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UNIFORM CONTINUITY OF CONTINUOUS FUNCTIONS OF METRIC SPACES

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In this paper we intend to find equivalent conditions under which continuous functions of a metric space are always uniformly continuous. Isiwata has attempted to prove a theorem in a recently published paper [3] by a method that has a close relation with ours. Unfortunately he does not accomplish his purpose, so we shall give a correct theorem (Theorem 3) in the last part of this paper and, for this purpose, give a condition for the existence of a uniformly continuous unbounded function in a metric space (Theorem 2).

In this paper the space S, unless otherwise specified, is the metric space with a distance function d(x, y), and, for a positive number α , the α -sphere about a subset A $\{x; d(A, x) < \alpha\}$ is denoted by $S(A, \alpha)$; the function is the real valued continuous mapping.

DEFINITION 1. Let us consider a family of neighborhoods U_n of x_n such that $\{x_n\}$ is a sequence of distinct points and $U_m \cap U_n = \phi$ (=empty) for $m \neq n$. Let $f_n(x)$ be a function such that $f_n(x_n) = n$ and $f_n(x) = 0$ for $x \notin U_n$. Then a mapping constructed from the family is a mapping f(x)defined by $f(x) = f_n(x)$ for x belonging to some U_n and f(x) = 0 for the other x.

LEMMA. Consider a family of neighborhoods U_n of x_n satisfying the following conditions:

(1) $\{x_n\}$, which consists of distinct points, has no accumulation point,

(2) $\overline{U}_n \cap \overline{U}_n = \phi$, $m \neq n$ (\overline{U} a closure of U), and $U_n \subset S(x_n, 1/n)$,

(3) there is a sequence of points y_n such that distances of x_n and y_n converge to 0 and y_n does not belong to any U_m ; then the mapping constructed from the family is continuous and not uniformly continuous. When $\{x_n\}$ is a sequence containing infinitely many distinct points and has no accumulation point, there is a family of neighborhoods of x_n satisfying (2); if $\{x_n\}$ further contains infinitely many distinct accumulation points, then the family besides satisfies (3).

Proof. The continuity of the mapping constructed from the family follows from $\overline{\bigcup U_{n_i}} = \bigcup \overline{U_{n_i}}$ for any subsequence $\{n_i\}$ of indices; the mapping is not uniformly continuous by (3). Suppose $\{x_n\}$ consists of distinct accumulation points and has no accumulation point, then, by an inductive process, we have neighborhood V_n of x_n such that $V_n \subset S(x_n, 1/n)$ and $\overline{V_m} \cap \overline{V_n} = \phi$, and have y_n and a neighborhood U_n of x_n

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such that $U_n \not\ni y_n \in V_n$, $U_n \subset V_n$.

DEFINITION 2. Let x be isolated in a metric space, then we write I(x) for a supremum of positive numbers α such that $S(x, \alpha)$ consists of x alone.

THEOREM 1. The following conditions on a metric space S are equivalent

(1) If $\{x_n\}$ is a sequence of points without accumulation point, then all but finitely many members of x_n are isolated and $\inf I(x_n)$ for the isolated points is positive.

(2) If a subset A of S has no accumulation point then all but finitely many points of A are isolated and $\inf I(x)$ for all the isolated points of A is positive.

(3) The set A of all accumulation points in S is compact and $I(x_n)$ is positive for any sequence $\{x_n\}$ in S-A which has no accumulation point (Isiwata [2], Theorem 2).

(4) $\overline{A} \cap B = \phi$ implies $S(A, \alpha) \cap S(B, \alpha) = \phi$ for some α (Nagata [4], Lemma 1).

(5) $\bigcap_{n=1}^{\infty} \overline{A}_n = \phi$ implies $\bigcap_{n=1}^{\infty} S(A_n, \alpha) = \phi$ for some α .

(6) For any function f(x), there is a positive integer n such that every point of $A = \{x; |f(x)| \ge n\}$ is isolated and $\inf_{x \in A} I(x)$ is positive.

(7) All functions of S are uniformly continuous.

(8) All continuous mappings of S into an arbitrary uniform space S' are uniformly continuous.

Proof. Since the equivalence of (1) and (3) is simple, we shall show $(1) \rightarrow (8) \rightarrow (7) \rightarrow (6) \rightarrow (5) \rightarrow (4) \rightarrow (2) \rightarrow (1)$.

