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SOME LOWER BOUNDS FOR LEBESGUE AREA

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It is well known in area theory that a continuous map fof the unit square Q^2 into Euclidean space E^2 can have zero Lebesgue area even though its range has a nonempty interior. This cannot happen if f is suitably well-behaved, for example, if f is light, Lipschitzian, or as we shall see below, if f satisfies a certain interiority condition. The purpose of this paper is to determine conditions under which an arbitrary measurable set $A \subset Q^2$ will support the Lebesgue area of f. The results imply that if $f \mid A$ is Lipschitz and if one of the coordinate functions of f is BVT (and continuous), then the Lebesgue area of f is no less than the integral of the multiplicity function N(f, A, y), where N(f, A, y) is the number (possibly ∞) of points in $f^{-1}(y) \cap A$. We show that the BVT condition cannot be omitted. The proofs of theorems involving Lebesgue area depend upon a new co-area formula for real valued BVT functions.

2. Preliminaries. Our proofs rely heavily upon the following topological theorem [3, p. 513] which was first proved by Federer in the 2-dimensional case [8, p. 358]. We believe that this result is yet to be fully exploited in area theory.

THEOREM 2.1. If X is a k-dimensional finitely triangulable space and $u: X \to E^1$, $v: X \to E^{k-1}$, $f: X \to E^1 \times E^{k-1}$ are continuous maps such that f(x) = (u(x), v(x)) for $x \in X$, then there is a countable set $D \subset E^1$ such that

 $S[f, (s, t)] = S[v | u^{-1}(s), t]$ for $(s, t) \in (E^{-1} - D) \times E^{k-1}$.

Here S[f, (s, t)] denotes the stable multiplicity of f at (s, t) [9, (3.10)].

In the case $X = Q^2$, the unit square, (and this will be the only case of interest to us throughout the remainder of this paper) this theorem provides a very simple criterion to determine the stability of f at a point (s, t); for t is a positive stable value of $v \mid u^{-1}(s)$ if and only if there is a nondegenerate continuum $C \subset u^{-1}(s)$ such that $t \in$ interior v(C). Thus, the stable multiplicity function is positive at almost all points in the range of a monotone map and in the case of a light map, it is positive on an open dense set. In view of the following proposition, we see that mappings which are similar to Whyburn's quasi-open maps [19, p. 110], [22, (3.9)] also have positive stable values.

PROPOSITION 2.2. Suppose $f: Q^2 \rightarrow E^2$ is a continuous map such that

for each $y \in f(Q^2)$, there is a component K of $f^{-1}(y)$ with the property that for each sufficiently small open connected set U containing y, there is a component V of $f^{-1}(U)$ containing K which maps onto U by f. Then, for all but countably many $y \in f(Q^2)$, S(f, y) > 0.

Proof. Select a point $y \in f(Q^2)$ whose first coordinate is not contained in the set D of (2.1). Let U_i be a sequence of sufficiently small open connected sets such that $U_i \supset$ closure U_{i+1} and whose intersection is a closed vertical line segment λ containing y in its interior. Then the intersection of the corresponding V_i will be a continuum $C \supset K$ that will be mapped onto λ . By (2.1), S(f, y) > 0. Now by repeating this argument with horizontal line segments instead of vertical ones, the result follows.

It is easy to verify that if S(f, y) > 0, then the converse of (2.2) holds, c.f. [21, (2.4)].

The notion of stability is crucial in area theory since

(2.2.1)
$$\Im(f) = \int_{Q^2} S(f, y) dL_2(y) ,$$

where $\mathfrak{L}(f)$ is the Lebesgue area of f and L_2 is 2-dimensional Lebesgue measure. By a result of Cesari [1], (2.2.1) is a special case of a more general theorem due to Federer [9, (7.9)].

DEFINITIONS 2.3. H_n^k will denote k-dimensional Hausdorff measure in E^n , F_n^k k-dimensional Favard measure [7, (2.18)], L_n n-dimensional Lebesgue measure, and dim (A, x) will denote the topological dimension of a set A at a point x. A real valued map f on a topological space is called almost light if $f^{-1}(y)$ is totally disconnected for L_1 almost all $y \in E^1$. A map $f: Q^2 \to E^1$ is said to satisfy condition N_1 on a set A if it maps sets of H_2^1 measure zero of A into sets of L_1 measure zero.

