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# **COVERINGS OF MAPPING SPACES**

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# COVERINGS OF MAPPING SPACES

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The purpose of this paper is to give conditions on a pair of topological spaces (X,B) such that any covering  $\rho\colon E\to B$  induces a covering map

$$\bar{\rho} \colon E^X \to \bar{\rho}(E^X) \subset B^X$$

where  $\overline{\rho}(f) = \rho^{\circ} f$  and the mapping spaces have the compactopen topology.

This is given in Theorem 1.1. In the classical theory of (connected) coverings over a space B which is connected, locally pathwise connected and semi-locally 1-connected, it is known that to each subgroup  $H \subset \pi_1(B, b_0)$  there corresponds a covering projection  $\rho \colon E \to B$  for which

$$\rho_{\sharp}(\pi_{\scriptscriptstyle 1}(E,\,e_{\scriptscriptstyle 0}))=H$$

for some  $e_0 \in \rho^{-1}(b_0)$ . Section 2 gives a characterization of those subgroups  $H \subset \pi_1(B^X, v)$  which correspond to a mapping covering  $\rho \colon E^X \to B^X$  for some covering  $\rho \colon E \to B$ . Section 3 gives partial answers to several questions about mapping coverings, such as when mapping coverings are regular or universal.

1. Mapping coverings. Given a topological space X and a map  $\rho: E \to B$ , then  $\bar{\rho}: E^x \to B^x$ ,  $\bar{\rho}(f) = \rho \circ f$ , is continuous if the function spaces of continuous maps  $E^x$  and  $B^x$  are each given the compact-open topology. In this section we prove

THEOREM 1.1. Let  $\rho: E \to B$  be a covering projection for which E and B are ANR's, and let X be a compact Hausdorff space. Then  $\bar{\rho}: E^x \to B^x$  is a covering projection of  $E^x$  onto  $\bar{\rho}(E^x) \subset B^x$ .

Actually E is automatically an ANR if B is (see § 3), so the hypothesis of (1.1) is just a condition on X and B. We begin the proof of (1.1) by considering two lemmas, the first of which is a result in Spanier [6; 2.5.10].

LEMMA 1.2. Every Hurewicz fibration with unique path lifting whose base space is locally path connected and semilocally 1-connected and whose total space is locally path connected is a covering projection onto its image.

Since we eventually want to apply this result to the map  $\bar{\rho}$ :  $E^x \rightarrow$ 

 $B^x$  we need information about the local structure of the function spaces  $E^x$  and  $B^x$ . If X is a compact metrizable space and Y is an ANR, then the function space  $Y^x$  is an ANR ([4; p. 186]) and consequently is locally contractible ([4; p. 96]). We now give a direct proof of this local contractibility of  $Y^x$  which does not require the metrizability restriction on X.

LEMMA 1.3. If X is a compact Hausdorff space and Y is an ANR, then the function space  $Y^x$  of continuous maps is locally contractible in the compact-open topology.

*Proof.* Let the metrizable space Y be considered as a closed subset of a convex set Z in a locally convex topological vector space L ([4; p. 81]). Since Y is an ANR there exists an open neighborhood W of Y in Z together with a retraction  $r: W \to Y$ , i.e.,  $r|_{X} = 1_{Y}$ .

Given a map  $f \in Y^x$  and a neighborhood P of f, we may assume  $P = \bigcap_{i=1}^n K(C_i, U_i)$  where  $K(C_i, U_i) = \{g \in Y^x \colon g(C_i) \subset U_i\}$  for each member of the collection  $\{C_i\}$  of compact subspaces of X and corresponding member of the collection  $\{U_i\}$  of open subsets of Y. Since W is an open subset of a convex set Z in a locally convex topological vector space L, each open covering  $\alpha_i = \{r^{-1}(U_i), r^{-1}(Y - f(C_i))\}$  of W admits an open refinement  $\beta_i$  consisting of convex sets. For each  $x \in X$  and index i, let  $V_x$ , be a member of the covering  $\beta_i$  which contains f(x). Form the convex set  $V_x = \bigcap_{i=1}^n V_{x,i}$  for each  $x \in X$  and choose by the regularity of X a closed neighborhood  $A_x \subset f^{-1}(V_x)$  of x. By the compactness of X, select points  $x_1, \dots, x_m$  of X so that  $\{A_j = A_{x_j}: j = 1, \dots, m\}$  is a collection of closed sets which cover X; then let  $V_j = V_{x_j}$  an  $V_{j,i} = V_{x_j,i}$ . Note that

$$(1.4) \hspace{1cm} C_i \cap A_j \neq \varnothing \hspace{1cm} \text{implies} \hspace{1cm} V_j \cap Y \subset U_i \;.$$

This follows from the facts that  $f(A_i) \subset V_i \cap Y$  and that  $V_i$  is contained in  $V_{i,i}$ , a member of the covering  $\beta_i$  which refines  $\alpha_i$ .

We define an open neighborhood of

$$f \in Y^{\scriptscriptstyle X}$$
 by  $K = igcap_{j=1}^m K(A_j, \ V_j \cap \ Y)$  .