 $(1)\rightarrow(8)$: If a continuous mapping f(x) of S is not uniformly continuous, there is an "entourage" V (in the sense of Bourbaki) of S' such that $d(x_n, y_n) < 1/n$ and $(f(x_n), f(y_n)) \notin V$ for any positive integer n and for some x_n and y_n . $\{x_n\}$ contains infinitely many distinct points. If $\{x_n\}$ has an accumulation point x, there are subsequences $\{x_{n_i}\}$ and $\{y_{n_i}\}$ of $\{x_n\}$ and $\{y_n\}$ converging to x, and, since f(x) is continuous, (f(x), $f(x_{n_i})) \in W$ and $(f(x), f(y_{n_i})) \in W$ for W satisfying $W \cdot W \subset V$ (we may assume $W^{-1} = W$) and for all sufficiently large i. Hence we have $(f(x_{n_i}),$ $f(y_{n_i})) \in V$, which is excluded. Consequently $\{x_n\}$ hes no accmulation point and inf $I(x_n) = r > 0$ for all sufficiently large n, which contradicts the first inequality of f for n satisfying r > 1/n. $(8)\rightarrow(7)$ is obvious.

 $(7) \rightarrow (6)$: If, for some function f(x) and every *n*, there is an accumulation point x_n such that $|f(x_n)| \ge n$, $\{x_n\}$ contains infinitely many distinct elements and has no accumulation point, then, by the Lemma, we have

a function which is not uniformly continuous. Suppose that every point of $A = \{x; | f(x) | \ge n\}$ is isolated and $\inf I(x) = 0$. Then there is a sequence $\{x_n\}$ in A such that inf $I_n=0$, $I_n=I(x_n)$. $\{x_n\}$ has no accumulation point, and we may assume $I_n < 1/n$. If distances of distinct points of $\{x_n\}$ are greater than a positive number e, then, for all n satisfying $e > 4I_n$, x_n and y_n ($\neq x_n$, $\in S(x_n, 2I_n)$) satisfy the conditions of the Lemma. In the other case, there are arbitrarily large m and nsatisfying $d(x_m, x_n) < e$ for any positive number e, and we have, by an inductive process, a subsequence $\{y_i\}$ of $\{x_n\}$ satisfying $d(y_{2i-1}, y_{2i}) < 1/i$. Then y_{2i-1} and y_{2i} satisfy the conditions of the Lemma. $(6) \rightarrow (5): \text{ Let } \cap S(A_n, 1/m) \neq \phi \text{ for every } m \text{ in spite of } \cap \overline{A}_n = \phi.$ We have a point x_1 contained in $\cap S(A_n, 1)$ and a point y_1 distinct from x_1 satisfying $d(x_1, y_1) < 1$. Suppose $B_i = \{x_1, \dots, x_i\}$ consists of distinct points such that $x_j \in \bigcap S(A_n, 1/j)$, x_j and y_j are distinct and $d(x_j, y_j)$ $<1/j, j=1,\cdots, i$. Since, for any point $x, \cap S(A_n, 1/m)$ does not contain x for a sufficiently large $m, \cap S(A_n, 1/(i+1))$ contains a point x_{i+1} being not contained in B_i , and some A_n contains y_{i+1} distinct from x_{i+1} satisfying $d(x_{i+1}, y_{i+1}) < 1/(i+1)$. Thus we have a sequence $\{x_n\}$ of distinct points and $\{y_n\}$ such that $x_m \in \bigcap S(A_n, 1/m)$, x_n and y_n are distinct, and $d(x_n, y_n) < 1/n$. $\{x_n\}$ has no accumulation point because of $\cap \overline{A}_n = \phi$. The function obtained from the Lemma does not satisfy the condition (6) whether all but finitely many members of x_n are isolated or not. $(5) \rightarrow (4)$ is obvious.

 $(4) \rightarrow (2)$: Suppose A has infinitely many accumulation points x_n , $n = 1, 2, \dots$. Since $B = \{x_n\}$ has no accumulation point, there is a sequence $C = \{y_n\}$ having no accumulation point such that $d(x_n, y_n) < 1/n$, $B \cap C = \phi$. $\overline{B} \cap \overline{C} = B \cap C = \phi$, and $S(B, \alpha) \cap S(C, \alpha) = \phi$ for no α . If every point of A is isolated and inf I(x)=0, we have a sequence $\{x_n\}$ such that $\lim I(x_n)=0$, and have a sequence $\{y_n\}$ with the same properties as the above.

 $(2) \rightarrow (1)$ is obvious.

