

Pacific Journal of Mathematics

**SEQUENCES OF HOMEOMORPHISMS WHICH CONVERGE
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A technique often used in topology involves the inductive modification of a given mapping in order to achieve a limit mapping having certain prescribed properties. The following definition will facilitate the discussion. Suppose X and Y are topological spaces, and $\{W_i\}, i = 1, 2, \dots$, is a countable collection of subsets of X . Then a sequence $\{f_i\}, i \geq 0$, of mappings from X into Y is called stable relative to $\{W_i\}$ if $f_i|_{(X - W_i)} = f_{i-1}|_{(X - W_i)}, i = 1, 2, \dots$. Note, in the above definition, that if $\{W_i\}$ is a locally finite collection, then $\lim_{i \rightarrow \infty} f_i$ is necessarily a well defined mapping from X into Y , and is continuous if each f_i is continuous. In a typical smoothing theorem, a C^r -mapping $f: M \rightarrow N$ between C^∞ differentiable manifolds M and N is approximated by a C^∞ -mapping $g: M \rightarrow N$, where the mapping g is constructed as the limit of a suitable sequence $\{f_i\}$ (with $f_0 = f$) which is stable relative to a locally finite collection $\{C_i\}$ of compact subsets of M . On the other hand, instead of improving f , it is also of interest to approximate f by a mapping g which has bad behavior at, say, a dense set of points of M . In this paper, such a mapping g is constructed as the limit of a sequence $\{f_i\}$ (with $f_0 = f$) which is stable relative to $\{C_i\}$, but where the C_i are more "clustered" than a locally finite collection. The case of interest here is where a sequence of homeomorphisms $\{H_i\}$, which is stable relative to $\{U_i\}$, necessarily converges to a homeomorphism. Theorem 1 of this paper gives a sufficient condition that the latter be satisfied for homeomorphisms of a metric space. In Theorem 1, the collection $\{U_i\}$ is not, in general, locally finite (in fact, the U_i satisfy a certain "nested" condition). Theorem 1 is used to establish a result concerning the distribution of homeomorphisms (of a differentiable manifold) which have a dense set of spiral points.

Let M be a metric space with metric d . We denote the (open) ball, of radius r , and centered at the point $x \in M$, by $B(x, r) = \{y \in M \mid d(x, y) < r\}$. The diameter of a nonempty subset A of M is $\delta(A) = \sup \{d(x, y) \mid x \in A, y \in A\}$. When M is euclidean n -space E^n , we write the points of E^n as $x = (x^1, \dots, x^n)$, and provide E^n with the usual euclidean norm and metric

$$\|x\| = \left[\sum_{i=1}^n (x^i)^2 \right]^{1/2}, \quad d(x, y) = \|x - y\|.$$

The boundary of $B(x, r)$ in E^n is the $(n - 1)$ -sphere $S(x, r) =$

$\{y \in E^n \mid d(x, y) = r\}$. If A is a subset of M , we denote the closure of A in M by \bar{A} . If \bar{A} is compact, we say that A is *relatively compact*. Let Z^+ denote the set of positive integers. The identity mapping will be denoted by I , without regard to domain.

THEOREM 1. *Let $\{U_i\}, i \in Z^+$, be a sequence of nonempty relatively compact open subsets of M such that*

$$(1) \quad U_i \cap U_j \neq \phi \implies U_i \supset \bar{U}_j \quad [i < j].$$

Suppose $\{F_i\}, i \in Z^+$, is a sequence of homeomorphisms of M onto itself such that

$$(2) \quad F_i \mid (M - U_i) = I,$$

and

$$(3) \quad d(F_i(x), F_i(y)) \geq \zeta_i d(x, y) \quad [x, y \in M],$$

for some constant ζ_i (depending on F_i). Set

$$(4) \quad H_i = F_i F_{i-1} \cdots F_1,$$

(note that the sequence $\{H_i\}$ is stable relative to $\{U_i\}$). If, for $i \geq 2$,

$$(5) \quad \delta(U_i) < \zeta_{i-1} \zeta_{i-2} \cdots \zeta_1 / 2^i,$$

then $H = \lim_{i \rightarrow \infty} H_i$ is a homeomorphism of M on itself.

Proof. We note from (2) that $\zeta_i \leq 1, i \in Z^+$. Therefore, we see from (2) and (5) that $d(F_i(x), x) < 1/2^i$, and hence

$$(6) \quad d(H_i(x), H_{i-1}(x)) < 1/2^i \quad [i \in Z^+, x \in M].$$

Given any fixed $x \in M$, we first show that $\lim_{i \rightarrow \infty} H_i(x)$ exists. We have two cases to consider.

