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**POWER SERIES RINGS OVER A KRULL DOMAIN**

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Let  $D$  be a Krull domain and let  $\{X_\lambda\}_{\lambda \in A}$  be a set of indeterminates over  $D$ . This paper shows that each of three "rings of formal power series in  $\{X_\lambda\}$  over  $D$ " are also Krull domains; also, some relations between the structure of the set of minimal prime ideals of  $D$  and the set of minimal prime ideals of these rings of formal power series are established.

In considering formal power series in the  $X_\lambda$ 's over  $D$ , there are three rings which arise in the literature and which are of importance. We denote these here by  $D[[\{x_\lambda\}]]_1$ ,  $D[[\{X_\lambda\}]]_2$ , and  $D[[\{X_\lambda\}]]_3$ .  $D[[\{X_\lambda\}]]_1$  arises in a way analogous to that of  $D[\{X_\lambda\}]$ —namely,  $D[[\{X_\lambda\}]]$  is defined to be  $\bigcup_{F \in \mathcal{F}} D[[F]]$ , where  $\mathcal{F}$  is the family of all finite nonempty subsets of  $A$ .  $D[[\{X_\lambda\}]]_2$  is defined to be

$$\left\{ \sum_{i=0}^{\infty} f_i \mid f_i \in D[\{X_\lambda\}], f_i = 0 \text{ or a form of degree } i \right\},$$

where equality, addition, and multiplication are defined on  $D[[\{x_\lambda\}]]_2$  in the obvious ways.  $D[[\{X_\lambda\}]]_2$  arises as the completion of  $D[\{X_\lambda\}]$  under the  $(\{X_\lambda\})$ -adic topology; the topology on  $D[[\{X_\lambda\}]]_2$  is induced by the decreasing sequence  $\{A_i\}_0^\infty$  of ideals, where  $A_i$  consists of those formal power series of order  $\geq i$ —that is, those of the form  $\sum_{j=i}^\infty f_j$ . If  $A$  is infinite,  $A_1$  properly contains the ideal of  $D[[\{X_\lambda\}]]_2$  generated by  $\{X_\lambda\}$ . Finally,  $D[[\{X_\lambda\}]]_3$  is the full ring of formal power series over  $D$ , and is defined as follows (cf. [1, p. 66]): Let  $N$  be the set of nonnegative integers, considered as an additive abelian semigroup, and let  $S$  be the weak direct sum of  $N$  with itself  $|A|$  times.  $S$  is an additive abelian semigroup with the property that for any  $s \in S$ , there are only finitely many pairs  $(t, u)$  of elements of  $S$  whose sum is  $s$ .  $D[[\{X_\lambda\}]]_3$  is defined to be the set of all functions  $f: S \rightarrow D$ , where  $(f + g)(s) = f(s) + g(s)$  and where  $(fg)(s) = \sum_{t+u=s} f(t)g(u)$  for any  $s \in S$ , the notation  $\sum_{t+u=s}$  indicating that the sum is taken over all ordered pairs  $(t, u)$  of elements of  $S$  with sum  $s$ . To within isomorphism we have  $D[[\{X_\lambda\}]]_1 \subseteq D[[\{X_\lambda\}]]_2 \subseteq D[[\{X_\lambda\}]]_3$ , and each of these containments is proper if and only if  $A$  is infinite. Our method of attack in showing that  $D[[\{X_\lambda\}]]_i$ ,  $i = 1, 2, 3$ , is a Krull domain if  $D$  is consists in showing that  $D[[\{X_\lambda\}]]_3$  is a Krull domain and that  $D[[\{X_\lambda\}]]_3 \cap K_i = D[[\{X_\lambda\}]]_i$  for  $i = 1, 2$ , where  $K_i$  denotes the quotient field of  $D[[\{X_\lambda\}]]_i$ .

