

# Pacific Journal of Mathematics

**ON THE HYPERPLANE SECTION THROUGH A RATIONAL  
POINT OF AN ALGEBRAIC VARIETY**

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# ON THE HYPERPLANE SECTION THROUGH A RATIONAL POINT OF AN ALGEBRAIC VARIETY

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**Let  $V/k$  be an irreducible affine algebraic variety of dimension  $\geq 3$  defined over an infinite field  $k$  with  $\mathfrak{p}$  as its prime ideal in  $k[X_1, \dots, X_n]$ . Let  $P$  be a rational normal point on  $V/k$ . It is proved that (1) for a generic hyperplane  $H_u$  through  $P$ ,  $(\mathfrak{p}, H_u)$  is a prime ideal and  $(\mathfrak{p}, H_u)$  is quasi-absolutely (absolutely irreducible) if  $\mathfrak{p}$  is quasi-absolutely (absolutely irreducible). (2) It is not true in general that  $V \cap H_u$  is normal at  $P$ ; however,  $V \cap H_u$  is normal at  $P$  if the local ring of  $V/k$  at  $P$  is also Cohen-Macaulay (Theorem 8).**

It is well known [11] that if  $V/k$  is a normal variety of dimension  $\geq 2$ , then for almost all hyperplanes  $H$  the section  $V \cap H$  is again a normal variety. This research is motivated by this result to study the following problem: If  $V/k$  is normal at a rational point  $P$  on  $V$ , will hyperplane sections of  $V$  through  $P$  be normal at  $P$ ? Section 1 localizes some of the results of [11]. Section 2 describes the ideal decomposition of the generic hyperplane section through a given rational point of an irreducible variety, and Section 3 gives a negative answer to the problem of normality. As a consequence the converse of [3; Lemma 4, p. 360] is invalid in general.

**1. Generalities.** In the following and the subsequent sections, a variety  $V/k$  shall mean an irreducible algebraic variety in the affine space  $A^n$  defined over a field  $k$  of arbitrary characteristic.

Recall the following definitions.

**DEFINITION 1.** Let  $V/k$  be a variety with  $(\xi) = (\xi_1, \dots, \xi_n)$  as a generic point over  $k$ , and let  $P$  be a point on  $V$ . Let

$$k[\xi]_{\mathfrak{p}} = \left\{ \frac{f(\xi)}{g(\xi)} \mid f, g \in k[\xi] \text{ and } g(P) \neq 0 \right\}$$

be the local ring of  $V$  at  $P$  in the function field  $k(\xi)$  of  $V$  over  $k$ . We say that  $P$  is  $k$ -normal on  $V$  if  $k[\xi]_{\mathfrak{p}}$  is integrally closed in  $k(\xi)$ , that  $P$  is  $k$ -simple on  $V$  if  $k[\xi]_{\mathfrak{p}}$  is a regular local ring, and that  $P$  is singular on  $V$  if  $P$  is not  $k$ -simple on  $V$ .

**DEFINITION 2.** Let  $V/k$  be a variety of dimension  $r$ , and let  $P$  be a point on  $V$ . We say that  $V/k$  is locally free of  $s$ -dimensional

singularities at  $P$  if every  $s$ -dimensional subvariety of  $V$  containing  $P$  is  $k$ -simple on  $V$ .

**DEFINITION 3.** Let  $R$  be a finite integral domain  $k[\xi_1, \dots, \xi_n]$  over a field  $k$  or a localization thereof relative to a prime ideal of  $k[\xi_1, \dots, \xi_n]$ . Let  $\mathfrak{p}$  be a prime ideal of  $R$  we define

$ht \mathfrak{p} = \max. (\text{length of chains of prime ideals contained in } \mathfrak{p}),$

$\text{depth } \mathfrak{p} = \max. (\text{length of chains of prime ideals containing } \mathfrak{p}),$

$\dim \mathfrak{p} = \text{transcendence degree of the quotient field of } R/\mathfrak{p} \text{ over } k,$

$\dim R = \text{transcendence degree of the quotient field of } R \text{ over } k.$

It is well known that  $ht \mathfrak{p} + \text{depth } \mathfrak{p} = \dim R$  and  $\dim \mathfrak{p} = \text{depth } \mathfrak{p}$ .

The following criterion for local normality is parallel to [11; Th. 3, p. 363] and is well known [8; (12.9), p. 41].

**PROPOSITION 1.** Let  $V/k$  be a variety of dimension  $r$  defined over a field  $k$ , and let  $P$  be a point of dimension  $s$  on  $V$ .  $P$  is  $k$ -normal on  $V$  if and only if (1)  $V/k$  is locally free of  $(r-1)$ -dimensional singularities at  $P$ , (2) every nonzero principal ideal  $(a) \cdot k[\xi]_{\mathfrak{p}}$  is unmixed of dimension  $r-s-1$ .