We will use the following notion which was first introduced in [6, p. 48]. An L_n measurable set $E \subset E^n$ has the unit vector n(x) as the exterior normal to E at x if, letting

$$(2.3.1) \qquad \begin{array}{l} S(x,\,r) = \{y\colon |\,y-x\,| < r\} \;,\\ S_+(x,\,r) = S(x,\,r) \cap \{y\colon (y-x) \cdot n(x) \ge 0\} \;,\\ S_-(x,\,r) = S(x,\,r) \cap \{y\colon (y-x) \cdot n(x) \le 0\} \;,\\ \alpha(n) = L_n[S(x,\,1)] \;, \end{array}$$

we have

 $2 \lim_{r \to 0^+} L_n[S_-(x, r) \cap E] / \alpha(n) r^n = 1$, $2 \lim_{r \to 0^+} L_n[S_+(x, r) \cap E] / \alpha(n) r^n = 0$.

Let BV denote the class of all locally integrable functions $u: Q^n \to E^1$

such that the *i*th partial derivative of u in the sense of distributions is a totally finite measure μ_i . This class contains those functions which are BVT. For $u \in BV$ and B any Borel subset of Q^n let I(u, E) = $|\mu|(E)$ where $|\mu|$ is the total variation of the vector-valued measure $(\mu_1, \mu_2, \dots, \mu_n)$. In the case that u is ACT observe that for any Borel set $B \subset Q^n$,

(2.3.2)
$$I(u, B) = \int_{B} |\operatorname{grad} u(x)| dL_2(x)$$

where grad u is the ordinary gradient of u. Thus, in this case $I(u, \cdot)$ can be extended to all Lebesgue measurable sets.

If $B \subset E^n$ is a Borel set then P(B) will denote the *perimeter of B*. If F is the set of x for which the exterior normal to B exists at x and if $P(B) < \infty$, then we see from [2] and [10] that

$$(2.3.3) P(B) = H_n^{n-1}(F) .$$

F is called the *reduced boundary of B* and note that $F \subset \text{bdry } B$. For $u: Q^n \to E^1$ in BV and $E(s) = \{x: u(x) > s\}$, Fleming and Rishel [14] proved that

(2.3.4)
$$I(u, Q^n) = \int_{E^1} P[E(s)] dL_1(s) \, ds$$

In the case that u is Lipschitzian, theorems obtained independently by Federer [11, (3.1)] and Young [20, Th. 4] imply that

(2.3.5)
$$I(u, A) = \int_{B^1} H_n^{n-1} [u^{-1}(s) \cap A] dL_1(s)$$

whenever $A \subset Q^n$ is a Lebesgue measurable set.

3. Metric theorems. The following co-area formula is an extension of (2.3.5) and although the proof is only given for functions defined on E^2 , it is clear that it will generalize to E^n without any essential change. The author is indebted to Casper Goffman for his suggestion that this co-area formula might be valid.

The following notation will be used throughout the proof. Let (q, r, s) be coordinates in E^3 and define $\delta: E^3 \to E^1$, $\Pi_2: E^3 \to E^2$, $\Pi_1: E^2 \to E^1$ by $\delta(q, r, s) = s$, $\Pi_2(q, r, s) = (r, s)$ and $\Pi_1(q, r) = r$. If $u: Q^2 \to E^1$ then $u': Q^2 \to E^3$ is defined by u'(q, r) = (q, r, u(q, r)). G^2 will denote the group of orthogonal transformations on E^2 and φ the unique Haar measure on G^2 for which $\varphi(G^2) = 1$. For $R \in G^2$ let $R^*: E^3 \to E^3$ be defined by $R^*(q, r, s) = (q', r', s)$ where R(q, r) = (q', r').

THEOREM 3.1. If $u: Q^2 \rightarrow E^1$ is BVT(ACT), then

whenever $D \subset Q^2$ is a Borel (L_2 measurable) set.

Proof. Let

$$g(s) = H^{\scriptscriptstyle 1}_2[u^{\scriptscriptstyle -1}(s) \cap D] = H^{\scriptscriptstyle 1}_s[\delta^{\scriptscriptstyle -1}(s) \cap u'(D)]$$
 .

