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**IMAGES OF ORDERED COMPACTA ARE LOCALLY
PERIPHERALLY METRIC**

SIBE MARDESIC

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In this paper we study the class \mathfrak{R} of Hausdorff compact spaces X which are obtainable as images of ordered compacta K under (continuous) maps $f: K \rightarrow X$ onto X . The topology of K is the order topology induced by a total (linear) ordering $<$ on K . We find that X is locally peripherally metric (Theorem 5), i.e., it has a basis of open sets with metrizable frontiers.

In fact, our main result is this stronger statement.

THEOREM 1. *Let X be a continuous image of an ordered compactum K and let G be an open F_σ -set in X . If $\text{Cl } G$ is connected, then the frontier $\text{Fr } G$ is metrizable.*

Theorems 1 and 5 answer in the affirmative two questions raised by the author in [3].

As an immediate consequence, we obtain

COROLLARY 1. *Let X be a continuous image of an ordered compactum K and let G be an open F_σ -set in X . If every point $x \in \text{Fr } G$ has a connected open neighborhood in $\text{Cl } G$, then $\text{Fr } G$ is metrizable.*

Another easy consequence of Theorem 1 is the following theorem of L. B. Treybig [10]:

COROLLARY 2 (Treybig). *Let X be a continuous image of an ordered compactum K . If X is connected and separable, then it is metrizable.*

The proof of Theorem 1 given in §5 depends on an apparently new metrization theorem for Hausdorff compact spaces (Theorem 2 of §1), on earlier work of the author on separation properties of images of ordered compacta [3], on the earlier joint work with P. Papić ([5], [6],) and on the following product theorem due to A. J. Ward [13] and L. B. Treybig [9] (see also [3] and [4]).

PRODUCT THEOREM (Ward, Treybig). *Let X and Y be infinite compacta such that $X \times Y$ is the image of an ordered compactum. Then both X and Y are metrizable.*

The proof of Theorem 1 does not depend on Corollary 2 and,

therefore provides a new proof of this important result (For another proof of Corollary 2 see [3]).

1. **A metrization theorem for Hausdorff compacta.** In this paper a compactum is a Hausdorff compact space and a continuum is a connected compactum. If Y is a compactum, $Z(Y)$ denotes the space of components of Y , i.e., $Z(Y)$ is the quotient space Y/R , where $y R y'$, $y, y' \in Y$, means that both y and y' belong to the same (connected) component of Y . It is well-known that $Z(Y)$ is again a compactum and that the natural projection $\pi: Y \rightarrow Z(Y)$ ($\pi(y)$ is the component of y in Y) is a continuous mapping onto (see e.g. [8]).

We now consider, for compacta Y , the following two properties:

PROPERTY μ . For every closed subset $A \subset Y$ the space of components $Z(A)$ is metrizable.

PROPERTY σ . There exists a countable family \mathfrak{S} of open sets S such that for any pair of disjoint closed sets $M, N \subset Y$ there exists an $S \in \mathfrak{S}$ which separates Y between M and N .

We say that S separates Y between M and N provided there exist disjoint sets $A, B \subset Y$ such that $M \subset A$, $N \subset B$, $A \cup B = Y \setminus S$, and A and B are both closed in $A \cup B$.

THEOREM 2. *In order that a compactum Y be metrizable it is necessary and sufficient that it has both properties μ and σ .*

Proof. If Y is metrizable, then so are its closed subsets $A \subset Y$. Therefore, their continuous images $Z(A) = \pi(A)$ are also metrizable, so that Y has property μ .

To prove that Y has property σ , consider a countable basis \mathfrak{S} which is closed under finite unions. Given any pair of disjoint closed sets $M, N \subset Y$, one readily finds a closed set $F \subset Y \setminus (M \cup N)$ which separates Y between M and N . Now it suffices to cover F by a set $S \in \mathfrak{S}$ which does not meet $M \cup N$.

