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A CONGRUENCE THEOREM FOR TREES

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Let A and B be two trees with vertex sets  $a_1, a_2, \dots, a_n$  and  $b_1, b_2, \dots, b_n$  respectively. The trees are congurent, are isomorphic, or "are the same type",  $(A \cong B)$ , if there exists a one-to-one correspondence between their vertices which preserves the join-relationship between pairs of vertices. Let  $c(a_i)$  denote the (n-1)-point subgraph of  $A_{-}$ obtained by deleting  $a_i$  and all joins (arcs, segments) at  $a_i$  from A. It is the purpose here to show that if there is a one-to-one correspondence in type, and frequency of type, between the sub-graphs of order n-1 in A and B, that is, if there exists a labeling such that  $c(a_i)\cong c(b_i), i=1, 2, \dots, n$ , then  $A\cong B$ . It is assumed throughout, therefore, that there is a labeling of the two trees A and B such that  $c(a_i)\cong c(b_i), i=1, 2, \dots, n$ , where  $n \ge 3$ .

Some lemmas to the main theorem are established first. Let T denote a certain type of graph of order j, where  $2 \leq j < n$ , which occurs as a subgraph  $\alpha$  times in A and  $\beta$  times in B. If  $\alpha_i$  is the number of T-type subgraphs which have  $a_i$  as a vertex, then,

$$\alpha = \left(\sum_{1}^{n} \alpha_{i}\right) / j.$$

Similarly,

$$\beta = \left(\sum_{i=1}^{n} \beta_{i}\right) / j$$
,

where  $b_i$  is the number of *T*-type subgraphs having  $b_i$  as a vertex. Because  $c(a_i) \cong c(b_i)$ , the number of *T*-type subgraphs which do not have  $a_i$  as a vertex is the same as the number which do not have  $b_i$ as a vertex. Thus

$$\alpha - \alpha_i = \beta - \beta_i$$
,  $i = 1, 2, \dots, n$ .

Therefore

$$\sum_{i=1}^{n} (\alpha - \beta) = \sum_{i=1}^{n} (\alpha_i - \beta_i) ,$$

so  $n(\alpha-\beta)=j(\alpha-\beta)$ , which implies  $\alpha=\beta$ . This, in turn, implies  $\alpha_i=\beta_i$ ,  $i=1, 2, \dots, n$ , and the lemma is established.

LEMMA 1. Every type of proper subgraph which occurs in A or B Received December 16, 1955. occurs the same number of times in both, and  $a_i$  and  $b_i$  are vertices in the same number of these subgraphs,  $i=1, 2, \dots, n$ .

The case j=2 gives a special result.

LEMMA 2. The vertices  $a_i$  and  $b_i$  have the same degree,  $i=1, 2, \dots, n$ .

Next it is clear that if either A or B consists of just a path between two end points then the other is also a path of the same length. If neither is just a path, then their maximal-length paths are proper subgraphs and have the same length because of Lemma 1.

This proves the third lemma.

LEMMA 3. The trees A and B have the same radius r and both trees are central or both are bicentral.

A correspondence between  $c(a_i)$  and  $c(b_i)$ , under which  $c(a_i) \cong c(b_i)$ , will be called an  $a_i$ -mapping (or  $b_i$ -mapping), and the main theorem is obtained by using these submappings to define a congruence of A and B. Because such a congruence is more easily obtained when the trees are central, the proof will be carried through for bicentral trees only, with the simpler proof implied by analogy. It is supposed therefore that A has bicenters  $\overline{a}_1$  and  $\overline{a}_2$  and that B has bicenters  $\overline{b}_1$  and  $\overline{b}_2$  (where  $\overline{a}_1$  is not necessarily  $a_1$ ).

Let F be a component in the graph obtained by deleting from Athe bicenters and all joins to them. There is a point of F joined in Ato one bicenter, say  $\bar{a}_i$ , and no point of F is joined in A to  $\bar{a}_2$ . By  $(\bar{a} \cup F)$  is meant the graph, which has for its vertices  $\bar{a}$ , and the vertices of F, and whose joins are the same as they are in A. The graph  $(\bar{a}_1 \cup F)$ is a *limb at*  $\bar{a}_1$ . It is a *radial* or *nonradial* limb according as it does not possess an *r*-point, that is, a point whose distance in A from the nearest bicenter is r. An easy consequence of Lemma 1 is that  $a_i$  is an r-point if and only if  $b_i$  is an r-point.

