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In this paper* we consider analytic continuation of power series by matrix methods in arbitrary fields complete with respect to a valuation. In the complex field continuation can generally be achieved by a formal expansion of the given power series about a point in its circle of convergence. The new series (with power series coefficients) generally exists and converges over a circle extending beyond the circle of convergence of the original series.

When the field is non-Archimedean however the new circle of convergence is always contained in the old. Hence in this case we need have recourse to a summability method. In this paper we consider a certain class of matrix methods which can be applied to the power series coefficients appearing in the formal expansion of the original series about points outside the original circle of convergence. The methods will be applicable in Archimedean or non-Archimedean fields.

The work here is based upon Chapter 3 of the author's PhD dissertation written under the direction of Prof. G. K. Kalisch at the University of Minnesota in 1955.

1. Notations and definitions. Throughout the paper k shall be a field which is complete with respect to a valuation, denoted by $|\cdot|$. Unless stated explicitly to the contrary the valuation may be either Archimedean or non-Archimedean. It is useful to note that, by a theorem of Ostrowski, if the valuation is Archimedean then k is topologically isomorphic with the real or complex numbers.

We shall designate the collection of all infinite series with terms in k by S. Further we introduce an operation, the Cauchy product, into S. If $C = \sum_{i=0}^{\infty} c_i$ and $C' = \sum_{i=0}^{\infty} c_i'$ are in S then the Cauchy product CC' is defined by

$$CC' = \sum_{i=0}^{\infty} \sum_{j=0}^{i} c_j c'_{i-j}$$
 .

This product is clearly in S; so S is closed relative to this multiplication. The subset of S consisting of all unconditionally convergent series will be denoted by T. When k is non-Archimedean T coincides with the

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set of all convergent series since in this case a series converges if and only if its nth term goes to 0. When k is Archimedean T coincides with the collection of all absolutely convergent series. A theorem of Mertens in the Archimedean case (which remains true in the non-Archimedean case) assures us that T is closed relative to the multiplication defined in S. Further by the same theorem if C, C' converge respectively to c, c' then CC' converges to cc'.

The set of series in T which converge to non-zero limits will be denoted by T^* . From the last sentence of the preceding paragraph we see that T^* is closed under multiplication.

The set of infinite matrices (a_{ij}) , $i=0,1,2,\cdots;j=0,1,2,\cdots$ where a_{ij} is in k for all i,j will be denoted by M. We introduce into M two operations—addition and multiplication. Addition is unrestictedly defined by the following:

$$m_1 = (a_{ij}), m_2 = (b_{ij})$$
 then $m_1 + m_2 = (a_{ij} + b_{ij})$.

Clearly $m_1 + m_2$ is in M.

Multiplication is not unrestrictedly defined. We have the following definition (m_1 and m_2 as above):

$$m_{\scriptscriptstyle 1} m_{\scriptscriptstyle 2} = (c_{\scriptscriptstyle ij})$$
 providing $c_{\scriptscriptstyle ij} = \sum\limits_{q=0}^\infty a_{iq} b_{qj}$ converges for all i,j .

We shall be interested in mappings from subsets of S into M. A multiplicative homomorphism from a subset V of S into M is a mapping f of V into M such that when C_1 , C_2 and C_1C_2 are in V then $f(C_1)f(C_2)$ is defined and $f(C_1)f(C_2) = f(C_1C_2)$.

2. The matrices A_{σ} and B_{σ} .

DEFINITION 1. Let $C = \sum_{i=0}^{\infty} c_i$ be in S.

- (a) $B_{\sigma} = (b_{ij})$ where $b_{ij} = c_{j-i}$ and c_{j-i} is taken to be 0 when j < i;
- (b) If C converges to $c \neq 0$ then $A_{\sigma} = B_{\sigma}(c)^{-1}$ where (c) is the diagonal matrix with all diagonal elements c.

LEMMA 1. The map $C \to B_c$ is a multiplicative homomorphism of S into M.

