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# CHAPTER II

# 6. Preliminary Lemmas of Lie Type

Hypothesis 6.1.

- (i) p is a prime,  $\mathfrak{P}$  is a normal  $S_p$ -subgroup of  $\mathfrak{PU}$ , and  $\mathfrak{U}$  is a non identity cyclic p'-group.
  - (ii)  $C_{\mathfrak{V}}(\mathfrak{P})=1$ .
  - (iii)  $\mathfrak{P}'$  is elementary abelian and  $\mathfrak{P}' \subseteq \mathbf{Z}(\mathfrak{P})$ .
  - (iv) |Bu| is odd.

Let  $\mathfrak{U} = \langle U \rangle$ ,  $|\mathfrak{U}| = u$ , and  $|\mathfrak{P}: D(\mathfrak{P})| = p^*$ . Let  $\mathscr{L}$  be the Lie ring associated to  $\mathfrak{P}$  ([12] p. 328). Then  $\mathscr{L} = \mathscr{L}_1^* \oplus \mathscr{L}_i^*$  where  $\mathscr{L}_1^*$  and  $\mathscr{L}_2$  correspond to  $\mathfrak{P}/\mathfrak{P}'$  and  $\mathfrak{P}'$  respectively. Let  $\mathscr{L}_1 = \mathscr{L}_1^*/p\mathscr{L}_1^*$ . For i = 1, 2, let  $U_i$  be the linear transformation induced by U on  $\mathscr{L}_i$ .

LEMMA 6.1. Assume that Hypothesis 6.1 is satisfied. Let  $\varepsilon_1, \dots, \varepsilon_n$  be the characteristic roots of  $U_1$ . Then the characteristic roots of  $U_2$  are found among the elements  $\varepsilon_i \varepsilon_j$  with  $1 \le i < j \le n$ .

**Proof.** Suppose the field is extended so as to include  $\varepsilon_1, \dots, \varepsilon_n$ . Since  $\mathbb U$  is a p'-group, it is possible to find a basis  $x_1, \dots, x_n$  of  $\mathscr L_1$  such that  $x_iU_1=\varepsilon_ix_i$ ,  $1\leq i\leq n$ . Therefore,  $x_iU_1\cdot x_jU_1=\varepsilon_i\varepsilon_jx_i\cdot x_j$ . As U induces an automorphism of  $\mathscr L$ , this yields that

$$(x_i \cdot x_j) U_2 = x_i U_1 \cdot x_j U_1 = \varepsilon_i \varepsilon_j x_i \cdot x_j.$$

Since the vectors  $x_i \cdot x_j$  with i < j span  $\mathcal{L}_2$ , the lemma follows.

By using a method which differs from that used below, M. Hall proved a variant of Lemma 6.2. We are indebted to him for showing us his proof.

LEMMA 6.2. Assume that Hypothesis 6.1 is satisfied, and that  $U_1$  acts irreducibly on  $\mathcal{L}_1$ . Assume further that n=q is an odd prime and that  $U_1$  and  $U_2$  have the same characteristic polynomial. Then q>3 and

$$u < 3^{q/2}$$

*Proof.* Let  $\varepsilon^{p^i}$  be the characteristic roots of  $U_1$ ,  $0 \le i < n$ . By Lemma 6.1 there exist integers i, j, k such that  $\varepsilon^{p^i}\varepsilon^{p^j} = \varepsilon^{p^k}$ . Raising this equation to a suitable power yields the existence of integers a and b with  $0 \le a < b < q$  such that  $\varepsilon^{p^a+p^b-1} = 1$ . By Hypothesis 6.1 (ii), the preceding equality implies  $p^a + p^b - 1 \equiv 0 \pmod{u}$ . Since  $U_1$  acts irreducibly, we also have  $p^q - 1 \equiv 0 \pmod{u}$ . Since U is a p'-group,

 $ab \neq 0$ . Consequently,

(6.1) 
$$p^{a} + p^{b} - 1 \equiv 0 \pmod{u},$$

$$p^{q} - 1 \equiv 0 \pmod{u}, \ 0 < a < b < q.$$

Let d be the resultant of the polynomials  $f = x^a + x^b - 1$  and  $g = x^a - 1$ . Since q is a prime, the two polynomials are relatively prime, so d is a nonzero integer. Also, by a basic property of resultants,

$$(6.2) d = hf + kg$$

for suitable integral polynomials h and k.

Let  $\varepsilon_q$  be a primitive qth root of unity over  $\mathscr{Q}$ , so that we also have

$$(6.3) d^2 = \prod_{i=0}^{q-1} (\varepsilon_q^{ia} + \varepsilon_q^{ib} - 1) \prod_{i=0}^{q-1} (\varepsilon_q^{-ia} + \varepsilon_q^{-ib} - 1)$$

$$= \prod_{i=0}^{q-1} \{3 + \varepsilon_q^{i(a-b)} + \varepsilon_q^{i(b-a)} - \varepsilon_q^{ia} - \varepsilon_q^{ib} - \varepsilon_q^{-ib} - \varepsilon_q^{-ia}\}.$$

For q=3, this yields that  $d^2=(3-1+1+1)^2=4^2$ , so that  $d=\pm 4$ . Since u is odd (6.1) and (6.2) imply that u=1. This is not the case, so q>3.

Each term on the right hand side of (6.3) is non negative. As the geometric mean of non negative numbers is at most the arithmetic mean, (6.3) implies that

$$d^{2/q} \leq \frac{1}{q} \sum_{i=0}^{q-1} \{3 + \varepsilon_q^{i(a-b)} + \varepsilon_q^{i(b-a)} - \varepsilon_q^{ia} - \varepsilon_q^{-ia} - \varepsilon_q^{ib} - \varepsilon_q^{-ib} \} .$$

The algebraic trace of a primitive qth root of unity is -1, hence

$$d^{2/q} \leq 3$$
.

Now (6.1) and (6.2) imply that

$$u \leq |d| \leq 3^{q/2}.$$

Since 3<sup>q/2</sup> is irrational, equality cannot hold.

LEMMA 6.3. If  $\mathfrak{P}$  is a p-group and  $\mathfrak{P}' = D(\mathfrak{P})$ , then  $C_n(\mathfrak{P})/C_{n+1}(\mathfrak{P})$  is elementary abelian for all n.

*Proof.* The assertion follows from the congruence

$$[A_1, \dots, A_n]^p \equiv [A_1, \dots, A_{n-1}, A_n^p] \pmod{C_{n+1}(\mathfrak{P})}$$

valid for all  $A_1, \dots, A_n$  in  $\mathfrak{P}$ .

LEMMA 6.4. Suppose that σ is a fixed point free p'-automorphism-

of the p-group  $\mathfrak{P}$ ,  $\mathfrak{P}' = D(\mathfrak{P})$  and  $A^{\sigma} \equiv A^{x} \pmod{\mathfrak{P}'}$  for some integer x independent of A. Then  $\mathfrak{P}$  is of exponent p.

*Proof.* Let  $A^{\sigma} = A^{z} \cdot A^{\phi}$  so that  $A^{\phi}$  is in  $\mathfrak{P}'$  for all A in  $\mathfrak{P}$ . Then

$$[A_{1}, \dots, A_{n}]^{\sigma} = [A_{1}^{\sigma}, \dots, A_{n}^{\sigma}] = [A_{1}^{s} \cdot A_{1}^{\phi}, \dots, A_{n}^{s} \cdot A_{n}^{\phi}]$$

$$\equiv [A_{1}^{s}, \dots, A_{n}^{s}] \equiv [A_{1}, \dots, A_{n}]^{s^{n}} \pmod{C_{n+1}(\mathfrak{P})}.$$

Since  $\sigma$  is regular on  $\mathfrak{P}$ ,  $\sigma$  is also regular on each  $C_n/C_{n+1}$ . As the order of  $\sigma$  divides p-1 the above congruences now imply that  $\operatorname{cl}(\mathfrak{P}) \leq p-1$  and so  $\mathfrak{P}$  is a regular p-group. If  $\mathcal{O}^1(\mathfrak{P}) \neq 1$ , then the mapping  $A \longrightarrow A^p$  induces a non zero linear map of  $\mathfrak{P}/D(\mathfrak{P})$  to  $C_n(\mathfrak{P})/C_{n+1}(\mathfrak{P})$  for suitable n. Namely, choose n so that  $\mathcal{O}^1(\mathfrak{P}) \subseteq C_n(\mathfrak{P})$  but  $\mathcal{O}^1(\mathfrak{P}) \not\subseteq C_{n+1}(\mathfrak{P})$ , and use the regularity of  $\mathfrak{P}$  to guarantee linearity. Notice that  $n \geq 2$ , since by hypothesis  $\mathcal{O}^1(\mathfrak{P}) \subseteq \mathfrak{P}'$ . We find that  $x \equiv x^n \pmod{p}$ , and so  $x^{n-1} \equiv 1 \pmod{p}$  and  $\sigma$  has a fixed point on  $C_{n-1}/C_n$ , contrary to assumption. Hence,  $\mathcal{O}^1(\mathfrak{P}) = 1$ .