Case 1. There exists an integer $j(x)$ such that $H_k(x) = H_{j(x)}(x)$ for all $k \geq j(x)$. Then, of course, $\lim_{i \rightarrow \infty} H_i(x) = H_{j(x)}(x)$.

Case 2. There exists a sequence $l_1 < l_2 < \cdots$ such that $H_{l_i}(x) \neq H_{l_{i+1}}(x), i \in Z^+$. Then from (4) and (2), we see that there exists a sequence $m_1 < m_2 \cdots$ such that $H_{m_{i-1}}(x) \in U_{m_i}$, and $\bar{U}_{m_{i+1}} \subset U_{m_i}, i \in Z^+$. Note that $\bigcap_{i=1}^\infty U_{m_i} = \bigcap_{i=1}^\infty \bar{U}_{m_i} \neq \phi$, the last inequality holding since $\{\bar{U}_{m_i}\}, i \in Z^+$, is a decreasing sequence of nonempty compact subsets of the compact set \bar{U}_{m_1} . Since $\delta(U_{m_i}) \rightarrow 0$ as $i \rightarrow \infty$, we see that there is a unique point $z = \bigcap_{i=1}^\infty U_{m_i}$. Hence $\lim_{i \rightarrow \infty} H_{m_i}(x) = z$. Then,

using (6), $\lim_{i \rightarrow \infty} H_i(x) = z$. This shows that H is a well defined mapping of M into itself. Moreover, using (6), H is the limit of a uniformly convergent sequence of continuous mappings, and hence is itself continuous.

We now show that H is one-to-one. Suppose, then, that x, y are two distinct points of M . We have three cases.

Case 1. There exist integers $j(x), k(y)$ such that $H_l(x) = H_{j(x)}(x)$ for $l \geq j(x)$, and $H_m(y) = H_{k(y)}(y)$ for $m \geq k(y)$. Then, setting $q = \max \{j(x), k(y)\}$, we have $H(x) = H_q(x) \neq H_q(y) = H(y)$.

Case 2. Same as Case 2 above. Then, as above, there exists a sequence $m_1 < m_2 < \dots$ such that $H_{m_i}(x) \in U_{m_i}, i \in Z^+$. Choose $m_i = p$ so large that $1/2^p < d(x, y)$. Then using (1) we have, in particular, $H_{p-1}(x) \cup H(x) \subset U_p$. Using (3) and (4),

$$d(H_{p-1}(x), H_{p-1}(y)) \geq \zeta_{p-1} \cdots \zeta_1 \cdot d(x, y) .$$

On the other hand, using (5) and our choice of p , it follows that $\delta(U_p) < \zeta_{p-1} \cdots \zeta_1 / 2^p < \zeta_{p-1} \cdots \zeta_1 \cdot d(x, y)$. Hence $H_{p-1}(y) \in U_p$, and, using (1), (2), and (4), $H(y) \in U_p$. Therefore, $H(x) \neq H(y)$.

Case 3. There exists a sequence $n_1 < n_2 < \dots$ such that $H_{n_i}(y) \neq H_{n_{i+1}}(y)$. The proof that $H(x) \neq H(y)$ in this case is entirely analogous to Case 2. This completes the proof that H is one-to-one.

We now show that H maps M onto itself. Let y be an arbitrary point of M . If there exists an integer $j(y)$ such that $z = H_k^{-1}(y) = H_{j(y)}^{-1}(y)$ for all $k \geq j(y)$, then $H(z) = y$. Suppose, then, that there exists a sequence $k_1 < k_2 < \dots$ such that $H_{k_i}^{-1}(y) \neq H_{k_{i+1}}^{-1}(y)$. Then, using (1), (2), and (4), there exists a sequence $l_1 < l_2 < \dots$ such that $H_{l_i}^{-1}(y) \in U_{l_i}$ and $U_{l_i} \supset \bar{U}_{l_{i+1}}, i \in Z^+$. Letting z be the unique point $z = \bigcap_{i=1}^{\infty} U_{l_i}$, we see that $\lim_{i \rightarrow \infty} H_{l_i}^{-1}(y) = z$. But then $H(z) = \lim_{i \rightarrow \infty} H_{l_i}(H_{l_i}^{-1}(y)) = y$, where the first equality follows from the fact that a uniformly convergent sequence of functions is *continuously* convergent. Hence, H is an onto mapping.

