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ON THE STABILITY OF BOUNDARY COMPONENTS

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## ON THE STABILITY OF BOUNDARY COMPONENTS

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#### I. PRESENTATION OF THE PROBLEM

#### 1. Definitions.

1. A boundary component of a plane region  $D \subset (|z| \leq \infty)$  is a component of the boundary  $\partial D$  of D, i.e., a connected subset of  $\partial D$  which is not a proper subset of any connected subset of  $\partial D$ .

There is an alternate definition. Let  $\{\Omega_n\}_{n=1}^{\infty}$  be a sequence of subregions of D such that

(i)  $\Omega_1 \supset \Omega_2 \supset \cdots$ ,

(ii) the relative boundary  $\partial \Omega_n \cap D$  consists of one closed analytic curve in D,

(iii)  $\bigcap_{n=1}^{\infty} \Omega_n = \phi$ . Two sequences  $\{\Omega_n\}$  and  $\{\Omega'_n\}$  are said to be equivalent if, for any n, there exists m such that  $\Omega_m \subset \Omega'_n$  and  $\Omega'_m \subset \Omega_n$ . A boundary component of D is an equivalence class of  $\{\Omega_n\}$ .

These two definitions are equivalent in the following sense:

(i) Given a sequence  $\{\Omega_n\}$ , the set  $\bigcap_{n=1}^{\infty} \overline{\Omega}_n$  is a component of  $\partial D$  and, for two sequences, these sets coincide if and only if the sequences are equivalent.

(ii) Given a component  $\Gamma$  of  $\partial D$ , there exists a sequence such that  $\Gamma = \bigcap_{n=1}^{\infty} \overline{\Omega}_n$ .

For a boundary component  $\Gamma$ , the sequence  $\{\Omega_n\}$  such that  $\Gamma = \bigcap_{n=1}^{\infty} \overline{\Omega}_n$  is called a *defining sequence of*  $\Gamma$ .

Let w = f(z) be a topological mapping of D onto a plane region D'. Then we can immediately see from the second definition that f gives a one-to-one correspondence between the boundary components of D and D'. We shall speak of the *image of a boundary component*  $\Gamma$  under fin this sense and denote it by  $f(\Gamma)$ .

2. Let  $D^{\circ}$  denote the complement of D with respect to the extended plane  $|z| \leq \infty$ . For a boundary component  $\Gamma$ , there exists a uniquely determined component of  $D^{\circ}$  whose boundary coincides with  $\Gamma$ . We call it the component of  $D^{\circ}$  corresponding to  $\Gamma$  and denote it by  $\Gamma^*$ .

If D does not contain the point  $z = \infty$ , the boundary component  $\Gamma$ 

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such that  $\infty \in \Gamma^*$  is called the outer boundary of D.

3. We call a region D a *circular* (or *radial*) *slit disk* if  $0 \in D$ ,  $D \subset (|z| < R < \infty)$ , the outer boundary is |z| = R, and every other boundary component is either a point or an arc on |z| = const. (or a line segment on arg z = const.).

#### 2. The stability problem of boundary components.

4. Let D be a plane region and let  $\Gamma$  be a boundary component. Sario [16, 17] gave the following classification:

(a) If  $f(\Gamma)$  is a point for every univalent function w = f(z) on D, then  $\Gamma$  is said to be *weak*.

(b) If  $f(\Gamma)$  is a continuum, i.e., a connected closed set containing more than one point, for every f, then  $\Gamma$  is said to be strong.

(c) If  $\Gamma$  is neither weak nor strong, it is said to be *unstable*.

Weak boundary components were first investigated by Grötzsch in connection with the so-called "Kreisnormierungsproblem" (Grötzsch [7]; see also Denneberg [5] and Strebel [21]). He called them vollkommen punktförmig. Regions of class  $O_{SB}=O_{SD}$  introduced by Ahlfors and Beurling [2] coincide with those possessing merely weak boundary components. Sario [16] has generalized the concept weak boundary components for open Riemann surfaces. It has been discussed also by Savage [19] and Jurchescu [10].

We are now lead to the following natural problems:

PROBLEM A. Given a boundary component consisting of a single point, determine whether it is weak or unstable.

**PROBLEM** B. Given a boundary component consisting of a continuum, determine whether it is strong or unstable.

We shall attempt to obtain concrete tests with practical applicability.

#### 3. Related extremal problems.

5. Let D be a region containing the point z = 0. Let  $\mathfrak{V}$  be the family consisting of all functions  $w = \varphi(z)$  which are regular and univalent in  $D - \{0\}$ , and have the expansion  $1/z + cz + \cdots$  near z = 0.

Consider, with Grötzsch [6], the diameter of the image  $\varphi(\Gamma)$  of the boundary component  $\Gamma$ . It is quite easy to see that  $\Gamma$  is weak if and only if  $\sup_{\varphi \in \mathfrak{B}} \operatorname{diam} \varphi(\Gamma) = 0$ , and  $\Gamma$  is strong if  $\inf_{\varphi \in \mathfrak{B}} \operatorname{diam} \varphi(\Gamma) > 0$ .

6. Let  $\mathfrak{F}_r$  be the family consisting of functions w = f(z) such that

- (i) regular and univalent in D,
- (ii) f(0) = 0 and f'(0) = 1,

(iii)  $f(\Gamma)$  is the outer boundary of f(D). Rengel [14] introduced the following functionals on  $\mathfrak{F}_r$ :

$$egin{aligned} M(f) &= \max_{w\in f(F)} \mid w \mid = \sup_{z\in D} \mid f(z) \mid , \ m(f) &= \min_{w\in f(F)} \mid w \mid , \end{aligned}$$

and considered the quantities

$$R(\Gamma) = R(\Gamma; D) = \sup_{f \in \mathfrak{F}_{\Gamma}} m(f)$$

and

$$r(\Gamma) = r(\Gamma; D) = \inf_{f \in \mathfrak{F}_{\Gamma}} M(f)$$
.

From the definition we have immediately the basic

THEOREM 1.  $\Gamma$  is strong if  $R(\Gamma) < \infty$ .  $\Gamma$  is weak if and only if  $r(\Gamma) = \infty$ .

These criteria are equivalent to those in No. 5, since

$$egin{aligned} R(arGamma) &= 2 / \inf_{arphi \in \mathfrak{V}} \, \operatorname{diam} arphi(arGamma) \, , \ r(arGamma) &= 4 / \sup_{arphi \in \mathfrak{V}} \, \operatorname{diam} arphi(arGamma) \, . \end{aligned}$$

In fact, for an arbitrary function  $f(z) \in \mathcal{F}_{\Gamma}$ , the functions

$$arphi_{\scriptscriptstyle f}(z) = rac{1}{f(z)} + rac{f^{\prime\prime}(0)}{2}$$

and

$$\psi_{\scriptscriptstyle f}(z) = \varphi_{\scriptscriptstyle f}(z) + rac{1}{M(f)^2} \cdot rac{1}{\varphi_{\scriptscriptstyle f}(z)}$$

belong to  $\mathfrak{V}$ , and

$$m(f) \leq 2/ ext{diam } arphi_f(arPsi)$$
  
 $M(f) \geq 4/ ext{diam } arphi_f(arPsi)$  .

On the other hand, for  $\varphi(z) \in \mathfrak{V}$ , let F(w) be the function which maps  $(\varphi(\Gamma)^*)^c$  conformally onto the exterior of a disk with the center at the origin. Assume further that  $F(w) = w + c + c'/w + \cdots$  near  $w = \infty$ . Then  $f_{\varphi}(z) = 1/F \circ \varphi(z) \in \mathfrak{F}_{\Gamma}$  and

$$2/\operatorname{diam} \varphi(\Gamma) \leq M(f_{\varphi}) = m(f_{\varphi}) \leq 4/\operatorname{diam} \varphi(\Gamma)$$
.

The proof of the above equalities is hereby complete.

7. Whether or not  $R(\Gamma) < \infty$  is necessary for strength is still an open problem. We shall discuss this problem in No. 24.

We shall see in No. 17 that  $1/r(\Gamma)$  equals the "capacity" of the boundary component  $\Gamma$  introduced by Sario [16] (it is not necessarily equal to the logarithmic capacity of the closed set  $\Gamma$ ), and, therefore, that the latter half of Theorem 1 is equivalent to Sario's result ([17], Theorem 6). Jurchescu [10] showed that the "capacity" coincides with the "perimeter" introduced by Ahlfors and Beurling [2].

It will be shown in No. 22 that  $R(\Gamma)$  coincides with the quantity which Strebel [22] called "extremal Durchmesser". Finally, Theorem 4 in No. 21 shows that the first half of the above theorem coincides with Sario's result ([17], Theorem 4).

#### II. PRELIMINARIES

In this chapter, we collect a number of known results which will be needed later.

#### 4. Extremal length.

8. A curve  $\gamma$  considered here is either a closed rectifiable curve or a curve of the form z = z(t) (0 < t < 1) every subarc of which is rectifiable. If  $\lim_{t\to 0} z(t)$  or  $\lim_{t\to 1} z(t)$  exists, it is called an end point.

Let D be a reginon and let  $\{\gamma\}$  be a family of curves  $\gamma \subset D$ . Let  $\{\rho\}$  be the collection of functions  $\rho$  which are  $\geq 0$  and lower semi-continuous in D. With the understanding that  $0/0 = \infty/\infty = 0$ , take

$$\lambda\{\gamma\} = \sup_{
ho} rac{\left(\inf_{\gamma} \int_{\gamma} 
ho \, ds
ight)^2}{\iint_{D} 
ho^2 \, dx dy}$$

It is called the *extremal length of*  $\{\gamma\}$  (Ahlfors and Beurling [2], Ahlfors and Sario [3]).

9. The following properties (I)-(V) are well known; for the proofs the reader is referred to, e.g., Hersch  $[8]^1$ :

(I)  $\lambda{\gamma}$  is independent of the choice of D.

(II)  $\lambda\{\gamma\}$  is conformally invariant.

(III)  $\lambda\{\gamma'\} \leq \lambda\{\gamma\}$  if every  $\gamma$  contains a  $\gamma'$ .

(IV) For  $\{\gamma_1\}$  and  $\{\gamma_2\}$ , assume the existence of disjoint regions  $D_1$ and  $D_2$  such that  $\gamma_{\nu} \subset D_{\nu}$  ( $\nu = 1, 2$ ). If, for any  $\gamma$  of the third family

<sup>&</sup>lt;sup>1</sup> His definition is different from ours, but his proofs remain valid.

 $\{\gamma\}$ , there exist  $\gamma_1$  and  $\gamma_2$  such that  $\gamma_1 \cup \gamma_2 \subset \gamma$ , then

$$\lambda\{\gamma_{1}\} + \lambda\{\gamma_{2}\} \leqq \lambda\{\gamma\}$$
 .

(V) Let  $\{\gamma_1\}$  and  $\{\gamma_2\}$  be the same as above. If  $\{\gamma_1\} \cup \{\gamma_2\} \subset \{\gamma\}$ , then

$$rac{1}{\lambda\{\gamma_1\}}+rac{1}{\lambda\{\gamma_2\}} \leqq rac{1}{\lambda\{\gamma\}} \;.$$

(VI) (Hersch [8]<sup>1</sup>). For three families with  $\{\gamma\} = \{\gamma_1\} \cup \{\gamma_2\}$ ,

$$rac{1}{\lambda\{\gamma\}} \leqq rac{1}{\lambda\{\gamma_1\}} + rac{1}{\lambda\{\gamma_2\}} \; .$$

(VIII) Let  $\{\gamma_1\}$  be the subfamily of  $\{\gamma\}$  consisting of  $\gamma$  having both end points and such that z(t)  $(0 \leq t \leq 1)$  is rectifiable. Then  $\lambda\{\gamma\} = \lambda\{\gamma_1\}$ .