# 7. Preliminary Lemmas of Hall-Higman Type

Theorem B of Hall and Higman [21] is used frequently and will be referred to as (B).

LEMMA 7.1. If  $\mathfrak{X}$  is a p-solvable linear group of odd order over a field of characteristic p, then  $O_p(\mathfrak{X})$  contains every element whose minimal polynomial is  $(x-1)^2$ .

*Proof.* Let  $\mathscr{V}$  be the space on which  $\mathfrak{X}$  acts. The hypotheses of the lemma, together with (B), guarantee that either  $O_p(\mathfrak{X}) \neq 1$  or  $\mathfrak{X}$  contains no element whose minimal polynomial is  $(x-1)^2$ .

Let X be an element of  $\mathfrak{X}$  with minimal polynomial  $(x-1)^2$ . Then  $O_p(\mathfrak{X}) \neq 1$ , and the subspace  $\mathscr{V}_0$  which is elementwise fixed by  $O_p(\mathfrak{X})$  is proper and is  $\mathfrak{X}$ -invariant. Since  $O_p(\mathfrak{X})$  is a p-group,  $\mathscr{V}_0 \neq 0$ . Let

$$\Re_0 = \ker (\mathfrak{X} \longrightarrow \operatorname{Aut} \mathscr{V}_0)$$
,  $\Re_1 = \ker (\mathfrak{X} \longrightarrow \operatorname{Aut} (\mathscr{V}/\mathscr{V}_0))$ .

By induction on dim  $\mathcal{V}$ ,  $X \in O_p(\mathfrak{X} \mod \mathfrak{R}_i)$ , i = 0, 1. Since

$$O_{\mathbf{z}}(\mathfrak{X} \bmod \mathfrak{R}_0) \cap O_{\mathbf{z}}(\mathfrak{X} \bmod \mathfrak{R}_1)$$

is a p-group, the lemma follows.

LEMMA 7.2. Let  $\mathfrak{X}$  be a p-solvable group of odd order, and  $\mathfrak{A}$  a p-subgroup of  $\mathfrak{X}$ . Any one of the following conditions guarantees that  $\mathfrak{A} \subseteq O_{p',p}(\mathfrak{X})$ :

- 1. At is abelian and |X: N(X)| is prime to p.
- 2.  $p \ge 5$  and  $[\mathfrak{P}, \mathfrak{A}, \mathfrak{A}, \mathfrak{A}, \mathfrak{A}] = 1$  for some  $S_p$ -subgroup  $\mathfrak{P}$  of  $\mathfrak{X}$ .
- 3.  $[\mathfrak{P}, \mathfrak{A}, \mathfrak{A}] = 1$  for some  $S_p$ -subgroup  $\mathfrak{P}$  of  $\mathfrak{X}$ .
- 4. A acts trivially on the factor  $O_{p',p,p'}(\mathfrak{X})/O_{p',p}(\mathfrak{X})$ .

*Proof.* Conditions 1, 2, or 3 imply that each element of  $\mathfrak{A}$  has a minimal polynomial dividing  $(x-1)^{p-1}$  on  $O_{p',p}(\mathfrak{X})/\mathfrak{D}$ , where  $\mathfrak{D}=D(O_{p',p}(\mathfrak{X}) \bmod O_{p'}(\mathfrak{X}))$ . Thus (B) and the oddness of  $|\mathfrak{X}|$  yield 1, 2, and 3. Lemma 1.2.3 of [21] implies 4.

LEMMA 7.3. If  $\mathfrak{X}$  is p-solvable, and  $\mathfrak{P}$  is a  $S_p$ -subgroup of  $\mathfrak{X}$ , then  $\mathcal{U}(\mathfrak{P})$  is a lattice whose maximal element is  $O_p(\mathfrak{X})$ .

Proof. Since  $O_{p'}(\mathfrak{X}) \triangleleft \mathfrak{X}$  and  $\mathfrak{P} \cap O_{p'}(\mathfrak{X}) = 1$ ,  $O_{p'}(\mathfrak{X})$  is in  $\mathsf{M}(\mathfrak{P})$ . Thus it suffices to show that if  $\mathfrak{P} \in \mathsf{M}(\mathfrak{P})$ , then  $\mathfrak{P} \subseteq O_{p'}(\mathfrak{X})$ . Since  $\mathfrak{P} \mathfrak{P}$  is a group of order  $|\mathfrak{P}| \cdot |\mathfrak{P}|$  and  $\mathfrak{P}$  is a  $S_p$ -subgroup of  $\mathfrak{X}$ ,  $\mathfrak{P}$  is a p'-group, as is  $\mathfrak{P}O_{p'}(\mathfrak{X})$ . In proving the lemma, we can therefore assume that  $O_{p'}(\mathfrak{X}) = 1$ , and try to show that  $\mathfrak{P} = 1$ . In this case,  $\mathfrak{P}$  is faithfully represented as automorphisms of  $O_p(\mathfrak{X})$ , by Lemma 1.2.3 of [21]. Since  $O_p(\mathfrak{X}) \subseteq \mathfrak{P}$ , we see that  $[\mathfrak{P}, O_p(\mathfrak{X})] \subseteq \mathfrak{P} \cap \mathfrak{P}$ , and  $\mathfrak{P} = 1$  follows.

LEMMA 7.4. Suppose  $\mathfrak P$  is a  $S_p$ -subgroup of  $\mathfrak X$  and  $\mathfrak A \in \mathscr{SCN}(\mathfrak P)$ . Then  $\mathsf{M}(\mathfrak A)$  contains only p'-groups. If in addition,  $\mathfrak X$  is p-solvable, then  $\mathsf{M}(\mathfrak A)$  is a lattice whose maximal element is  $O_p(\mathfrak X)$ .

Proof. Suppose  $\mathfrak A$  normalizes  $\mathfrak D$  and  $\mathfrak A \cap \mathfrak D = \langle 1 \rangle$ . Let  $\mathfrak A^*$  be a  $S_p$ -subgroup of  $\mathfrak A \mathfrak D$  containing  $\mathfrak A$ . By Sylow's theorem,  $\mathfrak B_1 = \mathfrak A^* \cap \mathfrak D$  is a  $S_p$ -subgroup of  $\mathfrak D$ . It is clearly normalized by  $\mathfrak A$ , and  $\mathfrak A \cap \mathfrak B_1 = \langle 1 \rangle$ . If  $\mathfrak B_1 \neq \langle 1 \rangle$ , a basic property of p-groups implies that  $\mathfrak A$  centralizes some non identity element of  $\mathfrak B_1$ , contrary to 3.10. Thus,  $\mathfrak B_1 = \langle 1 \rangle$  and  $\mathfrak D$  is a p'-group. Hence we can assume that  $\mathfrak X$  is p-solvable and that  $O_{p'}(\mathfrak X) = \langle 1 \rangle$  and try to show that  $\mathfrak D = \langle 1 \rangle$ .

Let  $\mathfrak{X}_1 = O_p(\mathfrak{X})\mathfrak{H}$ . Then  $O_p(\mathfrak{X})\mathfrak{M}$  is a  $S_p$ -subgroup of  $\mathfrak{X}_1$ , and  $\mathfrak{M} \in \mathscr{SCN}(O_p(\mathfrak{X})\mathfrak{M})$ . If  $\mathfrak{X}_1 \subset \mathfrak{X}$ , then by induction  $\mathfrak{P} \subseteq O_p(\mathfrak{X}_1)$  and so  $[O_p(\mathfrak{X}), \mathfrak{P}] \subseteq O_p(\mathfrak{X}) \cap O_p(\mathfrak{X}_1) = 1$  and  $\mathfrak{P} = 1$ . We can suppose that  $\mathfrak{X}_1 = \mathfrak{X}$ .

If  $\mathfrak A$  centralizes  $\mathfrak B$ , then clearly  $\mathfrak A \lhd \mathfrak X$ , and so  $\ker (\mathfrak X \longrightarrow \operatorname{Aut} \mathfrak A) = \mathfrak A \lor \mathfrak A_1$ , by 3.10 where  $\mathfrak A \subseteq \mathfrak A_1$ . Hence,  $\mathfrak A \cap \mathfrak A \circ \mathfrak A \circ \mathfrak A_1 \lhd \mathfrak A$ , and  $\mathfrak A \cap \mathfrak A \circ \mathfrak A \circ \mathfrak A_1 \circ \mathfrak A$ , so that  $\mathfrak A \cap \mathfrak A \circ \mathfrak A \circ \mathfrak A_1 \circ \mathfrak A$ , so that  $\mathfrak A \cap \mathfrak A \circ \mathfrak A \circ \mathfrak A_1 \circ \mathfrak A_2$ , where  $\mathfrak A \cap \mathfrak A \circ \mathfrak A \circ \mathfrak A_2 \circ \mathfrak A_3$ , where  $\mathfrak A \cap \mathfrak A \circ \mathfrak A \circ \mathfrak A_4 \circ \mathfrak A_3$ . Let  $\mathfrak A \cap \mathfrak A \circ \mathfrak$ 

centralizes  $\mathfrak{B}_2$ . As  $\mathfrak{A}$  acts irreducibly on  $\mathfrak{D}$ , we have  $\mathfrak{D} = [\mathfrak{D}, \mathfrak{A}]$ , so  $\mathfrak{B}_2 = 1$ . Thus,  $\mathfrak{D}$  centralizes  $\mathfrak{B}$  and so centralizes  $O_p(\mathfrak{X})$ , so  $\mathfrak{D} = 1$ , as required.

