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CERTAIN GENERALIZED HYPERGEOMETRIC IDENTITIES OF THE ROGERS-RAMANUJAN TYPE

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# CERTAIN GENERALIZED HYPERGEOMETRIC IDENTITIES OF THE ROGERS-RAMANUJAN TYPE

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- 1. Introduction. In a recent paper H. L. Alder [1] has obtained a generalization of the well-known Rogers-Ramanujan identities. In this paper I have deduced the above generalizations as simple limiting cases of a general transformation in the theory of hypergeometric series given by Sears [5]. This method, besides being much simpler than that of Alder, also gives a simple form for the polynomials  $G_{k,\mu}(x)$  given by him. In Alder's proof the polynomials  $G_{k,\mu}(x)$  had to be calculated for every fixed k with the help of certain difference equations but in the present case we get directly the general form of these polynomials.
- 2. Notation. I have used the following notation throughout the paper. Assuming |x| < 1, let

$$(a)_{s} \equiv (a; s) = (1-a)(1-ax)\cdots(1-ax^{s-1}) , \qquad (a)_{0} = 1$$

$$\prod_{s=0}^{s} (a_{1}, a_{2}, \cdots, a_{r}; b_{1}, b_{2}, \cdots, b_{t}) = \frac{(a_{1}; s)(a_{2}; s)\cdots(a_{r}; s)}{(b_{1}; s)(b_{2}; s)\cdots(b_{t}; s)}$$

$$\prod_{s=0}^{\infty} (1-ax^{n})$$

where [a] denotes the integral part of a.

The numbers  $s, r, r_1, r_2, \dots, t, t_1, t_2, \dots$  are either zero or positive Received March 19, 1956.

integers.  $r_0$  and  $t_0$ , wherever they occur, have been replaced simply by r and t respectively. Empty products are to mean unity.

3. Sears [5, § 4] has proved the following theorem:

$$(3.1) \qquad \sum_{s=0}^{\infty} x^{\frac{1}{2}s(s-1)} (kx/a_1 a_2)^s \prod^{s} (a_1, a_2; x, kx/a_1, kx/a_2) \theta_s$$

$$= \prod (kx, kx/a_1 a_2; kx/a_1, kx/a_2) \sum_{r=0}^{\infty} (kx/a_1 a_2)^r \prod^{r} (a_1, a_2; x, kx)$$

$$\times \sum_{t=0}^{r} \frac{(x^{-r}; t)(-1)^t x^{rt}}{(kx^{r+1}; t)(x; t)} \theta_t ,$$

wrere  $|kx/a_1a_2| < 1$ , |x| < 1 and  $\theta_s$  is any sequence. The theorem holds provided only that the series on the left converges.

Take

$$\theta_{s} = \prod_{k=1}^{s} \left[ \frac{k, x\sqrt{k}, -x\sqrt{k}, a_{3}, a_{4}, \cdots, a_{2M+1};}{\sqrt{k}, -\sqrt{k}, kx/a_{3}, kx/a_{4}, \cdots, kx/a_{2M+1}} \right] \times \frac{(k^{M-1}x^{M-1})^{s}}{(a_{3}a_{4}\cdots a_{2M+1})^{s}} x^{\frac{1}{2}s(1-s)}, \qquad (M=1, 2, 3, \cdots)$$

Then

$$(3.2) \sum_{s=0}^{\infty} K_{s} \frac{(a_{1}; s)(a_{2}; s) \cdots (a_{2M+1}; s)}{(kx/a_{1}; s)(kx/a_{2}; s) \cdots (kx/a_{2M+1}; s)} \frac{(k^{M}x^{M})^{s}}{(a_{1}a_{2} \cdots a_{2M+1})^{s}}$$

$$= \prod (kx, kx/a_{1}a_{2}; kx/a_{1}, kx/a_{2}) \sum_{r=0}^{\infty} (kx/a_{1}a_{2})^{r} \prod_{s=0}^{r} (a_{1}, a_{2}; x, kx)$$

$$\times \sum_{t=0}^{r} K_{t,r} \frac{(a_{3}; t)(a_{4}; t) \cdots (a_{2M+1}; t)(-1)^{t}x^{\frac{1}{2}t(1-t)}(k^{M-1}x^{M-1})^{t}}{(kx/a_{3}; t)(kx/a_{4}; t) \cdots (kx/a_{2M+1}; t)(a_{3}a_{1} \cdots a_{2M+1})^{t}}$$