If u is BVT and D a Borel set, then A = u'(D) is an analytic set and therefore it is the union of an increasing sequence of compact sets and a set N of H_s^2 measure zero. Using the Eilenberg inequality [4] we see that

$$H^{\scriptscriptstyle 1}_{\scriptscriptstyle 3}[\delta^{\scriptscriptstyle -1}\!(s)\cap N]=0$$

for L_1 almost all $s \in E^1$. Thus, in order to show that g is L_1 measurable it is sufficient to consider the case when A is compact; but then, it can be shown as in [11, (3.1)] that g is the limit of upper semi-continuous functions.

If $u: Q^2 \to E^1$ is ACT and $N \subset Q^2$ a set for which $L_2(N) = 0$, then [18, (3.17)] and [12] imply that $H_3^2[u'(N)] = 0$. Thus, u'(D) is H_3^2 measurable whenever $D \subset Q^2$ is L^2 measurable and the measurability of g follows as it did above.

Let

It is now clear that α is a measure on Borel $(L_2$ measurable) sets if u is BVT(ACT). Moreover, from [18, (3.17)], [12], and [4] we see that α is absolutely continuous with respect to L_2 if u is ACT. Hence, it is only necessary to prove the theorem in case u is BVT. For this purpose we only need to show that $I(u, W) = \alpha(W)$ for rectangles $W \subset Q^2$ because both $I(u, \cdot)$ and α are measures over the Borel sets. We may as well assume that $W = Q^2$.

In view of (2.3.4) and (2.3.3) it is obvious that $I(u, Q^2) \leq \alpha(Q^2)$. The opposite inequality will follow from the last of four parts into which the remainder of the proof is divided.

PART 1. For L_1 almost all $s \in E^1$, $u^{-1}(s)$ is $(H_2^1, 1)$ rectifiable.

Proof. Since u is *BVT*, $\mathfrak{L}(u') < \infty$ [16, p. 516]. If A = u'(Q) then it follows from [12] that $H_s^2(A) < \infty$ and that A is $(H_s^2, 2)$ rectifiable. Now apply [13, (8.16)] to obtain a countable number of 2-dimensional proper regular submanifolds M_i of class C^1 for which

$$H^2_{\mathfrak{z}}\!\Big[A-igcup_{i=1}^{\infty}M_i\Big]\!=0$$
 .

Letting $M = \bigcup_{i=1}^{\infty} M_i$ the Eilenberg inequality [4] implies

 $H^{\scriptscriptstyle 1}_{\scriptscriptstyle 3}[\delta^{\scriptscriptstyle -1}\!(s)\cap (A-M)]=0$

and

 $H^{\scriptscriptstyle 1}_{\scriptscriptstyle 3}[\delta^{\scriptscriptstyle -1}(s)\cap A]<\infty$

for L_1 almost all s. In view of (2.3.5) one can easily verify that for each $i, \, \delta^{-1}(s) \cap M_i$ is $(H_2^1, 1)$ rectifiable and therefore that $\delta^{-1}(s) \cap M_i \cap A$ is $(H_2^1, 1)$ rectifiable for L_1 almost all $s \in E^1$. But the union of $\delta^{-1}(s) \cap M_i \cap A$ occupies H_2^1 almost all of $\delta^{-1}(s) \cap A$ and thus the result follows.

PART 2. For L_1 almost all $s \in E^1$, $F_2^1[u^{-1}(s)] = H_2^1[u^{-1}(s)]$.

Proof. This follows from Part 1 and [7, (5.14)].

PART 3.

$$\int_{B^1} H_2^1[u^{-1}(s)] dL_1(s) = \Pi 2^{-1} \int_{G^2} \int_{E^1} N[\Pi_2 R^* u', Q^2, y] dL_2(y) d\varphi(R) \; .$$

Proof. For each $s \in E^1$ apply [7, (5.11)] to obtain

$$egin{aligned} F_2^{\, ext{\tiny 1}}[u^{-1}(s)] &= \varPi 2^{-1} \!\!\int_{ extsf{d}^2}\!\!\int_{E^1}\!\! N[\varPi_{_1}R,\,u^{-1}(s),\,r] dL_1(r) darphi(R) \ &= \varPi 2^{-1} \!\!\int_{ extsf{d}^2}\!\!\int_{E^1}\!\! N[\varPi_2R^*u',\,Q^{ extsf{e}},\,(r,\,s)] dL_1(r) darphi(R) \ . \end{aligned}$$

By integrating with respect to s, the result follows from Part 2 and Fubini's theorem.

