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ON DIRECT SUMS AND PRODUCTS OF MODULES

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A well-known theorem of the theory of abelian groups states that the direct product of an infinite number of infinite cyclic groups is not free ([6], p. 48.) Two generalizations of this result to modules over various rings have been presented in earlier papers of the author ([3], [4].) In this note we exhibit a broader generalization which contains the preceding ones as special cases.

Moreover, it has other applications. For example, it yields an easy proof of a part of a result of Baumslag and Blackburn [2] which gives necessary conditions under which the direct sum of a sequence of abelian groups is a direct summand of their direct product. We also use it to prove the following variant of a result of Baer [1]: If a torsion group T is an epimorphic image of a direct product of a sequence of finitely generated abelian groups, then T is the direct sum of a divisible group and a group of bounded order. Finally, we derive a property of modules over a Dedekind ring which, for the ring Z of rational integers, reduces to the following recent theorem of Rotman [10] and Nunke [9]: If A is an abelian group such that $\operatorname{Ext}_z(A, T) = 0$ for any torsion group T, then A is slender.

In this note all rings have identities and all modules are unitary.

1. The main theorem. Our discussion will be based on the following technical device.

DEFINITION 1.1. Let \mathscr{F} be a collection of principal right ideals of a ring R. \mathscr{F} will be called a *filter of principal right ideals* if, whenever aR and bR are in \mathscr{F} , there exists $c \in aR \cap bR$ such that cR is in \mathscr{F} .

We proceed immediately to the principal result of this note.

THEOREM 1.2. Let $A^{(1)}, A^{(2)}, \cdots$ be a sequence of left modules over a ring R, and set $A = \prod_{i=1}^{\infty} A^{(i)}$, $A_n = \prod_{i=n+1}^{\infty} A^{(i)}$. Let $C = \sum_{\alpha} \bigoplus C_{\alpha}$, where $\{C_{\alpha}\}$ is a family of left R-modules and α traces an index set I. Let $f \colon A \to C$ be an R-homomorphism, and denote by $f_{\alpha} \colon A \to C_{\alpha}$ the composition of f with the projection of C onto C_{α} . Finally, let \mathscr{F} be a filter of principal right ideals of R. Then there exists a R in \mathscr{F} and an integer n > 0 such that $f_{\alpha}(aA_n) \subseteq \bigcap_{b \hat{k} \in \mathscr{F}} bC_{\alpha}$ for all but a finite number of α in I.

Proof. Assume that the statement is false. We shall first construct

inductively sequences $\{x_n\} \subseteq A$, $\{\alpha_n R\} \subseteq \mathscr{F}$, and $\{\alpha_n\} \subseteq I$ such that the following conditions hold:

- (i) $a_n R \supseteq a_{n+1} R$.
- (ii) $x_n \in a_n A_n$.
- (iii) $f_{\alpha_n}(x_n) \not\equiv 0 \pmod{\alpha_{n+1}C_{\alpha_n}}$.
- (iv) $f_{\alpha_n}(x_k) = 0$ for k < n.

We proceed as follows. Select any a_1R in \mathscr{F} . Then there exists $a_1 \in I$ such that $f_{\alpha_1}(a_1A_1) \not\subset \bigcap_{bR \in \mathscr{F}} bC_{\alpha_1}$, and hence we may select bR in \mathscr{F} such that $f_{\alpha_1}(a_1A_1) \not\subset bC_{\alpha_1}$. Since \mathscr{F} is a filter of principal right ideals, there exists $a_2 \in a_1R \cap bR$ such that $a_2R \in \mathscr{F}$, in which case $f_{\alpha_1}(a_1A_1) \not\subset a_2C_{\alpha_1}$. Hence there exists $x_1 \in a_1A_1$ such that $f_{\alpha_1}(x_1) \not\equiv 0 \pmod{a_2C_{\alpha_1}}$. Then conditions (i)-(iv) above are satisfied for n=1.

