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A CHARACTERIZATION OF WEAK* CONVERGENCE

MAURICE SION

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1. Introduction. Let X be a locally compact, Hausdorff space and $\{\mu_i; i \in D\}$ be a net of Radon measures on X (in the sense of Caratheodory). The weak* or vague limit of this net is the Radon measure ν such that

$$\lim_i \int f d\mu_i = \int f d
u$$

for every continuous function f vanishing outside some compact set. In this paper, we construct in §3 a Radon measure φ^* from a given base \mathscr{B} for the topology of X and $\liminf_i \mu_i$ and then, in §4, we give necessary and sufficient conditions for φ^* to be the weak^{*} limit of the μ_i . In particular, if the latter exists then it is the φ^* generated when \mathscr{B} is the family of all open sets.

The measure φ^* is obtained from another measure φ by a standard regularizing process. The definition of φ easily extends to abstract spaces but that of φ^* makes essential use of the topology. Thus, it is of some importance to know when $\varphi = \varphi^*$, that is, when a measure constructed through an abstract process from the μ_i turns out to be, in the topological situation, the weak* limit of the μ_i . In Theorem 3.3 we give a condition for $\varphi = \varphi^*$ and in §5 we give an example to show that the condition cannot be eliminated.

We refer to standard texts such as Halmos [1], Kelley [2], and Munroe [3] for the elementary properties and concepts of topology and measure theory used in this paper.

2. Notation.

- 2.1 ω denotes the set of natural numbers.
- 2.2 0 denotes both the empty set and the smallest number in ω .
- 2.3 μ is a Caratheodory (outer) measure on X if and only if μ is a function on the family of all subsets of X such that $\mu 0 = 0$ and

$$0 \leq \mu A \leq \sum_{n \in \omega} \mu B_n \leq \infty \quad ext{whenever } A \subset \bigcup_{n \in \omega} B_n \subset X$$
 .

2.4 For μ a Caratheodory measure on X, A is μ -measurable if and only if $A \subset X$ and for every $T \subset X$

$$\mu T = \mu(T \cap A) + \mu(T - A)$$
 .

2.5 For X a topological space, μ is a Radon measure on X if and

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only if μ is a Caratheodory measure on X such that:

- (i) open sets are μ -measurable,
- (ii) if C is compact then $\mu C < \infty$,
- (iii) if α is open then $\mu \alpha = \sup \{\mu C; C \text{ compact, } C \subset \alpha\}$,
- (iv) if $A \subset X$ then $\mu A = \inf \{\mu \alpha ; \alpha \text{ open, } A \subset \alpha \}$.
- 2.6 For X a topological space, $C_0(X)$ is the family of all real-valued continuous functions on X vanishing outside some compact set.
- 2.7 (D, <) is a directed set if and only if $D \neq 0$, D is partially ordered by < so that for any $i, j \in D$ there exists $k \in D$ with i < k and j < k.
- 2.8 A net is a function on a directed set.
- **2.9** \overline{A} denotes the closure of A.

3. The lim inf measure. Let X be a regular topological space; \mathscr{B} be a base for the topology of X, closed under finite unions and intersections; (D, <) be a directed set and, for each $i \in D$, μ_i be a Radon measure on X.

For each $a \in \mathcal{B}$, let

$$glpha = \lim_{\overline{i\in D}} \mu_i lpha \, (= \sup_{j\in D} \inf_{i\in D \atop j \leq i} \mu_i lpha) < \infty$$

and let φ be the Caratheodory measure on X generated by g and \mathscr{B} (see method I of Munroe [3]), i.e. for each $A \subset X$,

$$arphi A = \inf \left\{ \sum_{lpha \in H} g lpha \; ; \; H ext{ countable, } H \subset \mathscr{B}, \; A \subset igcup_{lpha \in H} lpha
ight\}.$$

As we show in § 5, φ need not be a Radon measure even when X is compact and Hausdorff. For this reason, for any $A \subset X$ let

$$arphi^*A = \inf_{\substack{lpha ext{ open } \mathcal{O} \ lpha \subset lpha}} \sup_{\mathcal{O} \subset lpha} arphi C$$
 .

