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PROJECTIONS IN THE SPACE OF BOUNDED LINEAR OPERATORS

DAVID R. ARTERBURN AND ROBERT JAMES WHITLEY

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Thorp has shown that for X and Y certain Banach spaces of sequences there is no continuous linear projection of the bounded linear operators from X to Y onto the compact linear operators from X to Y . In this paper, this result, as well as related results for the weakly compact linear operators, is demonstrated for cases including (a) X an infinite dimensional abstract L -space and Y an infinite dimensional space whose conjugate contains a countable total set and (b) X a separable B -space and $Y = C(S)$ with S either a metric space containing an infinite number of points or S a compact space which contains a one-to-one convergent sequence.

We recall that a subspace of a Banach space X is said to be complemented (in X) if there is a continuous linear projection of X onto that subspace. In [14] it is shown that for X and Y certain Banach spaces of sequences the subspace $K(X, Y)$ of compact linear operators from X to Y is not complemented in $B(X, Y)$, the space of bounded linear operators from X to Y . Here, we will prove similar results for either X an abstract L -space or Y a space of type $C(S)$ and will also consider projections on the subspace $W(X, Y)$ of weakly compact linear operators mapping X to Y .

All maps will be linear and X and Y will be Banach spaces. Abstract L -spaces are defined in [7, page 394]; $C(S)$ shall be the space of bounded continuous functions on a topological space S and we use the *sup* norm. We recall that a set in X' , the conjugate of the Banach space X , is total if the only vector mapped into zero by that set is the zero vector.

Our main results are Theorems 1 and 2 below.

1. **THEOREM.** *Let \mathcal{L} be an infinite dimensional abstract L -space and let X have a complemented subspace Y . Suppose that Y' contains a countable total set. Then*

(a) *If Y is infinite dimensional, then $K(\mathcal{L}, X)$ is not complemented in $B(\mathcal{L}, X)$. In fact, $K(\mathcal{L}, Y)$ is complemented in $B(\mathcal{L}, Y)$ if and only if these spaces are equal and this happens if and only if Y is finite dimensional.*

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(b) If weak and norm sequential convergence are not equivalent in Y , then $K(\mathcal{L}, X)$ is not complemented in $W(\mathcal{L}, X)$. In fact, $K(\mathcal{L}, Y)$ is complemented in $W(\mathcal{L}, Y)$ if and only if these spaces are equal and this happens if and only if norm and weak sequential convergence are equivalent in Y .

(c) If Y is not reflexive, then $W(\mathcal{L}, X)$ is not complemented in $B(\mathcal{L}, X)$. In fact, $W(\mathcal{L}, Y)$ is complemented in $B(\mathcal{L}, Y)$ if and only if these spaces are equal and this happens if and only if Y is reflexive.

2. THEOREM. Suppose that S is either a (not necessarily compact) metric space which contains an infinite number of points, or that S is a compact space which contains a one-to-one convergent sequence. Let X have a complemented subspace Y and suppose that Y is separable. Then

(a) If Y is infinite dimensional, then $K(X, C(S))$ is not complemented in $B(X, C(S))$. In fact, $K(Y, C(S))$ is complemented in $B(Y, C(S))$ if and only if these spaces are equal and this happens if and only if Y is finite dimensional.

(b) If weak and norm sequential convergence are not the same in Y' , then $K(X, C(S))$ is not complemented in $W(X, C(S))$. In fact, $K(Y, C(S))$ is complemented in $W(Y, C(S))$ if and only if these spaces are equal and this happens if and only if norm and weak sequential convergence are the same in Y' .

(c) If Y is not reflexive, then $W(X, C(S))$ is not complemented in $B(X, C(S))$. In fact, $W(Y, C(S))$ is complemented in $B(Y, C(S))$ if and only if these spaces are equal and this happens if and only if Y is reflexive.

We remark, in connection with part (b) of both theorems, that weak and norm sequential convergence are the same in l [2, page 137]. In Theorem 2, part (b), the separability of Y is essential, for if $C(S)$ is separable, then $W(m, C(S)) = B(m, C(S))$, since weak* and weak sequential convergence are equivalent in m' [9, Theorem 9, page 168], yet m is not reflexive. It follows from either theorem that $K(l, m)$ is not complemented in $B(l, m)$, a result incorrectly proved in [14].

