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**SEMIGROUPS AND THEIR SUBSEMIGROUP LATTICES**

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**1. Introduction.** Let  $S$  be a semigroup of order at least 2, and  $L(S)$  be the system of all subsemigroups of  $S$ . Generally  $L(S)$ , including the empty subset, is a lattice with respect to inclusion.  $L(S)$  is called the subsemigroup lattice of  $S$ . A semigroup  $S$  contains at least one nonempty subsemigroup besides  $S$  itself. In the previous paper [4], as the first step towards the investigation of the structure of  $S$  with a given type of  $L(S)$ , we determined all the  $\Gamma$ -semigroups,<sup>1</sup> namely, the semigroups  $S$ 's in which  $L(S)$ 's are chains. In the present paper we shall define  $\Gamma^*$ -semigroups as generalization of  $\Gamma$ -semigroups and shall obtain all the types of  $\Gamma^*$ -semigroups except for infinite simple  $\Gamma^*$ -groups.

Since all the semigroups of order 2 are  $\Gamma^*$ -semigroups, we shall treat non-trivial  $\Gamma^*$ -semigroups, namely, those of order  $\geq 3$  in the discussion below. First, in §2 we shall prove that  $\Gamma^*$ -semigroups of order  $\geq 3$  are unipotent, i.e., having a unique idempotent, and that they are periodic; and hence a  $\Gamma^*$ -semigroup is determined by a group and a  $Z$ -semigroup, i.e., a unipotent semigroup with zero. Accordingly, in §3 we shall determine all the types of  $\Gamma^*$ - $Z$ -semigroups which will have to be of order  $< 5$ ; in §4 we shall treat solvable  $\Gamma^*$ -groups and prove that finite  $\Gamma^*$ -groups or non-simple  $\Gamma^*$ -groups are solvable; finally in §5, unipotent  $\Gamma^*$ -semigroups which are neither groups nor  $Z$ -semigroups will be discussed. It is interesting that there are no infinite unipotent  $\Gamma^*$ -semigroups except groups.

For convenience, the results from the paper [4] are stated as follows:

**LEMMA 1.1.** *A semigroup is a  $\Gamma$ -semigroup if and only if it has one of the following types.<sup>2</sup> Except for (1.3) they are all cyclic semigroups, i.e., semigroups generated by an element  $d$ . We show defining relations below.*

(1.1)  $Z$ -semigroups:

$$(1.1.1) \quad d^2 = d^3 \quad (\text{order } 2)$$

$$(1.1.2) \quad d^3 = d^4 \quad (\text{order } 3)$$

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<sup>1</sup> The author called them  $\Gamma$ -monoids in [4].

<sup>2</sup> As the trivial case, a semigroup of order 1 is also regarded as a  $\Gamma$ -semigroup. This remark will be needed for the definition of a  $\Gamma^*$ -semigroup.

(1.2) *Cyclic groups  $G(p^m)$  of a prime power order:  $d = d^{p^m+1}$*

(1.3) *Quasicyclic groups [1]:  $G(p^\infty)$ , i.e.,*

$$G(p^\infty) = \sum_{k=1}^{\infty} G(p^k)$$

where  $Q(p) \subset G(p^2) \subset \dots \subset G(p^k) \subset \dots$ ,  $p$  being a prime.

(1.4) *Unipotent semigroups of order  $n$ , the kernel (the least ideal) of which is a group  $G(p^m)$ :*

$$(1.4.1) \quad \text{if } p = 2 \quad d^2 = d^{2^m+2} \quad (\text{order } n = 2^m + 1)$$

$$(1.4.2) \quad \text{if } p \neq 2$$

$$(1.4.2.1) \quad d^2 = d^{p^m+2} \quad (\text{order } n = p^m + 1)$$

$$(1.4.2.2) \quad d^3 = d^{p^m+3} \quad (\text{order } n = p^m + 2)$$

## 2. Preliminaries.

DEFINITION. A semigroup  $S$  is called a  $\Gamma^*$ -semigroup if every subsemigroup different from  $S$  is a  $\Gamma$ -semigroup.