Some special subgraphs of A and B are now defined. At  $\bar{a}_i$  the radial limbs are

$$A_{i1}, A_{i2}, \cdots, A_{im_i},$$

and the non-radial limbs are

$$C_{i1}, C_{i2}, \cdots, C_{is_i},$$

while at  $\overline{b_i}$  the radial limbs are

$$B_{i1}, B_{i2}, \cdots, B_{in_i}$$

and the non-radial limbs are

$$D_{i1}, D_{i2}, \cdots, D_{it},$$
  $i=1, 2.$ 

Next,

$$A_i = (A_{i1} \cup A_{i2} \cup \cdots \cup A_{im_i}), \quad B_i = (B_{i1} \cup B_{i2} \cup \cdots \cup B_{im_i})$$
$$C_i = (C_{i1} \cup C_{i2} \cup \cdots \cup C_{is_i}),$$

and

$$D_i = (D_{i1} \cup D_{i2} \cup \cdots \cup D_{it_i}), \qquad i=1, 2.$$

Finally,

$$A_r = (A_1 \cup A_2), B_r = (B_1 \cup B_2), C = (C_1 \cup C_2),$$

and

 $D = (D_1 \cup D_2).$ 

In obtaining congruences for these special subgraphs, an important role is played by center preserving mappings, that is, those which pair  $\bar{a}_1$  and  $\bar{a}_2$  in some order with  $\bar{b}_1$  and  $\bar{b}_2$ . It is useful, therefore, to define a vertex  $a_i$  to be a *nonessential point*, (n. e. point), if it is of degree one (is an end point) such that  $c(a_i)$  is a bicentral tree of radius r. Every end point, which is not an r-point, is an n. e. point. An r-point is nonessential if it belongs to a limb with more than one rpoint, or if the bicenter to which its limb belongs has more than one radial limb. If  $a_i$  is an n. e. point then  $b_i$  is an n. e. point and every  $a_i$ -mapping is center preserving. The following fact is also useful.

LEMMA 4. If  $a_i$  is an n.e. point of A in  $A_r$  then  $b_i$  is an n.e. point of B in  $B_r$ .

*Proof.* Assume  $b_i \notin B_r$ , that is,  $b_i \in D$ . Any  $a_i$ -mapping must pair the remainder of  $A_r$  (without  $a_i$ ) with all of  $B_r$ , so the order of  $A_r$  is one greater than that of  $B_r$ . If A had a nonradial limb it would have an n. e. point in C, say  $a_j$ , and an  $a_j$ -mapping would have to pair  $A_r$ with all or part of  $B_r$ , which is impossible. Therefore A has no nonradial limb and  $b_i$  is the only point of B not in  $B_r$ . The sum of the degrees of  $\overline{a}_1$  and  $\overline{a}_2$  is therefore smaller than the sum of the degrees of  $\overline{b}_1$  and  $\overline{b}_2$ . If B had an n. e. point  $b_i$ , distinct from  $b_i$ , the sum of the degrees of  $\overline{b}_1$  and  $\overline{b}_2$  would be the same in  $c(b_i)$  as in B, and therefore a  $b_i$ -mapping could not be center preserving. From this it follows that  $a_i$  and  $b_i$  are the only n. e. points in A and B respectively. Thus A consists of a (2r+1)-path and one extra point  $a_i$  joined to a point  $a_k$ , which is not a center, while B consists of a (2r+1)-path and one extra point  $b_i$  joined to a center. This center is  $b_k$  since  $a_k$  is the only point in A of degree three. But now it is clear that  $c(a_k)$  has a component which is a path of greater length than any in  $c(b_k)$ , which contradicts  $c(a_k) \cong c(b_k)$ . The assumption that  $b_i$  is in D is therefore false and Lemma 4 is established.

THEOREM. If A and B are trees with vertices  $a_1, a_2, \dots, a_n$  and  $b_1, b_2, \dots, b_n$ ,  $n \ge 3$ , respectively. and  $c(a_i) \cong c(b_i)$ ,  $i=1, 2, \dots, n$  then  $A \cong B$ .

*Proof.* As previously indicated, the details will be given only for the case where A and B are bicentral.