The above theorems can be extended by use of the following lemma.

3. LEMMA. Suppose that X_1 and Y_1 are complemented subspaces

of, respectively, X and Y . Then

(a) If $K(X, Y)$ is complemented in $B(X, Y)$, then $K(X_1, Y_1)$ is complemented in $B(X_1, Y_1)$.

(b) If $K(X, Y)$ is complemented in $W(X, Y)$, then $K(X_1, Y_1)$ is complemented in $W(X_1, Y_1)$.

(c) If $W(X, Y)$ is complemented in $B(X, Y)$, then $W(X_1, Y_1)$ is complemented in $B(X_1, Y_1)$.

Proof. Let P_1 be a projection of X onto X_1 and let P_2 project Y onto Y_1 . For case (a), suppose that P is a projection of $B(X, Y)$ onto $K(X, Y)$. Define a map Q on $B(X_1, Y_1)$ by $Q(T) = [P_2 \circ P(T \circ P_1)]|_{X_1}$, where $F|_{X_1}$ is the restriction of a map F to X_1 . Then Q is a projection of $B(X_1, Y_1)$ onto $K(X_1, Y_1)$. The other cases are similar.

So, for example, Theorem 1 gives useful information about maps with range in a space which contains a complemented subspace isomorphic to an abstract L -space.

Note that by Lemma 3 it suffices to prove Theorems 1 and 2 under the assumption $X = Y$. We now find canonical subspaces of \mathcal{L} and $C(S)$ and reduce the problem still further.

From Corollary 4, page 221 of [12] we see that any infinite dimensional complemented subspace of an abstract L -space contains a complemented copy of l . So we may assume in the proof of Theorem 1 that $\mathcal{L} = l$.

In [1] Arens has shown that if S_0 is a metrizable compact subspace of a paracompact space S , then there is a projection of $C(S)$ onto a subspace isomorphic to $C(S_0)$; this is a generalization of Borsuk's theorem [4], in which S_0 is a separable closed subspace of a metric space S . From Arens' result we see that if S is a compact space which contains a one to one convergent sequence, then $C(S)$ contains a complemented copy of c . From Borsuk's result, if S is a metric space containing an infinite number of points, then $C(S)$ contains a complemented copy of either m or c . (We remark that a particularly nice proof of Borsuk's theorem is given in [10]). Thus it suffices to prove Theorem 2 for $C(S) = m$ and $C(S) = c$.

We have now reduced the problem to its essentials. We will need the following representation theorems [15]:

Let $T: X \rightarrow C(S)$ be a linear operator. Then T defines a function $p: S \rightarrow X'$ by $p(s)(x) = Tx(s)$ and p is continuous as a map into (X', X) , i.e. into X' with the weak* topology. Then T is continuous if and only if $p(S)$ is bounded and in this case, $\|T\| = \sup \{\|p(s)\|: s \text{ in } S\}$. Also, T is compact if and only if, in addition, $p(S)$ is conditionally

compact (i.e., if and only if the (norm) closure of $p(S)$ is compact) and T is weakly compact if and only if, in addition, $p(S)$ is conditionally compact in the weak topology of X' .

Let $T: l \rightarrow X$ be a linear operator. Then, letting e_i denote the characteristic function of the set $\{i\}$, T is continuous if and only if $\{Te_i: i = 1, 2, \dots\}$ is bounded and in this case $\|T\| = \sup \|Te_i\|$. The map T is compact if and only if the set $\{Te_i: i = 1, 2, \dots\}$ is conditionally compact and is weakly compact if and only if that set is conditionally compact in the weak topology of X .

The first representation theorem is due to Bartle [3], for compact S , and the second is due to Dunford and Pettis [6].