$S$  is a  $\Gamma^*$ -semigroup if and only if the subsemigroup lattice  $L(S)$  is a lattice satisfying

(2.1) Any subset which contains the greatest element 1 is a sub-semilattice with respect to join, equivalently to

(2.1') Let  $x, y$  be any elements of a lattice. Then

$$x \cup y = x \text{ or } y \text{ or } 1.$$

*Notation.* If  $X$  and  $Y$  are subsets of  $S$ ,  $X|Y$  means either  $X \subseteq Y$  or  $X \supseteq Y$ ;  $X||Y$  means that  $X$  and  $Y$  are incomparable, that is, neither is contained in the other.  $((X, Y, \dots))$  denotes the subsemigroup generated by  $X, Y, \dots$ . In particular,  $((x))$  denotes the subsemigroup generated by an element  $x$ ,  $((x, y))$  the subsemigroup generated by elements  $x$  and  $y$ , while  $\{x_1, x_2, \dots\}$  is the set composed of  $x_1, x_2, \dots$ .

$S$  is a  $\Gamma^*$ -semigroup if and only if any two subsemigroups  $A$  and  $B$  satisfy the following condition:  $A||B$  implies  $S = ((A, B))$ . Of course a  $\Gamma$ -semigroup is a  $\Gamma^*$ -semigroup. Since the homomorphic image of a  $\Gamma$ -semigroup is also a  $\Gamma$ -semigroup, we get easily

LEMMA 2.1. *A homomorphic image of a  $\Gamma^*$ -semigroup is a  $\Gamma^*$ -semigroup.*

LEMMA 2.2. *A  $\Gamma^*$ -semigroup is periodic.*

*Proof.* Suppose there is an element  $x$  of infinite order.  $S$  con-

tains an infinite cyclic subsemigroup  $\{x^i; i = 1, 2, \dots\}$ . Hence we can consider a proper subsemigroup<sup>3</sup>  $T$  of  $S$ .

$$T = \{x^{2i}; i = 1, 2, \dots\}$$

which contains two incomparable subsemigroups  $T_1$  and  $T_2$ :

$$T_1 = \{x^{4i}; i = 1, 2, \dots\}, \quad T_2 = \{x^{6i}; i = 1, 2, \dots\}.$$

This contradicts the assumption of  $S$ .

By Lemma 2.2, we have seen that a  $\Gamma^*$ -semigroup has at least one idempotent. However, we have

**THEOREM 2.1.** *A  $\Gamma^*$ -semigroup of order  $>2$  is unipotent.*

*Proof.* Suppose that a  $\Gamma^*$ -semigroup  $S$  of order  $>2$  contains at least two idempotents, say,  $e, f$ . First, since  $e$  is a right identity of  $Se$ , and  $f$  is a left identity of  $fS$ , we see easily that if  $Se = fS$ , then  $e = f$ . Second, we shall say that either both of  $Se$  and  $Sf$  or both of  $eS$  and  $fS$  are proper subsemigroups. Suppose either of  $Se$  and  $Sf$  is equal to  $S$ , say,  $Se = S$ . Then, by the above fact,  $fS \subset S$ , and so we have to show  $eS \subset S$ . Let us assume  $Se = eS = S$ . There is a proper subsemigroup  $\{e, f\}$  of order 2 because  $ef = fe = f$ ; but  $\{e, f\}$  is not a  $\Gamma$ -semigroup since  $e$  and  $f$  are both idempotents. This is a contradiction. Therefore  $eS \subset S$ .

Next, assume that both  $eS$  and  $fS$  are proper subsemigroups of  $S$ . Since  $eS$  and  $fS$  are  $\Gamma$ -semigroups with left identities, they are groups by Lemma 1.1. We shall prove that  $\{e, f\}$  is a proper subsemigroup which is not a  $\Gamma$ -semigroup, and then the contradiction will be derived. For proof, the idempotency of  $ef$  and  $fe$  is shown as follows:

$$\begin{aligned} (ef)(ef) &= (efe)f = (ef)f = e(ff) = ef \\ (fe)(fe) &= (fef)e = (fe)e = f(ee) = fe \end{aligned}$$

because  $e$  and  $f$  are two-sided identities of the groups  $eS$  and  $fS$  respectively. Since  $ef \in eS$  and  $fe \in fS$ , we have

$$ef = e, \quad fe = f$$

whence  $\{e, f\}$  is a subsemigroup. We can have the same result, when  $Se \subset S$  and  $Sf \subset S$ . Thus the proof of the theorem has been completed.

**LEMMA 2.3.** *The index of an element  $a$  of a  $\Gamma^*$ -semigroup  $S$  cannot exceed 3.*

<sup>3</sup> By "a proper subsemigroup  $T$  of  $S$ " we mean "a subsemigroup  $T$  which is different from  $S$ ."

*Proof.* Let  $a$  have index greater than 1. Then  $((a)) - \{a\}$  is a  $\Gamma$ -semigroup, so  $((a^2)) \mid ((a^3))$ . Hence there is a positive integer  $n$  such that either

$$a^2 = a^{3n} \quad \text{or} \quad a^3 = a^{2n}.$$

This shows that  $a$  has index 2 or 3.