In fact, since the extremal length of  $\{\gamma_2\} = \{\gamma\} - \{\gamma_1\}$  is infinite, (VI) shows that  $\lambda\{\gamma_1\} \leq \lambda\{\gamma\}$ , and  $\lambda\{\gamma\} \leq \lambda\{\gamma_1\}$  by (III).

(VIII) For a curve  $\gamma: z = z(t)$  (0 < t < 1), let  $\overline{\gamma}$  be the curve  $z = \overline{z(t)}$  (0 < t < 1). If  $z(0) = \lim_{t \to 0} z(t)$  exists and is real, put  $\hat{\gamma} = \gamma \cup \overline{\gamma} \cup \{z(0)\}$ . Let  $\{\gamma_0\}$  be a family of curves which are contained in the upper half-plane and have the end points z(0) on the real axis. Let  $\{\gamma\}$  be a family which contains all  $\hat{\gamma}_0$  and  $\overline{\gamma}$ . Furthermore it is assumed that, for any  $\gamma$ , there exist  $\gamma_0$  and  $\gamma'_0$  in  $\{\gamma_0\}$  such that  $\overline{\gamma}_0 \cup \gamma'_0 \subset \gamma$ . Then

$$\lambda\{\gamma\} = 2\lambda\{\gamma_0\}$$
 .

In fact, to define  $\lambda\{\gamma\}$ , we may restrict  $\{\rho\}$  to the subfamily consisting of functions symmetric about the real axis. Since  $2 \inf_{\gamma_0} \int_{\gamma_0} \rho \, ds = \inf_{\gamma_0} \int_{\gamma_0} \rho \, ds$  for such  $\rho$ , we conclude that  $\lambda\{\gamma\} = 2\lambda\{\gamma_0\}$ .

(IX) Let A be the annulus 1 < |z| < q or a region obtained by deleting a finite number of circular slits from this annulus. Let  $\{\gamma\}$  be the family of all closed rectifiable curves in A separating |z| = 1 from |z| = q. Then  $\lambda\{\gamma\} = 2\pi/\log q$ . This is true even if each  $\gamma$  is restricted to a concentric circle in A.

The proof is found, e.g., in Hersch  $[8]^1$ .

10. Let D be a region, and let  $E_0$  and  $E_1$  be compact sets such that  $E_{-} \cap \overline{D} \neq \phi$  ( $\nu = 0, 1$ ). Let  $\{\gamma\}$  be the family consisting of  $\gamma$ : z = z(t) (0 < t < 1) such that  $\gamma \subset D$ ,  $\bigcap_{\varepsilon>0} \overline{\{z(t); 0 < t < \varepsilon\}} \subset E_0$ , and  $\bigcap_{\varepsilon>0} \overline{\{z(t); 1-\varepsilon < t < 1\}} \subset E_1$ . Then  $\lambda\{\gamma\}$  is called the *extremal distance*  $\delta_D(E_0, E_1)$  between  $E_0$  and  $E_1$  with respect to D.

By (VII),  $\delta_D(E_0, E_1)$  coincides with the extremal length of the family

of rectifiable curves in D whose end points are on  $E_0$  and  $E_1$  respectively. Under a certain restriction of the configuration, it is also equal to that of a subfamily consisting of analytic curves (Wolontis [25]).

From this consideration, we get

(X) If no point of  $E_1$  is accessible from D by a rectifiable curve, then  $\delta_D(E_0, E_1) = \infty$ .

(XI) (Pfluger [12]<sup>1</sup>). If cap  $E_1 = 0$ , then  $\delta_D(E_0, E_1) = \infty$ . For D = (|z| = 1),  $E_0 = (|z| = \varepsilon < 1)$ , and  $E_1 \subset (|z| = 1)$ ,  $\delta_D(E_0, E_1) = \infty$  if and only if cap  $E_1 = 0$ .

Combining (VI), (X), and (XI), we get

(X') If no point on  $E_1$ , except for a set of capacity zero, is accessible from D by a rectifiable curve, then  $\delta_D(E_0, E_1) = \infty$ .

(XII) Let D,  $E_0$ , and  $E_1$  be contained in the closed upper half-plane. Let  $\hat{D}$  be the region which is the union of D, the reflection of D across the real axis, and the part of  $\partial D$  on the real axis. Let  $\hat{E}_0$  and  $\hat{E}_1$  have analogous meanings. If  $\delta_{\hat{D}}(\hat{E}_0, \hat{E}_1)$  is expressed in terms of the extremal length of a family consisting of analytic curves<sup>2</sup>, then

$$\delta_{\hat{D}}(\hat{E}_{\scriptscriptstyle 0}, \ \hat{E}_{\scriptscriptstyle 1}) = rac{1}{2} \delta_{\scriptscriptstyle D}(E_{\scriptscriptstyle 0}, \ E_{\scriptscriptstyle 1}) \; .$$

*Proof.* Let  $\delta_{\hat{D}}(\hat{E}_0, \hat{E}_1) = \lambda\{\gamma\}$  where  $\gamma$  is an analytic curve and let  $\delta_D(E_0, E_1) = \lambda\{\gamma'\}$ . Using the notation in (VII), we see immediately that  $\{\gamma'\}$  and  $\{\bar{\gamma}'\}$  are contained in  $\{\gamma\}$ . Since  $\lambda\{\gamma'\} = \lambda\{\bar{\gamma}'\}$ , we find, on applying (V), that  $\lambda\{\gamma\} \leq \lambda\{\gamma'\}/2$ .

In order to prove the inequality in the opposite direction, we first remark that, to define  $\lambda\{\gamma\}$ , we may restrict  $\rho$  to a function symmetric about the real axis. For a curve  $\gamma: z = z(t)$  (0 < t < 1), let  $\gamma^*$  be

$$z = egin{cases} rac{z(t)}{z(t)} & ext{if } \Im z(t) \geqq 0 \ ext{if } \Im z(t) \leqq 0 \ ext{if } \Im z(t) \leqq 0 \ . \end{cases}$$

Evidently  $\int_{\gamma} \rho \, ds = \int_{\gamma^*} \rho \, ds$  for a symmetric  $\rho$ .

Since it is assumed that  $\gamma$  is an analytic curve,  $\gamma^*$  intersects the real axis at only a finite number of points  $z_1, z_2, \dots, z_k$ . Let  $\Delta_{\nu}$  be the punctured disk  $0 < |z - z_{\nu}| < r$  ( $\nu = 1, 2, \dots, k$ ), where r is taken so small that the  $\Delta_{\nu}$  are mutually disjoint. The extremal length of the family of curves in  $\Delta_{\nu}$  separating  $z_{\nu}$  from  $|z - z_{\nu}| = r$  is, by (IX), equal to infinite. Therefore, for arbitrary  $\varepsilon > 0$  and  $\rho$ , there exists a closed curve  $\gamma_{\nu} \subset \Delta_{\nu}$  encircling  $z_{\nu}$  and such that  $\int_{\gamma_{\nu}} \rho \, ds < \varepsilon/k$ . On replacing a part of  $\gamma^* \cap \Delta_{\nu}$  by a part of  $\gamma_{\nu}(\nu = 1, 2, \dots, k)$ , we obtain from  $\gamma^*$  a

<sup>&</sup>lt;sup>2</sup> This restriction is satisfied in our subsequent applications. It is perhaps superfluous. However, the author has not succeeded in furnishing the proof without it.

curve  $\gamma'$  belonging to the family  $\{\gamma'\}$  and such that  $\int_{\gamma'} \rho \, ds - \varepsilon < \int_{\gamma} \rho \, ds$ . Since  $\gamma$  and  $\varepsilon$  are arbitrary, we get  $\inf_{\gamma'} \int_{\gamma'} \rho \, ds \leq \inf_{\gamma} \int_{\gamma} \rho \, ds$  for every symmetric  $\rho$ . Since  $\iint_{\hat{p}} \rho^2 \, dx dy = 2 \iint_{D} \rho^2 \, dx dy$ , we conclude that  $\lambda\{\gamma'\} \leq 2\lambda\{\gamma\}$ .

(XIII) Let A be the annulus 1 < |z| < q or a region obtained by deleting a finite number of radial slits from this annulus. Let  $E_0 = (|z| = 1)$  and  $E_1 = (|z| = q)$ . Then  $\delta_A(E_0, E_1) = (\log q)/2\pi$ , and it is also equal to the extremal length of the family of all radials from  $E_0$  to  $E_1$  in A.

For the proof, the reader is referred to, e.g., Strebel [20].

#### 5. Teichmüller's extremal region.

11. Let D be a doubly connected region and let  $\{\gamma\}$  be the family of all closed rectifiable curves in D separating the boundary components. The quantity  $2\pi/\lambda\{\gamma\}$  is called the *modulus of* D and is denoted by mod D. As is well known, D can be mapped conformally onto an annulus 1 < |z| < q where  $\log q = \mod D$ .

For P > 0, the doubly connected region

$$D_P = \{[-1, 0] \cup [P, \infty]\}^c$$

where the brackets express a closed interval on the real axis, is called *Teichmüller's extremal region*. It has the following extremal property (Teichmüller [23]): Let D be a doubly connected region such that one component of  $D^c$  contains the point z = 0 as well as a point on |z| = 1 and the other contains the point  $z = \infty$  as well as a point on |z| = P. Then mod  $D \leq \text{mod } D_P$  and the equality holds if and only if D is a region obtained by rotating  $D_P$  about the origin.

12. It was proved by Teichmüller [23] that  $\Psi(P) = \exp \pmod{D_P}$  is a continuous function of P such that

(1) 
$$\lim_{P \to \infty} \frac{\Psi(P)}{P} = 16 .$$

It is easy to see that

(2) 
$$\log \Psi\left(\frac{1}{P}\right) = \frac{\pi^2}{\log \Psi(P)}$$

On combining (1) and (2), we have

(3) 
$$\log \Psi(P) \sim \frac{\pi^2}{\log \frac{1}{P}} \qquad \text{for } P \to 0 .$$

13. The following result will be used later:

LEMMA 1. Let

$$A = (1 < \left| \left. z \right| < q)$$
 , $arGamma = (\left| \left. z \right| = 1)$  ,

and

$$E_ heta=\{z;\; |\, z\,|=q,\; |rg z\,|\leq heta\}$$
 .

Then

$$\delta_{A}(\Gamma, E_{\theta}) \sim \frac{1}{\pi} \log \frac{1}{\theta} \qquad \qquad for \ \theta \to 0 \ .$$

*Proof.*  $\delta_A(\Gamma, E)$  is equal to the extremal length  $\lambda\{\gamma\}$  where  $\{\gamma\}$  is the family of all analytic curves in A connecting  $\Gamma$  with  $E_{\theta}$  (cf. Wolontis [25]). By (VIII) and (XIII), it is equal to  $\delta_{\varrho}(E'_{\theta}, E''_{\theta})/4$  where

$$egin{aligned} Q &= (1/q < |\, z\,| < q) \,\cap\, (\Im z > 0) \;, \ E_ heta' &= \{z;\; |\, z\,| = 1/q,\; 0 \leq rg z \leq heta\} \;, \end{aligned}$$

and

$$E_ heta^{\prime\prime}=\{z\,;\;|\,z\,|=q,\;0\leqrg\,z\leq heta\}$$
 .

