_{n_{j-1}}^{a_{j-1}}(x) \overline{\phi}_{n_{j-1}}^{a_{j-1}}(t) \right. \\ \left. D_{a^{n_j}}(x,t) \left[1 + \phi_{n_j}(x) \overline{\phi}_{n_j}(t) + \cdots + \phi_{n_j}^{a_j-1}(x) \overline{\phi}_{n_j}^{a_j-1}(t) \right] \right\},$$

where the base α expansion of *n* is given in Definition 2. To prove (3.2) notice that

$$(3.3) D_n(x,t) = D_{a^{n_1}}(x,t) + \sum_{r=0}^{n-a^{n_{1-1}}} \psi_{a^{n_1}+r}(x) \overline{\psi}_{a^{n_1}+r}(t) = D_{a^{n_1}}(x,t) + \phi_{n_1}(x) \overline{\phi}_{n_1}(t) D_{n-a^{n_1}}(x,t).$$

By using (3.3) recursively we obtain (3.2).

The usual method of establishing convergence of the full sequence of partial sums of the $W_{\alpha}FS$ will be to reduce the convergence of $s_n(x)$ to that of $s_{\alpha}{}^{n_1}(x)$ by showing that $s_{\alpha}{}^{n_1}(x) - s_n(x) \longrightarrow 0$ as $n \longrightarrow \infty$, where $\alpha^{n_1} \le n < \alpha^{n_1+1}$. In the following lemma we use the notation of Definition 2, with the additional convention of writing N for n_1 .

LEMMA 3. Let ν be a fixed positive integer and let $x \in I_{\nu,\rho}$. Then if $\sigma \neq \rho$

(3.4)
$$\lim_{n \to \infty} \int_{I_{\nu,\sigma}} [D_n(x,t) - D_{\alpha N}(x,t)] f(t) dt = 0.$$

If also $y \in I_{\nu,\rho}$ and $N \geq \nu$, then

(3.5)
$$\left|\int_{y}^{(\rho+1)\alpha^{-\nu}} [D_n(x,t) - D_{\alpha N}(x,t)]dt\right| < \alpha,$$

and in case $y = \rho \alpha^{-\nu}$, the integral (3.5) vanishes.

Proof. In proving (3.4) we may suppose $N \ge \nu$. Let r be chosen so that $n_r \ge \nu > n_{r+1}$; in case $n_m \ge \nu$ take r = m. By Lemma 2 all $D_{\alpha k}(x, t) = 0$ for $t \in I_{\nu,\sigma}$ and $k \ge \nu$. Thus $D_n(x, t) = D_n(x, t) - D_{\alpha N}(x, t)$ and by (3.2) this is a sum of m - r terms, each of which is, for $t \in I_{\nu,\sigma}$, a constant multiple of

$$\overline{\phi}_{n_1}^{a_1}(t)\cdots\overline{\phi}_{n_r}^{a_r}(t)=\overline{\psi}_{M(n)}(t),$$

say. A careful inspection of (3.2) shows that the sum of the moduli of the coefficients of $\overline{\psi}_{M(n)}(t)$ is bounded independent of *n*. Also, $M(n) \longrightarrow \infty$ as $n \longrightarrow \infty$. We have now reduced (3.4) to a theorem of Mercer [2, p. 17].

The inequality (3.5) is proved by writing $I_{\nu,\rho}$ as a sum of $I_{N,s}$. On each $I_{N,s}$ the integrand is a linear combination of $\overline{\phi}_N^b(t)$, $0 < b < \alpha$. On each complete $I_{N,s}$ contained in $(\gamma, (\rho + 1)\alpha^{-\nu})$ the integral vanishes. The remainder of the interval of integration has length less than α^{-N} , and from (3.3) we see that the integrand is numerically less than α^{N+1} .

THEOREM 2. If f(x) is of bounded variation and continuous from the right on [0,1), then as $n \to \infty$, $s_n(x) \to f(x)$ at every point of continuity and at every α -adic rational. If x is an α -adic irrational which is a point of discontinuity, $s_n(x)$ does not converge.

