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**COVERING SEMIGROUPS** 

(HAROLD) DAVID KAHN

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# COVERING SEMIGROUPS

# HAROLD DAVID KAHN

A topological semigroup is a Hausdorff space S together with a continuous associative multiplication  $m: S \times S \rightarrow S$ . The lifting of the group structure of a topological group to its simply connected covering space is a technique used in the theory of Lie groups. In this paper we investigate the lifting of the multiplication of a topological semigroup S to its simply connected covering space  $(\overline{S}, \varphi)$ . A general theory is developed and applications to examples are discussed.

1. Covering spaces. Let  $\overline{S}$  and S be locally connected topological spaces and  $\varphi: \overline{S} \to S$  a continuous map. If C is a subset of S, then C is evenly covered if  $\varphi \mid \overline{C}: \overline{C} \to C$  is a homeomorphism for each component  $\overline{C}$  of  $\varphi^{-1}(C)$ . If each point in S has an evenly covered open neighborhood, then  $\varphi$  is called a covering map. If  $\varphi$  is a covering map and  $\overline{S}$  is connected, then  $(\overline{S}, \varphi)$  is called a covering space of S. A covering space is called trivial if the covering map is a homeomorphism, and if S admits only trivial covering spaces, then S is called simply connected. If  $(\overline{S}_1, \varphi_1)$  and  $(\overline{S}_2, \varphi_2)$  are simply connected covering spaces of S and  $\psi: \overline{S}_1 \to \overline{S}_2$  is a homeomorphism such that  $\varphi_2 \circ \psi = \varphi_1$ , then  $\psi$  is called a covering space isomorphism. An automorphism of  $(\overline{S}, \varphi)$  is an isomorphism of  $(\overline{S}, \varphi)$  with itself.

LEMMA 1. Let  $(\overline{S}, \varphi)$  be a covering space of S and T a connected space. If  $\alpha, \beta: T \to \overline{S}$  are continuous maps with  $\varphi \circ \alpha = \varphi \circ \beta$ , then  $\alpha$  and  $\beta$  agree everywhere or nowhere.

LEMMA 2. Let P be a topological space. Then P is simply connected if and only if (a) P is connected and locally connected and (b) if  $\varphi: \overline{S} \to S$  is a covering map,  $\psi: P \to S$  is continuous, p is in P, s is in  $\overline{S}$  with  $\psi(p) = \varphi(s)$ , then there exists unique continuous  $\overline{\psi}: P \to \overline{S}$  such that  $\psi = \varphi \circ \overline{\psi}$  and  $\overline{\psi}(p) = s$ .

LEMMA 3. Let  $(P, \psi)$  and  $(\overline{S}, \varphi)$  be covering spaces of S with p in P and s in  $\overline{S}$  with  $\psi(p) = \varphi(s)$ . If P is simply connected and  $\overline{\psi}: P \to \overline{S}$  is the unique lifting of  $\psi$  with  $\overline{\psi}(p) = s$ , then  $\overline{\psi}$  is a covering map.

LEMMA 4. If  $(\bar{S}_1, \varphi_1)$  and  $(\bar{S}_2, \varphi_2)$  are simply connected covering spaces of S and  $s_i$  is in  $\bar{S}_i$ , i = 1, 2 with  $\varphi_1(s_1) = \varphi_2(s_2)$ , then there exists a unique covering space isomorphism  $\psi: \bar{S}_1 \to \bar{S}_2$  such that  $\psi(s_1) = s_2$ . LEMMA 5. Let  $(\bar{S}, \varphi)$  be a simply connected covering space of S. We define the set of all automorphisms of  $(\bar{S}, \varphi)$  to be the Poincare group or fundamental group of S and denote it by P(S). The orbits of P(S) are the discrete subspaces  $\varphi^{-1}(x)$ , x in S, and P(S)is simply transitive on these orbits, i.e., a given point can be mapped into a given point in the same orbit by precisely one automorphism in P(S).

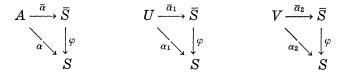
**LEMMA 6.**  $(\overline{S}, \varphi)$  be a covering space of S. If A is a connected, locally connected subspace of S and  $\overline{A}$  is a component of  $\varphi^{-1}(A)$ , then  $(\overline{A}, \varphi | \overline{A})$  is a covering space of A.

LEMMA 7. If S and T are topological spaces admitting simply connected covering spaces  $(\overline{S}, \varphi_1)$  and  $(\overline{T}, \varphi_2)$ , then  $S \times T$  admits the simply connected covering space  $(\overline{S} \times \overline{T}, \varphi_1 \times \varphi_2)$  and  $P(S \times T) \cong$  $P(S) \times P(T)$ . It follows that the product of two topological spaces is simply connected if and only if both are.

The proofs of the above lemmas can be found in either Chevalley [2], Hochschild [4], Hofmann [5], or Pontrjagin [10]. Theorem 8 seems to be of a van Kampen type.

THEOREM 8. Let U, V be simply connected subsets of a space A. If  $U \setminus V$  and  $V \setminus U$  are separated and if  $U \cap V$  is nonvoid and connected, then  $U \cup V$  is simply connected.

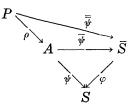
*Proof.* We may assume  $A = U \cup V$ . Then A is trivially connected and is locally connected by a proof identical to the first paragraph of Lemma 1.3 on page 45 of Hochschild [4]. Now let  $\varphi: \overline{S} \to S$  be a covering map,  $\alpha$  a continuous map of A into S,  $a_0$  a point of A,  $s_0$  a point of  $\overline{S}$  with  $\alpha(a_0) = \varphi(s_0)$ . We may assume  $a_0$  is in U. Define  $\alpha_1 = \alpha \mid U: U \to S$ . Since U is simply connected and