Map Q onto the upper half-plane in such a way that 1/q and q correspond to 0 and 1, respectively. Let  $-\alpha$  and  $1 + \beta$  ( $\alpha$ ,  $\beta > 0$ ) be the images of  $e^{i\theta}/q$  and  $qe^{i\theta}$ , respectively. It is not difficult to see that

$$egin{cases} lpha \sim c rac{ heta^2}{q} & ext{for } heta 
ightarrow 0 \ eta \sim c' q heta^2 \end{cases}$$

where c and c' are constants independent of  $\theta$ . The region obtained by deleting the intervals  $[-\infty, -\alpha]$ , [0, 1], and  $[1 + \beta, \infty]$  from the extended plane is conformally equivalent to Teichmüller's extremal region with

$$P = \frac{\alpha\beta}{1+\alpha+\beta} \sim c''\theta^4 \qquad \qquad (\theta \to 0) \; .$$

Therefore, on applying (VIII) again, we get  $\delta_4(\Gamma, E_{\theta}) = \pi/(4 \log \Psi(P))$ and, by (3),

$$\delta_{\scriptscriptstyle A}(\Gamma, E_{\scriptscriptstyle heta}) \sim rac{1}{4\pi} \log rac{1}{P} \sim rac{1}{\pi} \log rac{1}{ heta} \qquad \qquad {
m for} \ heta 
ightarrow 0 \ .$$

#### 6. Koebe's distortion theorem.

14. The following is a slight modification of the original form of Koebe's well-known distortion theorem, which will be used frequently:

Let  $\varphi(z)$  be a function which is univalent and regular in  $|z| < \varepsilon_0$ with  $\varphi(0) = 0$  and  $\varphi'(0) = 1$ . Then there are numbers  $a(\varepsilon)$  and  $b(\varepsilon)$ which are independent of  $\varphi$  and have the properties that

$$a(\varepsilon) \leq |\varphi(z)| \leq b(\varepsilon)$$
 on  $|z| = \varepsilon < \varepsilon_0$ 

and

$$\lim_{{\varepsilon} o 0} rac{a({\varepsilon})}{{\varepsilon}} = \lim_{{\varepsilon} o 0} rac{b({\varepsilon})}{{\varepsilon}} = 1 \; .$$

In fact, we may take  $a(\varepsilon) = \varepsilon \varepsilon_0^2 / (\varepsilon + \varepsilon_0)^2$  and  $b(\varepsilon) = \varepsilon \varepsilon_0^2 / (\varepsilon - \varepsilon_0)^2$ .

#### 7. Quasi-conformal mappings.

15. In Chapters IV and V, we shall make use of quasi-conformal mappings to illustrate our results by examples. As in the type problem of Riemann surfaces, they are utilized to replace a given region by a simpler one.

A sense-preserving topological mapping w = T(z) of a region D onto another is said to be *quasi-conformal* if there exists a finite number Ksuch that mod  $T(Q) \leq K \mod Q$  for any quadrilateral  $Q \subset D$  (Ahlfors [1]). Here, mod Q of a quadrilateral Q means the extremal distance between two opposite sides of Q. The minimum value of K is called the maximal dilatation of T.

For the proofs of the following properties (I)-(III), the reader is referred to Ahlfors [1]:

(I) If T is quasi-conformal of maximal dilatation K, then  $mod T(A) \leq K \mod A$  for any doubly connected region  $A \subset D$ .

(II) Let E be a set which is contained in a finite number of analytic arcs. Let D be a region containing E, and let T be a topological mapping of D which is quasi-conformal in D - E. Then it is quasi-conformal in D with the same maximal dilatation.

(III) If T is a topological mapping of class  $C^1$ , then the maximal dilatation is given by  $K = \sup_{z \in D} (|T_z| + |T_{\bar{z}}|)/(|T_z| - |T_{\bar{z}}|)$  where  $T_z$  and  $T_{\bar{z}}$  are complex derivatives.

(IV) Let  $\{\gamma\}$  be a family of curves in *D*. Let *T* be a quasiconformal mapping of class  $C^1$  with the maximal dilatation *K*. Then

$$\lambda\{T(\gamma)\} \leq K\lambda\{\gamma\}$$
.

The proof is found in Hersch  $[9]^1$ .

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REMARK. Even if T is not of class  $C^1$  throughout D, this inequality holds under, e.g., the following restriction: T is of  $C^1$  in D except for a countable number of analytic arcs clustering nowhere in D, i.e., every point of D has a neighborhood intersecting at most a finite number of the arcs, and every  $\gamma$  is the union of a countable number of analytic arcs clustering nowhere in D. This generalization will be needed in No. 35.

#### III. CIRCULAR AND RADIAL SLIT DISKS

#### 8. Circular slit disks.

16. Let D be a plane region containing the point z = 0, and let  $\Gamma$  be a boundary component. The problem of minimizing M(f) in  $\mathfrak{F}_F$  for a region of finite connectivity has been discussed by Rengel [14]. To consider it for a region of arbitrary connectivity, in particular to show the uniqueness of the minimizing function, Sario [16] introduced the functional

$$J(f) = \int_{\partial D} \log |f| \cdot d \arg f \qquad (f \in \mathfrak{F}_{F}) .$$

Here the line integral means  $\lim_{n\to\infty} \int_{\partial D_n} \log |f| \cdot d \arg f$  for an exhaustion  $D_n \uparrow D$ ; the limiting value exists and is independent of the exhaustion. He proved the existence of a function  $g_0$  such that

$$M(g_0) = m(g_0)$$

and

$$2\pi \log M(g_0) = J(f) - D(\log |f| - \log |g_0|)$$

for all  $f \in \mathfrak{F}_r$ , where the second term means the Dirichlet integral over D. Evidently  $g_0$  is the unique function which minimizes J(f).

From these relations we can derive the following facts (Sario [16]): (I) There exists a function  $g_0 \in \mathfrak{F}_r$  such that  $M(g_0) = \min_{f \in \mathfrak{F}_r} M(f) = r(\Gamma)$ . If  $r(\Gamma) < \infty$ , the minimizing function is determined uniquely. It maps D onto a circular slit disk  $|w| < r(\Gamma)$ , where the area of slits, i.e.,  $g_0(\partial D - \Gamma)^*$ , vanishes,

(II) Let  $0 \in D_n \uparrow D$  be an exhaustion and let  $\Gamma_n$  be the component of  $\partial D_n$  separating  $D_n$  from  $\Gamma$ . Then

$$r(\Gamma) = \lim_{n \to \infty} r(\Gamma_n) \; .$$

If  $r(\Gamma) < \infty$ , the sequence  $\{g_n\}$  of the minimizing functions on  $D_n$  converges to  $g_0$  uniformly on each compact set in D.

17. By making use of this result, we can express  $r(\Gamma)$  in terms of extremal length. Let  $\varepsilon_0$  be a small number such that  $|z| \leq \varepsilon_0$  is contained in D. For  $0 < \varepsilon < \varepsilon_0$ , the numbers  $a(\varepsilon)$  and  $b(\varepsilon)$  were defined in No. 14. The following theorem has been proved, in essence, by Jurchescu [10]:

THEOREM 2. Let  $\{\gamma\}_{\varepsilon}$  be the family of all closed curves in  $D_{\varepsilon} = D - (|z| \leq \varepsilon)$  which separate  $\Gamma$  from the point z = 0. Then

$$\log rac{r(arGamma)}{b(arepsilon)} \leq rac{2\pi}{\lambda\{\gamma\}_arepsilon} \leq \log rac{r(arGamma)}{a(arepsilon)}$$

and, therefore,

$$\log r(\Gamma) = \lim_{{\mathfrak e} o 0} \left(\log {\mathfrak e} + rac{2\pi}{\lambda\{\gamma\}_{\mathfrak e}}
ight).$$

The result remains valid if the  $\gamma$  are restricted to analytic curves.

*Proof.* Consider the metric given by  $\rho = |g'_0| / |g_0|$ . Since the area of the circular slits is zero,  $\iint_{\rho_s} \rho^2 dx dy \leq 2\pi \log (r(\Gamma)/a(\varepsilon))$ . Therefore,

$$\lambda\{\gamma\}_{arepsilon} \geq (2\pi)^2/2\pi \log\left(r(arepsilon)/a(arepsilon)
ight)$$
 .

To prove the left inequality, take an exhaustion  $D_n \uparrow D$  and consider the family  $\{\gamma_n\}_{\varepsilon}$  of all closed curves  $\gamma_n$  in  $D_n - (|z| \leq \varepsilon)$  separating  $\Gamma_n$ from z = 0. Since  $D_n$  is of finite connectivity, the proposition (IX), No. 9, shows that  $2\pi/\lambda\{\gamma_n\}_{\varepsilon} \geq \log(r(\Gamma_n)/b(\varepsilon))$ . When we take the limit for  $n \to \infty$ , we have by virtue of the relation  $\lambda\{\gamma\}_{\varepsilon} \leq \lambda\{\gamma_n\}_{\varepsilon}$  that

$$2\pi/\lambda\{\gamma\}_{arepsilon} \geq \log\left(r(arepsilon)/b(arepsilon)
ight)$$
 .

18. The following criterion for weakness due to Grötzsch [7] will be useful in the next chapter:

THEOREM 3. In order that  $\Gamma$  be weak, it is necessary and sufficient that, for an arbitrary positive number l, there exist a finite number of doubly connected regions  $A_1, A_2, \dots A_k$  in  $D - (|z| \leq \varepsilon)$  satisfying the following conditions:

(i) The  $A_{\nu}$  are mutually disjoint,

(ii) A, separates  $\Gamma$  from  $(|z| \leq \varepsilon)$   $(\nu = 1, 2, \dots, k)$  and separates  $A_{\nu-1}$  from  $A_{\nu+1}$   $(\nu = 2, 3, \dots, k-1)$ ,

(iii)

$$\sum\limits_{
u=1}^k \operatorname{mod} A_
u \geq l$$
 .

*Proof.* Sufficiency: By (V), No. 9, and by Theorem 2,  $l \leq \sum_{\nu=1}^{k} \mod A_{\nu} \leq 2\pi/\lambda \{\gamma\}_{\varepsilon} \leq \log (r(\Gamma)/(\varepsilon))$ . Therefore,  $r(\Gamma) = \infty$  and, by Theorem 1,  $\Gamma$  is weak.

Necessity: Take an exhaustion  $(|z| \leq \varepsilon) \subset D_1 \subset D_2 \subset \cdots \subset D_n \subset \cdots \uparrow D$  and consider the extremal function  $g_n$  on  $D_n$ . By Koebe's distortion theorem, No. 14, the image of  $|z| = \varepsilon$  is contained in  $a(\varepsilon) \leq |w| \leq b(\varepsilon)$ , so that the set  $b(\varepsilon) < |w| < r(\Gamma_n)$  minus the circular slits is contained in the image of  $D_n - (|z| \leq \varepsilon)$ . From the annulus  $b(\varepsilon) < |w| < r(\Gamma_n)$ , delete all the concentric circles containing the circular slits. Then we get a finite number of concentric annuli  $A'_1, A'_2, \cdots, A'_k$  such that  $\sum_{\nu=1}^k \mod A'_{\nu} = \log (r(\Gamma_n)/b(\varepsilon))$ . Since  $r(\Gamma) = \lim_{n\to\infty} r(\Gamma_n) = \infty$ , we can take n so large that the right hand side is greater than the given l. The inverse images  $A_1, A_2, \cdots, A_k$  of  $A'_1, A'_2, \cdots, A'_k$  are what we desired.

REMARK. We see from this theorem that the weakness of  $\Gamma$  depends merely on the configuration of  $\partial D$  near *l*. Furthermore, by (I), No. 15, the weakness is invariant under quasi-conformal mappings.

#### 9. Radial slit disks for special regions.

19. Unlike the case of the functional M(f), the function maximizing m(f) does not exist in general; by slightly modifying the example given by Strebel [20], we get a region on which  $m(f) < R(\Gamma) = \sup_{f \in \mathcal{F}_{\Gamma}}$ m(f) for all  $f \in \mathcal{F}_{\Gamma}$ .

Under a restriction, however, we get a result analogous to that of No. 15. Let G be a region containing the point z = 0 and such that a component  $\Gamma$  of  $\partial G$  consists of a closed analytic curve which is isolated, i.e.,  $\overline{\partial G - \Gamma} \cap \Gamma = \phi$ . Let  $\mathfrak{A}_{\Gamma}$  be the subfamily of  $\mathfrak{F}_{\Gamma}$  consisting of all functions with M(f) = m(f). On this family Sario [17, 18] introduced the functional

$$I(f) = 2\pi \log m(f) - \int_{\partial D - I'} \log |f| \cdot d \arg f$$

and proved the existence of a function  $f_0 \in \mathfrak{A}_{\Gamma}$  such that

$$(4) 2\pi \log m(f_0) = I(f) + D(\log |f| - \log |f_0|)$$

for all  $f \in \mathfrak{A}_{\Gamma}$ . Evidently  $f_0$  is the unique maximizing function of I(f) in  $\mathfrak{A}_{\Gamma}$ .

