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**ON LOCALLY MEROMORPHIC FUNCTIONS WITH  
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# ON LOCALLY MEROMORPHIC FUNCTIONS WITH SINGLE-VALUED MODULI

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1. A meromorphic function of bounded characteristic in a disk is the quotient of two bounded analytic functions. This classical theorem can be extended to open Riemann surfaces  $W$  as follows. Consider the class  $MB$  of meromorphic functions  $w$  of bounded characteristic on  $W$ , defined in terms of capacity functions on subregions. Let  $L$  be the class of harmonic functions on  $W$ , regular except for logarithmic singularities with integral coefficients. Then  $w \in MB$  if and only if  $\log |w|$  is the difference of two positive functions in  $L$ . We shall construct these functions directly on  $W$ , without making use of uniformization.

The proof offers no essential difficulties. If  $\log |w|$  is regular at the singularity of the capacity functions, then the classical reasoning carries over almost verbatim. In the general case we introduce the extended class  $M_e$  of locally meromorphic functions  $e^{u+iu^*}$ ,  $u \in L$ , with single-valued moduli. This class seems to offer some interest in its own right.

2. The class  $O_{M_e B}$  of Riemann surfaces not admitting nonconstant  $M_e B$ -functions coincides with the class  $O_g$  of parabolic surfaces. Regarding the subclass  $MB \subset M_e B$  and the strict inclusion relations  $O_{HB} < O_{MB} < O_{AB}$ , we refer to the pioneering work on Lindelöfian maps by M. Heins [2, 3] and M. Parreau [4], and the doctoral dissertation of K. V. R. Rao [5].

## § 1. Definitions.

3. Let  $W$  be an arbitrary open Riemann surface. Given  $\zeta \in W$  let  $\Omega$ ,  $\zeta \in \Omega$ , be a relatively compact subregion of  $W$  whose boundary  $\beta_\Omega$  consists of a finite number of analytic Jordan curves. The Green's function on  $\Omega$  with pole at  $\zeta$  is denoted by  $g_\Omega(z, \zeta)$ . For  $\Omega_0 \subset \Omega$  we have  $g_{\Omega_0} \leq g_\Omega$  in  $\Omega_0$  and  $\lim_{\Omega_0 \rightarrow W} g_\Omega(z, \zeta)$  either  $\equiv \infty$  or else = the Green's function  $g(z, \zeta)$  of  $W$ . By definition, the class  $O_g$  of parabolic Riemann surfaces consists of those  $W$  on which no  $g(z, \zeta)$  exists. An equivalent definition of  $O_g$  is that there are no nonconstant nonnegative superharmonic functions on  $W$ .

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4. The capacity function  $p_\Omega(z, \zeta)$  on  $\Omega$  with pole at  $\zeta$  is defined as the harmonic function with singularity

$$p_\Omega(z, \zeta) - \log |z - \zeta| \rightarrow 0$$

as  $z \rightarrow \zeta$  and such that

$$p_\Omega(z, \zeta) = k_\Omega = \text{const. on } \beta_\Omega .$$

It is known [1] that  $k_{\Omega_0} \leq k_\Omega$  and the limit  $k_\beta = \lim k_\Omega$  is thus well-defined. A necessary and sufficient condition for  $W \in O_\sigma$  is  $k_\beta = \infty$ .

5. Let  $M$  be the class of meromorphic functions  $w$  on  $W$ . The proximity function of  $w$  is defined [7] as

$$(1) \quad m(\Omega, w) = m(\Omega, \infty) = \frac{1}{2\pi} \int_{\beta_\Omega}^+ \log |w| dp_\Omega^* .$$

If  $\beta_h$  is the level line  $p_\Omega = h$ ,  $-\infty \leq h \leq k_\Omega$ , and  $n(h, \infty)$  signifies the number of poles of  $w$  in  $\bar{\Omega}_h: p_\Omega \leq h$ , counted with multiplicities, then the counting function is defined as

$$(2) \quad N(\Omega, w) = N(\Omega, \infty) \\ = \int_{-\infty}^{k_\Omega} (n(h, \infty) - n(-\infty, \infty)) dh + n(-\infty, \infty) k_\Omega .$$

The characteristic function is, by definition,

$$T(\Omega) = T(\Omega, w) = m(\Omega, w) + N(\Omega, w) .$$

The function  $w$  has at  $\zeta$  the Laurent expansion

$$(3) \quad w(z) = c_\lambda (z - \zeta)^\lambda + c_{\lambda+1} (z - \zeta)^{\lambda+1} + \dots ,$$

$c_\lambda \neq 0$ , and the Jensen formula reads [7, 8]

$$(4) \quad T(\Omega, w) = T(\Omega, w^{-1}) + \log |c_\lambda| .$$

6. We shall need a class  $M_e$  more comprehensive than  $M$ . We introduce:

**DEFINITIONS.** *The class  $L$  consists of functions  $u$  on  $W$ , harmonic except for logarithmic singularities  $\lambda_i \log |z - z_i|$  at  $z_i$ ,  $i = 1, 2, \dots$ , with integral coefficients  $\lambda_i$ . The subclass of nonnegative functions in  $L$  will be denoted by  $LP$ .*

*The class  $M_e$  is defined to consist of (multiple-valued) functions of the form*

$$(5) \quad w = e^{u+iu^*} , \quad u \in L .$$

The conjugate function  $u^*$  has periods around  $z_i$  and along some cycles in  $W$ . Every branch of  $w$  is locally meromorphic, the branches differing by multiplicative constants  $c$  with  $|c| = 1$ . The modulus  $|w|$  is single-valued throughout  $W$ .

