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**TRANSFORMATIONS OF DOMAINS IN THE PLANE AND  
APPLICATIONS IN THE THEORY OF FUNCTIONS**

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# TRANSFORMATIONS OF DOMAINS IN THE PLANE AND APPLICATIONS IN THE THEORY OF FUNCTIONS

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In this paper we shall consider a family of transformations  $S_n$  ( $n = 1, 2, \dots$ ) operating on open or closed sets in the complex plane  $z$ .  $S_n$  is defined relatively to a fixed point called the center of transformation, and it transforms an open set into a starlike domain which, for  $n > 1$ , is also  $n$ -fold symmetric with respect to this point. Therefore, for  $n > 1$ ,  $S_n$  may be classified as a method of symmetrization. This method of symmetrization was already defined by Szegő [4] for domains which are starlike with respect to the center of transformation.

The definition of  $S_n$  will be extended (in the way usually used for symmetrizations) so that  $S_n$  will operate also on a certain class of functions and a family of condensers, in the plane. It will be proved that  $S_n$  diminishes the capacity of a condenser and this result will be used in order to obtain certain theorems in the theory of functions.

**1. Definitions and notations.** The transformations  $S_n$  are defined as follows.

**DEFINITION 1.** Let  $\Omega$  be an open set in the plane  $z$ , which does not contain the point at infinity, and let  $z_0$  be a point of  $\Omega$ . If  $|z - z_0| < \rho$ , ( $0 < \rho$ ), is a circle contained in  $\Omega$ , we define:

$$(1) \quad L_\rho(\varphi) = \int_E \frac{dr}{r},$$

where  $|z - z_0| = r$  and

$$E = \{z \mid z \in \Omega, |z - z_0| > \rho, \arg(z - z_0) = \varphi\};$$

$$(2) \quad L_\rho^{(n)}(\varphi) = \frac{1}{n} \sum_{k=0}^{n-1} L_\rho\left(\varphi + \frac{2\pi k}{n}\right);$$

$$(3) \quad \begin{cases} R(\varphi) = \rho \exp \{L_\rho(\varphi)\} \\ R^{(n)}(\varphi) = \left[ \prod_{k=0}^{n-1} R\left(\varphi + \frac{2\pi k}{n}\right) \right]^{1/n} = \rho \exp \{L_\rho^{(n)}(\varphi)\}. \end{cases}$$

Evidently,  $R^{(n)}(\varphi)$  does not depend on  $\rho$ .

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Now, the set obtained from  $\Omega$  by the transformation  $S_n = S_n(z_0)$ , with center  $z_0$  is defined as follows:

$$(4) \quad S_n \Omega = \{z \mid z - z_0 = re^{i\varphi}, 0 \leq r < R^{(n)}(\varphi), 0 \leq \varphi < 2\pi\}.$$

If instead of  $\Omega$  we have a compact set  $H$ , which has an interior point  $z_0$ , we define:

$$(4') \quad S_n H = \{z \mid z - z_0 = re^{i\varphi}, 0 \leq r \leq R^{(n)}(\varphi), 0 \leq \varphi < 2\pi\}.$$

It is easily verified that  $S_n \Omega$  is a simply-connected domain and that  $S_n H$  is a connected compact set. Both sets are starlike with respect to  $z_0$ .

We shall extend the definition of  $S_n$  over a family of functions  $\mathcal{G}$  which will now be defined. A non-constant real function  $g(z)$  belongs to  $\mathcal{G}$  if it is continuous over the extended plane  $z$ , if it takes its maximum value at infinity and if its minimum is assumed on a set of points, the interior of which is not empty. Let  $g(z)$  be a function of  $\mathcal{G}$  and let  $m$  and  $M$  be its minimum and maximum values, respectively. We define the following sets:

$$(5) \quad \begin{cases} G_m = \{z \mid g(z) = m\}, \\ G_c = \{z \mid g(z) < c\}, \end{cases} \quad \text{for } m < c \leq M.$$

$G_c$  (for  $m < c < M$ ) is an open bounded set while  $G_m$  is a compact set. Let  $z_0$  be an interior point of  $G_m$  and suppose that the circle  $|z - z_0| \leq \rho$ , ( $0 < \rho$ ), is contained in  $G_m$ . Denote by  $L_\rho(c, \varphi)$ ,  $L_\rho^{(n)}(c, \varphi)$ ,  $R^{(n)}(c, \varphi)$  the functions defined by (1), (2), (3) with  $G_c$  replacing  $\Omega$ . Clearly, for a fixed  $\varphi$ ,  $L_\rho(c, \varphi)$  is strictly monotonic increasing, for  $m \leq c \leq M$ . We also have:

$$(6) \quad \begin{cases} \lim_{c \rightarrow d^-} L_\rho(c, \varphi) = L_\rho(d, \varphi), & \text{for } m < d \leq M; \\ \lim_{c \rightarrow m} L_\rho(c, \varphi) = L_\rho(m, \rho). \end{cases}$$

Let  $S_n = S_n(z_0)$ . From these properties of  $L_\rho(c, \varphi)$ , it follows that:

$$(7) \quad S_n G_c \subset S_n G_d, \quad \text{for } m \leq c < d \leq M;$$

$$(8) \quad S_n G_c = \bigcup_{m \leq d < c} S_n G_d, \quad \text{for } m < c \leq M;$$

$$(9) \quad S_n G_m = \bigcap_{m < d < M} S_n G_d.$$

Since  $\bar{G}_c \subseteq \bigcap_{c < d < M} G_d$  we also have:

$$(10) \quad S_n \bar{G}_c \subseteq \bigcap_{c < d < M} S_n G_d, \quad m \leq c < M.$$