We can derive from this relation the following facts (Sario [18]), which have been obtained by Rengel [14] for a region G of finite connectivity:

(I)  $R(\Gamma)$  is finite.  $f_0$  is the unique function maximizing m(f) in  $\mathfrak{A}_{\Gamma}$ . It maps G onto a radial slit disc  $|w| < R(\Gamma)$ , where the area of slits, i.e.,  $f_0$  ( $\partial G - \Gamma$ )\*, vanishes.

(II) Let  $\{G_n\}$  be a sequence of regions such that  $0 \in G_n \uparrow G$  and  $\partial G_n$  consists of  $\Gamma$  and a finite number of closed analytic curves. Then

$$R(\Gamma; G) = \lim_{n \to \infty} R(\Gamma_n; G_n)$$

and the sequence  $\{f_n\}$  of the maximizing functions on  $G_n$  converges to  $f_0$  uniformly on each compact set in  $G \cup I'$ .

20. Let  $\{\gamma\}_{\varepsilon}$  be the family of rectifiable curves which connect  $|z| = \varepsilon$  with I' in  $G - (|z| \le \varepsilon)$ . In a method similar to the proof of Theorem 2 we can obtain the following relations:

(5) 
$$\frac{\left(\log\frac{R(\Gamma)}{b(\varepsilon)}\right)^2}{\log\frac{R(\Gamma)}{a(\varepsilon)}} \leq 2\pi\lambda\{\gamma\}_{\varepsilon} \leq \log\frac{R(\Gamma)}{a(\varepsilon)},$$

(6) 
$$\log R(\Gamma) = \lim_{\varepsilon \to 0} (\log \varepsilon + 2\pi \lambda \{\gamma\}_{\varepsilon}) .$$

Here  $\{\gamma\}_{\varepsilon}$  can be replaced by the subfamily of analytic curves.

#### 10. Characterizations of $R(\Gamma)$ .

21. Let D be an arbitrary region containing the point z = 0. Let  $\{\Omega_n\}_{n=1}^{\infty}$  be a defining sequence of  $\Gamma$  such that  $0 \notin \Omega_n$   $(n = 1, 2, \dots)$ . Then  $G_n = D - \Omega_n$  is a region and its boundary component  $\Gamma_n - \partial G_n \cap \partial \Omega_n$  satisfies the condition of No. 19.

THEOREM 4.  $\{R(\Gamma_n, G_n)\}_{n=1}^{\infty}$  is an increasing sequence and  $R(\Gamma) = \lim_{n \to \infty} R(\Gamma_n; G_n)$ .

*Proof.*  $\{R(\Gamma_n; G_n)\}$  is an increasing sequence by (6).

For an arbitrary  $\varepsilon > 0$ , there exists an  $f(z) \in \mathfrak{F}_{\Gamma}$  such that  $m(f) > R(\Gamma) - \varepsilon/2$ . Then there exists an  $n_0$  such that the *m* of this f(z) on  $G_n$  (we denote it by  $m_n(f)$ ) has the property that  $m_n(f) > m(f) - \varepsilon/2$  whenever  $n \ge n_0$ . Therefore,  $R(\Gamma_n; G_n) \ge m_n(f) > R(\Gamma) - \varepsilon$  and  $\lim_{n\to\infty} R(\Gamma_n; G_n) \ge R(\Gamma)$ .

Next, let  $A_n$  be the doubly connected region bounded by  $\Gamma_n$  and  $\Gamma$ . Then  $\Gamma$  is an isolated boundary component of the region  $\tilde{G}_n = G_n \cup A_n \cup \Gamma_n$ .  $\Gamma$  is not necessarily a closed analytic curve, but from the result of No. 19 we can see the existence of the function  $\tilde{f}_n(z)$  in  $\mathfrak{F}_{\Gamma}$  of  $\tilde{G}_n$  such that  $m(\tilde{f}_n) = R(\Gamma; \tilde{G}_n)$ . Evidently  $\tilde{f}_n(z)$  belongs to  $\mathfrak{F}_{\Gamma}$  of D. By (6),  $R(\Gamma_n; G_n) \leq R(\Gamma; \tilde{G}_n).$  Consequently,  $R(\Gamma_n; G_n) \leq R(\Gamma; \tilde{G}_n) = m(\tilde{f}_n) \leq R(\Gamma)$  and  $\overline{\lim}_{n \to \infty} R(\Gamma; G_n) \leq R(\Gamma).$ 

This reasoning remains valid for the case where  $R(\Gamma) = \infty$ .

REMARK. Combining Theorem 4 with Theorem 1, we see that  $\lim_{n\to\infty} R(\Gamma_n; G_n) < \infty$  implies the strength of  $\Gamma$ . This fact was proved by Sario [17].

22. Let  $\{\gamma\}_{\varepsilon}$  be the family of curves  $\gamma : z = z(t)$  (0 < t < 1) in  $D - (|z| \leq \varepsilon)$  such that  $\bigcap_{\varepsilon>0} \overline{\{z(t); 0 < t < \varepsilon\}} \subset (|z| = \varepsilon)$  and  $\bigcap_{\varepsilon>0} \overline{\{z(t); 1 - \varepsilon < t < 1\}} \subset \Gamma$ . Let  $\{\gamma_n\}_{\varepsilon}$  be the corresponding family in  $G_n$ . Strebel [22] has proved the relation  $\lambda\{\gamma\}_{\varepsilon} = \lim_{n \to \infty} \lambda\{\gamma_n\}_{\varepsilon}$ . On combining this with (5), (6), and Theorem 4, we have

THEOREM 5.

$$rac{\left(\log rac{R(arGamma)}{b(arepsilon)}
ight)^2}{\log rac{R(arGamma)}{a(arepsilon)}} \leq 2\pi\lambda\{\gamma\}_{\,arepsilon} \leq \log rac{R(arGamma)}{a(arepsilon)} \ \log R(arGamma) = \lim_{arepsilon 
ightarrow 0} \left(\logarepsilon + 2\pi\lambda\{\gamma\}_{\,arepsilon}
ight) \,.$$

,

Here  $\gamma$  can be restricted to the curve which is the union of a countable number of analytic arcs which cluster nowhere in D (cf. No. 15, Remark).

REMARK. The exponential of the right hand side of the second relation was called "extremal Durchmesser" by Strebel [22]. On combining Theorem 5 with Theorem 1, or directly from (XI), No. 10, we see that  $\lambda\{\gamma\}_{\varepsilon} < \infty$  implies the strength of  $\Gamma$ . This result was generalized for open Riemann surfaces by Constantinescu [4].

23. For an exhaustion  $D_n \uparrow D$  in the ordinary sense, it has not been proved whether  $\lim_{n\to\infty} R(\Gamma_n; D_n)$  exists or not. We obtain merely the following

THEOREM 6. Let  $\Delta$  be a region such that  $0 \in \Delta$ ,  $\overline{\Delta} \subset D$ , and bounded by a finite number of closed analytic curves. Denote by  $\Gamma_{\Delta}$  the component of  $\partial \Delta$  which separates  $\Delta$  from  $\Gamma$ . Then

$$R(\Gamma) = \lim_{\overline{A} \to \overline{D}} R(\Gamma_{A}; A)$$
,

where the right hand side is a directed limit.

*Proof.* For  $\varepsilon > 0$ , there exists by Theorem 4 an n such that

 $R(\Gamma) - \varepsilon < R(\Gamma_n; G_n)$ . By Theorem 5  $R(\Gamma_n; G_n) \leq R(\Gamma_{\Delta}; \Delta)$  for any  $\Delta \supset \Gamma_n \cup \{0\}$ . Therefore,  $R(\Gamma) \leq \lim_{d \to D} R(\Gamma_{\Delta}; \Delta)$ . On the other hand, for  $\varepsilon > 0$  and a compact set  $K \subset D$ , take an  $n_0$  such that  $K \subset G_{n_0}$ . There exists, by (II), No. 19, a  $\Delta \subset G_{n_0}$  such that  $R(\Gamma_{\Delta}; \Lambda) \subset R(\Gamma_{n_0}; G_{n_0}) + \varepsilon$ , and, therefore,  $R(\Gamma_{\Delta}; \Delta) < R(\Gamma) + \varepsilon$ . Consequently  $\lim_{\Delta \to D} R(\Gamma_{\Delta}; \Delta) \leq R(\Gamma)$ .

REMARK. On combining Theorem 6 with Theorem 1 we see that  $\lim_{d\to D} R(\Gamma_d; \Delta) < \infty$  implies the strength of  $\Gamma$ . Sario [18] has shown that  $\Gamma$  is strong if  $\overline{\lim}_{d\to D} R(\Gamma_d; \Delta) < \infty$ .

#### 11. Unsolved problems.

24. As we pointed out in No. 7, the following problem has not been solved:

(1) Is  $R(\Gamma) < \infty$  necessary for the strength of  $\Gamma$ ?

Since the maximizing function of m(f) in  $\mathfrak{F}_F$ , or equivalently the minimizing function of diam  $\varphi(\Gamma)$  in  $\mathfrak{B}$ , does not exist in general, the case is different from that of a weak boundary component. The example of Strebel [20] stated in No. 19 is for  $R(\Gamma) > \infty$ , and it does not answer this question.

Let  $\{G_n\}_{n=1}^{\infty}$  be the sequence introduced in No. 21 and let  $f_n(z)$  be the extremal function on  $G_n$ . Since  $\{f_n\}_{n=1}^{\infty}$  is a normal family, we may assume that  $f_n$  converges to a univalent function f(z). One can imagine that, if  $R(\Gamma) = \infty$ , then  $f(\Gamma)$  would be a point. However, we can only prove that  $f(\Gamma)$  consists of the point  $w = \infty$  and possibly of radial segments emanating from it whose arguments form a set of measure zero (Strebel [22]). Such line segments appear in our Example 10, Nos. 39, 40. Nevertheless the boundary component of this example is unstable, because we can map it onto a region such that  $f(\Gamma)$  is a point and  $f(\partial D - \Gamma)$  consists of circles (No. 39).

We have several other unsolved problems as follows:

- (2) Is strength a boundary property?
- (3) Is  $\overline{\lim}_{A\to D} R(\Gamma_A; \Delta)$  equal to  $\lim_{A\to D} R(\Gamma_A; \Delta)$ ?
- (4) Is strength preserved under quasi-conformal mappings?

#### IV. CRITERIA FOR WEAKNESS AND INSTABILITY

In this chapter we consider Problem A presented in No. 4. Several sufficient conditions for weakness have been obtained by Savage [19]. Here we shall consider some special regions and attempt to get more concrete necessary or sufficient conditions.

#### 12. Boundary on the positive real axis.

25. Let  $\{a_n\}_{n=1}^{\infty}$  and  $\{b_n\}_{n=0}^{\infty}$  be sequences of positive numbers such that

$$1 < b_{n-1} \leq a_n < b_n$$
  $(n = 1, 2, \cdots)$ ,  
 $\lim_{n \to \infty} a_n = \infty$ .

Denote by [a, b] the closed interval on the real axis. Then

$$D = (|z| < \infty) - \bigcup_{n=1}^{\infty} [b_{n-1}, a_n]$$

is a region and  $\Gamma = \{\infty\}$  is its boundary component. The present section is devoted to discussing the following problem: When is  $\Gamma$  weak and when is it unstable?

26. THEOREM 7. (i) If

(7) 
$$\sum_{n=1}^{\infty} \left(\frac{b_n}{a_n}-1\right) = \infty ,$$

then  $\Gamma$  is weak. (ii) If

$$\lim_{n\to\infty}\frac{b_n}{a_n}=1^3$$

and

(9) 
$$\sum_{n=1}^{\infty} \frac{1}{\log \frac{1}{(b_n/a_n) - 1}} < \infty$$

then  $\Gamma$  is unstable.