LEMMA 7.5. Suppose  $\mathfrak{P}$  and  $\mathfrak{P}_1$  are  $S_{p,q}$ -subgroups of the solvable group  $\mathfrak{S}$ . If  $\mathfrak{B} \subseteq O_p(\mathfrak{P}_1) \cap \mathfrak{P}$ , then  $\mathfrak{B} \subseteq O_p(\mathfrak{P})$ .

**Proof.** We proceed by induction on  $|\mathfrak{S}|$ . We can suppose that  $\mathfrak{S}$  has no non identity normal subgroup of order prime to pq. Suppose that  $\mathfrak{S}$  possesses a non identity normal p-subgroup  $\mathfrak{F}$ . Then

$$\mathfrak{F} \subseteq O_{\mathfrak{p}}(\mathfrak{P}) \cap O_{\mathfrak{p}}(\mathfrak{P}_1)$$
.

Let  $\bar{\otimes} = \mathfrak{S}/\mathfrak{F}$ ,  $\bar{\mathfrak{B}} = \mathfrak{B}\mathfrak{F}/\mathfrak{F}$ ,  $\bar{\mathfrak{F}} = \mathfrak{F}/\mathfrak{F}$ ,  $\bar{\mathfrak{F}}_1 = \mathfrak{F}_1/\mathfrak{F}$ . By induction,  $\bar{\mathfrak{B}} \subseteq O_p(\bar{\mathfrak{F}})$ , so  $\mathfrak{B} \subseteq O_p(\bar{\mathfrak{F}})$  mod  $\mathfrak{F} = O_p(\bar{\mathfrak{F}})$ , and we are done. Hence, we can assume that  $O_p(\bar{\mathfrak{S}}) = \langle 1 \rangle$ . In this case,  $F(\bar{\mathfrak{S}})$  is a q-group, and  $F(\bar{\mathfrak{S}}) \subseteq \bar{\mathfrak{F}}_1$ . By hypothesis,  $\mathfrak{B} \subseteq O_p(\bar{\mathfrak{F}}_1)$ , and so  $\mathfrak{B}$  centralizes  $F(\bar{\mathfrak{S}})$ . By 3.3, we see that  $\mathfrak{B} = \langle 1 \rangle$ , so  $\mathfrak{B} \subseteq O_p(\bar{\mathfrak{F}})$  as desired.

The next two lemmas deal with a  $S_p$ -subgroup  $\mathfrak P$  of the p-solvable group  $\mathfrak X$  and with the set

 $\mathcal{S} = \{ \emptyset | 1. \ \emptyset \text{ is a subgroup of } \mathfrak{X}.$ 

- 2. №⊆\$.
- 3. The p-length of  $\mathfrak{P}$  is at most two.
- 4. |\Sigma| is not divisible by three distinct primes .}

LEMMA 7.6.  $\mathfrak{X} = \langle \mathfrak{P} | \mathfrak{P} \in \mathscr{S} \rangle$ .

Proof. Let  $\mathfrak{X}_1 = \langle \mathfrak{P} | \mathfrak{P} \in \mathscr{S} \rangle$ . It suffices to show that  $|\mathfrak{X}_1|_q = |\mathfrak{X}|_q$  for every prime q. This is clear if q = p, so suppose  $q \neq p$ . Since  $\mathfrak{X}$  is p-solvable,  $\mathfrak{X}$  satisfies  $E_{p,q}$ , so we can suppose that  $\mathfrak{X}$  is a p,q-group. By induction, we can suppose that  $\mathfrak{X}_1$  contains every proper subgroup of  $\mathfrak{X}$  which contains  $\mathfrak{P}$ . Since  $\mathfrak{P}O_q(\mathfrak{X}) \in \mathscr{S}$ , we see that  $O_q(\mathfrak{X}) \subseteq \mathfrak{X}_1$ . If  $N(\mathfrak{P} \cap O_{q-p}(\mathfrak{X})) \subset \mathfrak{X}$ , then  $N(\mathfrak{P} \cap O_p(\mathfrak{X})) \subseteq \mathfrak{X}_1$ . Since  $\mathfrak{X} = O_q(\mathfrak{X}) \cdot N(\mathfrak{P} \cap O_{q-p}(\mathfrak{X}))$ , we have  $\mathfrak{X} = \mathfrak{X}_1$ . Thus, we can assume that  $O_p(\mathfrak{X}) = \mathfrak{P} \cap O_{q-p}(\mathfrak{X})$ . Since  $\mathfrak{P}O_{p,q}(\mathfrak{X}) \in \mathscr{S}$ , we see that  $O_{p,q}(\mathfrak{X}) \subseteq \mathfrak{X}_1$ . If  $\mathfrak{P}O_{p,q}(\mathfrak{X}) = \mathfrak{X}$ , we are done, so suppose not. Then  $N(\mathfrak{P} \cap O_{p,q-p}(\mathfrak{X})) \subset \mathfrak{X}$ , so that  $\mathfrak{X}_1$  contains  $N(\mathfrak{P} \cap O_{p,q-p}(\mathfrak{X}))O_{p-q}(\mathfrak{X}) = \mathfrak{X}$ , as required.

LEMMA 7.7. Suppose  $\mathfrak{M}$ ,  $\mathfrak{N}$  are subgroups of  $\mathfrak{X}$  which contain  $\mathfrak{P}$  such that  $\mathfrak{D} = (\mathfrak{D} \cap \mathfrak{M})(\mathfrak{D} \cap \mathfrak{R})$  for all  $\mathfrak{D}$  in  $\mathscr{S}$ . Then  $\mathfrak{X} = \mathfrak{M}\mathfrak{R}$ .

*Proof.* It suffices to show that  $|\mathfrak{MM}|_q \ge |\mathfrak{X}|_q$  for every prime q. This is clear if q = p, so suppose  $q \ne p$ . Let  $\mathfrak{Q}_1$  be a  $S_q$ -subgroup of

 $\mathfrak{M} \cap \mathfrak{R}$  permutable with  $\mathfrak{P}$ , which exists by  $E_{\mathfrak{p},q}$  in  $\mathfrak{M} \cap \mathfrak{R}$ . Since  $\mathfrak{X}$  satisfies  $D_{\mathfrak{p},q}$ , there is a  $S_q$ -subgroup  $\mathfrak{Q}$  of  $\mathfrak{X}$  which contains  $\mathfrak{Q}_1$  and is permutable with  $\mathfrak{P}$ , Set  $\mathfrak{R} = \mathfrak{PQ}$ . We next show that

$$\Re = (\Re \cap \mathfrak{M})(\Re \cap \Re)$$
.

If  $\Re \in \mathscr{S}$ , this is the case by hypothesis, so we can suppose the p-length of  $\Re$  is at least 3. Let  $\Re_1 = \Re \cap O_{p,q,p}(\Re)$ , and  $\Re = N_{\Re}(\Re_1)$ . Then  $\Re$  is a proper subgroup of  $\Re$  so by induction on  $|\Re|$ , we have  $\Re = (\Re \cap \mathfrak{M})(\Re \cap \Re)$ . Let  $\Re = \Re \cdot O_{p,q,p}(\Re) = \Re O_{p,q}(\Re)$ . Since  $\Re$  is in  $\mathscr{S}$ , we have  $\Re = (\Re \cap \mathfrak{M})(\Re \cap \Re)$ . Furthermore, by Sylow's theorem,  $\Re = \Re \Re$ . Let  $R \in \Re$ . Then R = KL with  $K \in \Re$ ,  $L \in \Re$ . Then  $K = PK_1$ , with P in  $\Re$ ,  $K_1$  in  $O_{p,q}(\Re)$ . Also, L = MN, M in  $\Re \cap \Re$ , N in  $\Re \cap \Re$ , and so  $R = KL = PK_1MN = PMK_1^MN$ . Since  $K_1^M \in O_{p,q}(\Re)$ , we have  $K_1^M = M_1N_1$  with  $M_1$  in  $\Re \cap \Re$ ,  $N_1$  in  $\Re \cap \Re$ . Hence,  $R = PMM_1 \cdot N_1N$  with  $PMM_1$  in  $\Re \cap \Re$ ,  $N_1N$  in  $\Re \cap \Re$ .