Proceed by induction on n; assume that the sequences $\{x_k\}$ and $\{\alpha_k\}$ have been constructed for k < n and the sequence $\{a_kR\}$ has been constructed for $k \le n$ such that conditions (i)-(iv) are satisfied. Now, there exist $\beta_1, \dots, \beta_r \in I$ such that, if $\alpha \ne \beta_1, \dots, \beta_r$, then $f_{\alpha}(x_k) = 0$ for all k < n. We may then select $\alpha_n \ne \beta_1, \dots, \beta_r$ such that $f_{\alpha_n}(a_nA_n) \not\subset \bigcap_{bR \in \mathscr{F}} bC_{\alpha_n}$; for, if we could not do so, then the theorem would be true. Hence there exists $bR \in \mathscr{F}$ such that $f_{\alpha_n}(a_nA_n) \not\subset bC_{\alpha_n}$. Since \mathscr{F} is a filter of principal right ideals, there exists $a_{n+1} \in a_nR \cap bR$ such that $a_{n+1}R$ is in \mathscr{F} , in which case $f_{\alpha_n}(a_nA_n) \not\subset a_{n+1}C_{\alpha_n}$. Thus we may select $x_n \in a_nA_n$ such that $f_{\alpha_n}(x_n) \not\equiv 0 \pmod{a_{n+1}C_{\alpha_n}}$. It is then clear that the sequences $\{x_k\}$ and $\{\alpha_k\}$ for $k \le n$ and $\{a_kR\}$ for $k \le n+1$ satisfy conditions (i)-(iv), and hence the construction of all three sequences is complete.

Now write $x_k=(x_k^{(i)})$, where $x_k^{(i)}\in A^{(i)}$. Since $x_k\in a_kA_k$, $x_k^{(i)}=0$ for k>i, and $x^{(i)}=\sum_{k=1}^\infty x_k^{(i)}$ is a well-defined element of $A^{(i)}$. Also, since $a_nR\supseteq a_{n+1}R\supseteq \cdots$, it follows that there exists $y_n^{(i)}\in A^{(i)}$ such that $x^{(i)}=x_1^{(i)}+\cdots+x_n^{(i)}+a_{n+1}y_n^{(i)}$. Therefore, setting $x=(x^{(i)})$ and $y_n=(y_n^{(i)})$, we see that $x=x_1+\cdots+x_n+a_{n+1}y_n$ for all $n\ge 1$.

It follows immediately from inspection of conditions (iii) and (iv) above that $\alpha_i \neq \alpha_j$ if $i \neq j$. Hence there exists n such that $f_{\alpha_n}(x) = 0$. Writing $x = x_1 + \cdots + x_n + a_{n+1}y_n$ as above, we may then apply f_{α_n} and use condition (iv) to conclude that $f_{\alpha_n}(x_n) = -a_{n+1}f_{\alpha_n}(y_n) \equiv 0$ (mod $a_{n+1}C_{\alpha_n}$), contradicting condition (iii). The proof of the theorem is hence complete.

In the following discussion we shall use the symbol |X| to denote the cardinality of the set X.

COROLLARY 1.3 ([3], Theorem 3.1, p. 464). Let R be a ring, and $A = \prod_{\alpha \in J} R^{(\alpha)}$, where $R^{(\alpha)} \approx R$ as a left R-module and $|J| \geq \aleph_0$. Suppose that A is a pure submodule of $C = \sum_{\beta} \bigoplus C_{\beta}$, where each C_{β} is a left R-

module and $|C_{\beta}| \leq |J|$. Then R must satisfy the descending chain condition on principal right ideals.

Proof. Since J is an infinite set, it is easy to see that $A \approx \prod_{i=1}^{\infty} A^{(i)}$, where $A^{(i)} \approx A$, and so without further ado we shall identify A with $\prod_{i=1}^{\infty} A^{(i)}$. Let $f: A \to C$ be the inclusion mapping, and $f_{\beta}: A \to C_{\beta}$ be the composition of f with the projection of f onto f. Finally, set $f: A \to C$ be the inclusion mapping, and $f: A \to C_{\beta}$ be the composition of f with the projection of f onto f onto f in f in

Suppose that the statement is false. Then there exists a strictly descending infinite chain $a_1R \supseteq a_2R \supseteq \cdots$ of principal right ideals of R. These ideals obviously constitute a filter of principal right ideals of R, and so we may apply Theorem 1.2 to conclude that there exists $n \ge 1$ and β_1, \dots, β_r such that $f_{\beta}(a_nA_n) \subseteq a_{n+1}C_{\beta}$ for $\beta \ne \beta_1, \dots, \beta_r$.