Proof. Let $C = \sum_{i=0}^{\infty} c_i$, $C' = \sum_{i=0}^{\infty} c'_i$ be in S. Then $CC' = \sum_{i=0}^{\infty} \overline{c}_i$ where $\overline{c}_i = \sum_{j=0}^{i} c_j c'_{i-j}$. Thus $B_{\sigma\sigma'} = (\overline{c}_{j-i})$. Since B_{σ} and $B_{\sigma'}$ each have only finitely many non-zero terms in each column $B_{\sigma}B_{\sigma'}$ is defined. Further $B_{\sigma}B_{\sigma'} = (d_{ij})$ where

$$d_{ij} = \sum_{q=0}^{\infty} c_{q-i} c'_{j-q} = \sum_{q=i}^{j} c_{q-i} c'_{j-q} = \sum_{s=0}^{j-i} c_s c'_{(j-i)-s} = \bar{c}_{j-i}$$
 .

Hence $B_{\sigma}B_{\sigma'}=B_{\sigma\sigma'}$ and the lemma is proved.

LEMMA 2. Let C, C' be in S and suppose they converge respectively to the non-zero sums c, c'. Then $A_{\sigma}A_{\sigma'}$ exists and $A_{\sigma}A_{\sigma'}=A_{\sigma\sigma'}$ providing CC' converges to cc'.

Proof.
$$A_c=B_c(c)^{-1},\,A_{c'}=B_{c'}(c')^{-1}$$
 and therefore
$$A_cA_{c'}=B_c(c)^{-1}B_{c'}(c')^{-1}=B_{cc'}(cc')^{-1}=A_{cc'}\;.$$

COROLLARY. The map $C \to A_\sigma$ is a multiplicative homomorphism of T^* into M.

We now introduce a norm into T and two topologies into M.

DEFINITION 2. The *norm* of C, denoted by $|C|_r$, for $C = \sum_{i=0}^{\infty} c_i$ in T is defined by:

$$|C|_{r} = egin{cases} \max & |c_{i}| & ext{for } k ext{ non-Archimedean}; \ \sum \limits_{i=0}^{\infty} |c_{i}| & ext{for } k ext{ Archimedean}. \end{cases}$$

By restricting our C to be in T we insure that this norm is defined. The following properties are valid for arbitrary k.

$$|C + C'|_T \le |C|_T + |C'|_T$$
;
 $|CC'|_T \le |C|_T |C'|_T$;
 $|aC|_T = |a| |C|_T$ for a in k .

If k is non-Archimedean the first two properties can be strengthened to read

$$|C + C'|_T \le \max(|C|_T, |C'|_T);$$

 $|CC'|_T = |C|_T |C'|_T.$

Defining addition in T to be componentwise addition we see that T is a normed ring.

DEFINITION 3. (a) The weak topology in M is the topology induced on M by making the sequence $m_n = (a_{ij}^{(n)})$ of matrices converge to the matrix (a_{ij}) if and only if for all i, j we have $a_{ij}^{(n)} \to a_{ij}$. When this is true we say that the sequence m_n converges weakly to (a_{ij}) .

(b) If, for an arbitrary positive real number r, we denote the set of all matrices (a_{ij}) with $|a_{ij}| < r$, for all i, j, by M_r then the set of M_r gives a basis system for the open sets about the additive identity 0 in M. This induces on M the topology of the additive group of M and is called the *uniform topology*.

We note that addition and multiplication (when the latter is defined) are continuous in both topologies in M. Also if a sequence of matrices converges in the uniform topology it converges in the weak topology.

We shall denote by \overline{M} the collection of matrices $m=(a_{ij})$ for which max $|a_{ij}|$ exists. For m in \overline{M} we define $|m|=\max |a_{ij}|$. This induces the same topology on \overline{M} which the uniform topology of M induces on \overline{M} .

LEMMA 3. The map $C \to B_c$ is a continuous map of T into M under either the uniform or weak topologies of M.

Proof. Since C is in T, max $|c_i|$ exists and is $\leq |C|_T$. As $B_c = (c_{j-i})$ the norm of B_c , in \overline{M} , is given by

$$|B_c| = \max |c_{j-1}| = \max |c_i| \le |C|_T$$
.

Therefore the map of T into \overline{M} is continuous with respect to the norm topology of \overline{M} . Since this topology is induced by the uniform topology of M this map is continuous relative to the uniform topology. This then implies continuity relative to the weak topology and the lemma is proved.

LEMMA 4. The map $C \to A_c$ is a continuous map of T^* into M under either the uniform or weak topologies of M.