Now let  $a_1, a_2, \dots, a_{2Mn1} \rightarrow \infty$  in (3.2). Then we get

(3.3) 
$$\sum_{s=0}^{\infty} K_{s}(-1)^{s} k^{Ms} x^{\frac{1}{2}s \{(2M+1)s-1\}}$$

$$= \prod (kx) \sum_{r=0}^{\infty} \frac{k^{r} x^{r^{2}}}{(x; r)(kx; r)} \sum_{t=0}^{r} K_{t,r} k^{(M-1)t} x^{(M-1)t^{2}}.$$

And in (3.2) if we take (M-1) for M,  $a_1=x^{-r}$  and let  $a_2, a_3, \dots, a_{2M-1}$  tend to  $\infty$ , we have

(3.4) 
$$\sum_{t=0}^{r} K_{t,r} k^{(M-1)t} x^{(M-1)t^{2}} = (kx; r) \sum_{t=0}^{r} \frac{k^{t} x^{t^{2}} (x^{r-t+1}; t)}{(x; t)} \sum_{s=0}^{t} K_{s,t} k^{(M-2)s} x^{(M-2)s^{2}}.$$

On repeated application of (3.4) on the right-hand side of (3.3) it follows that

$$\{\prod (kx)\}^{-1} \sum_{s=0}^{\infty} K_s (-1)^s k^{Ms} x^{\frac{1}{2}s \{(2M+1)s-1\}} = \sum_{r=0}^{\infty} \frac{k^r x^{r^2}}{(x;r)} \prod_{n=1}^{M-2} S_{n,n-1} ,$$

there being (M-2) terminating series on the right since

(3.5) 
$$\sum_{s=0}^{t} K_{s,t} = 0$$

by Watson's transformation [(2); § 8.5 (2)] of a terminating  ${}_{8}\phi_{7}$  into a Saalschützian  ${}_{4}\phi_{3}$ .

Now it is easily verified that

$$\prod_{n=1}^{M-2} S_{n,n-1}$$

can, by suitable rearrangements, be simplified to

$$\sum_{t_1=0}^{(M-2)r} k^{t_1} x^{t_1^2} (x^{r-t_1+1}; \ t_1) \sum_{t_2=0}^{\left[\frac{M-2}{M-2}t_1\right]} (x^{t_1-2t_2+1}; \ 2t_2) x^{-2t_2(t_1-t_2)} \prod_{n=3}^{M-2} T_{n,M} \ ,$$

where  $t_h = r_h + r_{h+1} + \cdots + r_{M-2}$ ,  $(h=1, 2, \cdots, M-2)$ . Thus on putting  $r + t_1 = t$ , we finally have

$$\begin{aligned} (3.6) \qquad \{\prod(kx)\}^{-1} \sum_{s=0}^{\infty} K_s(-1)^s k^{Ms} x^{\frac{1}{2}s \{(2M+1)s-1\}} \\ &= \sum_{t=0}^{\infty} \frac{k^t x^{t^2} \left[\frac{M-2}{M-1}t^{\frac{1}{2}} \left(x^{t-2t_1+1}; \ 2t_1\right) x^{-2t_1(t-t_1)} \right] \prod_{n=2}^{M-2} T_{n,M}}{(x;t_1)} . \end{aligned}$$

This is a k-cum-M generalization of the Rogers-Ramanujan identities. For any assigned values of M and t, the repeated terminating series can, by dividing out by the denominator factors, be evaluated as polynomials in x.