**Case 1.** One of the trees, say A, has a nonradial limb. Then A has an n.e. point  $a_k$  in C and, from Lemma 4,  $b_k$  is in D. An  $a_k$ -mapping, therefore, pairs  $A_r$  with  $B_r$ , so

(1) 
$$A_r \cong B_r$$

Next,

(2) There is a congruence of C and D which pairs  $\bar{a}_1$ ,  $\bar{a}_2$  in some order with  $\bar{b_1}$  and  $\bar{b_2}$ .

Consider the n.e. points of A in  $A_r$ . First, suppose the limb to which one of these points  $a_i$  belongs is still of length r after  $a_i$  is deleted from it. Then an  $a_i$  mapping cannot take this sub-limb into D, and so must pair the remainder of  $A_r$  with the remainder of  $B_r$ . It therefore pairs C with D as stated in (2). Next, suppose every n.e. point which belongs to  $A_r$  or  $B_r$  is the end of an r-path limb. If  $a_i$  and  $b_i$  are such points, then deleting them from their limbs produces two (r-1)path limbs. Since these sub-limbs are congruent, an  $a_i$ -mapping either pairs C and D as stated in (2) or else can be redefined to do so. The only remaining possibility is that no n.e. point occurs in either  $A_r$  or  $B_r$ , so each is a (2r+1)-path. Let  $a_i$  be the r-point in  $A_i$  and  $b_i$  be the r-point in  $B_i$ , i=1, 2. Since  $C(a_1)$  is a tree of radius r and center  $\bar{a}_2$ and  $c(b_1)$  is a congruent tree with center  $\overline{b_2}$ , an  $a_1$ -mapping pairs  $\overline{a}_2$  and  $\overline{b_2}$ . It must also pair the nonradial limbs of A at  $\overline{a}_2$  with the nonradial limbs of B at  $\overline{b_2}$ , and hence  $C_2 \cong D_2$ . By the same reasoning, an  $a_2$ mapping establishes a congruence of  $C_1$  and  $D_1$  which pairs  $\overline{a}_1$  with  $\overline{b}_1$ , so there is clearly a congruence of C and D satisfying (2).

If a congruence of C and D, satisfying (2), and a congruence of

 $A_r$  and  $B_r$  both pair the bicenters in the same order, then clearly  $A\cong$ B. Assume, on the contrary, that every congruence of  $A_r$  with  $B_r$  pairs the bicenters in one order, say  $\overline{a}_1$  with  $\overline{b}_1$  and  $\overline{a}_2$  with  $\overline{b}_2$ , while every congruence of C and D, satisfying (2), pairs the bicenters in the opposite order, namely  $\overline{a}_1$  with  $\overline{b_2}$  and  $\overline{a}_2$  with  $\overline{b_1}$ . It will be shown that this leads to a contradiction and hence that  $A \cong B$  under Case 1. First, from the assumption about the congruence of  $A_r$  and  $B_r$ , it follows that each is not just a (2r+1)-path. Therefore A has an n.e. point  $a_i$  in  $A_r$ , and it may be supposed that  $a_i \in A_i$ . Since an  $a_i$ -mapping implies a congruence of C and D satisfying (2) it must pair  $\overline{a}_1$  and  $\overline{a}_2$  with  $\overline{b_2}$  and  $\overline{b_1}$  in that order. By assumption,  $A_1 \cong B_1$  and  $A_2 \cong B_2$ , so  $b_i \in B_2$  would imply that an  $a_i$ -mapping pairs  $A_2$  with  $B_1$ . But then  $A_1 \cong A_2 \cong B_1 \cong B_2$ would contradict the unique mapping of bicenters in any congruence of  $A_r$  with  $B_r$ . Therefore  $b_i \in B_1$ . Let  $f_1$  be the order of  $A_1$  and  $B_1$  and  $f_2$  be that of  $A_2$  and  $B_2$ . An  $a_i$ -mapping shows  $f_2=f_1-1$ . Suppose A has an n.e. point  $a_j$  in  $A_2$ . Then an  $a_j$ -mapping pairs  $\overline{a}_1$  and  $\overline{b}_2$ , so it pairs  $A_1$  with all or part of  $B_2$ . But this is impossible because  $f_1 > f_2$ . Therefore there is no n.e. point of A in  $A_2$ , and, by the same reasoning, there is no n.e. point of B in  $B_2$ . Thus  $A_2$  and  $B_2$  are paths of length r, and A and B each have just two end points in  $A_1$  and  $B_1$ respectively.