To show that H is a homeomorphism of M on itself, it remains to verify the continuity of H^{-1} (note that when M is an open subset of E^n , Brouwer's theorem on invariance of domain implies that H^{-1} is continuous). We do this by showing that the limit set of H is empty, i.e., given any $y \in M$, and any sequence $\{x_n\}$ of points of M having no convergent subsequence, we shall show that the sequence $\{H(x_n)\}$ does *not* converge to y . Since H is onto, let $z \in M$ be such that $H(z) = y$. We have two cases to consider.

Case 1. There exists an integer $j(z)$ such that $H_k(z) = H_{j(z)}(z) = y$

for all $k \geq j(z)$. Now since $\{x_n\}$ contains no convergent subsequence, we may assume $d(x_n, z) \geq \xi > 0$ for some fixed ξ and all $n \in Z^+$. Let $p > 0$ be a *fixed* integer so large that $1/2^p < \xi/2$. Now for an arbitrary $n \in Z^+$, we have, from (3) and (4), $d(H_{p-1}(x_n), y) \geq \zeta_{p-1} \cdots \zeta_1 \cdot \xi$, whereas, from (5), $\delta(U_p) < \zeta_{p-1} \cdots \zeta_1/2^p < \zeta_{p-1} \cdots \zeta_1 \cdot \xi/2$. Hence the points $H_{p-1}(x_n)$ and y are not *both* contained in \bar{U}_p . A similar analysis shows that

(α) the points $H_{k-1}(x_n)$ and y are not *both* contained in \bar{U}_k , for all $k \geq p$, and all $n \in Z^+$.

Now given any $k \geq p$, let N_k denote those points x_j of the sequence $\{x_n\}$ such that $H_{k-1}(x_j) \in U_k$. If $N_k \neq \phi$, then from (α) above we see that $y \notin \bar{U}_k$. Setting $W_k = M - \bar{U}_k$ if $N_k \neq \phi$, and $W_k = M$ if $N_k = \phi$, we see that W_k is a neighborhood of y such that

$$(7) \quad H(N_k) \cap W_k = \phi.$$

Setting $\eta = \zeta_p \cdots \zeta_1 \cdot \xi$, we see from (3) and (4) that $d(H_p(x_n), y) \geq \eta$ for all $n \in Z^+$. Now choose an integer $q > p$ so large that $\sum_{i=q}^\infty 1/2^i < \eta/2$. Then for any $x_i \in \{N_p \cup N_{p+1} \cup \cdots \cup N_q\}$, we have $H_{q-1}(x_i) = H_{q-2}(x_i) = \cdots = H_p(x_i)$. Then $d(H(x_i), H_p(x_i)) \leq \sum_{i=q}^\infty 1/2^i < \eta/2$, whereas $d(H(x_i), y) \geq d(H(x_i), y) - d(H_p(x_i), H(x_i)) \geq \eta - \eta/2 = \eta/2$. Hence we see that

$$(8) \quad H(x_i) \in B(y, \eta/4) \quad [x_i \in \{N_p \cup N_{p+1} \cup \cdots \cup N_q\}].$$

Setting $V = B(y, \eta/4) \cap W_p \cap W_{p+1} \cap \cdots \cap W_q$, we see from (7) and (8) that V is a neighborhood of y in M such that $H(x_n) \notin V$ for all $n \in Z^+$. Hence the sequence $H(x_n)$ does *not* converge to y .

Case 2. There exists a sequence $m_1 < m_2 < \cdots$ such that $H_{m_i}(z) \neq H_{m_{i+1}}(z)$, $i \in Z^+$. Then, as seen before, there exists a sequence $k_1 < k_2 < \cdots$ such that $y = H(z) = \bigcap_{i=1}^\infty U_{k_i}$. As before, letting $\xi > 0$ be such that $d(x_n, y) \geq \xi$ for all $n \in Z^+$, we take $p = k_j$ so large that $1/2^p < \xi$. Then, using (3), (4), and (5), we see that $y \in U_p$, whereas $H_{p-1}(x_n) \notin U_p$ for all $n \in Z^+$. Then by (4) and (2), $H(x_n) \notin U_p$ for all $n \in Z^+$. Since U_p is a neighborhood of y in M , it follows that $\{H(x_n)\}$ does not converge to y . This completes the proof that H^{-1} is continuous, and hence Theorem 1 is completely proven.