**3.  $\Gamma^*$ -Z-Semigroups.** In this section we shall determine the types of  $\Gamma^*$ -Z-semigroups, i.e., unipotent  $\Gamma^*$ -semigroup with zero 0.

Let  $S$  be a  $\Gamma^*$ -Z-semigroup with 0. Since  $S$  is periodic, every element of  $S$  is nilpotent, that is, some power of the element is 0. By Lemma 2.3,

$$x^3 = 0 \quad \text{for all } x \in S.$$

**LEMMA 3.1.**  $x = xy$  implies  $x = 0$ ;  $x = yx$  implies  $x = 0$ .

*Proof.*  $x = xy = xy^2 = xy^3 = 0$ ; the proof of the second part is obtained in a similar way.

**LEMMA 3.2.** If  $x^2 = 0$ , then  $xy = yx = 0$  for all  $y$ .

*Proof.* We may assume  $x \neq 0$ , let  $y \neq 0$ . If  $((x)) \mid ((xy))$ ,  $xy = 0$  because of Lemma 3.1. If  $((x)) \parallel ((xy))$ , then  $S = ((x, xy))$  and so  $y = xu$  for some  $u$ .

$$xy = x^2u = 0.$$

The proof of  $yx = 0$  is similar.

To determine the types of  $\Gamma^*$ -Z-semigroups, we consider the possible three cases:

*Case I.*  $x^3 = 0$  for all  $x \neq 0$ .

*Case II.* There exists only one nonzero element  $x$  such that  $x^3 = 0$ ,  $x^2 \neq 0$ .

*Case III.* There exist at least two nonzero elements  $x$  and  $y$  such that  $x^3 = 0$ ,  $x^2 \neq 0$ ,  $y^3 = 0$ ,  $y^2 \neq 0$ .

**THEOREM 3.1.**  $S$  is a non-trivial  $\Gamma^*$ -Z-semigroup if and only if  $S$  is isomorphic or anti-isomorphic to one of the following:

*Case I.*  $S = \{0, a, b\}$  where  $xy = 0$  for all  $x, y \in S$ .

*Case II.*  $S = \{0, a, a^3\}$  where  $a^3 = 0$ . This is a  $\Gamma$ -semigroup which is isomorphic to (1.1.2).

*Case III.*  $S = \{0, a, b, c\}$  where  $a^2 = b^2 = c$ ,  $a^2x = xa^2 = 0$  for all  $x \in S$ .

*Subcase III<sub>1</sub>*  $ab = ba = c$

*Subcase III<sub>2</sub>*  $ab = c, ba = 0$

*Subcase III<sub>3</sub>*  $ab = ba = 0$

*Proof.*

*Case I.* Let  $a$  and  $b$  be distinct nonzero elements of  $S$ . Since  $((a)) \parallel ((b))$ ,  $S = ((a, b))$ . By Lemma 3.2, we have  $ab = ba = 0$ . Hence

$$S = ((a, b)) = \{0, a, b\}.$$

*Case II.* Let  $a$  be an element with index 3. Suppose that there is  $b \in S - ((a))$ . In the present case we know  $b^2 = 0$ . By Lemma 3.2,  $ab = ba = 0$ , whence  $A = \{0, a^2, b\}$  is a subsemigroup which does not contain  $a$ , and hence  $A$  is a  $\Gamma$ -semigroup. On the other hand, since  $b \neq a^2$ , we have  $((a^2)) \parallel ((b))$ . It is impossible in a  $\Gamma^*$ -semigroup  $S$ . Therefore  $S = ((a))$ .

*Case III.* Let  $a$  and  $b$  be distinct nonzero elements, both of which have index 3. Since  $(a^2)^3 = (b^2)^3 = 0$ , Lemma 3.2 gives us

$$(3.1) \quad a^2b = ba^2 = b^2a = ab^2 = 0 \quad \text{and so} \quad a^2b^2 = b^2a^2 = 0.$$