The quantities  $m(\Omega, w)$ ,  $N(\Omega, w)$ ,  $T(\Omega, w)$ , and the Jensen formula carry over to  $M_e$  without modifications [7]. We further introduce:

DEFINITION. *The class  $MB$  (or  $M_eB$ ) consists of functions  $w$  in  $M$  (or  $M_e$ ) with bounded characteristics,*

$$(6) \quad T(\Omega) = O(1).$$

Explicitly, one requires the existence of a bound  $C < \infty$  independent of  $\Omega$  such that  $T(\Omega) < C$  for all  $\Omega \subset W$ . That (6) is independent of  $\zeta$  will be a consequence of a decomposition theorem which we proceed to establish.

## § 2. The decomposition theorem.

7. We continue considering arbitrary open Riemann surfaces  $W$ .

THEOREM. *A necessary and sufficient condition for  $w \in M_eB$  on  $W$  is that*

$$(7) \quad \log |w| = u - v,$$

where  $u, v \in LP$ .

The proof will be given in nos. 8-18. As a corollary we observe that  $w \in MB$  on  $W$  if and only if (7) holds.

8. First we shall discuss in nos. 8-11 the case  $w(\zeta) = 0$  or  $\infty$ .

Suppose  $w \in M_eB$ . We begin by showing that  $W \notin O_\sigma$ . If  $w(\zeta) = \infty$ , then

$$T(\Omega) \geq N(\Omega, w) \geq n(-\infty, \infty)k_\Omega \geq k_\Omega.$$

From  $W \in O_\sigma$  it would follow that  $k_\Omega \rightarrow \infty$  as  $\Omega \rightarrow W$  and consequently  $T(\Omega) \rightarrow \infty$ , a contradiction. We conclude that  $W \notin O_\sigma$ . If  $w(\zeta) = 0$ , then in Jensen's formula

$$T(\Omega, w) = T\left(\Omega, \frac{1}{w}\right) + O(1)$$

we have

$$T\left(\Omega, \frac{1}{w}\right) \geq N\left(\Omega, \frac{1}{w}\right) \geq n(-\infty, 0)k_\Omega \geq k_\Omega$$

and arrive at the same conclusion  $W \notin O_g$ .

On the other hand, if condition (7) is true, the existence of nonnegative superharmonic functions  $u, v$  implies  $W \notin O_g$ . Thus either condition of the theorem gives the hyperbolicity of  $W$ , and we may henceforth assume the existence of  $g(z, \zeta)$  on  $W$  if  $w(\zeta) = 0$  or  $\infty$ .

### 9. The functions

$$(8) \quad \varphi(z) = e^{\lambda(g(z, \zeta) + i\theta^*(z, \zeta))},$$

$$(9) \quad w_1(z) = w(z)\varphi(z)$$

belong to  $M_c$ . We shall show:

**LEMMA.** *A necessary and sufficient condition for  $w \in M_c B$  is that  $w_1 \in M_c B$ .*

*Proof.* By definition,

$$(10) \quad T(\Omega, \varphi) = N(\Omega, \varphi) + m(\Omega, \varphi).$$

For  $\lambda > 0$  we have trivially  $N(\Omega, \varphi^{-1}) \equiv 0, m(\Omega, \varphi^{-1}) \equiv 0$ , hence  $T(\Omega, \varphi^{-1}) \equiv 0$ , and it follows from Jensen's formula that  $T(\Omega, \varphi) = O(1)$ . If  $\lambda < 0$ , then  $N(\Omega, \varphi) \equiv m(\Omega, \varphi) \equiv 0$ , and  $T(\Omega, \varphi) \equiv 0$ , hence  $T(\Omega, \varphi^{-1}) = O(1)$ . In both cases

$$(11) \quad T(\Omega, \varphi) = O(1), T(\Omega, \varphi^{-1}) = O(1).$$

The inequalities

$$T(\Omega, w) \leq T(\Omega, w_1) + T(\Omega, \varphi^{-1}) = T(\Omega, w_1) + O(1),$$

$$T(\Omega, w_1) \leq T(\Omega, w) + T(\Omega, \varphi) = T(\Omega, w) + O(1)$$

yield

$$(12) \quad T(\Omega, w) = T(\Omega, w_1) + O(1)$$

and the lemma follows.

10. The following intermediate result can now be established:

**LEMMA.** *A necessary and sufficient condition for*

$$(13) \quad \log |w| = u - v$$

*with  $u, v \in LP$  is that*

$$(14) \quad \log |w_1| = u_1 - v_1$$

*with  $u_1, v_1 \in LP$ .*

*Proof.* We know that

$$(15) \quad \log |w_1| = \log |w| + \lambda g = \log |w| + (n_0 - n_\infty)g,$$

where  $n_0, n_\infty$  are the multiplicities of the zero or pole of  $w(z)$  at  $\zeta$ . If (13) is true, then

$$(16) \quad \log |w_1| = (u + n_0g) - (v + n_\infty g)$$

and (14) follows. Conversely, (14) implies

$$(17) \quad \log |w| = (u_1 + n_\infty g) - (v_1 + n_0g).$$

This proves the lemma.