*Proof.* (i) Consider the annuli  $A_n = (a_n < |z| < b_n)$   $(n = 1, 2, \dots)$ . Since  $\sum \mod A_n = \sum \log (b_n/a_n) = \infty$ , Theorem 3 shows that  $\Gamma$  is weak.

(ii) Let  $A_1, A_2, \dots, A_k$  be doubly connected regions satisfying the conditions (i) and (ii) of Theorem 3. For any  $A_{\nu}$ , there exists an *n* such that  $A_{\nu}$  passes through the open interval  $(a_n, b_n)$  and a component of  $A_{\nu}$  contains 0 as well as  $a_n$ . The region

$$D^{(n)} = \{[0, a_n] \cup [b_n, \infty]\}^{c}$$

is conformally equivalent to Teichmüller's extremal region with  $P = (b_n/a_n) - 1$ . By the extremal property of  $D^{(n)}$ , No. 11, the sum of the

<sup>&</sup>lt;sup>3</sup> If  $\overline{\lim_{n\to\infty} b_n/a_n} > 1$ , then  $\Gamma$  is weak by (i), Theorem 7

moduli of all such  $A_{\nu}$  does not exceed mod  $D^{(n)} = \log \Psi((b_n/a_n) - 1)$ .

(10) 
$$\sum_{\nu=1}^{k} \mod A_{\nu} \leq \sum_{n=1}^{\infty} \log \Psi\left(\frac{b_{n}}{a_{n}}-1\right).$$

By (3), No. 12,

$$\log \varPsi \Bigl( rac{b_n}{a_n} - 1 \Bigr) m \sim rac{\pi^2}{\log rac{1}{(b_n/a_n) - 1}} \; .$$

Therefore, the right hand side of (10) converges and, by Theorem 3,  $\Gamma$  is unstable.

EXAMPLE 1.  $a_n = 2n + 1$ ,  $b_n = 2n + 2$ . Evidently (7) diverges so that  $\Gamma$  is weak.

EXAMPLE 2.  $a_n = n^k$ ,  $b_n = n^k + 1$  (k > 1). Since (7) converges and (9) diverges, we cannot decide by Theorem 7 (see also No. 27).

EXAMPLE 3.  $a_n = e^n$ ,  $b_n = e^n + 1$ . Similarly, we cannot decide (see also No. 27).

EXAMPLE 4.  $a_n = e^{n^{\alpha}}$ ,  $b_n = e^{n^{\alpha}} + 1$  ( $\alpha > 1$ ).  $\Gamma$  is unstable by (ii).

27. We derive another criterion applicable to Examples 2 and 3. To this end, we first prove

LEMMA 2. For the doubly connected region

$$A_n = (1 < |z| < q) - [1 + h, q)$$

where h > 0 and q is fixed,

$$\operatorname{mod} A_h \sim rac{\pi^2}{2\log rac{1}{h}} \qquad \qquad for \ h \to 0 \ .$$

*Proof.* By (VIII), No. 9, mod  $A_h = 4\pi/\lambda\{\gamma\}$  where  $\{\gamma\}$  is the family of rectifiable curves in  $Q = A_h \cap (\Im z > 0)$  joining [-q, -1] with [1, 1+h]. Map Q conformally onto the upper half-plane in such a manner that -q, -1, 1 correspond to  $-\infty$ , -1, 0, respectively. The image P of 1 + h has the property that

$$P \sim ch^2$$
 for  $h \rightarrow 0$ 

where c is a constant independent of h. From (VIII), No. 9, we conclude that

$$\operatorname{mod} A_h = \log arPi(P) \sim rac{\pi^2}{\log rac{1}{P}} \sim rac{\pi^2}{2\log rac{1}{h}} \qquad (h o 0) \; .$$

THEOREM 8. Suppose that  $\lim_{n\to\infty} b_n/a_n = 1$ . If  $a_{n+1}/a_n$  is bounded away from 1, then  $\Gamma$  is weak if and only if

$$\sum_{n=1}^{\infty}rac{1}{\lograc{1}{(b_n/a_n)-1}}=\infty\;.$$

*Proof.* If the series converges,  $\Gamma$  is unstable by (ii) of Theorem 7. Conversely, suppose that the series diverges. The doubly connected region  $A_n = (a_n < |z| < a_{n+1}) - [b_n, a_{n+1})$  is conformally equivalent to the region  $A'_n = (1 < |z| < a_{n+1}/a_n) - [b_n/a_n, a_{n+1}/a_n)$ . By the assumption  $1 < 1 + \delta < a_{n+1}/a_n$  and, therefore,  $A''_n = (1 < |z| < 1 + \delta) - [b_n/a_n, 1 + \delta) \subset A'_n$ so that mod  $A''_n \leq \mod A_n$ . By Lemma 2

$$\mod A_n'' \sim \frac{\pi^2}{2\log \frac{1}{(b_n/a_n) - 1}} \qquad (n \to \infty) \ .$$

Consequently, the assumption implies that  $\sum \mod A_n = \infty$ , and we infer from Theorem 3 that  $\Gamma$  is weak.

EXAMPLE 3 (No. 26).  $a_n = e^n$ ,  $b_n = e^n + 1$ . By Theorem 8,  $\Gamma$  is weak.

EXAMPLE 2 (No. 26).  $a_n = n^k$ ,  $b_n = n^k + 1$  (k > 1). Since  $a_{n+1}/a_n = (n+1)^k/n^k$  is not bounded away from 1, the above theorem is not applicable. However, we can see as follows that  $\Gamma$  is weak. For simplicity, we consider the case k = 2; the general case can be treated in a similar fashion. Consider the region  $A_n = (a_{2^n} < |z| < a_{2^{n+1}}) - [b_{2^n}, a_{2^{n+1}})$ , which is conformally equivalent to  $(1 < |z| < 4) - [1 + 2^{-2n}, 4)$ . By Lemma 2, mod  $A_n \sim \pi^2/(4n \log 2)$  for  $n \leftarrow \infty$  and  $\sum \mod A_n = \infty$ . It follows from Theorem 3 that  $\Gamma$  is weak.

More generally, this result can be stated as follows:

THEOREM 8'. Suppose that  $\lim_{n\to\infty} b_n/a_n = 1$  and that there exists a subsequence  $\{n_i\} \subset \{n\}$  such that  $a_{n_{i+1}}/a_{n_i}$  is bounded away from 1 and

(12) 
$$\sum_{i=1}^{\infty} \frac{1}{\log \frac{1}{(b_{n_i}/a_{n_i}) - 1}} = \infty .$$

Then  $\Gamma$  is weak.

28. When  $a_{n+1}/a_n$  is not bounded away from 1, we may also apply the following criterion:

THEOREM 9. Suppose  $\lim_{n\to\infty} b_n/a_n = 1$  and  $\lim_{n\to\infty} a_{n+1}/a_n = 1$ . If

(13) 
$$\lim_{n \to \infty} \frac{\log (b_n/a_n)}{\log (a_{n+1}/a_n)}$$

exists, then

(14) 
$$\sum_{n=1}^{\infty} \frac{\log (a_{n+1}/a_n)}{\log \frac{1}{\left(\frac{b_n}{a_n}\right)^{1/\log(a_{n+1}/a_n)} - 1}} = \infty$$

implies that  $\Gamma$  is weak.

*Proof.* Consider the doubly connected region  $A'_n = (1 < |z| < q_n) - [1 + h_n, q_n)$   $(n = 1, 2, \dots)$ , where  $0 < h_n < q_n - 1$  and  $\lim_{n \to \infty} q_n = 1$ . Map the annulus  $1 < |z| < q_n$  onto 1 < |w| < e by the quasi-conformal mapping

$$w = T_n(z) = r^{1/\log q_n} e^{i\theta} \qquad (z = r e^{i\theta}) .$$

Its dilatation equals  $1/\log q_n$  provided *n* is so large that  $q_n < e$ . The image of  $A'_n$  is  $A''_n = (1 < |w| < e) - [(1 + h_n)^{1/\log q_n}, e)$ . From (I), No. 15, we have

(15)  $\log q_n \cdot \mod A_n'' \leq \mod A_n' .$ 

Now suppose that  $\lim_{n\to\infty} (\log (1+h_n))/\log q_n$  exists. If

$$\lim_{n
ightarrow\infty}(1+h_n)^{{\scriptscriptstyle 1/{\log q_n}}}>1$$
 ,

then mod  $A''_n$  and log  $\{1/[(1 + h_n)^{1/\log q_n} - 1]\}$  are bounded and bounded away from zero. Hence the divergence of

(16) 
$$\sum_{n=1}^{\infty} \frac{\log q_n}{\log \frac{1}{(1+h_n)^{1/\log q_n}-1}}$$

implies that  $\sum_{n=1}^{\infty} \log q_n \cdot \mod A_n'' = \infty$  and, by (14), that  $\sum_{n=1}^{\infty} \mod A_n' = \infty$ . If  $\lim_{n \to \infty} (1 + h_n)^{1/\log q_n} = 1$  we obtain by Lemma 2

$$\log A_n'' \sim rac{\pi^2}{2\log rac{1}{(1+h_n)^{1/\log q_n}-1}} \qquad (n o \infty) \; .$$

Therefore, the divergence of (16) again implies that of  $\sum_{n=1}^{\infty} \mod A'_n$ .

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In the given region, consider  $A_n = (a_n < |z| < a_{n+1}) - [b_n, a_{n+1})$ . It is conformally equivalent to the above  $A'_n$  for  $1 + h_n = b_n/a_n$  and  $q_n = a_{n+1}/a_n$ . Therefore,  $\sum_{n=1}^{\infty} \mod A_n = \infty$  and  $\Gamma$  is weak.

This criterion is applicable to Example 2.

EXAMPLE 5.  $a_n = n$ ,  $b_n = n + e^{-n}$ . In this case (7) converges and (9) diverges, so that we cannot decide by Theorem 7. Since  $a_{n+1}/a_n$  is not bounded away from zero, we cannot apply Theorem 8.<sup>4</sup> For every subsequence such that  $\lim_{i\to\infty} a_{n_{i+1}}/a_{n_i} > 1$ , (12) converges, and we cannot use Theorem 8'. (14) also converges and, therefore 9 is inapplicable. We have not been able to decide whether  $\Gamma$  is weak or unstable. In general, for  $a_n = n$ ,  $b_n = n + e^{-n^{\alpha}}$  ( $\alpha > 0$ ),  $\Gamma$  is unstable for  $\alpha > 1$  but it is unknown if it remains true for  $0 < \alpha \leq 1$ .

#### 13. A generalization.

29. Consider the case where the intervals are distributed on the whole real axis. We treat again the simplest case.

**PROBLEM.** Let  $\{a_n\}_{n=1}^{\infty}$  and  $\{b_n\}_{n=0}^{\infty}$  be the sequence of positive numbers such that

$$0 < b_{n-1} \leq a_n < b_n$$
 (n = 1, 2, ...)  
 $\lim_{n \to \infty} a_n = \infty$ .

Consider the region

$$\tilde{D} = (|z| < \infty) - \bigcup_{n=1}^{\infty} [b_{n-1}, a_n] - \bigcup_{n=1}^{\infty} [-a_n, -b_{n-1}].$$

Under what condition is  $\tilde{\Gamma} = \{\infty\}$  a weak boundary component of  $\tilde{D}$ ?

This problem can be reduced to the case which we discussed in the previous section. More precisely, let  $\Gamma = \{\infty\}$  be a boundary component of

$$D = (|z| < \infty) - \bigcup_{n=1}^{\infty} [b_{n-1}, a_n];$$

then we have

THEOREM 10.  $\tilde{\Gamma}$  is weak if and only if  $\Gamma$  weak.

*Proof.* If  $\Gamma$  is unstable, then, since  $\tilde{D} \subset D$ ,  $\tilde{\Gamma}$  is unstable by the definition.