1. The proof that  $D[[\{X_\lambda\}]]_3$  is a Krull domain. Using the

notation of the previous section, we introduce some terminology which will be helpful in showing that  $D[[\{X_\lambda\}]]_3$  is a Krull domain. We think of the elements of  $S$  as  $|A|$ -tuples  $\{n_\lambda\}_{\lambda \in A}$  which are finitely nonzero. For  $s = \{n_\lambda\} \in S$ , we define  $\pi(s)$  to be  $\sum_{\lambda \in A} n_\lambda$  and we denote by  $S_i$  the set of elements  $s$  of  $S$  such that  $\pi(s) = i$ ; clearly  $\pi$  is a homomorphism from  $S$  onto  $N$ . Given a well-ordering on the set  $A$ , we well-order the set  $S$  as follows: if  $s = \{m_\lambda\}$  and  $t = \{n_\lambda\}$  are distinct elements of  $S$ , then  $s < t$  if  $\pi(s) < \pi(t)$  or if  $\pi(s) = \pi(t)$  and  $m_\lambda < n_\lambda$  for the first  $\lambda$  in  $A$  such that  $m_\lambda$  and  $n_\lambda$  are unequal. It is clear that this ordering on  $S$  is compatible with the semigroup operation—that is,  $s_1 < s_2$  implies that  $s_1 + t < s_2 + t$  for any  $t$  in  $S$ . Also,  $S$  is cancellative and  $s_1 + t < s_2 + t$  implies that  $s_1 < s_2$ .

If  $f \in D[[\{X_\lambda\}]]_3 - \{0\}$ , we say that  $f$  is a *form of degree  $i$* , where  $i \in N$ , provided  $f$  vanishes on  $S - S_i$ ; the *order of  $f$* , denoted by  $\mathcal{O}(f)$ , is defined to be the smallest nonnegative integer  $t$  such that  $f$  does not vanish on  $S_t$ . If  $\mathcal{O}(f) = k$ , then the *initial form of  $f$*  is defined to be that element  $f_k$  of  $D[[\{X_\lambda\}]]_3$  which agrees with  $f$  on  $S_k$  and which vanishes on  $S - S_k$ .

**LEMMA 1.1.** *If  $f, g \in D[[\{X_\lambda\}]]_3 - \{0\}$ , then*

(1) *If  $f + g \neq 0$ ,  $\mathcal{O}(f + g) \geq \min\{\mathcal{O}(f), \mathcal{O}(g)\}$ .*

(2)  *$\mathcal{O}(fg) = \mathcal{O}(f) + \mathcal{O}(g)$ .*

(3) *If  $f$  and  $g$  are forms of degree  $m$  and  $n$ , respectively, then  $fg$  is a form of degree  $m + n$ .*

(4) *The initial form of  $fg$  is the product of the initial forms of  $f$  and of  $g$ .*

*Proof.* In a less general context, Lemma 1.1 is a well known result; we prove only (2) and (3) here.

(2): We let  $s$  be the smallest element of  $S$  on which  $f$  does not vanish and we let  $t$  be the corresponding element for  $g$ . By definition of  $\pi$  and  $\mathcal{O}$ ,  $\pi(s) = \mathcal{O}(f) = i$  and  $\pi(t) = \mathcal{O}(g) = j$ . To show that  $\mathcal{O}(fg) = i + j$ , we prove that  $(fg)(s + t) \neq 0$  and that  $(fg)(u) = 0$  for  $u < s + t$ . The second statement is clear, for if  $s' + t' = u$ , then either  $s' < s$  or  $t' < t$  so that  $f(s') = 0$  or  $g(t') = 0$  and  $f(s')g(t') = 0$  in either case. By similar reasoning, we see that  $(fg)(s + t) = f(s)g(t) \neq 0$ . Hence  $\mathcal{O}(fg) = i + j$ .

(3): By (2),  $\mathcal{O}(fg) = m + n$ . To see that  $fg$  is a form, we need only observe that  $fg$  vanishes on  $S_k$  for any  $k > m + n$ . Thus if  $w \in S_k$ , then  $(fg)(w) = \sum_{u+v=w} f(u)g(v)$  and for each such pair  $(u, v)$  either  $\pi(u) > m$  or  $\pi(v) > n$  so that  $f(u) = 0$  or  $g(v) = 0$  so that  $(fg)(w) = \sum_{u+v=w} f(u)g(v) = 0$ .