Our first claim for K is that it lies in P, i.e., that for  $g \in K$ ,  $g(C_i) \subset U_i (i = 1, \dots, n)$ . Since  $\{A_j\}_{j=1}^m$  is a cover of X, we need merely to show that  $g(C_i \cap A_j) \subset U_i$  for all i, j. If  $C_i \cap A_j = \emptyset$ , the result holds trivially; if  $C_i \cap A_j \neq \emptyset$ , it follows from the relations

$$g(C_i\cap A_j)\subset g(A_j)\subset V_j\cap Y\subset U_i$$
 ,

the last being due to (1.4).

Our second claim on K is that it is contractible rel f in P. Because Y is contained in Z, a convex subset of a topological vector space, we can define a continuous function  $H: Y^x \times I \times X \to Z$  by H(g,t,x)=tf(x)+(1-t)g(x). Since on the member  $A_j$  of the covering  $\{A_j\}_{j=1}^m$  both f and  $g \in K$  take values in the convex subset  $V_j \subset W$ , it follows that  $H(K \times I \times X) \subset W$  and therefore the composition  $r^\circ H$ :  $K \times I \times X \to W \to Y$  is well defined. The associated map  $h: K \times I \to Y^x$  given by h(g,t)(x)=r(H(g,t,x)) takes values in  $P \subset Y^x$  since  $r(H(K \times I \times (C_i \cap A_j))) \subset r(H(K \times I \times A_j)) \subset r(V_j)$  and the latter is contained in  $r(r^{-1}(U_i))=U_i$  when  $C_i \cap A_j \neq \emptyset$ . Thus  $h: K \times I \to P$  is a homotopy rel f from the inclusion  $K \subset P$  to the constant map  $K \to f \in P$ . This shows that  $Y^x$  is locally contractible.

Proof of Theorem 1.1. We first show that if  $\rho: E \to B$  is a covering projection and X is a compact Hausdorff space, then  $\bar{\rho}: E^X \to B^X$  (and hence  $\bar{\rho}: E^X \to \bar{\rho}(E^X)$ ) is a Hurewicz fibration with unique path lifting. For a homotopy  $h_t: Z \to B^X$  of a map  $h_0: Z \to B^X$  which lifts to a map  $g_0: Z \to E^X$ , the associated map  $h'_t: Z \times X \to B$  is a homotopy of the associate  $h'_0: Z \times X \to B$  which lifts to  $g'_0: Z \times X \to E$ . Since  $\rho: E \to B$  is a Hurewicz fibration, the homotopy  $h'_t$  lifts to a homotopy  $g'_t: Z \times X \to E$  of  $g'_0$ , and therefore the associate  $g_t: Z \to E^X$  is a homotopy of  $g_0$  which is a lifting of  $h_t$ . This shows that  $\bar{\rho}: E^X \to B^X$  is a Hurewicz fibration.

If  $\omega, \gamma \colon I \to E^X$  are paths in  $E^X$  which cover the same path  $\alpha \colon I \to B^X$  and  $\omega(0) = \gamma(0)$ , then their associates  $\omega', \gamma' \colon I \times X \to E$  agree on the subspace  $0 \times X$  of  $I \times X$  and they are liftings of the associate  $\alpha' \colon I \times X \to B$ . Since a covering map has the unique lifting property for connected spaces, the fact that  $0 \times X$  meets each component of  $I \times X$  implies that  $\omega' = \gamma'$  and hence  $\omega = \gamma$ . This shows that  $\bar{\rho} \colon E^X \to B^X$  has unique path lifting.

In view of Lemma 1.2 the proof that  $\bar{\rho}\colon E^x \to \bar{\rho}(E^x)$  is a covering projection is complete once it is shown that  $E^x$  and  $\bar{\rho}(E^x)$  are locally path connected and  $\bar{\rho}(E^x)$  is semilocally 1-connected. Since  $E^x$  is locally contractible by (1.3) the condition on  $E^x$  is trivial; since  $B^x$  is also locally contractible the conditions on  $\bar{\rho}(E^x) \subset B^x$  follows from the fact that the image of a Hurewicz fibration is the union of path components of the base space.

There are two convenient corollaries of Theorem 1.1. In the first, the notation  $(Y^x)_f$  is used for the path component of the function space  $Y^x$  containing  $f: X \to Y$ .

COROLLARY 1.5. If, in addition to the hypotheses of (1.1),  $v': X \rightarrow E$  is a lifting of  $v: X \rightarrow B$ , then  $\bar{\rho}: (E^X)_{v'} \rightarrow (B^X)_v$  is a covering

projection.

COROLLARY 1.6. If, in addition to the hypotheses of (1.1), X is locally path connected and  $\operatorname{Hom}(\pi_1(X,x_0),\pi_1(B,b_0))=0$  for every  $x_0\in X$ ,  $b_0\in B$ , then  $\bar{\rho}\colon E^{\times}\to B^{\times}$  is a covering projection.