PART 4.

$$I(u, Q^2) \ge \int_{E^1} H_2^{I}[u^{-1}(s)] dL_1(s)$$
 .

Proof. Select a sequence of Lipschitz functions $u_k: Q^2 \to E^1$ which converge uniformly to u and for which $I(u_k, Q^2) \to I(u, Q^2)$ as $k \to \infty$. A result of [18, (3.5)] states that for each $R \in G^2$ and continuous $v: Q^2 \to E^1$,

(1)
$$N[\Pi_2 R^* v', Q^2, y] = S[\Pi_2 R^* v', y]$$

for L_2 almost all $y \in E^2$. Recall that the stable multiplicity function

is lower semi-continuous with respect to uniform convergence. Thus, from Part 3, (1), Fatou's lemma, and (2.3.5)

$$egin{aligned} &\int_{\mathbb{B}^1} H_2^1[u^{-1}(s)] dL_1(s) &= \varPi 2^{-1} \!\!\int_{d^2} \!\!\int_{\mathbb{B}^2} \!\! N[\varPi_2 R^* u', \, Q^2, \, y] dL_2(y) darphi(R) \ &= \varPi 2^{-1} \!\!\int_{d^2} \!\!\int_{\mathbb{B}^2} \!\! S[\varPi_2 R^* u', \, y] dL_2(y) darphi(R) \ &\leq \lim_{k o\infty} \inf \varPi 2^{-1} \!\!\int_{d^2} \!\!\int_{\mathbb{B}^2} \!\! S[\varPi_2 R^* u'_k, \, y] dL_2(y) darphi(R) \ &= \lim_{k o\infty} \inf \varPi 2^{-1} \!\!\int_{d^2} \!\!\int_{\mathbb{B}^2} \!\! N[\varPi_2 R^* u'_k, \, Q^2, \, y] dL_2(y) darphi(R) \ &= \lim_{k o\infty} \int_{\mathbb{B}^1} \!\! H_2^1[u_k^{-1}(s)] dL_1(s) \ &= \lim_{k o\infty} \varPi(u_k, \, Q^2) = I(u, \, Q^2) \;. \end{aligned}$$

COROLLARY 3.2. If $u: Q^2 \to E^1$ is BVT, then the following hold for L_1 almost all $s \in E^1$:

- (i) $H_2^1[u^{-1}(s)] < \infty$ and $u^{-1}(s)$ is $(H_2^1, 1)$ rectifiable,
- (ii) the exterior normal to E(s) exists at H_2^1 almost all $x \in u^{-1}(s)$.

Proof. The first statement follows from the proof of Part 1 in (3.1) and the second from (3.1), (2.3.4), and (2.3.3).

LEMMA 3.3. If $u: Q^2 \to E^1$ is BVT, then for L_1 almost all $s \in E^1$, dim $[u^{-1}(s), x] > 0$ for H_2^1 almost all $x \in u^{-1}(s)$.

Proof. If $B \subset E^2$, $x \in E^2$, denote by W(x) the set of all straight lines passing through x and by U(B, x) those $\lambda \in W(x)$ for which x is not a cluster point of $\lambda \cap B$. Since we may identify W(x) with the unit semi-circle S^1_+ , we can regard the restriction of H^1_2 to S^1_+ as defining a measure μ on W(x). In the same manner, we can define a measure ν on the homogeneous space of all orthogonal projections $p: E^2 \to E^1$.