**LEMMA 1.2.** *Let  $K$  be a field and let  $\{D_\alpha\}$  be a family of sub-*

domains of  $K$  such that each  $D_\alpha$  is a Krull domain. Let  $D = \bigcap_\alpha D_\alpha$  and suppose that each nonzero element of  $D$  is a nonunit in only finitely many  $D_\alpha$ 's. Then  $D$  is a Krull domain.

*Proof.* For each  $\alpha$  we consider a defining family  $\{V_\beta^{(\alpha)}\}$  of rank one discrete valuation rings for  $D_\alpha$ . If  $L$  is the quotient field of  $D$  and  $\mathcal{S} = \{V_\beta^{(\alpha)} \cap L\}_{\alpha, \beta}$ ,  $\mathcal{S}$  is a family of discrete valuation rings of rank  $\leq 1$ , and the intersection of the members of the collections  $\mathcal{S}$  is  $D$ . If  $d$  is a nonzero element of  $D$ , then  $d$  is a nonunit in only finitely many  $D_\alpha$ 's, say  $D_{\alpha_1}, \dots, D_{\alpha_n}$ . Because  $D_{\alpha_i}$  is a Krull domain and  $\{V_\beta^{(\alpha_i)}\}$  is a defining family for  $D_{\alpha_i}$ ,  $d$  is a nonunit in only finitely many of the  $V_\beta^{(\alpha_i)}$ 's. Therefore  $D$  is a Krull domain and the family of essential valuations for  $D$  is a subfamily of  $\{V_\beta^{(\alpha)} \cap L\}_{\alpha, \beta}$  [6, p. 116].

We now give an outline of our proof that  $D[[\{X_\lambda\}]]_3$  is a Krull domain when  $D$  is a Krull domain. Let  $K$  be the quotient field of  $D$  and let  $\{V_\alpha\}$  be the family of essential valuation rings for  $D$  [7, p. 82]. By a result due to Cashwell and Everett [3] (see also [4]),  $J[[\{X_\lambda\}]]_3$  is a unique factorization domain (UFD), where  $J$  is an integral domain with identity, if and only if  $J[[Y_1, \dots, Y_n]]$  is a UFD for any positive integer  $n$ . If  $J$  is a principal ideal domain, then  $J[[Y_1, \dots, Y_n]]$  is a UFD for any  $n$  [2, pp. 42, 100]; in particular,  $K[[\{X_\lambda\}]]_3$  and  $V_\alpha[[\{x_\lambda\}]]_3$  are then UFD's for each  $\alpha$ . Consequently,  $(V_\alpha[[\{X_\lambda\}]]_{N_\alpha})$  is a UFD for any multiplicative system  $N_\alpha$  in  $V_\alpha[[\{X_\lambda\}]]_3$ . To show that  $D[[\{X_\lambda\}]]_3$  is a Krull domain, it will be sufficient, in view of Lemma 1.2, to show that by appropriate choices of the multiplicative systems  $N_\alpha$ , we can express  $D[[\{X_\lambda\}]]_3$  as

$$K[[\{X_\lambda\}]]_3 \cap \left( \bigcap_\alpha (V_\alpha[[\{X_\lambda\}]]_{N_\alpha}) \right),$$

where each nonzero element of  $D[[\{X_\lambda\}]]_3$  is a nonunit in only finitely many  $(V_\alpha[[\{X_\lambda\}]]_{N_\alpha})$ 's. We define  $N_\alpha$  as follows:

$N_\alpha = \{f \in V_\alpha[[\{X_\lambda\}]]_3 - \{0\} \mid \mathcal{O}(f) = i \text{ and there exists } s \in S_i \text{ such that } f(s) \text{ is a unit of } V_\alpha\}$ , and we prove

**PROPOSITION 1.3.**  $N_\alpha$  is a multiplicative system in  $V_\alpha[[\{X_\lambda\}]]_3$ .

$$(V_\alpha[[\{X_\lambda\}]]_{N_\alpha} \cap K[[\{X_\lambda\}]]_3 = V_\alpha[[\{X_\lambda\}]]_3,$$

so that

$$D[[\{X_\lambda\}]]_3 = K[[\{X_\lambda\}]]_3 \cap \left( \bigcap_\alpha (V_\alpha[[\{X_\lambda\}]]_{N_\alpha}) \right).$$

Each nonzero element of  $D[[\{X_\lambda\}]]_3$  is in all but a finite number of the  $N_\alpha$ 's.