Corollary 1.5 is immediate. In (1.6) we are asserting that the additional hypotheses imply the surjectivity of  $\bar{\rho} \colon E^x \to B^x$ . Since  $\rho \colon E \to B$  is a covering projection a necessary and sufficient condition that a map  $f \colon (Y, y_0) \to (B, b_0)$  with connected locally path connected domain have a lifting  $(Y, y_0) \to (E, e_0)$  is that in  $\pi_1(B, b_0)$ ,  $f_{\sharp}\pi_1(Y, y_0) \subset \rho_{\sharp}\pi_1(E, e_0)$ . Thus the hypothesis Hom  $(\pi_1(X, x_0), \pi_1(B, b_0)) = 0$ , for every  $x_0 \in X$ ,  $b_0 \in B$ , implies that a map  $f \colon X \to B$  has a lifting on each (path) component of X. Because the components of a locally path connected space are open and closed, liftings on the components of X determine a lifting on all of X. Thus  $\bar{\rho}$  is surjective.

2. Subgroups of  $\pi_1(B^x, v)$  realizable by mapping coverings. In this section X will always represent a connected finite CW complex of  $\dim \leq n$ , B a path connected simple ANR, and  $v: X \to B$  a selected map. For convenience in stating the main theorem of this section, we define  $K_B = \ker \{r_{\varepsilon} : \pi_1(B^x, v) \to \pi_1(B^{x^*}, r(v))\}$ , where  $r: B^x \to B^{x_0}$  is the map induced by restriction to the 0-skeleton  $X^0$  of X, and we define  $e_{x_0} : B^x \to B$  to be the evaluation map  $e_{x_0}(f) = f(x_0)$  at  $x_0 \in X^0$ .

If  $\rho: E \to B$  is a covering projection, it follows that E is an ANR (see § 3) so that by (1.5)  $\bar{\rho}: (E^X)_{v'} \to (B^X)_v$  is a covering projection for each lifting  $v': X \to E$  of  $v: X \to B$ . We say a subgroup  $G \subset \pi_1(B^X, v)$  can be realized by a mapping covering if there exists a covering projection  $\rho: E \to B$  with fundamental group  $(e_{x_0})_{\sharp}(G)$  (that is,  $\rho_{\sharp}\pi_1(E, e_0) = (e_{x_0})_{\sharp}(G)$ ) and a lifting  $v': X \to E$  of  $v: X \to B$  such that the covering projection  $\bar{\rho}: (E^X)_{v'} \to (B^X)_v$  has fundamental group G (that is,  $\bar{\rho}_{\sharp}\pi_1(E^X, v') = G \subset \pi_1(B^X, v)$ ). When  $v: X \to B$  is homotopic to the constant map, it follows from [2; 6.1] that the condition on the fundamental group of  $\bar{\rho}$  is a consequence of that on the fundamental group of  $\bar{\rho}$ .

THEOREM 2.1. A subgroup  $G \subset \pi_1(B^x, v)$  can be realized by a mapping covering if and only if  $G \supset K_B$  and  $e_{x_0,\sharp}(G) \supset v_{\sharp}(\pi_1(X, x_0))$ .

COROLLARY 2.2. When X is simply connected, a subgroup  $G \subset \pi_1(B^X, v)$  can be realized by a mapping covering if and only if it contains  $K_B$ .

COROLLARY 2.3. Let  $\pi_i(B) = 0$  for  $1 < i \le n$ . Then a subgroup  $G \subset \pi_i(B^x, v)$  can be realized by a mapping covering if and only if  $G \supset K_B = H^n(X; \pi_{n+1}(B))$  and  $(e_{x_0})_{\sharp}(G) \supset v_{\sharp}(\pi_i(X, x_0))$ .

EXAMPLE. Let  $X = S^2$ ,  $E = S^3$ ,  $B = P^3$ , the 3-dimensional real projective space.  $P^3$  is a topological group  $(SO(3)) \Rightarrow P^3$  is simple. Let  $\rho: S^3 \to P^3$  be the antipodal identification map. The hypothesis of 2.2 and 2.3 are satisfied for n = 2. Thus the only subgroups of  $\pi_1(P^{3S^2}, v)$  realized by a mapping covering are those containing  $K_B = H^2(S^2, \pi_3(P^3)) \approx Z$ .

Let  $c: S^2 \to P^3$  be the constant map to  $p_0 \in P^3$ . It follows easily from the spectral sequence in [3] and Theorem 6.1 of [2] that the sequence below is split exact

$$0 \longrightarrow H^2(S^2: \pi_3(P^3)) \longrightarrow \pi_1(P^{3S^2}, c) \stackrel{r_\sharp}{\longrightarrow} \pi_1(P^3, c) \longrightarrow 0$$

where  $H^2(S^2; \pi_3(P^3)) \approx K_{p^3}$  and  $r_*$  is induced by the restriction map r.  $P^3$  is a topological group  $\Rightarrow P^{3S^2}$  is a topological group  $\Rightarrow \pi_1(P^{3S^2}, c)$  is abelian  $\Rightarrow \pi_1(P^{3S^2}, c) \approx Z \bigoplus Z_2$ .

Thus the only subgroups of  $\pi_1(P^{sS^2}, c)$  which are realizable by a mapping covering are  $Z \oplus \{0\}$  and  $Z \oplus Z_2$  which correspond to  $\bar{\rho} \colon S^{sS^2} \to P^{sS^2}$  and  $I \colon P^{sS^2} \to P^{sS^2}$ .

We give the proof of (2.1) after a few preliminary propositions. The first involves exact couples of Federer [3] and is easily proved from the data given there.