REMARKS AND EXAMPLES. One verifies that the *biuniqueness* of the limit mapping H is still valid if condition (5) is weakened to requiring only that $\delta(U_i) < \zeta_{i-1} \cdots \zeta_1/a_i$, where the positive constants a_i are subject to the condition $\lim_{i \rightarrow \infty} a_i = +\infty$. The necessity for this latter condition is illustrated by the following example. Let $M = E^n$, and for any $i \in Z^+$, set $U_i = B(0, 1/2^{i+1})$. Let F_i be a

diffeomorphism of E^n on itself defined by $F_i(x) = \alpha_i(\|x\|)x$, where α_i is a smooth monotonic real-valued function of the real variable t such that $\alpha_i(t) = 1/2$ for $t \leq 1/2^{i+2}$, and $\alpha_i(t) = 1$ for $t \geq 1/2^{i+1}$. Then $d(F_i(x), F_i(y)) \geq (1/2)d(x, y)$ for all $x, y \in E^n$ and $i \in \mathbb{Z}^+$. Hence, setting $\zeta_i = 1/2, i \in \mathbb{Z}^+$, we see that conditions (1), (2), and (3) of Theorem 1 are satisfied by U_i and F_i . Condition (5) is violated, but we have, nevertheless,

$$\delta(U_i) = 2(1/2^{i+1}) = 1/2^i < 1/2^{i-1} = \zeta_{i-1} \cdots \zeta_1 .$$

It is easily seen that the mapping $H = \lim_{i \rightarrow \infty} F_i F_{i-1} \cdots F_1$ is a continuous mapping of E^n on itself, but H is *not* one-to-one since $H(B(0, 1/8)) = 0$.

The diffeomorphisms F_i in the above example are members of an important class of homeomorphisms of E^n which satisfy a condition such as (3): namely, the class of diffeomorphisms of E^n which are the identity outside some compact subset of E^n . Condition (3) is not, in general, satisfied for *homeomorphisms* of E^n which are the identity outside some compact subset of E^n , even for those which are, in addition, diffeomorphisms on the complement of a single point. For consider the following example. Let F be a C^∞ -diffeomorphism of E^2 on itself (i.e., F is a homeomorphism of E^2 on itself such that $F|_{(E^2 - 0)}$ is a C^∞ -diffeomorphism) such that F is the identity on the subset $\{E^2 - B(0, 1)\} \cap \{\bigcup_{n=1}^\infty S(0, 1/n)\} \cup \{0\}$, and such that the spheres $S(0, 1/n - 10^{-n}), n \in \mathbb{Z}^+$, are rotated by F through 180 degrees. Such a homeomorphism is readily constructed. One verifies that

$$\begin{aligned} d(F((0, 1/n)), F((0, -1/n + 10^{-n}))) \\ = (10^n \cdot 2/n - 1)^{-1} \cdot d((0, 1/n), (0, -1/n + 10^{-n})) , \end{aligned}$$

and hence there can *not* exist a number ζ such that $d(F(x), F(y)) \geq \zeta d(x, y)$ for all $x, y \in E^2$.

We now use Theorem 1 to establish a result concerning spiral points of homeomorphisms of nonbounded differentiable manifolds. The reader is referred to [1] for the relevant definitions and results. We recall that if $f: U \rightarrow E^n, n \geq 2$, is a homeomorphism, where U is an open set in E^n , then a point $x \in U$ is a *spiral point* of f if, and only if, the following is satisfied: given *any* C^p -imbedding $(p > 0)\sigma: [0, 1] \rightarrow U$ such that $\sigma(1) = x$, *any* diffeomorphism H of E^n on itself, and *any* $(n - 1)$ -hyperplane P in E^n through $Hf(x)$, then there exists a sequence of points $t_i \in [0, 1]$ converging to 1 and such that $Hf\sigma(t_i) \in P$. The notion is extended to differentiable manifolds in the natural way. It is readily verified (cf. Proposition 2 of [1]) that if $f: M^n \rightarrow N^n$ is a homeomorphism, where M^n, N^n are nonbounded differentiable n -manifolds, then the set of nonspiral points of f is

(uncountably) dense in M^n . Nevertheless, there always exist (Theorem 1 of [1]) homeomorphisms of M^n on itself (or into N^n) having a dense set of spiral points. We generalize this result and show that the homeomorphisms of M^n into N^n which have a dense set of spiral points form a dense subset, in the fine C^0 topology, of the set $H(M^n, N^n)$ of homeomorphisms of M^n into N^n .