Now let $C'=C_{\beta_1}\oplus\cdots\oplus C_{\beta_r}$; then the projection of C onto C' induces a Z-homomorphism $g\colon a_nC/a_{n+1}C\to a_nC'/a_{n+1}C'$, where Z is the ring of rational integers. Also, the restriction of f to A_n induces a Z-homomorphism $h\colon a_nA_n/a_{n+1}A_n\to a_nC/a_{n+1}C$. A_n is a direct summand of A, which is a pure submodule of C, and so A_n is likewise a pure submodule of C. Hence h is a monomorphism. We may then apply the conclusion of the preceding paragraph to obtain that the composition gh is a monomorphism. In particular, $|a_nA_n/a_{n+1}A_n| \leq |a_nC'/a_{n+1}C'| \leq |C'|$.

Observe that $|C'| \leq |J|$, since J is infinite and $|C_{\beta}| \leq |J|$ for all β . However, since $a_n R \neq a_{n+1} R$, $a_n R / a_{n+1} R$ contains at least two elements; therefore $|a_n A_n / a_{n+1} A_n| = |a_n A / a_{n+1} A| \geq 2^{|J|} > |J|$. We have thus reached a contradiction, and the corollary is proved.

2. Applications to integral domains. Throughout this section R will be an integral domain. If C is an R-module, we shall denote the maximal divisible submodule of C by d(C). In addition, we shall write $R^{\omega}C = \bigcap aC$, where a traces the nonzero elements of R.

Our principal result concerning modules over integral domains is the following theorem.

THEOREM 2.1. Let $\{A^{(i)}\}$ be a sequence of R-modules, and set $A=\prod_{i=1}^{\infty}A^{(i)}$, $A_n=\prod_{i=n+1}^{\infty}A^{(i)}$. Let $C=\sum_{\alpha}\bigoplus C_{\alpha}$, where each C_{α} is an R-module. Let $f\colon A\to C$ be an R-homomorphism, and $f_{\alpha}\colon A\to C_{\alpha}$ be the composition of f with the projection of C onto C_{α} . Then there exists an integer $n\geq 1$ and $a\in R$, $a\neq 0$, such that $af_{\alpha}(A_n)\subseteq R^{\omega}C_{\alpha}$ for all but finitely many α .

Proof. Let \mathscr{F} be the set of all nonzero principal ideals of R. Since R is an integral domain, it is clear that \mathscr{F} is a filter of principal ideals. The theorem then follows immediately from Theorem 1.2.

¹ A is a pure submodule of C if $A \cap aC = aA$ for all $a \in R$.

COROLLARY 2.2 (see [4].) Same hypotheses and notation as in Theorem 2.1, with the exception that now each C_{α} is assumed to be torsion-free. Then there exists an integer $n \geq 1$ such that $f_{\alpha}(A_n) \subseteq d(C_{\alpha})$ for all but finitely many α . In particular, if each C_{α} is reduced (i.e., has no divisible submodules) then $f_{\alpha}(A_n) = 0$ for all but finitely many α .

Proof. This follows immediately from Theorem 2.1 and the trivial observation that, since each C_{α} is torsion-free, $R^{\omega}C_{\alpha} = d(C_{\alpha})$.

Next we present our proof of the afore-mentioned result of Baumslag and Blackburn concerning direct summands of direct products of abelian groups ([2], Theorem 1, p. 403.)

THEOREM 2.3. Let $\{A^{(i)}\}$ be a sequence of modules over an integral domain R, and set $A = \prod_{i=1}^{\infty} A^{(i)}$, $C = \sum_{i=1}^{\infty} \bigoplus A^{(i)}$ (then C is, in the usual way, a submodule of A.) If C is a direct summand of A, then there exists $n \geq 1$ and $a \neq 0$ in R such that $aA^{(i)} \subseteq d(A^{(i)})$ for i > n.

Proof. Assume that C is a direct summand of A, and let $f: A \to C$ be the projection. Then the composition of f with the projection of C onto $A^{(i)}$ is an epimorphism $f_i: A \to A^{(i)}$. We then obtain from an easy application of Theorem 2.1 that there exists $n \ge 1$ and $\alpha \ne 0$ in R such that $af_i(A) \subseteq R^{\omega}A^{(i)}$. Since each f_i is an epimorphism, it follows that $aA^{(i)} \subseteq R^{\omega}A^{(i)}$ for i > n.