Since  $\Re = (\Re \cap \mathfrak{M})(\Re \cap \Re)$ , we have

$$|\mathfrak{X}|_{\mathfrak{q}} = |\mathfrak{R}|_{\mathfrak{q}} = \frac{|\mathfrak{R} \cap \mathfrak{M}|_{\mathfrak{q}} \cdot |\mathfrak{R} \cap \mathfrak{R}|_{\mathfrak{q}}}{|\mathfrak{R} \cap \mathfrak{M} \cap \mathfrak{R}|_{\mathfrak{q}}}.$$

By construction,  $|\Re \cap \mathfrak{M} \cap \mathfrak{N}|_q = |\mathfrak{M} \cap \mathfrak{N}|_q$ . Furthermore,  $|\Re \cap \mathfrak{M}|_q \le |\mathfrak{M}|_q$  and  $|\Re \cap \mathfrak{N}|_q \le |\mathfrak{N}|_q$ , so

$$|\mathfrak{MN}|_{\mathfrak{q}} = \frac{|\mathfrak{M}|_{\mathfrak{q}}|\mathfrak{N}|_{\mathfrak{q}}}{|\mathfrak{M}\cap\mathfrak{N}|_{\mathfrak{q}}} \geq \frac{|\mathfrak{R}\cap\mathfrak{M}|_{\mathfrak{q}}\cdot|\mathfrak{R}\cap\mathfrak{N}|_{\mathfrak{q}}}{|\mathfrak{R}\cap\mathfrak{M}\cap\mathfrak{N}|_{\mathfrak{q}}} = |\mathfrak{X}|_{\mathfrak{q}} \; ,$$

completing the proof.

LEMMA 7.8. Let  $\mathfrak X$  be a finite group and  $\mathfrak D$  a p'-subgroup of  $\mathfrak X$  which is normalized by the p-subgroup  $\mathfrak A$  of  $\mathfrak X$ . Set  $\mathfrak A_1 = C_{\mathfrak A}(\mathfrak D)$ . Suppose  $\mathfrak L$  is a p-solvable subgroup of  $\mathfrak X$  containing  $\mathfrak A\mathfrak D$  and  $\mathfrak D \not \sqsubseteq O_p(\mathfrak L)$ . Then there is a p-solvable subgroup  $\mathfrak R$  of  $\mathfrak A C_{\mathfrak X}(\mathfrak A_1)$  which contains  $\mathfrak A\mathfrak D$  and  $\mathfrak D \not \sqsubseteq O_p(\mathfrak R)$ .

Proof. Let  $\mathfrak{F} = O_{p',p}(\mathfrak{D})/O_{p'}(\mathfrak{D})$ . Then  $\mathfrak{F}$  does not centralize  $\mathfrak{F}$ . Let  $\mathfrak{B}$  be a subgroup of  $\mathfrak{F}$  which is minimal with respect to being  $\mathfrak{A}\mathfrak{F}$ -invariant and not centralized by  $\mathfrak{F}$ . Then  $\mathfrak{B} = [\mathfrak{B}, \mathfrak{F}]$ , and  $[\mathfrak{B}, \mathfrak{A}_1] \subseteq D(\mathfrak{B})$ , while  $[D(\mathfrak{B}), \mathfrak{F}] = 1$ . Hence,  $[\mathfrak{B}, \mathfrak{A}_1, \mathfrak{F}] = [\mathfrak{A}_1, \mathfrak{F}, \mathfrak{B}] = 1$ , and so  $[\mathfrak{F}, \mathfrak{B}, \mathfrak{A}_1] = 1$ . Since  $[\mathfrak{F}, \mathfrak{B}] = \mathfrak{B}$ ,  $\mathfrak{A}_1$  centralizes  $\mathfrak{B}$ . Since  $\mathfrak{B}$  is a subgroup of  $\mathfrak{F}$ , we have  $\mathfrak{B} = \mathfrak{L}_0/O_{p'}(\mathfrak{D})$  for suitable  $\mathfrak{L}_0$ . As  $O_{p'}(\mathfrak{D})$  is a p'-group and  $\mathfrak{B}$  is a p-group, we can find an  $\mathfrak{A}$ -invariant p-subgroup  $\mathfrak{F}_0$  of  $\mathfrak{L}_0$  incident with  $\mathfrak{B}$ . Hence,  $\mathfrak{A}_1$  centralizes  $\mathfrak{F}_0$ . Set

$$\Re = \langle \mathfrak{A}, \mathfrak{P}_0, \mathfrak{H} \rangle \subseteq \mathfrak{L}$$
.

As  $\mathfrak{L}$  is p-solvable so is  $\mathfrak{R}$ . If  $\mathfrak{L} \subseteq O_{\mathfrak{p}'}(\mathfrak{R})$ , then

$$[\mathfrak{P}_0,\mathfrak{P}]\subseteq\mathfrak{L}_0\cap O_{\mathfrak{P}'}(\mathfrak{R})\subseteq O_{\mathfrak{P}'}(\mathfrak{L})$$

and  $\mathfrak{H}$  centralizes  $\mathfrak{B}$ , contrary to construction. Thus,  $\mathfrak{H} \not\subseteq O_{p'}(\mathfrak{R})$ , as required.

LEMMA 7.9. Let  $\mathfrak{P}$  be a p-solvable subgroup of the finite group  $\mathfrak{X}$ , and let  $\mathfrak{P}$  be a  $S_p$ -subgroup of  $\mathfrak{P}$ . Assume that one of the following conditions holds:

- (a)  $|\mathfrak{X}|$  is odd.
- (b)  $p \ge 5$ .
- (c) p=3 and a  $S_2$ -subgroup of  $\mathfrak{F}$  is abelian.

Let  $\mathfrak{P}_0 = O_{\mathfrak{p}',\mathfrak{p}}(\mathfrak{P}) \cap \mathfrak{P}$  and let  $\mathfrak{P}^*$  be a p-subgroup of X containing  $\mathfrak{P}$ . If  $\mathfrak{P}$  is a  $S_{\mathfrak{p}}$ -subgroup of  $N_{\mathfrak{X}}(\mathfrak{P}_0)$ , then  $\mathfrak{P}_0$  contains every element of  $SEN(\mathfrak{P}^*)$ .

Proof. Let  $\mathfrak{A} \in \mathscr{SCN}(\mathfrak{P}^*)$ . By (B) and (a), (b), (c), it follows that  $\mathfrak{A} \cap \mathfrak{P} = \mathfrak{A} \cap \mathfrak{P}_0 = \mathfrak{A}_1$ , say. If  $\mathfrak{A}_1 \subset \mathfrak{A}$ , then there is a  $\mathfrak{P}_0$ -invariant subgroup  $\mathfrak{B}$  such that  $\mathfrak{A}_1 \subset \mathfrak{B} \subseteq \mathfrak{A}$ ,  $|\mathfrak{B}: \mathfrak{A}_1| = p$ . Hence,  $[\mathfrak{P}_0, \mathfrak{B}] \subseteq \mathfrak{A}_1 \subseteq \mathfrak{P}_0$ , so  $\mathfrak{B} \subseteq N_{\mathfrak{X}}(\mathfrak{P}_0) \cap \mathfrak{P}^*$ . Hence,  $\langle \mathfrak{B}, \mathfrak{P} \rangle$  is a p-subgroup of  $N_{\mathfrak{X}}(\mathfrak{P}_0)$ , so  $\mathfrak{B} \subseteq \mathfrak{P}$ . Hence,  $\mathfrak{B} \subseteq \mathfrak{A} \cap \mathfrak{P} = \mathfrak{A}_1$ , which is not the case, so  $\mathfrak{A} = \mathfrak{A}_1$ , as required.

## 8. Miscellaneous Preliminary Lemmas

LEMMA 8.1. If  $\mathfrak{X}$  is a  $\pi$ -group, and  $\mathscr{C}$  is a chain  $\mathfrak{X} = \mathfrak{X}_0 \supseteq \mathfrak{X}_1 \supseteq \cdots \supseteq \mathfrak{X}_n = 1$ , then the stability group  $\mathfrak{A}$  of  $\mathscr{C}$  is a  $\pi$ -group.

*Proof.* We proceed by induction on n. Let  $A \in \mathfrak{A}$ . By induction, there is a  $\pi$ -number m such that  $B = A^m$  centralizes  $\mathfrak{X}_1$ . Let  $X \in \mathfrak{X}$ ; then  $X^B = XY$  with Y in  $\mathfrak{X}_1$ , and by induction,  $X^{B^r} = XY^r$ . It follows that  $B^{|\mathfrak{X}_1|} = 1$ .

Lemma 8.2. If  $\mathfrak P$  is a p-group, then  $\mathfrak P$  possesses a characteristic subgroup  $\mathfrak C$  such that

- (i)  $\operatorname{cl}(\mathfrak{C}) \leq 2$ , and  $\mathfrak{C}/Z(\mathfrak{C})$  is elementary.
- (ii) ker (Aut  $\mathfrak{P} \xrightarrow{res}$  Aut  $\mathfrak{C}$ ) is a p-group. (res is the homomorphism induced by restricting A in Aut  $\mathfrak{P}$  to  $\mathfrak{C}$ .)
  - (iii)  $[\mathfrak{P}, \mathfrak{C}] \subseteq \mathbf{Z}(\mathfrak{C})$  and  $\mathbf{C}(\mathfrak{C}) = \mathbf{Z}(\mathfrak{C})$ .

*Proof.* Suppose  $\mathbb{C}$  can be found to satisfy (i) and (iii). Let  $\Re = \ker$  res. In commutator notation,  $[\Re, \mathbb{C}] = 1$ , and so  $[\Re, \mathbb{C}, \Re] = 1$ . Since  $[\mathbb{C}, \Re] \subseteq \mathbb{C}$ , we also have  $[\mathbb{C}, \Re, \Re] = 1$  and 3.1 implies  $[\Re, \Re, \mathbb{C}] = 1$ , so that  $[\Re, \Re] \subseteq \mathbb{Z}(\mathbb{C})$ . Thus,  $\Re$  stabilizes the chain  $\Re \supseteq \mathbb{C} \supseteq 1$  so is a p-group by Lemma 8.1.