11. We conclude that Theorem 7 will be proved for  $w$  with  $w(\zeta) = 0$  or  $\infty$  if we establish it for  $w_1$ . Since  $w_1(\zeta) \neq 0, \infty$ , the proof for  $w_1$  will also apply to  $w$  with this property. Explicitly, we are to show that  $w_1 \in M_e B$  if and only if  $\log |w_1| = u_1 - v_1, u_1, v_1 \in LP$ .

12. Let  $p_{\zeta z}$  be the capacity function in  $\Omega$  with pole at  $z$ . For a harmonic function  $h$  on  $\bar{\Omega}$  it is known [7] that

$$(18) \quad h(z) = \frac{1}{2\pi} \int_{\beta_\Omega} h dp_{\zeta z}^*.$$

Denote by  $a_\mu, b_\nu$  the zeros and poles of  $w$  in  $W$ . Those in  $W - \zeta$  are the zeros and poles of  $w_1$  in  $W$ . Suppose first there is no  $a_\mu, b_\nu$  on  $\beta_\Omega$ . Then the function

$$(19) \quad h(z) = \log |w_1(z)| + \sum_{a_\mu \in \bar{\Omega} - \zeta} g_\Omega(z, a_\mu) - \sum_{b_\nu \in \bar{\Omega} - \zeta} g_\Omega(z, b_\nu)$$

is harmonic on  $\bar{\Omega}$ . Throughout this paper the zeros and poles are counted with their multiplicities. We set

$$(20) \quad x_\Omega(z, w_1) = \frac{1}{2\pi} \int_{\beta_\Omega}^+ \log |w_1| dp_{\zeta z}^*,$$

$$(21) \quad y_\Omega(z, w_1) = \sum_{b_\nu \in \bar{\Omega} - \zeta} g_\Omega(z, b_\nu),$$

and

$$(22) \quad u_\Omega(z, w_1) = x_\Omega(z, w_1) + y_\Omega(z, w_1).$$

Then

$$(23) \quad \log |w_1(z)| = u_\Omega(z, w_1) - u_\Omega(z, w_1^{-1}).$$

Since all terms are continuous in  $a_\mu, b_\nu$ , the equation remains valid if there are zeros or poles of  $w$  on  $\beta_\Omega$ .

We observe that

$$(24) \quad x_\rho(\zeta, w_1) = m(\Omega, w_1) ,$$

$$(25) \quad y_\rho(\zeta, w_1) = N(\Omega, w_1) .$$

Here we shall only make use of the consequence

$$(26) \quad u_\rho(\zeta, w_1) = T(\Omega, w_1) .$$

13. We next show:

LEMMA. For  $\Omega_0 \subset \Omega$ ,

$$(27) \quad u_{\rho_0}(z, w_1) \leq u_\rho(z, w_1) ,$$

$$(27)' \quad u_{\rho_0}(z, w_1^{-1}) \leq u_\rho(z, w_1^{-1}) .$$

*Proof.* By (23),

$$(28) \quad \log^+ |w_1(z)| \leq u_\rho(z, w_1)$$

for every  $\Omega$ . It follows that

$$\begin{aligned} x_{\rho_0}(z, w_1) &\leq \frac{1}{2\pi} \int_{\beta_{\rho_0}} u_\rho(t, w_1) dp_{\rho_0}^* z \\ &= \frac{1}{2\pi} \int_{\beta_{\rho_0}} (u_\rho(t, w_1) - y_{\rho_0}(t, w_1)) dp_{\rho_0}^* z \\ &= u_\rho(z, w_1) - y_{\rho_0}(z, w_1) , \end{aligned}$$

because this difference is regular harmonic in  $\Omega_0$ . We have reached statement (27),

$$x_{\rho_0}(z, w_1) + y_{\rho_0}(z, w_1) \leq u_\rho(z, w_1) ,$$

and inequality (27)' follows in the same fashion.

14. From (26) and (27) we infer that  $T(\Omega, w_1)$  increases with  $\Omega$ . We can set

$$(29) \quad T(W, w_1) = \lim_{\Omega \rightarrow W} T(\Omega, w_1)$$

and use alternatively the notations  $T(\Omega) = 0(1)$  and  $T(W) < \infty$ .

15. The convergence of  $u_\rho$  can now be established:

LEMMA. If  $T(W, w_1) < \infty$ , then the functions

$$(30) \quad u(z, w_1) = \lim_{\rho \rightarrow W} u_\rho(z, w_1) ,$$

$$(30) \quad u(z, w_1^{-1}) = \lim_{\varrho \rightarrow W} u_\varrho(z, w_1^{-1})$$

are positive harmonic on  $W$  except for logarithmic poles of  $u(z, w_1)$  at the  $b_\nu \in W - \zeta$  and those of  $u(z, w_1^{-1})$  at the  $\alpha_\mu \in W - \zeta$ .

*Proof.* By Harnack's principle the limit in (30) is either identically infinite or else harmonic on  $W - \{b_\nu\}$ . That the latter alternative occurs is a consequence of

$$\lim_{\varrho \rightarrow W} u_\varrho(\zeta, w_1) = T(W, w_1) .$$

The statement for  $u_\varrho(z, w_1^{-1})$  follows similarly from  $u_\varrho(\zeta, w_1^{-1}) = T(\Omega, w_1^{-1}) = T(\Omega, w_1) + O(1)$ .