**PROPOSITION 2.** Let  $V/k, (\xi)$ , and  $P$  be the same as those in Proposition 1, let  $k[\xi]_{\mathfrak{p}}^*$  be the integral closure of  $k[\xi]_{\mathfrak{p}}$ , and let  $\mathfrak{C}_{\mathfrak{p}}$  be the conductor of  $k[\xi]_{\mathfrak{p}}$ . If  $V$  is locally free of  $(r-1)$ -dimensional singularities at  $P$  and if  $\mathfrak{C}_{\mathfrak{p}} \neq (1)$ , then every nonzero element of  $\mathfrak{C}_{\mathfrak{p}}$  generates a mixed principal ideal.

*Proof.* Let  $\alpha \in k[\xi]_{\mathfrak{p}}^*$  not in  $k[\xi]_{\mathfrak{p}}$ , and let  $c \in \mathfrak{C}_{\mathfrak{p}}$ , whence  $c\alpha \in k[\xi]_{\mathfrak{p}}$ , say  $c\alpha = b$ ,  $b \in k[\xi]_{\mathfrak{p}}$ . Then  $(c) \cdot k[\xi]_{\mathfrak{p}}$  must be mixed. Indeed, if  $(c) k[\xi]_{\mathfrak{p}}$  were unmixed, and let  $\mathfrak{p}_1, \dots, \mathfrak{p}_t$  be the associated prime ideals of  $(c) k[\xi]_{\mathfrak{p}}$ , then  $\dim \mathfrak{p}_i = r-s-1$ , for  $i = 1, 2, \dots, t$ .  $\alpha$  is integral over  $k[\xi]_{\mathfrak{p}}$ , hence integral over  $(k[\xi]_{\mathfrak{p}})_{\mathfrak{p}_i}$  for  $i = 1, 2, \dots, t$ . By hypothesis  $(k[\xi]_{\mathfrak{p}})_{\mathfrak{p}_i}$  is a regular local ring of dimension 1, for  $i = 1, 2, \dots, t$ , therefore  $(k[\xi]_{\mathfrak{p}})_{\mathfrak{p}_i}$  is integrally closed for  $i = 1, 2, \dots, t$ . Hence  $\alpha \in \bigcap_{i=1}^t (k[\xi]_{\mathfrak{p}})_{\mathfrak{p}_i}$  and  $b \in (\bigcap_{i=1}^t (c)(k[\xi]_{\mathfrak{p}})_{\mathfrak{p}_i}) \cap k[\xi]_{\mathfrak{p}} = \bigcap_{i=1}^t \mathfrak{q}_i$ , where  $\mathfrak{q}_1 \cap \dots \cap \mathfrak{q}_t$  is a primary decomposition of  $(c) k[\xi]_{\mathfrak{p}}$ . Thus  $b \in (c) b[\xi]_{\mathfrak{p}}$ , i.e.,  $\alpha \in k[\xi]_{\mathfrak{p}}$ , a contradiction.

Let  $V/k$  be a variety of dimension  $r$  defined over a field  $k$  with  $(\xi)$  as a generic point, and let  $P$  be a point on  $V$ . Let  $u$  be an indeterminate over  $k(\xi)$ , it is well known that  $V$  is a variety over  $k(u)$  with  $(\xi)$  as a generic point of  $V$  over the pure transcendental extension field  $k(u)$ . Let  $k(u)[\xi]_{\mathfrak{p}} = \{f(u; \xi)/g(u; \xi) \mid f, g \in k(u)[\xi] \text{ and } g(u; \mathfrak{p}) \neq 0\}$

be the local ring of  $V$  at  $P$  over  $k(u)$ . We have, by [10, (d), p. 64], the following lemma.

LEMMA 1.  $k[\xi]_p$  is integrally closed if and only if  $k(u)[\xi]_p$  is integrally closed.

Recall the definition of the ground form of an unmixed  $r$ -dimensional ideal  $\mathfrak{U}$ , [11; p. 373], as following: Let  $\mathfrak{U}$  be an unmixed  $r$ -dimensional ideal in the polynomial ring  $k[X_1, \dots, X_n]$ , we form  $r+1$  linear forms in the  $X_i$ 's with indeterminates coefficients  $u_{ij}$ :  $z_i = u_{i1}x_1 + \dots + u_{in}x_n$ ,  $i = 1, 2, \dots, r+1$ , and consider the ideal  $\mathfrak{U} \cdot k(u)[X] \cap k(u)[z_1, \dots, z_{r+1}]$ , where  $k(u)[X] = k(u_{11}, \dots, u_{r+1,n})[X_1, \dots, X_n]$ , which is a principal ideal  $(E(z_1, \dots, z_{r+1}; u))$  in  $k(u)[X]$ . If  $E$  is normalized so as to be a polynomial in the  $u_{ij}$  and primitive in them, so that  $E$  is defined to within a factor in  $k$ , then  $E$  is the elementary divisor form or the ground form of  $\mathfrak{U}$ . The polynomial  $E$  is integral in any  $z_i$  over the other  $z_i$ 's and is a polynomial in  $z_1, \dots, z_{r+1}$  of least degree in  $z_{r+1}$ , which is in  $\mathfrak{U} \cdot k(u)[X]$ . If  $\mathfrak{U}$  is prime, then its ground form is irreducible, the converse is not true in general; but  $\mathfrak{U}$  is primary if and only if its ground form is a power of an irreducible polynomial [9; Th. 9, p. 252].  $\mathfrak{U}$  is prime and absolutely irreducible if and only if  $(E)$  is prime and absolutely irreducible [9; Th. 15, p. 259]. If  $\mathfrak{U}$  is prime and quasi-absolutely irreducible, then  $(E)$  is prime and quasi-irreducible [11, p. 373].