Proof. To prove convergence it is sufficient to show that for f(t) monotonic

$$s_n(x) - s_{\alpha N}(x) = \int_0^1 \left[D_n(x, t) - D_{\alpha N}(x, t) \right] f(t) dt \longrightarrow 0.$$

Write this integral as

$$\int_{I_{\nu,\rho}} + \int_{CI_{\nu,\rho}} [D_n(x,t) - D_{aN}(x,t)] f(t) dt = J_1 + J_2,$$

where C denotes the complement taken with respect to (0, 1). By the second theorem of the mean, there is $y \in I_{\nu,\rho}$ such that

$$\begin{split} J_{1} &= f\left(\rho\alpha^{-\nu} + 0\right) \int_{\rho\alpha^{-\nu}}^{y} \left[D_{n} - D_{\alpha^{N}}\right] dt \\ &+ f\left(\left(\rho + 1\right)\alpha^{-\nu} - 0\right) \int_{y}^{(\rho+1)\alpha^{-\nu}} \left[D_{n} - D_{\alpha^{N}}\right] dt. \end{split}$$

By (3.5)

$$(3.6) |J_1| \le \alpha |f((\rho+1)\alpha^{-\nu}-0) - f(x)| + \alpha |f(x) - f(\rho\alpha^{-\nu}+0)| < \epsilon/2$$

for ν sufficiently large and for $n \ge \alpha^{\nu}$, since $f(x+0) = f(x) = f(x-0)$. If

x is an α -adic rational, first choose ν large enough so that $\rho \alpha^{-\nu} = x$, so that only right hand continuity is involved in (3.6). With ν fixed, $J_2 \longrightarrow 0$ as $n \longrightarrow \infty$ by (3.4).

Notice that for convergence at x, the hypothesis of bounded variation is needed only in a neighborhood of x.

The proof of the second part of Theorem 2 will be omitted, except to note that it is sufficient to consider the $W_{\alpha}FS$ of f(x), f(x) = 0 if $0 \le x < a$, f(x) = 1 if $a < x \le 1$, where a is an α -adic irrational. The partial sums of the $W_{\alpha}FS$ of f(x) may be explicitly written in terms of the digits in the base α expansion of a, and the assertion follows directly.

Lemmas 2 and 3 provide a direct proof of the theorem of localization for $W_{\alpha}FS$.

THEOREM 3. If f(x) = g(x) a.e. for $a - \epsilon < x < a + \epsilon$, then the W_aFS of f(x) and g(x) are equiconvergent at a. If a is an α -adic rational it is sufficient that f(x) = g(x) a.e. for $a < x < a + \epsilon$.

LEMMA 4. The kernel $D_k(x, t)$ satisfies

(3.7)
$$\int_0^1 D_k(x, t) dt = 1,$$

and for 0 < t < 1

$$(3.8) |D_k(t)| < \alpha/t.$$

Proof. The first assertion is obvious.

For a proof of (3.8) the reader is referred to Fine's paper [1; pp. 391, 392].

THEOREM 4. If for a fixed $x_{,}$

$$\frac{f(t)-c}{t-x} \in L(x-\delta, x+\delta) \text{ for some } \delta > 0,$$

then $s_n(x) \longrightarrow c$.

Proof. Suppose the base α expansion of x does not end in an infinite sequence of ones. Let z be determined by (2.1). Then we have, using (2.2) and (3.7)

$$s_{n}(x) - c = \int_{|t-x| < h < \delta} [f(t) - c] D_{n}(z + t) dt$$
$$+ \int_{|t-x| > h} [f(t) - c] D_{n}(x, t) dt = J_{1} + J_{2}.$$

One may verify that

$$(3.9) |x-t| \leq \alpha (z+t).$$

Thus, with (3.8), we have

$$|J_1| \leq \alpha^2 \int_{|t-x| \leq h} \frac{|f(t)-c|}{|t-x|} dt \leq \epsilon$$

for h sufficiently small. With h fixed, $J_2 \rightarrow 0$ by Theorem 3 and the remark below equation (3.6).

In case x is of the form excluded in the argument above, the proof must be modified. We put z = z(x, n) where z(x, n) is defined in §2. Inequality (3.9) may not be satisfied on a set $F_n \subset (x - \delta, x + \delta)$. One may show that F_n is a subset of an interval of length α^{-n} , so

$$|J_{1}| \leq \alpha^{2} \int_{|t-x| \leq h} \frac{|f(t)-c|}{|t-x|} dt + n \int_{F_{n}} |f(t)-c| dt = J_{1}' + J_{1}''.$$

 $J_1' < \epsilon$ as before, and with h fixed,

$$J_{1}^{\prime\prime} \leq n\alpha^{-n} \int_{F_{n}} \frac{|f(t) - c|}{|t - x|} dt \longrightarrow 0$$

and $J_2 \longrightarrow 0$ as $n \longrightarrow \infty$.

Lemma 1 and equation (2.2) provide a proof that

$$\int_0^1 |D_k(x,t)| dt = \int_0^1 |D_k(t)| dt \text{ for all } x \in (0,1).$$

We put $L_k = \int_0^1 |D_k(t)| dt$, the *k*th Lebesgue constant of the system Ψ_{α} .