Before giving the proof of Proposition 1.2, we recall a result concerning the content of the product of two polynomials. Let  $J$  be an integral domain with identity having quotient field  $F$  and for  $f \in F[\{X_i\}]$ , let  $A_f$  denote the fractional ideal of  $J$  generated by the set of coefficients of  $f$ . In order that  $A_{fg} = A_f A_g$  for each pair  $f, g$  of elements of  $F[\{X_i\}]$ , it is necessary and sufficient that  $J$  be a Prüfer domain<sup>1</sup> [5, Th. 1]. In particular  $A_{fg} = A_f A_g$  for each  $f, g \in F[\{X_i\}]$  if  $J$  is a valuation ring.

*Proof of Proposition 1.3.* To show that  $N_\alpha$  is a multiplicative system, let  $f, g \in N_\alpha$ . Then the initial forms  $f_i, g_j$  of  $f$  and  $g$  are in  $N_\alpha$ .  $f_i g_j$  is the initial form of  $fg$  and  $\mathcal{O}(fg) = i + j = \mathcal{O}(f) + \mathcal{O}(g)$ . Therefore we need only show that  $(fg)(s)$  is a unit of  $V_\alpha$  for some  $s \in S_{i+j}$ . The smallest element  $u$  of  $S$  for which  $f(u)$  is a unit in  $V_\alpha$  is an element of  $S_i$  and the smallest element  $v$  of  $S$  for which  $g(v)$  is a unit of  $V_\alpha$  is an element of  $S_j$ .  $u + v \in S_{i+j}$  and  $(fg)(u + v) = \sum_{u'+v'=u+v} f(u')g(v')$  is a unit of  $V_\alpha$ . For if  $u' + v' = u + v$  and if  $\{u', v'\} \neq \{u, v\}$ , then either  $u' < u$  or  $v' < v$  so that  $f(u')$  or  $g(v')$ , and hence  $f(u')g(v')$ , is a nonunit of  $V_\alpha$ . It follows that  $(fg)(u + v)$  is the unit  $f(u)g(v)$  plus a nonunit of  $V_\alpha$ . Therefore  $(fg)(u + v)$  is a unit of  $V_\alpha$ ,  $fg \in N_\alpha$ , and  $N_\alpha$  is a multiplicative system.