16. On combining the lemma with (23) we see that  $w_1 \in M_e B$  has the asserted representation

$$(31) \quad \log |w_1(z)| = u(z, w_1) - u(z, w_1^{-1})$$

with the  $u$ -functions in  $LP$ . It remains to establish the converse.

17. Suppose

$$(32) \quad \log |w_1(z)| = u_1(z) - v_1(z)$$

where  $u_1, v_1 \in LP$ . The positive logarithmic poles of  $u_\varrho(z, w_1)$  are those of  $\log |w_1(z)|$  in  $\Omega$ , hence among those of  $u_1(z)$ . Consequently  $u_1(z) - u_\varrho(z, w_1)$  is superharmonic in  $\Omega$  and its minimum on  $\bar{\Omega}$  is reached on  $\beta_\varrho$ , where  $u_1(z) - u_\varrho(z, w_1) = u_1(z) - \log |w_1(z)| \geq 0$ . One infers that  $u_1(z) \geq u_\varrho(z, w_1)$  in  $\bar{\Omega}$ . At  $\zeta$  this means

$$(33) \quad T(\Omega, w_1) = u_\varrho(\zeta, w_1) \leq u_1(\zeta) .$$

If  $u_1(\zeta) < \infty$ , the proof is complete.

18. If  $u_1(\zeta) = \infty$ , then

$$(34) \quad u_1(z) + \lambda_1 \log |z - \zeta|$$

is harmonic at  $\zeta$  for some positive integer  $\lambda_1$ . We set

$$(35) \quad w_2 = w_1 \cdot e^{-\lambda_1(g+i\vartheta^*)} \in M_e ,$$

where  $g = g(z, \zeta)$ , and obtain

$$(36) \quad \log |w_2| = \log |w_1| - \lambda_1 g = (u_1 - \lambda_1 g) - v_1 .$$

The function  $u_1 - \lambda_1 g_\varrho$  with  $g_\varrho = g_\varrho(z, \zeta)$  is superharmonic on  $\Omega$ , hence its minimum on  $\bar{\Omega}$  is taken on  $\beta_\varrho$ , where



$$(37) \quad u_1 - \lambda_1 g_\Omega = u_1 \geq 0 .$$

From  $u_1 \geq \lambda_1 g_\Omega$  on  $\Omega$  it follows that

$$(38) \quad u_1 - \lambda_1 g = \lim_{\Omega \rightarrow W} (u_1 - \lambda_1 g_\Omega) \geq 0$$

on  $W$ . On setting

$$(39) \quad u_2 = u_1 - \lambda_1 g, v_2 = v_1$$

one gets

$$(40) \quad \log |w_2| = u_2 - v_2$$

with  $u_2, v_2 \in LP$ .

The positive logarithmic poles of  $u_\Omega(z, w_2)$  are those of  $\log |w_2|$  on  $\Omega$ , hence among those of  $u_2$ . The minimum of the superharmonic function  $u_2(z) - u_\Omega(z, w_2)$  on  $\bar{\Omega}$  is taken on  $\beta_\Omega$ , where it is

$$\min_{\beta_\Omega} (u_2 - \log^+ |w_2|) \geq 0 .$$

One infers that

$$(41) \quad T(\Omega, w_2) = u_\Omega(\zeta, w_2) \leq u_2(\zeta) < \infty ,$$

that is,  $T(\Omega, w_2) = O(1)$ . The reasoning leading to (12) yields

$$(42) \quad T(\Omega, w_1) = T(\Omega, w_2) + O(1) ,$$

and consequently  $T(\Omega, w_1) = O(1)$ .

We have shown that (32) implies  $T(W, w_1) < \infty$ . The proof of Theorem 7 is complete.

19. As an immediate consequence we see that the property  $T(\Omega, w) = O(1)$  and thus the class  $M_e B$  is independent of  $\zeta$ .

### § 3. Extremal decompositions.

20. Consider an arbitrary  $w \in M_e$ . In contrast with no. 12 we now make no restrictive assumptions on  $w(\zeta)$  and form

$$(43) \quad x_\Omega(z, w) = \frac{1}{2\pi} \int_{\beta_\Omega}^+ \log |w| dp_{\Omega}^* ,$$

$$(44) \quad y_\Omega(z, w) = \sum_{b_\nu \in \Omega} g_\Omega(z, b_\nu) ,$$

$$(45) \quad u_\Omega(z, w) = x_\Omega(z, w) + y_\Omega(z, w) .$$

It is seen as in no. 13 that  $u_\Omega$  increases with  $\Omega$  and that

$$(46) \quad u(z, w) = \lim_{\Omega \rightarrow W} u_{\alpha}(z, w)$$

is either identically infinite or else positive harmonic on  $W$  except for logarithmic poles  $b_{\nu}$ . The same is true of

$$(47) \quad u(z, w^{-1}) = \lim_{\Omega \rightarrow W} u_{\alpha}(z, w^{-1})$$

with singularities  $a_{\mu}$ .

