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ON THE FIELD OF RATIONAL FUNCTIONS OF ALGEBRAIC GROUPS

A. BIAŁYNICKI-BIRULA

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0. Introduction. Let K be an algebraically closed field of characteristic 0, let k be a subfield of K and suppose that G is a (k, K)algebraic group, i.e., an algebraic group defined over k and composed of K-rational points. Let k(G) denote the fields of k-rational functions on G. G_k denotes the subgroup of G composed of all k-rational points of G. If $g \in G_k$ then the regular mapping $L_g(R_g)$ of G onto G defined by $L_g x = gx$ ($R_g x = xg$) induces an automorphism of k(G) denoted by $g_i(g_r)$. Let D_k denote the Lie algebra of all k-derivations of k(G) (i.e., of all derivations of k(G) that are trivial on k) which commute with g_r , for every $g \in G_k$.

For any subset A of k(G) let G(A) denote the subgroup of G composed of all elements g such that $g_r(f) = f$, for every $f \in A$. In the sequel we shall always assume that G_k is dense in G.

The main result of this paper is the following theorem:

THEOREM 1. Let F be a subfield of k(G) containing k. Then the following three conditions are equivalent:

(1) F is $(G_k)_i$ – stable

(2) F is D_k - stable

(3) F = k(G/G(F)) and so F coincides with the field of all elements of k(G) that are fixed under $G(F)_r$.

By means of the theorem, we establish a Galois correspondence between a family of subgroups of G and the family of $(G_k)_i$ -stable subalgebras of the algebra of representative functions of G.

The author wishes to express his thanks to Professor G.P. Hochschild and Professor M. Rosenlicht for a number of instructive conversations on the subject of this note.

1. Let K be an algebraically closed field of characteristic 0, let k be a subfield of K and suppose that V, W are (k, K) — algebraic varieties. Let k(V), k(W) denote the fields of k-rational functions on V and W, respectively. If A is a subset of k(V) then k(A) denotes the fields generated by k and A.

The following result is known¹:

(1) Let F be a rational mapping of V onto a dense subset of W and let φ be the cohomomorphism corresponding to F. Then there exists

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¹ See e.g. [2],

an open subset $W_1 \subset W$ such that $F^{-1}(x)$ contains exactly $[k(V): \varphi(k(W))]$ elements, for every $x \in W_1$.

LEMMA 1. Let A be a subset of k(V) and suppose that there exists a dense set $V_1 \subset V$ and an open subset $V_2 \subset V$ such that for any two distinct points x_1, x_2 , where $x_1 \in V_1, x_2 \in V_2$, there exists a function $f \in A$ which is defined at x_1, x_2 and $f(x_1) \neq f(x_2)$. Then k(A) = k(V).

Proof. Let B be a finite subset of A, say $B = \{f_1, \dots, f_n\}$. Then F_B denotes the rational mapping $F_B: V \to K^n$ defined by $F_B(x) = (f_1(x), \dots, f_n(x))$ and $W_B = (F_B(V)^- \subset K^n$. Let $\Delta(W_B)$ be the diagonal of $W_B \times W_B$ and $V_B = ((F_B \times F_B)^{-1} \Delta(W_B))^- \subset V \times V$. Then there exists a finite subset $B_0 \subset A$ such that $V_{B_0} \subset V_B$, for every finite subset $B \subset A$ (since $V \times V$ satisfies the minimal condition for closed sets). Let V_0 be an open subset of V such that F_{B_0} is regular on V_0 . We may assume that $V_0 = V_2 = V$, since we may replace V by $V_0 \cap V_2$. If $x_1 \in V_1, x_2 \in V$ and $x_1 \neq x_2$ then there exists $f \in A$ such that f is defined at x_1, x_2 and $f(x_1) \neq f(x_2)$. Hence $(x_1, x_2) \notin V_{(f)}$ and so $(x_1, x_2) \notin V_{B_0}$. Thus $F_{B_0}(x_1) \neq F_{B_0}(x_2)$. Therefore, for every $x \in F_{B_0}(V_1), F_{B_0}^{-1}(x)$ contains exactly one element. But $F_{B_0}(V_1)$ is dense in W_{B_0} . Hence it follows from (i) that $[k(V): k(B_0)] = 1$, i.e., $k(V) = k(B_0)$. Thus k(V) = k(A).

Let G be a (k, K) – algebraic group. Suppose that G_k is dense in G. Let D be the Lie algebra of all derivations of K(G) commuting with g_r , for every $g \in G$, and let D_k denote the Lie algebra consisting of all derivations from D that map k(G) into k(G). Let k[D] (K[D]) denote the k-algebra (K - algebra) of transformations generated by the identity map and $D_k(D)$.

If $d \in D_k$ then d restricted to k(G) is a k-derivation commuting with g_r , for every $g \in G_k$. On the other hand if d_1 is a k-derivation of k(G) commuting with g_r , for every $g \in G_k$, then there exists a unique extension d of d_1 to a K-derivation of K(G), and the extension belongs to D_k . Hence we may identify D_k and the Lie algebra of all k-derivations of k(G) that commute with g_r , for every $g \in G_k$.