LEMMA 5. The Lebesgue constants satisfy $L_k = O(\log k)$, where the O depends upon α .

Proof. By Lemma 4, $|D_k(t)| \leq \min(\alpha/t, k)$. Thus

$$L_k \leq \int_0^{\alpha/k} k \, dt + \int_{\alpha/k}^1 \alpha/t \, dt = O(\log k).$$

In the statement of the next theorem, $W(\delta; f)$ is the modulus of continuity of f(x);

$$W(\delta; f) = \sup_{\substack{|h| \leq \delta, \ 0 \leq x < 1}} |f(x+h) - f(x)|.$$

THEOREM 5. If f(x) satisfies $W(\delta; f) = o((\log \delta^{-1})^{-1})$ as $\delta \longrightarrow 0$, then $s_n(x) \longrightarrow f(x)$ uniformly.

Proof. For this proof, write $n = a\alpha^k + k'$ where $0 < a < \alpha$, $0 \le k' < \alpha^k$. Since

$$s_n - s_{ak} = (s_n - s_{aak}) + (s_{aak} - s_{ak}) = S_1 + S_2$$
,

it is sufficient to show that $S_1 \longrightarrow 0$ and $S_2 \longrightarrow 0$ uniformly. By using Lemma 2 and (3.3) we obtain

$$S_2 = \int_{I_{k,\rho}} \left[\phi_k(x) \ \overline{\phi}_k(t) + \dots + \phi_k^{a-1}(x) \ \overline{\phi}_k^{a-1}(t) \right] \alpha^k f(t) dt,$$

where ρ is chosen so that $x \in I_{k,\rho}$. Since f(x) is uniformly continuous, $S_2 \longrightarrow 0$ as $k \longrightarrow \infty$. Again using (3.3),

$$S_1 = \int_0^1 \phi_k^a(x) \overline{\phi}_k^a(t) D_k'(x, t) f(t) dt.$$

Replacing t by $t + b\alpha^{-k-1}$, we have

$$S_1 = \omega^{-ab} \int_0^1 \phi_k^a(x) \overline{\phi}_b^a(t) D_k \cdot (x, t) f(t + b\alpha^{-k-1}) dt,$$

so by subtraction

$$S_1(1-\omega^{ab}) = \phi_k^a(x) \int_0^1 D_k'(x,t) \,\overline{\phi}_k^a(t) [f(t) - f(t + b\alpha^{-k-1})] dt.$$

If b is chosen so that $|1 - \omega^{ab}| \ge 3^{\frac{1}{2}}$, this becomes

$$|S_1| 3^{\frac{1}{2}} \leq W(\alpha^{-k}; f) L_k = o(1),$$

where we have used Lemma 5.

4. Fourier coefficients.

THEOREM 6. If

$$f(x) \sim \sum_{0}^{\infty} c_n \psi_n(x),$$

then

$$f(a + x) \sim \sum_{0}^{\infty} d_n \psi_n(x)$$

where $d_n = c_n \psi_n(a)$.

Proof. This is a consequence of Lemma 1 and the relation $\psi_n(a + x) = \psi_n(a) \psi_n(x)$, a.e.

By using Theorem 6 and the scheme from the proof of Theorem 5 we may establish the following.

THEOREM 7. If

$$f(x) \sim \sum_{0}^{\infty} c_j \psi_j(x),$$

then

$$|c_n| \leq 3^{\frac{1}{2}} W((\alpha - 1)/n; f).$$

There is a similar result with W replaced by the integral modulus of continuity.

As a corollary to Theorem 7 there is the following.

THEOREM 8. If $f(x) \in \text{Lip}(\eta)$, then $c_n = O(n^{-\eta})$ where the O depends upon α .

For the next lemma we define

$$J_n(x) = \int_0^x \psi_n(t) dt$$

and we write $n = a\alpha^k + k'$, where $0 < a < \alpha$, $0 \le k' < \alpha^k$.