We then have the following:

3.1 THEOREM. φ is a Caratheodory measure on X such that: (i) if A and B are disjoint, closed, compact sets then $\varphi(A \cup B) = \varphi A + \varphi B$.

(ii) if $A \subset X$ then $\varphi A = \inf \{\varphi \alpha; \alpha \text{ open, } A \subset \alpha \}$.

(iii) if C is compact and for every $\alpha \in \mathscr{B}$, $g\alpha = \lim_{i} \mu_{i}\alpha$ then

3.2 THEOREM. φ^* is a Radon measure on X such that:

- (i) $\varphi^* \leq \varphi$.
- (ii) if C is compact then $\varphi^*C = \varphi C$.

3.3 THEOREM. If every open set in X is the countable union of compact athen $\varphi^* = \varphi$.

Proofs

Proof of 3.1

(i) Let A, B be closed, compact and $A \cap B = 0$. Since X is regular and \mathscr{B} is closed to finite unions, there exist $\alpha, \beta \in \mathscr{B}$ such that $A \subset \alpha, B \subset \beta$ and $\alpha \cap \beta = 0$. Given $\varepsilon > 0$, choose $\gamma_n \in \mathscr{B}$ for $n \in \omega$ so that $A \cup B \subset \bigcup_{n \in \omega} \gamma_n$ and

$$\sum_{n\in\omega}g\gamma_n\leq arphi(A\cup B)+arepsilon$$
 .

Let $\gamma'_n = \gamma_n \cap \alpha$ and $\gamma''_n = \gamma_n \cap \beta$. Then $\gamma'_n, \gamma''_n \in \mathscr{B}$, $A \subset \bigcup_{n \in \omega} \gamma'_n$, $B \subset \bigcup_{n \in \omega} \gamma''_n$ and hence

$$arphi A + arphi B \leqq \sum\limits_{n \in \omega} (g \gamma'_n + g \gamma''_n) \leqq \sum\limits_{n \in \omega} g \gamma_n \leqq arphi (A \cup B) + arepsilon$$
 .

Since ε is arbitrary and φ is a Caratheodory measure we have $\varphi(A \cup B) = \varphi A + \varphi B$.

(ii) Let $A \subset X$. If $\varphi A = \infty$ then the conclusion is trivial. So, let $\varphi A < \infty$ and $\varepsilon > 0$. Then there exists a countable $H \subset \mathscr{B}$ such that $A \subset \bigcup_{\alpha \in H} \alpha$ and

$$\sum_{\alpha \in H} g\alpha \leq \varphi A + \varepsilon$$

and therefore

$$arphi(igcup_{lpha\in H}lpha) \leq \sum\limits_{lpha\in H} arphi lpha \leq \sum\limits_{lpha\in H} g lpha \leq arphi A + arepsilon$$
 .

(iii) Suppose for every $\alpha \in \mathscr{B}$, $g\alpha = \lim_i \mu_i \alpha$. Then for $\alpha_0, \dots, \alpha_n$ in \mathscr{B} we have

$$egin{aligned} &\sum_{k=0}^n g lpha_k = \lim_i \sum_{k=0}^n \mu_i lpha_k \ &= \lim_i \mu_i \Bigl(inom{n}{k=0} lpha_k \Bigr) \ &= g\Bigl(inom{n}{k=0} lpha_k \Bigr) \,. \end{aligned}$$

Hence for any compact C,

$$arphi C = \inf \left\{ g lpha \ ; \, lpha \in \mathscr{B} \ , \ C \subset lpha
ight\}$$
 .

Proof of 3.2 (i) Clearly, for any compact C, $\varphi C < \infty$ and, for any open α ,

 $\varphi^* \alpha = \sup \{ \varphi C ; C \text{ compact, } C \subset \alpha \} \leq \varphi \alpha$.

Thus, for any $A \subset X$, using 3.1 (ii) we have

$$arphi^*A = \inf \{ arphi^*lpha ; lpha ext{ open, } A \subset lpha \}$$

 $\leq \inf \{ arphi lpha ; lpha ext{ open, } A \subset lpha \}$
 $= arphi A .$

(ii) For any compact C and open $\alpha \supset C$, we have $\varphi C \leq \varphi^* \alpha$, hence $\varphi C \leq \varphi^* C$. By (i) then $\varphi^* C = \varphi C$.