Using (3.1) and Lemma 3.2 repeatedly, since  $(aba)^2 = aba^2ba = 0$ , we have

$$(3.2) \quad (ab)^2 = (aba)b = 0$$

and hence

$$(3.3) \quad aba = 0.$$

Similarly we get

$$(3.3') \quad bab = 0.$$

Now we have two subsemigroups  $T = ((a^2, b^2))$  and  $U = ((ab, a^2))$ :

$$T = ((a^2, b^2)) = \{0, a^2, b^2\} \not\ni a$$

where we see  $a \neq b^2$ , otherwise,  $a = b^2$  would imply  $a^2 = 0$ ; also

$$U = ((ab, a^2)) = \{0, ab, a^2\} \not\ni b.$$

Accordingly both  $T$  and  $U$  are  $\Gamma$ -semigroups and so

$$((a^2)) \mid ((b^2)) \quad \text{and} \quad ((ab)) \mid ((a^2)) .$$

The first implies (3.4); the second implies (3.5)

$$(3.4) \quad a^2 = b^2$$

$$(3.5) \quad ab = a^2 \quad \text{or} \quad 0 .$$

Similarly we have

$$(3.5') \quad ba = a^2 \quad \text{or} \quad 0 .$$

Clearly  $((a)) \parallel ((b))$ . By (3.1) through (3.5'),

$$S = ((a, b)) = \{0, a, b, a^2\}$$

which consists of exactly four elements. Thus we have obtained the three types for Case III. It is easy to show that the systems thus obtained are  $\Gamma^*$ -Z-semigroups.

**4.  $\Gamma^*$ -groups.** By Lemma 2.2, a group  $G$  is a  $\Gamma^*$ -semigroup if and only if it is a  $\Gamma^*$ -group, i.e., every proper subgroup of  $G$  is a  $\Gamma$ -group. By Lemma 1.1, every  $\Gamma$ -group is of type  $G(p^k)$ ,  $k \leq \infty$ . In this chapter we determine all solvable  $\Gamma^*$ -groups. We also show that every finite  $\Gamma^*$ -group is solvable. The question whether infinite simple  $\Gamma^*$ -groups can exist remains open.

**LEMMA 4.1.** *Let  $G$  be a non-abelian solvable  $\Gamma^*$ -group which is not also a  $\Gamma$ -group. Then  $G$  contains a proper normal subgroup  $N \neq 1$  and an element  $a$  not in  $N$ , such that*

$$(4.1) \quad N \parallel ((a)), \quad \text{so that} \quad G = ((N, a))$$

$$(4.2) \quad a^q \in N \quad \text{for a prime number } q .$$

*Proof.* Since  $G$  is solvable, it contains a proper normal subgroup  $N$  such that  $G/N$  is abelian.  $N \neq 1$  since  $G$  is not abelian. Since  $N$  is a proper subgroup of  $G$ , it is a  $\Gamma$ -group. Since  $G$  is not itself a  $\Gamma$ -group, there exist  $a$  and  $b$  in  $G$  such that  $((a)) \parallel ((b))$ , and then we have  $G = ((a, b))$ . If  $N \parallel ((b))$ , then (4.1) holds with  $b$  instead of  $a$ . To prove (4.1) suppose  $N \not\parallel ((b))$ . If  $N \supseteq ((b))$ , then  $N \not\supseteq ((a))$ , since  $N$  is a  $\Gamma$ -group; and  $((a)) \parallel ((b))$ , and  $N \not\supseteq ((a))$  since otherwise  $((b)) \subseteq N \subseteq ((a))$ . Hence  $N \parallel ((a))$  in this case. If  $N \subseteq ((b))$ , then, since  $G/N$  is abelian,  $aba^{-1}b^{-1} \in N \subseteq ((b))$ , so  $aba^{-1} \in ((b))b \subseteq ((b))$ . Since  $G = ((a, b))$ , we conclude that  $N' = ((b))$  is a normal subgroup of  $G$ , and (4.1) holds with  $N'$  instead of  $N$ . Hence  $N$  and  $a$  exist such that (4.1) holds. Let  $k$  be the least positive integer such that  $a^k \in N$ ,

and let  $k = k'q$  with  $q$  a prime. Let  $a' = a^{k'}$ . Then (4.1) and (4.2) hold with  $a'$  instead of  $a$ .

**THEOREM 4.1.** *Let  $G$  be a solvable  $I^*$ -group which is not a  $\Gamma$ -group. Then one of the following holds:*

(4.3)  $G$  is a group of order  $pq$ ,  $p$  and  $q$  primes excluding the cyclic group of order  $p^2$ .

(4.4)  $G$  is the quaternion group of order 8.

*Proof.* First let us take the case  $G$  abelian. If  $G$  were directly indecomposable, it would be isomorphic with  $G(p^k)$  for some  $k \leq \infty$  (cf. Theorem 10, p. 22, [2]), and so would be a  $\Gamma$ -group. Hence  $G$  is directly decomposable:  $G = G_1 \times G_2$  where  $G_1 \neq 1$ ,  $G_2 \neq 1$ . Let  $a_i$  be an element of  $G_i$  of prime order  $p_i$  ( $i = 1, 2$ ). Then  $((a_1) \parallel (a_2))$ , so  $G = ((a_1, a_2)) = ((a_1)) \times ((a_2))$ . Thus  $G$  has type (4.3).