THEOREM 2. *Let $f: U \rightarrow E^n, n \geq 2$, be a homeomorphism, where U is an open subset of E^n , and let $\varepsilon: U \rightarrow E^1$ be a real-valued positive continuous function. Then there exists a homeomorphism $g: U \rightarrow E^n$ such that g has a dense set (in U) of spiral points, and $d(f(x), g(x)) < \varepsilon(x), [x \in U]$.*

REMARKS. It can be seen from the constructions in § 8 of [1] that Theorem 2 above is valid for diffeomorphisms f . Indeed, using the techniques in § 8 of [1], one can construct a homeomorphism h of U on itself having a dense set of spiral points, and, moreover, such that $d(fh(x), f(x)) < \varepsilon(x)$, for all $x \in U$. Then $g = fh$ satisfies the requirements of Theorem 2 relative to f . The difficulty that arises when f is not a diffeomorphism is that a point $x \in U$ can be a spiral point of the homeomorphism g , and the point $g(x)$ can be a piercing point (cf. Definition 1 of [1]) of the homeomorphism f , and yet x can be a piercing point of fg (and hence, in particular, x is not a spiral point of fg). However, the generality afforded by condition 3 of Theorem 1 (i.e., the constants ζ_i vary with F_i), as opposed to the uniform constant δ appearing in property (β) of [1], will allow us to overcome the above difficulty.

Proof of Theorem 2. Let X be a countable dense subset in U of distinct points $x_i, i \in Z^+$. We will construct a sequence of homeomorphisms H_i of U on itself, of the type described in Theorem 1 above, and such that if $H = \lim_{i \rightarrow \infty} H_i$, then X consists entirely of spiral points of fH , and $d(fH(x), f(x)) < \varepsilon(x)$ for all $x \in U$. This latter condition will be satisfied if $d(H(x), x) < \tau(x)$, provided $\tau: U \rightarrow E^1$ is a suitably chosen real-valued positive continuous function. We assume below that a fixed choice for such a function τ has been made. Note from (2) and (4) that if $\delta(U_i) < \min \{\tau(x) | x \in U_i\}$, for all $i \in Z^+$, then the above approximation conditions are necessarily satisfied.

Before defining the homeomorphisms H_i , we need some definitions. For $c = (c^1, c^2, \dots, c^n), x = (x^1, x^2, \dots, x^n), 0 < r < d(c, E^n - U), i \in \{1, 2, \dots, n - 1\}, i < j \leq n$, and $m \in Z^+$, we define the homeomorphism $F_{c,r,i,j,m}$ of U on itself as follows:

$$(9) \quad F_{c,r,i,j,m}(x) = x \quad [x \in \{U - B(c, r)\} \cup \bar{B}(c, r/2)],$$

while for $x \in \bar{B}(c, r) - B(c, r/2)$, the components of $F_{c,r,i,j,m}$ are:

$$(9)' \quad F_{c,r,i,j,m}^k(x) = x^k, k \neq i, j,$$

$$(9)'' \quad F_{c,r,i,j,m}^i(x) = (x^i - c^i) \cos \alpha_m(x) - (x^j - c^j) \sin \alpha_m(x) + c^i,$$

$$(9)''' \quad F_{c,r,i,j,m}^j(x) = (x^i - c^i) \sin \alpha_m(x) + (x^j - c^j) \cos \alpha_m(x) + c^j,$$

where $\alpha_m(x) = 4m\pi((r - \|x - c\|)/r)$. We then define the homeomorphism $F_{c,r,m}$ of U on itself by setting

$$F_{c,r,m} = F_{c,r,1,2,m} F_{c,r,1,3,m} \cdots F_{c,r,n-1,n,m}.$$

It is readily seen that there exists a positive constant $\zeta(m)$ such that $d(F_{c,r,m}(x), F_{c,r,m}(y)) \geq \zeta(m)d(x, y)$, $[x, y \in U]$.

A homeomorphic image Ω of E^{n-1} in E^n will be called a *sufficiently planar topological $(n - 1)$ -hyperplane relative to $y \in E^n$* if the following conditions are satisfied: (i) $y \in \Omega$, (ii) $E^n - \Omega$ is not connected, and (iii) there exists a (true) $(n - 1)$ -hyperplane P in E^n through y such that for all $x \in \Omega$, the secant line joining x to y makes an angle of less than one degree with P . Given a homeomorphism $g: U \rightarrow E^n$, we will say that $F_{c,r,m}$ is of *spiral type relative to g* if the following condition holds: if σ is any arc joining a point of $S(c, r/2)$ to a point of $S(c, r)$, and such that σ lies in one component of $E^n - P^*$, where P^* is some $(n - 1)$ -hyperplane in E^n through c , and if Ω is any sufficiently planar topological $(n - 1)$ -hyperplane relative to $g(c)$, then $gF_{c,r,m}(\sigma) \cap \Omega \neq \phi$.