 $<sup>{}^{4}</sup>$  The author is indebted to Professor R. Redheffer for the argument that follows in this example.

Suppose that  $\tilde{\Gamma}$  is unstable. Since weakness is a boundary property (No. 18), we may assume without loss of generality that  $b_0 > 1$ . By Theorem 2,  $\lambda\{\gamma\} > 0$  where  $\{\gamma\}$  is the family of curves in  $\tilde{D} - (|z| \leq 1)$  separating  $\tilde{\Gamma}$  from |z| = 1. Let  $\{\gamma_1\}$  be the family consisting of curves in the upper half of  $\tilde{D} - (|z| \leq 1)$  connecting  $(1, \infty) - \bigcup_{n=1}^{\infty} [b_{n-1}, a_n]$  with  $(-\infty, -1) - \bigcup_{n=1}^{\infty} [-a_n, -b_{n-1}]$ . Let  $\{\gamma'_1\}$  be its subfamily consisting of curves whose end points are symmetric with respect to the origin. Then, by (VIII), No. 9,

$$\lambda\{\gamma_1'\} \geq \lambda(\gamma_1\} = \lambda\{\gamma\}/2 > 0$$
.

Consider the region  $\Delta = (|\zeta| < \infty) - \bigcup_{n=1}^{\infty} [b_{n=1}^2, a_n^2]$  and its boundary component  $(\zeta = \infty)$ . Let  $\{\gamma^*\}$  be the family of curves in  $\Delta - (|\zeta| \le 1)$ separating  $\infty$  from  $|\zeta| \le 1$ . By making use of the mapping  $\zeta = z^2$ , we can immediately see that  $\lambda\{\gamma^*\} = \lambda\{\gamma'_1\}$  and, therefore,  $(\zeta = \infty)$  is an unstable boundary component of  $\Delta$ .

The mapping

$$\zeta = T(z) = r^2 e^{i heta}$$
  $(z = r e^{i heta})$ 

is quasi-conformal and maps D onto  $\Delta$ ,  $z = \infty$  onto  $\zeta = \infty$ . Since weakness is preserved under quasi-conformal mappings (No. 18),  $\Gamma$  is unstable.

REMARK. Using the same method, we can also prove Theorem 10 when the intervals are distributed on k half-lines  $re^{i2\pi\nu/k}$   $(0 \leq r < \infty)$ ,  $\nu = 0, 1, \dots, k$ .

#### 14. Criteria for arbitrary regions.

30. Let D be a plane region such that  $\Gamma = \{\infty\}$  is a boundary component. If D is contained in another region discussed in preceding sections and  $\{\infty\}$  is its unstable boundary component, then, by the definition of instability,  $\Gamma$  is an unstable boundary component of D.

If such a condition is not satisfied, the following criterion may be applicable. It is a simple generalization of (ii) of Theorem 7, and we omit the proof.

THEOREM 11. Let D be a region such that  $0 \in D$  and  $\Gamma = \{\infty\}$  is a boundary component.  $\Gamma$  is unstable if there exists a sequence  $\{C_n\}_{n=1}^{\infty}$ of components of  $\partial D - \Gamma$  satisfying the following conditions:

(i) For a doubly connected region  $A \subset D$  separating 0 from  $\infty$ , there exists a number n such that A separates  $C_n$  from  $C_{n+1}$ .

(ii) For every n, there exist points  $a_n \in C_n$  and  $b_n \in C_{n+1}$  such that  $|a_n - b_n| = \text{dist}(C_n, C_{n+1}),$ 

$$\lim_{n\to\infty}\frac{b_n}{a_n}=1$$

and

$$\sum_{n=1}^{\infty} rac{1}{\log rac{1}{|(b_n/a_n)-1\,|}} < \infty \; .$$

31. This criterion is not a necessary condition for instability. This is apparent from the following

EXAMPLE 6. Consider the closed sets

$$egin{aligned} E_n &= \{z;\; n^2+1 \leq |\, z\,| \leq (n+1)^2,\; |rg z\,| \leq \pi-arepsilon_n\}\;, \ 0 &< arepsilon_n < \pi\;, \ n=1,\; 2,\; \cdots\;. \end{aligned}$$

If  $\varepsilon_n(n = 1, 2, \dots)$  are taken sufficiently small, then  $\Gamma = \{\infty\}$  is an unstable boundary component of  $D = (|z| < \infty) - \bigcup_{n=1}^{\infty} E_n$ . It does not satisfy the assumption of Theorem 11.

*Proof.* For an arbitrary subsequence  $\{C_n\}_{n=1}^{\infty}$  of  $\{E_n\}_{n=1}^{\infty}$  and every choice of  $a_n$  and  $b_n$ ,

$$\sum_{n=1}^{\infty} rac{1}{\log rac{1}{|(b_n/a_n)-1|}} \geq rac{1}{2} \sum_{n=1}^{\infty} rac{1}{\log n} = \infty \; .$$

Therefore, the assumption of Theorem 11 is not satisfied.

In order to show the instability of  $\Gamma$ , consider the following cross cuts of D:

$$egin{aligned} &lpha_n\colon \Re z=0,\; (n+1)^2 \leq \Im z \leq (n+1)^2+1 \;, \ η_n\colon |z|=(n+1)^2,\; |rg z|\leq \pi-arepsilon_n\;, \ η_n'\colon |z|=(n+1)^2+1,\; |rg z|\leq \pi-arepsilon_{n+1}\;, \ &(n=1,\;2,\;\cdots)\;. \end{aligned}$$

Let  $\delta_n$  be the extremal distance between  $\alpha_n$  and  $\beta_n \cup \beta'_n$  with respect to the region  $(n+1)^2 < |z| < (n+1)^2 + 1$ . It is possible to take  $\varepsilon_n$  and  $\varepsilon_{n+1}$  so small that  $\delta_n > n^2$   $(n = 1, 2, \dots)$ . Let  $\{\gamma\}_n$  be the family consisting of closed curves in  $D - (|z| \leq 1)$  separating  $\Gamma$  from  $|z| \leq 1$  and passing through  $\alpha_n$ . Let  $\{\gamma_1\}_n \subset \{\gamma\}_n$  be the subfamily of curves contained in  $(n+1)^2 < |z| < (n+1)^2 + 1$  and put  $\{\gamma_2\}_n = \{\gamma\}_n - \{\gamma_1\}_n$ . By (VI), No. 9,

$$rac{1}{\lambda\{\gamma\}_n} \leqq rac{1}{\lambda\{\gamma_1\}_n} + rac{1}{\lambda\{\gamma_2\}_n} \, .$$

Since  $n^2 < \delta_n \leq \lambda \{\gamma_2\}_n$  and  $2\pi/\lambda \{\gamma_1\}_n = \log (1 + 1/(n+1)^2)$ , we get

$$rac{1}{\lambda\{\gamma\}_n} \leq rac{1}{2\pi} \mathrm{log}\Big(1 + rac{1}{(n+1)^2}\Big) + rac{1}{n^2}$$

if *n* is sufficiently large, and, therefore,  $\sum_{n=1}^{\infty} 1/\lambda \{\gamma\}_n$  converges. To apply Theorem 3, take  $A_1, A_2, \dots, A_k$ . Then evidently

$$\sum\limits_{
u=1}^k ext{mod} A_
u \leq \sum\limits_{n=1}^\infty 1/\lambda \{\gamma\}_n < \infty$$

and we conclude that  $\Gamma$  is unstable.

32. Finally, for the sake of completeness, we shall present a wellknown sufficient condition for weakness. For a bounded doubly connected region A, we have that mod  $A \ge \log (1 + (\pi d/4l))$ . Here d is the distance between the components of  $\partial A$  and l is the infimum of the lengths of closed curves which separate the components of  $\partial A$  and whose distance from  $\partial A$  is  $\ge d/2$  (Sario [15], Meschkowsky [11]). Therefore we get immediately from Theorem 3 the following result (Meschkowsky [11], Savage [19]):

THEOREM 12. Let D be a plane region containing the point z = 0and such that  $\Gamma = \{\infty\}$  is a boundary component. Suppose there exists a sequence of doubly connected regions  $A_n \subset D - (|z| \leq \varepsilon)$   $(n = 1, 2, \cdots)$ with the following properties:

(i) The  $A_n$  are mutually disjoint,

(ii)  $A_n$  separates  $\Gamma$  from  $|z| \leq \varepsilon$   $(n = 1, 2, \cdots)$  and also separates  $A_{n-1}$  from  $A_{n+1}$   $(n = 2, 3, \cdots)$ , (iii)

$$\sum_{n=1}^{\infty} d_n / l_n = \infty$$
 .

Then  $\Gamma$  is a weak boundary component of D.

On applying this theorem, we obtain

EXAMPLE 7 (Denneberg [5]). Let D be a region such that  $\Gamma = \{\infty\}$  is the only accumulating boundary component. If there exist numbers  $\alpha > 0$  and  $\beta < \infty$  such that the distance between every pair components of  $\partial D - \Gamma$  is  $\geq \alpha$  and the diameter of every component of  $\partial D - \Gamma$  is  $\leq \beta$ , then  $\Gamma$  is weak.

EXAMPLE 8 (Cf. Wagner [24]). Let (3) be the group of transforma-

tions  $z' = z + m\omega + n\omega'$   $(m, n = 0, \pm 1, \pm 2, \cdots)$  and let  $E_0$  be a closed set contained in the interior of the fundamental parallelogram of  $\mathfrak{G}$ . Then  $\Gamma = \{\infty\}$  is a weak boundary component of the region D = $(|z| < \infty) - \bigcup_{T \in \mathfrak{G}} T(E_0).$ 

#### V. CRITERIA FOR STRENGTH AND INSTABILITY

In this chapter we shall discuss Problem B, No. 4. For simplicity we mean by a *boundary continuum* a boundary component of a region which is a continuum containing more than one point.

#### 15. Strong boundary components.

33. If  $\Gamma$  is an isolated boundary continuum of D, i.e., if there exists an open set U such that  $\Gamma \subset U$  and  $U \cap (\partial D - \Gamma) = \phi$ , then  $\Gamma$  is evidently strong. More generally,

THEOREM 13. A boundary continuum  $\Gamma$  of a region D is strong if there exists a disk U such that  $U \cap \Gamma \neq \phi$  and  $U \cap (\partial D - \Gamma) = \phi$ .

This theorem is also almost trivial. To prove it rigorously, we shall use the following

LEMMA 3. Let  $\Delta$  be a simply connected region which is a proper subset of  $(|\zeta| < 1)$ . Map  $\Delta$  conformally onto the upper half-plane. Then the image E of  $\overline{\partial \Delta} \cap (|\zeta| < 1)$  is a set which does not belong to the class  $N_D$ .<sup>5)</sup>

The proof is easy and we omit it. It may appear plausible that E contains an interval. That this is however not so has been remarked by Koebe (see Radó [13], p. 2, Bemerkung). We can even see that the condition of Lemma 3 is necessary and sufficient.

Proof of Theorem 13. Map a component  $\Delta$  of  $U \cap D$  onto the upper half-plane by  $\varphi$  and let E be the image of  $\Gamma \cap \overline{\Delta}$ . By Lemma 3  $E \notin N_{\rho}$ and, therefore, E is of positive measure (Ahlfors and Beurling [2]). If  $\Gamma$  is unstable, a univalent function f(z) transforms  $\Gamma$  to a point. Therefore, the univalent function  $f \circ \varphi$  on the upper half-plane takes a constant boundary value on E, contrary to the well-known theorem of F. and M. Riesz.

REMARK 1. In this case,  $R(\Gamma) < \infty$  and we can also use Theorem 1 to conclude that  $\Gamma$  is strong. To prove the finiteness of  $R(\Gamma)$ , we apply Theorem 5. Take a component V of  $U \cap D$ . It is easy to find

<sup>&</sup>lt;sup>5</sup> A compact set E is said to belong to the class  $N_D$  if  $E^{\sigma}$  does not admit a function with a finite Dirichlet integral.

a simply connected region  $\varDelta$  such that  $\varDelta \subset D$ ,  $V \subset \varDelta$  and  $(|z| \leq \varepsilon) \subset \varDelta$ . Since the set  $E \notin N_D$  is of positive capacity (Ahlfors and Beurling [2]),  $\lambda \{\gamma\}_{\varepsilon} < \infty$  by Lemma 3 and (XI), No. 10.