To prove that  $K[[\{x_i\}]]_3 \cap (V_\alpha[[\{x_i\}]]_3)_{N_\alpha} \subseteq V_\alpha[[\{X_i\}]]_3$ , (the opposite containment is clear), we must show that if  $f \in K[[\{X_i\}]]_3 - \{0\}$  and if there is an element  $g$  of  $N_\alpha$  such that  $fg \in V_\alpha[[\{X_i\}]]_3$ , then  $f \in V_\alpha[[\{X_i\}]]_3$ . By induction, it suffices to show that the initial form  $f_i$  of  $f$  is in  $V_\alpha[[\{X_i\}]]_3$ . If  $g_j$  is the initial form of  $g$ , then  $g_j \in N_\alpha$  and  $f_i g_j$ , the initial form of  $fg$ , is in  $V_\alpha[[\{X_i\}]]_3$ . We can therefore assume without loss of generality that  $f$  and  $g$  are forms of degree  $i$  and  $j$ , respectively. Let  $s \in S_i$ . We must show that  $f(s) \in V_\alpha$ . Let  $t$  be an element of  $S_j$  such that  $g(t)$  is a unit of  $V_\alpha$ . If  $s = \{m_\lambda\}$  and if  $t = \{n_\lambda\}$  there are only finitely many elements  $\tau$  of  $\Lambda$  such that  $m_\tau \neq 0$  or  $n_\tau \neq 0$ ; let  $\lambda_1, \lambda_2, \dots, \lambda_u$  be this finite set of elements of  $\Lambda$ . There are only finitely many elements  $\{k_\lambda\}$  of  $S_i$  such that  $k_z = 0$  for each  $z \notin \{\lambda_1, \dots, \lambda_u\}$ ; let these elements be  $s_1, s_2, \dots, s_p$ . Also, there are only finitely many elements  $\{k_\lambda\}$  of  $S_j$  such that  $k_z = 0$  for each  $z \notin \{\lambda_1, \dots, \lambda_u\}$ , and we let these elements be  $t_1, t_2, \dots, t_r$ . If  $f^*$  is the polynomial  $\sum_{q=1}^p f(s_q) X_{\lambda_1}^{n_{\lambda_1}^{(q)}} \dots X_{\lambda_u}^{n_{\lambda_u}^{(q)}}$ , where  $s_q = \{n_\lambda^{(q)}\}$  and if  $g^* = \sum_{q=1}^r g(t_q) X_{\lambda_1}^{m_{\lambda_1}^{(q)}} \dots X_{\lambda_u}^{m_{\lambda_u}^{(q)}}$ , where  $t_q = \{m_\lambda^{(q)}\}$ , then by definition of addition in  $S$ , it is true that  $(fg)(\{k_\lambda\})$  is equal to the coefficient of  $X_{\lambda_1}^{k_{\lambda_1}} \dots X_{\lambda_u}^{k_{\lambda_u}}$  in  $f^* g^*$  for any  $\{k_\lambda\}$  in  $S_{i+j}$  such that  $k_\lambda = 0$  for  $\lambda \notin \{\lambda_1, \dots, \lambda_u\}$ .

<sup>1</sup> A Prüfer domain is an integral domain with identity in which each nonzero finitely generated ideal is invertible.

Therefore,  $f^*g^* \in V_\alpha[X_{\lambda_1}, \dots, X_{\lambda_u}]$  since  $fg \in V_\alpha[[\{X_\lambda\}]]_3$ . Further,  $A_{g^*} = V_\alpha$  since  $t \in \{t_1, \dots, t_r\}$  and since  $g(t)$  is a unit of  $V_\alpha$ . Therefore  $A_{f^*}A_{g^*} = A_{f^*} = A_{f^*g^*} \subseteq V_\alpha$ . But  $f(s) \in A_{f^*}$  since  $s \in \{s_1, s_2, \dots, s_p\}$ . Hence  $f(s) \in V_\alpha$  and our proof is complete.

Finally, if  $h$  is a nonzero element of  $D[[\{X_\lambda\}]]_3$  of order  $i$ , then we choose  $s \in S_i$  such that  $h(s) \neq 0$ . Since  $\{V_\alpha\}$  is the family of essential valuation rings for the Krull domain  $D$ ,  $h(s)$  is a unit in all but a finite set  $\{V_{\alpha_1}, \dots, V_{\alpha_w}\}$  of the  $V_\alpha$ 's. Hence  $h$  is in each  $N_\alpha$  save  $N_{\alpha_1}, \dots, N_{\alpha_w}$ .

