Pacific Journal of Mathematics

A NONIMBEDDING THEOREM OF ASSOCIATIVE ALGEBRAS

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Vol. 30, No. 2 October 1969

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Let A and B be associative algebras and define the Frattini subalgebra of A, $\phi(A)$, to be the intersection of all maximal subalgebras of A if maximal subalgebras of A exist and as A otherwise. Conditions on B will be found such that B cannot be an ideal of A contained in $\phi(A)$.

Hobby in [2] has shown that a nonabelian group G cannot be the Frattini subgroup of any p-group if the center of G is cyclic. Chao in [1] has shown that a nonabelian Lie algebra L can not be the Frattini subalgebra of any nilpotent Lie algebra if the center of L is one dimensional. In this note, we find a similar result in the theory of associative algebras. However, in this case, it is not necessary to place any restrictions on the containing algebra.

Let A be an associative algebra over a field F and let B be an ideal of A. If $x \in A$, then x induces an endomorphism of the additive group of B by $L_x(b) = xb$ for all $b \in B$. Let E(B, A) be the collection of all endomorphisms of this type. Then E(B,A) is a subspace of the vector space of all linear transformations from B into B and is an associative algebra under the compositions $L_x + L_y = L_{x+y}$, $\alpha L_x =$ $L_{\alpha x}$ and $L_{x}L_{y}=L_{xy}$ for all $x,y\in A$ and all $\alpha\in F$. Clearly E(B,B) is an ideal of E(B, A). If C is an ideal of A contained in B, then let $E(B, A, C) = \{E \in E(B, A); E(c) = 0 \text{ for all } c \in C\}.$ Then E(B, A, C) is an ideal of E(B, A) and E(B, A)/E(B, A, C) is isomorphic to E(C, A). Note that the mapping from A onto E(B, A) which assigns to each $a \in A$ the element L_a is an algebra homomorphism. We define the right annihilating series of B inductively. Let $r_1(B) = \{c \in B; bc = 0\}$ for all $b \in B$ and let $r_j(B)$ be the ideal of B such that $r_j(B)/r_{j-1}(B)$ $r_i(B/r_{i-1}(B))$ for j>1. Since B is an ideal in A, $r_i(B)$ is an ideal in A for all i.

The following lemma is immediate.

LEMMA. If A and A' are associative algebras and π is a homomorphism from A onto A', then $\pi(\phi(A)) \subseteq \phi(\pi(A))$. Furthermore, if the kernel of π is contained in $\phi(A)$, then $\pi(\phi(A)) = \phi(\pi(A))$.

Theorem. Let B be an associative algebra such that $\dim r_1(B) = 1$ and $\dim r_2(B) = k$ where $1 < k < \infty$. Then B cannot be an ideal contained in the Frattini subalgebra of any associative algebra.

Proof. Suppose that to the contrary B is an ideal contained in the Frattini subalgebra of the associative algebra A. Then

$$E(B, B) \subseteq \phi(E(B, A))$$
.

For if T is the mapping from A onto E(B,A) defined by $T(a)=L_a$ for all $a\in A$, then, by the lemma,

$$E(B, B) = T(B) \subseteq T(\phi(A)) \subseteq \phi(T(A)) = \phi(E(B, A))$$
.

Let z_1, \dots, z_k be a basis for $r_2(B)$ such that z_k is a basis $r_1(B)$. For notational convenience, let $r_i = r_i(B)$ for all i. Let π be the natural homomorphism from E(B, A) onto $E(r_2, A)$. Since

$$E(B, B) + E(B, A, r_2)/E(B, A, r_2) \simeq E(B, B)/E(B, A, r_2) \cap E(B, B)$$

= $E(B, B)/E(B, B, r_2) \simeq E(r_2, B)$

it follows that

$$E(r_2, B) \simeq \pi(E(B, B)) \subseteq \pi(\phi(E(B, A))) \subseteq \phi(E(r_2, A))$$
.