Let  $G$  be non-abelian. By Lemma 4.1,  $G$  contains a proper normal subgroup  $N \neq 1$ , and an element  $a$  not in  $N$  such that  $N \parallel ((a))$  and  $a^q \in N$  for some prime  $q$ . Since  $N$  is a proper subgroup of  $G$ , it is isomorphic with  $G(p^k)$  for some prime  $p$  and some  $k \leq \infty$ . Hence  $a^q$  has prime power order  $p^n$ , say.

If  $q \neq p$ , then  $a_1 = a^{p^n} \notin N$ , and  $a_1^q = 1$ . If  $b$  is any element of  $N$  of order  $p$ , we have  $((a_1) \parallel ((b))$  and hence  $G = ((a_1, b))$ . Since  $a_1 N a_1^{-1} \subseteq N$ , and every subgroup of  $N$  is characteristic,  $a_1 ((b)) a_1^{-1} \subseteq ((b))$ . Hence  $G$  is an extension of the cyclic group  $((b))$  of order  $p$  by the cyclic group  $((a_1))$  of order  $q$ .

We may now assume  $q = p$ . Since  $N \not\subseteq ((a))$ , there exists  $b$  in  $N$  such that  $b^p = a^p$ . Let  $c = a^p = b^p$ . Since  $c$  commutes with  $a$  and  $b$ , and  $G = ((a, b))$ ,  $c$  belongs to the center  $C$  of  $G$ . If  $c = 1$ , then, as in the above statements,  $G$  is an extension of the cyclic group  $((b))$  of order  $p$  by the cyclic group  $((a))$  of order  $p$ . Hence we may assume that the order of  $c$  is  $p^n$  with  $n > 0$ .

Since  $((b))$  is invariant under  $a$ , we have  $aba^{-1} = b^r$  for some positive integer  $r > 1$ . Then

$$c = b^p = ab^p a^{-1} = (aba^{-1})^p = b^{rp} = c^r,$$

whence  $r = 1 + sp^n$  for some integer  $s$ . Hence

$$aba^{-1} = b^r = bd \quad \text{or} \quad b^{-1}aba^{-1} = d \neq 1$$

where  $d = b^{sp^n} = c^{sp^{n-1}}$  is an element of  $C$  of order  $p$ . As in the familiar way,

$$(ab^{-1})^p = d^{p(p-1)/2} a^p b^{-p} = d^{p(p-1)/2}.$$



If  $p$  is odd, we conclude that  $(ab^{-1})^p = 1$ . Let  $a_1 = ab^{-1}$ . Then  $a_1^p = 1$  and this case is reduced to the previous case  $c = 1$ . We are left with the case  $p = 2$ . Setting  $a_1 = ab^{-1}$ , we have  $a_1^2 = d$ . Let  $b_1$  be an element of  $N$  such that  $b_1^2 = d$ . Then  $G = \langle (a_1, b_1) \rangle$ , and  $\langle (b_1) \rangle$  is invariant under  $a_1$ . Since  $b_1^4 = 1$ , and  $G$  is not abelian, we must have

$$a_1 b_1 a_1^{-1} = b_1^3.$$

Together with  $a_1^4 = b_1^4 = 1$ , this shows that  $G$  is the quaternion group of order 8. Thus this theorem has been proved.

**THEOREM 4.2.** *A finite  $\Gamma^*$ -group is solvable.*

*Proof.* For  $\Gamma$ -groups, the theorem is obvious. Let  $G$  be a finite  $\Gamma^*$ -group which is not a  $\Gamma$ -group. If  $G$  is of order  $p^m$  of a prime power, then this theorem holds, since  $G$  has a normal subgroup of order  $p^{m-1}$  by the familiar theorem of  $p$ -groups. So we may assume that the order of  $G$  has at least two distinct prime divisors.

First we shall prove that  $G$  has a proper normal subgroup. Let  $M$  be a Sylow subgroup of  $G$ , and consider the normalizer  $H$  of  $M$ . If  $H = G$ , then  $M$  is normal; if  $M \subseteq H \subset G$ , then  $H$  is a  $\Gamma$ -group, a cyclic group. By Burnside's theorem ([8], p. 169),  $G$  has a proper normal subgroup  $N$  such that  $G = NH$ ,  $N \cap H = 1$ .