Suppose now that Y is a compactum with properties μ and σ . We construct a countable basis \mathfrak{B} for the topology of Y as follows. Choose, by property σ , a countable family \mathfrak{S} and consider for each $S \in \mathfrak{S}$ the closed set $Y \setminus S$. Next, choose a countable basis \mathfrak{B}_S^* for the topology of the metric compactum $Z(Y \setminus S)$ (property μ). Let \mathfrak{B}_S consist of all sets of the form

$$(1) \quad U = S \cup \pi^{-1}(V),$$

where $V \in \mathfrak{B}_S^*$ and $\pi: Y \setminus S \rightarrow Z(Y \setminus S)$ is the natural projection. Clearly

$$(2) \quad \mathfrak{B} = \bigcup \mathfrak{B}_S, S \in \mathfrak{S},$$

is a countable collection of open sets of Y .

To show that \mathfrak{B} is a basis for Y , consider a point $y_0 \in Y$ and a closed set $M \subset Y, y_0 \notin M$. We shall exhibit a set $U \in \mathfrak{B}$ such that $y_0 \in U$ and $U \cap M = \emptyset$.

First take an open set $S_0 \in \mathfrak{S}$ which separates Y between y_0 and M . Then choose a decomposition of $Y \setminus S_0$ in two disjoint closed sets A, B such that $y_0 \in A, M \subset B$. No component of $Y \setminus S_0$ meets simultaneously A and B . Hence,

$$(3) \quad \pi(A) \cap \pi(B) = \emptyset,$$

where $\pi: Y \setminus S_0 \rightarrow Z(Y \setminus S_0)$ is the natural projection. We obtain thus a decomposition

$$(4) \quad Z(Y \setminus S_0) = \pi(A) \cup \pi(B)$$

of $Z(Y \setminus S_0)$ in two disjoint closed and open subsets $\pi(A), \pi(B)$. Since,

$$(5) \quad \pi(y_0) \in \pi(A),$$

there exists an open set $V \in \mathfrak{B}_{S_0}^*$ such that

$$(6) \quad \pi(y_0) \in V \subset \pi(A).$$

Consequently,

$$(7) \quad y_0 \in \pi^{-1}(V) \subset A$$

and we see that the set

$$(8) \quad U = S_0 \cup \pi^{-1}(V) \in \mathfrak{B}_{S_0} \subset \mathfrak{B}$$

fulfills the requirements

$$(9) \quad y_0 \in U, \quad U \cap M = \emptyset.$$

This completes the proof of Theorem 2.

REMARK. Property σ alone is not sufficient to imply metrizability of Y . E.g. every separable ordered compactum K has property σ (see Theorem 4 in §3), but K need not be metrizable. The corresponding question for property μ is discussed in §2.

2. Property μ and the Suslin problem.¹ A space Y is said to have the Suslin property if every family of nonempty disjoint open sets in Y is countable.

¹ The results of this section are not used in the sections that follow.

LEMMA 1. *If a compactum Y has property μ , it also has the Suslin property.*

Proof. Let $U = \{U_\lambda\}$, $\lambda \in L$, be a family of nonempty disjoint open sets in Y . Choose, for each $\lambda \in L$, a point $y_\lambda \in U_\lambda$. Let

$$(1) \quad A = \text{Cl} \left[\bigcup_{\lambda \in L} \{y_\lambda\} \right].$$

Clearly, the points y_λ are isolated in the set A and, therefore, $\pi(y_\lambda)$ are isolated points in $Z(A)$. Since, $Z(A)$ is a metrizable compactum, it can have only countably many isolated points. This proves that L is countable, i.e., that Y has the Suslin property.

LEMMA 2. *Let C be an ordered continuum with the Suslin property. Then C has property μ .*

Proof. An ordered continuum C is an ordered compactum which is connected. If A is a closed subset of C , then the open set $C \setminus A$ decomposes in a countable family of maximal disjoint open intervals U_n . Clearly, the space of components $Z(A)$ is a totally disconnected ordered compactum whose order is induced by the order $<$ in C .