The following lemma is the backbone of all our proofs. We denote the space of all bounded functions from a set S to a Banach space X by $m(S: X)$ with $\|f\| = \sup \{\|f(s)\|: s \text{ in } S\}$. If the space X is the scalar field we write $m(S)$, which is also called $B(S)$, and if S is a countably infinite set we have the space m of bounded sequences. For any f in $m(S: X)$, the support of f , $\text{supt}(f)$, is given by $\{s: f(s) \neq 0\}$.

4. LEMMA. *Let M and N , $N \subseteq M$, be closed subspaces of $m(S: X)$ and let N contain all the functions which have finite support. Suppose that there is a function f in $m(S: X)$ and an uncountable family of functions in $m(S)$, $\{g_a: a \text{ in } A\}$, with the properties:*

$$(1) \quad \|g_a\| \leq 1 \text{ for all } a \text{ in } A,$$

$$(2) \quad fg_a, \text{ the function whose value at } s \text{ is } f(s)g_a(s), \text{ is in } M - N, \\ \text{and}$$

$$(3) \quad \text{supt}(g_a) \cap \text{supt}(g_b) \text{ is finite for } a \neq b.$$

Then $(M/N)'$ does not contain a countable total subset. Hence, if M' contains a countable total subset, then N is not complemented in M .

Proof. Let f_a be the coset in M/N which contains fg_a and note that $f_a \neq 0$. To show that $(M/N)'$ does not contain a countable total subset it will suffice to show that a functional x' in $(M/N)'$ can fail to annihilate only countably many elements in the set $\{f_a: a \text{ in } A\}$, so it will suffice to show that the set $C(n) = \{f_a: |x'(f_a)| \geq 1/n\}$ is finite for each natural number n . To see this let h_1, h_2, \dots, h_m be in $C(n)$, set $b_i = \overline{x'(h_i)}/|x'(h_i)|$ and let $x = \sum b_i h_i$. The critical point is to note that $\|x\| \leq \|f\|$. Then, since $\|x'\| \|f\| \geq |x'(x)| \geq m/n$, we see that $C(n)$ is finite.

If the subspace N is complemented in M we have $M = N \oplus R$ where R is a closed subspace of M . Then, since R' contains a countable

total subset whenever M' does, and M/N is isomorphic to R , we see that $(M/N)'$ contains a countable total subset if M' does.

We use the next lemma in constructing functions g_a which are as described in Lemma 4.

5. LEMMA. *Let I be a countable set. Then there is an uncountable family $\{U_a : a \text{ in } A\}$ of infinite subsets of I with $U_a \cap U_b$ finite for $a \neq b$.*

Proof. See problem 6Q, page 97 of [8].

The above lemmas are a generalization of the method of [16] and the basic idea can be found in [11] and [13].

As we have noted, Theorem 1 is reduced to the following lemma:

6. LEMMA. *Theorem 1 holds in the special case $X = Y$ and $\mathcal{L} = l$.*

Proof. Let $I = \{1, 2, \dots\}$. By the representation theorem, $B(l, X)$ corresponds to $m(I : X)$ and $K(l, X)[W(l, X)]$ to the subspace of $m(I : X)$ consisting of those functions whose range is [weakly] conditionally compact.

Let $\{U_a : a \text{ in } A\}$ be a family of subsets of I as in Lemma 5 and let g_a be the characteristic function of U_a .

For case (a), suppose that X is infinite dimensional and select a sequence $\{x_i\}$ of points from the unit sphere of X so that $\{x_i\}$ contains no convergent subsequence. We define f in $m(I : X)$ by $f(i) = x_i$. Now we apply Lemma 4 to see that $B(l, X)/K(l, X) = m(I : X)/K$, where K is the subspace of functions with conditionally compact range, is a space whose conjugate contains no countable total set. But $m(I : X)$ does have a conjugate which contains a countable total set, since we are assuming that this is true of $Y = X$; so by Lemma 4 $K(l, X)$ is not complemented in $B(l, X)$.

For case (b) let $\{x_i\}$ be a weakly convergent sequence of points on the unit sphere of X which contains no norm convergent subsequence, assuming that weak and norm sequential convergence are not the same in X , and proceed as above.

For case (c), assume that X is not reflexive and let $\{x_i\}$ be a bounded sequence which contains no weakly convergent subsequences.

