

# Pacific Journal of Mathematics

A NOTE ON WEAK SEQUENTIAL CONVERGENCE

RALPH DAVID MCWILLIAMS

# A NOTE ON WEAK SEQUENTIAL CONVERGENCE

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1. Let  $X$  be a real Banach space,  $J_x$  the canonical mapping from  $X$  into  $X^{**}$ , and  $K(X)$  the set of all elements  $F$  in  $X^{**}$  which are  $X^*$ -limits of sequences in  $J_x X$ . Thus  $F \in K(X)$  if and only if there exists a sequence  $\{x_n\}$  in  $X$  such that

$$(1.1) \quad F(f) = \lim_n f(x_n)$$

for all  $f \in X^*$ . While the closure of  $J_x X$  in the  $X^*$ -topology is  $X^{**}$  [4, p. 229], it is not true in general that  $K(X) = X^{**}$ . By using properties of the space of continuous real functions defined on a real interval, we shall prove that the subspace  $K(X)$  is norm-closed in  $X^{**}$ .

2. If  $x$  is a bounded real function defined on a closed interval  $[a, b]$ , let  $\|x\| = \sup \{|x(s)| : a \leq s \leq b\}$ . If  $x$  is a bounded Baire function of the first class, then there exists a sequence  $\{x_n\} \subset \mathcal{C}[a, b]$  such that  $x(s) = \lim_n x_n(s)$  for all  $s \in [a, b]$  and  $\|x_n\| = \|x\|$  for all  $n$  [2, p. 138]. However, if a bounded function  $x$  is the pointwise limit of an unbounded sequence of elements of a subspace  $X$  of  $\mathcal{C}$ , then it is not necessarily true that  $x$  is the pointwise limit of a bounded sequence in  $X$ .

**LEMMA 1.** *Let  $X$  be a subspace of  $\mathcal{C}$ , and let  $x$  be a real function which is the pointwise limit of a bounded sequence in  $X$ . Then there exists a sequence  $\{x_n\}$  in  $X$  such that  $x$  is the pointwise limit of  $\{x_n\}$  and  $\|x_n\| = \|x\|$  for all  $n$ .*

*Proof.* If  $\{y_n\}$  is a sequence in  $X$  which converges pointwise to  $x$ , with  $\sup_n \|y_n\| = M < \infty$ , let continuous functions  $\varphi, \varphi_1, \varphi_2, \dots$  be defined by

$$(2.1) \quad \begin{cases} \varphi(s) \equiv \|x\| \\ \varphi_n(s) = \max(y_n(s), \|x\|) \end{cases}$$

for all  $s \in [a, b]$ . Then  $\{\varphi_n\}$  converges to  $\varphi$  in the  $\mathcal{C}^*$ -topology of  $\mathcal{C}$  [1, p. 224], and hence [3, p. 36] for each positive integer  $n$  there exist nonnegative numbers  $a_{n1}, \dots, a_{nk_n}$  such that

$$(2.2) \quad \sum_{k=1}^{k_n} a_{nk} = 1, \quad \left| \left| \sum_{k=1}^{k_n} a_{nk} \varphi_{n+k} - \varphi \right| \right| < n^{-1}.$$

Define  $\{z_n\} \subset X$  by

$$(2.3) \quad z_n = \sum_{k=1}^{k_n} a_{nk} y_{n+k}.$$

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Then  $\{z_n\}$  converges pointwise to  $x$ , and  $-M \leq z_n(s) \leq \|x\| + n^{-1}$  for each  $n$ .

If a sequence  $\{\psi_n\}$  is now defined in  $\mathcal{C}$  by  $\psi_n = \min(z_n, -\varphi)$ , an argument like that used with  $\{\varphi_n\}$  shows that there exist, for each  $n$ , nonnegative numbers  $b_{n1}, \dots, b_{nj_n}$  such that

$$(2.4) \quad \sum_{j=1}^{j_n} b_{nj} = 1, \quad \left| \sum_{j=1}^{j_n} b_{nj} \psi_{n+j} + \varphi \right| < n^{-1}.$$

If  $\{u_n\} \subset X$  is defined by

$$(2.5) \quad u_n = \sum_{j=1}^{j_n} b_{nj} z_{n+j},$$

then  $x$  is the pointwise limit of  $\{u_n\}$ , and  $\|u_n\| \rightarrow \|x\|$  as  $n \rightarrow \infty$ . Since it may be assumed that  $\|u_n\| \neq 0$  for each  $n$ , the desired sequence  $\{x_n\}$  is obtained by defining  $x_n = (\|x\|/\|u_n\|) u_n$ .