The functions (46) and (47) will now be shown to be extremal in all decompositions (7):

**THEOREM.** *If there is a decomposition*

$$(48) \quad \log |w(z)| = u_1(z) - u_2(z)$$

with  $u_1, u_2 \in LP$ , then also

$$(49) \quad \log |w(z)| = u(z, w) - u(z, w^{-1})$$

and

$$(50) \quad \begin{aligned} u(z, w) &\leq u_1(z) \\ u(z, w^{-1}) &\leq u_2(z) . \end{aligned}$$

*Proof.* One observes that the positive logarithmic poles of  $u_{\alpha}(z, w)$  are those of  $\log |w(z)|$  in  $\Omega$ , hence among those of  $u_1(z)$  in  $\Omega$ . The superharmonic function  $u_1(z) - u_{\alpha}(z, w)$  in  $\Omega$  dominates

$$\min_{\beta_{\Omega}} (u_1(z) - \log |w(z)|) \geq 0$$

and we find that  $u_1(z) - u(z, w) = \lim_{\Omega \rightarrow W} (u_1(z) - u_{\alpha}(z, w)) \geq 0$  in  $W$ . Similarly, the superharmonic function  $u_2(z) - u_{\alpha}(z, w^{-1}) \geq 0$  on  $\Omega$ , and  $u_2(z) \geq u(z, w^{-1})$  on  $W$ . By virtue of Harnack's principle, equality (49) then follows on letting  $\Omega \rightarrow W$  in

$$(51) \quad \log |w(z)| = u_{\alpha}(z, w) - u_{\alpha}(z, w^{-1}) .$$

21. The extremal functions  $u(z, w), u(z, w^{-1})$  can in turn be decomposed:

**THEOREM.** *A function  $w$  on  $W$  belongs to  $M_e B$  if and only if*

$$(52) \quad \log |w| = (x(z, w) + y(z, w)) - (x(z, w^{-1}) + y(z, w^{-1})) ,$$

where the functions  $x \geq 0$  are regular harmonic and the functions  $y \geq 0$  have the representations

$$(53) \quad \begin{aligned} y(z, w) &= \Sigma g(z, b_\nu) \\ y(z, w^{-1}) &= \Sigma g(z, a_\mu) . \end{aligned}$$

Here the sums are extended over all poles  $b_\nu$  and all zeros  $a_\mu$  of  $w$  on  $W$  respectively, each counted with its multiplicity.

22. Suppose indeed that  $w \in M_e B$ . It is evident from the maximum principle that

$$(54) \quad y_{\rho_0}(z, w) \leq y_\rho(z, w)$$

for  $\Omega_0 \subset \Omega$ . We know that

$$(55) \quad \log |w| = u_1 - u_2 ,$$

$u_1, u_2 \in LP$ , and the superharmonic function  $u_1(z) - y_\rho(z, w)$  on  $\Omega$  cannot exceed  $\min_{\beta \rho} u_1 \geq 0$ . Hence  $y_\rho(z, w) \leq u_1(z)$  on  $\Omega$  and, by Harnack's principle,

$$(56) \quad y(z, w) = \lim_{\Omega \rightarrow W} y_\rho(z, w)$$

is positive harmonic on  $W$  except for logarithmic poles  $b_\nu$ . Analogous reasoning shows that

$$(57) \quad y(z, w^{-1}) = \lim_{\Omega \rightarrow W} y_\rho(z, w^{-1})$$

is positive harmonic on  $W - \{a_\mu\}$ .

23. To prove (53) we must show that

$$(58) \quad \lim_{\Omega \rightarrow W} \sum_{b_\nu \in \Omega} g_\rho(z, b_\nu) = \sum_{b_\nu \in W} g(z, b_\nu)$$

and similarly for  $\Sigma g(z, a_\mu)$ . First,

$$(59) \quad \sum_{b_\nu \in \Omega} g_\rho(z, b_\nu) \leq \sum_{b_\nu \in \Omega} g(z, b_\nu) \leq \sum_{b_\nu \in W} g(z, b_\nu) ,$$

and we have

$$(60) \quad \overline{\lim}_{\Omega \rightarrow W} \sum_{b_\nu \in \Omega} g_\rho(z, b_\nu) \leq \sum_{b_\nu \in W} g(z, b_\nu) .$$

Second, for  $\Omega_0 \subset \Omega$ ,

$$(61) \quad \sum_{b_\nu \in \Omega_0} g(z, b_\nu) = \lim_{\Omega \rightarrow W} \sum_{b_\nu \in \Omega_0} g_\rho(z, b_\nu) \leq \lim_{\Omega \rightarrow W} \sum_{b_\nu \in \Omega} g_\rho(z, b_\nu)$$

and a fortiori

$$(62) \quad \sum_{b_\nu \in W} g(z, b_\nu) = \lim_{\Omega_0 \rightarrow W} \sum_{b_\nu \in \Omega_0} g(z, b_\nu) \leq \lim_{\Omega \rightarrow W} \sum_{b_\nu \in \Omega} g_\rho(z, b_\nu) .$$

Statement (58) follows.

24. The convergence of  $x_\rho(z, w)$  is obtained at once from

$$(63) \quad x_\rho(z, w) = u_\rho(z, w) - y_\rho(z, w),$$

and the limiting function is

$$(64) \quad x(z, w) = u(z, w) - y(z, w).$$

The limit  $x(z, w^{-1})$  of  $x_\rho(z, w^{-1})$  is obtained in the same way. Both limits are obviously positive and regular harmonic on  $W$ .