REMARK 2. Because of this theorem, we may consider from now on only the case where every point of  $\Gamma$  is an accumulation point of  $\partial D - \Gamma$ .

34. We shall now give two other kinds of examples of strong boundary components which do not satisfy the condition of Theorem 13.

EXAMPLE 7. Let D be a radial slit disc |z| < a in the sense of No. 3 and let  $\Gamma = (|z| = a)$ . If the arguments of the slits form a set of measure  $\mu$  less than  $2\pi$ , then  $R(\Gamma) < \infty$  and, consequently,  $\Gamma$  is strong.

In fact, we can easily obtain the estimate

$$\lambda \{\gamma\}_{arepsilon} \leq \{\log \left(a | arepsilon 
ight)\} / (2\pi - \mu) < \infty$$
 .

35. EXAMPLE 8. Let  $\{c_n\}_{n=1}^{\infty}$  be a sequence of numbers such that  $0 < c_n \leq \pi/2^{n+1}$ . Put  $r_n = 1 - 1/(n+1)$  and let

$$s_n^k = \left\{z; \; | \; z \, | = r_n, \; rac{\pi(k-1)}{2^n} + c_n \leq rg \; z \leq rac{\pi k}{2^n} - c_n 
ight\} \ (k = 1, \; 2, \; \cdots, \; 2^{n+1}; \; n = 1, \; 2, \; \cdots) \; .$$

 $\Gamma = (|z| = 1)$  is a boundary continuum of the circular slit disc  $D = (|z| < 1) - \bigcup_{n,k} s_n^k$ . If  $\underline{\lim}_{n\to\infty} c_n 2^n > 0$ , then  $R(\Gamma) < \infty$  and therefore,  $\Gamma$  is strong.

*Proof.* Clearly it is sufficient to give the proof for  $c_n 2^n = \delta > 0$ . For simplicity, we choose  $\delta = \pi/4$ , i.e.,  $c_n = \pi/2^{n+2}$ . In order to show the finiteness of  $R(\Gamma)$ , we map D quasi-conformally onto the radial slit disc  $\Delta = (|w| < 1) - \bigcup_{n,k} \sigma_n^k$ , where

$$\sigma_n^k = \left\{w; \ r_n e^{-c_n/2} \leq |w| \leq r_n e^{c_n/2} \text{, arg } w = rac{\pi(2k-1)}{2^{n+1}}
ight\}$$
  
 $(k = 1, 2, \cdots, 2^{n+1}; n = 1, 2, \cdots)$ .

Consider the doubly connected regions

$$egin{aligned} A_z &= \{z\,;\, -1 < \Re z < 1, \ -rac{1}{2} < \Im z < rac{1}{2} \} \ &- \{z\,;\, -rac{1}{2} \leq \Re z \leq rac{1}{2}, \ \Im z = 0 \} \end{aligned}$$

and

$$egin{aligned} A_w &= \{w\,;\,\,-1 < \Re w < 1,\,\,-rac{1}{2} < \Im w < rac{1}{2} \} \ &- \{w\,;\,\,\Re w = 0,\,\,-rac{1}{2} \leq \Im w \leq rac{1}{2} \} \end{aligned}$$

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It is not difficult to map  $A_s$  quasi-conformally onto  $A_w$  by a function which is of class  $C^1$  in  $A_s$  and is the identity mapping on the outer periphery of  $A_s$ .

In our region D, consider the quadrilaterals

$$egin{aligned} Q_n^k &= \left\{z; \; r_n e^{-c_n} < |z| < r_n e^{c_n}, \; rac{\pi (k-1)}{2^n} < rg z < rac{\pi k}{2^n}
ight\} \ &(k=1,\; 2,\; \cdots,\; 2^{n+1}; \; n=1,\; 2,\; \cdots) \;. \end{aligned}$$

They are mutually disjoint and all  $Q_n^k - s_n^k$  and  $Q_n^k - \sigma_n^k$  are conformally equivalent to  $A_s$  and  $A_w$ , respectively. Therefore, we can contruct the mapping  $w = T_n^k(z)$  of  $Q_n^k - s_n^k$  onto  $Q_n^k - \sigma_n^k$  which is the identity mapping on  $\partial Q_n^k$  and whose maximal dilatation K depends neither on k nor on n. Then

$$w = T(z) = egin{cases} T_n^k(z) & ext{in } Q_n^k - s_n^k \ (k = 1, \ 2, \ \cdots, \ 2^{n+1}; \ n = 1, \ 2, \ \cdots) \ & ext{in } D - igcup_{n,k} \ Q_n^k \end{cases}$$

is a quasi-conformal mapping of D onto  $\Delta$  such that  $T(T) = (|w| = 1) = \Gamma'$ .

Since  $\varDelta$  belongs to the case of Example 7,  $R(\Gamma'; \varDelta) < \infty$ , and, by Theorem 5,  $\lambda \{\gamma'\}_{\varepsilon} < \infty$ . Here  $\gamma'$  is a rectifiable curve in  $\varDelta - (|w| \leq \varepsilon)$ connecting  $|w| = \varepsilon$  with  $\Gamma'$ . It is furthermore assumed that  $\gamma'$  is a union of a countable number of analytic arcs clustering nowhere in  $\varDelta$ (cf. Remark, No. 15). On D, we have the corresponding family  $\{\gamma\}_{\varepsilon}$ and, by (IV), No. 15,  $\lambda \{\gamma\}_{\varepsilon} \leq K\lambda \{\gamma'\}_{\varepsilon} < \infty$ . Therefore, by Theorem 5,  $R(\Gamma) < \infty$  and  $\Gamma$  is strong.

35. We continue to consider Example 8. If  $c_n$  decreases sufficiently fast, then  $R(\Gamma) = \infty$ . In fact, let  $\{\gamma_n\}_{\varepsilon}$  be the subfamily of  $\{\gamma\}_{\varepsilon}$  which consists of curves passing through the arc  $\{z; z = r_n, | \arg z | \leq c_n\}$ . By (VI), No. 9,  $\lambda\{\gamma\}_{\varepsilon} \geq \lambda\{\gamma_n\}_{\varepsilon}/2^{n+1}$  and, By Lemma 1, No. 13,

$$\lambda\{\gamma_n\}_{\varepsilon} \sim \frac{1}{2\pi} \log \frac{1}{c_n} \qquad (n \to \infty) \;.$$

For this reason  $R(I') = \infty$  if, for instance,  $c_n = \exp(-2^{2n})$ . However, it is unknown in this case whether I' is strong or unstable.

#### 16. Unstable boundary continua.

37. As in No. 21, let  $\{\Omega_n\}_{n=1}^{\infty}$  be a defining sequence of  $\Gamma$  and let  $0 \in G_n = D - \Omega_n \uparrow D$ . Consider the function  $w = f_n(z)$  maximizing the functional m(f) in  $\mathfrak{F}_{\Gamma_n}$  on  $G_n$  (No. 19). We may assume that  $\{f_n(z)\}_{n=1}^{\infty}$  converges to a univalent function w = f(z).

In the following case,  $R(\Gamma) = \infty$  implies that  $f(\Gamma) = \{\infty\}$ :

THEOREM 14. Let D be a region containing z = 0 and let  $\Gamma$  be a boundary continum. Suppose that

(i) D is symmetric with respect to the lines

 $l_{\nu}: r e^{\nu \pi/2k} \ (-\infty < r < \infty), \ \nu = 1, \ 2, \ \cdots, \ 2^{k}$ 

for some integer  $k \geq 0$ , and

(ii) every component of  $\partial D - \Gamma$  intersects at least one  $l_{\nu}$ . Then  $\Gamma$  is strong if and only if  $R(\Gamma) < \infty$ .

*Proof.* We may assume that each  $G_n$  is symmetric with respect to all the  $l_{\nu}$ . By the uniqueness of  $f_n(z)$  (No. 19), we can immediately see that  $f_n(z)$  and, a fortiori, f(D) are symmetric about these lines. As has been shown by Strebel [22],  $f(\partial D - \Gamma)$  consists of radial segments. By the assumption  $f(\partial D - \Gamma)$  is contained in  $\bigcup_{\nu=1}^{2^k} l_{\nu}$ .

Now assume that  $f(\Gamma) \neq \{\infty\}$ . If  $f(\Gamma) \subset \bigcup_{\nu=1}^{2^k} l_{\nu} \cup \{\infty\}$ , then  $f(\Gamma) \cap l_{\nu}$  is a line segment which does not meet  $f(\partial D - \Gamma)$ , so that  $R(\Gamma) < \infty$  by Remark 1, No. 33. If  $f(\Gamma) \not\subset \bigcup_{\nu=1}^{2^k} l_{\nu} \cup \{\infty\}$  there exists a sector S bounded by two neighboring  $l_{\nu}$ 's such that  $S \cap f(\Gamma)$  does not intersect  $f(\partial D - \Gamma)$  and we have  $R(\Gamma) < \infty$ . Consequently, the strength of  $\Gamma$  implies that  $R(\Gamma) < \infty$ .

38. We can find many examples of unstable boundary continua belonging to this category, e.g., as follows:

EXAMPLE 9. Consider the region

$$D=(|z|\leq \infty)-\Gamma-igcup_{k=1}^{\infty}(s_k^+\cup s_k^-\cup {}_{\sigma k}^+\cup {}_{\sigma k}^-)$$
 ,

where

$$egin{aligned} &arGamma = \{z;\,-1 \leq \Re z \leq 1,\ \Im z = 0\} \ , \ &s_k^+ = \left\{z;\ 1 + rac{1}{2k+1} \leq \Re z \leq 1 + rac{1}{2k},\ \Im z = 0
ight\}, \ &s_k^- = \left\{z;\ -1 - rac{1}{2k} \leq \Re z \leq -1 - rac{1}{2k+1},\ \Im z = 0
ight\}, \ &\sigma_k^\pm = \left\{z;\ -1 \leq \Re z \leq 1,\ \Im z = rac{\pm 1}{k}
ight\}. \end{aligned}$$

Since every point on  $\Gamma$ , except  $\pm 1$ , is inaccessible,  $R(\Gamma) = \infty$  by (X'), No. 10. From this and from Theorem 14, we infer that  $\Gamma$  is an unstable boundary continuum of D.

39. Meschkowsky [11] has proved that a region satisfying certain

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metric conditions can be mapped conformally onto a region bounded by circles or points in such a way that the image of a preassigned boundary continuum is a point. This case is also an example of an unstable boundary continuum.

40. The following example belongs to this category but does not necessarily satisfy Meschkowsky's conditions. Moreover, the function  $f(z) = \lim_{n\to\infty} f_n(z)$  of No. 37 does not transform  $\Gamma$  to a point.

EXAMPLE 10. Let  $I = \{z; -1 \leq \Re z \leq 1, \Im z = 0\}$  and let

$$I'=\{z;\; \Re z=0,\; -1\leq \Im z\leq 1\}$$
 .