Proof. $A_c = B_c(c)^{-1}$ where $c \neq 0$ is the sum of C. Since multiplication in M is continuous in either topology as is the map $C \to B_c$ (by previous lemma) we need only show that the map $C \to (c)^{-1}$ is continuous. This is the product of three maps $C \to c \to c^{-1} \to (c)^{-1}$.

The first is continuous since it is an additive homomorphism and $|c| \le |C|_T$. The second is a continuous map on k^* (the non-zero elements of k). The third map is a ring isomorphism into \overline{M} preserving norms. I.e. $|c^{-1}| = \max |c^{-1}| = |(c^{-1})|$. Hence this map is continuous into \overline{M} relative to the norm in \overline{M} . As in the proof of Lemma 3 this concludes the proof.

We define the convergence of an infinite product $\prod_{n=1}^{\infty} C_n$, C_n in T, in the usual way. That is, $\prod_{n=1}^{\infty} C_n$ converges providing $\lim_{q\to\infty} \prod_{n=1}^q C_n$ exists and is not the additive identity of T. Making use of the theorem:

 $\prod_{n=1}^{\infty} C_n$, C_n in T, converges if and only if $|1 - C_n|_T \to 0$ as $n \to \infty$ (where 1 is the multiplicative identity in T).

We deduce from Lemma 4 the following immediate consequence.

THEOREM 1. Let $\sum_{n=1}^{\infty} C_n$ converge and suppose C_n is in T^* for all n. Then $\prod_{n=1}^{\infty} A_{C_n}$ converges relative to both weak and uniform topologies of M and its limit is $A_{\prod_{n=1}^{\infty} C_n}$.

3. T_2 matrices and C(x)-continuation. Each infinite matrix m can be thought of as a mapping defined over a subset of S and mapping this subset into S. In fact, let $m=(a_{ij})$ and suppose $C=\sum_{i=0}^{\infty}c_i$ is in S. Then if, for all j, the series $\sum_{i=0}^{\infty}c_ia_{ij}$ exists and equals c'_j we shall say that the matrix m maps C onto $C'=\sum_{j=0}^{\infty}c'_j$. We shall write mC=C'.

(If we let C^* be the "vector" (c_0, c_1, c_2, \cdots) derived in the obvious way from C then $C'^* = (mC)^* = C^* \cdot m$ where the right side is the ordinary matrix product of C^* and m.)

When C has sum c then C' has sum c we call m a T_2 matrix. Necessary and sufficient conditions in order that an infinite matrix be a T_2 matrix will be found in [2] for k Archimedean and in [8ab] for k non-Archimedean. (In the reference [2] the T_2 matrix is called an α matrix.)

Now suppose $C = \sum_{i=0}^{\infty} c_i$, $C' = \sum_{i=0}^{\infty} c'_i$ are in T with sums c, c' respectively. Then CC' exists and

$$CC' = \sum_{j=0}^{\infty} \sum_{i=0}^{j} c'_i c_{j-i} = B_o C'$$

where B_c is as defined in § 2.

Since in this case CC' converges to cc' we see that B_{σ} maps convergent series onto convergent series but alters the sum by a factor of c. Thus for C in T^* , $A_{\sigma} = B_{\sigma}(c)^{-1}$ will map convergent series onto convergent series with the same sum. This proves the following.

LEMMA 5. If C is in T^* then A_c is a T_2 matrix.

We wish now to consider series of functions. Let $C(x) = \sum_{i=0}^{\infty} c_i(x)$ and $U(x) = \sum_{i=0}^{\infty} u_i(x)$ where x ranges over some subset X of k. Suppose in addition that C(x) is in T^* for all x in D where D is a subset of X. Further suppose there is a non-empty subset A of D on which U(x) converges. Then, by Lemma 5, $A_{c(x)}$ is a C_1 matrix for C_2 in C_3 and therefore transforms C_3 for C_4 in C_4 into a new series with the same sum. However it may be true that C_4 is defined and converges for some C_4 in C_4 in C_4 is defined and converges for some C_4 in C_4

The sum function u'(x), considered over the largest portion of D on which $A_{c(x)}U(x)$ exists and converges, will be called the C(x)-continuation of U(x) (or more accurately the C(x)-continuation of the sum function u(x) of U(x)). The C(x)-continuation will be called *efficient* for U(x) if there exists an x in $D-\Delta$ for which $A_{c(x)}$ exists and converges.

