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CERTAIN ALGEBRAS OF DEGREE ONE

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In this note the following is proved: Suppose R is a finite-dimensional algebra over an algebraically closed field F of characteristic 0 whose associator satisfies 4(y,x,x)=4(x,y,x)+[[y,x],x] and (x,x,x)=0. If R is simple and non-nil then R is iso-morphic to F.

We call it Theorem B, and prove it below.

In [3] nonassociative algebras satisfying identities of degree three were studied and it was shown that relative to quasi-equivalence any algebra satisfying such an identity (subject to some rather weak additional hypotheses) must in fact satisfy at least some one of seven particular identities; each of degree three. In this note we concern ourselves with one of these seven residual cases; namely the identity

$$4(y, x, x) = 4(x, y, x) + [[y, x], x]$$

where the associator (x, y, z) is defined by (x, y, z) = (xy)z - x(yz) and the commutator [x, y] by [x, y] = xy - yx for elements x, y, z of the algebra.

Throughout the remainder of this note R will be a ring of characteristic not two or three which satisfies (1) in addition to the following identity:

$$(2) (x, x, x) = 0.$$

The following result was established in [3]:

Theorem A. Suppose R has an idempotent $e \neq 0,1$. Then R is not simple.

This reduces the study of simple rings to the consideration of rings whose only nonzero idempotent is the identity element.

Ideals and Simple rings. A well-known consequence of (2) is

$$(3) (x, x, y) + (x, y, x) + (y, x, x) = 0.$$

We define $x \circ y = xy + yx$ and proceed to simplify (1). We rewrite (1) as

$$(4) 4yx^2 = 4x \circ yx - 3(xy)x - x(xy) - (yx)x + x(yx)$$

and (3) as

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$$(5) 2yx^2 = x^2 \circ y + (xy)x + (yx)x - x(yx) - x(xy).$$

Adding (4) and (5) we obtain

$$6yx^2 = x^2 \circ y + 4x \circ yx - 2x \circ xy.$$

Finally we add and subtract $2x \circ yx$ to the right-hand member of (6) giving us

$$6yx^2 = 6x \circ yx - 2x \circ (x \circ y) + x^2 \circ y.$$

Replacing x by $x_1 + x_2$ in (7) and then using (7) to simplify the result we find

$$6y(x_1 \circ x_2) = 6x_1 \circ yx_2 + 6x_2 \circ yx_1 - 2x_1 \circ (x_2 \circ y) \\ -2x_2 \circ (x_1 \circ y) + (x_1 \circ x_2) \circ y .$$

We define the ring R^+ to be the same additive group as R but the multiplication in R^+ is given by $(x, y) = 1/2x \circ y$. We set $(x, y, z)^+ = (x \circ y) \circ z - x \circ (y \circ z)$ and note that R^+ is associative if and only if $(x, y, z)^+ = 0$ for all $x, y, z \in R$.

LEMMA 1. Let L be the additive group generated by all $(x, y, z)^+$ where $x, y, z \in R$. Then L is a left ideal of R.

Proof. First of all we consider $y[(x_1 \circ x_2) \circ x_3]$. Then (8) (with x_1 replaced by $x_1 \circ x_2$ and x_2 by x_3) becomes

$$\begin{array}{c} 6y[(x_{1}\circ x_{2})\circ x_{3}]=6(x_{1}\circ x_{2})\circ yx_{3}+6x_{3}\circ y(x_{1}\circ x_{2})-2(x_{1}\circ x_{2})\circ (x_{3}\circ y)\\ -2x_{3}\circ [(x_{1}\circ x_{2})\circ y]+[(x_{1}\circ x_{2})\circ x_{3}]\circ y \; . \end{array}$$

We use (8) to rewrite the second term of the right-hand member of (9) as:

$$egin{aligned} 6x_3 \circ y(x_1 \circ x_2) &= 6x_3 \circ (x_1 \circ yx_2) + 6x_3 \circ (x_2 \circ yx_1) - 2x_3 \circ [x_1 \circ (x_2 \circ y)] \ &- 2x_3 \circ [x_2 \circ (x_1 \circ y)] + x_3 \circ [(x_1 \circ x_2) \circ y] \ . \end{aligned}$$

A substitution of this into (9) results in

$$egin{align} 6y[(x_1\circ x_2)\circ x_3]&=6(x_2\circ x_2)\circ yx_3+6x_3\circ (x_1\circ yx_2)+6x_3\circ (x_2\circ yx_1)\ &-2x_3\circ [x_1\circ (x_2y)]-2x_3\circ [x_2\circ (x_1\circ y)]\ &-2(x_1\circ x_2)\circ (x_3\circ y)+(x_3,\,x_1\circ x_2,\,y)^+ \;. \end{split}$$