We now can construct, inductively, the required homeomorphisms H_i . The inductive description is most conveniently carried out by stages, i.e., setting $\sigma(k) = 1 + 2 + \cdots + k - 1 = k(k - 1)/2$, at stage k , the homeomorphisms $H_{\sigma(k)+1}, H_{\sigma(k)+2}, \cdots, H_{\sigma(k+1)}$ are constructed. To further orient our discussion, we remark that the point $H_{\sigma(k)}(x_k)$ is added to our discussion at stage k , and relative to the constants r_{j_s}, m_{j_s} chosen below, the subscript j refers to x_j , while the subscript s denotes stage $s, j \leq s$.

Stage 1. Select a positive constant r_{11} such that

$$r_{11} < \min \{1/2, 1/2 \min \{\tau(x) \mid x \in B(x_1, r_{11})\}, d(x_1, E^n - U)\},$$

and $S(x_1, r_{11}) \cap X = \phi$. Then choose the positive integer m_{11} so large that the homeomorphism $F_{x_1, r_{11}, m_{11}}$ is of spiral type relative to f . We set $H_1 = F_1 = F_{x_1, r_{11}, m_{11}} \zeta(m_{11}) = \zeta_1$, and $U_1 = B(x_1, r_{11})$.

Stage 2. Select a positive constant r_{12} such that

$$(10) \quad r_{12} < \min \{r_{11}/2, \zeta_1/2^2\},$$

$$(11) \quad S(H_1(x_1), r_{12}) \cap H_1(X) = \phi ,$$

$$(12) \quad H_1(x_2) \in \bar{B}(H_1(x_1), r_{12}) .$$

In each step, a condition such as (11) is crucial in the construction of the U_i satisfying (1), and can be achieved since X is countable. Then choose the positive integer m_{12} so large that $F_{H_1(x_1), r_{12}, m_{12}}$ is of spiral type relative to fH_1 . We set $F_2 = F_{H_1(x_1), r_{12}, m_{12}}$, $\zeta(m_{12}) = \zeta_2$, $U_2 = B(H_1(x_1), r_{12})$, and $H_2 = F_2F_1$. Now consider the point $H_2(x_2)$. Using (9), (12), and our choice of r_{11} , we have

$$H_2(x_2) = H_1(x_2) \in S(x_1, r_{11}) \cup S(H_1(x_1), r_{12}) .$$

We then have two cases to consider.

Case 1. $H_2(x_2) \in B(x_1, r_{11})$. Then select r_{22} such that

$$(13) \quad r_{22} < \zeta_2 \zeta_1 / 2^3 ,$$

$$(14) \quad S(H_2(x_2), r_{22}) \cap H_2(X) = \phi ,$$

$$(15) \quad \bar{B}(H_2(x_2), r_{22}) \cap \bar{B}(H_1(x_1), r_{12}) = \phi ,$$

$$(16) \quad \bar{B}(H_2(x_2), r_{22}) \subset B(x_1, r_{11}) .$$

Then choose m_{22} so large that $F_{H_2(x_2), r_{22}, m_{22}}$ is of spiral type relative to fH_2 . Set $F_3 = F_{H_2(x_2), r_{22}, m_{22}}$, $\zeta(m_{22}) = \zeta_3$, $U_3 = B(H_2(x_2), r_{22})$, and $H_3 = F_3F_2F_1$.

Case 2. $H_2(x_2) \in U - \bar{B}(x_1, r_{11})$. Then select r_{22} such that

$$r_{22} < \min \{ 1/2 \min \{ \tau(x) \mid x \in B(H_2(x_2), r_{22}) \}, d(H_2(x_2), E^n - U) \} ,$$

and, moreover, relations (13), (14), and (15) are satisfied, together with the following relation analogous to (16):

$$(17) \quad \bar{B}(H_2(x_2), r_{22}) \subset U - \bar{B}(x_1, r_{11}) .$$

Then let F_3 , ζ_3 , U_3 , and H_3 be determined as in Case 1. One verifies that F_i and U_i satisfy all the conditions of Theorem 1. It also is readily verified, in particular, that

$$(18) \quad H_3(X) \cap \{ S(x_1, r_{11}) \cup S(H_1(x_1), r_{12}) \cup S(H_2(x_2), r_{22}) \} = \phi .$$