Since  $N$  is a proper subgroup, it is a  $\Gamma$ -group, say,  $G(p^{\alpha_1})$ . Then, suppose the order of the factor group  $G/N$  is

$$(4.5) \quad p^{\alpha_2} q^{\beta} r^{\gamma} \cdots, \quad \alpha_2 \geq 0, \beta \geq 1, \quad \gamma \geq 0, \cdots$$

which has a prime divisor  $q \neq p$ . Since  $G/N$  has a subgroup of order  $q$ ,  $G$  has a proper subgroup of order  $p^{\alpha_1} q$ , which contains two incomparable subgroups, unless

$$(4.6) \quad \alpha_2 = 0, \beta = 1.$$

Thus we have proved that the index of  $N$  is a prime  $q$ .

**THEOREM 4.3.** *A non-simple  $\Gamma^*$ -group is solvable.*

*Proof.* Let  $G$  be a non-simple  $\Gamma^*$ -group and  $N$  be a proper normal subgroup of  $G$ . We may assume that  $G/N$  contains a proper subgroup  $\bar{H}$  of prime order  $p$ , since  $G/N$  is a  $\Gamma^*$ -group and so  $G/N$  is periodic. Consider a coset  $xN$  which is a generator of  $\bar{H}$  and take an element  $a \in xN$ . Then  $H = \langle (a) \rangle$  is a group of order  $p$ , and there is a subgroup  $K$  of  $G$  such that  $K/N \cong \bar{H}$ . Clearly  $K = NH$ . On the other hand, since  $N \parallel H$ , we have  $G = \langle (N, H) \rangle = NH = K$ . Accordingly,  $G/N \cong \bar{H}$ , which is prime order. Thus the proof has been completed.

Consequently, (4.3) and (4.4) of Theorem 4.1 give us all the types of finite or non-simple  $\Gamma^*$ -groups which are not  $\Gamma$ -groups.

## 5. Unipotent $\Gamma^*$ -semigroups.

1. In this chapter we shall discuss unipotent  $\Gamma^*$ -semigroups  $S$ 's which are neither groups nor  $Z$ -semigroups. By Lemma 2.2 and Theorem 2.1 we see that a  $\Gamma^*$ -semigroup  $S$  of order  $>2$  is a unipotent inversible semigroup. By "inversible" we mean "for any element  $a$  of  $S$  there is an element  $b$  such that  $ab = e$  where  $e$  is a unique idempotent." According to [5], [6], a unipotent inversible semigroup which contains properly a group is determined by a group  $G$  (or kernel, i.e., least ideal), and a  $Z$ -semigroup  $D$  (the difference semigroup of  $S$  modulo  $G$ ), and certain mapping of the bases of  $D$  into  $G$ :  $a \rightarrow ea$ .

First of all we shall prove that the kernel is finite.

**LEMMA 5.1.** *Let  $S$  be a unipotent inversible semigroup with the kernel  $G$  of type  $G(p^k)$ ,  $k$  being infinite or finite, and let  $d$  be an element of  $S$  which is not in  $G$  such that  $ed$  generates  $G(p^m)$ ,  $m < k$ , and  $d^{l-1} \notin G(p^k)$ ,  $d^l \in G(p^k)$ . Then there is a subsemigroup  $H$  of order  $p^{m+1} + l - 1$  of  $S$  which contains two incomparable subsemigroups:  $G(p^{m+1})$  and  $\{d^i; i \geq 1\}$ .*

*Proof.* Let  $a = ed$ . As is easily seen (cf. [5]), we have

$$(5.1) \quad a = ed = de, d^i = a^i, i \geq l$$

$$(5.2) \quad xd = dx = xa = ax \quad \text{for every } x \in G.$$

Especially for  $x \in G(p^{m+1})$ ,  $xd = dx \in G(p^{m+1})$ . Therefore the set union  $H = G(p^{m+1}) \cup \{d^i; l-1 \geq i \geq 1\}$  is a subsemigroup of  $S$ ; and the two subsemigroups  $G(p^{m+1})$  and  $\{d^i; i \geq 1\}$  are incomparable, because  $\{d^i; i \geq l\} \subseteq G(p^m)$ .