PROPOSITION 3. Let  $V/k$  be an  $r$ -dimensional variety defined over a field  $k$  with  $\mathfrak{p}$  as its prime ideal in  $k[X]$  ( $=k[X_1, \dots, X_n]$ ). Let  $p$  be a point on  $V$  and let  $E$  be the ground form of  $\mathfrak{p}$ . Then  $V$  is  $k$ -normal at  $p$  if and only if  $(\mathfrak{p}, \partial E / \partial z_{r+1}) \cdot k(u)[X]_p$  is unmixed.

*Proof.* By Lemma 1,  $V$  is  $k$ -normal at  $P$  if and only if  $V$  is  $k(u)$ -normal at  $P$ . By [13; Lemma 2, p. 132]  $V/k(u)$  is free of  $(r-1)$ -dimensional singularities at  $P$ . Let  $(\xi)$  be a generic point of  $V/k(u)$ , and pass to  $k(u)[\xi]$ , we assert that  $k(u)[\xi]_p$  is integrally closed if and only if  $(\partial \bar{E} / \partial \bar{z}_{r+1}) \cdot k(u)[\xi]_p$  is unmixed, where the bar denotes residue. By the proof of [11; Th. 5, p. 365], we have  $\partial \bar{E} / \partial \bar{z}_{r+1} \in \mathfrak{C}$ , the conductor of  $k(u)[\xi]$  in its integral closure  $k(u)[\xi]^*$ . Let  $\mathfrak{C}_p$  be the conductor of  $k(u)[\xi]_p$  in its integral closure  $k(u)[\xi]_p^*$ . By [15; Lemma, p. 269],  $\mathfrak{C} \cdot k(u)[\xi]_p = \mathfrak{C}_p$ . Therefore  $\partial \bar{E} / \partial \bar{z}_{r+1} \in \mathfrak{C}_p$ . By Proposition 2, we have that  $k(u)[\xi]_p$  is integrally closed if and only if  $(\partial \bar{E} / \partial \bar{z}_{r+1}) \cdot k(u)[\xi]_p$  is unmixed.

2. Irreducibility of generic hyperplane section through a normal point. Let  $V/k$  be a variety of dimension  $r \geq 2$ . Let  $P \in V$  be a rational point. We are studying the generic hyperplane section

of  $V$  through  $P$ . Without loss of generality, we may assume once for all in the sequel that  $V$  passes through (0) the origin of the affine space and that  $P = (0)$ . We shall denote the prime ideal of  $V/k$  by  $\mathfrak{p}$  in the sequel. Let  $u_1, \dots, u_n$  be  $n$  indeterminates over  $k$ , and let  $H_u$  be the generic hyperplane through (0) defined by  $u_1X_1 + \dots + u_nX_n = 0$ . We shall use  $H_u$  in two senses whenever it is proper: (1)  $H_u$  means the linear polynomial  $u_1X_1 + \dots + u_nX_n$  in  $k(u)[X] (=k(u_1, \dots, u_n)[X_1, \dots, X_n])$ , (2)  $H_u$  stands for the hyperplane defined by  $u_1X_1 + \dots + u_nX_n = 0$ . Let  $k(u) = k(u_1, \dots, u_n)$ ,  $V$  is a variety over  $k(u)$  and  $V \cap H_u$  is defined over  $k(u)$ . Let  $(\mathfrak{p}, H_u) = \mathfrak{q}_1 \cap \dots \cap \mathfrak{q}_t$  be an irredundant primary decomposition with  $\mathfrak{p}_1, \dots, \mathfrak{p}_t$  as the associated prime ideals. Let  $\mathfrak{p}_1, \dots, \mathfrak{p}_s, s \leq t$ , be the isolated prime ideals. Since  $(0) \in V$ ,  $(\mathfrak{p}, H_u) \subset (X_1, \dots, X_n) \cdot k(u)[X]$ . Hence  $(X_1, \dots, X_n) \cdot k(u)[X]$  must contain at least one of the  $\mathfrak{p}_i, i \leq s$ , say  $\mathfrak{p}_1$ . Let us denote  $\mathfrak{p}_1$  by  $\mathfrak{p}_u$  and let  $W_u$  be the variety over  $k(u)$  of  $\mathfrak{p}_u$ .  $W_u$  is of dimension  $r - 1$  as it is well known that any component of  $V \cap H$ , where  $H$  is a hypersurface, is of dimension  $r - 1$ . Let  $(\xi)$  be a generic point of  $W_u$  over  $k(u)$ . Since  $\text{tr. deg}_{k(\xi)} k(u; \xi) + \text{tr. deg}_k k(\xi) = \text{tr. deg}_k k(u; \xi) = \text{tr. deg}_k k(u) + \text{tr. deg}_{k(u)} k(u; \xi) = n + r - 1$  and  $\text{tr. deg}_{k(\xi)} k(u; \xi) \leq n - 1$ , we have  $\text{tr. deg}_{k(\xi)} k(u; \xi) \geq r$ . But  $(\xi) \in V$ , therefore  $\text{tr. deg}_k k(\xi) = r$ . We thus have