 $\alpha_1(a_0) = \alpha(a_0) = \varphi(s_0),$  there is continuous  $\overline{\alpha}_1: U \to \overline{S}$  with  $\varphi \circ \overline{\alpha}_1 = \alpha_1$ and  $\overline{\alpha}_1(a_0) = s_0$ . Fix  $b_0$  in  $U \cap V$  and define  $y_0 = \overline{\alpha}_1(b_0)$  in  $\overline{S}$ . Then  $\varphi(y_0) = \varphi \circ \overline{\alpha}_1(b_0) = \alpha_1(b_0) = \alpha_2(b_0),$  where  $\alpha_2 = \alpha \mid V: V \to S$ . Since Vis simply connected, there is continuous  $\overline{\alpha}_2: V \to \overline{S}$  with  $\varphi \circ \overline{\alpha}_2 = \alpha_2$ and  $\overline{\alpha}_2(b_0) = y_0$ . We now define the maps  $\beta_i = \overline{\alpha}_i \mid U \cap V: U \cap V \to \overline{S},$ i = 1, 2. We note that  $\varphi \circ \beta_1 = \varphi \circ (\overline{\alpha}_1 \mid U \cap V) = (\varphi \circ \overline{\alpha}_1) \mid U \cap V =$   $\alpha_1 \mid U \cap V = \alpha_2 \mid U \cap V = (\varphi \circ \overline{\alpha}_2) \mid U \cap V = \varphi \circ (\overline{\alpha}_2 \mid U \cap V) = \varphi \circ \beta_2$  and that  $\beta_1(b_0) = \overline{\alpha}_1(b_0) = y_0 = \overline{\alpha}_2(b_0) = \beta_2(b_0)$ . Since  $U \cap V$  is connected, we have  $\overline{\alpha}_1 \mid U \cap V = \beta_1 = \beta_2 = \overline{\alpha}_2 \mid U \cap V$ . We can now define  $\overline{\alpha} : A \to \overline{S}$  with  $\overline{\alpha}(a) = \overline{\alpha}_1(a)$ , when a is in U, and  $= \overline{\alpha}_2(a)$ , when a is in V. The continuity of  $\overline{\alpha}$  follows by Exercise 3B of Kelley [7], and it is clear that  $\varphi \circ \overline{\alpha} = \alpha$  and that  $\overline{\alpha}(a_0) = s_0$ . Finally, the uniqueness of  $\overline{\alpha}$ follows again by the connectedness of  $U \cap V$ .

LEMMA 9. If P is a simply connected topological space and A is a retract of P, then A is simply connected.

*Proof.* It is clear that A is connected and locally connected. Let  $\varphi: \overline{S} \to S$  be a covering map,  $\psi: A \to S$  be continuous, a in A and s in  $\overline{S}$  with  $\psi(a) = \varphi(s)$ . Moreover, let  $\rho: P \to A$  be the retraction map. Then  $\psi \circ \rho: P \to S$  is continuous and  $\psi \circ \rho(a) = \psi(a) = \varphi(s)$ .



Since P is simply connected, there is continuous  $\overline{\psi}: P \to \overline{S}$  with  $\psi \circ \rho = \varphi \circ \overline{\psi}$  and  $\overline{\psi}(a) = s$ . It is now straightforward to show that if  $\overline{\psi} = \overline{\psi} \mid A$ , then  $\varphi \circ \overline{\psi} = \psi$  and  $\overline{\psi}(a) = s$ . Uniqueness of  $\overline{\psi}$  follows from the connectedness of A.

LEMMA 10. Let  $(\overline{S}, \varphi)$  be a simply connected covering space of S and A a retract of S. If  $\overline{A}$  is a component of  $\varphi^{-1}(A)$ , then  $\overline{A}$  is a retract of  $\overline{S}$  and  $(\overline{A}, \varphi | \overline{A})$  is a simply connected covering space of A.

Proof. Let  $\rho: S \to S$  be the retract and  $\bar{a}$  be in  $\bar{A}$ . Since  $\varphi(\bar{a})$  is in A, we have  $\rho \circ \varphi(\bar{a}) = \varphi(\bar{a})$  and  $\rho$  lifts to continuous  $\bar{\rho}: \bar{S} \to \bar{S}$  with  $\bar{\rho}(\bar{a}) = \bar{a}$  and  $\varphi \circ \bar{\rho} = \rho \circ \varphi$ . Now let  $j: \bar{A} \subseteq \bar{S}$  and  $\bar{\rho} \mid \bar{A}: \bar{A} \to \bar{S}$ . Then it is straightforward to show that  $\varphi \circ (\bar{\rho} \mid \bar{A}) = \varphi \circ j$  and that  $(\bar{\rho} \mid \bar{A})(\bar{a}) =$  $j(\bar{a})$ , which implies that  $\bar{\rho} \mid \bar{A} = j$ . Since  $\varphi(\bar{\rho}(\bar{S})) = \rho(\varphi(\bar{S})) = \rho(S) =$ A, we have  $\rho(\bar{S})$  a connected subset of  $\varphi^{-1}(A)$ . Observing that  $\bar{a}$  is in  $\bar{A} \cap \bar{\rho}(\bar{S})$ , we have  $\bar{\rho}(\bar{S}) \subseteq \bar{A}$ . Therefore,  $\bar{\rho}$  is a retraction of  $\bar{S}$ onto  $\bar{A}$ . Moreover,  $(\bar{A}, \varphi \mid \bar{A})$  is a simply connected covering space of A by Lemmas 6 and 9 of this section.

LEMMA 11. If the topological product of two spaces admits a simply connected covering space, then so do both of them.

**Proof.** Let  $(P, \varphi)$  be a simply connected covering space of  $S \times T$ . If t is in T and  $\overline{S}$  is a component of  $\varphi^{-1}(S \times t)$ , then  $(\overline{S}, \theta \circ (\varphi \mid \overline{S}))$  is a simply connected covering space of S, where  $\theta: S \times t \to S$  is the natural homeomorphism. Indeed,  $S \times t$  is obviously a retract of  $S \times T$ , and we apply Lemma 10.

LEMMA 12. Let  $(\bar{S}, \varphi)$  be a simply connected covering space of S, A a connected, locally connected subset of S, and  $\bar{A}$  a component of  $\varphi^{-1}(A)$ . If  $\bar{A}$  is simply connected, and we let P(S) and P(A) be the automorphism groups of  $(\bar{S}, \varphi)$  and  $(\bar{A}, \varphi \mid \bar{A})$ , respectively, then there exists a monomorphism  $\theta: P(A) \to P(S)$  such that if  $\psi$  is in P(A), then  $\theta(\psi) = \bar{\psi}$  is the unique extension of  $\psi$  to  $\bar{\psi}$  in P(S). Moreover,  $\theta$  is an isomorphism if and only if  $\varphi^{-1}(A)$  is connected, i.e., if and only if  $\bar{A} = \varphi^{-1}(A)$ .