Now let $z \in R^{\omega}A^{(i)}$, where i > n. If $b \neq 0$ is in R, then there exists $x \in A^{(i)}$ such that abx = z. Hence, setting y = ax, we have that $y \in R^{\omega}A^{(i)}$ and by = z. It then follows that $R^{\omega}A^{(i)}$ is divisible, and so $R^{\omega}A^{(i)} \subseteq d(A^{(i)})$. Therefore $aA^{(i)} \subseteq R^{\omega}A^{(i)} \subseteq d(A^{(i)})$ for i > n, completing the proof of the theorem.

We end this section with a proposition which will be useful in the proof of some later results.

PROPOSITION 2.4. Let $\{A^{(i)}\}$ be a sequence of finitely generated modules over an integral domain R, and set $A=\prod_{i=1}^{\infty}A^{(i)}$. Let $C=\sum_{\alpha} \bigoplus C_{\alpha}$, where each C_{α} is a finitely generated torsion R-module. If $f\colon A\to C$ is an R-homomorphism, then there exists $c\in R$ such that cf(A)=0 but $c\neq 0$.

Proof. As before we let \mathscr{F} be the filter of all nonzero principal ideals of R. Clearly $R^{\omega}C_{\alpha}=0$ for all α , and so we may apply Theorem 2.1 to obtain $a\neq 0$ in R and an integer n>0 such that $af_{\alpha}(A_n)=0$ for all but finitely many α , where $A_n=\prod_{i=n+1}^{\infty}A^{(i)}$ and $f_{\alpha}\colon A\to C_{\alpha}$ is defined as before. Say this condition holds for $\alpha\neq\alpha_1,\cdots,\alpha_r$; then, since each C_{α} is finitely generated and torsion, there exists $a'\neq 0$ in R such that $a'C_{\alpha_i}=0$ for $i=1,\cdots,r$, in which case $aa'f(A_n)=0$. Since

each $A^{(i)}$ is finitely generated and C is a torsion module, there exists $a'' \neq 0$ in R such that $a''f(A^{(i)}) = 0$ for $i \leq n$. Set c = aa'a''; then $c \neq 0$ and, since $A = A^{(1)} \oplus \cdots \oplus A^{(n)} \oplus A_n$, it is clear that cf(A) = 0, completing the proof of the proposition.

3. Applications to Abelian groups. This section is devoted to a discussion of the results of Baer, Rotman, and Nunke mentioned in the introduction.

THEOREM 3.1 (see [1], Lemma 4.1, p. 231). Let $\{A^{(i)}\}$ be a sequence of finitely generated modules over a principal ideal domain R, and set $A = \prod_{i=1}^{\infty} A^{(i)}$. If C is a torsion R-module which is an epimorphic image of A, then C is the direct sum of a divisible module and a module of bounded order.

Proof. For each prime p in R, let C_p be the p-primary component of C and C'_p be a basic submodule of C_p (see [5], p. 98;) i.e., C'_p is a direct sum of cyclic modules and is a pure submodule of C_p , and C_p/C'_p is divisible. Set $C' = \sum_p \bigoplus C'_p$; then, since $C = \sum_p \bigoplus C_p$, C' is a pure submodule of C and C/C' is divisible. Also, C' is a direct sum of cyclic modules.

We now apply the fundamental result of Szele ([5], Theorem 32.1, p. 106) to conclude that C_p' is an endomorphic image of C_p for each prime p, from which it follows that C' is an endomorphic image of C. Since by hypothesis C is an epimorphic image of A, we then see that there exists an epimorphism $f: A \to C'$. By Proposition 2.4, there exists $c \neq 0$ in R such that cC = cf(A) = 0; i.e., C' has bounded order. Since C' is a pure submodule of C, we may apply Theorem 7 of [6] (p. 18) to conclude that C' is a direct summand of C. Since C/C' is divisible, the proof is complete.

For the case in which R is the ring of rational integers, the assertion of Theorem 3.1 follows from the work of Nunke [9].