Necessity of (52) for  $w \in M_e B$  has thus been established. Sufficiency is a corollary of the main Theorem 7.

#### § 4. Consequences.

25. If only the  $x$ -terms in (52) are considered, the following corollary of Theorem 21 is obtained:

**THEOREM.** *If  $w \in M_e B$  on  $W$ , then*

$$(65) \quad \lim_{\rho \rightarrow W} \int_{\beta_\rho} |\log |w|| dp_\rho^* < \infty$$

for any  $\zeta$ .

Here  $p_\rho$  signifies, as before, the capacity function on  $\Omega$  with pole at  $\zeta$ . For the proof we have

$$(66) \quad \int_{\beta_\rho} |\log |w|| dp_\rho^* = \int_{\beta_\rho} \log^+ |w| dp_\rho^* + \int_{\beta_\rho} \log^+ \left| \frac{1}{w} \right| dp_\rho^* \\ = 2\pi(x_\rho(\zeta, w) + x_\rho(\zeta, w^{-1})),$$

and this quantity tends to

$$(67) \quad 2\pi(x(\zeta, w) + x(\zeta, w^{-1})) < \infty.$$

The limit (65) thus exists.

26. A consideration of the  $y$ -terms in (52) gives:

**THEOREM.** *Suppose  $w \in M_e B$ . Then the sum  $\Sigma g(z, z_i)$ , with  $z_i$  ranging over all poles and zeros of  $w$ , is harmonic on  $W - \{a_\mu\} - \{b_\nu\}$ .*

In fact,

$$\begin{aligned}
 (68) \quad \sum_{z_i \in W} g(z, z_i) &= \lim_{\Omega \rightarrow W} \sum_{z_i \in \Omega} g(z, z_i) \\
 &= \lim_{\Omega \rightarrow W} \left( \sum_{a_\mu \in \Omega} g(z, a_\mu) + \sum_{b_\nu \in \Omega} g(z, b_\nu) \right) \\
 &= \sum_{a_\mu \in W} g(z, a_\mu) + \sum_{b_\nu \in W} g(z, b_\nu) .
 \end{aligned}$$

27. For a sufficient condition the first terms of both  $x$ - and  $y$ -parts in (52) must be taken into account:

**THEOREM.** *If for some  $\zeta \in W$*

$$(69) \quad \int_{\beta_\Omega}^+ \log |w| dp_\Omega^* = O(1)$$

and

$$(70) \quad \sum_{b_\nu \in W} g(z, b_\nu) < \infty \text{ in } W - \{b_\nu\},$$

then  $w \in M_e B$  and hence

$$(71) \quad \lim_{\Omega \rightarrow W} \int_{\beta_\Omega} |\log |w|| dp_\Omega^* < \infty$$

and

$$(72) \quad \sum_{a_\mu \in W} g(z, a_\mu) < \infty \text{ on } W - \{a_\mu\}$$

as well.

Indeed, the characteristic

$$\begin{aligned}
 T(\Omega) &= u_\Omega(\zeta, w) = x_\Omega(\zeta, w) + y_\Omega(\zeta, w) \\
 &= \frac{1}{2\pi} \int_{\beta_\Omega}^+ \log |w| dp_\Omega^* + \sum_{b_\nu \in \Omega} g_\Omega(\zeta, b_\nu)
 \end{aligned}$$

is  $O(1)$  if (69), (70) hold. Properties (71), (72) then follow from  $w \in M_e B$ .

Another sufficient condition for  $w \in M_e B$  is, of course, that  $\int_{\beta_\Omega}^+ \log |w^{-1}| dp_\Omega$  is bounded and  $\Sigma g(\zeta, a_\mu) < \infty$  in  $W - \{a_\mu\}$ .

28. For "entire" functions in  $M_e B$  the conditions simplify. Let  $E_e B$  be the class of such functions, characterized by  $w(z) \neq \infty$  on  $W$ .

**THEOREM.** *A necessary and sufficient condition for  $w \in E_e B$  on  $W$  is that*

$$(73) \quad \int_{\beta_\Omega}^+ \log |w| dp_\Omega = O(1) .$$

The proof is evident.

29. Consider the class  $H$  of regular harmonic functions  $h$  on  $W$  and let  $HP$  be the subclass of nonnegative functions. Set  $h^+ = \max(0, h)$ .

**THEOREM.** *A harmonic function  $h$  on  $W$  has a decomposition*

$$(74) \quad h = u_1 - u_2, \quad u_1, u_2 \in HP$$

*if and only if, for some  $\zeta$ ,*

$$(75) \quad \int_{\beta_\Omega} h^+ dp_\Omega^* = O(1),$$

*or, equivalently,*

$$(76) \quad \lim_{\Omega \rightarrow W} \int_{\beta_\Omega} |h| dp_\Omega^* < \infty.$$

*Proof.* The multiple-valued function  $w = e^{h+ih^*}$  is in  $M_e$ , and  $w \neq 0, \infty$  on  $W$ . If (74) is given, then  $\log |w| = u_1 - u_2$  and  $w \in M_e B$ . This implies

$$\lim_{\Omega \rightarrow W} \int_{\beta_\Omega} |\log |w|| dp_\Omega^* = \lim_{\Omega \rightarrow W} \int_{\beta_\Omega} |h| dp_\Omega^* < \infty$$

and consequently  $\int_{\beta_\Omega} h^+ dp_\Omega^* = O(1)$ . Conversely, suppose the latter condition holds,

$$\int_{\beta_\Omega} \log^+ |w| dp_\Omega^* = O(1).$$

Then  $w \in M_e B$  and

$$h = \log |w| = x(z, w) - x(z, w^{-1}),$$

the  $y$ -terms vanishing because of the absence of zeros and poles of  $w$ .