3. The conjugate space  $\mathcal{C}^*$  of  $\mathcal{C}$  is equivalent with the space of all finite regular signed Borel measures on  $[a, b]$ , under a mapping  $U$  such that if  $f \in \mathcal{C}^*$  and  $\mu_f = Uf$ , then

$$(3.1) \quad f(x) = \int_a^b x d\mu_f$$

for all  $x \in \mathcal{C}$  [4, p. 397]. It follows that if  $X$  is a closed subspace of  $\mathcal{C}$  and  $F \in X^{**}$ , then  $F \in K(X)$  if and only if there exists a bounded, pointwise-convergent sequence  $\{y_n\}$  in  $X$  with the property that

$$(3.2) \quad F(f|X) = \int_a^b (\lim y_n) d\mu_f$$

for all  $f \in \mathcal{C}^*$ .

**LEMMA 2.** *If  $X$  is a real Banach space and  $F \in K(X)$ , then there exists a sequence  $\{x_n\}$  in  $X$  such that  $F$  is the  $X^*$ -limit of  $\{J_x x_n\}$  and  $\|x_n\| = \|F\|$  for all  $n$ .*

*Proof. Case 1.* If  $X$  is a closed subspace of  $\mathcal{C}$  and  $F \in K(X)$ , there must be a bounded, pointwise-convergent sequence  $\{y_n\} \subset X$  such that (3.2) holds for all  $f \in \mathcal{C}^*$ . If  $x(s) = \lim_n y_n(s)$  for  $a \leq s \leq b$ , then by Lemma 1 there exists a sequence  $\{x_n\}$  in  $X$  such that  $x$  is the pointwise limit of  $\{x_n\}$  and  $\|x_n\| = \|x\|$  for all  $n$ . Thus  $F$  is the  $X^*$ -limit of  $\{J_x x_n\}$  and it is easily verified that  $\|F\| = \|x_n\|$  for each  $n$ .

*Case 2.* If  $X$  is an arbitrary real Banach space and  $F \in K(X)$ , then there is a sequence  $\{y_n\}$  in  $X$  such that  $F$  is the  $X^*$ -limit of  $\{J_x y_n\}$ . If  $Y$  is the closed subspace of  $X$  generated by  $\{y_n\}$ , we can define

$G \in Y^{**}$  by

$$(3.3) \quad G(f|Y) = F(f) \text{ for all } f \in X^*,$$

and this definition is unambiguous since  $F$  is the  $X^*$ -limit of a sequence in  $J_x Y$ . It is easy to verify that  $G \in K(Y)$  and  $\|G\| = \|F\|$ . Since  $Y$  is separable,  $Y$  is equivalent with a closed subspace of  $\mathcal{C}$  [1, p. 185], and hence by Case 1, there is a sequence  $\{x_n\}$  in  $Y$  such that  $G$  is the  $Y^*$ -limit of  $\{J_y x_n\}$  and  $\|x_n\| = \|G\| = \|F\|$  for all  $n$ . Finally, if  $f \in X^*$ , then

$$(3.4) \quad F(f) = G(f|Y) = \lim_n f(x_n),$$

so  $F$  is the  $X^*$ -limit of  $\{J_y x_n\}$ , and the lemma is proved.

4. THEOREM. *If  $X$  is a real Banach space, then  $K(X)$  is norm-closed in  $X^{**}$ .*

*Proof.* If  $F \in \overline{K(X)}$ , then there is a sequence  $\{F_n\}$  in  $K(X)$  such that  $F_n \rightarrow F$  in norm, and  $\|F_n - F_{n-1}\| < 2^{-n}$  for each  $n > 1$ . If we let  $F_0 = 0$ , then by Lemma 2 there exists, for each  $n \geq 1$ , a sequence  $\{x_{nk}\}$  in  $X$  such that  $\|x_{nk}\| = \|F_n - F_{n-1}\|$  for all  $k$  and such that  $F_n - F_{n-1}$  is the  $X^*$ -limit of  $\{J_x x_{nk}\}$ .

For each  $k$  the series  $\sum_{n=1}^{\infty} x_{nk}$  converges to an element  $x_k \in X$  such that

$$\left| \left| x_k - \sum_{n=1}^j x_{nk} \right| \right| < 2^{-j} \text{ for each } j.$$

Given  $0 \neq f \in X^*$  and  $\varepsilon > 0$ , there exist positive integers  $J$  and  $K$  such that  $2^{-J} < \varepsilon/(3\|f\|)$  and  $|F_J(f) - f(\sum_{n=1}^J x_{nk})| < \varepsilon/3$  for all  $k \geq K$ . Hence for  $k \geq K$ ,

$$(4.1) \quad \begin{aligned} |F(f) - f(x_k)| &\leq |(F - F_J)(f)| + \left| F_J(f) - f\left(\sum_{n=1}^J x_{nk}\right) \right| \\ &\quad + \left| f\left(\sum_{n=1}^J x_{nk} - x_k\right) \right| < \varepsilon, \end{aligned}$$

so that  $F$  is the  $X^*$ -limit of  $\{J_x x_k\}$ .