LEMMA 2. *If  $\dim V \geq 2$ , a generic point of  $W_u$  over  $k(u)$  is also a generic point of  $V$  over  $k$ .*

LEMMA 3. *If  $\xi_j \neq 0$ , then  $u_1, \dots, u_{j-1}, u_{j+1}, \dots, u_n$  are algebraically independent over  $k(\xi)$ .*

*Proof.* Say

$$\begin{aligned} i &= 1, \text{tr. deg}_{k(u_2, \dots, u_n)} k(u_1, \dots, u_n; \xi) \\ &+ \text{tr. deg}_k k(u_2, \dots, u_n) = n + r - 1. \end{aligned}$$

Therefore  $\text{tr. deg}_{k(u_2, \dots, u_n)} k(u_1, \dots, u_n; \xi) = r$ .

Since

$$\frac{u_2\xi_2 + \dots + u_n\xi_n}{\xi_1} \in k(u_2, \dots, u_n; \xi_1, \dots, \xi_n),$$

we have  $k(u_1, \dots, u_n; \xi) = k(u_2, \dots, u_n; \xi)$ . Now

$$\text{tr. deg}_{k(\xi)} k(u_2, \dots, u_n; \xi) + r = r + n - 1.$$

Therefore  $\text{tr. deg}_{k(\xi)} k(u_2, \dots, u_n; \xi) = n - 1$ , i.e.,  $u_2, \dots, u_n$  are algebraically independent over  $k(\xi)$ .

PROPOSITION 4. *Let  $(\xi), \mathfrak{p}_u$  and  $W_u$  be as above. Then  $(\mathfrak{p}, H_u)$ :*

$(X_1, \dots, X_u)^\rho = \mathfrak{p}_u$  for sufficiently large integers  $\rho$ , where  $(X_1, \dots, X_n) = (X, \dots, X_n) \cdot k(u)[X]$ .

*Proof.* Let  $F(u_1, \dots, u_n; X) \in \mathfrak{p}_u$  be a polynomial, we may assume  $F(u_1, \dots, u_n; X) \in k[u_1, \dots, u_n][X]$ . If  $\xi_1 \neq 0$ ,  $F(u_1, \dots, u_n; \xi) = 0$  implies that  $F(-(u_2\xi_2 + \dots + u_n\xi_n/\xi_1), u_2, \dots, u_n; \xi) = 0$ . Hence there exists a nonnegative integer  $\sigma$  such that  $X_1^\sigma$ .

$$F\left(-\frac{u_2X_2 + \dots + u_nX_n}{X_1}, u_2, \dots, u_n; X\right) \in k(u_2, \dots, u_n)[X]$$

vanishes at  $(\xi)$ . By Lemma 3, the prime ideal determined by  $(\xi)$  in  $k(u_2, \dots, u_n)[X]$  is  $\mathfrak{p}k(u_2, \dots, u_n)[X]$ . Thus

$$X_1^\sigma F\left(-\frac{u_2X_2 + \dots + u_nX_n}{X_1}, u_2, \dots, u_n; X\right) \in \mathfrak{p} \cdot k(u_1, \dots, u_n)[X]$$

for sufficiently large  $\sigma$ . But

$$\begin{aligned} X_1^\sigma F\left(-\frac{u_2X_2 + \dots + u_nX_n}{X_1}, u_2, \dots, u_n; X\right) \\ - X_1^\sigma F(u_1, \dots, u_n; X) \equiv 0 \end{aligned}$$