(iii) To see that φ^* is a Radon measure, we now only need to check that open sets are φ^* -measurable. Let α be open, $T \subset X$ and $\varepsilon > 0$. Let T' be open, $T \subset T'$ and $\varphi^*T' < \varphi^*T + \varepsilon$. Note that if C is compact, β is open and $C \subset \beta$ then, by regularity, $\overline{C} \subset \beta$. Thus, since $T' \cap \alpha$ is open, there exists a closed, compact $C_1 \subset T' \cap \alpha$ with $\varphi^*(T' \cap \alpha) \leq \varphi C_1 + \varepsilon$. Also, since $T' - C_1$ is open, there exists a closed compact $C_2 \subset T' - C_1$ with $\varphi^*(T' - C_1) \leq \varphi C_2 + \varepsilon$. Then

$$egin{aligned} arphi^*(T \cap lpha) + arphi^*(T - lpha) &\leq arphi^*(T' \cap lpha) + arphi^*(T' - C_1) \ &\leq arphi C_1 + arphi C_2 + 2arepsilon \ &= arphi(C_1 \cup C_2) + 2arepsilon \ & ext{ (by 3.1 (i))} \ &\leq arphi^*T' + 2arepsilon \ &\leq arphi^*T + 3arepsilon \ & ext{.} \end{aligned}$$

Proof of 3.3. We need only show that $\varphi^*A = \varphi A$ for open A. Given such A, by assumption, $A = \bigcup_{n \in \omega} C_n$ where the C_n are compact and $C_n \subset C_{n+1}$. Because of regularity, we may assume that the C_n are closed compact. We shall show that $\varphi A = \lim_n \varphi C_n$. To this end, let $\varepsilon > 0$ and define α_n and C'_n by recursion as follows: let $C' = C_0$ and, for any $n \in \omega$, let a_n be open, $C'_n \subset \alpha_n$, $\varphi \alpha_n \leq \varphi C'_n + \varepsilon/2^{n+1}$ and

$$C_{n+1}' = C_{n+1} - \bigcup_{j=0}^n lpha_j$$
 .

Then the C'_n are closed compact, mutually disjoint and $A \subset \bigcup_{n \in \omega} \alpha_n$. Thus,

$$egin{aligned} arphi A &\leq \sum\limits_{n \in \omega} arphi lpha_n &\leq \sum\limits_{n \in \omega} arphi C'_n + arepsilon \ &= \lim_N \sum\limits_{n=0}^N arphi C'_n + arepsilon &= \lim_N arphi igg(igcup_{n=0}^N C'_n igg) + arepsilon \ &\leq \lim_N arphi C_N + arepsilon \ &igcup_N igg) \end{aligned}$$

4. Weak^{*} convergence. Let X be a locally compact, Hausdorff

space, \mathscr{M} be the family of Radon measures on X, μ be a net in \mathscr{M} . It is well known that \mathscr{M} can be identified with the set of positive linear functionals on $C_0(X)$ so that the weak* or vague limit of the μ_i is defined by

4.1. DEFINITION. (W^*) -lim_i $\mu_i = \nu$ if and only if $\nu \in \mathscr{M}$ and, for every $f \in C_0(X)$,

$$\lim_i \int f d\mu_i = \int f d
u$$
 .

On the other hand, for any base \mathscr{B} for the topology of X, let

4.2. DEFINITION. \mathscr{B} -<u>Lim</u>_{*i*} μ_i be the measure φ^* defined in § 3. If \mathscr{B} is the family of all open sets then we simply write <u>Lim</u>_{*i*} μ_i instead of \mathscr{B} -<u>Lim</u>_{*i*} μ_i .

We then have the following:

4.3. THEOREM. (W^*) -lim, μ_i exists if and only if there exists a base \mathscr{B} for the topology of X, closed under finite unions and intersections, such that, for every $\alpha \in \mathscr{B}$, $\lim_i \mu_i \alpha < \infty$, in which case,

 (W^*) -lim $\mu_i = \mathscr{B}$ -Lim $\mu_i = ext{Lim}_i \mu_i$.