*Proof.* Suppose  $\psi$  is in P(A). Fix  $a_1$  in  $\overline{A}$ . Let  $\psi(a_1) = a_2$  in  $\overline{A}$ . Now,  $\varphi(a_1) = (\varphi \mid \overline{A})(a_1) = (\varphi \mid \overline{A}) \circ \psi(a_1) = (\varphi \mid \overline{A})(a_2) = \varphi(a_2)$ . Thus, there exists unique  $\overline{\psi}$  in P(S) such that  $\overline{\psi}(a_1) = a_2$ .

We show that  $\overline{\psi}$  is an extension of  $\psi$ . We first show that  $\overline{\psi}(\overline{A}) = \overline{A}$ . Clearly,  $\overline{\psi}(\varphi^{-1}(A)) = \varphi^{-1}(A)$ . We see that  $\overline{\psi}(\overline{A})$  is a connected subset of  $\varphi^{-1}(A)$  with  $a_2$  in  $\overline{A} \cap \overline{\psi}(\overline{A})$ . Therefore,  $\overline{\psi}(\overline{A}) \subseteq \overline{A}$ . Let  $\eta$  be the inverse of  $\psi$  in P(A). As before, we find  $\overline{\eta}$  in P(S) such that  $\overline{\eta}(a_2) = a_1$  and  $\overline{\eta}(\overline{A}) \subseteq \overline{A}$ . Now,  $\overline{\psi} \circ \overline{\eta}$  is in P(S) and fixes  $a_2$ . Thus,  $\overline{\psi} \circ \overline{\eta}$  is the identity of P(S), and  $\overline{A} = \overline{\psi} \circ \overline{\eta}(\overline{A}) \subseteq \overline{\psi}(\overline{A}) \subseteq \overline{A}$ . Therefore,  $\overline{\psi}(\overline{A}) = \overline{A}$ . Since  $\overline{\psi} \colon \overline{S} \to \overline{S}$  is a homeomorphism, so is  $\overline{\psi} \mid \overline{A} \colon \overline{A} \to \overline{A}$ . Moreover,  $(\varphi \mid \overline{A}) \circ (\overline{\psi} \mid \overline{A})(a) = \varphi \circ \overline{\psi}(a) = \varphi(a) = (\varphi \mid \overline{A})(a)$ , for all a in  $\overline{A}$ . So,  $\overline{\psi} \mid \overline{A}$  is in P(A). But  $\psi$  is in P(A), and  $\psi(a_1) = a_2 = (\overline{\psi} \mid \overline{A})(a_1)$ . Thus we have  $\psi = \overline{\psi} \mid \overline{A}$ , as described.

Now that we have  $\theta$  a well-defined function, we observe that it is trivially injective. A simple computational argument shows that  $\theta$  is a homomorphism.

We next show that  $\overline{A} = \varphi^{-1}(A)$  if and only if  $\theta$  is surjective. Suppose  $\overline{A} = \varphi^{-1}(A)$ . Let  $\psi$  be in P(S). Then  $\psi(\overline{A}) = \psi(\varphi^{-1}(A)) = \varphi^{-1}(A) = \overline{A}$ . As above, we see that  $\psi \mid \overline{A}$  is in P(A). Moreover,  $\theta(\psi \mid \overline{A}) = \psi$ . Therefore,  $\theta$  is surjective. Conversely, suppose  $\theta$  is surjective. Let  $\overline{a}_1$  be in  $\varphi^{-1}(A)$ . Let  $\varphi(\overline{a}_1) = a$  in A. There exists  $\overline{a}_2$  in  $\overline{A}$  such that  $\varphi(\overline{a}_2) = a = \varphi(\overline{a}_1)$ . Thus, there is  $\overline{\psi}$  in P(S) with  $\overline{\psi}(\overline{a}_2) = \overline{a}_1$ . Since  $\theta$  is onto, there is  $\psi$  in P(A) with  $\theta(\psi) = \overline{\psi}$ , i.e.,  $\psi = \overline{\psi} \mid \overline{A}$ . Then  $\overline{a}_1 = \overline{\psi}(\overline{a}_2) = \psi(\overline{a}_2)$  in  $\overline{A}$ . Since  $\overline{a}_1$  was arbitrary in  $\varphi^{-1}(A)$ , we have  $\varphi^{-1}(A) \subseteq \overline{A}$ , and they are equal.

2. General theory of covering semigroups. Let  $\overline{S}$  and S be topological semigroups and  $\varphi: \overline{S} \to S$  a homomorphism. If, moreover,  $(\overline{S}, \varphi)$  is a covering space of S, then we say that  $(\overline{S}, \varphi)$  is a covering

semigroup of S. The proofs of the first two of the following theorems are omitted, as they are similar to the development of covering groups. See [2], [4], [5].

THEOREM 1. Let S be a topological semigroup with topological space structure admitting a simply connected covering space  $(\bar{S}, \varphi)$ . Let e be an idempotent in S and fix some point  $\bar{e}$  in  $\bar{S}$  such that  $\varphi(\bar{e}) = e$ . There exists a unique topological semigroup multiplication on  $\bar{S}$  such that  $\bar{e}$  is an idempotent and  $\varphi$  is a homomorphism. If e is an identity for S, then  $\bar{e}$  is an identity for  $\bar{S}$ . If S is a topological group, then so is  $\bar{S}$ .

THEOREM 2. Let  $(\bar{S}_1, \varphi_1)$  and  $(\bar{S}_2, \varphi_2)$  be covering semigroups of S with idempotents  $\bar{e}_1$  in  $\bar{S}_1$  and  $\bar{e}_2$  in  $\bar{S}_2$  such that  $\varphi_1(\bar{e}_1) = \varphi_2(\bar{e}_2)$ . If  $\bar{S}_1$  is simply connected, then there exists a unique homomorphism and covering map  $\psi: \bar{S}_1 \to \bar{S}_2$  with  $\varphi_2 \circ \psi = \varphi_1$  and  $\psi(\bar{e}_1) = \bar{e}_2$ . Moreover, if  $\bar{S}_2$  is also simply connected, then  $\psi$  is a covering space and semigroup isomorphism.