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|   |     |
|---|-----|
| Jonathan L. Alperin, <i>Groups with finitely many automorphisms</i> .....   | 1   |
| Martin Arthur Arkowitz, <i>The generalized Whitehead product</i> .....  | 7   |
| John D. Baum, <i>Instability and asymptoticity in toplogical dynamics</i> .....   | 25  |
| William Aaron Beyer, <i>Hausdorff dimension of level sets of some Rademacher series</i> .....   | 35  |
| Frank Herbert Brownell, III, <i>A note on Cook's wave-matrix theorem</i> .....  | 47  |
| Gulbank D. Chakerian, <i>An inequality for closed space curves</i> .....  | 53  |
| Inge Futtrup Christensen, <i>Some further extensions of a theorem of Marcinkiewicz</i> .....  | 59  |
| Charles Vernon Coffman, <i>Linear differential equations on cones in Banach spaces</i> .....  | 69  |
| Eckford Cohen, <i>Arithmetical notes. III. Certain equally distributed sets of integers</i> .....   | 77  |
| John Irving Derr and Angus E. Taylor, <i>Operators of meromorphic type with multiple poles of the resolvent</i> .....                                 | 85  |
| Jacob Feldman, <i>On measurability of stochastic processes in products space</i> .....  | 113 |
| Robert S. Freeman, <i>Closed extensions of the Laplace operator determined by a general class of boundary conditions, for unbounded regions</i> ..... | 121 |
| Robert E. Fullerton, <i>Geometric structure of absolute basis systems in a linear topological space</i> .....   | 137 |
| Dieter Gaier, <i>On conformal mapping of nearly circular regions</i> .....  | 149 |
| Andrew Mattei Gleason and Hassler Whitney, <i>The extension of linear functionals defined on <math>H^\infty</math></i> .....                          | 163 |
| Seymour Goldberg, <i>Closed linear operators and associated continuous linear opeators</i> .....  | 183 |
| Basil Gordon, Aviezri Siegmund Fraenkel and Ernst Gabor Straus, <i>On the determination of sets by the sets of sums of a certain order</i> .....      | 187 |
| Branko Grünbaum, <i>The dimension of intersections of convex sets</i> .....   | 197 |
| Paul Daniel Hill, <i>On the number of pure subgroups</i> .....  | 203 |
| Robert Peter Holten, <i>Generalized Goursat problem</i> .....   | 207 |
| Alfred Horn, <i>Eigenvalues of sums of Hermitian matrices</i> .....   | 225 |
| Henry C. Howard, <i>Oscillation and nonoscillation criteria for <math>y''(x) + f(y(x))p(x) = 0</math></i> .....                                       | 243 |
| Taqdir Husain, <i>S-spaces and the open mapping theorem</i> .....   | 253 |
| Richard Eugene Isaac, <i>Markov processes and unique stationary probability measures</i> .....  | 273 |
| John Rolfe Isbell, <i>Supercomplete spaces</i> .....  | 287 |
| John Rolfe Isbell, <i>On finite-dimensional uniform spaces. II</i> .....  | 291 |
| N. Jacobson, <i>A note on automorphisms of Lie algebras</i> .....   | 303 |
| Antoni A. Kosinski, <i>A theorem on families of acyclic sets and its applications</i> .....   | 317 |
| Maryvin David Marcus and H. Minc, <i>The invariance of symmetric functions of singular values</i> .....   | 327 |
| Ralph David McWilliams, <i>A note on weak sequential convergence</i> .....  | 333 |
| John W. Milnor, <i>On axiomatic homology theory</i> .....   | 337 |
| Victor Julius Mizel and Malempati Madhusudana Rao, <i>Nonsymmetric projections in Hilbert space</i> .....   | 343 |
| Calvin Cooper Moore, <i>On the Frobenius reciprocity theorem for locally compact groups</i> .....   | 359 |
| Donald J. Newman, <i>The Gibbs phenomenon for Hausdorff means</i> .....   | 367 |
| Jack Segal, <i>Convergence of inverse systems</i> .....   | 371 |
| Józef Siciak, <i>On function families with boundary</i> .....   | 375 |
| Hyman Joseph Zimmerberg, <i>Two-point boundary conditions linear in a parameter</i> .....   | 385 |