The proof of this theorem is given in Lemmas A, B, C, D, E below. A restricted version of Lemma B was proved by Wulfsohn [4].

LEMMA A. Let $\nu \in \mathscr{M}$ and $\mathscr{B} = \{\alpha : \alpha \text{ is open, } \overline{\alpha} \text{ is compact and } \nu \text{ (boundary } \alpha) = 0\}.$

Then \mathscr{B} is a base for the topology of X and is closed under finite unions and intersections.

Proof. Let A be open and $a \in A$. Then there exists $f \in C_0(X)$ such that: $0 \leq f(x) \leq 1$ for $x \in X$, f(a) = 1 and f(x) = 0 for $x \notin A$. Since $\int f d\nu < \infty$, there exists 0 < t < 1 such that $\nu(f^{-1}{t}) = 0$. Let $\alpha = \{x : f(x) > t\}$. Then α is open, $a \in \alpha \subset A$ and boundary $\alpha = f^{-1}{t}$ so that $\alpha \in \mathscr{B}$. Thus, \mathscr{B} is a base. It is closed to finite unions and intersections since boundary $(\alpha \cup \beta) \cup$ boundary $(\alpha \cap \beta) \subset$ boundary $\alpha \cup$ boundary β for any open α, β .

LEMMA B. (W^*) -lim_i $\mu_i = \nu$ if and only if $\nu \in \mathcal{M}$ and lim_i $\mu_i \alpha = \nu \alpha$ for every open α with $\overline{\alpha}$ compact and ν (boundary α) = 0.

Proof. Let (W^*) -lim_i $\mu_i = \nu$, α be open, $\overline{\alpha}$ compact, ν (boundary

 α) = 0. For any compact $C \subset \alpha$, let $f \in C_0(X)$, $0 \leq f(x) \leq 1$ for all $x \in X$, f(x) = 1 for $x \in C$, f(x) = 0 for $x \notin \alpha$. Then

$$u C \leq \int f d
u = \lim_i \int f d\mu_i \leq \lim_i \mu_i lpha \; .$$

Hence

$$u \alpha \leq \lim_{i} \mu_i \alpha$$
.

Now, since ν (boundary α) = 0, given $\varepsilon > 0$, let β be open, $\overline{\alpha} \subset \beta$ and $\nu\beta \leq \nu\overline{\alpha} + \varepsilon = \nu\alpha + \varepsilon$. Let $f \in C_0(x)$, $0 \leq f(x) \leq 1$ for $x \in X$, f(x) = 1 for $x \in \overline{\alpha}$, f(x) = 0 for $x \notin \beta$. Then

$$\overline{\lim_{i}} \ \mu_{i} lpha \leq \lim_{i} \int f d\mu_{i} = \int f d
u \leq
u eta \leq
u lpha + \varepsilon$$
.

Thus,

$$u lpha = \lim_{i} \mu_i lpha$$
 .

Conversely, suppose $\nu \in \mathscr{M}$ and $\lim_i \mu_i \alpha = \nu \alpha$ for every open α with $\overline{\alpha}$ compact and ν (boundary α) = 0. Let $f \in C_0(X)$, $\varepsilon > 0$. Then there exist $t_k \neq 0$ for $k = 0, \dots, n$ such that $t_k < t_{k+1}$, $t_0 \leq f(x) \leq t_n$ for $x \in X$, $\nu(f^{-1}\{t_k\}) = 0$ and

where

$$lpha_k = \{x: \ t_k < f(x) < t_{k+1}\}$$

so that α_k is open, $\overline{\alpha}_k$ is compact and ν (boundary α_k) = 0. Then $\lim_i \mu_i \alpha_k = \nu \alpha_k$ and

$$egin{aligned} \int \! f d
u &\leq \lim_i \sum\limits_{k=1}^{n-1} \! t_k \mu_i lpha_k + arepsilon \ &\leq \lim_i \int \! f d \mu_i + arepsilon \; . \end{aligned}$$