Now consider nonradial limbs. At least one exists so, from Lemma 4, at least one each exists in each tree. Suppose there is a nonradial limb at  $a_i$ , and let  $a_j$  be an end point of A in this limb. Then  $b_j \in D$ . Because an  $a_j$ -mapping includes a congruence of  $A_r$  and  $B_r$ , it pairs  $a_1$ with  $\overline{b_1}$  and  $\overline{a_2}$  with  $\overline{b_2}$ . If  $b_j$  were in  $D_1$  such a mapping would imply  $C_2 \cong D_2$ , and this, with  $C_1 \cong D_2$  and  $C_2 \cong D_1$ , would yield  $C_1 \cong C_2 \cong D_1$  $\simeq D_2$ , contradicting the unique center pairings in a congruence of C and D. Therefore  $b_j \in D_2$ . Let  $f_3$  be the order of  $C_1$  and  $D_2$  and  $f_4$  by the order of  $C_2$  and  $D_1$ . An  $a_j$ -mapping shows that  $f_3-1=f_4$ . Therefore there is no n.e. point in  $C_2$  and none in  $D_1$ . For if  $a_k$  in  $C_2$  were an n.e. point, an  $a_k$ -mapping would pair  $\overline{a}_1$  with  $\overline{b}_1$  and therefore would pair  $C_1$  with all or part of  $D_1$ . This is impossible because  $f_3 > f_4$ . There are, therefore, no nonradial limbs at  $\overline{a}_2$  or  $\overline{b}_1$ , and there is just one nonradial limb at  $\bar{a}_1$  and at  $b_2$ , each of length one. The center  $\bar{a}_1$ and  $\overline{b_2}$  are of degree three and  $\overline{a_2}$  and  $\overline{b_1}$  have degree two. Let  $a_1$  be the end point of A in A<sub>2</sub>. The tree  $c(a_1)$  has only one center, namely  $\overline{a}_1$  of degree three. If the r-point  $b_1$  were in  $B_2$ , the center of  $c(b_1)$ would have degree two, contradicting  $c(a_1) \cong c(b_1)$ . So  $b_1 \in B_1$ . Also  $b_1$ is the only r-point in  $B_1$ , for otherwise  $c(b_1)$  would be a bicentral tree. If  $a_2$  and  $b_2$  denote the other r-points of A and B respectively, it follows that  $c(a_2)$  and  $c(b_2)$  are central trees and that  $\overline{a}_2$  and  $\overline{b}_1$  are their respective centers. But in  $c(a_2)$  the radial arm to  $a_1$  is a path in  $c(b_2)$ both radial arms branch, and this contradicts  $c(a_2) \cong c(b_2)$ . The supposition that a nonradial limb exists at  $\overline{a}_2$  rather than  $\overline{a}_1$  leads to the same kind of contradiction, hence  $A \cong B$  under all the possibilities of Case 1.

**Case 2.** There are no nonradial limbs but one tree has at least three radial limbs. Suppose there are at least two radial limbs at  $\bar{a}_{2}$ , and now let  $\bar{a}_{1}=a_{1}$  and  $\bar{b}_{2}=b_{2}$ . One and only one component of  $c(a_{1})$  is a central tree of radius r. Its center is  $\bar{a}_{2}$  and all of its limbs are radial. Let  $b'_{1}$  be the center of the corresponding, congruent tree in  $c(b_{1})$ . If  $b_{1}$  is neither  $\bar{b}_{1}$  or  $\bar{b}_{2}$ , then  $b'_{1}$  is a non-end point of B in some limb of B, say a limb at  $\bar{b}_{1}$ . There is a path P from  $\bar{b}_{1}$ , to  $b'_{1}$  and there also exists a path P' starting at  $b'_{1}$  and having no join in common with P. Since the length of P' must be less than r, all the limbs of the tree centered at  $b'_{1}$  cannot be radial. The supposition that  $b_{1}$  is neither  $\bar{b}_{1}$  or  $\bar{b}_{2}$  is therefore false, and  $b_{1}$  may be taken to be  $\bar{b}_{1}$ . Then  $A_{2} \cong B_{2}$ is implied by an  $a_{1}$ -mapping.