If now some element of  $\mathscr{SEN}(\mathfrak{P})$  is characteristic in  $\mathfrak{P}$ , then (i) and (iii) are satisfied and we are done. Otherwise, let  $\mathfrak{A}$  be a maximal characteristic abelian subgroup of  $\mathfrak{P}$ , and let  $\mathfrak{C}$  be the group generated by all subgroups  $\mathfrak{D}$  of  $\mathfrak{P}$  such that  $\mathfrak{A} \subset \mathfrak{D}$ ,  $|\mathfrak{D}:\mathfrak{A}|=p$ ,  $\mathfrak{D} \subseteq Z(\mathfrak{P} \bmod \mathfrak{A})$ ,  $\mathfrak{D} \subseteq C(\mathfrak{A})$ . By construction,  $\mathfrak{A} \subseteq Z(\mathfrak{C})$ , and  $\mathfrak{C}$  is seen to be characteristic. The maximal nature of  $\mathfrak{A}$  implies that  $\mathfrak{A} = Z(\mathfrak{C})$ . Also by construction  $[\mathfrak{P},\mathfrak{C}] \subseteq \mathfrak{A} = Z(\mathfrak{C})$ , so in particular,  $[\mathfrak{C},\mathfrak{C}] \subseteq Z(\mathfrak{C})$  and  $\mathfrak{C} \subseteq \mathfrak{C}$ . By construction,  $\mathfrak{C}/Z(\mathfrak{C})$  is elementary.

We next show that  $C(\mathfrak{C}) = Z(\mathfrak{C})$ . This statement is of course equivalent to the statement that  $C(\mathfrak{C}) \subseteq \mathfrak{C}$ . Suppose by way of contradiction that  $C(\mathfrak{C}) \nsubseteq \mathfrak{C}$ . Let  $\mathfrak{C}$  be a subgroup of  $C(\mathfrak{C})$  of minimal order subject to (a)  $\mathfrak{C} \triangleleft \mathfrak{P}$ , and (b)  $\mathfrak{C} \nsubseteq \mathfrak{C}$ . Since  $C(\mathfrak{C})$  satisfies (a) and (b),  $\mathfrak{C}$  exists. By the minimality of  $\mathfrak{C}$ , we see that  $[\mathfrak{P},\mathfrak{C}] \subseteq \mathfrak{C}$  and  $D(\mathfrak{C}) \subseteq \mathfrak{C}$ . Since  $\mathfrak{C}$  centralizes  $\mathfrak{C}$ , so do  $[\mathfrak{P},\mathfrak{C}]$  and  $D(\mathfrak{C})$ , so we have  $[\mathfrak{P},\mathfrak{C}] \subseteq \mathfrak{A}$  and  $D(\mathfrak{C}) \subseteq \mathfrak{A}$ . The minimal nature of  $\mathfrak{C}$  guarantees that  $\mathfrak{C}/\mathfrak{C} \cap \mathfrak{C}$  is of order p. Since  $\mathfrak{C} \cap \mathfrak{C} = \mathfrak{C} \cap \mathfrak{A}$ ,  $\mathfrak{C}/\mathfrak{C} \cap \mathfrak{A}$  is of order p, so  $\mathfrak{CA}/\mathfrak{A}$  is of order p. By construction of  $\mathfrak{C}$ , we find  $\mathfrak{CA} \subseteq \mathfrak{C}$ , so  $\mathfrak{C} \subseteq \mathfrak{C}$ , in conflict with (b). Hence,  $C(\mathfrak{C}) = Z(\mathfrak{C})$ , and (i) and (iii) are proved.

LEMMA 8.3. Let  $\mathfrak{X}$  be a p-group, p odd, and among all elements of  $\mathscr{SEN}(\mathfrak{X})$ , choose  $\mathfrak{A}$  to maximize  $m(\mathfrak{A})$ . Then  $\Omega_1(C(\Omega_1(\mathfrak{A}))) = \Omega_1(\mathfrak{A})$ .

REMARK. The oddness of p is required, as the dihedral group of order 16 shows.

*Proof.* We must show that whenever an element of  $\mathfrak{X}$  of order p centralizes  $\Omega_1(\mathfrak{A})$ , then the element lies in  $\Omega_1(\mathfrak{A})$ .

If  $X \in C(\Omega_1(\mathfrak{A}))$  and  $X^p = 1$ , let  $\mathfrak{B}(X) = \mathfrak{B}_1 = \langle \Omega_1(\mathfrak{A}), X \rangle$ , and let  $\mathfrak{B}_1 \subset \mathfrak{B}_2 \subset \cdots \subset \mathfrak{B}_n = \langle \mathfrak{A}, X \rangle$  be an ascending chain of subgroups, each of index p in its successor. We wish to show that  $\mathfrak{B}_1 \lhd \mathfrak{B}_n$ . Suppose  $\mathfrak{B}_1 \lhd \mathfrak{B}_m$  for some  $m \leq n-1$ . Then  $\mathfrak{B}_m$  is generated by its normal abelian subgroups  $\mathfrak{B}_1$  and  $\mathfrak{B}_m \cap \mathfrak{A}$ , so  $\mathfrak{B}_m$  is of class at most two, so is regular. Let  $Z \in \mathfrak{B}_m$ , Z of order p. Then  $Z = X^k A$ , A in  $\mathfrak{A}$ , k an integer. Since  $\mathfrak{B}_m$  is regular,  $X^{-k}Z$  is of order 1 or p. Hence,  $A \in \Omega_1(\mathfrak{A})$ , and  $Z \in \mathfrak{B}_1$ . Hence,  $\mathfrak{B}_1 = \Omega_1(\mathfrak{B}_m)$  char  $\mathfrak{B}_m \lhd \mathfrak{B}_{m+1}$ , and  $\mathfrak{B}_1 \lhd \mathfrak{B}_n$  follows. In particular, X stabilizes the chain  $\mathfrak{A} \supseteq \Omega_1(\mathfrak{A}) \supseteq \langle 1 \rangle$ .

It follows that if  $\mathfrak{D} = \Omega_1(C(\Omega_1(\mathfrak{A})))$ , then  $\mathfrak{D}'$  centralizes  $\mathfrak{A}$ . Since  $\mathfrak{A} \in \mathscr{Sen}(\mathfrak{X})$ ,  $\mathfrak{D}' \subseteq \mathfrak{A}$ . We next show that  $\mathfrak{D}$  is of exponent p. Since  $[\mathfrak{D}, \mathfrak{D}] \subseteq \mathfrak{A}$ , we see that  $[\mathfrak{D}, \mathfrak{D}, \mathfrak{D}] \subseteq \Omega_1(\mathfrak{A})$ , and so

$$[\mathfrak{D},\mathfrak{D},\mathfrak{D},\mathfrak{D}]=1$$
,

and  $cl(\mathfrak{D}) \leq 3$ . If  $p \geq 5$ , then  $\mathfrak{D}$  is regular, and being generated by

elements of order p, is of exponent p. It remains to treat the case p=3, and we must show that the elements of  $\mathfrak D$  of order at most 3 form a subgroup. Suppose false, and that  $\langle X,Y\rangle$  is of minimal order subject to  $X^3=Y^3=1$ ,  $(XY)^3\neq 1$ , X and Y being elements of  $\mathfrak D$ . Since  $\langle Y,Y^2\rangle\subset\langle X,Y\rangle$ ,  $[Y,X]=Y^{-1}$ .  $X^{-1}YX$  is of order three. Hence, [X,Y] is in  $\Omega_1(\mathfrak A)$ , and so [Y,X] is centralized by both X and Y. It follows that  $(XY)^3=X^3Y^3[Y,X]^3=1$ , so  $\mathfrak D$  is of exponent p in all cases.

If  $\Omega_1(\mathfrak{A}) \subset \mathfrak{D}$ , let  $\mathfrak{C} \triangleleft \mathfrak{X}$ ,  $\mathfrak{C} \subseteq \mathfrak{D}$ ,  $|\mathfrak{C}: \Omega_1(\mathfrak{A})| = p$ . Since  $\Omega_1(\mathfrak{A}) \subseteq \mathbb{Z}(\mathfrak{C})$ ,  $\mathfrak{C}$  is abelian. But  $m(\mathfrak{C}) = m(\mathfrak{A}) + 1 > m(\mathfrak{A})$ , in conflict with the maximal nature of  $\mathfrak{A}$ , since  $\mathfrak{C}$  is contained in some element of  $\mathfrak{SEN}(\mathfrak{X})$  by 3.9.

LEMMA 8.4. Suppose p is an odd prime and X is a p-group.