**DEFINITION 2.** Let  $g(z) \in \mathcal{G}$ . Using the notations introduced

above, we define the function  $g^{(n)}(z)$  obtained from  $g(z)$  by the transformation  $S_n = S_n(z_0)$ , as follows:

$$(11) \quad S_n g \equiv g^{(n)}(z) = \begin{cases} \inf \{c \mid z \in S_n G_c\}, & \text{for } z \in S_n G_M, \\ M, & \text{otherwise.} \end{cases}$$

From (8) and (9) we now conclude:

$$(12) \quad \begin{cases} S_n G_c = \{z \mid g^{(n)}(z) < c\}, \\ S_n G_m = \{z \mid g^{(n)}(z) = m\}. \end{cases} \quad \text{for } m < c \leq M,$$

## 2. A lemma concerning the function $g^{(n)}(z)$ .

LEMMA 1. *The function  $g^{(n)}(z)$  is continuous over the extended plane  $z$ . If moreover  $g(z)$  is Lip on every compact subset of  $G_M^1$  then  $g^{(n)}(z)$  is Lip on every compact subset of  $S_n G_M$ .*

*Proof.* We begin by proving the continuity of  $g^{(n)}(z)$ . If  $z^* \in S_n G_m$  and  $g^{(n)}(z^*) = d > m$  then by (10) and (12), the set  $S_n G_{d+\varepsilon}^* - S_n \bar{G}_{d-\varepsilon}^*$  (where  $m < d^* - \varepsilon < d^* + \varepsilon < M$ ) is an open neighbourhood of  $z^*$  in which  $|g^{(n)}(z) - g^{(n)}(z^*)| \leq \varepsilon$ . If  $z^*$  belongs to  $S_n G_m$  or  $z^*$  belongs to the complement of  $S_n G_M$ , then the set  $S_n G_{m+\varepsilon} (m < m + \varepsilon < M)$ , and the complement of  $S_n \bar{G}_{M-\varepsilon} (m < M - \varepsilon < M)$  respectively, are open neighbourhoods of  $z^*$  in which  $|g^{(n)}(z) - g^{(n)}(z^*)| \leq \varepsilon$ .

In order to prove the second assertion of the lemma it is sufficient to show that  $g^{(n)}(z)$  is Lip on every set  $S_n G_c (m < c < M)$ . Without loss of generality we may suppose that  $z_0 = 0$  and that  $\rho = 1$ . (And in this case we shall write  $L^{(n)}(c, \varphi)$  instead of  $L_1^{(n)}(c, \varphi)$ .) We now map the  $z$  plane, cut along the positive real axis from zero to infinity, by a branch of  $w = \log z$ , ( $w = u + iv$ ), onto the strip  $0 < v < 2\pi$ . (The points of the positive real axis will be mapped both on  $v = 0$  and  $v = 2\pi$ ). We denote by  $H_c$  and  $H_c^n$  the images of  $G_c$  and  $S_n G_c$  by this mapping, and we put  $h(w) = g(e^w)$  and  $h^{(n)}(w) = g^{(n)}(e^w)$ .

Let  $c$  be a fixed number in the open interval  $(m, M)$ . Since  $g(z)$  is Lip on  $G_c$ , the function  $h(w)$  is Lip on  $H_c$ , and if it is shown that  $h^{(n)}(w)$  is Lip on  $H_c^n$ , the required result follows.

Since  $h(w)$  is Lip on  $H_c$ , there exists a number  $p > 0$  such that:  $|h(w_1) - h(w_2)| \leq p |w_1 - w_2|$ , for any  $w_1, w_2 \in H_c$ .

We need the following assertion:

If  $\delta$  is a positive number and  $v_1, v_2, c_1, c_2$  are real numbers such that:

$$(13) \quad |v_1 - v_2| < \delta, m < c_1 < c_2 - p\delta < c - p\delta,$$

<sup>1</sup> A function  $g(z)$  is Lip on a set  $E$  if there exists a constant  $p$ , such that for any two points  $z_1, z_2 \in E$ , we have  $|g(z_1) - g(z_2)| \leq p |z_1 - z_2|$ .

then

$$(14) \quad L^{(n)}(c_2, v_2) \geq L^{(n)}(c_1, v_1) + [\delta^2 - (v_1 - v_2)^2]^{1/2}.$$

Because of the definition of  $L^{(n)}(c, v)$ , it is enough to prove (14) for  $n = 1$ . Without loss of generality we may suppose that  $0 \leq v_k < 2\pi$ , ( $k = 1, 2$ ).

Denote by  $J_k$  the intersection of the half line  $Im w = v_k$ ,  $Re w \geq 0$ , with the set  $H_{c_k}$ , for  $k = 1, 2$ . The Lebesgue measure of  $J_k$  is  $L(c_k, v_k)$ . Using (5) and (13) the following is easily verified:

Let  $w_1 \in J_1$ . If  $w_2 = u_2 + iv_2$ ,  $u_2 \geq 0$  and  $|w_1 - w_2| \leq \delta$ , then  $w_2 \in J_2$ . From this and the fact that  $J_1$  is bounded on the right, (14) follows for  $n = 1$ .