PROPOSITION 2.4. Let  $\rho: W \to Z$  be a map between path connected simple spaces. Then  $\rho$  induces a map

$$\rho^i : \mathscr{C}^i(X, W, f) \longrightarrow \mathscr{C}^i(X, Z, \rho \circ f)$$

of the ith Federer exact couples.. Furthermore, there is a commutative diagram

$$egin{aligned} E^{z}_{p,q}(W) & \stackrel{
ho^{2}}{\longrightarrow} & E^{z}_{p,q}(Z) \ & & & & \downarrow \gamma_{Z} \ H^{q}(X;\pi_{p+q}(W)) & \stackrel{(
ho_{\sharp})*}{\longrightarrow} & H^{q}(X;\pi_{p+p}(Z)) \end{aligned}$$

where  $\gamma$  is an isomorphism onto if p > 0 and into if p = 0.

PROPOSITION 2.5. Let  $\rho: W \to Z$  be a covering projection between path connected simple spaces. Then for the map

$$\rho^i \colon \mathscr{C}^i(X, W, f) \longrightarrow \mathscr{C}^i(X, Z, \rho \circ f)$$

of the i-th Federer exact couple,

$$\rho^i : E_{p,q}^i(W) \longrightarrow E_{p,q}^i(Z) \qquad (i \geq 2)$$

is an isomorphism for all (p, q) satisfying either (a) if  $p \ge 1$ , then

$$p+q>1$$
 or (b) if  $p=0$ , then  $q\geq i$ .

*Proof.* We proceed by induction on  $i \geq 2$ . Since  $p: W \to Z$  is a covering projection,  $\rho_{\sharp} \colon \pi_{j}(W) \to \pi_{j}(Z)$  is an isomorphism for  $j \geq 2$  and a monomorphism for j = 1. Then in the commutative diagram of (2.4)  $\gamma_{W'}, \gamma_{Z}$ , and  $(\rho_{\sharp})^{*}$  are isomorphisms for  $p + q \geq 2$ ,  $p \geq 1$ , hence  $\rho^{2}$  is an isomorphism here. For p = 0,  $q \geq 2$ ,  $\gamma_{W'}, \gamma_{Z}$  are injective and  $(\rho_{\sharp})^{*}$  is bijective; consequently  $\rho^{2}$  is injective. That  $\rho^{2}$  is also surjective when p = 0,  $q \geq 2$  follows from the definition of  $\mathscr{C}^{1}(X)$  in [3] and the following statement which has the same proof as that of [6, 7.6.22].

(2.6) Let  $q \geq 2$  and let  $h: X^q \to Z$  be given such that  $h \mid X^{q-1} = p \circ f \mid X^{q-1}$ . Then since  $\rho_*: \pi_{q-1}(W) \to \pi_{q-1}(Z)$  is injective and  $\rho_*: \pi_q(W) \to \pi_q(Z)$  is surjective, there exists  $h': X^q \to E$  such that

$$h' \mid X^{\scriptscriptstyle q-1} = f \mid X^{\scriptscriptstyle q-1} \quad ext{and} \quad 
ho \circ h' \cong h(\operatorname{rel} X^{\scriptscriptstyle q-1})$$
 .

We now assume that (2.5) holds for  $i=k-1\geq 2$ , i.e.,  $\rho^{k-1}$  is an isomorphism if (a)  $p\geq 1$  and p+q>1, or (b) p=0 and  $q\geq k-1$ . If  $p\geq 1$  and p+q>1,  $(q\geq 0)$ , then in

$$E_{p,q}^k(W) = rac{\ker \{d \colon E_{p,1}^{k-1}(W) \longrightarrow E_{p-1,q+k-1}^{k-1}(W)\}}{\operatorname{im} \{d \colon E_{p+1,q-k+1}^{k-1}(W) \longrightarrow E_{p,q}^{k-1}(W)\}} \ E_{p,q}^k(Z) = rac{\ker \{d \colon E_{p,q}^{k-1}(Z) \longrightarrow E_{p-1,q+k-1}^{k-1}(Z)\}}{\operatorname{im} \{d \colon E_{p+1,q-k+1}^{k-1}(Z) \longrightarrow E_{p,q}^{k-1}(Z)\}}$$

we have  $E_{p,q}^{k-1}(W) \approx E_{p,q}^{k-1}(Z)$  by case (a) of the induction hypothesis;  $E_{p-1,q+k-1}^{k-1}(W) \approx E_{p-1,q+k-1}^{k-1}(Z)$  when  $p \geq 2$  by case (a) and when p=1 by case (b); and  $E_{p+1,q-k+1}^{k-1}(W) \approx E_{p+1,q-k+1}^{k-1}(Z)$  because if q < k-1 then both are zero, and if  $q \geq k-1$  then case (a) of the induction hypothesis applies. Thus case (a) of (2.5) holds for  $\rho^k$ .