- (i) If  $\mathcal{SCN}_3(\mathfrak{X})$  is empty, then every abelian subgroup of  $\mathfrak{X}$  is generated by two elements.
- (ii) If  $SEN_3$  (X) is empty and A is an automorphism of X of prime order q,  $p \neq q$ , then q divides  $p^2 1$ .
- **Proof.** (i) Suppose  $\mathfrak A$  is chosen in accordance with Lemma 8.3. Suppose also that  $\mathfrak A$  contains an elementary subgroup  $\mathfrak E$  of order  $p^3$ . Let  $\mathfrak E_1 = C_{\mathfrak E}(\Omega_1(\mathfrak A))$ , so that  $\mathfrak E_1$  is of order  $p^2$  at least. But by Lemma 8.3,  $\mathfrak E_1 \subseteq \Omega_1(\mathfrak A)$ , a group of order at most  $p^2$ , and so  $\mathfrak E_1 = \Omega_1(\mathfrak A)$ . But now Lemma 8.3 is violated since  $\mathfrak E$  centralizes  $\mathfrak E_1$ .
- (ii) Among the A-invariant subgroups of  $\mathfrak X$  on which A acts non trivially, let  $\mathfrak P$  be minimal. By 3.11,  $\mathfrak P$  is a special p-group. Since p is odd,  $\mathfrak P$  is regular, so 3.6 implies that  $\mathfrak P$  is of exponent p. By the first part of this lemma,  $\mathfrak P$  contains no elementary subgroup of order  $p^3$ . It follows readily that  $m(\mathfrak P) \leq 2$ , and (ii) follows from the well known fact that q divides  $|\operatorname{Aut} \mathfrak P/D(\mathfrak P)|$ .

LEMMA 8.5. If  $\mathfrak{X}$  is a group of odd order, p is the smallest prime in  $\pi(\mathfrak{X})$ , and if in addition  $\mathfrak{X}$  contains no elementary subgroup of order  $p^3$ , then  $\mathfrak{X}$  has a normal p-complement.

*Proof.* Let  $\mathfrak{P}$  be a  $S_p$ -subgroup of  $\mathfrak{X}$ . By hypothesis, if  $\mathfrak{P}$  is a subgroup of  $\mathfrak{P}$ , then  $\mathscr{SCN}_3(\mathfrak{P})$  is empty. Application of Lemma 8.4 (ii) shows that  $N_{\mathfrak{X}}(\mathfrak{P})/C_{\mathfrak{X}}(\mathfrak{P})$  is a p-group for every subgroup  $\mathfrak{P}$  of  $\mathfrak{P}$ . We apply Theorem 14.4.7 in [12] to complete the proof.

Application of Lemma 8.5 to a simple group  $\mathfrak{G}$  of odd order implies that if p is the smallest prime in  $\pi(\mathfrak{G})$ , then  $\mathfrak{G}$  contains an elementary subgroup of order  $p^3$ . In particular, if  $3 \in \pi(\mathfrak{G})$ , then  $\mathfrak{G}$  contains an elementary subgroup of order 27.

LEMMA 8.6. Let  $\mathfrak{R}_1$ ,  $\mathfrak{R}_2$ ,  $\mathfrak{R}_3$  be subgroups of a group  $\mathfrak{X}$  and suppose that for every permutation  $\sigma$  of  $\{1, 2, 3\}$ ,

$$\mathfrak{N}_{\sigma(1)} \subseteq \mathfrak{N}_{\sigma(2)} \mathfrak{N}_{\sigma(3)}$$

Then  $\mathfrak{R}_1\mathfrak{R}_2$  is a subgroup of  $\mathfrak{X}$ .

*Proof.*  $\mathfrak{N}_{2}\mathfrak{N}_{1}\subseteq (\mathfrak{N}_{1}\mathfrak{N}_{3})(\mathfrak{N}_{3}\mathfrak{N}_{2})\subseteq \mathfrak{N}_{1}\mathfrak{N}_{3}\mathfrak{N}_{2}\subseteq \mathfrak{N}_{1}(\mathfrak{N}_{1}\mathfrak{N}_{2})\mathfrak{N}_{2}\subseteq \mathfrak{N}_{1}\mathfrak{N}_{2}$ , as required.

LEMMA 8.7. If  $\mathfrak A$  is a p'-group of automorphisms of the p-group  $\mathfrak B$ , if  $\mathfrak A$  has no fixed points on  $\mathfrak B/D(\mathfrak B)$ , and  $\mathfrak A$  acts trivially on  $D(\mathfrak B)$ , then  $D(\mathfrak B) \subseteq Z(\mathfrak B)$ .

*Proof.* In commutator notation, we are assuming  $[\mathfrak{P}, \mathfrak{A}] = \mathfrak{P}$ , and  $[\mathfrak{A}, D(\mathfrak{P})] = 1$ . Hence,  $[\mathfrak{A}, D(\mathfrak{P}), \mathfrak{P}] = 1$ . Since  $[D(\mathfrak{P}), \mathfrak{P}] \subseteq D(\mathfrak{P})$ , we also have  $[D(\mathfrak{P}), \mathfrak{P}, \mathfrak{A}] = 1$ . By the three subgroups lemma, we have  $[\mathfrak{P}, \mathfrak{A}, D(\mathfrak{P})] = 1$ . Since  $[\mathfrak{P}, \mathfrak{A}] = \mathfrak{P}$ , the lemma follows.

LEMMA 8.8. Suppose  $\mathfrak Q$  is a q-group, q is odd, A is an automorphism of  $\mathfrak Q$  of prime order p,  $p \equiv 1 \pmod{q}$ , and  $\mathfrak Q$  contains a subgroup  $\mathfrak Q_0$  of index q such that  $\mathscr{SEN}_3(\mathfrak Q_0)$  is empty. Then  $p = 1 + q + q^2$  and  $\mathfrak Q$  is elementary of order  $q^3$ .

**Proof.** Since  $p \equiv 1 \pmod{q}$  and q is odd, p does not divide  $q^2 - 1$ . Since  $D(\mathfrak{Q}) \subseteq \mathfrak{Q}_0$ , Lemma 8.4 (ii) implies that A acts trivially on  $D(\mathfrak{Q})$ .

Suppose that A has a non trivial fixed point on  $\Omega/D(\Omega)$ . We can then find an A-invariant subgroup  $\mathfrak M$  of index q in  $\mathfrak Q$  such that A acts trivially on  $\mathfrak Q/\mathfrak M$ . In this case, A does not act trivially on  $\mathfrak M$ , and so  $\mathfrak M \neq \mathfrak Q_0$ , and  $\mathfrak M \cap \mathfrak Q_0$  is of index q in  $\mathfrak M$ . By induction,  $p=1+q+q^2$  and  $\mathfrak M$  is elementary of order  $q^3$ . Since A acts trivially on  $\mathfrak Q/\mathfrak M$ , it follows that  $\mathfrak Q$  is abelian of order  $q^4$  If  $\mathfrak Q$  were elementary,  $\mathfrak Q_0$  would not exist. But if  $\mathfrak Q$  were not elementary, then A would have a fixed point on  $\mathfrak Q_1(\mathfrak Q)=\mathfrak M$ , which is not possible. Hence A has no fixed points on  $\mathfrak Q/D(\mathfrak Q)$ , so by Lemma 8.7,  $D(\mathfrak Q)\subseteq Z(\mathfrak Q)$ .

Next, suppose that A does not act irreducibly on  $\mathfrak{Q}/D(\mathfrak{Q})$ . Let  $\mathfrak{R}/D(\mathfrak{Q})$  be an irreducible constituent of A on  $\mathfrak{Q}/D(\mathfrak{Q})$ . By induction,  $\mathfrak{R}$  is of order  $q^3$ , and  $p=1+q+q^2$ . Since  $D(\mathfrak{Q})\subset \mathfrak{R}$ ,  $D(\mathfrak{Q})$  is a proper A-invariant subgroup of  $\mathfrak{R}$ . The only possibility is  $D(\mathfrak{Q})=1$ , and  $|\mathfrak{Q}|=q^3$  follows from the existence of  $\mathfrak{Q}_0$ .