THEOREM 3. Let  $[X, G, Y]_{\sigma}$  be a topological paragroup (Hofmann and Mostert [6]) where X(Y) is a left (right) zero semigroup and G is a group. If X, G, and Y admit simply connected covering spaces  $(\bar{X}, \varphi_1), (\bar{G}, \varphi_2)$  and  $(\bar{Y}, \varphi_3)$ , then the left (right) zero multiplication of X(Y) lifts to a left (right) zero multiplication on  $\bar{X}(\bar{Y})$  and the group multiplication of G lifts to a group multiplication on  $\bar{G}$ . Moreover, the sandwich function  $\sigma: Y \times X \to G$  lifts to a sandwich function  $\bar{\sigma}: \bar{Y} \times \bar{X} \to \bar{G}$  such that  $([\bar{X}, \bar{G}, \bar{Y}]_{\bar{\sigma}}, \varphi_1 \times \varphi_2 \times \varphi_3)$  is a simply connected covering paragroup of  $[X, G, Y]_{\sigma}$ .

*Proof.* Note that  $\varphi_1(\varphi_3)$  is automatically a homomorphism if we give  $\overline{X}(\overline{Y})$  the left (right) zero multiplication. Any lifting of  $\sigma$  to  $\overline{\sigma}$  allows us to form the paragraph  $[\overline{X}, \overline{G}, \overline{Y}]_{\overline{\sigma}}$ . A straightforward computation, making use of the equation  $\sigma \circ (\varphi_3 \times \varphi_1) = \varphi_2 \circ \overline{\sigma}$ , shows that  $\varphi_1 \times \varphi_2 \times \varphi_3 \colon [\overline{X}, \overline{G}, \overline{Y}]_{\overline{\sigma}} \to [X, G, Y]_{\sigma}$  is a homomorphism. We omit further details.

THEOREM 4. If  $(\overline{S}, \varphi)$  is a covering semigroup of S, then  $\varphi^{-1}$ (center S) = center  $\overline{S}$ .

*Proof.* Clearly, center  $\overline{S} \subseteq \varphi^{-1}$  (center S). Let s be any element of  $\varphi^{-1}$  (center S). Define  $\alpha, \beta: \overline{S} \to \overline{S}$  with  $\alpha(x) = sx$  and  $\beta(x) = xs$ . Straightforward computations show that  $\varphi \circ \alpha = \varphi \circ \beta$  and that  $\alpha(s) = \beta(s)$ . Thus,  $\alpha = \beta$ , i.e., s is in center  $\overline{S}$ .

For the rest of this section we assume that  $(\bar{S}, \varphi)$  is a simply

connected covering semigroup of S. Moreover,  $\overline{S}$  and S have identities  $\overline{1}$  and 1, respectively. We define Ker  $\varphi$  to be  $\varphi^{-1}(1)$ . Although this is not standard semigroup terminology, we feel that Theorem 6 of this section is ample motivation.

COROLLARY 5. Ker  $\varphi$  is central.

Proof. Note that 1 is central.

THEOREM 6. If s is in Ker  $\varphi$  and we define  $\psi: \overline{S} \to \overline{S}$  by  $\psi(x) = sx$ , then  $\psi$  is in P(S). This defines an isomorphism between Ker  $\varphi$  and P(S). Therefore, P(S) is commutative.

*Proof.* Let s be in Ker  $\varphi$  and define  $\psi$  as above. There exists  $\eta$  in P(S) with  $\eta(\overline{1}) = s$ . Straightforward computation shows that  $\varphi \circ \psi = \varphi \circ \eta$  and  $\psi(\overline{1}) = \eta(\overline{1})$ . So,  $\psi = \eta$ , and  $\psi$  is in P(S). Since  $\overline{S}$  has an identity, we conclude that mapping s into  $\psi$  gives a monomorphism of Ker  $\varphi$  into P(S). We show that the mapping is onto. Let  $\psi$  be in P(S). Define  $s = \psi(\overline{1})$ . Then s is in Ker  $\varphi$ , and we define  $\eta = \theta(s)$  in P(S). But then  $\psi$  and  $\eta$  agree at  $\overline{1}$  and, therefore, are equal.

COROLLARY 7. If a and b are in  $\overline{S}$  with  $\varphi(a) = \varphi(b)$ , then there exists unique s in Ker  $\varphi$  with sa = b.

Material from here through Corollary 18 is independent and completely algebraic in nature, providing we define  $(\overline{S}, \varphi)$  to be an algebraic covering of S with group P(S) if:

(a)  $\overline{S}$  and S are purely algebraic semigroups with identities  $\overline{1}$  and 1, respectively.

(b) The map  $\varphi: \overline{S} \to S$  is a surmorphism with Ker  $\varphi = \varphi^{-1}(1)$  being a central subgroup of  $\overline{S}$ .

(c) Ker  $\varphi$  acts on  $\overline{S}$  with orbits  $\varphi^{-1}(x)$ , x in S, and is simply transitive on these orbits.

(d) P(S) is a faithful functional representation of Ker  $\varphi$  on  $\overline{S}$ .

LEMMA 8. If x is in S,  $\overline{x}$  is in  $\varphi^{-1}(x)$ , and A, B are subsets of S, then  $\varphi^{-1}(AxB) = \varphi^{-1}(A)\overline{x}\varphi^{-1}(B)$ . Also  $\varphi^{-1}(Ax) = \varphi^{-1}(A)\overline{x}$ ,  $\varphi^{-1}(xB) = \overline{x}\varphi^{-1}(B)$ , and  $\varphi^{-1}(AB) = \varphi^{-1}(A)\varphi^{-1}(B)$ .

**Proof.** It is trivial that  $\varphi^{-1}(A)\overline{x}\varphi^{-1}(B) \subseteq \varphi^{-1}(AxB)$ . Conversely, let y be in  $\varphi^{-1}(AxB)$ . There exists a in A, b in B with  $\varphi(y) = axb$ . If we pick  $\overline{a}$ ,  $\overline{b}$ , in  $\overline{S}$  with  $\varphi(\overline{a}) = a$  and  $\varphi(\overline{b}) = b$ , then  $\varphi(\overline{a}\overline{x}\overline{b}) = axb = \varphi(y)$ . Thus, there exists s in Ker  $\varphi$  with  $s(\overline{a}\overline{x}\overline{b}) = y$ . Observing

that  $s\bar{a}$  is in  $\varphi^{-1}(A)$ , we have  $y = (s\bar{a})\bar{x}\bar{b}$  in  $\varphi^{-1}(A)\bar{x}\varphi^{-1}(B)$ , as desired.