(ii)² If $f \in K(G)$ and f is defined at a point $g \in G$ then df is defined at g, for any $d \in K[D]$.

LEMMA 2. Let $f \in K(G)$ and suppose that f is defined at $g \in G_k$. If $f \neq 0$ then there exists $d \in k[D]$ such that $(df)(g) \neq 0$.

Proof. Suppose that $f \neq 0$. If $f(g) \neq 0$ then the identity element of k[D] satisfies the desired condition. Hence we may assume that f(g) = 0, Let $\mathcal{O}_k(\mathcal{O}_{\kappa})$ denote the local ring of g in k(G) (K(G))and let $m_k(m_{\kappa})$ be the maximal ideal of $\mathcal{O}_k(\mathcal{O}_{\kappa})$. Then $f \in m_{\kappa}$. Let

² See [4] p.51,

 x_1, \dots, x_m be elements of m_k such that $x_1 + m_k^2, \dots, x_m + m_k^2$ is a kbasis of m_k/m_k^2 . The $x_1 + m_K^2, \dots, x_m + m_K^2$ is a K-basis of m_K/m_K^2 . Hence every mapping $(x_1, \dots, x_m) \to k$ can be extended to a derivation $\partial: \mathscr{O}_K \to K$. On the other hand $f \neq 0$ and so there exists an integer tsuch the $f \in m_K^t - m_K^{t+1}$. Hence $f = \sum_{i_1 + \dots + i_m = t} a_{i_1 \dots \dots i_m} x_1^{i_1}, \dots, x_m^{i_m} + f_1$, where $f_1 \in m_K^{t+1}$, $a_{i_1,\dots,i_m} \in K$ and at least one a_{i_1,\dots,i_m} is different from zero. Let ∂_i be the derivation of \mathscr{O}_K into K such than $\partial_i x_j = \delta_{ij}$, where $\delta_{ij} = \begin{cases} 0 \text{ if } i \neq j \\ 1 \text{ if } i = j \end{cases}$. It is known³, that there exist $d_i \in D_k$ such that $(d_i f)(g) = \partial_i f$ for every $f \in \mathscr{O}_K$. Then $(d_1^{i_1} \cdots d_m^{i_m})f(g) = i_1! \cdots i_m!a_{i_1,\dots,i_m} \neq 0$ if $a_{i_1,\dots,i_m} \neq 0$. Hence the lemma is proved.

If A is a subset of k(G) then G(A) denotes the subgroup of G composed of all elements g such that g_r leaves the elements of A fixed. For any $A \subset k(G), G(A)$ is a k-closed subgroup of G.

(iii)⁴ Let G_1 be a k-closed subgroup of G. Then G/G_1 is defined over k. Let φ be the cohomomorphism of $k(G/G_1)$ into k(G) corresponding to the canonical mapping $G \to G/G_1$. Then $\varphi(k(G/G_1))$ coincides with the subfield of all elements of k(G) which are fixed under g_r , for every $g \in G_1$. In the sequel we shall identify $k(G/G_1)$ and $\varphi(k(G/G_1))$.

Proof of the theorem.

Implications $(3) \Rightarrow (1)$ and $(3) \Rightarrow (2)$ are obvious.

 $(1) \Rightarrow (3)^5$. Let $g_1 \in G_k$, $g_2 \in G$ and $G(F)g_1 \neq G(F)g_2$. Then $g_2g_1^{-1} \notin G(F)$. Hence there exists $f_0 \in F$ such that $(g_2g_1^{-1})_rf_0 \neq f_0$. Therefore there exists an element $g \in G_k$ such that $(g_2g_1^{-1})_rf_0$ and f_0 are defined at g and $(g_2g_1^{-1})_rf_0(g) \neq f_0(g)$, i.e., $f_0(g_2g_1^{-1}g) \neq f_0(g)$, $(g_1^{-1}g)_lf_0(g_2) \neq (g_1^{-1}g)_lf_0(g_1)$. Let $f = (g_1^{-1}g)_lf_0$. Then $f \in F$ since $g_1^{-1}g \in G_k$; f is defined at g_1 and g_2 , and $f(g_1) \neq f(g_2)$. Thus it follows from Lemma 1 that F = k(G/G(F)), because $G(F) \cdot G_k/G(F)$ is dense in G/G(F).

 $(2) \Rightarrow (3)$. Let f_1, \dots, f_n be a set of generators of F over k, and let V_1 be an open subset of G such that f_1, \dots, f_n are regular on V_1 . We may assume that $V_1 = G(F)V_1$. Let $g_1 \in V_1 \cap G_k$, $g_2 \in V_1$, $G(F)g_1 \neq G(F)g_2$. Then $g_2g_1^{-1} \notin G(F)$ and so there exists f_i such that $(g_2g_1^{-1})_r f_i \neq f_i$. We know that $(g_2g_1^{-1})_r f_i$ and f_i are defined at g_1 . Hence it follows from Lemma 2 that there exists an element $d \in k[D]$ such that

 $d((g_2g_1^{-1})_rf_i)(g) \neq (df_i)(g)$, i.e., $(df_i)(g_1) \neq (df_i)(g_2)$.