If  $|\mathfrak{Q}| = q^3$ , then  $p = 1 + q + q^2$  follows from Lemma 5.1. Thus, we can suppose that  $|\mathfrak{Q}| > q^3$ , and that A acts irreducibly on  $\mathfrak{Q}/D(\mathfrak{Q})$ , and try to derive a contradiction. We see that  $\mathfrak{Q}$  must be non abelian. This implies that  $D(\mathfrak{Q}) = Z(\mathfrak{Q})$ . Let  $|\mathfrak{Q}: D(\mathfrak{Q})| = q^n$ . Since

 $p\equiv 1\ (\mathrm{mod}\ q)$ , and  $q^n\equiv 1\ (\mathrm{mod}\ p)$ ,  $n\geqq 3$ . Since  $D(\mathfrak{Q})=Z(\mathfrak{Q})$ , n is even,  $\mathfrak{Q}/Z(\mathfrak{Q})$  possessing a non singular skew-symmetric inner product over integers mod q which admits A. Namely, let  $\mathfrak{C}$  be a subgroup of order q contained in  $\mathfrak{Q}'$  and let  $\mathfrak{C}_1$  be a complement for  $\mathfrak{C}$  in  $\mathfrak{Q}'$ . This complement exists since  $\mathfrak{Q}'$  is elementary. Then  $Z(\mathfrak{P}\ \mathrm{mod}\ \mathfrak{C}_1)$  is A-invariant, proper, and contains  $D(\mathfrak{Q})$ . Since A acts irreducibly on  $\mathfrak{Q}/D(\mathfrak{Q})$ , we must have  $D(\mathfrak{Q})=Z(\mathfrak{Q}\ \mathrm{mod}\ \mathfrak{C}_1)$ , so a non singular skew-symmetric inner product is available. Now  $\mathfrak{Q}$  is regular, since  $\mathrm{cl}(\mathfrak{Q})=2$ , and q is odd, so  $|\mathcal{Q}_1(\mathfrak{Q})|=|\mathfrak{Q}:\mathcal{O}^1(\mathfrak{Q})|$ , by [14]. Since  $\mathrm{cl}(\mathfrak{Q})=2$ ,  $\mathcal{Q}_1(\mathfrak{Q})$  is of exponent q. Since

$$|\mathfrak{Q}: \mathcal{O}^1(\mathfrak{Q})| \geq |\mathfrak{Q}: D(\mathfrak{Q})| \geq q^4$$
,

we see that  $|\Omega_1(\mathfrak{Q})| \geq q^4$ . Since  $\mathfrak{Q}_0$  exists,  $\Omega_1(\mathfrak{Q})$  is non abelian, of order exactly  $q^4$ , since otherwise  $\mathfrak{Q}_0 \cap \Omega_1(\mathfrak{Q})$  would contain an elementary subgroup of order  $q^3$ . It follows readily that A centralizes  $\Omega_1(\mathfrak{Q})$ , and so centralizes  $\mathfrak{Q}_1$ , by 3.6. This is the desired contradiction.

LEMMA 8.9. If  $\mathfrak P$  is a p-group, if  $\mathscr{SCN}_3(\mathfrak P)$  is non empty and  $\mathfrak A$  is a normal abelian subgroup of  $\mathfrak P$  of type (p,p), then  $\mathfrak A$  is contained in some element of  $\mathscr{SCN}_3(\mathfrak P)$ .

**Proof.** Let  $\mathfrak{E}$  be a normal elementary subgroup of  $\mathfrak{P}$  of order  $p^s$ , and let  $\mathfrak{E}_1 = C_{\mathfrak{E}}(\mathfrak{A})$ . Then  $\mathfrak{E}_1 \lhd \mathfrak{P}$ , and  $\langle \mathfrak{A}, \mathfrak{E}_1 \rangle = \mathfrak{F}$  is abelian. If  $|\mathfrak{F}| = p^s$ , then  $\mathfrak{A} = \mathfrak{E}_1 = \mathfrak{F} \subset \mathfrak{E}$ , and we are done, since  $\mathfrak{E}$  is contained in an element of  $\mathscr{SEN}_3(\mathfrak{P})$ . If  $|\mathfrak{F}| \geq p^s$ , then again we are done, since  $\mathfrak{F}$  is contained in an element of  $\mathscr{SEN}_3(\mathfrak{P})$ .

If  $\mathfrak{X}$  and  $\mathfrak{Y}$  are groups, we say that  $\mathfrak{Y}$  is involved in  $\mathfrak{X}$  provided some section of  $\mathfrak{X}$  is isomorphic to  $\mathfrak{Y}$  [18].

LEMMA 8.10. Let  $\mathfrak{P}$  be a  $S_p$ -subgroup of the group  $\mathfrak{X}$ . Suppose that  $Z(\mathfrak{P})$  is cyclic and that for each subgroup  $\mathfrak{A}$  in  $\mathfrak{P}$  of order p which does not lie in  $Z(\mathfrak{P})$ , there is an element  $X = X(\mathfrak{A})$  of  $\mathfrak{P}$  which normalizes but does not centralize  $\langle \mathfrak{A}, \Omega_1(Z(\mathfrak{P})) \rangle$ . Then either SL(2, p) is involved in  $\mathfrak{X}$  or  $\Omega_1(Z(\mathfrak{P}))$  is weakly closed in  $\mathfrak{P}$ .

Proof. Let  $\mathfrak{D}=\Omega_1(Z(\mathfrak{P}))$ . Suppose  $\mathfrak{E}=\mathfrak{D}^g$  is a conjugate of  $\mathfrak{D}$  contained in  $\mathfrak{P}$ , but that  $\mathfrak{E}\neq\mathfrak{D}$ . Let  $\mathfrak{D}=\langle D\rangle$ ,  $\mathfrak{E}=\langle E\rangle$ . By hypothesis, we can find an element  $X=X(\mathfrak{E})$  in  $\mathfrak{P}$  such that X normalizes  $\langle E,D\rangle=\mathfrak{F}$ , and with respect to the basis (E,D) has the matrix  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ . Enlarge  $\mathfrak{F}$  to a  $S_p$ -subgroup  $\mathfrak{P}^*$  of  $C_{\mathfrak{X}}(\mathfrak{E})$ . Since  $\mathfrak{E}=\mathfrak{D}^g$ ,  $\mathfrak{P}^g\subseteq C_{\mathfrak{X}}(\mathfrak{E})$ , so  $\mathfrak{P}^*$  is a  $S_p$ -subgroup of  $\mathfrak{X}$ , and  $\mathfrak{E}\subseteq Z(\mathfrak{P}^*)$ . Since  $Z(\mathfrak{P}^*)$  is cyclic by hypothesis, we have  $\mathfrak{E}=\Omega_1(Z(\mathfrak{P}^*))$ . By hypothesis, there is an element  $Y=Y(\mathfrak{D})$  in  $\mathfrak{P}^*$  which normalizes  $\mathfrak{F}$  and with respect

to the basis (E, D) has the matrix  $\begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}$ . Now  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  and  $\begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}$  generate SL(2, p) [6, Sections 262 and 263], so SL(2, p) is involved in  $N_x(\mathfrak{F})$ , as desired.

LEMMA 8.11. If  $\mathfrak A$  is a p-subgroup and  $\mathfrak B$  is a q-subgroup of  $\mathfrak X$ ,  $p \neq q$ , and  $\mathfrak A$  normalizes  $\mathfrak B$  then  $[\mathfrak B, \mathfrak A] = [\mathfrak B, \mathfrak A, \mathfrak A]$ .

*Proof.* By 3.7,  $[\mathfrak{A},\mathfrak{B}] \triangleleft \mathfrak{AB}$ . Since  $\mathfrak{AB}/[\mathfrak{A},\mathfrak{B}]$  is nilpotent, we can suppose that  $[\mathfrak{A},\mathfrak{B}]$  is elementary. With this reduction,  $[\mathfrak{B},\mathfrak{A},\mathfrak{A}] \triangleleft \mathfrak{AB}$ , and we can assume that  $[\mathfrak{B},\mathfrak{A},\mathfrak{A}]=1$ . In this case,  $\mathfrak{A}$  stabilizes the chain  $\mathfrak{B} \supseteq [\mathfrak{B},\mathfrak{A}] \supseteq 1$ , so  $[\mathfrak{B},\mathfrak{A}]=1$  follows from Lemma 8.1 and  $p \neq q$ .

LEMMA 8.12. Let p be an odd prime, and  $\mathfrak E$  an elementary subgroup of the p-group  $\mathfrak P$ . Suppose A is a p'-automorphism of  $\mathfrak P$  which centralizes  $\Omega_1(C_{\mathfrak R}(\mathfrak E))$ . Then A=1.

*Proof.* Since  $\mathfrak{C} \subseteq \Omega_1(C_{\mathfrak{P}}(\mathfrak{C}))$ , A centralizes  $\mathfrak{C}$ . Since  $\mathfrak{C}$  is A-invariant, so is  $C_{\mathfrak{P}}(\mathfrak{C})$ . By 3.6 A centralizes  $C_{\mathfrak{P}}(\mathfrak{C})$ , so if  $\mathfrak{C} \subseteq Z(\mathfrak{P})$ , we are done.

If  $C_{\mathfrak{P}}(\mathfrak{F}) \subset \mathfrak{P}$ , then  $C_{\mathfrak{P}}(\mathfrak{F})D(\mathfrak{P}) \subset \mathfrak{P}$ , and by induction A centralizes  $D(\mathfrak{P})$ . Now  $[\mathfrak{P},\mathfrak{E}] \subseteq D(\mathfrak{P})$  and so  $[\mathfrak{P},\mathfrak{E},\langle A\rangle]=1$ . Also,  $[\mathfrak{E},\langle A\rangle]=1$ , so that  $[\mathfrak{E},\langle A\rangle,\mathfrak{P}]=1$ . By the three subgroups lemma, we have  $[\langle A\rangle,\mathfrak{P},\mathfrak{E}]=1$ , so that  $[\mathfrak{P},\langle A\rangle]\subseteq C_{\mathfrak{P}}(\mathfrak{E})$ , and A stabilizes the chain  $\mathfrak{P}\supseteq C_{\mathfrak{P}}(\mathfrak{E})\supset 1$ . It follows from Lemma 8.1 that A=1.