Let us now write

(3.7) 
$$G_{M,i}(x) = x^{i^2} \sum_{t_1=0}^{\left[\frac{M-2}{M-1}t\right]} \frac{(x^{t-2t_1+1}; 2t_1)x^{-2t_1(t-t_1)}}{(x; t_1)} \prod_{n=2}^{M-2} T_{n,M}.$$

Then, as usual, for k=1 and k=x respectively, the left-hand side of (3.6) can be expressed as a product by means of Jacobi's classical identity

(3.8) 
$$\sum_{n=-\infty}^{\infty} (-1)^n x^{n^2} z^n = \prod_{n=1}^{\infty} (1 - x^{2n-1} z) (1 - x^{2n-1} / z) (1 - x^{2n})$$

and we get Alder's generalization of the first and second Rogers-

Ramanujan identities in the form

$$(3.9) \qquad \prod_{n=0}^{\infty} \frac{(1-x^{(2M+1)n+M})(1-x^{(2M+1)n+M+1})}{(1-x^{(2M+1)n+1})(1-x^{(2M+1)n+2})\cdots(1-x^{(2M+1)n+2M})} = \sum_{t=0}^{\infty} \frac{G_{M,t}(x)}{(x;t)}$$

and

$$(3.10) \quad \prod_{n=0}^{\infty} \frac{1}{(1-x^{(2M+1)n+2})(1-x^{(2M+1)n+3})\cdots(1-x^{(2M+1)n+2M-1})} = \sum_{t=0}^{\infty} \frac{x^t G_{M,t}(x)}{(x;t)}$$

where  $G_{M,t}(x)$  is given by (3.7). The polynomials  $G_{M,t}(x)$  can be seen by easy verification to be identical with  $G_{k,\mu}(x)$  of Alder.

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Added in Proof. If in (3.2) we take  $a_1 = -\sqrt{kx}$ , make  $a_2, a_3, \dots, a_{2M+1}$  tend to  $\infty$ , and proceed as in § 3, we get for k=1 and k=x the respective identities

$$\begin{split} &\prod_{n=1}^{\infty} \frac{(1-x^{2Mn-\left(M-\frac{1}{2}\right)})(1-x^{2Mn-\left(M+\frac{1}{2}\right)})(1-x^{2Mn})}{(1-x^{n})} \\ &= \{ \prod (-x^{\frac{1}{2}}) \}^{-1} \sum_{t=0}^{\infty} \frac{x^{\frac{1}{2}t^{2}}(-x^{\frac{1}{2}})_{t}}{(x)_{t}} \sum_{t_{1}=0}^{\left[\frac{M-2}{M-1}t\right]} x^{-t_{1}\left(t-\frac{3}{2}t_{1}\right)} \\ &\times \frac{(x^{t-2t_{1}+1})_{2t_{1}}}{(-x^{\frac{1}{2}+t-t_{1}})_{t_{1}}} \prod_{n=2}^{M-2} T_{n,M} \end{split}$$

and

$$\begin{split} \prod_{n=1}^{\infty} \frac{(1-x^{2Mn-1})(1-x^{2Mn-(2M-1)})(1-x^{2Mn})}{(1-x^n)} \\ &= \{\pi(-x)\}^{-1} \sum_{t=0}^{\infty} x^{\frac{1}{2}t(t+1)}(-x)_t \sum_{t_1=0}^{\left[\frac{M-2}{M-1}\right]} x^{\frac{1}{2}t_1}x^{-t_1(t-\frac{3}{2}t_1)} \\ &\qquad \qquad \times \frac{(x^{t-2t_1+1})_{2t_1}}{(-x^{1+t-t_1})_{t_1}} \prod_{n=2}^{M-2} T_{n,M} \;. \end{split}$$

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