In the remainder of this note, R will be a Dedekind ring which is not a field. If A and C are R-modules, we shall write $\operatorname{Ext}(A, C)$ for $\operatorname{Ext}_R^1(A, C)$. The following two lemmas are well-known, but to our knowledge have not appeared explicitly in the literature.

LEMMA 3.2. Let $a \neq 0$ be a nonunit in R, and let A and C be R-modules. Assume that aC = 0, and a operates faithfully on A (i.e., ax = 0 for $x \in A$ only if x = 0.) Then $\operatorname{Ext}(A, C) = 0$.

 $^{^2}$ The definition and properties of basic submodules used here, as well as the theorem of Szele applied in the following paragraph, are in [5] given only for the special case in which R is the ring of rational integers. However, it is well-known that these results can be trivially extended to modules over an arbitrary principal ideal domain.

Proof. Since a operates faithfully on A, we obtain the exact sequence—

$$0 \longrightarrow A \xrightarrow{m_a} A \longrightarrow A/aA \longrightarrow 0$$

where m_a is defined by $m_a(x) = ax$. This gives rise to the exact cohomology sequence—

$$\operatorname{Ext}(A, C) \xrightarrow{m_a^*} \operatorname{Ext}(A, C) \longrightarrow 0$$

where $m_a^*(u) = au$ for u in Ext(A, C). But, since aC = 0, we have that $m_a^* = 0$, and so it follows from exactness that Ext(A, C) = 0, completing the proof.

LEMMA 3.3. Let $a \neq 0$ be a nonunit in R, and A, C be R-modules. Assume that a operates faithfully on A. Then the following statements are equivalent:

- (a) a operates faithfully on Ext(A, C).
- (b) The natural mapping $\operatorname{Hom}(A, C) \to \operatorname{Hom}(A, C/aC)$ is an epimorphism.

Proof. Consider the exact sequence—

$$0 \longrightarrow C_a \longrightarrow C \xrightarrow{m_a} C \longrightarrow C/aC \longrightarrow 0$$

where $C_a = \{x \in C/ax = 0\}$ and m_a is defined as in Lemma 3.2. This sequence may be broken up into the following short exact sequences:

$$0 \longrightarrow C_a \longrightarrow C \xrightarrow{\mu} aC \longrightarrow 0$$
$$0 \longrightarrow aC \xrightarrow{\nu} C \longrightarrow C/aC \longrightarrow 0$$

where ν is the inclusion mapping and μ differs from m_a only by the obvious contraction of the range. Since $aC_a=0$ and a operates faithfully on A, we obtain from Lemma 3.2 that Ext $(A, C_a)=0$, and so the relevant portions of the resulting cohomology sequences are as follows:

$$0 \longrightarrow \operatorname{Ext}\left(A,\,C\right) \xrightarrow{\ \mu_{*} \ } \operatorname{Ext}\left(A,\,aC\right) \longrightarrow 0$$

$$\operatorname{Hom}(A, C) \longrightarrow \operatorname{Hom}(A, C/aC) \longrightarrow \operatorname{Ext}(A, aC) \xrightarrow{\nu_*} \operatorname{Ext}(A, C)$$
.

Since $m_a = \nu \mu$, we have that $m_{a*} = \nu_* \mu_*$, where m_{a*} : Ext $(A,C) \rightarrow$ Ext(A,C) is defined by $m_{a*}(u) = au$ for u in Ext(A,C). Hence (a) holds if and only if m_{a*} is a monomorphism. But this is true if and only if ν_* is a monomorphism, since μ_* is an isomorphism. But it is clear from the second exact sequence above that ν_* is a monomorphism if and only if (b) holds. The proof is hence complete.

In the remainder of this section we shall set $\prod = \prod_{i=1}^{\infty} R^{(i)}$, where $R^{(i)} \approx R$.

THEOREM 3.4. Let R be a Dedekind ring, and $a \neq 0$ be a nonunit in R. Set $C = \sum_{n=1}^{\infty} \bigoplus R/a^n R$. Let A be a torsion-free R-module satisfying the following conditions:

- (a) Every submodule of A of finite rank is projective.
- (b) a operates faithfully on $\operatorname{Ext}(A, C)$. Then, if $f \in \operatorname{Hom}(\Pi, A)$, $f(\Pi)$ has finite rank.