It will now be shown that

$$|h^{(n)}(w') - h^{(n)}(w'')| \leq p |w' - w''|, \quad \text{for any } w', w'' \in H_c^n.$$

Suppose that there are two points  $w_1, w_2$  in  $H_c^n$  for which this inequality does not hold, and let  $\delta$  be a number such that:

$$(15) \quad |h^{(n)}(w_1) - h^{(n)}(w_2)| > p\delta > p |w_1 - w_2|.$$

Let  $h^{(n)}(w_1) < h^{(n)}(w_2)$ . Then we can find numbers  $c_1, c_2$  such that:

$$(16) \quad m \leq h^{(n)}(w_1) < c_1 < c_2 - p\delta < h^{(n)}(w_2) - p\delta < c - p\delta.$$

Now the numbers  $c_1, c_2, v_1 = Im w_1, v_2 = Im w_2$  satisfy (13), and therefore inequality (14) holds. Since, for  $m < c < M$ ,

$$H_c^n = \{w | 0 \leq Im w \leq 2\pi, h^{(n)}(w) < c\} = \{w | 0 \leq v \leq 2\pi, u < L^{(n)}(c, v)\},$$

it follows (by (16)) that  $w_1 \in H_{c_1}^n$  and  $w_2 \notin H_{c_2}^n$ ; hence  $u_1 = Re w_1 < L^{(n)}(c_1, v_1)$  and  $u_2 = Re w_2 \geq L^{(n)}(c_2, v_2)$ . These inequalities together with (14) yield  $|w_1 - w_2| > \delta$ , which is in contradiction to (15). This completes the proof of the lemma.

**REMARK.** The following is a consequence of the second part of the lemma: If  $g(z)$  is Lip on every compact subset of  $G_M - G_m$ , then  $g^{(n)}(z)$  is Lip on every compact subset of  $S_n G_M - S_n G_m$ .

**3. On a class of functions  $(C, z_0)$ .** Let  $C = (D, E_0, E_1)$  be a condenser in the complex plane  $z$ , i.e. a system consisting of a domain  $D$  and two disjoint closed sets  $E_0$  and  $E_1$ , such that  $D$  does not contain the point at infinity,  $E_0$  is bounded,  $E_1$  is unbounded and the union of  $E_0$  and  $E_1$  is equal to the complement of  $D$ .

Suppose that  $E_0$  contains an interior point  $z_0$ , let  $z - z_0 = re^{i\varphi}$  and denote by  $S_\varphi$  the ray  $\arg(z - z_0) = \varphi$ . Then a subclass  $(C, z_0)$  of  $\mathcal{S}$  is defined as follows.

A real function  $g(z)$ , continuous over the extended plane  $z$ , belongs to  $(C, z_0)$  if:

(i)  $g(z)$  possesses continuous first partial derivatives, in  $D$ .

(ii)  $g(z) \equiv 0$  in  $E_0$ ,  $g(z) \equiv 1$  in  $E_1$  and  $0 < g(z) < 1$  in  $D$ .

(iii) The set of points on the ray  $S_c$ , at which  $g(z)$  assumes a given value  $c$  ( $0 < c < 1$ ), is finite.

(iv) Any compact set of points on  $S_\varphi$ , which is contained in  $D$ , contains only a finite number of points (possibly zero) at which  $\partial g(r, \varphi)/\partial r = 0$ .

Suppose that the Dirichlet problem of the equation  $\Delta u = 0$ , with continuous boundary values, always has a solution in  $D$ . Then there exists a real function  $\omega(z)$ , continuous over the extended plane  $z$ , which is harmonic in  $D$ , vanishes on  $E_0$  and assumes the value 1 on  $E_1$ . This function is the potential functions of  $C$ . Evidently, it belongs to  $(C, z_0)$ .

Let  $g(z) \in (C, z_0)$ . Using property (iii) we find that (6) may be replaced by

$$(17) \quad \lim_{c \rightarrow c_0} L_p(c, \varphi) = L_p(c_0, \varphi), \quad \text{for } 0 \leq c_0 \leq 1.$$

Therefore in this case, the function  $g^{(n)}(z) \equiv S_n(z_0)g$  may be defined in the following way:

$$(18) \quad g^{(n)}(z) = g^{(n)}(r, \varphi) = \begin{cases} 0, & \text{for } r \leq R^{(n)}(0, \varphi), \\ c, & \text{for } r = R^{(n)}(c, \varphi), 0 < c < 1, \\ 1, & \text{for } r \geq R^{(n)}(1, \varphi). \end{cases}$$

Since, for a fixed  $\varphi$ ,  $g^{(n)}(r, \varphi)$  is a strictly monotonic increasing function of  $r$  in the interval  $R^{(n)}(0, \varphi) < r < R^{(n)}(1, \varphi)$  and since  $g^{(n)}(r, \varphi)$  is continuous over the entire plane, it follows that  $R^{(n)}(c, \varphi)$  is continuous in both variables for  $0 < c < 1$ ,  $0 \leq \varphi < 2\pi$ .

The following definition extends the transformation  $S_n$  over a family of condensers  $\{C\}$ .

**DEFINITION 3.** Let  $C = (D, E_0, E_1)$  be a condenser in the complex plane  $z$ , such that  $E_0$  contains an interior point  $z_0$ . Put  $G_1 = D \cup E_0$  and suppose that  $S_n G_1$  (with  $S_n = S_n(z_0)$ ) does not contain the entire open plane. Then, the condenser  $C^{(n)}$  obtained from  $C$  by the transformation  $S_n = S_n(z_0)$  is defined as follows:

$$C^{(n)} = (D^{(n)}, E_0^{(n)}, E_1^{(n)}),$$

where  $D^{(n)} = S_n G_1 - S_n E_0$ ,  $E_0^{(n)} = S_n E_0$  and  $E_1^{(n)} =$  the complement of  $S_n G_1$ .