To show that case (b) of (2.5) holds for  $\rho^k$  suppose that index p=0. Here we must show that

$$egin{aligned} E_{0,q}^{\,k}(W) &= E_{0,q}^{\,k-1}(W)/\mathrm{im}\ \{d\colon E_{1,q-k+1}^{\,k-1}(W) \longrightarrow E_{0,q}^{\,k-1}(W) \ & 
ho^k iggr) \ E_{0,q}^{\,k}(Z) &= E_{0,q}^{\,k-1}(Z)/\mathrm{im}\ \{d\colon E_{1,q-k+1}^{\,k-1}(Z) \longrightarrow E_{0,q}^{\,k-1}(Z)\} \end{aligned}$$

is an isomorphism for  $q \geq k$ . This is obvious since then  $E_{0,q}^{k-1}(W) \approx E_{0,q}^{k-1}(Z)$  by case (b) of the induction hypothesis and  $E_{1,q-k+1}^{k-1}(W) \approx E_{1,q-k+1}^{k-1}(Z)$  by case (a).

Before giving the proof of Theorem 2.1, we prove two lemmas.

LEMMA 2.7. If  $\rho: E \to B$  is a covering projection with E a path connected simple space and  $v': X \to E$  is a lifting of  $v: X \to B$ , then there is a commutative ladder

in which the rows are exact and  $\phi$  is an isomorphism.

*Proof.* By a theorem on page 351 of [3], the images of

$$r_{\sharp}: \pi_{1}(E^{X}, v') \longrightarrow \pi_{1}(E^{X^{0}}, r(v')), r_{\sharp}: \pi_{1}(B^{X}, v) \longrightarrow \pi_{1}(B^{X^{0}}, r(v))$$

can be identified with the subgroups

$$E_{1,0}^{\infty}(E) \subset E_{1,0}^{2}(E) = H^{0}(X,\pi_{1}(E)) = \pi_{1}(E), \ E_{1,0}^{\infty}(B) \subset E_{1,0}^{2}(B) = H^{0}(X,\pi_{1}(B)) = \pi_{1}(B).$$

by means of diagonal homomorphisms:

$$\begin{cases} \varDelta \colon \pi_{\scriptscriptstyle 1}(E,\,v'(x_{\scriptscriptstyle 0})) \longrightarrow \pi_{\scriptscriptstyle 1}(E^{\scriptscriptstyle X^{\scriptscriptstyle 0}},\,r(v')) \\ \varDelta \colon \pi_{\scriptscriptstyle 1}(B,\,v(x_{\scriptscriptstyle 0})) \longrightarrow \pi_{\scriptscriptstyle 1}(B^{\scriptscriptstyle X^{\scriptscriptstyle 0}},\,r(v)) \end{cases} .$$

The identification process is natural in E, B and so there is a commutative ladder as indicated.

To show that  $\phi$  is an isomorphism we consider the following normal chains (see [3, p. 351]) for  $\pi_1(E^x, v'), \pi_1(B^x, v)$  and maps induced by  $\bar{\rho}$ 

$$\begin{array}{cccc}
\pi_{1}(E^{X},\,v') & \stackrel{\bar{\rho}\sharp}{\longrightarrow} \pi_{1}(B^{X},\,v) \\
U & & \cup \\
H_{0} & \longrightarrow & G_{0} \\
\cup & & \cup \\
H_{1} & \longrightarrow & G_{1} \\
\vdots & & \vdots \\
\cup & & \cup \\
H_{n-1} & \longrightarrow & G_{n-1} \\
\cup & & \cup \\
0 & & 0
\end{array}$$

given by

$$egin{aligned} H_i &= \ker \left\{ r_\sharp \colon \pi_{\scriptscriptstyle 1}(E^{\scriptscriptstyle X},\, v') \longrightarrow \pi_{\scriptscriptstyle 1}(E^{\scriptscriptstyle X^i},\, r(v')) 
ight\} \ G_i &= \ker \left\{ r_\sharp \colon \pi_{\scriptscriptstyle 1}(B^{\scriptscriptstyle X},\, v) \longrightarrow \pi_{\scriptscriptstyle 1}(B^{\scriptscriptstyle X^i},\, r(v)) 
ight\} \end{aligned} \qquad (i=0,\, 1,\, \cdots,\, n) \;.$$

Thus we must show  $K_E = H_0 \approx G_0 = K_B$ . By [3, p. 351], there are isomorphisms

$$(2.9) \quad \frac{H_i}{H_{i+1}} \approx E_{1,i+1}^{\infty}(E), \frac{G_i}{G_{n+1}} \approx E_{1,i+1}^{\infty}(B) \qquad (i = 0, \dots, n-1)$$

which can be shown to be compatible with the homomorphisms induced by  $\bar{\rho}$ . Since  $E_{i,i}^{\omega}(E) = E_{i,i}^{k}(E)$  and  $E_{i,i}^{\omega}(B) = E_{i,i}^{k}(B)$  for  $k > \max(i, \dim X - i)$ , Proposition (2.5) implies that

$$\rho^{\infty}$$
:  $E_{1,i}^{\infty}(E) \longrightarrow E_{1,i}^{\infty}(B)$ 

is an isomorphism for  $i \ge 1$ . Via induction and the five lemma, these isomorphisms together with those of (2.9) imply that all but the top homomorphism of the ladder (2.8) are isomorphisms.