**THEOREM 1.4.** *If  $D$  is a Krull domain, then  $D[[\{X_\lambda\}]]_3$  is also a Krull domain.*

2. The proofs that  $D[[\{X_\lambda\}]]_1$  and  $D[[\{X_\lambda\}]]_2$  are Krull domains. In view of Theorem 1.4, in order to show that  $D$  Krull implies that  $D[[\{X_\lambda\}]]_i$ ,  $i = 1, 2$ , is Krull, it is sufficient to show that for any integral domain  $J$  with identity,  $J[[\{X_\lambda\}]]_3 \cap K_i = J[[\{X_\lambda\}]]_i$ , where  $K_i$  denotes the quotient field of  $J[[\{X_\lambda\}]]_i$ . Thus we need to show that if  $f \in J[[\{X_\lambda\}]]_3 - \{0\}$  and if  $g$  is a nonzero element of  $J[[\{X_\lambda\}]]_i - \{0\}$  such that  $fg \in J[[\{X_\lambda\}]]_i$ , then  $f \in J[[\{X_\lambda\}]]_i$ . We consider first the case when  $i = 2$ . By induction, it suffices to show that the initial form of  $f$  is in  $J[[\{X_\lambda\}]]_2$ , and since the product of the initial form of  $f$  and the initial form of  $g$  is the initial form of  $fg$  and is in  $J[[\{X_\lambda\}]]_2$ , we need consider only the case when  $f$  and  $g$  are forms of degrees  $i$  and  $j$ , respectively. Since  $fg$  and  $g$  are in  $J[[\{x_\lambda\}]]_2$ , there is a finite subset  $\{\lambda_1, \dots, \lambda_n\}$  of  $\Lambda$  such that  $g$  vanishes on each element  $\{n_\lambda\}$  of  $S_j$  for which  $n_\lambda \neq 0$  for some  $\lambda$  in  $\Lambda - \{\lambda_k\}_1^n$  and such that  $fg$  vanishes on each element  $\{m_\lambda\}$  of  $S_{i+j}$  for which  $m_\lambda \neq 0$  for some  $\lambda$  in  $\Lambda - \{\lambda_k\}_1^n$ . We observe that this implies that  $f$  vanishes on each element  $\{p_\lambda\}$  of  $S_i$  such that  $p_\lambda \neq 0$  for some  $\lambda \notin \{\lambda_1, \dots, \lambda_n\}$ , for if this were not the case, then there would be a smallest element  $p = \{p_\lambda\}$  of  $S_i$  with  $p_\mu \neq 0$  for some  $\mu \notin \{\lambda_1, \dots, \lambda_n\}$  for which  $f(p) \neq 0$ . Then if  $s = \{s_\lambda\}$  is the smallest element of  $S_j$  for which  $g(s) \neq 0$ , we observe that  $(fg)(p + s) = f(p)g(s) \neq 0$  and that  $p + s = \{p_\lambda + s_\lambda\}$ , where  $p_\mu + s_\mu \geq p_\mu > 0$ , contrary to the hypothesis on  $fg$ . We see that  $(fg)(p + s) = f(p)g(s)$  as follows: If  $p' + s' = p + s$  where  $p' \in S_i$  and  $s' \in S_j$ , then  $s' < s$  implies that  $g(s') = 0$  so that  $f(p')g(s') = 0$ . On the other hand, if  $s' > s$ , then  $p' < p$  so that  $f(p') = 0$  if  $p' = \{p'_\lambda\}$  and  $p'_\lambda \neq 0$ , while  $g(s') = 0$  if  $p'_\mu = 0$  since the  $\mu$ -th coordinate of  $s'$  is then nonzero. Consequently,  $(fg)(p + s) = f(p)g(s)$ , and the contradiction which this equality implies shows that it is indeed the case that  $f(\{p_\lambda\}) = 0$  for each  $\{p_\lambda\}$  in  $S_i$  such that  $p_\lambda \neq 0$  for some  $\lambda \notin \{\lambda_1, \dots, \lambda_n\}$ . Hence  $f \in J[[\{X_\lambda\}]]_2$  as we wished to show.

Our proof for  $J[[\{X_\lambda\}]]_2$  shows that if the set  $\{\lambda_1, \dots, \lambda_n\}$  does

not depend on  $i$ , as is the case if  $g$  and  $fg$  are in  $J[[\{X_\lambda\}]]_1$ , then each form  $f_i$  associated with  $f$  (that is,  $f \cdot \chi_i$ , where  $\chi_i$  is the characteristic function of  $S_i$ ) will also have the property that it vanishes on each element  $\{s_\lambda\}$  of  $S_i$  such that  $s_\lambda \neq 0$  for some  $\lambda \notin \{\lambda_1, \dots, \lambda_n\}$ . Consequently,  $f \in J[[\{X_\lambda\}]]_1$ . We have proved

**THEOREM 2.1.** *If  $D$  is a Krull domain, then  $D[[\{X_\lambda\}]]_2$  and  $D[[\{X_\lambda\}]]_1$  are also Krull domains.*