In Archimedean fields it is possible for an infinite series to converge conditionally. If C(x) converges conditionally for some x then $A_{\mathcal{C}(x)}$ is defined but is not necessarily a T_2 matrix (since the Cauchy product of conditionally convergent series may not converge). Considering X now

to be a topological space we can speak of the closure \overline{D} of D in X. Let x be in \overline{D} and suppose that when y in D converges to x in certain prescribed ways then C(x), U(x), $A_{C(x)}U(x)$ converge respectively to

$$\lim_{y\to x} c(y)$$
, $\lim_{y\to x} u(y)$, $\lim_{y\to x} u'(y)$

when these limits exist. When x is in D we know u(x) = u'(x) so $A_{\sigma(x)}U(x)$ converges when U(x) does. Thus if c(x), u(x), u'(x) are continuous over D then for x in D, whatever the prescribed ways y in D tends to x, we have C(x), U(x), $A_{\sigma(x)}$, U(x) converging respectively to

$$\lim_{y\to x} c(y)$$
, $\lim_{y\to x} u(y)$, $\lim_{y\to x} u'(y)$.

Let D^* be the set of all x in \overline{D} for which C(x), U(x), $A_{c(x)}U(x)$ have the respective limits specified above as $y \to x$ in one of the prescribed ways. Then $D \subset D^* \subset \overline{D}$ when c(x), u(x), u'(x) are continuous over D. The function u'(x), considered over D^* , will be called a *generalized* C(x)-continuation of U(x) (relative to the allowed modes of convergence of y in D to x in D^*).

4. Power series and the Weierstrass decomposition theorem. In this section we take the X of § 3 to be all of k and suppose C(x) and U(x) to be power series about α in k. Then we may take, without loss of generality, the set D to be a circle with center α from which have been excised all zeros of C(x). Then Δ is the intersection of D with some circle of center α . When k is non-Archimedean $\overline{D} = D$ and when k is Archimedean \overline{D} is the closed circle about α of the same radius as D. Thus (by Abel's theorem in the Archimedean case) if we prescribe y in D to converge to x in $\overline{D} - D$ only radially we can take $D^* = \overline{D}$.

THEOREM 2. For $x_0 \neq 0$, $n = 0, 1, 2, \cdots$ let $C_n(x_0) = \sum_{i=0}^{\infty} a_{ni} x_0^i$ and $C(x_0) = \sum_{i=0}^{\infty} c_i(x_0)$ be in T. Then if $C_n(x_0) \rightarrow C(x_0)$ the following are true.

- (i) for each i there is an a_i such that $\lim_{n\to\infty} a_{ni} = a_i$;
- (ii) $a_i x_0^i = c_i(x_0)$.

That is, $C(x_0)$ is the term by term limit of $C_n(x_0)$ when it is the limit in the T norm.

Proof. Since $|C_n(x_0) - C(x_0)|_T \to 0$ we have $|C_n(x_0) - C_m(x_0)|_T \to 0$ as $n, m \to \infty$ independently. But

$$\|C_n(x_0)-C_m(x_0)\|_T=\|\sum_{i=0}^\infty (a_{ni}-a_{mi})x_0^i\|=egin{cases} \max\limits_i \|a_{ni}-a_{mi}\| \|x_0\|^i\ \sum\limits_{i=0}^\infty |a_{ni}-a_{mi}| \|x_0\|^i \end{cases}$$

in the cases where k is non-Archimedean or Archimedean respectively. In either event $|a_{ni} - a_{mi}| |x_0|^i \le |C_n(x_0) - C_m(x_0)|_T \to 0$. Hence by com-

pleteness of k there is an a_i such that $a_{ni} \to a_i$. This proves (i). To prove (ii) we have

$$|a_i x_0^i - c_i(x_0)| \le |a_i x_0^i - a_{ni} x_0^i| + |a_{ni} x_0^i - c_i(x_0)|$$

 $\le |a_i x_0^i - a_{ni} x_0^i| + |C_n(x_0) - C(x_0)|_T$.