Lemma 2.10. Let  $\rho: E \to B$  be a covering projection with E a path connected (simple) space. If in the commutative diagram

$$egin{aligned} E_{1,0}^\infty(E) \subset E_{1,0}^{\scriptscriptstyle 2}(E) &\stackrel{7}{pprox} & H^{\scriptscriptstyle 0}(X,\,\pi_{\scriptscriptstyle 1}(E)) = \pi_{\scriptscriptstyle 1}(E) \ 
ho_\infty iggert & iggert_{
ho_2} & iggert_{
ho_2} & iggert_{
ho_2} pprox \ E_{1,0}^\infty(B) \subset E_{1,0}^{\scriptscriptstyle 2}(B) & \stackrel{7}{pprox} & H^{\scriptscriptstyle 0}(X,\,\pi_{\scriptscriptstyle 1}(B)) = \pi_{\scriptscriptstyle 1}(B) \end{aligned}$$

 $\rho_{\sharp}(\pi_{\scriptscriptstyle 1}(E)) \subset E_{\scriptscriptstyle 1,0}^{\scriptscriptstyle \infty}(B), \ then \ E_{\scriptscriptstyle 1,0}^{\scriptscriptstyle \infty}(E) = \pi_{\scriptscriptstyle 1}(E).$ 

*Proof.* We will show by induction on  $k \geq 2$  that  $E_{1,0}^k(E) = E_{1,0}^2(E)$ , or equivalently, that  $d_E^k \colon E_{1,0}^k(E) \to E_{0,k}^k(E)$  is zero. By (2.4) there is a commutative diagram

$$\pi_{\scriptscriptstyle 1}(E) pprox E_{\scriptscriptstyle 1,0}^{\scriptscriptstyle 2}(E) \supset E_{\scriptscriptstyle 1,0}^{\scriptscriptstyle k}(E) \stackrel{d_E^k}{\longrightarrow} E_{\scriptscriptstyle 0,k}^{\scriptscriptstyle k}(E) \ 
ho_{\scriptscriptstyle \sharp} igg
angle \ 
ho_{\scriptscriptstyle 1,0}^{\scriptscriptstyle 2} igg
angle 
ho_{\scriptscriptstyle 1,0}^{\scriptscriptstyle k} igg
angle 
ho_{\scriptscriptstyle 0,k}^{\scriptscriptstyle k}(B) \ 
ho_{\scriptscriptstyle 0,k}^{\scriptscriptstyle k}(B) \supset E_{\scriptscriptstyle 1,0}^{\scriptscriptstyle k}(B) \stackrel{d_B^k}{\longrightarrow} E_{\scriptscriptstyle 0,k}^{\scriptscriptstyle k}(B)$$

in which  $\rho_{0,k}^k$  is an isomorphism for  $k \geq 2$  by (2.5) and  $\rho_{0,0}^k$  is a monomorphism for  $k \geq 2$  because  $\rho_{\sharp}$  is a monomorphism. In order to prove  $d_E^k$  is zero for  $k \geq 2$ , we need only show  $\ker d_E^k \supset \operatorname{im} \rho_{0,0}^k$  for  $k \geq 2$ . We proceed by induction on  $k \geq 2$ . For k = 2, the statement follows from the relations

$$\ker d_B^2 \supset E_{1,0}^{\infty}(B) \supset \rho_{\mathfrak{s}}(\pi_1(E)) = \operatorname{im} \rho_{1,0}^2$$
.

If we assume  $\ker d_B^j \supset \operatorname{im} \rho_{1,0}^j$  for  $j < k, k \ge 2$ , then  $E_{1,0}^2(E) = E_{1,0}^k(E)$  and hence  $\rho_{\mathfrak{s}}(\pi_1(E)) = \operatorname{im} \rho_{1,0}^k$ . Then we have the relation

$$\ker d^k_B \supset E^\infty_{1,0}(B) \supset \rho_{\sharp}(\pi_1(E)) = \operatorname{im} \rho^k_{1,0}$$

which completes the proof by induction.

*Proof of Theorem* 2.1. Suppose that  $G \subset \pi_1(B^X, v)$  can be realized

by a mapping covering, i.e., there exists a covering projection  $\rho \colon E \to B$  and a lifting  $v' \colon X \to E$  of  $v \colon X \to B$  such that  $\bar{\rho}_{\sharp}(\pi_1(E^x, v')) = G$  and  $\rho_{\sharp}(\pi_1(E, v'(x_0))) = (e_{x_0})_{\sharp}(G)$ . Since we may assume that E is path connected and simple, Lemma 2.7 is applicable. If follows from commutativity of the diagram given there and the surjectivity of  $\phi$  that

$$ar{
ho}_{\sharp}(\pi_{\scriptscriptstyle 1}(E^{\scriptscriptstyle X},\,v'))\supsetar{
ho}_{\sharp}(K_{\scriptscriptstyle E})=K_{\scriptscriptstyle B},\, ext{i.e.},\,G\supset K_{\scriptscriptstyle B}$$
 .

Furthermore, we have relations

$$(e_{x_0})_{\sharp}(G) = \rho_{\sharp}(\pi_1(E, v'(x_0)) \supset v_{\sharp}(\pi_1(X, x_0))$$
.