The remaining equations follow easily from the equation  $\varphi^{-1}(AxB) = \varphi^{-1}(A)\overline{x}\varphi^{-1}(B)$ . Indeed, if  $\overline{x} = \overline{1}$  and x = 1, we have  $\varphi^{-1}(AB) = \varphi^{-1}(A)\varphi^{-1}(B)$ , and if B or A is {1}, then the remaining equations result.

THEOREM 9. If H is a subgroup of S, then  $\varphi^{-1}(H)$  is a subgroup of  $\overline{S}$ . In particular, if e is an idempotent in S, then  $\varphi^{-1}(e)$ is subgroup of  $\overline{S}$ . Moreover, if  $\theta$ : Ker  $\varphi \to \varphi^{-1}(e)$  by  $\theta(s) = s\overline{e}$ , where  $\overline{e}$  is the identity of  $\varphi^{-1}(e)$ , then  $\theta$  is an isomorphism. Thus,  $\varphi^{-1}(e) \cong$ P(S). Note that it follows that  $\varphi^{-1}(H)$  is an extension of P(S) by H, in the sense of Kurosh [8], p. 76.

*Proof.* Let  $\overline{x}$  be in  $\varphi^{-1}(H)$ ,  $\varphi(\overline{x}) = x$  in H. Then  $\overline{x}\varphi^{-1}(H) = \varphi^{-1}(xH) = \varphi^{-1}(H)$  and  $\varphi^{-1}(H)\overline{x} = \varphi^{-1}(Hx) = \varphi^{-1}(H)$ . Therefore,  $\varphi^{-1}(H)$  is a group.

We show  $\theta$  is an isomorphism. Since  $\overline{e}$  is idempotent and Ker  $\varphi$  is central,  $\theta(st) = (st)\overline{e} = (s\overline{e})(t\overline{e}) = \theta(s)\theta(t)$ , for all s, t in Ker  $\varphi$ . Moreover, if x is in  $\varphi^{-1}(e)$  then there exists unique s in Ker  $\varphi$  with  $s\overline{e} = x$ , i.e.,  $\theta(s) = x$ . Therefore,  $\theta$  is an isomorphism.

THEOREM 10. If  $\overline{E}$  and E are the sets of idempotents of  $\overline{S}$  and S, respectively, then  $\varphi | \overline{E} : \overline{E} \to E$  is bijective. In particular, if S has no idempotents other than 1, then  $\overline{S}$  has no idempotents other than  $\overline{1}$ .

*Proof.* If e is in E, then  $\varphi^{-1}(e)$  is a group and thus contains exactly one idempotent.

In the next few pages we deal with  $\mathcal{L}$ -,  $\mathcal{R}$ -,  $\mathcal{H}$ -,  $\mathcal{D}$ -, and  $\mathcal{J}$ -classes of a semigroup. Notation and terminology are as in Clifford and Preston [3].

LEMMA 11. Let a, b be in S and  $\overline{a}$ ,  $\overline{b}$  in  $\varphi^{-1}(a)$ ,  $\varphi^{-1}(b)$ , respectively. Then a  $\mathscr{L}b$  if and only if  $\overline{a}\mathscr{L}\overline{b}$ , and similarly for  $\mathscr{R}$ ,  $\mathscr{H}$ ,  $\mathscr{D}$ , and  $\mathcal{J}$ .

*Proof.* The fact that  $\bar{a} \mathscr{L} \bar{b}$  implies  $a \mathscr{L} b$  is automatic algebraically, and likewise for  $\mathscr{R}, \mathscr{H}, \mathscr{D}$ , and  $\mathscr{J}$ . All that is needed is that  $\bar{S}$  and S be algebraic semigroups and that  $\varphi$  be an epimorphism. Conversely, let  $a \mathscr{L} b$ . Then  $\bar{S} \bar{a} = \varphi^{-1}(S) \bar{a} = \varphi^{-1}(Sa) = \varphi^{-1}(Sb) = \varphi^{-1}(S)\bar{b} = \bar{S}\bar{b}$  gives  $\bar{a} \mathscr{L} \bar{b}$ . Symmetrically,  $a \mathscr{R} b$  implies  $\bar{a} \mathscr{R} \bar{b}$ . As for  $\mathscr{H}$ -classes, we have  $a \mathscr{H} b$  if and only if  $a \mathscr{L} b$  and  $a \mathscr{R} b$  if and only if  $\bar{a} \mathscr{H} \bar{b}$ . As for  $\mathscr{D}$ -classes, we use the fact that for any semigroup  $S, \mathscr{D} = \mathscr{L} \circ \mathscr{R}$ , [3], page 47.

Thus, suppose  $a \mathscr{D} b$ . Then there is c in S with  $a \mathscr{L} c$  and  $c \mathscr{R} b$ . If  $\overline{c}$  is in  $\varphi^{-1}(c)$ , then  $\overline{a} \mathscr{L} \overline{c}$  and  $\overline{c} \mathscr{R} \overline{b}$ , i.e.,  $\overline{a} \mathscr{D} \overline{b}$ . Finally, for  $\mathcal{J}$ -classes we have  $a \mathscr{J} b$  implies  $\overline{S} \overline{a} \overline{S} = \varphi^{-1}(SaS) = \varphi^{-1}(SbS) = \overline{S}b\overline{S}$ , i.e.,  $\overline{a} \mathscr{J} \overline{b}$ .

THEOREM 12.  $\varphi$  induces a bijective correspondence between the  $\mathscr{L}$  classes of  $\overline{S}$  and the  $\mathscr{L}$ -classes of S. More precisely, if  $\overline{a}$  is in  $\overline{S}$  and  $a = \varphi(\overline{a})$ , then  $\varphi^{-1}(L_a) = L_{\overline{a}}$ . This holds similarly for  $R_a$ ,  $H_a$ ,  $D_a$ , and  $J_a$ .

*Proof.* x is in  $\varphi^{-1}(L_a)$  if and only if  $\varphi(x)$  is in  $L_a$  if and only if  $\varphi(x) \mathscr{L}a$  if and only if  $x \mathscr{L}\bar{a}$  if and only if x is in  $L_{\bar{a}}$ . Similar proofs hold for  $R_a$ ,  $H_a$ ,  $D_a$ , and  $J_a$ .