By a gap in an ordered compactum $(K, <)$ we mean a pair of points $c_1, c_2 \in K$, such that the interval $(c_1, c_2)_K$ is empty. It is readily seen that a totally disconnected ordered compactum K with only countably many gaps is metrizable and is in fact a subset of the Cantor set (see e.g. Lemma 1 of [9]).

Thus, in order to show that $Z(A)$ is metrizable it suffices to show that $Z(A)$ has only countably many gaps. In fact, we can associate with every gap C_1, C_2 of $Z(A)$ the unique interval $U_n \subset C$ whose two end-points belong to the components C_1 and C_2 of A respectively. In this way we obtain a one-to-one mapping of the set of gaps of $Z(A)$ into the set of intervals U_n . This proves that $Z(A)$ has only countably many gaps and is, therefore, metrizable. Since $Z(A)$ is metrizable, for every closed set $A \subset C$, the continuum C has property μ .

The author does not know of any example of a compactum Y which has property μ but fails to be metrizable. However, if property μ alone would imply metrizability of compacta Y , then Lemma 2 would imply that every ordered continuum C with the Suslin property is metrizable and, therefore, homeomorphic to the real line segment I . In other words, we would have a positive answer to the Suslin problem (M. Ya. Suslin in Fund. Math. 1 (1920), p. 223).

THEOREM 3. *The following two statements are equivalent:*

(i) *In the class \mathfrak{R} of images of ordered compacta every compactum*

$X \in \mathfrak{R}$ with property μ is metrizable,

(ii) Every ordered continuum C with the Suslin property is homeomorphic to the real line segment I .

Proof. (i) \Rightarrow (ii) is an immediate consequence of Lemma 2.

In order to prove that (ii) \Rightarrow (i), consider a compactum $X \in \mathfrak{R}$ which has property μ . It follows from Lemma 1 that X has the Suslin property. Using (ii), P. Papić and the author have proved that a compactum $X \in \mathfrak{R}$ with the Suslin property is separable (Corollary 6 of [6]), and in §3 of this paper we prove that every separable compactum $X \in \mathfrak{R}$ has property σ (Theorem 4). Hence, X has both properties μ and σ and is therefore metrizable, by Theorem 2.

3. Images of ordered compacta and property σ . In this section we prove

THEOREM 4. *Let X be a continuous image of an ordered compactum. If X is separable, it has property σ .*

We first recall that if $X \in \mathfrak{R}$ has the Suslin property, then every open subset of X is an F_σ -set (see Theorem 2 of [5] or Corollary 3, p. 13 of [6]). This holds a fortiori if X is separable so that we have

LEMMA 3 (*Mardešić-Papić*). *If $X \in \mathfrak{R}$ is separable, then every closed subset of X is a G_δ -set and every open subset of X is an F_σ -set.*

Proof of Theorem 4. The author has shown (Theorem 4 in [3]) that a separable $X \in \mathfrak{R}$ admits a countable family \mathfrak{F} of closed sets F which separate X between any pair of disjoint closed sets $M, N \subset X$. We now choose such a family \mathfrak{F} .

Each $F \in \mathfrak{F}$ is a G_δ -set (Lemma 3) so that we can choose a countable collection \mathfrak{S}_F of open sets $S \subset X$ such that $F \subset S$ and

$$(1) \quad F = \bigcap (\text{Cl } S), \quad S \in \mathfrak{S}_F.$$

The family

$$(2) \quad \mathfrak{S} = \bigcup \mathfrak{S}_F, \quad F \in \mathfrak{F},$$

is a countable collection of open sets in X which has the required separation property σ .

Indeed, if M and N are disjoint closed subsets of X , then there exists a set $F \in \mathfrak{F}$ such that F separates X between M and N . Since

$$(3) \quad F \subset X \setminus (M \cup N),$$

and (1) holds, we can find a set $S \in \mathfrak{S}_F \subset \mathfrak{S}$ such that

$$(4) \quad F \subset S \subset \text{Cl } S \subset X \setminus (M \cup N) .$$

Clearly, such a set $S \in \mathfrak{S}$ separates X between M and N , which concludes the proof.