mod  $(u_1X_1 + \dots + u_nX_n) \cdot k(u)[X]$  for sufficiently large  $\sigma$ . We have  $X_1^\sigma F(u_1, \dots, u_n; X) \in (\mathfrak{p}, H_u) \cdot k(u)[X]$  for sufficiently large  $\sigma$ . The above discussion is symmetric with respect to those  $\xi_i \neq 0$ . Therefore for any  $\xi_i \neq 0$ , we have  $X_i^{\sigma_i} F(u_1, \dots, u_n; X) \in (\mathfrak{p}, H_u)$  for sufficiently large integer  $\sigma_i$  and for all  $F \in \mathfrak{p}_u$ . For any  $j$  such that  $\xi_j = 0$ ,  $X_j \in \mathfrak{p}$ . Thus  $X_j^{\sigma_j} F \in (\mathfrak{p}, H_u)$  for any positive integer  $\sigma_j$  and for all  $F \in \mathfrak{p}_u$ . Thus  $(\mathfrak{p}, H_u): (X_1, \dots, X_n)^\rho \supset \mathfrak{p}_u$  for sufficiently large integer  $\rho$ . We now show the other inclusion. Let  $g(u_1, \dots, u_n; X)$  be an element in  $(\mathfrak{p}, H_u): (X_1, \dots, X_n)^\rho$ . Then for any  $h(u_1, \dots, u_n; X) \in (X_1, \dots, X_n)^\rho$ ,  $h(u; X) \cdot g(u; X) \in (\mathfrak{p}, H_u)$ . Therefore, there exists  $m_i(u; X)$ ,  $n(u; X) \in k(u)[X]$  such that  $h(u; X)g(u; X) = \sum_{i=1}^s m_i(u; X) \cdot F_i(X) + n(u; X)H_u$ , where  $(F_1, \dots, F_s) \cdot k[X] = \mathfrak{p}$ . Thus  $h(u; \xi)g(u; \xi) = 0$ . If  $g(u; \xi) \neq 0$ , then  $h(u; X) = 0$  at  $(\xi)$  for all  $h(u; X) \in (X_1, \dots, X_n)^\rho$ , which implies that  $(\xi) = (0)$ , a contradiction. Thus  $g(u; X) = 0$  at  $(\xi)$  and therefore  $\mathfrak{p} \supset (\mathfrak{p}, H_u): (X_1, \dots, X_n)^\rho$ .

COROLLARY.  $(\mathfrak{p}, H_u)$  has only one isolated component.

*Proof.* Suppose  $\mathfrak{p}_2$  is another isolated component, by Proposition 4, we have  $(\mathfrak{p}, H_u): (X_1, \dots, X_n)^{\rho'} = \mathfrak{p}_2$ , for sufficiently large integer  $\rho'$ . Hence we have  $\mathfrak{p}_2 = (\mathfrak{p}, H_u) = (X_1, \dots, X_n)^\rho = \mathfrak{p}_u$ .

THEOREM 1. If  $V/k$  is of dimension  $r \geq 2$ , then  $(\mathfrak{p}, H_u) \cdot k(u)[X]$

is either a prime ideal  $\mathfrak{p}_u$  or an intersection of the prime ideal  $\mathfrak{p}_u$  with a primary ideal of which  $(X_1, \dots, X_n) \cdot k(u)[X]$  is its radical.

*Proof.* Let  $\mathfrak{B} = (\mathfrak{p}, H_u)$  and let  $\mathfrak{B} = \mathfrak{q}_1 \cap \dots \cap \mathfrak{q}_t$  be the irredundant primary representation of  $\mathfrak{B}$  with  $\mathfrak{p}_1, \dots, \mathfrak{p}_t$  as the associated prime ideals. By the corollary, there exists only one isolated prime component, say  $\mathfrak{q}_i$ , and denote  $\mathfrak{p}_i$  by  $\mathfrak{p}_u$ . Let  $\mathfrak{m} = (X_1, \dots, X_n) \cdot k(u)[X]$ . Since  $\mathfrak{B} : \mathfrak{m}^\rho = \mathfrak{p}_u$  for sufficiently large  $\rho$ , we have  $(\mathfrak{q}_i : \mathfrak{m}^\rho) = \mathfrak{p}_u$ . There are two possibilities (I) no  $\mathfrak{p}_i$  contains  $\mathfrak{m}^\lambda$  for any nonnegative integer  $\lambda$ , or (II) some of  $\mathfrak{p}_i$  contains a power of  $\mathfrak{m}$ . (I) leads to  $\mathfrak{B} = \mathfrak{p}_u$ . In case of (II), say  $\mathfrak{p}_2$  contains  $\mathfrak{m}^\lambda$  for some  $\lambda$  then  $\mathfrak{m} = \mathfrak{p}_2$ . We may assume that there is no other  $\mathfrak{p}_j$  to contain  $\mathfrak{m}^\lambda$  for any  $0 \leq \lambda \in \mathbf{Z}$ . Thus for  $i = 1, 3, 4, \dots, r$ ,  $\mathfrak{q}_i : \mathfrak{m}^\lambda = \mathfrak{q}_i$  for any  $0 \leq \lambda \in \mathbf{Z}$ . Since  $\mathfrak{q}_2 : \mathfrak{m}^\rho = k(u)[X]$  for large  $\rho$ , hence  $\mathfrak{B} : \mathfrak{m}^\rho = (\mathfrak{q}_1 : \mathfrak{m}^\rho) \cap (\mathfrak{q}_2 : \mathfrak{m}^\rho) \cap \dots \cap (\mathfrak{q}_r : \mathfrak{m}^\rho) = \mathfrak{q}_1 \cap \mathfrak{q}_3 \cap \mathfrak{q}_4 \cap \dots \cap \mathfrak{q}_t$  and thus  $\mathfrak{p}_u \cap \mathfrak{q}_2 = (\mathfrak{p}, H_u)$ .