COROLLARY 13.  $\varphi$  induces a bijective correspondence between the maximal subgroups of  $\overline{S}$  and the maximal subgroups of S. More precisely, if  $\overline{H}$  is a maximal subgroup of  $\overline{S}$ , then  $\varphi(\overline{H})$  is a maximal subgroup of S; if H is a maximal subgroup of S, then  $\varphi^{-1}(H)$  is a maximal subgroup of  $\overline{S}$ .

*Proof.* This is immediate if we observe that the maximal subgroups of a semigroup are precisely the  $\mathcal{H}$ -classes containing idempotents [3], p. 61.

Let S be a semigroup, H an  $\mathscr{H}$ -class of S, and s an element of S such that  $sH \subseteq H$ . Then we denote by  $\gamma_s$  the element of  $\Gamma(H)$ , the left Schützenberger group [3] of H, such that  $\gamma_s(x) = sx$ , for all x in H. The following theorem generalizes Theorem 9.

THEOREM 14. If H is an *H*-class in S and  $\overline{H} = \varphi^{-1}(H)$  is the corresponding *H*-class in  $\overline{S}$ , then the left Schützenberger group  $\Gamma(\overline{H})$  is an extension of P(S) by the left Schützenberger group  $\Gamma(H)$ .

Proof. Let  $T(\bar{H})$  be the subsemigroup of  $\bar{S}$  of all s in  $\bar{S}$  with  $s\bar{H} \subseteq \bar{H}$ , and let T(H) be similar in S. Let  $\bar{\nu}: T(\bar{H}) \to \Gamma(\bar{H})$  and  $\nu: T(H) \to \Gamma(H)$  be the natural homomorphisms. It is straightforward to show that  $\varphi^{-1}(T(H)) = T(\bar{H})$  and that  $\varphi$  induces epimorphisms  $\varphi_{H}: T(\bar{H}) \to T(H)$  and  $\varphi^{H}: \Gamma(\bar{H}) \to \Gamma(H)$  with  $\varphi^{H} \circ \bar{\nu} = \nu \circ \varphi_{H}$ . Moreover, Ker  $\varphi$  is contained in  $T(\bar{H})$ , and  $\bar{\nu}(\text{Ker }\varphi)$  is contained in Ker  $\varphi^{H}$ . Thus  $\bar{\nu}$  induces a homomorphism  $\bar{\nu}_{0}$ : Ker  $\varphi \to \text{Ker }\varphi^{H}$ . Since the image of  $\bar{\nu}_{0}$  is the restriction of all the functions in P(S) to  $\bar{H}$ , it follows that  $\bar{\nu}_{0}$  is injective. We next show that  $\bar{\nu}_{0}$  is surjective. Let  $\psi$  be in Ker  $\varphi^{H}$ . There is s in  $T(\bar{H})$  with  $\psi = \bar{\nu}(s)$ . Let  $\bar{x}$  be in  $\bar{H}$ . If  $\varphi(\bar{x}) = x$  in H, then  $\varphi(s\bar{x}) = \varphi(s)x = \gamma_{\varphi(s)}(x) = [\nu \circ \varphi_{H}(s)](x) = [\varphi^{H}(\psi)](x) = \gamma_{1}(x) = x = \varphi(\bar{x})$ . Thus, there is t in Ker  $\varphi$ 

with  $t\overline{x} = s\overline{x}$ , and we have  $\gamma_t$  and  $\gamma_s$  in  $\Gamma(\overline{H})$  agreeing at  $\overline{x}$ . But  $\Gamma(\overline{H})$  is simply transitive on  $\overline{H}$ , and thus  $\overline{\nu}_0(t) = \gamma_t = \gamma_s = \psi$ , as desired.

We recall that an element a of a semigroup S is called *regular* if axa = a for some x in S, and S is called *regular* if every element of S is regular. Moreover, a and b are *inverses* of each other if aba = a and bab = b, and S is an *inverse semigroup* if every element of S has a unique inverse. The following are equivalent for an element a of a semigroup S: (1) the element a is regular, (2) the element a has an inverse b, (3) the principal left ideal generated by a has an idempotent generator, and (4) the principal right ideal generated by a has an idempotent generator [3], p. 27.

THEOREM 15. If a is a regular element of S and  $\bar{a}$  is in  $\varphi^{-1}(a)$ , then  $\bar{a}$  is regular. Therefore, if S is regular then so is  $\bar{S}$ .

*Proof.* Since a is regular, there is an idempotent e in S with Se = Sa. Let  $\overline{e}$  be the idempotent in  $\varphi^{-1}(e)$ . Then  $\overline{S}\overline{e} = \varphi^{-1}(Se) = \varphi^{-1}(Sa) = \overline{S}\overline{a}$ , and thus  $\overline{a}$  is regular.

THEOREM 16. If S is an inverse semigroup, then so is  $\overline{S}$ .

*Proof.* We recall that a semigroup is inverse if and only if every principal right ideal and every principal left ideal has a unique idempotent generator. Let S be an inverse semigroup. By the above theorem, every principal right ideal and every principal left ideal has at least one idempotent generator. Suppose  $\bar{e}$  and  $\bar{f}$  are idempotents in  $\bar{S}$  with  $\bar{S}\bar{e} = \bar{S}\bar{f}$ . Then  $\varphi(\bar{e})$  and  $\varphi(\bar{f})$  are idempotents generating the same principal left ideal in S. Since S is an inverse semigroup, we have  $\varphi(\bar{e}) = \varphi(\bar{f})$ , which implies  $\bar{e} = \bar{f}$ , by Theorem 10. Principal right ideals are treated symmetrically.

THEOREM 17. If I is a left ideal (right ideal) (ideal) in S, then  $\varphi^{-1}(I)$  is a left ideal (right ideal) (ideal) in  $\overline{S}$ . If  $\overline{I}$  is a left ideal (right ideal) (ideal) in  $\overline{S}$ , then  $\varphi^{-1}\varphi(\overline{I}) = \overline{I}$ . Therefore,  $\varphi$  induces a bijective, inclusion preserving correspondence between the left ideals (right ideals) (ideals) of  $\overline{S}$  and those of S.