Recently Isiwata has stated a theorem ([3], Theorem 4) which is related to our Theorem 1. However the first step in his proof is wrong. We shall give a correct form of the theorem in Theorem 3. Let us first give a counterexample for the statement "In a connected metric space which is not totally bounded, there exists a sequence $\{x_n\}$ and a uniformly continuous function f such that $f(x_n) = n$ ".

EXAMPLE. Denoting the points of the plane by polar-coordinate,

we consider the following subsets of the plane:

$$egin{aligned} &A_m \!=\! \{(r, \ heta) \ ; \ 0 \!\leq\! r \!\leq\! 1, \ heta \!=\! \pi/m \}, \ &S \!=\! \overset{\circ}{\underset{m=1}{\cup}} A_m \ . \end{aligned}$$

We define the distance of the points of S by

$$d((r, \ \theta), \ (r', \ \theta')) = |r - r'|$$
 as $\theta = \theta'$ or $rr' = 0$,
 $= r + r'$ as $\theta \neq \theta'$,

then S is obviously a connected metric space which is not totally bounded. When f(x), $x \in S$, is a uniformly continuous function of S, there is a positive integer n such that d(x, y) < 1/n implies |f(x)-f(y)| < 1. If x is contained in A_m , there are points $y_0=0=$ pole, $y_1, \dots, y_r = x$, $r \leq n+1$, of A_m such that $d(y_{i-1}, y_i) < 1/n$, $i=1, \dots, r$.

$$|f(0)-f(x)| \leq |f(0)-f(y_1)| + \cdots + |f(y_{r-1})-f(x)| \leq n+1$$

namely f(x) is bounded.

DEFINITION 3. Let e be a positive number, then the finite sequence of points x_0, x_1, \dots, x_m satisfying $d(x_{i-1}, x_i) < e, i=1, \dots, m$, is said to be an *e*-chain with length m. If, for any positive number e, there are finitely many points p_1, \dots, p_i and a positive integer m such that any point of the space can be bound with some $p_j, 1 \le j \le i$, by an *e*-chain with length m, then the space is said to be finitely chainable.

THEOREM 2. A metric space S admits a uniformly continuous unbounded function if and only if S is not finitely chainable.

Proof. Verification of "only if" part is analogous to that stated in the above example, hence is passed over. Let S be not finitely chainable, then there is a positive number e such that, for any finitely many points and a positive integer n, there is a point which cannot be bound with any one of points selected above by an e-chain with length n. We denote by A_0^n the set of all points which can be bound with a fixed x_0 by an e-chain with length n.

(1) When $A_0^n \neq A_0^{n+1}$ for every *n*, we put

$$f(x) = (n-1)e + d(x, A_0^{n-1})$$

for x belonging to A_0^n and not to A_0^{n-1} , and f(x)=0 for $x \notin A_0 = \bigcup_n A_0^n(f(x))$ = $d(x_0, x)$ for $x \in A_0^1$). Since $S(A_0, e) = A_0$, f(x) is uniformly continuous on S if it is so on A_0 . Let $A_0^n \ni x \notin A_0^{n-1}$ and d(x, y) < e' < e, then $A_0^{n+1} \ni y \notin A_0^{n-2}$. (i) When y is in A_0^{n-1} , then

$$f(y) = (n-2)e + d(y, A_0^{n-2})$$

and $d(x, A_0^{n-1}) < e'$, $d(y, A_0^{n-2}) < e$, hence $f(y) \le f(x)$. If $d(y, A_0^{n-2}) < e - e'$, then d(y, y') < e - e' for some y' of A_0^{n-2} and $d(x, y') \le d(x, y) + d(y, y') < e$, so that x is in A_0^{n-1} , which is excluded. Therefore $d(y, A_0^{n-2}) \ge e - e'$ and

$$egin{aligned} |f(x)-f(y)| =& f(x)-f(y) = e + d(x, A_0^{n-1}) - d(y, A_0^{n-2}) \ &< e + e' - (e - e') = 2e' \ . \end{aligned}$$

(ii) When y is in A_0^n and not in A_0^{n-1} , then

$$f(y) = (n-1)e + d(y, A_0^{n-1}),$$

and we have

$$|f(x) - f(y)| = |d(x, A_0^{n-1}) - d(y, A_0^{n-1})| \le d(x, y) < e^{-1}$$

(cf. the proof of Prop. 3 of §2, [1]). (iii) The remaining case for y is similar to (i). Consequently f(x) is uniformly continuous on A_0 .

(2) When $A_0^n = A_0^{n+1}$ for some *n*, then $A_0^m = A_0^n$ for every $m \ge n$, and, in the similar way to (1), $A_1 = \bigcup A_1^n$ is obtained from a point of $S - A_0$. If we can make an unbounded function which is uniformly continuous on A_1 , our proof will be complete.