**3. Minimal primes of  $D[[\{X_\lambda\}]]_3$ .** Our proofs of Lemma 1.2 and Proposition 1.3 show the following, in case  $D$  is a Krull domain with quotient field  $K$ . If  $L$  is the quotient field of  $D[[\{X_\lambda\}]]_3$ , then the set of essential valuation rings for  $D[[\{X_\lambda\}]]_3$  is a subset of  $\{W_\sigma \cap L\} \cup \{W_\beta^{(\alpha)} \cap L\}$ , where  $\{W_\sigma\}$  is the family of essential valuation rings for  $K[[\{X_\lambda\}]]_3$  and where  $\{W_\beta^{(\alpha)}\}$  is the family of essential valuation rings for  $(V_\alpha[[\{X_\lambda\}]]_3)_{N_\alpha}$ ;  $\{V_\alpha\}$  the family of essential valuation rings for  $D$ . Let  $M_\sigma$  be the center of  $W_\sigma \cap L$  on  $D[[\{X_\lambda\}]]_3$  and let  $M_\beta^{(\alpha)}$  be the center of  $W_\beta^{(\alpha)} \cap L$  on  $D[[\{X_\lambda\}]]_3$ . Since  $K \subset W_\sigma$ ,  $M_\sigma \cap K = (0)$ ; in particular,  $M_\sigma \cap D = (0)$ . Further,  $V_\alpha$  is clearly contained in  $W_\beta^{(\alpha)} \cap L$  so that  $W_\beta^{(\alpha)} \cap L = V_\alpha$  or  $W_\beta^{(\alpha)} \cap L = K$ . In the first case  $M_\beta^{(\alpha)} \cap D = P_\alpha$  where  $V_\alpha = D_{P_\alpha}$ , and in the second  $M_\beta^{(\alpha)} \cap D = (0)$ . Since  $D[[\{X_\lambda\}]]_3$  is a Krull domain, the set of minimal primes of  $D[[\{X_\lambda\}]]_3$  is a subset of  $\{M_\sigma\} \cup \{M_\beta^{(\alpha)}\}$ . Hence we have proved

**LEMMA 3.1.** *Each minimal prime of  $D[[\{X_\lambda\}]]_3$  meets  $D$  either in zero or in minimal prime of  $D$ .*

Our main purpose in this section is to prove:

**THEOREM 3.2.** *If  $P_\alpha$  is a minimal prime of  $D$ , there is a unique minimal prime of  $D[[\{X_\lambda\}]]_3$  which meets  $D$  in  $P_\alpha$ .*

Our proof of Theorem 3.2 proceeds as follows. Let  $v_\alpha$  be a valuation associated with the valuation ring  $D_{P_\alpha}$ . We observe that the function  $v_\alpha^*$  defined on  $D[[\{X_\lambda\}]]_3$  by  $v_\alpha^*(f) = \min \{v_\alpha(f(s)) \mid s \in S\}$  induces a valuation on  $L$ , the quotient field of  $D[[\{X_\lambda\}]]_3$ . To prove this, let  $f, g \in D[[\{X_\lambda\}]]_3$  and suppose that  $v_\alpha((f+g)(t)) = v_\alpha^*(f+g)$ . Since  $v_\alpha(f(t) + g(t)) \geq \min \{v_\alpha(f(t)), v_\alpha(g(t))\} \geq \min \{v_\alpha^*(f), v_\alpha^*(g)\}$ , it follows that  $v_\alpha^*(f+g) \geq \min \{v_\alpha^*(f), v_\alpha^*(g)\}$ . Also, if  $s$  is the smallest element of  $S$  such that  $v_\alpha(f(s)) = v_\alpha^*(f)$  and if  $u$  is the smallest element of  $S$  such that  $v_\alpha(g(u)) = v_\alpha^*(g)$ , then it is straightforward to show that

$$\begin{aligned} v_\alpha((fg)(s+u)) &= v_\alpha(f(s)) + v_\alpha(g(u)) = v_\alpha^*(f) + v_\alpha^*(g) \\ &= \min \{v_\alpha((fg)(t)) \mid t \in S\} = v_\alpha^*(fg). \end{aligned}$$