Suppose, for some $s \in E^1$, that $H_2^1[u^{-1}(s)] < \infty$ and that $u^{-1}(s)$ is $(H_2^1, 1)$ rectifiable. Letting

$$D_s = u^{-1}(s) \cap \{x; \, \mu[\, U(u^{-1}(s), \, x)] = 0\}$$
 ,

it follows from [7, (8.3)] that $L_1[p(D_s)] = 0$ for ν almost all p. But D_s is also $(H_2^1, 1)$ rectifiable and therefore, from [7, (5.14)] it follows that $H_2^1(D_s) = 0$. Thus, in view of (3.2), for L_1 almost all $s \in E^1$ the following two conditions hold at H_2^1 almost all $x \in u^{-1}(s)$:

- (i) the exterior normal to E(s) exists at x,
- (ii) $\mu[U^{-1}(s), x] > 0.$

We will conclude the proof by showing that for all such s and x, dim $[u^{-1}(s), x] > 0$. For if we assume that dim $[u^{-1}(s), x] = 0$, this means that there exist arbitrarily small open sets G containing x whose boundaries do not intersect $u^{-1}(s)$. By the Phragmen-Brouwer theorem, it can be assumed that bdry G is connected. For every r > 0, let

$$U_r[u^{-1}(s), x] = W(x) \cap \{\lambda: S(x, r) \cap u^{-1}(s) \cap (\lambda - \{x\}) = 0\}$$
 .

From (ii) we know that there exists $\alpha > 0$ and $r_0 > 0$ such that $\mu[U_{r_0}(u^{-1}(s), x)] = \alpha$. Choose $G \subset S(x, r_0/2)$. Since bdry G is connected and bdry $G \cap u^{-1}(s) = 0$, either bdry $G \subset E(s)$ or bdry $G \subset F(s) = \{x: u(x) < s\}$. Suppose bdry $G \subset E(s)$ and because of (i), r_0 may be assumed to have been chosen so small that (see (2.3.1)),

(3)
$$2L_2[S_+(r_0,x)\cap E(s)]/\Pi r_0^2 < lpha/\Pi$$
 .

Now, for each $\lambda \in U_{r_0}(u^{-1}(s), x)$, $S(x, r_0) \cap u^{-1}(s) \cap (\lambda - \{x\}) = 0$ and $\lambda \cap \text{bdry } G \neq 0$. Therefore, since bdry $G \subset E(s)$, the union of all such λ in $S(x, r_0) - \{x\}$ is contained in E(s) and its L_2 measure is no less than αr_0^2 , which contradicts (3). The case of bdry $G \subset F(s)$ is treated in a similar way and thus the proof is concluded.

LEMMA 3.4. Suppose $f: Q^2 \to E^2$ is continuous and f = (u, v) where u is BVT. Then $f^{-1}(y)$ is totally disconnected for L_2 almost all $y \in E^2$.

Proof. Let λ be a horizontal (or vertical) line segment in Q^2 on which u as a function of one variable is of bounded variation. Thus, if λ is the line $r = r_0$, the function $u(\cdot, r_0)$ is of bounded variation and consequently, $N[u(\cdot, r_0), \lambda, s] < \infty$ for L_1 almost all $s \in E^1$. This implies that $f(\lambda)$ intersects almost all vertical lines in a finite number of points and therefore, by Fubini's theorem, $L_2[f(\lambda)] = 0$. Since u is BVT, there exist a countable dense set of vertical lines and a countable dense set of horizontal lines such that the image of each line is a set of L_2 measure zero. If Λ denotes the union of these vertical and horizontal lines, then $L_2[f(\Lambda)] = 0$. Now if C is a nondegenerate continuum of $f^{-1}(y)$, for some $y \in E^2$, then clearly C must intersect Λ . Thus $y \in f(\Lambda)$ and the result follows.

COROLLARY 3.5. With the same hypotheses as in 3.4, for L_1 almost all $s \in E^1$, $v \mid u^{-1}(s)$ is almost light.