Since both terms on the right tend to zero the proof of (ii) is completed.

We now suppose that k is algebraically closed and is non-Archimedean. If $C(x) = \sum_{i=0}^{\infty} a_i x^i$ is an entire power series (i.e. C(x) is in T for all x in k) which is not identically zero then by the analogue of the Weierstrass decomposition theorem in algebraically closed non-Archimedean fields (see Schöbe [10] and Schnirelman [11]) we can express C(x) as the formal limit of

$$a_0 x^{i_0} \prod_{q=0}^{n \leq \infty} (1 - x/z_q)$$

where i_0 is the multiplicity of the zero x=0 of the sum c(x) of C(x) and where z_q ranges over the set of non-zero zeros of c(x), each factor $1-x/z_q$ occurring a number of times equal to the multiplicity of z_q as a zero of c(x).

Schöbe [10] has also proved that $|z_q| \to \infty$ as $q \to \infty$. Therefore, since the terms of the product are power series and $1 + (1 - x/z_q) = x/z_q$ has $|x/z_q|_T \to 0$, the product $\prod_{q=0}^{n \le \infty} (1 - x/z_q)$, when infinite, converges for every x in k, relative to the topology of T. Hence by Theorem 2 above this product converges to C(x). These remarks combined with Theorem 1 prove the following theorem. The notation is as above.

THEOREM 3. Let C(x) be an entire power series. Then for x a non-zero of the sum function c(x) of C(x) we have

$$A_{{\scriptscriptstyle \mathcal{O}(x)}} = A_{a_0} A_{x^0}^i \prod_{q=0}^{n \leq \infty} A_{1-x/z_q}$$

where, if n is infinite, the right side converges to the left in both the uniform and weak topologies of M.

In the case of the complex field the original Weierstrass decomposition theorem gives an analogous result where the A_{1-x/z_q} are replaced by more complicated matrices corresponding to the primary factors of C(x).

5. Meromorphic functions and C(x)-continuation. If the function f(x) has a Taylor series expansion $\sum_{i=0}^{\infty} a_i(x-\alpha)^i$ about α in k which converges to f(x) in its circle of convergence we shall denote this series by $[f(x)]_x$. If D is the circle of convergence and y is an interior point of D we can expand $[f(x)]_x$ about y to obtain (formally) $[f(x)]_y$. Thus

$$[f(x)]_y \sim \sum_{i=0}^{\infty} \sum_{j=0}^{\infty} {i+j \choose i} a_{i+j} (y-\alpha)^j (x-y)^i$$
.

We shall denote $\sum_{j=0}^{\infty} {i+j \choose j} a_{i+j} (y-\alpha)^j$ by $[f_i(y)]_x$. When the characteristic of k is 0 we know

$$[f_i(y)]_{\scriptscriptstylelpha}=(1/i\,!)igg[rac{d^if(y)}{dy^i}igg]_{\scriptscriptstylelpha}$$
 .

(Although much of what we shall say is true for fields of arbitrary characteristic we confine ourselves to fields of characteristic 0 in order to simplify the discussion.)

Letting $f_i(y)$ be the sum function of $[f_i(y)]_{\alpha}$ we have

$$[f(x)]_y = \sum_{i=0}^{\infty} f_i(y)(x-y)^i$$
.

It is known that in both the Archimedean and non-Archimedean case that for all i, $[f_i(y)]_x$ converges for all y in D. However in the Archimedean case it is often true that there is a circle D_1 , not contained wholly within D, and in which, for all i, $[f_i(y)]_x$ converges and $\sum_{i=0}^{\infty} [f_i(y)]_x (x-y)^i$ converges in D_1 to f(x).

This allows one to step by step recover the function f(x) from a power series element $[f(x)]_x$ of the function. When k is non-Archimedean it can be shown that no such circle as D_1 can ever exist. Thus the usual method of analytic continuation necessarily fails in such fields. In this section we shall show how C(x)-continuation can be applied in the case of the continuation of power series elements of meromorphic functions with known denominators (see below).

Let D be a circle in k (D open if k is Archimedean) with center α . A function f(x) defined over some subset of k will be side to be *meromorphic over* D if there exist two series [g(x)], $[h(x)]_x$ convergent on D such that

- (i) f(x) is defined for x in D if and only if $h(x) \neq 0$;
- (ii) f(x) = g(x)/h(x) everywhere on D where defined. The function h(x) will be called a *denominator* of f(x) over D.