#### 4. A theorem concerning the Dirichlet integral of functions belonging to $(C, z_0)$ .

**THEOREM 1.** *Let  $C = (D, E_0, E_1)$  be a condenser in the complex plane  $z$ , such that  $E_0$  contains an interior point  $z_0$ . Suppose that  $g(z)$  belongs to  $(C, z_0)$  and that its Dirichlet integral over  $D$  is finite. If  $S_n = S_n(z_0)$ , ( $n = 1, 2, 3, \dots$ ),  $g^{(n)}(z) = S_n g$ , and  $D^{(n)}$  is the domain mentioned in Definition 3, then:*

$$(19) \quad \iint_{D^{(n)}} (\nabla g^{(n)})^2 dx dy \leq \iint_D (\nabla g)^2 dx dy .$$

**REMARK.** This theorem was proved by Szegő [4], for  $n = 2, 3, \dots$ , in the special case where,  $D$  is a doubly-connected domain bounded by two smooth curves which are starlike with respect to  $z_0$ ;  $E_0$  and  $E_1$  are connected sets; and the function  $g(z)$  is the potential function of the condenser  $C$ .

*Proof.* By property (i) of  $g(z)$  and by the remark at the end of Lemma 1 it follows that  $g^{(n)}(z)$  is Lip on every compact subset of  $D^{(n)}$ . Therefore the first partial derivatives of  $g^{(n)}(x, y)$  exist almost everywhere in  $D^{(n)}$  and are bounded in every compact subset of  $D^{(n)}$ .

Without loss of generality we may suppose that  $z_0 = 0$  and that the circle  $|z| \leq \rho = 1$  is contained in  $E_0$ . Again we shall write  $L^{(n)}(c, \varphi)$  instead of  $L_\rho^{(n)}(c, \varphi)$ . We also introduce the following notations:

$$\begin{aligned} D(a, b) &= \{z \mid a < g(z) < b\} , \\ D^{(n)}(a, b) &= \{z \mid a < g^{(n)}(z) < b\} , \end{aligned} \quad \text{for } 0 < a < b < 1 .$$

The sets  $D(a, b)$  and  $D^{(n)}(a, b)$  will be mapped by  $w = \log z$  ( $0 \leq \text{Im} w < 2\pi$ ) on two sets which we denote by  $H(a, b)$  and  $H^{(n)}(a, b)$ , respectively. Finally we define:  $h(w) = g(e^w)$ ,  $h^{(n)}(w) = g^{(n)}(e^w)$  and

$$\gamma_c = \{w \mid 0 < \text{Im} w < 2\pi, h(w) = c\} , \quad \text{for } 0 < c < 1 .$$

The proof of the theorem rests on the following inequality:

$$(20) \quad \iint_{H^{(n)}(a, b)} [1 + \varepsilon^2 (\nabla h^{(n)})^2]^{1/2} du dv \leq \iint_{H(a, b)} [1 + \varepsilon^2 (\nabla h)^2]^{1/2} du dv ,$$

where  $w = u + iv$ ,  $0 < a < b < 1$  and  $\varepsilon > 0$ .

Inequality (19) is derived from (20) by a standard argument which we shall briefly describe.

The closures of the sets  $D(a, b)$  and  $D^{(n)}(a, b)$  are compact sets contained in  $D$  and  $D^{(n)}$ , respectively. Therefore the first partial derivatives of  $h(u, v)$  ( $h^{(n)}(u, v)$ ) are bounded in  $H(a, b)$  ( $H^{(n)}(a, b)$ ). It is evident from the definitions that the area of  $H(a, b)$  equals that

of  $H^{(n)}(a, b)$ . Taking into account these facts and using the binomial expansion of the integrands in (20), (for  $\varepsilon$  small enough), we obtain:

$$\frac{\varepsilon^2}{2} \iint_{H^{(n)}(a, b)} (\nabla h^{(n)})^2 du dv + O(\varepsilon^4) \leq \frac{\varepsilon^2}{2} \iint_{H(a, b)} (\nabla h)^2 du dv + O(\varepsilon^4).$$

Dividing by  $\varepsilon^2$  and letting  $\varepsilon$  tend to zero we find that

$$\iint_{H^{(n)}(a, b)} (\nabla h^{(n)})^2 du dv \leq \iint_{H(a, b)} (\nabla h)^2 du dv.$$

Since the Dirichlet integral is invariant under a simple conformal mapping, it follows that

$$\iint_{D^{(n)}(a, b)} (\nabla g^{(n)})^2 dx dy \leq \iint_{D(a, b)} (\nabla g)^2 dx dy.$$

Hence, letting  $a$  tend to zero and  $b$  tend to one, we obtain the required inequality.

In the proof of (20) we may suppose that  $\varepsilon = 1$ .

The first step is the following assertion. Suppose that  $w^* = u^* + iv^* \in H^{(n)}(a, b)$  and  $0 < v^* < (2\pi/n)$ . Put  $h^{(n)}(u^*, v^*) = c^*$ . If  $\partial h / \partial u \neq 0$  at all the points of intersection of the set  $\gamma_{c^*}$  and the lines  $Im w = v^* + (2\pi m/n)$  ( $m = 0, \dots, n-1$ ), then there exists a neighbourhood of  $w^*$  in which  $h^{(n)}(u, v) \in C^1$ .