Therefore, for any pair of distinct elements $G(F)g_1$, $G(F)g_2$ such that

 $G(F)g_1 \in G(F) \cdot G_k \cap V_1/G(F)$ and $G(F)g_2 \in V_1/G(F)$,

³ See [4] p.51,

⁴ See Proposition 2, p. 495 in [5].

⁵ This part of the proof is modeled after the proof of Lemma 5.3 p. 515 in [3].

there exists an element $f \in F$ which is defined at $G(F)g_1, G(F)g_2$ and such that $f(G(F)g_1) \neq f(G(F)g_2)$. But $V_1/G(F)$ is an open subset of G/G(F), and $G(F)G_k \cap V_1/G(F)$ is dense in G/G(F). Hence it follows from Lemma 1 that F = k(G/G(F)).

This completes the proof of the theorem.

2. Applications. As a consequence of Lemma 2 one can get the following corollary:

COROLLARY. If α is an automorphism of k(G) commuting with D_k and leaving the elements of k fixed then there exists $h \in G_k$ such that $\alpha = h_r$.

Proof. α induces a rational map $F_{\alpha}: G \to G$. Let $g \in G_k$ be a point such that F_{α} is defined at g and let $F_{\alpha}(g) = h^{-1}g$ Then $h \in G_k$ and $f(g) = (\alpha f)(h^{-1}g)$, for every $f \in k(G)$ that is defined at g. Hence (df)g = $(\alpha(df))(h^{-1}g)$, for every $d \in k[D]$. But $(\alpha(df))(h^{-1}g) = (h_r^{-1}(\alpha(df)))(g)$ and d commutes with α and h_r^{-1} . Therefore $(df)(g) = (d(h_r^{-1}(\alpha f)(g)))$. Hence it follows from Lemma 2 that $f = h_r^{-1}(\alpha f)$. Thus $h_r f = \alpha f$, for every fthat is defined at g. Therefore $h_r f = \alpha f$, for every $f \in k(G)$.

It follows from the corollary that if F is a D_k - stable subfield of k(G) containing k then every D_k - automorphism of k(G) leaving the elements of F fixed belongs to $G(F)_r$, i.e., the D_k - Galois group of k(G) over F coincides with $G(F)_r$. Combining this result and the above theorem we obtain that there exists the usual one to one Galois correspondence between D_k - stable subfields of k(G) containing k and k-closed subgroups of G.

Let k[G] denote the ring of regular (i.e., representative) functions on G. Let \mathscr{R} be the family of all $(G_k)_i$ — stable (or, equivalently, D_k stable) subrings R of k[G] containing k and satisfying the following condition if $f \in R, g \in R$ and $f/g \in k[G]$ then $f/g \in R$. Let \mathscr{G} denote the family of all k-closed subgroups H of G such that G/H is isomorphic to an open subset of an affine variety.

THEOREM 2. The mappings $H \to k[G] \cap k(G/H)$ and $R \to G(R)$ establish a Golois correspondence between \mathcal{G} and $\mathcal{R}^{\mathfrak{s}}$.

Proof. $H \in \mathcal{G}$ then $k[G] \cap k(G/H) \in \mathcal{R}$ and $G(k[G] \cap k(G/H)) = H$, since k(G/H) is generated by $k[G] \cap k(G/H)$.

Now, if $R \in \mathscr{R}$ then $G(R) \in \mathscr{G}$. In fact, if $R \in \mathscr{R}$, then k(R) is $(G_k)_i$ — stable and so k(R) = k(G/G(R)). For every $f \in R$, $(G_k)_i f$ generates a finite dimensional k-vector space, Hence there exists a finitely generated over $k(G_k)_i$ — stable subring R_0 of R such that $k(R_0) = k(R)$. Let W denote

⁶ C.f. [1] p. 324.

the affine variety that has R_0 as its coordinate ring. One can define a structure of a G-homogeneous space on W, since $K[R_0]$ is G_i — stable. Let η be the canonical mapping of G/G(R) into W. Then η commutes with the action of G and is birational. Hence η is an isomorphism of G/G(R) onto an open subset $\eta(G/G(R))$ of W.

Moreover, $R = k[G] \cap k(G/G(R))$, since $R \in \mathscr{R}$ and k(R) = k(G/G(R)). This completes the proof of the theorem.

Added in Proof. The equivalence $(1) \iff (2)$ of Theorem 1 in the case where k is algebraically closed has been proved by E. Abe and T. Kanno (Tohoku Math. Jour. 2nd series 11 (1959), 376-384).

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