We denote the extension of  $v_\alpha^*$  to  $L$  by  $v_\alpha^*$  also; it is clear that  $v_\alpha$  and  $v_\alpha^*$  have the same value group so that  $v_\alpha^*$  is rank one discrete and is an extension of  $v_\alpha$  to  $L$ . The center of  $v_\alpha^*$  on  $D[[\{X_\lambda\}]]_3$  is the prime ideal  $Q_\alpha = \{f \mid f(s) \in P_\alpha \text{ for each } s \in S\}$ ; we next prove that  $(D[[\{X_\lambda\}]]_3)_{Q_\alpha}$  is the valuation ring of  $v_\alpha^*$ . One containment is clear. To prove the reverse containment, we show that if  $f, g \in D[[\{X_\lambda\}]]_3$  and if  $v_\alpha^*(f) \geq v_\alpha^*(g)$ , then for some  $\xi$  in  $K$ ,  $f/g = \xi f/\xi g$  where  $\xi f \in D[[\{X_\lambda\}]]_3$  and  $\xi g \in D[[\{X_\lambda\}]]_3 - Q_\alpha$ . This is immediate from the approximation theorem for Krull domains [2, P. 12], which shows that there is an element  $\xi$  of  $K$  such that  $v_\alpha(\xi) = -v_\alpha^*(g)$  and such that  $v_\beta(\xi) \geq 0$  for each essential valuation  $v_\beta$  of  $D$  distinct from  $v_\alpha$ . Hence  $(D[[\{X_\lambda\}]]_3)_{Q_\alpha}$  is the valuation ring of  $v_\alpha^*$ . Before proving Theorem 3.2, we need to make one final observation: If  $P_\alpha$  is finitely generated—say  $P_\alpha = (p_1, \dots, p_n)$ —then  $Q_\alpha$  is the extension of  $P_\alpha$  to  $D[[\{X_\lambda\}]]_3$ . For if  $f \in Q_\alpha$ , then  $f(s)$  can be written in the form  $\sum_{i=1}^n a_i^{(s)} p_i$  for some  $a_1^{(s)}, \dots, a_n^{(s)} \in D$ . Hence if  $f_i$  is the element of  $D[[\{X_\lambda\}]]_3$  such that  $f_i(s) = a_i^{(s)}$  for each  $s$  in  $S$ , then  $f = \sum_{i=1}^n f_i p_i$  and  $f$  is in the extension of  $P$  to  $D[[\{X_\lambda\}]]_3$ .

*Proof of Theorem 3.2.* That  $Q_\alpha$  is a minimal prime of  $D[[\{X_\lambda\}]]_3$  lying over  $P_\alpha$  in  $D$  is clear. If  $M$  is any minimal prime of  $D[[\{X_\lambda\}]]_3$  lying over  $P_\alpha$ , then our previous observations show that  $M$  must be of the form  $M_\beta^{(\alpha)}$ , since only the  $V_\beta^{(\alpha)}$ 's meet  $K$  in  $V_\alpha$ . Hence  $V_\beta^{(\alpha)} \cong (D_{P_\alpha}[[\{X_\lambda\}]]_3)_{N_\alpha}$  and  $MV_\beta^{(\alpha)}$ , the maximal ideal of  $V_\beta^{(\alpha)}$ , contains  $P_\alpha(D_{P_\alpha}[[\{X_\lambda\}]]_3)_{N_\alpha}$ . Now  $P_\alpha D_{P_\alpha}$  is principal so that  $Q_\alpha(D_{P_\alpha}[[\{X_\lambda\}]]_3)_{N_\alpha} = P_\alpha(D_{P_\alpha}[[\{X_\lambda\}]]_3)_{N_\alpha}$ . Consequently

$$Q_\alpha \subseteq Q_\alpha(D_{P_\alpha}[[\{X_\lambda\}]]_3)_{N_\alpha} \cap D[[\{X_\lambda\}]]_3 \subseteq MV_\beta^{(\alpha)} \cap D[[\{X_\lambda\}]]_3 = M.$$

But since  $M$  is a minimal prime of  $D[[\{X_\lambda\}]]_3$ , this implies that  $M = Q_\alpha$  and our proof is complete.

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