COROLLARY 1. If  $V$  is normal over  $k$ , then  $(\mathfrak{p}, H_u) = \mathfrak{p}_u$ .

*Proof.* Passing to the coordinate ring of  $V$ ,  $k(u)[\gamma]$ , we have that  $(u_1\gamma_1 + \dots + u_n\gamma_n) \cdot k(u)[\gamma]$  is unmixed. Letting  $\bar{\mathfrak{p}}_u = \mathfrak{p}_u/\mathfrak{p}$ ,  $\bar{\mathfrak{q}}_2 = \mathfrak{q}_2/\mathfrak{p}$  we have  $(\sum u_i\gamma_i) = \bar{\mathfrak{p}}_u \cap \bar{\mathfrak{q}}_2$  or  $(\sum u_i\gamma_i) = \bar{\mathfrak{p}}_u$ , by Theorem 1. The unmixedness implies that  $(\sum u_i\gamma_i) = \bar{\mathfrak{p}}_u$ , i.e.,  $(\mathfrak{p}, H_u) = \mathfrak{p}_u$ .

COROLLARY 2. If  $V$  is  $k$ -normal at  $(0)$ , then  $(\mathfrak{p}, H_u) = \mathfrak{p}_u$  i.e.,  $(\mathfrak{p}, H_u)$  is a prime ideal.

*Proof.* By Theorem 1,  $(\mathfrak{p}, H_u) = \mathfrak{p}_u$  or  $(\mathfrak{p}, H_u) = \mathfrak{p}_u \cap \mathfrak{q}_2$ . Passing to the local ring  $k(u)[\gamma]_{(0)}$  of  $V$  at  $(0)$ , we have  $(\sum u_i\gamma_i)k(u)[\gamma]_{(0)} = \bar{\mathfrak{p}}_u^e$  or  $\bar{\mathfrak{p}}_u^e \cap \bar{\mathfrak{q}}_2^e$  where  $\bar{\mathfrak{p}}_u = \mathfrak{p}_u/\mathfrak{p}$ ,  $\bar{\mathfrak{q}}_2 = \mathfrak{q}_2/\mathfrak{p}\bar{\mathfrak{p}}_u^e$  and  $\bar{\mathfrak{q}}_2^e$  are extensions of  $\bar{\mathfrak{p}}_u$  and  $\bar{\mathfrak{q}}_2$  in  $k(u)[\gamma]_{(0)}$  respectively. Since  $k(u)[\gamma]_{(0)}$  is integrally closed, the unmixedness of  $(\sum u_i\gamma_i) \cdot k(u)[\gamma]_{(0)}$  implies that  $(\sum u_i\gamma_i)k(u)[\gamma]_{(0)} = \bar{\mathfrak{p}}_u$  and  $(\mathfrak{p}, H_u) = \mathfrak{p}_u$ .

Recall that  $V/k$  is a quasi-absolutely irreducible variety if  $k$  is quasi-algebraically closed in the field  $k(\xi_1, \dots, \xi_n)$  of rational functions on  $V/k$ ; a prime ideal  $\mathfrak{A}$  in  $k[X_1, \dots, X_n]$  is quasi-absolutely irreducible if  $\bar{k}[X_1, \dots, X_n]\mathfrak{A}$  is primary, where  $\bar{k}$  is the algebraic closure of  $k$ . By [11; Th. 10, p. 371],  $\mathfrak{p}$  is quasi-absolutely irreducible if and only if  $V/k$  is quasi-absolutely irreducible.  $V/k$  is absolutely irreducible if  $k$  is algebraically closed in  $k(\xi)$  and  $k(\xi)$  is separable over  $k$ . A prime ideal  $\mathfrak{A}$  in  $k[X_1, \dots, X_n]$  is absolutely irreducible if  $\bar{k}[X_1, \dots, X_n]$ .  $\mathfrak{A}$  is a prime ideal. It is well known that the prime ideal  $\mathfrak{p}$  of  $V/k$  is absolutely irreducible if and only if  $V/k$  is.

THEOREM 2. If  $V/k$  is quasi-absolutely irreducible of dimension

$r \geq 3$  and if  $k$  is infinite, then  $V \cap H_u/k(u)$  is quasi-absolutely irreducible.