LEMMA 6. For $n \ge 0$ and all x_s

$$|J_n(x)| < n^{-1} \csc \pi/\alpha$$

Proof. If $x \in I_{k,\rho}$ we have, from elementary properties of $\psi_n(x)$,

(4.1)
$$|J_n(x)| = \left| \int_{\rho\alpha^{-k}}^x \psi_n(t) dt \right| = \left| \psi_k \cdot (\rho\alpha^{-k}) \int_{\rho\alpha^{-k}}^x \phi_k^a(t) dt \right|.$$

If τ is defined by the relation $x \in I_{k+1,\tau}$, we have by a direct calculation

$$\begin{split} \left| \int_{\rho\alpha^{-k}}^{x} \phi_{k}^{a}(t) dt \right| &\leq \max \left\{ \left| \int_{\rho\alpha^{-k}}^{\tau\alpha^{-k-1}} \phi_{k}^{a}(t) dt \right|, \left| \int_{\rho\alpha^{-k}}^{(\tau+1)\alpha^{-k-1}} \phi_{k}^{a}(t) dt \right| \right\} \\ &\leq \max \left\{ \alpha^{-k-1} \left| \frac{1-\omega^{a\tau}}{1-\omega} \right|, \ \alpha^{-k-1} \left| \frac{1-\omega^{a(\tau+1)}}{1-\omega} \right| \right\} \\ &\leq \alpha^{-k-1} \csc \pi/\alpha < n^{-1} \csc \pi/\alpha. \end{split}$$

THEOREM 9. If f(x) has total variation V then

 $|c_n| \leq V n^{-1} \csc \pi/\alpha$.

Proof. Since $J_n(0) = J_n(1) = 0$,

(4.2)
$$c_n = -\int_0^1 \overline{J}_n(x) df(x),$$

and the theorem is now seen to be a consequence of Lemma 6.

For $\alpha = 2$, Theorem 9 was proved by N. J. Fine [1, p. 383] and in this case csc $\pi/\alpha = 1$. That this factor is necessary when $\alpha > 2$ is seen from the following example. For an arbitrary positive integer k define $n = \alpha^{k+1} - 1$. Let β denote the integral part of $\alpha/2$ and put $\zeta = \beta \alpha^{-k-1}$ and $\xi = \zeta + \beta/\alpha$. Let f(x)represent the characteristic function of the interval $[\zeta, \xi]$. By using (4.1) and (4.2) we may calculate c_k . It turns out that

$$[c_k] = [B(\alpha)/2]^2 \alpha^{-n-1} \csc \pi/\alpha V,$$

where $B(\alpha) = \max_{0 \le b \le \alpha} |1 - \omega^b|$ so that $3^{\frac{1}{2}} \le B(\alpha) \le 2$.

5. (C, 1) summability. Let $\sigma_k(x)$ represent the kth (C, 1) mean of $\{s_n(x)\}$, and define the kernel,

$$F_k(x, t) = k^{-1} \sum_{1}^{k} D_r(x, t).$$

We will write $F_k(0, t) = F_k(t)$.

LEMMA 7. For
$$k \ge 1$$
, $\int_0^1 F_k(x, t) dt = 1$, and for $0 < t < 1$, $|F_k(t)| < \alpha/t$.

Proof. These properties follow directly from the corresponding properties of $D_k(x, t)$.

LEMMA 8. There is a constant M such that for all $k \ge 0$

$$\int_0^1 |F_{ak}(x,t)| dt \leq M.$$

Proof. Write n in the form $n = a\alpha^k + k'$ where $0 < a < \alpha$ and $0 \le k' \le \alpha^k$. By a somewhat tedious calculation involving repeated use of (3.2) we obtain

(5.1)
$$nF_n(t) = [1 + \dots + \overline{\phi}_k^{a-1}(t)] \alpha^k F_{\alpha k}(t) + \overline{\phi}_k^a(t) k' F_k'(t)$$

 $+ \{1 + [1 + \overline{\phi}_k(t)] + \dots + [1 + \dots + \overline{\phi}_k^{a-2}(t)] \} \alpha^k D_{\alpha k}(t)$
 $+ [1 + \dots + \overline{\phi}_k^{a-1}(t)] k' D_{\alpha k}(t).$

If we take $k' = \alpha^k$ and $a = \alpha - 1$ in (5.1) we obtain

(5.2)
$$\alpha^{k+1} F_{\alpha^{k+1}}(t) = R_k(t) \alpha^k F_{\alpha^k}(t) + Q_k(t) \alpha^k D_{\alpha^k}(t)$$

where

(5.3)
$$R_k(t) = \begin{cases} \alpha & \text{if } \phi_k(t) = 1, \\ 0 & \text{otherwise,} \end{cases}$$

and

(5.4)
$$Q_k(t) = \begin{cases} \alpha (\alpha - 1)/2 & \text{if } \phi_k(t) = 1, \\ \alpha / (1 - \overline{\phi}_k(t)) & \text{otherwise.} \end{cases}$$