In order to prove this assertion we shall show first that  $L(c, v) \in C^1$  in a neighbourhood of  $(c^*, v^*)$ . By property (iii) the set  $\gamma_{c^*}$  intersects the line  $Im w = v^*$  in a finite number of points, which we denote by  $w_1, \dots, w_p$ , where  $Re w_1 < Re w_2 < \dots < Re w_p$ . By hypothesis,  $\partial h / \partial u \neq 0$  at these points. Let  $q$  be a positive number such that the circles  $K_j: |w - w_j| \leq q$ , ( $j = 1, \dots, p$ ), are contained in  $H(a, b)$  and  $\partial h / \partial u \neq 0$  in them. Then the following is easily verified:

There exists a rectangle

$$P = \{(c, v) \mid |c - c^*| \leq \delta, |v - v^*| \leq \delta\},$$

(where  $a < c^* - \delta < c^* + \delta < b$ ,  $0 < v^* - \delta < v^* + \delta < (2\pi/n)$ ), such that:

(a) If  $(c, v) \in P$  then  $\gamma_c$  intersects the line  $Im w = v$  in exactly  $p$  points, one point in each circles  $K_j$ .

(b) The set  $H(c^* - \delta, c^* + \delta)$  intersects the strip  $v^* - \delta < Im w < v^* + \delta$  in exactly  $p$  domains  $Q_j$ , where  $Q_j \subset K_j$ , ( $j = 1, \dots, p$ ).

Solving  $c = h(u, v)$  for  $u$  in  $Q_j$  we obtain a function  $u = u_j(c, v)$ . This function belongs to  $C^1$  in the rectangle  $P$  where

$$(21) \quad \frac{\partial u_j}{\partial c} = \left( \frac{\partial h}{\partial u} \right)^{-1}, \quad \frac{\partial u_j}{\partial v} = - \left( \frac{\partial h}{\partial v} \right) \times \left( \frac{\partial h}{\partial u} \right)^{-1}.$$



Since by definition:

$$(22) \quad L(c, v) = \sum_{j=1}^p (-1)^{j+1} \times u_j(c, v)$$

it follows that  $L(c, v) \in C^1[P]$ . We observe that in  $Q_j$  we have  $\partial h / \partial u = (-1)^{j+1} \times |\partial h / \partial u|$  so that

$$(23) \quad \frac{\partial L}{\partial c} = \sum_{j=1}^p \left| \frac{\partial u_j}{\partial c} \right|, \quad \text{in } P.$$

Evidently, similar results hold for any of the points  $c = c^*$ ,  $v = v^* + (2\pi m/n)$ , for  $m = 0, \dots, n-1$ . Therefore it is possible to find a positive number  $\eta$  ( $\eta \leq \delta$ ) such that  $L^{(n)}(c, v) \in C^1$  and  $(\partial L^{(n)} / \partial c) > 0$  in the rectangle  $|c - c^*| < \eta$ ,  $|v - v^*| < \eta$ . By (18), for any fixed  $v$ ,  $c = h^{(n)}(u, v)$  is the inverse function of  $u = L^{(n)}(c, v)$  in the interval  $0 < c < 1$ . Hence it follows that in a certain neighbourhood of  $(u^*, v^*)$ ,  $h^{(n)}(u, v) \in C^1$  and

$$(24) \quad \frac{\partial h^{(n)}}{\partial u} = \left( \frac{\partial L^{(n)}}{\partial c} \right)^{-1}, \quad \frac{\partial h^{(n)}}{\partial v} = - \left( \frac{\partial L^{(n)}}{\partial v} \right) \times \left( \frac{\partial L^{(n)}}{\partial c} \right)^{-1}.$$

Denote by  $A(v)$  and  $A_n(v)$  the intersections of the line  $Im w = v$  with the sets  $H(a, b)$  and  $H^{(n)}(a, b)$  respectively. Let  $w \in A(v)$  and  $h(w) = c$ , ( $0 < v < 2\pi$ ). If at one of the points of intersection of  $\gamma_c$  with the line  $Im w = v$ ,  $\partial h / \partial u$  vanishes then we shall say that  $w$  is a critical point of  $A(v)$ . Let  $w \in A_n(v)$  and  $h^{(n)}(w) = c$ . If the intersection of  $\gamma_c$  with one of the sets  $A(v + 2\pi m/n)$ , ( $m = 0, \dots, n-1$ ), contains a critical point of that set, we shall say that  $w$  is a critical point of  $A_n(v)$ . By properties (iii) and (iv) the set of critical points of  $A(v)$  is finite, and consequently, the set of critical points of  $A_n(v)$  is finite.

We shall prove now that

$$(25) \quad \int_{A_1(v)} [1 + (\nabla h^{(1)})^2]^{1/2} du \leq \int_{A(v)} [1 + (\nabla h)^2]^{1/2} du,$$

for  $0 < v < 2\pi$ . Inequality (20) for  $n = 1$ , follows from (25).

Let  $v_0$  be a fixed point in the interval  $(0, 2\pi)$  and let  $\{c_1, \dots, c_{k-1}\}$  be the set of values (possibly void) taken by  $h(w)$  at the critical points of  $A(v_0)$ . We assume that these values are ordered as follows:

$$a = c_0 < c_1 < \dots < c_{k-1} < c_k = b.$$

Denote by  $B_l$  that subset of  $A(v_0)$  which consists of open segments, free from critical points, such that at the endpoints of each segment  $h(w)$  assumes the values  $c_l$  and  $c_{l+1}$ . Evidently, for any  $l$  ( $l = 0, \dots, k-1$ ) the set  $B_l$  is not void and  $A(v_0) = \bigcup_{l=0}^{k-1} B_l$ .