*Proof.* Let  $(\eta)$  be a generic point of  $V \cap H_u$  over

$$k(u) = k(u_1, \dots, u_n).$$

By Lemma 2,  $(\eta)$  is a generic point of  $V$  over  $k$ . Let  $\eta_1, \eta_2$ , and  $\eta_n$  be algebraically independent over  $k$ . By Lemma 3,  $(\eta)$  is a generic point of  $V$  over  $k(u_2, \dots, u_n)$ . By [11; Lemma 5, p. 368],  $k(u_2, \dots, u_n)$  is quasi-algebraically closed in  $k(u_2, \dots, u_n)(\eta)$ . Let  $\Sigma = k(u_2, \dots, u_{n-1})(\eta)$ ,  $u_n$  is algebraically independent over  $\Sigma$ . Viewing  $k(u_2, \dots, u_{n-1})$  as the field  $k$  and  $u_n$  as the  $u$  in [11; corollary, p. 369], we have  $\Sigma(u_n) = k(u_2, \dots, u_{n-1})(u_n)(\eta) = k(u)(\xi)$ . Let  $\xi_1$  and  $\xi_2$  in [11; corollary, p. 369] be replaced by  $-(u_2\eta_2 + \dots + u_{n-1}\eta_{n-1})/\eta_1$  and  $-\eta_n/\eta_1$  respectively, one sees that  $-(u_2\eta_2 + \dots + u_{n-1}\eta_{n-1})/\eta_1$  and  $\eta_n/\eta_1$  are algebraically independent over  $k(u_2, \dots, u_{n-1})$ . Hence by the same corollary we have that

$$\begin{aligned} & k(u_2, \dots, u_{n-1})(u_n)(-(u_2\eta_2 + \dots + u_{n-1}\eta_{n-1})/\eta_1 - u_n\eta_n/\eta_1) \\ &= k(u_2, \dots, u_{n-1})(u_n)(u_1) = k(u) \end{aligned}$$

quasi-algebraically closed in  $\Sigma(u_n) = k(u)(\eta)$ .

**LEMMA 4.** *Let  $K$  be a regular finitely generated extension of an infinite field  $k$  with  $\text{tr. deg}_k K \geq 3$ . Let  $x, y, z$  be three elements of  $K$  algebraically independent over  $k$ , and  $z/x \notin K^p k$ , where  $p$  is the characteristic of  $k$ . Then for all but a finite number of constants  $c \in k$ ,  $K$  is a regular extension of  $k(y + cz/x)$ . Moreover, let  $\tau$  be an indeterminate  $K(\tau)$  is regular over  $k(\tau)(y + \tau z/x)$ .*

*Proof.* [5; Lemma 3].

**THEOREM 3.** *If  $V/k$  is an absolutely irreducible variety of dimension  $r \geq 3$  defined over an infinite field  $k$ , then  $V \cap H_u/k(u)$  is an absolutely irreducible variety.*

*Proof.*  $V \cap H_u/k(u)$  is irreducible. Let  $(\xi)$  be a generic point of  $V \cap H_u$  over  $k(u)$ . By Lemma 3,  $(\xi)$  is a generic point of  $V$  over  $k$ , hence  $\text{tr. deg}_k k(\xi) \geq 3$  and  $k(\xi)$  is a regular extension over  $k$  by [12; Proposition 1, p. 69]. Let  $\xi_1, \xi_2$  and  $\xi_n$  be three elements in a separable transcendental basis of  $k(\xi)$  over  $k$ . Let  $K = k(u_2, \dots, u_{n-1})(\xi)$ ,  $u_n$  is algebraically independent over  $K$ . Viewing  $k(u_2, \dots, u_{n-1})$  as the field  $k$  and  $u_n$  as the  $\tau$  in Lemma 4, we have  $K(u_n) = k(u)(\xi)$ . Let  $y = -(u_2\xi_2 + \dots + u_{n-1}\xi_{n-1})$ ,  $z = \xi_n$  and  $x = \xi_1$ , then  $x, y$  and  $z$  are



algebraically over  $k(u_2, \dots, u_{n-1})$ . By [6, Proposition 1, p. 185] and [6; corollary to Proposition 2, p. 186],  $z/x = -\xi_n/\xi_1 \notin K^p k(u_2, \dots, u_{n-1})$ , we have that  $K(u_n)$  is a regular extension over

$$k(u_2, \dots, u_{n-1})(u_n) \left( \frac{y - u_n z}{k} \right) = k(u).$$

Therefore  $k(u)(\xi)$  is a regular extension over  $k(u)$ , hence  $V \cap H_n/k(u)$  is an absolutely irreducible variety.

Let  $\{F_1, \dots, F_s\}$  be a set of generators of  $\mathfrak{p}$  in  $k[x]$ . Let  $P$  be a point on  $V$ . According to [14],  $P$  is  $k$ -simple on  $V$  if and only if the mixed Jacobian of  $\{F_1, \dots, F_s\}$  is of rank  $n - r$  at  $P$ . When  $k(P)$  is separable over  $k$ ,  $P$  is  $k$ -simple on  $V$  if and only if the classical Jacobian of  $\{F_1, \dots, F_s\}$  is of rank  $n - r$  at  $P$ .

Following Theorem 1, we denote  $\mathfrak{p}_u$  as the sole isolated component of  $(\mathfrak{p}, H_u)$  and  $W_u/k(u)$  as its variety in the sequel.