If we interchange x_1 and x_2 in (10) we obtain

$$6y[(x_3\circ x_2)\circ x_1] = 6(x_3\circ x_2)\circ yx_1 + 6x_1\circ (x_3\circ yx_2) + 6x_1\circ (x_2\circ yx_3)$$

$$-2x_1\circ [x_3\circ (x_2\circ y)] - 2x_1\circ [x_2\circ (x_3\circ y)]$$

$$-2(x_3\circ x_3)\circ (x_1\circ y) + (x_1,x_2\circ x_2,y)^+.$$

Then subtracting (11) from (10) yields

$$egin{aligned} 6y(x_{\scriptscriptstyle 1},\,x_{\scriptscriptstyle 2},x_{\scriptscriptstyle 3})^+ &= 6(x_{\scriptscriptstyle 1},\,x_{\scriptscriptstyle 2},\,yx_{\scriptscriptstyle 3})^+ + 6(x_{\scriptscriptstyle 1},\,yx_{\scriptscriptstyle 2},\,x_{\scriptscriptstyle 3})^+ + 6(yx_{\scriptscriptstyle 1},\,x_{\scriptscriptstyle 2},\,x_{\scriptscriptstyle 3})^+ \ &- 2(x_{\scriptscriptstyle 1},\,x_{\scriptscriptstyle 2}\!\circ y,\,x_{\scriptscriptstyle 3})^+ + 2(x_{\scriptscriptstyle 3},\,x_{\scriptscriptstyle 2},\,x_{\scriptscriptstyle 1}\!\circ y)^+ \ &- 2(x_{\scriptscriptstyle 1},\,x_{\scriptscriptstyle 2}\!\circ x_{\scriptscriptstyle 3},\,y)^+ + (x_{\scriptscriptstyle 3},\,x_{\scriptscriptstyle 1}\!\circ x_{\scriptscriptstyle 2},\,y) + (x_{\scriptscriptstyle 1},\,x_{\scriptscriptstyle 3}\!\circ x_{\scriptscriptstyle 2},\,y)^+ \; . \end{aligned}$$

Thus $yL \subseteq L$ and L is a left ideal of R.

THEOREM 1. L + LR is an ideal (two-sided) of R.

Proof. As is immediate from Lemma 1 it suffices to show that $R(LR) + (LR)R \subseteq L + LR$. Suppose $x_1, x_2 \in R, y \in L$. Then $(x_1, x_2, y)^+ \in L$ so that $(x_1 \circ x_2) \circ y - x_1 \circ (x_2 \circ y) \in L$. But $(x_1 \circ x_2) \circ y$ and $x_1 \circ x_2 y$ belong to L + LR. Hence, $x_1 \circ y x_2 \in L + LR$. Next we interchange x_2 and y in (8), obtaining

$$\begin{array}{c} 6x_{2}(x_{1}\circ y)=6x_{1}\circ x_{2}y+6y\circ x_{2}x_{1}-2x_{1}\circ (x_{2}\circ y)\\ -2y\circ (x_{1}\circ x_{2})+(x_{1}\circ y)\circ x_{2}\;. \end{array}$$

But Lemma 1 along with the preceding remarks implies that each term of the right-hand member belongs to L+LR. Hence, $x_2(x_1\circ y)\in L+LR$ but $x_2(x_1y)\in R(RL)\subseteq L$ so that $x_2(yx_1)\in L+LR$. Thus, we must also have $(yx_1)x_2\in L+LR$, since $x_2\circ yx_1\in L+LR$. Therefore $R(LR)+(LR)R\subseteq L+LR$ and L+LR is an ideal of R.

THEOREM 2. L is an ideal of L + LR.

Proof. Since L is a left ideal of R we need only show that $L(LR) \subseteq L$. Suppose $x_1, x_2 \in L, y \in R$. Then (8) implies

(13)
$$2x_1(x_2y) + 2x_2(x_1y) - (x_1 \circ x_2)y \in L.$$

Considering that $(x_1, x_2, y)^+$ and $(x_1, y, x_2)^+$ belong to L we find

$$(14) (x_1 \circ x_2)y - x_1(x_2y) \in L.$$

and

(15)
$$x_2(x_1y) - x_1(x_2y) \in L$$
.

Adding (13) and (14) we obtain $x_1(x_2y) + 2x_2(x_1y) \in L$. This along with (15) implies that $x_2(x_1y) \in L$ or $L(LR) \subseteq L$, as was to be shown.

COROLLARY. If R is simple then either R^+ is associative or L=R.

Proof. If R is simple then either L + LR = 0 or L + LR = R. In the first instance L = 0 so that R is associative while in the second

L is an ideal of R. Hence, either L=0 or L=R.

Now suppose R is a simple finite-dimensional algebra over an angebraically closed field F of characteristic 0. Then R is power-associative [3, Lemma 2] so that if R is nonnil, R must possess a nonzero idempotent e. By Theorem A of the Introduction we must, in fact, have e=1, the identity of R. A result of Albert's [1] states that R=F 1+N where all the elements of N are nilpotent and N is an ideal of R^+ . From this it is immediate that $(x,y,z)^+ \in N$ for all $x,y,z \in R$ so that $L \subseteq N \neq R$. Hence, L=0 and R^+ is associative. But then R satisfies

$$2(y, x, x) = 2(x, x, y) + [[y, x], x]$$
 (See [3]).

Subtracting this relation from (1) we have

$$2(y, x, x) = 4(x, y, x) - 2(x, x, y)$$

which along with (3) implies that (x, y, x) = 0. Hence, R is flexible and the results of Theorem B follow from [2].

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