**THEOREM 5.1.** *Let  $S$  be a unipotent inversible semigroup which is neither a group nor a  $Z$ -semigroup. If  $S$  is a  $\Gamma^*$ -semigroup, then  $S$  is finite.*

*Proof.* The proper subgroup  $G$  is a  $\Gamma$ -group  $G(p^\infty)$  or  $G(p^n)$ , and the difference semigroup  $D = (S: G)$  of  $S$  modulo  $G$  in Rees' sense [3] is a  $\Gamma^*$ - $Z$ -semigroup of order  $\leq 4$  by theorems in §3. There is an element  $z_1$  outside  $G$  such that  $z_1^2 \in G$ , for example, we may take a nonzero annihilator as  $z_1$  (cf. [6]); and let  $m$  be a positive integer such that  $ez_1$  generates a subgroup  $G(p^m)$ . If  $S$  is infinite, then  $G$  is of the type  $G(p^\infty)$  and so  $S$  has a proper subsemigroup of order  $p^{m+1} + 1$ ,

which contains two incomparable subsemigroups by Lemma 5.1. This contradicts the definition of  $\Gamma^*$ -semigroups of  $S$ . Thus the theorem has been proved.

Hereafter we shall determine the desired semigroups  $S$  in each case according as the order of  $D$ .

## 2. The case with $D$ of order 2.

Let  $G(p^n)$  denote the kernel of  $S$ , and let  $d$  be a unique element outside  $G(p^n)$ . Of course  $d^2 \in G(p^n)$ .  $G(p^k)$  denotes the subgroup generated by  $a = ed$ . If  $k = n$ , then, by (5.1), we have

$$S = \{d^i; i \geq 1\}, \quad G(p^n) = \{d^i; i \geq 2\}$$

that is,  $S$  is a  $\Gamma$ -semigroup of type (1.4.1) or (1.4.2.1).

If  $k < n$ , then by Lemma 5.1 there is a subsemigroup  $H = G(p^{k+1}) \cup \{d\}$  of order  $p^{k+1} + 1$  which contains two incomparable subsemigroups, so that  $S = H$  and hence we have  $k = n - 1$ . In other words,  $a$  is a generator of  $G(p^{n-1})$ ; this  $a$  determines  $S$  and there is a unique  $S$  to within isomorphism, independent of choice of generator  $a$  (cf. [6]). Conversely, a semigroup  $S$  thus obtained is easily seen to be a  $\Gamma^*$ -semigroup. In fact, by (5.1) we see that a proper subsemigroup incomparable to  $G(p^n)$  is nothing but

$$G(p^{n-1}) \cup \{d\} = ((d)).$$

## 3. The case with $D$ of type Case I of order 3.

Let  $S = G(p^n) \cup \{d_1, d_2\}$  where  $d_1d_2, d_1^2, d_2^2, d_2d_1 \in G(p^n)$ .  $S$  is determined by the two elements  $a_1, a_2$ , i.e.,

$$a_1 = ed_1, \quad a_2 = ed_2$$

where  $a_1$  and  $a_2$  can be taken independently arbitrarily. The proper subsemigroups  $G(p^n) \cup \{d_1\}$  and  $G(p^n) \cup \{d_2\}$  are  $\Gamma$ -semigroups of type (1.4.1) or (1.4.2.1). We have already known that  $a_1$  and  $a_2$  are the generators of  $G(p^n)$ , and

$$G(p^n) \cup \{d_1\} = ((d_1)), \quad G(p^n) \cup \{d_2\} = ((d_2)).$$

We can easily prove that there are two possible distinct types

$$a_1 = a_2, \quad a_1 \neq a_2$$

in all cases except for the case  $p = 2$  and  $n = 1$ . They are immediately seen to be  $\Gamma^*$ -semigroups.

## 4. The case with $D$ of type Case II of order 3.

Let  $d$  be a generator of  $D$ :  $D = \{0, d, d^2\}$ ,  $d^3 = 0$ , and let  $S =$

$G(p^n) \cup \{d, d^2\}$ . We shall prove that  $a = ed$  generates  $G(p^n)$ . Suppose that an element  $a$  generates  $G(p^k)$ ,  $k < n$ . Then, since  $ed^2 = (ed)^2$  and  $(d^2)^2 \in G(p^n)$ ,  $ed^2$  generates a subgroup  $G(p^m)$ ,  $m \leq k$ , and a subsemigroup  $K = G(p^{m+1}) \cup \{d^2\}$  contains two incomparable subsemigroups by Lemma 5.1.  $K$  is a proper subsemigroup of  $S$  because

$$p^{m+1} + 1 < p^n + 2.$$

This contradicts the assumption of  $\Gamma^*$ -semigroup of  $S$ . Hence it has been proved that  $G(p^n)$  is generated by  $ed$ . Accordingly we get  $G(p^n) = \{d^i; i \geq 3\}$  by (5.1), whence  $S$  is generated by  $d$ . In the same way as the Case with  $D$  of order 2, we see that arbitrary different generators of  $G(p^n)$  give some isomorphic  $S$ 's.