4. The frontier of open F_σ -sets and its space of components. In this section we prove the crucial

LEMMA 4. *Let $X \in \mathfrak{R}$ and let G be an open F_σ -set dense in X . If X is connected, the space of components $Z(\text{Fr } G)$ is metrizable.*

Proof. Choose a sequence of open sets $H_n \subset G$, $n = 1, 2, \dots$, such that

$$(1) \quad \text{Cl } H_n \subset H_{n+1} ,$$

$$(2) \quad \bigcup_{n=1}^{\infty} \text{Cl } H_n = G .$$

For each n , consider the compactum

$$(3) \quad X \setminus H_n \supset X \setminus G .$$

Let

$$(4) \quad Z_n = Z(X \setminus H_n) , \quad Z = Z(X \setminus G) .$$

By (3), every component of $X \setminus G$ is contained in a unique component of $X \setminus H_n$. This inclusion defines a map

$$(5) \quad p_n: Z \rightarrow Z_n .$$

We shall now show that the maps p_n , $n = 1, 2, \dots$, distinguish points of Z , i.e. that for any two distinct components C_1, C_2 of $X \setminus G$ there exists an n such that

$$(6) \quad p_n(C_1) \neq p_n(C_2) .$$

The maps p_n , $n = 1, 2, \dots$, will thus define an imbedding of Z in the direct product

$$(7) \quad \prod_{n=1}^{\infty} p_n(Z) .$$

We first choose two disjoint closed sets F_1, F_2 in $\text{Fr } G$ covering $\text{Fr } G$ and such that $C_1 \subset F_1$ and $C_2 \subset F_2$. Since the sets F_i are at the same time closed in X , we can surround them by disjoint open sets U_1, U_2 of X . Thus

$$(8) \quad C_i \subset U_i, \quad i = 1, 2,$$

$$(9) \quad U_1 \cup U_2 \supset \text{Fr } G.$$

We now choose an n such that

$$(10) \quad X \setminus (U_1 \cup U_2) \subset H_n.$$

The set $X \setminus H_n \subset U_1 \cup U_2$ splits in two disjoint open sets $U_i \cap (X \setminus H_n)$, $i = 1, 2$, which contain C_1 and C_2 respectively. This proves that C_1 and C_2 are included in different components of $X \setminus H_n$ so that (6) takes place.

In order to complete the proof of Lemma 4 it now suffices to show that the space $p_n(Z)$ is metrizable, for every n . In that case the direct product (7) will be metrizable and so will be Z itself, because Z is embeddable in this product.

To show that $p_n(Z)$ is metrizable, first notice that every component C of $X \setminus H_n$ meets $\text{Cl } H_n$, because X is connected and compact. Moreover, if $C \in p_n(Z)$. Then C also meets $\text{Fr } G$.

Next, consider the natural projection

$$(11) \quad \pi: X \setminus H_n \rightarrow Z(X \setminus H_n) = Z_n$$

and a map

$$(12) \quad \varphi: X \setminus H_n \rightarrow I = [0, 1],$$

such that

$$(13) \quad \varphi((X \setminus H_n) \cap \text{Cl } H_n) = 0,$$

$$(14) \quad \varphi(\text{Fr } G) = 1;$$

φ exists by Urysohn's lemma.

Using π and φ we define the map

$$(15) \quad \psi = \pi \times \varphi: X \setminus H_n \rightarrow Z_n \times I.$$

We now show that

$$(16) \quad p_n(Z) \times I \subset \psi(X \setminus H_n).$$

Indeed, if $C \in p_n(Z)$, then C meets $\text{Fr } G$ and $\text{Cl } H_n$ and so $\psi(C)$ meets both $C \times 1$ and $C \times 0$. Since, $\psi(C) \subset C \times I$ and $\psi(C)$ is connected, it follows that

$$(17) \quad C \times I = \psi(C) \subset \psi(X \setminus H_n)$$

and (16) is established.