Now, let β_k be open, $\overline{\beta}_k$ be compact, ν (boundary β_k) = 0, $\overline{\alpha}_k \subset \beta_k$ and $\nu \beta_k \leq \nu \alpha_k + \varepsilon/(n | t_{k+1} |)$. Then $\lim_i \mu_i \beta_k = \nu \beta_k$ and

LEMMA C. If (W^*) -lim_i $\mu_i = \nu$ and

$$\mathscr{B} = \{ \alpha : \alpha \text{ is open, } \overline{\alpha} \text{ is compact, } \nu \text{ (boundary } \alpha) = 0 \}$$

then

$$u = \mathscr{B}\operatorname{-}\!\operatorname{\underline{Lim}}_{i}\mu_{i}$$
 .

Proof. Let $g\alpha = \underline{\lim}_i \mu_i \alpha$ for any $\alpha \in \mathscr{B}$, φ be the measure generated by g and \mathscr{B} (see §3). Then, in view of Lemma B and 3.1 (iii), for any compact $C \subset X$,

$$arphi C = \inf \left\{ g eta \ ; \ eta \in \mathscr{B} \ ; \ C \subset eta
ight\}$$
 .

Now, for any open $\alpha \supset C$ there exists, by Lemma A, $\beta \in \mathscr{B}$ with $C \subset \beta \subset \alpha$. Therefore, using Lemma B, and the outer regularity of ν , we have

$$\nu C = \inf \{\nu \alpha ; \alpha \text{ open, } C \subset \alpha\}$$

= $\inf \{\nu \beta ; \beta \in \mathscr{B}, C \subset \beta\}$
= $\inf \{g\beta ; \beta \in \mathscr{B}, C \subset \beta\}$
= φC .

Hence, for any $A \subset X$,

$$egin{aligned}
u A &= \inf_{\substack{lpha \ A \subset lpha}} \sup_{\substack{0 \ C < lpha}}
u C \ &= \inf_{\substack{lpha \ A \subset lpha}} \sup_{\substack{0 \ C < lpha}}
aligned C \ &= \mathcal{B} - \underline{\operatorname{Lim}}_{i} \ \mu_{i} A \ . \end{aligned}$$

LEMMA D. Let \mathscr{B} be a base for the topology of X, closed under finite unions and intersections, such that for any $\alpha \in \mathscr{B}$, $\lim_{i} \mu_i \alpha < \infty$. Then

$$\mathscr{B}\operatorname{-} \operatornamewithlimits{\operatorname{\underline{Lim}}}_i \mu_i = (W^*)\operatorname{-} \operatornamewithlimits{\operatorname{lim}}_i \mu_i$$
 .

Proof. For $\alpha \in \mathscr{B}$, let $g\alpha = \lim_{i \to i} \mu_i \alpha = \lim_i \mu_i \alpha$, φ be the measure generated by g and \mathscr{B} and $\varphi^* = \mathscr{B}$ - $\lim_i \mu_i$ (see § 3). Then, by Theorem 3.2, $\varphi^* \in \mathscr{M}$. Let α be open, $\overline{\alpha}$ compact, φ^* (boundary α) = 0. By 3.2 (ii), we have

$$\varphi^* \alpha = \varphi^* \overline{\alpha} = \varphi \overline{\alpha}$$

and by 3.1 (iii),

 $\varphi \overline{\alpha} = \inf \{ g \beta ; \beta \in \mathscr{B}, \overline{\alpha} \subset \beta \}$.

Given $\varepsilon > 0$, let $\beta \in \mathcal{B}$, $\overline{\alpha} \subset \beta$ and $g\beta \leq \varphi^* \alpha + \varepsilon$. Then

$$\varlimsup_i \mu_i lpha \leq \lim_i \mu_i eta = g eta \leq arphi^* lpha + arepsilon$$
 .