We now show that $E(r_2, B) \nsubseteq \phi(E(r_2, A))$ by showing that $E(r_2, B)$ is complemented in $E(r_2, A)$. For $i = 1, \dots, k - 1$, define linear transformations e_i from r_2 onto r_1 by

$$e_i(z_j) = egin{cases} \delta_{ij} z_k & ext{for} & j=1,\,\cdots,\,k-1 \ 0 & ext{for} & j=k \end{cases}$$

where δ_{ij} is the Kronecker delta. Let $S=((e_1,\cdots,e_{k-1}))$. We claim that $S=E(r_2,B)$. Since $r_1=((z_k))$ and $B\cdot r_2\subseteq r_1, E(r_2,B)\subseteq S$. To show that $S=E(r_2,B)$, we shall show that $\dim E(r_2,B)=k-1=\dim S$. For each $x\in B$, L_x induces a linear transformation from r_2 into $r_1\simeq F$, where F is the ground field. Therefore, we may consider each $L_x, x\in B$ as a linear functional on r_2 . That is, $E(r_2,B)\subseteq (r_2)^*$ where $(r_2)^*$ is the dual space of r_2 . Consequently, $\dim E(r_2,B)=\dim r_2-\dim r_2^B$ where $r_2^B=\{z\in r_2; L_x(z)=0 \text{ for all } x\in B\}$. Clearly $r_2^B=r_1$. Then, since $\dim r_2=k$ and $\dim r_1=1$, $\dim E(r_2,B)=k-1$ and $S=E(r_2,B)$.

We now show that S is complemented in $E(r_2, A)$. Let

$$M = \{E \in E(r_2, A); E(z_i) = \sum_{j=1}^{k-1} \lambda_{ij} z_j, \lambda_{ij} \in F, i = 1, \dots, k-1\}$$

and $E(z_k)=\lambda_k z_k,\, \lambda_k\in F\}$. M is clearly a subalgebra of $E(r_2,A)$ and $M\cap S=0$. We claim that $M+S=E(r_2,A)$. Let $E\in E(r_2,A)$. Then $E(z_i)=\sum_{j=1}^{k-1}\lambda_{ij}z_j+\lambda_{ik}z_k$ for $i=1,\cdots,k-1$ and $E(z_k)=\lambda_k z_k$. However $E=E-\sum_{i=1}^{k-1}\lambda_{ik}e_i+\sum_{i=1}^{k-1}\lambda_{ik}e_i$ where $E-\sum_{i=1}^{k-1}\lambda_{ik}e_i\in M$ and $\sum_{i=1}^{k-1}\lambda_{ik}e_i\in S$. Therefore $M+S=E(r_2,A)$. We claim that $M\neq 0$. If M=0, then $E(r_2,A)=E(r_2,B)$ which contradicts

$$E(r_2, B) \subseteq \phi(E(r_2, A)) \subset E(r_2 A)$$
.

Consequently, S is complemented in $E(r_2, A)$, contradicting $S \subseteq \phi(E(r_2, A))$. This contradiction establishes the result.

COROLLARY. Let B be a finite dimensional nontrivial nilpotent associative algebra with dim $r_1(B) = 1$. Then B cannot be an ideal contained in the Frattini subalgebra of any associative algebra.

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Received January 23, 1969. This paper is part of the author's doctoral dissertation at the University of Pittsburgh.

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Subscriptions, orders for back numbers, and changes of address should be sent to Pacific Journal of Mathematics, 103 Highland Boulevard, Berkeley, California, 94708.

PUBLISHED BY PACIFIC JOURNAL OF MATHEMATICS, A NON-PROFIT CORPORATION
Printed at Kokusai Bunken Insatsusha (International Academic Printing Co., Ltd.), 7-17,
Fujimi 2-chome, Chiyoda-ku, Tokyo, Japan.

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