THEOREM 3.6. Suppose $f: Q^2 \to E^2$ is continuous, f = (u, v), u is BVT and v satisfies condition N_1 on an analytic set $A \subset Q^2$. Then

$$\mathfrak{L}(f) \geqq \int_{E^2} N(f,\,A,\,y) dL_{\scriptscriptstyle 2}(y) \;.$$

Proof. Let $W_s = u^{-1}(s) \cap \{x: \dim [u^{-1}(s), x] > 0\}$. It follows from (2.1), (3.3), (3.5) and [9, (3.3), (3.5), (3.12)] that for L_1 almost all $s \in E^1$

$$egin{aligned} &\int_{\mathbb{B}^1} S[f,\,(s,\,t)] dL_{\scriptscriptstyle 1}(t) &= \int_{\mathbb{B}^1} S[v\,|\,u^{-1}(s),\,t] dL_{\scriptscriptstyle 1}(t) \ &\geq \int_{\mathbb{B}^1} N[v,\,W_s,\,t] dL_{\scriptscriptstyle 1}(t) \ &\geq \int_{\mathbb{B}^1} N[v,\,u^{-1}(s)\,\cap\,A,\,t] dL_{\scriptscriptstyle 1}(t) \ &= \int_{\mathbb{B}^1} N[f,\,A,\,(s,\,t)] dL_{\scriptscriptstyle 1}(t) \;. \end{aligned}$$

Now by integrating with respect to s the result follows from Fubini's theorem and (2.2.1). The analyticity of A is needed only to assure the L_2 measurability of the last integrand.

COROLLARY 3.7. If $f: Q^2 \to E^2$ is continuous, if f is Lipschitzian on an L_2 measurable set $A \subset Q^2$, and f = (u, v) where u is BVT, then

$$\mathfrak{L}(f) \geqq \int_{E^2} N(f, A, y) dL_2(y)$$
 .

REMARK 3.8. It is easy to see that if neither of the coordinate functions of f is BVT, then the conclusion of (3.7) may not hold. For this purpose let $A \subset Q^2$ be a dendrite for which $L_2(A) > 0$. Then a result from [15, p. 290] implies that A is a retract of Q^2 . If $r: Q^2 \to A$ is the retraction and $i: A \to A$ the identity map, then f = ir is clearly Lipschitzian on A and $\mathfrak{L}(f) = 0$ since the range of f has no interior.

THEOREM 3.9. Suppose $f: Q^2 \to E^2$ is continuous, f = (u, v), u is ACT, v satisfies condition N_1 on Q^2 , the approximate partial derivatives of v exist L_2 almost everywhere on Q^2 , and Jf, the approximate Jacobian of f, is integrable. Then

$$\mathfrak{L}(f) = \int_{Q^2} \lvert J f(x) \, \lvert dL_{\scriptscriptstyle 2}(x) = \int_{E^2} N(f,\,Q_{\scriptscriptstyle 2},\,y) dL_{\scriptscriptstyle 2}(y) \; .$$

Proof. Referring to [5, (5.4)] and (3.6) we see that we only need to prove that f carries sets of L_2 measure zero into sets of L_2 measure zero. If this were not the case, then there would exist an L_2 null set $N \subset Q^2$ for which $L_2[f(N)] > 0$. We may assume that f(N) is measurable since N can be taken as a G_{δ} set. Thus, $L_1[v(u^{-1}(s) \cap N)] > 0$ and therefore $H_2^1[u^{-1}(s) \cap N] > 0$ for all s in some set of positive L_1 measure. But, from (2.3.2) and (3.1)

$$0 = \int_{N} |\operatorname{grad} u(x)| dL_2(x) = \int_{E^1} H_2^1 [u^{-1}(s) \cap N] dL_1(s) > 0$$

a contradiction.

COROLLARY 3.10. If u is ACT and v Lipschitzian on Q^2 , then

$$\mathfrak{L}(f) = \int_{Q^2} \lvert J f(x) \, \lvert dL_2(x) = \int_{E^2} N(f,\,Q^2,\,y) dL_2(y) \;.$$

REMARK 3.11. The above corollary is an extension of a theorem proved in [17, p. 437], where only the first part of the equality is established. Both (3.8) and (3.9) are related to the following unsolved problem c.f. [16, p. 380], [17, p. 433]: Let $f: Q^2 \rightarrow E^2$ where both coordinate functions of f are ACT and Jf is L_2 integrable. Then, is

$$\mathfrak{L}(f) = \int_{Q^2} |Jf(x)| dL_2(x) ?$$

By using techniques employed in this paper, one can show that if the additional hypothesis is made that v satisfies condition N_1 on $W_s =$ $u^{-1}(s) \cap \{x: \dim [u^{-1}(s), x] > 0\}$ for L_1 almost all $s \in E^1$, then the question can be settled in the affirmative.

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