On the other hand, let C be compact, $C \subset \alpha$ and $\varphi^* \alpha < \varphi^* C + \varepsilon = \varphi C + \varepsilon$. Then there exists $\gamma \in \mathscr{B}$ such that $C \subset \gamma \subset \alpha$ and therefore

$$arphi C \leqq g \gamma = \lim_i \mu_i \gamma \leqq \lim_i \mu_i lpha \; .$$

Thus,

$$\overline{\lim_{i}}\,\mu_{i}\alpha \leq \varphi^{*}\alpha \leq \underline{\lim_{i}}\,\mu_{i}\alpha$$

so that $\lim_{i} \mu_{i} \alpha = \varphi^{*} \alpha$. By Lemma B then $\varphi^{*} = (W^{*})-\lim_{i} \mu_{i}$.

LEMMA E. Let \mathscr{B} be a base for the topology of X, closed under finite unions and for every $\alpha \in \mathscr{B}$, $\lim_{i} \mu_{i} \alpha < \infty$. Then

$$\mathscr{B}\operatorname{-} \operatorname{\underline{\operatorname{Lim}}}_i \mu_i = \operatorname{\underline{\operatorname{Lim}}}_i \mu_i$$
 .

Proof. For any open α , let $g\alpha = \underline{\lim}_i \mu_i \alpha$, φ_1 be the measure generated by g and \mathscr{B} and φ_2 be the measure generated by g and the family of all open sets. We have to show that for any compact C, $\varphi_1 C = \varphi_2 C$. Now, clearly $\varphi_2 C \leq \varphi_1 C$. Suppose $\varphi_2 C < \infty$ and $\varepsilon > 0$. Let α_i be open for $i = 0, \dots, n$, $C \subset \bigcup_{i=0}^n \alpha_i$ and

$$\sum\limits_{i=1}^n g lpha_i \leqq arphi_2 C + arepsilon$$
 .

For each $x \in C$ there exists $\beta \in \mathscr{B}$ such that $x \in \beta \subset \alpha_i$ for some $i = 0, \dots, n$. Since C is compact, there is a finite family $H \subset \mathscr{B}$ which covers C and is a refinement of $\{\alpha_0, \dots, \alpha_n\}$. For each i, let β_i be the union of all those elements in H which are contained in α_i . Then $\beta_i \in \mathscr{B}$, $\beta_i \subset \alpha_i$ and $C \subset \bigcup_{i=0} \beta_i$. Thus,

$$arphi_1 C \leq \sum\limits_{i=0}^n geta_i \leq \sum\limits_{i=0}^n glpha_i \leq arphi_2 C + arepsilon$$
 .

5. Remarks. Let \mathcal{B} , g, φ be as in §3. The following example shows that φ need not be a Radon measure.

Let X be the set of all ordinals up to and including the first uncountable ordinal Ω . Then, in the order-topology, X is compact Hausdorff. For each $i < \Omega$, let μ_i be the point mass at *i*, that is, $\mu_i \alpha = 1$ if $i \in \alpha$ and $\mu_i \alpha = 0$ if $i \notin \alpha$. Let

$$\mathscr{B} = \{ \alpha ; \alpha \text{ is open and } \Omega \notin (\overline{\alpha} - \alpha) \}.$$

For any $\alpha \in \mathscr{B}$, if $\Omega \notin \alpha$ then α is countable and hence $g\alpha = \lim_{i \to i} \mu_i \alpha = 0$; if $\Omega \in \alpha$ then $g\alpha = 1$. Let $A = X - \{\Omega\}$. Then A is open and, being uncountable, for any countable family $H \subset \mathscr{B}$ which covers A there exists $\alpha \in H$ with $g\alpha = 1$. Thus, $\varphi A = 1$. On the other hand, if C is compact $C \subset A$ then C is countable and hence $\varphi C = 0$. Thus,

 $\varphi A \neq \sup \{ \varphi C ; C \text{ compact, } C \subset A \}$.

Note, however, that if, instead of taking \mathscr{B} as above, we let \mathscr{B} be the family of all open sets in X then there exist uncountable, disjoint $\alpha, \beta \in \mathscr{B}$ with $A = \alpha \cup \beta$. Then $g\alpha = g\beta = 0$ so that $\varphi A = 0$. In this case, φ is the point mass at Ω and $\varphi = \varphi^*$.

We are unable to determine if this holds true in general for compact or locally compact Hausdorff spaces, i.e. if $\varphi = \varphi^*$ whenever \mathscr{B} is the family of all open sets in X.

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