Since X belongs to \mathfrak{R} , we conclude that also $X \setminus H_n, \psi(X \setminus H_n)$ and $p_n(Z) \times I$ belong to \mathfrak{R} . Therefore, by the product theorem (see the

introduction) $p_n(Z)$ is metrizable. This completes the proof of Lemma 4.

5. **Proof of Theorem 1.** We first prove

LEMMA 5. *Let $X \in \mathfrak{R}$ and let G be an open F_σ -set in X . If $\text{Cl } G$ is connected, then $\text{Fr } G$ has property μ .*

Proof. Let A be a closed subset of $\text{Fr } G$ and let

$$(1) \quad \Gamma = (\text{Cl } G) \setminus A.$$

Clearly, Γ is an open set, dense in $\text{Cl } G$, and

$$(2) \quad \text{Fr } \Gamma = A.$$

We now show that Γ is an F_σ -set in $\text{Cl } G = \text{Cl } \Gamma$. In the first place, $\text{Fr } G$ is a separable compactum from \mathfrak{R} , for the author has proved that the frontier of an open F_σ -set in a compactum $X \in \mathfrak{R}$ is always separable (Theorem 2 of [3]). It follows, by Lemma 3, that $(\text{Fr } G) \setminus A$ is an F_σ -set.

On the other hand, G is by assumption an F_σ -set. Consequently,

$$(3) \quad \Gamma = (\text{Fr } G \setminus A) \cup G$$

is also an F_σ -set in X .

Applying Lemma 4 to $\text{Cl } G$ and Γ , and taking into account (2), we see that $Z(A) = Z(\text{Fr } \Gamma)$ is metrizable. This concludes the proof of Lemma 5.

Proof of Theorem 1. To complete the proof, notice that $\text{Fr } G$ is a separable compactum from \mathfrak{R} and, therefore, has property σ (Theorem 4). On the other hand, by Lemma 5, $\text{Fr } G$ has also property μ . Thus, by Theorem 2, $\text{Fr } G$ is a metrizable compactum.

Proof of Corollary 1. Let $X \in \mathfrak{R}$ and let G be an open F_σ -set in X with the property that there is a finite collection of connected open sets U_1, \dots, U_n in $\text{Cl } G$ such that

$$(4) \quad \text{Fr } G \subset U_1 \cup \dots \cup U_n.$$

Clearly, $\text{Cl } U_i$ belongs to \mathfrak{R} and is connected. On the other hand, $(\text{Cl } U_i) \cap G$ is an open F_σ -set dense in $\text{Cl } U_i$, because $U_i \subset \text{Cl } G$ implies

$$(5) \quad U_i \subset \text{Cl } [U_i \cap G] \subset \text{Cl } [\text{Cl } (U_i) \cap G] \subset \text{Cl } U_i,$$

so that

$$(6) \quad \text{Cl } [\text{Cl } (U_i) \cap G] = \text{Cl } U_i.$$

It follows from (6) and Theorem 1 that

$$(7) \quad \text{Fr} [\text{Cl} (U_i) \cap G] = (\text{Cl} U_i) \setminus G$$

is metrizable. Since, by (4), the sets $(\text{Cl} U_i) \setminus G$, $i = 1, \dots, n$, cover $\text{Fr} G$, we conclude that $\text{Fr} G$ itself is metrizable.

Proof of Corollary 2. Corollary 2 is an immediate consequence of Theorem 1 and this

LEMMA 6. *If $X \in \mathfrak{R}$ is separable, there exists a compactum $X' \in \mathfrak{R}$ and an open F_σ -set $G \subset X'$ dense in X' and such that $X = \text{Fr} G$. Moreover, if X is connected, so is X' .*

Proof. Let $f: K \rightarrow X$ be a map of an ordered compactum K onto X and let $D = \{t_1, \dots, t_n, \dots\}$ be a countable subset of K such that $f(D)$ is dense in X . Let K' be a new ordered compactum obtained from K by replacing each point $t_n \in D$ by a copy I_n of the real line segment I . We denote the two end-points of I_n by t'_n and t''_n and its interior by I_n^0 . $K \setminus D$ can be considered as a subset of K' .