Now let  $m$  be a fixed integer,  $0 \leq m \leq k-1$ , and denote by  $\alpha_1, \dots, \alpha_p$ .

the segments contained in  $B_m$ , which were described above. We shall assume that  $\alpha_j$  is at the left of  $\alpha_{j+1}$ , ( $j = 1, \dots, p-1$ ). In some neighbourhood of  $\alpha_j$  it is possible to solve  $c = h(u, v)$  for  $u$  and thereby obtain a function  $u = u_j(c, v)$ . By (21) we obtain:

$$(26) \quad \int_{\omega_j} [1 + (\nabla h(u, v_0))^2]^{1/2} du = \int_{c_m}^{c_{m+1}} [1 + (\nabla u_j(c, v_0))^2]^{1/2} dc ,$$

for  $j = 1, \dots, p$ .

Denote:  $u'_j = L(c_j, v_0)$  and  $w'_j = u'_j + iv_0$ , ( $j = 0, \dots, k$ ). Then  $w'_0$  and  $w'_k$  are the endpoints of  $A_1(v_0)$  while  $w'_1, \dots, w'_{k-1}$  are the critical points of  $A_1(v_0)$ . Denote by  $B'_m$  the open segment with endpoints  $w'_m, w'_{m+1}$ . By (22) and (24) (with  $n = 1$ ) we get:

$$(27) \quad \begin{aligned} \int_{B'_m} [1 + (\nabla h^{(1)}(u, v_0))^2]^{1/2} du &= \int_{c_m}^{c_{m+1}} [1 + (\nabla L(c, v_0))^2]^{1/2} dc \\ &= \int_{c_m}^{c_{m+1}} \left\{ 1 + \left[ \nabla \sum_{j=1}^p (-1)^{j+1} u_j(c, v_0) \right]^2 \right\}^{1/2} dc . \end{aligned}$$

By (26), (27) and the well known inequality

$$(28) \quad \left\{ \left( \sum_{j=1}^p x_j \right)^2 + \left( \sum_{j=1}^p y_j \right)^2 + \left( \sum_{j=1}^p t_j \right)^2 \right\}^{1/2} \leq \sum_{j=1}^p (x_j^2 + y_j^2 + t_j^2)^{1/2} ,$$

( $x_j, y_j, t_j$  being real numbers) we finally obtain:

$$(29) \quad \begin{aligned} \int_{B'_m} [1 + (\nabla h^{(1)}(u, v_0))^2]^{1/2} du &\leq \int_{B_m} [1 + (\nabla h(u, v_0))^2]^{1/2} du \\ &= \sum_{j=1}^p \int_{\omega_j} [1 + (\nabla h(u, v_0))^2]^{1/2} du . \end{aligned}$$

Since (29) holds for any  $m$ , ( $m = 0, \dots, k-1$ ) inequality (25) follows.

It remains to prove inequality (20) for  $n = 2, 3, \dots$ . Since this inequality is proved for  $n = 1$ , it is enough to show that

$$(30) \quad n \times \int_{A_n(v_0)} [1 + (\nabla h^{(n)}(u, v_0))^2]^{1/2} du \leq \sum_{j=0}^{n-1} \int_{A_1(v_j)} [1 + (\nabla h^{(1)}(u, v_j))^2]^{1/2} du ,$$

where  $0 < v_0 < (2\pi/n)$  and  $v_j = v_0 + (2\pi j/n)$ .

Let  $\{c_1^*, \dots, c_{r-1}^*\}$  be the set of values (possibly void) assumed by  $h^{(n)}(w)$  at the critical points of  $A_n(v_0)$ , these values being ordered as follows:

$$a = c_0^* < c_1^* < \dots < c_{r-1}^* < c_r^* = b .$$

Put  $u_m^* = L^{(n)}(c_m^*, v_0)$  and  $u_{m,j}^* = L(c_m^*, v_j)$ . By (24) we get:

$$\begin{aligned}
 \int_{u_m^*}^{u_{m+1}^*} [1 + (\nabla h^{(n)}(u, v_0))^2]^{1/2} du &= \int_{c_m^*}^{c_{m+1}^*} [1 + (\nabla L^{(n)}(c, v_0))^2]^{1/2} dc \\
 (31) \quad &= \frac{1}{n} \int_{c_m^*}^{c_{m+1}^*} \left[ n^2 + \left( \sum_{j=0}^{n-1} \nabla L(c, v_j) \right)^2 \right]^{1/2} dc ; \\
 \int_{u_{m,j}^*}^{u_{m+1,j}^*} [1 + (\nabla h^{(1)}(u, v_j))^2]^{1/2} du &= \int_{c_m^*}^{c_{m+1}^*} [1 + (\nabla L(c, v_j))^2]^{1/2} dc ,
 \end{aligned}$$

for  $m = 0, \dots, r-1$  and  $j = 0, \dots, n-1$ . From (31) and (28), inequality (30) follows. This completes the proof of the theorem.

**5. The transformation  $S_n$  diminishes the capacity of a condenser.** Let  $C = (D, E_0, E_1)$  be a condenser in the complex plane  $z$ , satisfying the conditions of Definition 3. It will be assumed that the Dirichlet problem for  $\nabla u = 0$ , with continuous boundary values, always has a solution in  $D$ . (Sufficient conditions for the validity of this assumption are given, for example, in Hayman [2], Th. 4.2, pp. 63-64. Following Hayman's terminology we shall say that a domain is *admissible* if it satisfies these conditions.) The *capacity* of the condenser  $C$  is defined as the Dirichlet integral over  $D$ , of the potential function  $\omega(z)$  of  $C$ , (see § 3).