By applying a simple induction argument to (5.2) we obtain

(5.5)
$$\alpha^{k+1} F_{\alpha k+1}(t) = Q_k(t) \alpha^k D_{\alpha k}(t) + \sum_{r=1}^k R_k(t) R_{k-1}(t) \cdots R_r(t) Q_{r-1}(t) \alpha^{r-1} D_{\alpha r-1}(t) + \prod_{r=0}^k R_r(t).$$

Let

$$S = \sum_{r=1}^{\alpha-1} |1 - \omega^r|^{-1},$$

then equations (5.3)-(5.5) enable us to show that

$$\alpha^{k+1} \int_0^1 |F_{\alpha^{k+1}}(t)| dt \leq \alpha^k [(\alpha-1)/2 + S] + 1 + [(\alpha-1)/2 - S] \sum_{1}^k \alpha^{r-1},$$

from which the lemma follows.

Observe that by setting k = 0 in (5.2) we see that for $\alpha > 2$ the kernels $F_{\alpha k}(t)$ are not positive. Fine showed that in case $\alpha = 2$, $F_{\alpha k}(t) \ge 0$ [1, p. 396].

LEMMA 9. If t is not of the form $t = d\alpha^{-m}$, $m \ge 1$, $0 \le d < \alpha$, then $\lim_{k\to\infty} F_k(t) = 0$.

Proof. Let t be given and choose n so that $\alpha^{-n} < t < \alpha^{-n+1}$. Write $k = p\alpha^n + q$ where $0 \le q < \alpha^n$. Then

$$kF_{k}(t) = \sum_{r=0}^{p-1} \sum_{s=1}^{a^{n}} D_{ra^{n}+s}(t) + \sum_{s=1}^{q} D_{pa^{n}+s}(t).$$

One can show that $D_{ra^{n}+s}(t) = D_{a^{n}}(t)D_{r}(\alpha^{n}t) + \psi_{r}(\alpha^{n}t)D_{s}(t)$. This gives

$$D_{r\alpha^{n}+s}(t) = \overline{\psi}_r(\alpha^n t) D_s(t),$$

so that

$$kF_k(t) = \alpha^n F_{\alpha n}(t) D_p(\alpha^n t) + \overline{\psi}_p(\alpha^n t) qF_q(t).$$

Put b equal to the integral part of $\alpha^n t$. Since $0 < \alpha^n t - b < 1$, we have by Lemma 4

$$|D_p(\alpha^n t)| \leq \alpha (\alpha^n t - b)^{-1}.$$

Using Lemma 7 we obtain

$$|kF_k(t)| \leq \alpha^{n-2} t^{-1} (\alpha^n t - b)^{-1} + q \alpha t^{-1},$$

from which the conclusion follows.

THEOREM 10. If f(x) is continuous then $\sigma_{ak}(x) \longrightarrow f(x)$ uniformly.

Proof. It follows from (2.3) and Lemma 7 that

(5.6)
$$\sigma_n(x) - f(x) = \int_0^1 F_n(t) [f(x + t) - f(x)] dt.$$

By applying Lemmas 7-9 together with a standard argument we can show that

$$\int_0^1 |F_{ak}(t)| |f(x + t) - f(x)| dt \longrightarrow 0 \text{ uniformly}.$$

THEOREM 11. If f(x) is continuous then $\sigma_n(x) \longrightarrow f(x)$ uniformly.

Proof. Let the base α expansion of *n* be given in Definition 2. From (5.1) we obtain the estimate

(5.7)
$$|nF_n(t)| \leq \sum_{r=1}^m \{a_r \alpha^{n_r} | F_{\alpha^{n_r}}(t)| + \frac{1}{2} a_r (a_r+1) \alpha^{n_r} D_{\alpha^{n_r}}(t) \}.$$

Let $\epsilon_k = \epsilon_k(x)$ represent the larger of

$$\int_{0}^{1} |F_{ak}(t)| |f(x + t) - f(x)| dt$$

and

$$\int_{0}^{1} D_{\alpha k}(t) | f(x + t) - f(x) | dt,$$

so that by Theorems 1 and 10 $\epsilon_k \rightarrow 0$ uniformly. Using (5.6) and (5.7)

$$|\sigma_n(x) - f(x)| \leq \alpha \sum_{r=1}^m a_r \alpha^{n_r} n^{-1} \epsilon_{n_r} = \delta_n$$
, say.

One may readily verify that the transformation which sends $\{\epsilon_k\}$ into $\{\delta_n\}$ is regular, so that $\delta_n \longrightarrow 0$ uniformly, and the theorem is proved.

It is interesting to note that by virtue of a well known consequence of the Banach-Steinhaus theorem [5, p. 99], Theorem 11 implies that $\int_0^1 |F_n(t)| dt \le M$.

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