We now define a map

$$(8) \quad f': K' \rightarrow X \times I$$

as follows. For $t \in K \setminus D$, let

$$(9) \quad f'(t) = f(t) \times 0,$$

let

$$(10) \quad f'(t'_n) = f'(t''_n) = f(t_n),$$

and let $f'|_{I_n}$ be any map of I_n onto

$$(11) \quad f(t_n) \times \left[0, \frac{1}{n}\right],$$

such that the end-points t'_n, t''_n are the only points of I_n which are mapped into $f(t_n) \times 0$. It is easy to verify that $f': K' \rightarrow X \times I$ is continuous.

We now define X' by

$$(12) \quad X' = f'(K') \subset X \times I.$$

$X' \in \mathfrak{R}$ and

$$(13) \quad X \times 0 = f' \left(K' \setminus \bigcup_{n=1}^{\infty} I_n^0 \right) \subset X'.$$

Clearly, the set

$$(14) \quad G = X' \setminus (X \times 0) = \bigcup_{n=1}^{\infty} f'(I_n^0)$$

is an open F_σ -set in X' and

$$(15) \quad \text{Fr } G = X \times 0 ,$$

because $\text{Cl } G \supset f(D) \times 0$ and, therefore,

$$(16) \quad \text{Cl } G \supset \text{Cl } [f(D) \times 0] = X' .$$

If X is connected, so is X' , because it consists of $X \times 0$ and arcs (11) which meet $X \times 0$.

6. Local peripheral metrizability.

LEMMA 7. *Let X be a continuous image of an ordered compactum. If X is locally connected, then it is locally peripherally metrizable.*

Proof. If $F \subset X$ is a closed connected set and $U \subset X$ is open and $F \subset U$, then one can easily find (using regularity and local connectedness of X) an open connected set V in X such that

$$(1) \quad F \subset V \subset \text{Cl } V \subset U .$$

Using this argument repeatedly, one can find, for each point $x_0 \in X$ and each open neighborhood U of x_0 , a sequence of connected open sets V_n , $n = 1, 2, \dots$, such that

$$(2) \quad x_0 \in V_1 \subset \dots \subset V_n \subset \text{Cl } V_n \subset V_{n+1} \subset \dots \subset U .$$

Clearly,

$$(3) \quad V = \bigcup_{n=1}^{\infty} V_n = \bigcup_{n=1}^{\infty} \text{Cl } V_n$$

is a connected open F_σ -set in X such that

$$(4) \quad x_0 \in V \subset U .$$

By Theorem 1, $\text{Fr } V$ is metrizable, which proves that X is locally peripherally metrizable.

THEOREM 5. *Every continuous image X of an ordered compactum K is locally peripherally metrizable.*

The result follows immediately from Lemma 7 and this

LEMMA 8. *Every continuous image X of an ordered compactum K can be embedded in a continuous image Y of an ordered continuum C .*

Proof. Insert between any two consecutive points of K a copy of the open real line interval filling thus all the gaps in K . Denote the obtained ordered continuum by C . Consider X as embedded in a cube I^* . The map $f: K \rightarrow I^*$ can be extended to a continuous map $g: C \rightarrow I^*$, $g|K = f$. Clearly, $X \subset Y = g(C)$. Notice that Y is locally connected and thus Lemma 7 applies.

REMARK. Local peripheral metrizability together with local connectedness does not suffice for the conclusion that a compactum X belongs to \mathfrak{R} as the following example shows.

EXAMPLE. Let $\Omega = \{\alpha \mid \alpha < \omega_1\}$ be the set of all countable ordinals. Let L be the ordered continuum obtained by ordering lexicographically the product $\Omega \times [0,1)$ and adjoining a last point ω_1 . Let X be the quotient space

$$(5) \quad X = (L \times I)/\omega_1 \times I.$$

X is a nonmetrizable locally connected continuum and is locally peripherally metric. However, X does not belong to \mathfrak{R} , because no two points separate X and every nonmetrizable continuum $X \in \mathfrak{R}$ has such a pair of points (see Theorem 2 of [10]).

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