Suppose, inductively, that stages 1 through $k-1$ have been constructed, i.e., that positive constants r_{js} , and positive integers m_{js} , $j = 1, 2, \dots, k-1$, $j \leq s \leq k-1$, have been chosen, together with homeomorphisms $H_0 = I, H_1, \dots, H_{\sigma(k)}$ of U on itself such that the following conditions are satisfied. First, for $1 \leq m \leq \sigma(k)$, $H_m = F_m F_{m-1} \dots F_1$, where $F_{\sigma(s)+j} = F_{H_{\sigma(s)+j-1}(x_j), r_{js}, m_{js}}$, and m_{js} is so large

that $F_{\sigma(s)+j}$ is of spiral type relative to $fH_{\sigma(s)+j-1}$. Before stating further conditions, we simplify our notation by setting $F_{j_s} = F_{\sigma(s)+j}$, $U_{j_s} = U_{\sigma(s)+j}$, $\zeta_{j_s} = \zeta_{\sigma(s)+j-1} = \zeta(m_{j_s})$, $H_{j_s} = H_{\sigma(s)+j-1}$, $B_{j_s} = B(H_{j_s}(x_j), r_{j_s})$, and $S_{j_s} = S(H_j(x_j), r_{j_s})$. Continuing, now, the enumeration of the conditions satisfied in stages 1 through $k - 1$, we have:

$$(19) \quad r_{j_s} < r_{j_{s-1}}/2 < \dots < r_{j_1}/2 ,$$

$$(20)$$

$$r_{j_s} < \min \{ \zeta_{j_s} \dots \zeta_{j_1}/2^{\sigma(s)+j}, d(H_{j_s}(x_j), E^n - U), 1/2 \min \{ \tau(x) \mid x \in B_{j_s} \} \} ,$$

$$(21) \quad S_{j_s} \cap H_{j_s}(X) = \phi ,$$

$$(22) \quad \bar{B}_{j_s} \cap \bar{B}_{l_s} = \phi \quad [j \neq l, s \text{ fixed}] ,$$

$$(23) \quad H_{j_s}(x_j) \in B_{lt} \Rightarrow \bar{B}_{j_s} \subset B_{lt} \quad [t < s] ,$$

$$(24) \quad H_{j_s}(x_j) \in U - \bar{B}_{lt} \Rightarrow \bar{B}_{j_s} \subset U - \bar{B}_{lt} \quad [t < s] .$$

It is readily verified, using (9), (21), and (22), that

$$(25) \quad \left\{ \bigcup_{t \leq s, t < j} S_{lt} \right\} \cap H_{j+1s}(X) = \phi ,$$

and that (23) and (24) cover the possible locations of $H_{j_s}(x_j)$. Setting $U_{j_s} = B_{j_s}$, one verifies, using (19)–(24), that F_m and U_m , $1 \leq m \leq \sigma(k)$, satisfy the conditions of Theorem 1, as well as the condition $\delta(U_m) < \min \{ \tau(x) \mid x \in U_m \}$. Clearly, we may choose positive constants $r_{j_k}, m_{j_k}, j = 1, \dots, k$, and define $U_{k1}, \dots, U_{kk}, F_{k1}, \dots, F_{kk}$ as above so that relations (19)–(24) remain valid for $j = 1, \dots, k, j \leq s \leq k$, and $H_m = F_m F_{m-1} \dots F_1, 1 \leq m \leq \sigma(k + 1)$, and, moreover, F_{j_s} is of spiral type relative to fH_{j_s} . This completes the induction, and we set $H = \lim_{i \rightarrow \infty} H_i$. Using Theorem 1, H is a homeomorphism of U on itself, and from (9) and (20), $d(H(x), x) < \tau(x)$, for all $x \in U$. It is readily seen (compare with § 8 of [1]) that X consists entirely of spiral points of fH . Since, by our choice of $\tau, d(f(x), fH(x)) < \varepsilon(x)$ for all $x \in U$, the proof of Theorem 2 is complete.

COROLLARY 1. *In Theorem 2, the homeomorphism $g = fH$ can be taken as $g = fK_t$, where $K_t, t \in [0, 1]$, is a continuous family of homeomorphisms of U on itself such that $K_0 = I$.*