The remaining thing to do is to testify the subsemigroup lattice of such semigroups.

If  $p \neq 2$ , then  $ed^2$  generates  $G(p^n)$ , and only a proper subsemigroup between  $S$  and  $G(p^n)$  is

$$((d^2)) = G(p^n) \cup \{d^2\} \quad \text{by (5.1)}$$

and so  $S$  is a  $\Gamma$ -semigroup of type (1.4.2.2).

If  $p = 2$ , then  $ed^2$  generates  $G(2^{n-1})$  and so, by Lemma 5.1, we have a proper subsemigroup

$$G(2^n) \cup \{d^2\}$$

which contains two incomparable  $G(2^n)$  and  $((d^2))$ . Therefore,  $S$  is not a  $\Gamma^*$ -semigroup.

## 5. The case with $D$ of order 4.

Let  $S = G(p^n) \cup \{d_1, d_2, d_3\}$  where  $d_1 = d_2^2 = d_3^2$ .  $D$  has any one of the types of Case III with elements denoted by  $d_1, d_2, d_3$  instead of  $a, b, c$ , respectively. Since the proper subsemigroups  $G(p^n) \cup \{d_1, d_2\}$  and  $G(p^n) \cup \{d_1, d_3\}$  are both  $\Gamma$ -semigroups of type (1.4.2.2), we have by (5.1)

$$G(p^n) \cup \{d_1, d_2\} = ((d_2)), \quad G(p^n) \cup \{d_1, d_3\} = ((d_3))$$

where  $p \neq 2$ , and  $a_2 = ed_2$  and  $a_3 = ed_3$  are both generators of  $G(p^n)$ . One the other hand, there are relations between  $a_2$  and  $a_3$  as follows: (We called these relations the primary equations for  $D$  in [6], §3.)

$$\begin{aligned} a_2^2 &= a_3^2 && \text{in Case III}_3, \\ a_2 &= a_3 && \text{in Cases III}_1 \text{ and III}_2. \end{aligned}$$

We see easily that  $a_2^2 = a_3^2$  in  $G(p^n)$  implies  $a_2 = a_3$  because  $p \neq 2$ . Thus for  $G(p^n)$  and each  $D$ , there is a unique  $S$  to within isomorphism.

As far as the subsemigroups containing  $G(p^n)$  are concerned, besides  $((d_2))$  and  $((d_3))$ , there is  $((d_1))$  and we have

$$((d_1)) = ((d_2)) \cap ((d_3))$$

because  $p \neq 2$ . Accordingly it can be seen that  $S$  is a  $\Gamma^*$ -semigroup. Thus we have

**THEOREM 5.2.** *When  $G(p^n)$  is given, all the possible unipotent  $\Gamma^*$ -semigroups  $S$  whose kernel is  $G(p^n)$  and which are not  $\Gamma$ -semigroups are determined as shown below. Let  $e$  be the unique idempotent of  $S$ , and let  $D = (S:G(p^n))$ . We remark  $G(p^0) = 1$ ,  $G(p^{-1}) = \text{empty}$ .*

(5.3.1) *In the case  $D$  of order 2,  $S = G(p^n) \cup \{d\}$ ,  $n \neq 0$ ,  $ed \in G(p^{n-1}) - G(p^{n-2})$*

(5.3.2) *In the case  $D$  of order 3,  $D$  is of Case I and  $S = G(p^n) \cup \{d_1, d_2\}$ ,  $n \neq 0$*

(5.3.2.1)  $ed_1 = ed_2 \in G(p^n) - G(p^{n-1})$

(5.3.2.2)  $p^n \neq 2, ed_1 \neq ed_2$ , and  $ed_1, ed_2 \in G(p^n) - G(p^{n-1})$

(5.3.3) *In the case  $D$  of order 4,  $S = G(p^n) \cup \{d_1, d_2, d_3\}$ ,  $d_2^2 = d_3^2 = d_1$ ,  $n \neq 0$ ,  $p \neq 2$*

(5.3.3.1)  $D$  of type Case III<sub>1</sub> }  
 (5.3.3.2)  $D$  of type Case III<sub>2</sub> }  $ed_2 = ed_3 \in G(p^n) - G(p^{n-1})$  .  
 (5.3.3.3)  $D$  of type Case III<sub>3</sub> }

*After all, under the given  $G(p^n)$ , if  $p \neq 2$ , then there are six types of  $S$ ; if  $p = 2$  and  $n \neq 1$ , then three types of  $S$ ; if  $p = 2$  and  $n = 1$ , then two types of  $S$ .*

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