Choose a sequence  $\{c_k; k = \pm 1, \pm 2, \cdots\}$  such that

$$c_{-k}=-c_k, \ c_1>c_2>\cdots \downarrow 0$$
 ,

and let

$s^{\scriptscriptstyle 0}_{\scriptscriptstyle k}: z=re^{ic_k}$	$(1/\mid k\mid !\leq r\leq 1)$ ,
$s_k^{\pi/2} \colon z = r e^{i(c_k + \pi/2)}$	$\left( 1/\mid k\mid !\leq r\leq 1 ight)$ ,
$s_k^{\pi}$ : $z = r e^{i(c_k + \pi)}$	$(1/\mid k\mid !\leq r\leq 1)$ ,
$s_k^{-\pi/2}: z = r e^{i(c_k - \pi/2)}$	$(1/ k !\leq r\leq 1)$ ,

where  $k = \pm 1, \pm 2, \cdots$ . Then  $I' = I \cup I'$  is an unstable boundary continuum of the region

$$D=(|\,z\,|\leq\infty)-arGamma- igcap_{k\neq0}^{\infty}(s_k^{\scriptscriptstyle 0}\,\cup\,s_k^{\pi/2}\,\cup\,s_k^{\scriptscriptstyle \pi}\,\cup\,s_k^{-\pi/2})\ .$$

In fact, D can be mapped onto a region such that  $f(\Gamma)$  is a point and every component of  $f(\partial D - \Gamma)$  is a circle. For the proof, map the region

$$(\mid z \mid) \leq \infty) - igcap_{\substack{k=-n \ k \neq 0}}^{\infty} (s_k^{\scriptscriptstyle 0} \ \cup \ s_k^{\pi/2} \ \cup \ s_k^{\scriptscriptstyle \pi} \ \cup \ s_k^{-\pi/2})$$

conformally onto a region bounded by 8n circles; we may require that the mapping function  $w = f^{(n)}(z)$  has the expansion  $z + b_n/z + \cdots$  near  $z = \infty$   $(n = 1, 2, \cdots)$ . The existence and the uniquess of such a mapping are well known. A suitable subsequence of  $\{f^{(n)}(z)\}_{n=1}^{\infty}$  converges to a univalent function w = f(z). We can easily prove that every component of  $f(\partial D - \Gamma)$  is a circle (see, e.g., Meschkowsky [11]). In what follows we shall show that  $f(\Gamma) = \{0\}$ .

First we remark that  $R(\Gamma) = \infty$ , because every point on  $\Gamma$ , except 0,  $\pm 1$ ,  $\pm i$ , is inaccessible (cf. (X'), No. 10). Second, D and, therefore,

f(D) are symmetric with respect to the following four lines:  $l_0 =$  (real axis),  $l_{\pi/4} = (\Re z = \Im z)$ ,  $l_{\pi/2} =$  (imaginary axis), and  $l_{-\pi/4} = (\Re z = -\Im z)$ .

The component  $f(\Gamma)^*$  of  $f(D)^\circ$  corresponding to  $f(\Gamma)$  is a compact connected set which contains the point w = 0 and is symmetric about these four lines.

The component  $f(s_k^{\beta})^*$  of  $D^{\circ}$   $(\beta = 0, \pm \pi/2, \pi; k = \pm 1, \pm 2, \cdots)$  is a disk, which we denote by

$$arDelta_k^eta\colon |w-a_k^eta| \leq 
ho_k$$
 .

The radius  $\rho_k$  does not depend on  $\beta$  because of the symmetry. Furthermore,

(17) 
$$\lim_{k\to\infty}\rho_k=0;$$

in fact, all the  $\Delta_k^{\beta}$  cluster to  $f(\Gamma)^*$ , so that the sum  $8\pi \sum_{k=1}^{\infty} \rho_k^2$  of their areas converges.

Consider a quadrilateral

$$Q_k = \left\{ z \, ; \, rac{1}{k\,!} < |\, z\,| < rac{1}{(k-1)\,!}, \,\, c_k < rg\, z < rac{\pi}{2} - c_k 
ight\} \, ,$$

which connects  $s_k^0$  with  $s_{-k}^{\pi/2}$   $(k = 1, 2, \dots)$ . The extremal distance between  $s_k^0$  and  $s_{-k}^{\pi/2}$  with respect to D does not exceed

$$\mathrm{mod}\; Q_k = rac{(\pi/2)-2c_k}{\log k}\;.$$

Let  $L_k$  be the infimum of lengths of curves in f(D) connecting  $\mathcal{A}_k^0$  with  $\mathcal{A}_{-k}^{\pi/2}$ . Then

(18) 
$$\frac{L_k^2}{\mu U} \leq \frac{(\pi/2) - 2c_k}{\log k} \to 0 \qquad (k \to \infty)$$

where  $\mu U$  expresses the area of a bounded open set U containing  $f(\Gamma)^*$ . For this reason and by virtue of (17) and (18), we have

$$\lim_{k o\infty} |\, a_k^{\scriptscriptstyle 0} - a_{-k}^{\pi/2} \,| \leq \lim_{k o\infty} \left( L_k + 2 
ho_k 
ight) = 0 \;.$$

It follows, by symmetry, that  $\{a_k^n\}_{k=1}^{\infty}$  and  $\{a_{-k}^{\pi/2}\}_{k=1}^{\infty}$  cluster to  $l_{\pi/4}$  in the first quadrant. From this and again from the symmetry, we see that the set H of all accumulation points of  $a_k^\beta$  ( $\beta = 0, \pm \pi/2, \pi; k = \pm 1, \pm 2, \cdots$ ) is contained in  $l_{\pi/4} \cup l_{-\pi/4}$ . Evidently it is symmetric about  $l_0$  and  $l_{\pi/2}$ , and  $H \subset f(\Gamma)^*$ .

Next we shall show that  $H = \{0\}$ . Suppose that H contains a point  $w_0 = pe^{i\pi/4}$  (p > 0). Then there must exist a point  $qe^{i\pi/4} \in H$   $(0 \le q < p)$ . For otherwise H would consist of four points:  $H = \{pe^{i\theta}; \theta = \pm \pi/4, \pm 3\pi/4\}$ .

Then all but a finite number of components of  $f(\partial D - \Gamma)$  in the first quadrant would be contained in  $|w - pe^{i\pi/4}| < p/4$ . Since  $w_0$  and 0 are contained in  $f(\Gamma)^*$  and  $f(\Gamma)^*$  is a continuum,  $f(\Gamma)$  would have a "free" subset as in Theorem 13. But the reasoning of Remark 1, No. 33, shows that this property of  $f(\Gamma)$  contradicts the fact that  $R(\Gamma) = \infty$ and, therefore,  $qe^{i\pi/4} \in H$  exists. Take a subsequence  $\{k_j\} \subset \{k\}$  such that

$$\lim_{j\to\infty}a^0_{k_j}=\lim_{j\to\infty}a^{\pi/2}_{-k_j}=qe^{i\pi/4}$$

Then

$$L_{k_j} + 2\rho_{k_j} \ge \frac{p-q}{2} > 0$$

for sufficiently great j, contrary to (17) and (18). Consequently,  $w_0$  does not exist and  $H = \{0\}$ .

Finally, if  $f(\Gamma)^* \supseteq H$ , then  $f(\Gamma)$  would again have a "free" subset, contrary to the fact that  $R(\Gamma) = \infty$ . We conclude that  $f(\Gamma)^* = \{0\}$ .

41. Transform the region D by  $\zeta = 1/z$  and, for simplicity, denote the image again by D. For the sequence  $G_n \uparrow D$  of No. 37, we take

$$egin{aligned} G_n &= (\mid z \mid < n \, ! + c_{n+1}) \, \cap \, D \ &- igcup_{n=1}^{s} \Big\{ z \, ; \, 1 - c_{n+1} &\leq \mid z \mid , \; rac{h \pi}{2} - rac{c_n + c_{n+1}}{2} &\leq rg \, z \ &\leq rac{h \pi}{2} + rac{c_n + c_{n+1}}{2} \Big\} \; , \end{aligned}$$

 $n = 1, 2, \dots,$  and consider the extremal function  $f_n(z)$ . We shall show: If  $c_k = -c_{-k}$  decreases sufficiently fast (e.g.,  $c_k = e^{-k!}$ ), then  $\lim_{n\to\infty} f_n(z) = z$  uniformly on every compact set in D.

In order to prove this, we estimate the Dirichlet integral of  $\log |f_n(z)/z|$  over  $\Delta = (|z| \leq 1/2)$ :

$$\begin{split} D_d(\log |f_n(z)| - \log |z|) &\leq D_{\mathcal{G}_n}(\log |f_n(z)| - \log |z|) \\ &= \int_{\partial \mathcal{G}_n} (\log |f_n| \cdot d \arg f_n - \log |z| \cdot d \arg f_n \\ &- \log |f_n| \cdot d \arg z + \log |z| \cdot d \arg z) \\ &= \int_{\partial \mathcal{G}_n} (\log |f_n| \cdot d \arg f_n - 2 \log |f_n| \cdot d \arg z \\ &+ \log |z| \cdot d \arg z) \\ &= 2\pi \log R(\Gamma_n; G_n) - 2 \log R(\Gamma_n; G_n) \int_{\Gamma_n} d \arg z \\ &+ \int_{\Gamma_n} \log |z| d \arg z \leq 2\pi \{\log n! - \log R(\Gamma_n; G_n)\} \;. \end{split}$$

To estimate the last term, we shall use the relation  $\log R(\Gamma_n; G_n) = \lim_{\varepsilon \to 0} (\log \varepsilon + 2\pi \lambda \{\gamma\}_{\varepsilon}^{(n)})$ , where the sequence is increasing (No. 22). Here  $\{\gamma\}_{\varepsilon}^{(n)}$  is the family of curves in  $G_n - (|z| \leq \varepsilon)$  connecting  $\Gamma_n$  with  $|z| = \varepsilon$ . We take the closed disks

$$egin{array}{lll} arDelta_n^h\colon |\,z-e^{i\pi\hbar/2}\,|&\leq c_n\;, \ & & & & \ & & & \ & \ & \ & & \ & & \ & \ & & \ &$$

 $h = 0, 1, 2, 3; n = 1, 2, \cdots$ . Let  $\{\gamma_1\}_{\varepsilon}^{(n)} \subset \{\gamma\}_{\varepsilon}^{(n)}$  be the family of curves connecting  $|z| = \varepsilon$  with  $\bigcup_{h,n} \Delta_n^h \cup \Delta_n'^h$  and put  $\{\gamma_2\}_{\varepsilon}^{(n)} = \{\gamma\}_{\varepsilon}^{(n)} - \{\gamma_1\}_{\varepsilon}^{(n)}$ . By (VI), No. 9,

$$rac{1}{\lambda\{\gamma\}_{arepsilon}^{(n)}} \leq rac{1}{\lambda_1} + rac{1}{\lambda_2} \quad (\lambda_
u = \lambda\{\gamma_
u\}_{arepsilon}^{(n)}, \; 
u = 1, \; 2)$$
 ,

or

$$\lambda\{\gamma\}_{arepsilon}^{(n)} \geqq \lambda_2 - rac{\lambda_2^2}{\lambda_1} \; .$$

It is evident that

$$rac{1}{2\pi-8c_n}\lograc{n\,!+c_n}{arepsilon} \geq \lambda_2 \geq rac{1}{2\pi}\lograc{n\,!}{arepsilon} \; .$$

Therefore,

$$\log R({\varGamma}_n;\ G_n) \geq \log arepsilon + 2\pi\lambda\{\gamma\}_{arepsilon}^{(n)} \geq \log n\,! - 2rac{\lambda_2^2}{\lambda_1} \;,$$

whence

$$D_{a}(\log | {f}_{n}(z) | - \log | \, z \, |) \leq 4 \pi^2 rac{\lambda_2^2}{\lambda_1} \; .$$

If  $c_n$  is taken sufficiently small, then  $\lim_{n\to\infty} \lambda_2^2/\lambda_1 = 0$ . For instance, if  $c_n = e^{-n!}$ , we have  $\lambda_1 \sim (8 \cdot n!)/\pi$   $(n \to \infty)$  by Lemma 1, No. 13, and  $\lambda_2^2/\lambda_1 \to 0$ . In such a case,  $\lim_{n\to\infty} D_d (\log |f_n(z)| - \log |z|) = 0$  and we conclude that  $\lim_{n\to\infty} f_n(z) = z$  uniformly on each compact set in D.

Consequently  $R(\Gamma) = \infty$  for our region, but  $\lim_{n\to\infty} f_n(z)$  does not transform  $\Gamma$  to a point.

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