It is known that functions  $u$  harmonic in the interior  $W$  of a compact bordered Riemann surface and with property (76) have a Poisson-Stieltjes representation (e.g., Rodin [6]). For further interesting results see Rao [5].

30. It is clear that theorems on  $\log |w|$  can also be expressed directly in terms of  $|w|$ . Theorem 7, e.g., takes the following form:

**THEOREM.**  *$w \in M_e B$  if and only if*

$$(77) \quad |w| = \left| \frac{\eta(z, w)}{\eta(z, w^{-1})} \right|,$$

where  $\eta \in M_e B$  and  $|\eta| < 1$  on  $W$ .

*Proof.* Suppose  $w \in M_e B$ , hence

$$(78) \quad \log |w| = u(z, w) - u(z, w^{-1}),$$

$u \in LP$ . Set

$$(79) \quad \eta(z, w) = \exp[-u(z, w^{-1}) - iu(z, w^{-1})^*],$$

and (77) follows. Conversely, if (77) is given, then

$$(80) \quad \log |w| = \log |\eta(z, w)| - \log |\eta(z, w^{-1})|$$

is a difference of two functions in  $LP$ , and we have  $w \in M_e B$ .

31. The counterpart of Theorem 21 is as follows:

**THEOREM.**  $w \in M_e B$  if and only if

$$(81) \quad |w| = \left| \frac{\varphi(z, w)\psi(z, w)}{\varphi(z, w^{-1})\psi(z, w^{-1})} \right|,$$

where  $\varphi, \psi \in M_e B$  and  $\varphi \neq 0$  on  $W$ ,  $|\varphi| < 1$ ,  $|\psi| < 1$ .

If  $w \in M_e B$ , choose

$$(82) \quad \begin{aligned} \varphi(z, w) &= \exp[-x(z, w^{-1}) - ix(z, w^{-1})^*], \\ \psi(z, w) &= \exp[-y(z, w^{-1}) - iy(z, w^{-1})^*], \end{aligned}$$

and we have (81). Conversely, (81) gives  $\log |w| = u_1 - u_2$  with  $u_1, u_2 \in LP$ , hence  $w \in M_e B$ .

32. We introduce the classes  $O_{MB}$  and  $O_{M_e B}$  of Riemann surfaces on which there are no nonconstant functions in  $MB$  and  $M_e B$  respectively. Similarly, let  $O_{EB}$  and  $O_{E_e B}$  be the subclasses determined by entire functions  $w(z) \neq \infty$  on  $W$  in  $MB$  and  $M_e B$ . The problem here is to arrange these four classes in the general classification scheme of Riemann surfaces [1].

The inclusion relations

$$(83) \quad \begin{aligned} O_{M_e B} &\subset O_{MB} \subset O_{EB}, \\ O_{M_e B} &\subset O_{E_e B} \subset O_{EB} \end{aligned}$$

are immediately verified.

33. The smallest class in (83) is easily identified:

**THEOREM.** *All functions in  $M_e B$  on  $W$  reduce to constants if and only if  $W$  is parabolic,*

$$(84) \quad O_G = O_{M_e B}.$$

*Proof.* If  $W \notin O_G$ , there is a Green's function  $g(z, \zeta)$ , and

$$(85) \quad w = e^{-g-i\vartheta^*} \in M_e B.$$

In fact,  $g$  is bounded above in any  $W - \Omega$ , hence  $m(\Omega, w) = O(1)$ , and  $N(\Omega, w) = 0$  gives  $T(\Omega) = O(1)$ . Conversely, if there is a nonconstant  $w \in M_e B$  on  $W$ , then  $\log |w| = u_1 - u_2$  where at least one  $u_i \in LP$  is nonconstant superharmonic. This means that  $W \notin O_G$ . The same proof gives  $O_G = O_{E_e B}$ .

34. By the preceding theorem, every  $M_e$ -function on a parabolic  $W$  has unbounded characteristic. Even more can be said of  $M$ -functions on the larger class  $O_{MB}$  by comparing  $T(\Omega)$  with  $k_\Omega$  (no. 4):

**THEOREM.** *On  $W \in O_{MB}$ , the characteristic  $T(\Omega)$  of any  $w \in M$  tends so rapidly to infinity that*

$$(86) \quad \lim_{\Omega \rightarrow W} \frac{T(\Omega)}{k_\Omega} \geq 1.$$

*Proof.* Let  $w(\zeta) = a$ . The counting function of  $w$  for  $a$  is, by definition,

$$N(\Omega, a) = \int_{-\infty}^{k_\Omega} (n(h, a) - n(-\infty, a)) dh + n(-\infty, a)k_\Omega,$$

where  $n(h, a)$  is the number of  $a$ -points of  $w$  in the set  $\bar{\Omega}_h: p_\Omega \leq h \leq k_\Omega$ . We obtain from the first fundamental theorem [7] that

$$(87) \quad T(\Omega) + O(1) \geq N(\Omega, a) \geq n(-\infty, a)k_\Omega,$$

and (86) follows.