If there are at least two radial arms at either  $a_1$  or  $b_1$ , the same reasoning shows that  $A_1 \cong B_1$  and this, with  $A_2 \cong B_2$ , implies  $A \cong B$ . Suppose, then, that  $A_{11}$  and  $B_{11}$  are the only limbs at  $a_1$  and  $b_1$  respectively, and let the order of  $A_{11}$  be at least as great as that of  $B_{11}$ . There is an *r*-point  $a_j$  in  $A_{21}$  and it is an n. e. point. An  $a_j$ -mapping must pair  $a_1$  with  $b_1$  because these are of degree two while  $\overline{a}_2$  and  $\overline{b}_2$ are of degree at least three. The mapping therefore pairs  $A_{11}$  with all or part of  $B_{11}$ , and since the latter case is excluded by the orders of  $A_{11}$  and  $B_{11}$ , it follows that  $A_{11} \cong B_{11}$ . This, with  $A_2 \cong B_2$ , implies  $A \cong B$ and completes Case 2.

**Case 3.** Each tree has exactly two limbs. Let  $n_i$  be the order of  $A_i$  and  $n'_i$  be the order of  $B_i$ , i=1, 2. Assume that the pair  $n_1, n_2$  is not the pair  $n'_1, n'_2$  in either order. Then, because  $n_1+n_2=n'_1+n'_2$ , one of the four numbers is a strict maximum. Suppose  $n_2 > \max(n_1, n'_1, n'_2)$ . Then  $A_2$  is not congruent to  $B_1$  or  $B_2$  or any of their subgraphs, and therefore  $A_1$  has no n. e. points. It is therefore a path with one r-point of A, say  $a_3$ . Then vertex  $b_3$  is an r-point and is the only r-point of its limb because  $a_3$  is not an n. e. point. The tree  $c(a_3)$  is central, has radius r, and  $\bar{a}_2$  is its center, so its two radial limbs have orders  $n_1$  and  $n_2$ . The center of  $c(b_3)$  is either  $\bar{b}_1$  or  $\bar{b}_2$ , but in either case the two limbs have orders  $n'_1$  and  $n'_2$ , so a congruence of  $c(a_3)$  and  $c(b_3)$  is impossible. From this contradiction it follows that  $n_1$  and  $n_2$  are in some order the numbers  $n'_1$  and  $n'_2$  and it may be supposed that  $n_1=n'_1$ 

and  $n_2 = n'_2$ .

Now consider the n.e. points. If none exist, then both trees are (2r+1)-paths and hence are congruent. If, on the other hand,  $a_i$  is an n.e. point of A, then  $b_i$  is an n.e. point of B and the following applies:

(3) If  $a_i$  and  $b_i$  are n.e. points, with  $a_i$  in  $A_1$  and  $b_i$  in  $B_2$  (or  $a_i$  in  $A_2$  and  $b_i$  in  $B_1$ ), then  $A \cong B$ .

For, suppose  $a_i \in A_1$  and  $b_i \in B_2$ . Then because of the orders of the limbs, an  $a_i$ -mapping pairs  $A_2$  with  $B_1$ , so  $A_2 \cong B_1$  and  $n_1 = n_2$ . If there is no n. e. point of A in  $A_2$  then  $A_2$  is an r-path and so is  $B_1$  because it is congruent to  $A_2$ . But then, because  $n_1 = n_2$ , both  $A_1$  and  $B_2$  are also rpaths, which is contradictory. Therefore, there exists an n. e. point  $a_j$ in  $A_2$ . Because  $n_1 = n_2$ , an  $a_j$ -mapping pairs  $A_1$  either with  $B_2$  or with  $B_1$ . The first case, together with  $A_2 \cong B_1$ , implies  $A \cong B$  directly. The second case implies  $A_1 \cong B_1 \cong A_2$ , and from this it follows that there is an n. e. point, say  $b_k$ , in  $B_1$ . Then a  $b_k$ -mapping pairs  $B_2$  with either  $A_1$  or  $A_2$ . Therefore all the limbs are the limbs are the same type and  $A \cong B$ .

Because of (3), it is now only necessary to consider the case  $a_i \in A_1$ and  $b_i \in B_1$ . There are two sub-cases.