Proof. Assume that the statement is false for some $f \in \text{Hom }(\Pi, A)$. Then $f(\Pi)$ contains a submodule F_0 of countably infinite rank. Let $F = \{x \in A | a^n x \in F_0 \text{ for some } n\}$. Then F likewise has countably infinite rank. We may then apply condition (a) and a result of Nunke ([8], Lemma 8.3, p. 239) to obtain that F is projective, and then a result of Kaplansky ([7], Theorem 2, p. 330) to conclude that F is free. Let x_1, x_2, \cdots be a basis of F. Then there exist nonnegative integers ν_1, ν_2, \cdots such that $y_n = a^{\nu_n} x_n$ is in F_0 .

Let z_n generate the direct summand of C isomorphic to R/a^nR , and let \overline{z}_n be the image of z_n under the natural mapping of C onto $\overline{C}=C/aC$. Define an R-homomorphism $\theta_1\colon F\to \overline{C}$ by $\theta_1(x_n)=\overline{z}_{n+\nu_n}$. Observe that $\theta_1(aF)=0$, and so θ_1 induces a homomorphism $\theta_2\colon F/aF\to \overline{C}$. Now, it follows easily from the construction of F that the sequence $0\to F/aF\to A/aF\to A/F\to 0$ is exact, and a operates faithfully on A/F. We may then apply Lemma 3.2 to conclude that this sequence splits. It is then clear that θ_2 can be extended to a homomorphism $\theta\colon A\to \overline{C}$. We emphasize the fact that $\theta(x_n)=\overline{z}_{n+\nu_n}$.

Since a operates faithfully on Ext (A, C), we may now apply Lemma 3.3 to obtain $\varphi \in \text{Hom}(A, C)$ such that the diagram—



is commutative. Observe that, since $\theta(x_n) = \overline{z}_{n+\nu_n}$, $\varphi(x_n) \equiv z_{n+\nu_n}$ (mod aC). That is, the coefficient of $z_{n+\nu_n}$ in the expansion of $\varphi(x_n)$ is $1 + at_n$ for some $t_n \in R$. Since $y_n = a^{\nu_n} x_n$, the coefficient of $z_{n+\nu_n}$ in the expansion of $\varphi(y_n)$ is $a^{\nu_n} + a^{\nu_n+1} t_n$.

Set $g = \varphi f$; then $g \in \operatorname{Hom}(\Pi, C)$, and so we may apply Proposition 2.4 to conclude that $cg(\Pi) = 0$ for some $c \neq 0$ in R. Since each y_n is in $f(\Pi)$, and z_n generates a direct summand of C isomorphic to R/a^nR , it then follows from the preceding paragraph that $c(a^{\nu_n} + a^{\nu_n+1}t_n)$ is in $a^{n+\nu_n}R$ for all n, in which case $c(1+at_n)$ is in a^nR for all n. Let P

be any prime ideal in R containing a; then $1 + at_n$ is a unit modulo P^n for all n > 0, and so $c \in P^n$ for all n. Therefore c = 0, a contradiction. This completes the proof of the theorem.

COROLLARY 3.5. Let R be a Dedekind ring (not a field,) and let A be an R-module with the property that $\operatorname{Ext}(A,C)=0$ for any torsion module C. Then, if $f \in \operatorname{Hom}(\Pi,A), f(\Pi)$ is a projective module of finite rank.

Proof. We may apply a result of Nunke ([8], Theorem 8.4, p. 239) to obtain that A is torsion-free and every submodule of A of finite rank is projective. The corollary then follows immediately from Theorem 3.4.

The following special case of Theorm 3.4 was first proved by Rotman ([10], Theorem 3, p. 250) under an additional hypothesis whitch was later removed by Nunke ([9], p. 275.)

COROLLARY 3.6. Let A be an abelian group such that $\operatorname{Ext}(A, C) = 0$ for any torsion group C. Then A is slender.

Proof. We need only show that, for any $f \in \text{Hom}(\Pi, A)$, $f(\Pi)$ is slender. By Corollary 3.5, $f(\Pi)$ is free of finite rank. But it is well-known that a free abelian group is slender (see [5], Theorems 47.3 and 47.4, pp. 171–172.) The proof is hence complete.

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⁸ For the definition of a slender Abelian group we refer the reader to [9].

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