Conversely, suppose given  $G \supset K_B$  and  $G' = (e_{x_0})_{\sharp}(G) \supset v_{\sharp}(\pi_1(X, x_0))$ . Using the subgroup  $G' \subset E^{\infty}_{1,0}(B) \subset \pi_1(B, v(x_0))$ , it is possible to construct a covering projection  $\rho \colon E \to B$  with E a path connected simple space such that  $\rho_{\sharp}(\pi_1(E, e_0)) = G'$ . Since  $v_{\sharp}(\pi_1(X, x_0)) \subset G' \subset \rho_{\sharp}(\pi_1(E, e_0))$ , there exists a lifting  $v' \colon (X, x_0) \to (E, e_0)$  of  $v \colon X \to B$ . Then by Lemma (2.7) there is a commutative diagram

in which  $\phi$  is an isomorphism and im  $\rho^{\infty} = G' = (e_{x_0})_{\sharp}(G)$  since  $E_{1,0}^{\infty}(E) = \pi_1(E)$  by Lemma (2.10). It follows from some diagram chasing that  $\bar{\rho}_{\sharp}(\pi_1(E^X, v')) = G$ .

- 3. Miscellaneous questions. Many questions arise concerning mapping coverings. In this section we consider certain ones and give partial answers.
  - (a) Is a covering space of an ANR an ANR?
- (b) If G is a properly discontinuous group of homeomorphisms acting on an ANR E, is E/G, the orbit space of G, an ANR?
  - (c) If  $\rho: E \to B$  is a covering, what is card  $(\bar{\rho}^{-1}(f)), f \in \bar{\rho}(E^X)$ ?
  - (d) If  $\rho: E \to B$  is regular, then is  $\bar{\rho}: E^x \to B^x$ ?
- (e) When does a fiber  $\bar{\rho}^{-1}(f)$  lie in a single path component of  $E^x$ , i.e., when are all the lifts of f homotopic?
  - (f) When is  $\bar{\rho}: E^x \to B^x$  universal?

For convenience, throughout this section we assume that B is an ANR and X is a compact Hausdorff space.

(a) Since a covering space of an ANR is locally homeomorphic to an ANR, it is an ANR provided it is metrizable (see [4], III, 7.9 and 8.7). So Question (a) now reduces to a consideration of metrizability.

THEOREM 3.1. If  $\rho: E \to B$  is a covering with B metrizable, then E is metrizable.

The proof utilizes the characterization of  $T_0$  spaces which are metrizable due to A. H. Stone (see [1], page 196).

COROLLARY 3.2. Every covering of an ANR is an ANR.

(b) Since  $\bar{\rho}: E \to E/G$  is a covering projection, the question, as in (a), reduces to one of metrizability.

THEOREM 3.3. If a finite group of homeomorphisms G acts on a metric space E without fixed points, then E/G is metrizable.

This again follows from Stone's characterization.

COROLLARY 3.4. G finite, acting without fixed points on an ANR  $E \Rightarrow E/G$  is an ANR.

(c) If X is connected and locally pathwise connected, then  $f:(X,x_0)\to (B,b_0)$  has a (unique) lift to  $f^*\colon (X,x_0)\to (E,e_0)$ , when  $e_0\in \rho^{-1}(b_0)$ , if  $f_\sharp(\pi_1(X,x_0))\subset \rho_\sharp(\pi_1(E,e_0))$ . If E is path connected and nonempty, the cardinality of  $\bar\rho^{-1}(f)(f\in\bar\rho(E^X))$  reduces to the following question: How many conjugate subgroups of  $\rho_\sharp(\pi_1(E,e_0))$  contain  $f_\sharp(\pi_1(X,x_0))$ ?

THEOREM 3.5. Let  $\rho: E \to B$  be a regular covering such that E is connected. For any  $f \in \bar{\rho}(E^x)$ , card  $\bar{\rho}^{-1}(f) = \operatorname{card} \rho^{-1}(b_0)$ ,  $b_0 \in B$ .

*Proof.* E, B ANR  $\Rightarrow E$ , B are locally pathwise connected. E is connected  $\Rightarrow E$ , B are path connected.  $\rho$  is regular  $\Rightarrow$  that the group G of covering transformations  $\approx \pi_1(B, \rho(e_0))/\rho_*(\pi_1(E, e_0)) \leftrightarrow \rho^{-1}(b_0)$ . Then  $f \in \bar{\rho}(E^X) \Rightarrow \exists f^* \colon X \to E \ni f = \rho \circ f^*$ . Then

$$\rho^{-1}(f) = \{g \circ f^* \mid g \in G\} \longleftrightarrow \rho^{-1}(b_0)$$

because G acts transitively on  $\rho^{-1}(b_0)$  and any lift of f is determined uniquely by the image of a single point.

With the same hypotheses as 3.5, we can show that any two path components of  $E^x$  lying over  $(B^x)_v$  are homeomorphic. Specifically,

COROLLARY 3.6. If v', v'' are any two lifts of  $v: X \to B$ , then  $(E^X)_{v'} \approx (E^X)_{v''}$ .

*Proof.*  $\rho$  regular  $\Rightarrow \exists$  a covering transformation  $v: E \rightarrow E \ni r_0 v' = v''$ . Then  $\bar{r}: E^x \rightarrow E^x$  is a covering transformation of  $E^x \ni \bar{r}(v') = v''$ . Thus  $\bar{r}: (E^x)v' \approx (E^x)v''$ .