Thus (86) is obviously a property of every  $w \in M$ ,  $w \notin MB$ , on every  $W$ .

35. We also observe:

**THEOREM.** *A function  $w \in M$  on  $W \in O_{MB}$  cannot omit a set of values of positive capacity.*



More accurately, the counting function  $N(\Omega, a)$  of  $w \in M$  on  $O_{MB}$  is unbounded on any set  $E$  of positive capacity. To see this we distribute mass  $d\mu(a) > 0$  at  $a \in E$ , with  $\int_E d\mu = 1$ , and integrate Jensen's formula

$$(88) \quad \log |w(\zeta) - a| = \frac{1}{2\pi} \int_{\beta_\Omega} \log |w - a| dp_\Omega^* + N(\Omega, \infty) - N(\Omega, a)$$

( $w(\zeta) \neq \infty$ ) over  $E$  with respect to  $d\mu(a)$ . We obtain Frostman's formula on  $W$ :

$$(89) \quad N(\Omega, \infty) - \frac{1}{2\pi} \int_{\beta_\Omega} u(w) dp_\Omega^* = \int_E N(\Omega, a) d\mu(a) - u(w(\zeta)),$$

where  $u(w) = \int_E \log |w - a|^{-1} d\mu(a)$ . For equilibrium distribution  $d\mu$  it is known from the classical theory that  $u(w) = -\log^+ |w| + O(1)$ , and a fortiori  $\int_{\beta_\Omega} u(w) dp_\Omega^* = -2\pi m(\Omega, \infty) + O(1)$ , where  $O(1)$  depends on  $E$  only. Substitution into (89) gives

$$(90) \quad T(\Omega) = \int_E N(\Omega, a) d\mu(a) + O(1).$$

This proves our assertion.

36. A comprehensive study of the role played by  $O_{MB}$  in the classification theory of Riemann surfaces is contained in the doctoral dissertation of K. V. R. Rao [5].

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Rafael Artzy, <i>Solution of loop equations by adjunction</i> .....	361
Earl Robert Berkson, <i>A characterization of scalar type operators on reflexive Banach spaces</i> .....	365
Mario Borelli, <i>Divisorial varieties</i> .....	375
Raj Chandra Bose, <i>Strongly regular graphs, partial geometries and partially balanced designs</i> .....	389
R. H. Bruck, <i>Finite nets. II. Uniqueness and imbedding</i> .....	421
L. Carlitz, <i>The inverse of the error function</i> .....	459
Robert Wayne Carroll, <i>Some degenerate Cauchy problems with operator coefficients</i> .....	471
Michael P. Drazin and Emilie Virginia Haynsworth, <i>A theorem on matrices of 0's and 1's</i> .....	487
Lawrence Carl Eggan and Eugene A. Maier, <i>On complex approximation</i> .....	497
James Michael Gardner Fell, <i>Weak containment and Kronecker products of group representations</i> .....	503
Paul Chase Fife, <i>Schauder estimates under incomplete Hölder continuity assumptions</i> .....	511
Shaul Foguel, <i>Powers of a contraction in Hilbert space</i> .....	551
Neal Eugene Foland, <i>The structure of the orbits and their limit sets in continuous flows</i> .....	563
Frank John Forelli, Jr., <i>Analytic measures</i> .....	571
Robert William Gilmer, Jr., <i>On a classical theorem of Noether in ideal theory</i> .....	579
P. R. Halmos and Jack E. McLaughlin, <i>Partial isometries</i> .....	585
Albert Emerson Hurd, <i>Maximum modulus algebras and local approximation in <math>C^n</math></i> .....	597
James Patrick Jans, <i>Module classes of finite type</i> .....	603
Betty Kvarda, <i>On densities of sets of lattice points</i> .....	611
H. Larcher, <i>A geometric characterization for a class of discontinuous groups of linear fractional transformations</i> .....	617
John W. Moon and Leo Moser, <i>Simple paths on polyhedra</i> .....	629
T. S. Motzkin and Ernst Gabor Straus, <i>Representation of a point of a set as sum of transforms of boundary points</i> .....	633
Rajakularaman Ponnuswami Pakshirajan, <i>An analogue of Kolmogorov's three-series theorem for abstract random variables</i> .....	639
Robert Ralph Phelps, <i>Čebyšev subspaces of finite codimension in <math>C(X)</math></i> .....	647
James Dolan Reid, <i>On subgroups of an Abelian group maximal disjoint from a given subgroup</i> .....	657
William T. Reid, <i>Riccati matrix differential equations and non-oscillation criteria for associated linear differential systems</i> .....	665
Georg Johann Rieger, <i>Some theorems on prime ideals in algebraic number fields</i> ...	687
Gene Fuerst Rose and Joseph Silbert Ullian, <i>Approximations of functions on the integers</i> .....	693
F. J. Sansone, <i>Combinatorial functions and regressive isols</i> .....	703
Leo Sario, <i>On locally meromorphic functions with single-valued moduli</i> .....	709
Takayuki Tamura, <i>Semigroups and their subsemigroup lattices</i> .....	725
Pui-kei Wong, <i>Existence and asymptotic behavior of proper solutions of a class of second-order nonlinear differential equations</i> .....	737
Fawzi Mohamad Yaqub, <i>Free extensions of Boolean algebras</i> .....	761