LEMMA 8.13. Suppose  $\mathfrak P$  is a  $S_p$ -subgroup of the solvable group  $\mathfrak S$ ,  $\mathscr{SCN}_3(\mathfrak P)$  is empty and  $\mathfrak S$  is of odd order. Then  $\mathfrak S'$  centralizes every chief p-factor of  $\mathfrak S$ .

*Proof.* We assume without loss of generality that  $O_{p'}(\mathfrak{S}) = 1$ . We first show that  $\mathfrak{P} \triangleleft \mathfrak{S}$ . Let  $\mathfrak{P} = O_{p}(\mathfrak{S})$ , and let  $\mathfrak{C}$  be a subgroup of  $\mathfrak{P}$  chosen in accordance with Lemma 8.2. Let  $\mathfrak{W} = \mathcal{Q}_{1}(\mathfrak{C})$ . Since p is odd and  $\operatorname{cl}(\mathfrak{C}) \leq 2$ ,  $\mathfrak{W}$  is of exponent p.

Since  $O_{p'}(\mathfrak{S}) = 1$ , Lemma 8.2 implies that  $\ker (\mathfrak{S} \longrightarrow \operatorname{Aut} \mathfrak{S})$  is a p-group. By 3.6, it now follows that  $\ker (\mathfrak{S} \stackrel{\sigma}{\longrightarrow} \operatorname{Aut} \mathfrak{B})$  is a p-group. Since  $\mathfrak{P}$  has no elementary subgroup of order  $p^s$ , neither does  $\mathfrak{B}$ , and so  $|\mathfrak{B}: D(\mathfrak{B})| \leq p^s$ . Hence no p-element of  $\mathfrak{S}$  has a minimal polynomial  $(x-1)^p$  on  $\mathfrak{B}/D(\mathfrak{B})$ . Now (B) implies that  $\mathfrak{P}/\ker \alpha \triangleleft \mathfrak{S}/\ker \alpha$ . and so  $\mathfrak{P} \triangleleft \mathfrak{S}$ , since  $\ker \alpha \subseteq \mathfrak{P}$ .

Since  $\mathfrak{P} \triangleleft \mathfrak{S}$ , the lemma is equivalent to the assertion that if  $\mathfrak{L}$  is a  $S_{p'}$ -subgroup of  $\mathfrak{S}$ , then  $\mathfrak{L}' = 1$ . If  $\mathfrak{L}' \neq 1$ , we can suppose that  $\mathfrak{L}'$  centralizes every proper subgroup of  $\mathfrak{P}$  which is normal in  $\mathfrak{S}$ . Since  $\mathfrak{L}$  is completely reducible on  $\mathfrak{P}/D(\mathfrak{P})$ , we can suppose that  $[\mathfrak{P},\mathfrak{L}'] = \mathfrak{P}$ 

and  $[D(\mathfrak{P}), \mathfrak{L}'] = 1$ . By Lemma 8.7 we have  $D(\mathfrak{P}) \subseteq Z(\mathfrak{P})$  and so  $\Omega_1(\mathfrak{P}) = \mathfrak{R}$  is of exponent p and class at most 2. Since  $\mathfrak{P}$  has no elementary subgroup of order  $p^3$ , neither does  $\mathfrak{R}$ . If  $\mathfrak{R}$  is of order p,  $\mathfrak{L}'$  centralizes  $\mathfrak{R}$  and so centralizes  $\mathfrak{P}$  by 3.6, thus  $\mathfrak{L}' = 1$ . Otherwise,  $|\mathfrak{R}:D(\mathfrak{R})| = p^2$  and  $\mathfrak{L}$  is faithfully represented as automorphisms of  $\mathfrak{R}/D(\mathfrak{R})$ . Since  $|\mathfrak{L}|$  is odd,  $\mathfrak{L}' = 1$ .

LEMMA 8.14. If  $\mathfrak S$  is a solvable group of odd order, and  $\mathscr{SCN}_3(\mathfrak P)$  is empty for every  $S_p$ -subgroup  $\mathfrak P$  of  $\mathfrak S$  and every prime p, then  $\mathfrak S'$  is nilpotent.

*Proof.* By the preceding lemma,  $\mathfrak{S}'$  centralizes every chief factor of  $\mathfrak{S}$ . By 3.2,  $\mathfrak{S}' \subseteq F(\mathfrak{S})$ , a nilpotent group.

LEMMA 8.15. Let  $\mathfrak{S}$  be a solvable group of odd order and suppose that  $\mathfrak{S}$  does not contain an elementary subgroup of order  $p^3$  for any prime p. Let  $\mathfrak{P}$  be a  $S_p$ -subgroup of  $\mathfrak{S}$  and let  $\mathfrak{S}$  be any characteristic subgroup of  $\mathfrak{P}$ . Then  $\mathfrak{S} \cap \mathfrak{P}' \triangleleft \mathfrak{S}$ .

*Proof.* We can suppose that  $\mathbb{C} \subseteq \mathfrak{P}'$ , since  $\mathbb{C} \cap \mathfrak{P}'$  char  $\mathfrak{P}$ . By Lemma 8.14  $F(\mathfrak{S})$  normalizes  $\mathbb{C}$ . Since  $F(\mathfrak{S})\mathfrak{P} \triangleleft \mathfrak{S}$ , we have  $\mathfrak{S} = F(\mathfrak{S})N(\mathfrak{P})$ . The lemma follows.

The next two lemmas involve a non abelian p-group  $\mathfrak P$  with the following properties:

- (1) p is odd.
- (2)  $\mathfrak{P}$  contains a subgroup  $\mathfrak{P}_0$  of order p such that

$$C(\mathfrak{P}_0) = \mathfrak{P}_0 \quad \mathfrak{P}_1$$

where  $\mathfrak{P}_1$  is cyclic.

Also,  $\mathfrak A$  is a p'-group of automorphisms of  $\mathfrak P$  of odd order.

LEMMA 8.16. With the preceding notation,

- (i) A is abelian.
- (ii) No element of  $\mathfrak{A}^{\bullet}$  centralizes  $\Omega_1(C(\mathfrak{P}_0))$ .
- (iii) If A is cyclic, then either |A| divides p 1 or  $Sev_s(B)$  is empty.

Proof. (ii) is an immediate consequence of Lemma 8.12.

Let  $\mathfrak{B}$  be a subgroup of  $\mathfrak{P}$  chosen in accordance with Lemma 8.2, and let  $\mathfrak{B} = \mathcal{Q}_1(\mathfrak{B})$  so that  $\mathfrak{A}$  is faithfully represented on  $\mathfrak{B}$ . If  $\mathfrak{P}_0 \nsubseteq \mathfrak{B}$ , then  $\mathfrak{P}_0 \mathfrak{B}$  is of maximal class, so that with  $\mathfrak{B}_0 = \mathfrak{B}$ ,  $\mathfrak{B}_{i+1} = [\mathfrak{B}_i, \mathfrak{P}]$ , we have  $|\mathfrak{B}_i : \mathfrak{B}_{i+1}| = p$ ,  $i = 0, 1, \dots, n-1$ ,  $|\mathfrak{B}| = p^n$ , and both (i) and (iii) follow. If  $\mathfrak{P}_0 \subseteq \mathfrak{B}$ , then  $m(\mathfrak{B}) = 2$ . Since  $[\mathfrak{B}, \mathfrak{P}] \subseteq Z(\mathfrak{B})$ ,

it follows that  $\langle \mathfrak{P}_0, \mathbf{Z}(\mathfrak{W}) \rangle \triangleleft \mathfrak{P}$ . By Lemma 8.9,  $\mathscr{SCN}_3(\mathfrak{P})$  is empty. The lemma follows readily from 3.4.

LEMMA 8.17. In the preceding notation, assume in addition that  $|\mathfrak{A}| = q$  is a prime, that q does not divide p-1, that  $\mathfrak{P} = [\mathfrak{P}, \mathfrak{A}]$  and that  $C_{\mathfrak{P}}(\mathfrak{A})$  is cyclic. Then  $|\mathfrak{P}| = p^s$ .

Proof. Since  $q \nmid p-1$ ,  $\mathfrak A$  centralizes  $Z(\mathfrak P)$ , and so  $Z(\mathfrak P) \subseteq \mathfrak P'$ . Since  $C_{\mathfrak P}(\mathfrak A)$  is cyclic,  $\Omega_1(Z_2(\mathfrak P))$  is not of type (p,p). Hence,  $\mathfrak P_0 \subseteq \Omega_1(Z_2(\mathfrak P))$ . Since every automorphism of  $\Omega_1(Z_2(\mathfrak P))$  which is the identity on  $\Omega_1(Z_2(\mathfrak P))/\Omega_1(Z(\mathfrak P))$  is inner, it follows that  $\mathfrak P = \Omega_1(Z_2(\mathfrak P)) \cdot \mathfrak D$ , where  $\mathfrak D = C_{\mathfrak P}(\Omega_1(Z_2(\mathfrak P)))$ . Since  $\mathfrak P_1$  is cyclic, so is  $\mathfrak D$ , and so  $\mathfrak D \subseteq \Omega_1(Z_2(\mathfrak P))$ , by virtue of  $\mathfrak P = [\mathfrak P, \mathfrak A]$  and  $q \nmid p-1$ .

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