(3) When we cannot, for every $m(0 \le m \le n)$, construct a desired function on A_m obtained in the same way as (2), A_0, \dots, A_n cannot cover the space, because the space is not finitely chainable; namely we have a sequence of infinitely many subsets A_0, A_1, \dots when our proof is not complete in the similar way to (2). Then we put f(x)=nfor x of A_n and f(x)=0 for x which is not in any A_n . Then, since $S(A_m, e) \cap A_n = \phi$ for any $m \neq n$ and $S(\cup A_n, e) = \cup A_n$, f(x) is uniformly continuous.

THEOREM 3. If S is a connected metric space which is not finitely chainable, then the set of all uniformly continuous functions of S does not form a ring.

Proof. The following verification is essentially due to Isiwata [3]. There is, by Theorem 2, a uniformly continuous unbounded function f(x) of the space, and we have a sequence $A = \{x_n; n=1, 2, \dots\}$ such that $f(x_n)=a_n, a_{n+1}-a_n \ge 1, a_1 \ge 1; A$ has no accumulation point. For some positive number α , $d(x, y) < \alpha$ imlies |f(x)-f(y)| < 1/3, and so $S(x_m, \alpha) \cap S(x_n, \alpha) = \phi$ for $m \ne n$. We put

$$h(x)=1-d(A, x)/\alpha$$
 and $G=\bigcup_{n}S(x_n, \alpha)$

and

$$f'(x) = egin{pmatrix} h(x) & ext{ for } x \in G \ , \ 0 & ext{ for } x \notin G \ . \end{cases}$$

h(x) is uniformly continuous on the space, because d(A, x) is so (cf. Prop. 3 of §2, [1]). h(x)>0 and $h(y)\leq 0$ for x of G and y of S-G respectively, so we have

$$|h(x)-h(y)| = h(x)-h(y) \ge h(x) = |f'(x)-f'(y)|$$
.

Hence f'(x) is uniformly continuous on the space. g(x)=f(x)f'(x) is not uniformly continuous. In fact, if it is uniformly continuous, $d(x, y) < \beta$ implies

(*)
$$|g(x)-g(y)| < 1$$
 and $|f(x)-f(y)| < 1$

for some β ($\leq \alpha$). We select a positive integer *n* such that a_n is greater than $1+4\alpha/\beta$, and take a point *y* such that $\beta/2 \leq d(x_n, y) < \beta$ (it is possible to take such a point because of the connectedness of the space). Then, by (*), we have $|a_n - f(y)| < 1$, $f(y) > a_n - 1 \geq 0$, and

$$egin{aligned} |g(x_n)-g(y)|&=|a_n-(1-d(A,\ y)/lpha)f(y)|=|a_n-f(y)+d(x_n,\ y)f(y)/lpha|\ &\ge |d(x_n,\ y)f(y)/lpha|-|a_n-f(y)|>d(x_n,\ y)f(y)/lpha-1\ &>eta(a_n-1)/2lpha-1>eta(1+4lpha/eta-1)/2lpha-1=1\ , \end{aligned}$$

which contradicts (*).

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Pacific Journal of Mathematics Vol. 8, No. 1 March, 1958

Shimshon A. Amitsur, <i>Commutative linear differential operators</i>	1
Masahiko Atsuji, Uniform continuity of continuous functions of metric	
spaces	11
S. P. Avann, A numerical condition for modularity of a lattice	17
Raymond G. D. Ayoub, A mean value theorem for quadratic fields	23
Errett Albert Bishop, Subalgebras of functions on a Riemann surface	29
Shaul Foguel, The relations between a spectral operator and its scalar	
part	51
John Rolfe Isbell, <i>Euclidean and weak uniformities</i>	67
Samuel Karlin and James L. McGregor, <i>Many server queueing processes</i>	
with Poisson input and exponential service times	87
Paul Joseph Kelly and Ernst Gabor Straus, Curvature in Hilbert	
geometries	119
John W. Lamperti, <i>Stationary measures for certain stochastic processes</i>	127
Richard Scott Pierce, Distributivity and the normal completion of Boolean	
algebras	133
F. M. Ragab, Transcendental addition theorems for the hypergeometric	
function of Gauss	141
William T. Reid, Principal solutions of non-oscillatory self-adjoint linear	
differential systems	147
Maurice Sion, <i>On general minimax theorems</i>	171
Chien Wenjen, <i>On semi-normed</i> *-algebras	177