That the spaces are equal under the conditions given follows directly. This completes the proof of Theorem 1.

Now Theorem 2 has been reduced to the case $X = Y$ and either $C(S_1) = m$ or $C(S_2) = c$; since S_1 and S_2 are separable Hausdorff spaces

which contain a countably infinite number of isolated points, the following lemma will suffice:

7. **LEMMA.** *Let S_0 be a separable Hausdorff space which contains a countably infinite number of isolated points. Then Theorem 2 holds if $X = Y$ and $S = S_0$.*

Proof. The proof is quite a bit like the proof of Lemma 6. Let $I = \{s_1, s_2, \dots\}$ be the countably infinite set of isolated points of $S = S_0$ and let U_a be a family of subsets of I as in Lemma 5. Let g_a be the characteristic function of the set U_a .

For case (a), assume that X is infinite dimensional and choose a sequence $\{x'_i\}$ of elements in X' which converge to zero in the weak* topology of X' and yet contain no norm convergent subsequence. Define f to be zero on $S - I$ and $f(s_i) = x'_i$. Now, via the representation theorem, $B(X, C(S))$ corresponds to the subspace B of $m(S : X')$ which consists of those functions in $m(S : X')$ which are continuous as maps from S to X' with the weak* topology, and $K(X, C(S))$ corresponds to the subspace of B which consists of those functions which have conditionally compact range. So the proof for case (a) will be completed by Lemma 4 if we can show that the function fg_a is continuous as a map from S to (X', X) . To see this, suppose that $\{s(\alpha)\}$ is a net in S which converges to s . If s is isolated the net is eventually s and then $\{f(s(\alpha))g_a(s(\alpha))\}$ is eventually $f(s)g_a(s)$ and so fg_a is continuous at s , so we may suppose that s is not isolated. Since s is not isolated, $f(s)g_a(s)$ is zero and so we must show that $\{f(s(\alpha))g_a(s(\alpha))\}$ converges to zero; this net will converge to zero if for each natural number N there is an α_0 such that $s(\alpha)$ is not in $\{s_1, s_2, \dots, s_N\}$ for $\alpha \geq \alpha_0$, if there is no such α_0 for some N we find that s is isolated, a contradiction.

For case (b) we assume that weak and norm sequential convergence are not the same in X' and choose a sequence $\{x'_i\}$ which converges weakly to zero but has no norm convergent subsequence. Since x'_i converges weakly to zero it converges to zero in the weak* topology and so fg_a , as above, is continuous.

For case (c), let $\{x'_i\}$ be a sequence which converges to zero in the weak* topology but contains no weakly convergent subsequence. A bit of caution is necessary here. We are assuming that $X = Y$ is separable and so the weak* topology on the unit sphere in X' is metrizable [7, Theorem 1, page 426] and so if weak* and weak sequential convergence are the same, then the sphere is weak sequentially compact and hence weakly sequentially compact, hence weakly compact and so X is reflexive. However, if X is not separable, we may have weak* and weak sequential convergence the same in X' without X being

reflexive; for example, $X = m$ [9, Theorem 9, page 168].

It follows from the representation theorem that the spaces are equal under the given conditions.

This completes the proof of Theorem 2.

There is no known example where $K(X, Y)$ is complemented in $B(X, Y)$ and yet is not equal to $B(X, Y)$, ditto for the subspace $W(X, Y)$ and for $K(X, Y)$ as a subspace of $W(X, Y)$.

A simple case which remains open is whether $K(m, c)$ is complemented in $B(m, c)$. If m had a separable complemented subspace which was infinite dimensional, then Theorem 2 would solve this problem; but m does not [12, Theorem 6, page 221].

Added in proof. The argument following Lemma 3, which relies on references [1] and [4] to show that for certain S the space $C(S)$ contains a complemented subspace isomorphic to either m or c , can be replaced by the elementary Corollary 6 of D. W. Dean's paper Subspaces of $C(H)$ Which Are Direct Factors of $C(H)$ (Proc. Amer. Math. Soc. 16 (1965), 237-242).

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