**Case 3.1.** There is no n.e. point in either  $A_2$  or  $B_2$ . Then  $A_2 \simeq B_2$ since they are both r-paths. Let the end point of  $A_2$  be  $a_3$ . Then  $c(a_3)$  is a central tree, of radius r, whose center is  $\overline{a}_1$ . From  $c(a_3) \cong c(b_3)$ , it follows that  $b_3$  is the only r-point of some limb in B. Assume  $b_3 \in B_1$ . Let  $b_4$  be the r-point of B in  $B_2$ . Then  $a_4$  is the only r-point of A in  $A_1$ . An  $a_4$ -mapping pairs  $\overline{a}_2$  with  $\overline{b}_1$  and also pairs the limb of  $c(a_i)$  which is not a path with the limit of  $c(b_i)$  which is not a path. It therefore pairs  $\bar{a}_1$  with  $b_{11}$ , the first point in the limb  $B_1$ . Because  $\bar{a}_1$  is of degree two, the point  $b_{11}$  is of degree two and so is joined to a well defined second point in  $B_1$ , say  $b_{12}$ . An  $a_3$ -mapping pairs  $\overline{b_2}$  and  $\overline{a_1}$ , and, by the same reasoning as before, pairs  $\overline{b_1}$  with the first point, say  $a_{11}$ , in  $A_1$ . Then  $a_{11}$  is of degree two and so is joined to a well defined second point  $a_{12}$  in  $A_1$ . An  $a_4$ -mapping must, then, pairs  $a_{11}$  with  $b_{12}$ , so  $b_{21}$  is of degree two and joins the third point in  $B_1$ . Alternating this way between the  $a_3$  and  $a_4$  mappings, it follows that all points of  $A_1$  and  $B_1$  are of degree two, which is absurd. The assumption that  $b_3$  is in  $B_1$  is therefore false, so  $b_3 \in B_2$ . Now an  $a_3$ mapping must pair  $\overline{a}_1$  with  $\overline{b}_1$  and must also pair the branching and non-branching limbs at  $\overline{a}_1$  and  $\overline{b_1}$ . Therefore  $A_1 \cong B_1$ , and this, with  $A_2 \cong B_2$ , implies  $A \cong B$ .

Case 3.2. There is an n.e. point in  $A_2$  or else there is one in  $B_2$ . Suppose  $a_j \in A_2$  is nonessential. If  $b_j$  is in  $B_1$ , then, from (3),  $A \cong B$ , so suppose  $b_j \in B_2$ . If an  $a_i$ -mapping pairs  $A_2$  with  $B_2$  and an  $a_j$ -mapping pairs  $A_1$  with  $B_1$  then clearly  $A \cong B$ . So suppose an  $a_i$ -mapping pairs  $A_2$  with the remainder of  $B_1$  (without  $b_i$ ). Then  $n_1 = n_2 + 1$  and because of this an  $a_j$ -mapping pairs  $A_1$  with  $B_1$ , hence  $A_1 \cong B_1$ . Let  $a_k$  be the point of  $A_1$  paired with  $b_i$  in an  $a_j$ -mapping. Then  $A_1$  minus  $a_k$ , that is the graph obtained from  $A_1$  by deleting  $a_k$  and all joins to  $a_k$ , is congruent to  $B_1$  minus  $b_i$ . But an  $a_i$ -mapping pairs  $A_2$  with  $B_1$  minus  $b_i$ . Therefore  $c(a_k)$  is a bicentral tree both of whose limbs are congruent to  $A_2$ . From Lemma 1 there is a subgraph of the same type in B and hence  $B_2 \cong A_2$ . This, with  $A_1 \cong B_1$ , implies  $A \cong B$  and completes the proof.

It is natural to wonder if any two graphs must be isomorphic when they have the same composition in terms of (n-1)-point subgraphs. The author has considered the question for graphs having at most one join for any pair of points, with no point joined to itself. Actual inspection shows that the theorem is valid for all such graphs up to order seven. It also holds for any two such graphs of general, finite order if either is disconnected or its transpose is disconnected. (The transpose is obtained by reversing the join relationship between every pair of vertices.) However, the author was unable to prove or disprove the general case. As a final comment, it is not true that the same composition in terms of (n-2)-point subgraphs implies isomorphism.

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