*Proof.* Let I be a left ideal in S. Then  $\overline{S}\varphi^{-1}(I) = \overline{\varphi}^{-1}(SI) \subseteq \varphi^{-1}(I)$ , i.e.,  $\varphi^{-1}(I)$  is a left ideal in  $\overline{S}$ . Now, let x be in  $\varphi^{-1}\varphi(\overline{I})$  where  $\overline{I}$  is a left ideal in  $\overline{S}$ . There is y in  $\overline{I}$  with  $\varphi(x) = \varphi(y)$ . So, there is s in Ker  $\varphi$  with x = sy in  $\overline{I}$ . The proof for right ideals or ideals is similar.

COROLLARY 18. If I is a minimal left ideal (right ideal) (ideal) in S, then  $\varphi^{-1}(I)$  is a minimal left ideal (right ideal) (ideal) in  $\overline{S}$ .

THEOREM 19. If S has a minimal ideal K then  $P(S) \cong P(K)$ .

*Proof.* By Proposition 1.9 of [1] we have that K is a retract of S, and thus K is connected and locally connected. Let  $\overline{K} = \varphi^{-1}(K)$ . By Corollary 18,  $\overline{K}$  is the minimal ideal of  $\overline{S}$  and, hence, is connected. By Lemma 10 of the previous section,  $\overline{K}$  is simply connected. Then by Lemma 12 of that section  $P(K) \cong P(S)$ .

THEOREM 20. Let S have a minimal ideal K. Moreover, let e be a primitive idempotent in K. Let X = E(Se), Y = E(eS) be the sets of idempotents in Se and eS, respectively, and let G = eSe, a maximal subgroup of K. Let  $\sigma: Y \times X \rightarrow G$  such that  $\sigma(y, x) = yx$ . Let  $\theta: [X, G, Y]_{\sigma} \rightarrow K$  be the canonical map, i.e.,  $\theta(x, g, y) = xgy$ . Now,  $\theta$  is an algebraic isomorphism and continuous [6]. If  $\theta$  is also a homeomorphism, then X and Y are simply connected and thus  $P(K) \cong P(G)$ .

*Proof.* From Proposition 1.9 of [1], p. 47, we have that K is a retract of S. Let  $\overline{K} = \varphi^{-1}(K)$ . By Lemma 10 of the previous section,  $(\overline{K}, \varphi \mid \overline{K})$  is a simply connected covering space of K. The topological space structure of  $[X, G, Y]_{\sigma}$  is  $X \times G \times Y$  with the product topology. By Lemma 11 of the previous section and the fact that  $\theta$  is a homeomorphism, X, G, and Y have simply connected covering spaces  $(\overline{X}, \varphi_1), \ (\overline{G}, \varphi_2), \ \text{and} \ (\overline{Y}, \varphi_3)$ . By Theorem 3,  $([\overline{X}, \overline{G}, \overline{Y}]_{\overline{\sigma}}, \varphi')$  is a simply connected covering paragroup of  $[X, G, Y]_{\sigma}$ , where  $\varphi' = \varphi_1 \times \varphi_2 \times \varphi_3$ . In lifting  $\sigma$  to  $\overline{\sigma}$  we

$$\begin{array}{c} \bar{Y} \times \bar{X} \xrightarrow{\bar{\sigma}} \bar{G} \\ \varphi_3 \times \varphi_1 \\ \downarrow \\ Y \times X \xrightarrow{\sigma} G \end{array}$$

can choose  $\bar{\sigma}$  such that  $\bar{\sigma}(\bar{e}_3, \bar{e}_1) = \bar{e}_2$ , where  $\bar{e}_2$  is the identity of  $\bar{G}$  and  $\bar{e}_3$  and  $\bar{e}_1$  are fixed in  $\bar{Y}$  and  $\bar{X}$ , respectively, such that  $\varphi_3(\bar{e}_3) = e$  and  $\varphi_1(\bar{e}_1) = e$ .

Now  $\theta \circ \varphi'(\bar{e}_1, \bar{e}_2, \bar{e}_3) = \theta(e, e, e) = e^3 = e = (\varphi \mid K)(\bar{e})$ , where  $\bar{e}$  is the idempotent of  $\bar{K}$  such that  $\varphi(\bar{e}) = e$ . By Theorem 2, we can lift  $\theta$  to a semigroup and covering space isomorphism  $\bar{\theta}$  so that  $\bar{\theta}(\bar{e}_1, \bar{e}_2, \bar{e}_3) = \bar{e}$  and  $(\varphi \mid \bar{K}) \circ \bar{\theta} = \theta \circ \varphi'$ .

We now show that all the elements of  $\overline{X} \times \overline{e_2} \times \overline{e_3}$  are idempotent. Now,  $\varphi_2(\overline{\sigma}(\overline{e_3} \times \overline{X})) = \sigma((\varphi_3 \times \varphi_1)(\overline{e} \times \overline{X})) = \sigma(e \times X) = eX = e$ , since X is a left zero semigroup. This means that  $\overline{\sigma}(\overline{e_3} \times \overline{X})$  is a connected subset of the discrete set Ker  $\varphi_2$ . Moreover,  $\overline{e_2} = \overline{\sigma}(\overline{e_3}, \overline{e_1})$  is in  $\overline{\sigma}(\overline{e_3} \times \overline{X})$ . Therefore,  $\overline{\sigma}(\overline{e_3} \times \overline{X}) = \{\overline{e_2}\}$ . Thus, if x is in  $\overline{X}$ , then  $(x, \overline{e_2}, \overline{e_3})^2 = (x, \overline{e_2}\overline{\sigma}(\overline{e_3}, x)\overline{e_2}, \overline{e_3}) = (x, \overline{e_2}, \overline{e_3})$ , as desired.