For example, let  $X = S^2 = E$  and  $B = P^2$ , the real projective

plane. Let  $a: S^2 \to S^2$  denote the antipodal map,  $i: S^2 \to S^2$ , the identity.  $i \not\cong a$  because  $\deg(i) = 1$  and  $\deg(a) = -1$ .  $\therefore (S^{2S^2})_i \neq (S^{2S^2})_a$  but if  $\rho: S^2 \to P^2$  is the antipodal identification, then  $\rho \circ a = \rho \circ i = \rho: S^2 \to P^2$ . Thus  $(S^{2S^2})_a \approx (S^{2S^2})_i$  as components of  $S^{2S^2}$  lying over  $(P^{2S^2})_{\rho}$ .

(d) The answer is probably no in general, although the authors have not been able to construct a counterexample. We prove the following

THEOREM 3.7. Let  $\rho: E \to B$  be a covering such that E, B are simple, path-connected ANR's. Let X be a finite CW complex. Then the covering projection

$$\bar{\varrho} \colon E^{\chi} \longrightarrow B^{\chi}$$

is a regular covering onto  $\bar{\rho}(E^{X})$ .

*Proof.* As in § 2, the following is a commutative ladder of exact sequences  $\ni \bar{\rho}_z$  and  $\rho^{\infty}$  are injective:

$$0 \longrightarrow K igg| egin{pmatrix} \pi_1(E^X, \ v') \stackrel{f'}{\longrightarrow} E^\infty_{1,0}(E) \ \downarrow_{ar{
ho}_{ar{ar{
u}}}} igg| 
ho_{ar{\omega}} \ \downarrow_{ar{
ho}_{ar{\omega}}} 0 \ \pi_1(B^X, \ v) \stackrel{f}{\longrightarrow} E^\infty_{1,0}(B) \ \end{pmatrix}$$

where

$$egin{aligned} E_{1,0}^\infty(E) \subset \pi_1(E,\,v'(x_0)) \ 
ho_\infty igg| & igg| 
ho_\sharp \ E_{1,0}^\infty(B) \subset \pi_1(B,\,v(x_0)) \end{aligned}$$

commutes.

 $E, B \text{ are simple} \Rightarrow E_{1,0}^{\infty}(E), E_{1,0}^{\infty}(B) \text{ are abelian.}$  We will show that  $\bar{\rho}_{\sharp}(\pi_1(E^{X}, v'))$  is a normal subgroup of  $\pi_1(B^{X}, v)$  for any  $v, v' \ni \rho \circ v' = v$ . Choose  $x \in \bar{\rho}_{\sharp}(\pi_1(E^{X})), b \in \pi_1(B^{X})$ . Then  $f(bxb^{-1}) = f(b)f(x)f(b)^{-1} = f(x)$  since  $E_{1,0}^{\infty}(B)$  is abelian  $\Rightarrow bxb^{-1}x^{-1} = k \in K \Rightarrow bxb^{-1} = kx \in \bar{\rho}_{\sharp}(\pi_1(E^{X}))$ .

$$\therefore \bar{\rho}_{\sharp}(\pi_{\iota}(E^{X}, v')) \triangleleft \pi_{\iota}(B^{X}, v)$$
 for any  $v, v' \ni p \circ v' = v$ .

Theorem 12 on page 74 of  $[6] \Rightarrow \bar{\rho} \mid_{(E^X)_{v'}} : (E^X)_{v'} \to (B^X)_v$  is a regular covering for each  $v' \in \bar{\rho}^{-1}(v)$ . Fix  $v' \in \bar{\rho}^{-1}(v)$ . Suppose  $v'' \in \bar{\rho}^{-1}(v)$  but  $(E^X)_{v'} \neq (E^X)_{v''}$ . Then by 3.6  $\exists$  a homeomorphism

$$\overline{r} \colon (E^{x})_{v'} \to (E^{x})_{v''} \ni \overline{r}(v') = v'' \quad \text{and} \quad \overline{\rho} \circ \overline{r} = \overline{\rho} .$$

Hence a loop at v in  $B^x$  lifts to a loop at v' if and only if it lifts to a loop at v''. Therefore  $\bar{\rho}: E^x \to \bar{\rho}(E^x)$  is a regular covering.

(e) We quote a result essentially due to Serre, [5], Proposition 3, page 479.

PROPOSITION 3.8. If G is a path-connected, locally path connected, and semilocally 1-connected H-space, then each covering transformation on any connected covering space E of G is homotopic to the identity map  $i: E \rightarrow E$ .

COROLLARY 3.9. If  $\rho: E \to B$  is a covering  $\ni B$  is an H-space, then  $\bar{\rho}^{-1}((B^X)_v)$  is path-connected.

(f) This question only makes sense when we are considering  $(B^x)_v$ . Let us ask: When is  $(E^x)_{v'}$  a universal covering over  $(B_x)_v$ , where  $\rho \circ v' = v$ ?

THEOREM 3.10. If X is a CW complex of dim  $\leq n$  and E is an n-connected space, then  $\pi_1(E^X, v) = 0$  for all  $v \in E^X$ .

The proof follows easily from Federer's spectral sequence [3].

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