Let  $C^{(n)} = S_n C = (D^{(n)}, E_0^{(n)}, E_1^{(n)})$ , (where  $S_n = S_n(z_0)$ ). The domain  $D^{(n)}$  is admissible so that the capacity of  $C^{(n)}$  is defined. We now prove the following:

**THEOREM 2.** *Let  $C$  and  $C^{(n)}$  be the condensers mentioned above and denote their capacities by  $I$  and  $I_n$  respectively. Then we have  $I_n \leq I$ .*

*Proof.* Let  $\omega^{(n)}(z) = S_n \omega(z)$ , ( $S_n = S_n(z_0)$ ). Since  $\omega(z) \in (C, z_0)$ , by Theorem 1 we have

$$(32) \quad \int_{D^{(n)}} (\nabla \omega^{(n)})^2 dx dy \leq \int_D (\nabla \omega)^2 dx dy = I .$$

The function  $\omega^{(n)}(z)$  is continuous over the extended plane  $z$  and Lip in every compact subset of  $D^{(n)}$ ; it vanishes on  $E_0$  and assumes the value 1 on  $E_1$ . Hence, by the Dirichlet minimum principle (see, Hayman [2], Th. 4.3, pp. 65-67) we have

$$(33) \quad I_n \leq \int_{D^{(n)}} (\nabla \omega^{(n)})^2 dx dy .$$

The required result follows from (32) and (33).

We shall apply Theorem 2 in order to obtain a result about the inner radius. Let  $D$  be a domain in the complex plane  $z$ ,  $z_0$  a point

of  $D$ , and  $r(D, z_0)$  the inner radius of  $D$  at  $z_0$ . (We refer here to the definition given, for example, in Hayman [2] pp. 78–80, where the inner radius is defined without any assumptions on  $D$ .) The domain  $D$  can be approximated from within by a series of bounded analytic domains  $\{D_n\}$ , which contain the point  $z_0$ , such that  $\lim_{n \rightarrow \infty} r(D_n, z_0) = r(D, z_0)$ . (An analytic domain is a domain bounded by a finite number of disjoint, simple closed, analytic curves.) By a well known method of Pólya and Szegő (see Pólya-Szegő [3] pp. 44–45; also Hayman [2] pp. 81–84) the following theorem is obtained as a consequence of Theorem 2.

**THEOREM 3.** *Let  $D$  be a domain in the complex plane  $z$  and let  $z_0 \in D$ . If  $S_n = S_n(z_0)$ , then*

$$(34) \quad r(D, z_0) \leq r(S_n D, z_0) .$$

**6. Applications in the theory of functions.** In this section we denote by  $w = f(z)$  a function which is regular in  $|z| < 1$  and by  $D$  the domain of all values  $w$  assumed by this function at least once in  $|z| < 1$ . It is known that

$$(35) \quad |f'(0)| \leq r(D, f(0)) ,$$

equality holding if and only if  $f(z)$  is a (1,1) mapping, (see Hayman [2], Th. 4.5, p. 80).

As a consequence of Theorem 3 we obtain the following:

**THEOREM 4.** *Let  $S_n = S_n(f(0))$  and suppose that  $S_n D$  does not contain the entire open plane. Let  $w = F(z)$  be a (1,1) conformal mapping of  $|z| < 1$  onto  $S_n D$ , such that  $F(0) = f(0)$ . Then we have  $|f'(0)| \leq |F'(0)|$ .*

*Proof.* By (35) we get:  $|f'(0)| \leq r(D, f(0))$  and  $|F'(0)| = r(S_n D, F(0))$ . From these relations together with (34), the required inequality follows.

The following results are based on Theorem 4.

**THEOREM 5.** *Let  $f(z) = a_1 z + a_2 z^2 + \dots$ . Define  $R^{(n)}(\varphi)$  as in Definition 1, for the domain  $D$  and the point  $w = 0$ . Then,*

$$(36) \quad |a_1| \leq \sqrt[n]{4} R^{(n)}(\varphi) , \quad (0 \leq \varphi < 2\pi)$$

*and equality holds for the function*

$$w = \psi_n(z) = t e^{i(\varphi + \theta)} z / (1 + e^{in\theta} z^n)^{2/n} , \quad (t \text{ and } \theta \text{ real numbers}) .$$

*Proof.* Let  $\varphi_0$  be a fixed real number and suppose that  $R^{(n)}(\varphi_0) = d < \infty$ . Denote by  $D_0$  the domain containing the entire  $w$  plane, with the exception of  $n$  rays:  $\arg w = \varphi_0 + (2\pi k/n)$ ,  $d \leq |w|$ ,  $(k = 0, \dots, n-1)$ . The domain  $S_n D$  ( $S_n = S_n(0)$ ) is contained in  $D_0$ . The function  $w = \sqrt[n]{4} d e^{i\varphi_0} f_n(z)$  where

$$(37) \quad f_n(z) = z/(1 + z^n)^{2/n},$$

maps  $|z| < 1$  conformally, (1,1) onto  $D_0$ . Therefore, by the principle of subordination and Theorem 4 it follows that  $|a_1| \leq \sqrt[n]{4} d$ , and inequality (36) is proved. The assertion concerning the function  $w = \psi_n(z)$  is evident.

The following theorem may be proved by the same method.

**THEOREM 6.** *Let  $f(z) = a_1 z + a_2 z^2 + \dots$ . Suppose that  $R^{(n)}(\varphi) \leq M < \infty$  for  $0 \leq \varphi < 2\pi$  and that  $R^{(n)}(\varphi_0) = \beta M$  ( $0 < \beta \leq 1$ ). Then*

$$(38) \quad |a_1| \leq \beta M \cdot \sqrt[n]{4} / (1 + \beta^n)^{2/n},$$

and equality holds for the function

$$w = \phi_n(z) = M e^{i\varphi_0} f_n^{-1}[q f_n(e^{i\theta} z)],$$

where  $f_n(z)$  is defined by (37),  $0 \leq \theta < 2\pi$  and  $q = \sqrt[n]{4} \beta / (1 + \beta^n)^{2/n}$ .