We show that  $\varphi_1: \overline{X} \to X$  is one-to-one. Let  $x_1, x_2$  be in  $\overline{X}$  with  $\varphi_1(x_1) = \varphi_1(x_2)$ . Then  $\varphi(\overline{\theta}(x_i, \overline{e_2}, \overline{e_3})) = (\varphi \mid \overline{K}) \circ \overline{\theta}(x_i, \overline{e_2}, \overline{e_3}) = \theta \circ \varphi'(x_i, \overline{e_2}, \overline{e_3}) = \theta(\varphi_1(x_i), e, e) = \varphi_1(x_i)ee = \varphi_1(x_i), i = 1, 2$ , since  $\varphi_1(x_i)$  and e are in X, a left zero semigroup. Hence,  $\varphi(\overline{\theta}(x_1, \overline{e_2}, \overline{e_3})) = \varphi_1(x_1) = \varphi_1(x_2) = \varphi(\overline{\theta}(x_2, \overline{e_2}, \overline{e_3}))$ . Since  $(x_1, \overline{e_2}, \overline{e_3})$  and  $(x_2, \overline{e_2}, \overline{e_3})$  are idempotents, so are  $\overline{\theta}(x_1, \overline{e_2}, \overline{e_3}) = d(x_2, \overline{e_2}, \overline{e_3})$ . Hence,  $(x_1, \overline{e_2}, \overline{e_3}) = d(x_2, \overline{e_2}, \overline{e_3})$ . Hence,  $(x_1, \overline{e_2}, \overline{e_3}) = (x_2, \overline{e_2}, \overline{e_3})$  and  $x_1 = x_2$ .

Therefore, X is simply connected, and symmetrically, Y is simply connected. Moreover,  $P(K) \cong P(X \times G \times Y) \cong P(X) \times P(G) \times P(Y) \cong P(G)$ .

Let  $(\overline{G}, \beta)$  be a simply connected covering group of a compact Lie group G. It is known [4] that the following are equivalent: (a) G is semisimple, (b) P(G) is finite, (c)  $\overline{G}$  is compact. The following corollary follows easily.

COROLLARY 21. Using the hypotheses and notation of Theorem 20 and assuming that S is compact and that G is a Lie group, we have that the following are equivalent: (a) G is semisimple, (b) P(S) is finite, (c)  $\overline{S}$  is compact.

3. Applications and examples.

(A) Semigroups on the cylinder. Mostert and Shields [9] proved that a topological semigroup on the plane with an identity and no other idempotents must be a group. The cylinder can be handled as follows.

THEOREM. Let S be a topological semigroup with identity 1 and with the cylinder  $S^1 \times R$  as topological space structure. Here R is the line and  $S^1 = \{(x, y): (x, y) \text{ in } R^2 \text{ and } x^2 + y^2 = 1\}$ . If S has no idempotents other than 1, then S is a group.

*Proof.* S has a simply connected covering semigroup  $(\bar{S}, \varphi)$  with identity  $\bar{1}$  and space the plane. Moreover,  $\bar{S}$  has no other idempotents.

By Mostert and Shields,  $\overline{S}$  is a group. Being the homomorphic image of a group, S is a group.

(B) A non-locally connected example. In this section we discuss one type of cylindrical semigroup [6], p. 67. Following [6], we define  $H = [0, \infty)$  and  $H^* = [0, \infty]$ , both under addition.

THEOREM 1. Let  $(\bar{A}, \varphi)$  be a covering group of the group A, and let  $f: H \to A$  be a continuous homomorphism. Define  $f^+: H \to H^* \times A$  by  $f^+(p) = (p, f(p))$ . Since H is simply connected, there exists a unique homomorphism  $\bar{f}: H \to \bar{A}$  such that  $\varphi \circ \bar{f} = f$ . Now define  $\bar{f}^+: H \to H^* \times \bar{A}$  by  $\bar{f}^+(p) = (p, \bar{f}(p))$ . Let  $S = f^+(H) \cup \infty \times A$ and  $\bar{S} = \bar{f}^+(H) \cup \infty \times \bar{A}$ .

Then S and  $\overline{S}$  are closed subsemigroups of  $H^* \times A$  and  $H^* \times \overline{A}$ , respectively, and  $\overline{f}^+(H)$  is the component of  $(1 \times \varphi)^{-1}(f^+(H))$  that contains  $(0, \overline{1})$ , where  $1 \times \varphi$ :  $H^* \times \overline{A} \to H^* \times A$ . Moreover,  $(\overline{S}, (1 \times \varphi) | \overline{S})$ is a sort of "not necessarily connected (at most two components) covering semigroup" of S in the sense that  $(\overline{f}^+(H), (1 \times \varphi) | \overline{f}^+(H))$ is a trivial covering semigroup of  $f^+(H)$  and  $(\infty \times \overline{A}, (1 \times \varphi) | \infty \times \overline{A})$ is a covering semigroup of  $\infty \times A$ .

*Proof.* The fact that S and  $\overline{S}$  are closed subsemigroups of  $H^* \times A$ and  $H^* \times \overline{A}$  follows as in [6], as does the fact that  $f^+(H)$  and  $\overline{f}^+(H)$ are copies of H as subsemigroups of S and  $\overline{S}$ . Observing that  $(1 \times \varphi) \circ \overline{f}^+ = f^+$ , we have that  $\overline{f}^+(H)$  is a connected subsemigroup of  $(1 \times \varphi)^{-1}(f^+(H))$ . Let C be the component of  $(1 \times \varphi)^{-1}(f^+(H))$ containing  $\overline{f}^+(H)$ . Then  $(C, (1 \times \varphi) \mid C)$  is a covering semigroup of the simply connected  $f^+(H)$ . Thus C is a copy of H, and we must have  $\overline{f}^+(H) = C$ . The rest of the theorem is now obvious.

THEOREM 2. Let A be a connected topological group and  $f: H \rightarrow A$  a continuous homomorphism. Define  $f^+: H \rightarrow H^* \times A$  and S as in Theorem 1. Then S is not connected if and only if f is an imbedding onto a closed subset of A.

*Proof.* S is not connected if and only if  $f^+(H)$  is closed in S and, therefore, if and only if  $f^+(H)$  is closed in  $H^* \times A$ . This means that for each point a in A, there is a  $p_a$  in H and a neighborhood  $N_a$  of a such that  $(p_a, \infty] \times N_a$  is disjoint from  $f^+(H)$ , i.e., (p, f(p)) is not in  $(p_a, \infty] \times N_a$  for all p in H. Thus, S is not connected is equivalent to the existence of a neighborhood  $N_a$  of each point a of A such that f(p) is not in  $N_a$  for sufficiently large p. This last is equivalent to f(H) being closed in A and the local finiteness of the collection of all sets of the form f([k, k + 1]), k a nonnegative integer. The remainder of the proof is straightforward. I would like to thank Professor K. H. Hofmann of Tulane University for directing my doctoral dissertation of which this paper forms a part.

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RICHARD PIERCE

University of Washington Seattle, Washington 98105

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