The function h(x) will be called a denominator of f(x) over D. If D is the greatest such circle we call it the *circle of meromorphy* of f(x).

LEMMA 6. If f(x) is meromorphic on D with denominator h(x) and if α is in D then f(x) is the $[h(x)]_x$ -continuation of $[f(x)]_x$.

Proof. Let x be in D, $h(x) \neq 0$. Then there is a g(x) such that $[g(x)]_x$ converges on D and f(x) = g(x)/h(x). Now f(x) is the sum function of

$$[g(x)]_{\alpha}/h(x) = ([h(x)]_{\alpha}/h(x))[f(x)]_{\alpha} = A_{[h(x)]_{\alpha}}[f(x)]_{\alpha}$$

and the lemma is proved.

THEOREM 4. Let f(x) be meromorphic on D with denominator h(x). Further for α in D let

$$[f(x)]_x \sum_{i=0}^{\infty} a_i (x-\alpha)^i$$

have circle of convergence contained in D. Then

$$[f(x)]_y = \sum_{i=0}^{\infty} f_i(y)(x-y)^i$$

when y is in D, $h(y) \neq 0$, and $f_i(y)$ is the $[h(y)]_{\alpha}^{i+1}$ -continuation of $i! \sum_{j=0}^{\infty} {i+j \choose j} a_{i+j} (y-\alpha)^j$.

Proof. As seen above the formal expansion of $[f(x)]_x$ about y is given by

$$\sum_{i=0}^{\infty} \sum_{j=0}^{\infty} {i+j \choose i} a_{i+j} (y-\alpha)^{j} (x-y)^{i}$$

where

$$i! \sum_{j=0}^{\infty} {i+j \choose i} a_{i+j} (y-\alpha)^j = \left[rac{d^i f(y)}{dy^i}
ight]_a$$
 .

But

$$rac{d^i f(y)}{dy^i} = rac{d^i (g(y)/h(y))}{dy^i} = t(y)/h^{i+1}(y)$$

where t(y) is a polynomial in g(y) and h(y). Thus $[t(y)]_x$ converges over D and $d^i f(y)/dy^i$ is meromorphic on D with denominator $(t(y))^{i+1}$. Thus by Lemma 1, $d^i f(y)/dy^i$ is the $[(h(y))^{i+1}]_x$ -continuation of

$$[d^i f(y)/dy^i]_{\alpha} = i ! \sum_{j=0}^{\infty} {i+j \choose i} a_{i+j} (y-\alpha)^j$$
.

From Theorem 4 and Theorem 1 we have the

Corollary. $f_i(y)$ is the sum function of $A_{\lceil h(y) \rceil_n}^{i+1}[d^if(y)/dy^i]_x$.

THEOREM 5. If a function f(x) defined over D is the C(x)-continuation of a power series $[f(x)]_x$ then f(x) is meromorphic on D when C(x) is of the form $[h(x)]_x$.

Proof. Let f(x) be the sum function of

$$A_{[h(x)]_a}[f(x)]_a = ([h(x)]_a/h(x))[f(x)]_a$$

which converges on D. Then $[g(x)]_{\alpha} = [h(x)]_{\alpha}[f(x)]_{\alpha}$ converges on D. Letting g(x), h(x) be the sums on D of $[g(x)]_{\alpha}$, $[h(x)]_{\alpha}$ respectively gives $[f(x)]_{\alpha}$ the expansion about α of g(x)/h(x) which is meromorphic on D.

There are many further questions which can be asked concerning these methods of continuation. In view of Theorem 5 one would wish to concentrate on C(x)-continuations where C(x) is not a power series.

Further we can generalize the method so that instead of restricting ourselves to the use of C(x)-continuations we allow the use of arbitrary T_2 matrices. Some work has been done in this direction in [8a].

Vermes, making use of series to sequence methods, has dealt with similar problems for k the field of complex numbers [13abc]. Some of his results in [13a] overlap some of the work done here. For further considerations of these and similar problems see the references to Chabauty, Krasner, Kürshák, Rychlik, Schöbe and Strassman cited below.

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