Proof. In the proof and notation of Theorem 2, we replace $H_k = F_k \dots F_1$ by $(H_k)_t = (F_k)_t \dots (F_1)_t$, where if $F_k = F_{e,r,m}$, then $(F_k)_t$ is defined as follows. First, $(F_k)_t(x) = F_k(x)$ for $x \in U - B(e, r)$. Now for $x \in \bar{B}(e, r) - B(e, r/2)$, the formulas for the components of $(F_k)_t$ are obtained from the corresponding formulas (cf. (9)–(9)''') for the components of F_k by replacing $\alpha_m(x)$ by $t\alpha_m(x)$. Finally, we set

$$(F_k)_t(x) = \frac{2\|x - c\|}{r} \left[(F_k)_t \left(\frac{r(x - c)}{2\|x - c\|} + c \right) - c \right] + c$$

for $x \in \bar{B}(c, r/2) - 0$, and set $(F_k)_t(0) = 0$. These are overdefinitions, but are consistent, and define a homeomorphism of U on itself, for each $t \in [0, 1]$. Note that $(H_k)_0 = I$, and $(H_k)_1 = H_k$, for each $k \geq 1$. It is clear that $d((F_k)_t(x), (F_k)_t(y)) \geq \zeta(m)d(x, y)$, $[x, y \in U]$, where $\zeta(m)$ is the constant verifying the corresponding inequality for F_k . Hence, setting $K_t = \lim_{k \rightarrow \infty} (H_k)_t$, we see by Theorem 1 that K_t is a homeomorphism of U on itself, for each $t \in [0, 1]$. Note also that $K_0 = I$ and $K_1 = H$. To complete the proof, one verifies that K_t is a continuous family by noting that

$$d(K_t(x), H_k(x)) \leq \sum_{i=k}^{\infty} 1/2^i, [x \in U, t \in [0, 1], k \in \mathbb{Z}^+]$$

and

$$d((H_k)_s(x), (H_k)_t(y)) \leq d(x, y) + 1/2^{k-1}, [x, y \in U, s, t \in [0, 1], k \in \mathbb{Z}^+].$$

COROLLARY 2. *Let $f: M^n \rightarrow N^n$ be a homeomorphism of M^n into N^n , where M^n and N^n are nonbounded differentiable n -manifolds. Suppose $\varepsilon: M^n \rightarrow E^1$ is an arbitrary real-valued positive continuous function. Then there exists a continuous family $K_t, t \in [0, 1]$, of homeomorphisms of M^n on itself such that $K_0 = I$, and the homeomorphism $g = fK_1$ has a dense set (in M^n) of spiral points, and $d(f(x), g(x)) < \varepsilon(x)$, for all $x \in M^n$.*

Proof. With the aid of Corollary 1, a proof of Corollary 2 can be patterned after the proof of Theorem 1 of [1].

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Received December 28, 1966. This work was partially supported by the National Science Foundation under grant GP-6599.

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NAVAL ORDNANCE TEST STATION

Harry P. Allen, <i>Lie algebras of type D_4 over algebraic number fields</i>	1
Charles Ballantine, <i>Products of positive definite matrices. II</i>	7
David W. Boyd, <i>The spectral radius of averaging operators</i>	19
William Howard Caldwell, <i>Hypercyclic rings</i>	29
Francis William Carroll, <i>Some properties of sequences, with an application to noncontinuable power series</i>	45
David Fleming Dawson, <i>Matrix summability over certain classes of sequences ordered with respect to rate of convergence</i>	51
D. W. Dubois, <i>Second note on David Harrison's theory of preprimes</i>	57
Edgar Earle Enochs, <i>A note on quasi-Frobenius rings</i>	69
Ronald J. Ensey, <i>Isomorphism invariants for Abelian groups modulo bounded groups</i>	71
Ronald Owen Fulp, <i>Generalized semigroup kernels</i>	93
Bernard Robert Kripke and Richard Bruce Holmes, <i>Interposition and approximation</i>	103
Jack W. Macki and James Sai-Wing Wong, <i>Oscillation of solutions to second-order nonlinear differential equations</i>	111
Lothrop Mittenthal, <i>Operator valued analytic functions and generalizations of spectral theory</i>	119
T. S. Motzkin and J. L. Walsh, <i>A persistent local maximum of the pth power deviation on an interval, $p < 1$</i>	133
Jerome L. Paul, <i>Sequences of homeomorphisms which converge to homeomorphisms</i>	143
Maxwell Alexander Rosenlicht, <i>Liouville's theorem on functions with elementary integrals</i>	153
Joseph Goeffrey Rosenstein, <i>Initial segments of degrees</i>	163
H. Subramanian, <i>Ideal neighbourhoods in a ring</i>	173
Dalton Tarwater, <i>Galois cohomology of abelian groups</i>	177
James Patrick Williams, <i>Schwarz norms for operators</i>	181
Raymond Y. T. Wong, <i>A wild Cantor set in the Hilbert cube</i>	189