We now prove

**THEOREM 7.** *Let  $f(z) = a_1 z + a_2 z^2 + \dots$  and define:*

$$(39) \quad R_0 = \exp \left[ \frac{1}{2\pi} \int_0^{2\pi} \log R(\varphi) d\varphi \right] = \exp \left[ \frac{1}{2\pi} \int_0^{2\pi} \log R^{(n)}(\varphi) d\varphi \right].$$

Then  $|a_1| \leq R_0$ , and equality holds for  $w = a_1 z$ .<sup>2</sup>

*Proof.* First suppose that  $w = f(z)$  is regular in  $|z| \leq 1$  and that  $f'(z) \neq 0$  on  $|z| = 1$ . Then  $R(\varphi)$  is a continuous function of  $\varphi$ , and we have

$$(40) \quad \lim_{n \rightarrow \infty} R^{(n)}(\varphi) = \lim_{n \rightarrow \infty} \exp \left[ \frac{1}{n} \sum_{k=0}^{n-1} \log R \left( \varphi + \frac{2\pi k}{n} \right) \right] = R_0,$$

for any real  $\varphi$ . Therefore, if a positive  $\varepsilon$  is given and  $n$  is sufficiently large, the domain  $S_n D$  (where  $S_n = S_n(0)$ ) is contained in the circle  $|z| < R_0 + \varepsilon$ . Hence, by Theorem 4 and the principle of subordi-

<sup>2</sup> The author obtained this result in a weaker form, with  $\bar{r}_n = \frac{1}{2\pi} \int_0^{2\pi} R^{(n)}(\varphi) d\varphi$  instead of  $R_0$ . (By the geometric-arithmetic mean theorem  $R_0 \leq \bar{r}_n$  for every  $n$ ). The stronger form written above was suggested by the referee, to whom our thanks are due.

nation, we get  $|a_1| \leq R_0 + \varepsilon$ . In order to prove the theorem in the general case, we approximate the function  $w = f(z)$  by functions  $w = f(\rho z)$ , with  $0 < \rho < 1$ .

Let  $\Omega$  be an open set in the plane  $z$  and let  $z_0 \in \Omega$ . Denote by  $m(\varphi)$  the linear (Lebesgue) measure of the set  $E(\varphi) = \{z | \arg(z - z_0) = \varphi, z \in \Omega\}$ , and define

$$(41) \quad m^{(n)}(\varphi) = \frac{1}{n} \sum_{k=0}^{n-1} m\left(\varphi + \frac{2\pi k}{n}\right).$$

We shall show that Theorems 5, 6, 7, remain true if  $R(\varphi)$  is replaced by  $m(\varphi)$ , and  $R^{(n)}(\varphi)$  by  $m^{(n)}(\varphi)$ . This is a consequence of the following inequalities:

$$(42) \quad R(\varphi) \leq m(\varphi),$$

$$(42') \quad R^{(n)}(\varphi) \leq m^{(n)}(\varphi), \quad \text{for } 0 \leq \varphi < 2\pi.$$

If  $R(\varphi)$  is finite, equality holds in (42) if and only if the set  $E(\varphi)$  is contained in a segment  $E^*$  such that  $E^* - E(\varphi)$  is a set of measure zero. (We shall refer to this condition as the *MR* condition.) Inequality (42') follows from (42) by the geometric-arithmetic mean theorem. Hence, if  $R^{(n)}(\varphi)$  is finite, equality holds in (42') if and only if

$$R(\varphi) = R\left(\varphi + \frac{2\pi k}{n}\right) = m(\varphi) = m\left(\varphi + \frac{2\pi k}{n}\right), \quad (k = 1, \dots, n-1).$$

From this it follows that when we replace  $R(\varphi)$  by  $m(\varphi)$  and  $R^{(n)}(\varphi)$  by  $m^{(n)}(\varphi)$ , the functions mentioned at the end of Theorems 5, 6, 7, are in each case, the *only* functions for which equality holds.

In order to prove (42) we may suppose that  $m(\varphi)$  is finite. In this case, for any  $\varepsilon > 0$  we can find a subset  $F$  of  $E(\varphi)$ , consisting of a finite number of segments, such that the linear measure of  $E(\varphi) - F$  is smaller than  $\varepsilon$ . Therefore it is enough to prove (42) in the case that  $E(\varphi)$  consists of a finite number of segments. Suppose that these segments are not adjacent. Then, by shifting them toward  $z_0$  (so that they do not overlap), we increase  $R(\varphi)$ , while  $m(\varphi)$  is invariant. But if the segments are adjacent we have  $R(\varphi) = m(\varphi)$ . Therefore (42) is proved.

Evidently, the *MR* condition for  $E(\varphi)$  is sufficient in order that  $R(\varphi) = m(\varphi)$ . Suppose now that  $R(\varphi)$  is finite and that  $E(\varphi)$  does not satisfy the *MR* condition. Then it is possible to find a subset  $F_1$  of  $E(\varphi)$  and a subset  $F_2$  of the complement of  $E(\varphi)$  on the ray  $\arg(z - z_0) = \varphi$ , such that the two subsets have equal, positive measures and  $F_2$  separates  $F_1$  from  $z_0$ . Replacing  $F_1$  by  $F_2$  we increase  $R(\varphi)$ , but not  $m(\varphi)$ . Therefore we must have  $R(\varphi) < m(\varphi)$ .

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