**THEOREM 4.** *Let  $V/k$  be of dimension  $r \geq 2$ . Then  $P \in W_u$  is  $k(u)$ -simple if and only if  $P$  is  $k$ -simple on  $V$ .*

*Proof.* Let  $P \in W_u$  be  $k$ -simple on  $V$ . By Theorem 1,  $(\mathfrak{p}, H_u) = \mathfrak{p}_u \cap \mathfrak{A}$ , where  $\mathfrak{A}$  is the embedded component with  $(X_1, \dots, X_n)$  as radical. Let  $(\eta)$  be a generic point of  $V$  over  $k(u)$ , and let  $(\xi)$  be a generic point of  $W_u$  over  $k(u)$ . Let  $k(u)[\eta]_{\mathfrak{p}}$  and  $k(u)[\xi]_{\mathfrak{p}}$  be the local rings of  $V$  and  $W_u$  at  $P$  respectively.  $k(u)[\eta]_{\mathfrak{p}}$  is regular and

$$k(u)[\xi]_{\mathfrak{p}} \cong k(u)[\eta]_{\mathfrak{p}} / \bar{\mathfrak{p}}_u \cdot k(u)[\eta]_{\mathfrak{p}},$$

where  $\bar{\mathfrak{p}}_u$  is the residue of  $\mathfrak{p}_u$  modulo  $\mathfrak{p}$ . If  $P \neq (0)^1$ , let  $\mathfrak{A}$  be the residue of  $\mathfrak{A}$  modulo  $\mathfrak{p}$  and let  $\mathfrak{m}_{\mathfrak{p}}$  be the maximal ideal of  $k(u)[\eta]_{\mathfrak{p}}$ , then  $\mathfrak{A}k(u)[\eta] \not\subset \mathfrak{m}_{\mathfrak{p}}$ . For otherwise  $(\eta_1, \dots, \eta_n)^{\rho} \subset \mathfrak{m}_{\mathfrak{p}}$  for some integer  $\rho > 0$ , as  $(X_1, \dots, X_n)^{\rho} \subset \mathfrak{A}$ . Thus  $P = (0)$ , a contradiction. Therefore, when  $P \neq (0)$ ,  $(\sum u_i \eta_i) \cdot k(u)[\eta]_{\mathfrak{p}} = \bar{\mathfrak{p}}_u \cdot k(u)[\eta]_{\mathfrak{p}}$ , and  $k(u)[\xi]_{\mathfrak{p}} \cong k(u)[\eta]_{\mathfrak{p}} / (\sum u_i \eta_i)k(u)[\eta]_{\mathfrak{p}}$ . By [16; Th. 26, p. 303], to show that  $k(u)[\xi]_{\mathfrak{p}}$  is regular it is sufficient to show that  $\sum u_i \eta_i \notin \mathfrak{m}_{\mathfrak{p}}^2$ . But this is the case, for if  $\sum u_i \eta_i \in \mathfrak{m}_{\mathfrak{p}}^2$ , taking partial derivatives with respect to  $u_i$  for  $i = 1, 2, \dots, n$ , we have  $\eta_i \in \mathfrak{m}_{\mathfrak{p}}$  for  $i = 1, 2, \dots, n$ , i.e.,  $P = (0)$  a contradiction. Therefore  $k(u)[\xi]_{\mathfrak{p}}$  is regular. If  $P = (0)$ , then  $(0)$  is  $k$ -normal on  $V$ . By Corollary 2 to Theorem 1,  $(\mathfrak{p}, H_u) = \mathfrak{p}_u$ . In viewing [14, Th. 7, p. 28], we let  $F_1, \dots, F_s$  be a basis of  $\mathfrak{p}$ , and let  $F_i$ 's and  $X_i$ 's be so arranged that  $(\det(\partial F_i / \partial X_j))_{(0)} \neq 0$ , where  $i, j = 1, 2, \dots, n - r$ , and the subscript  $(0)$  means that we replace  $(X)$  by  $(0)$  after the determinant of the Jacobian is formed, as the rank of

<sup>1</sup> If  $P \neq (0)$ , and if  $P$  is  $k$ -simple on  $V$ , then  $P$  remains simple on  $W_u/k(u)$  follows also from [13; the theorem of Bertini, p. 138].

$$J(F_1, \dots, F_s, X_1, \dots, X_n)_{(0)} = n - r.$$

Consider

$$\Delta_j = \det \begin{bmatrix} \partial F_1 / \partial X_1 & \cdots & \partial F_1 / \partial X_{n-r} & \partial F_1 / \partial X_j \\ \vdots & & & \\ \partial F_{n-r} / \partial X_1 & \cdots & \partial F_{n-r} / \partial X_{n-r} & \partial F_{n-r} / \partial X_j \\ u_1 & \cdots & u_{n-r} & u_j \end{bmatrix}_{(0)}$$

where  $\eta - r + 1 \leq j < \eta$ . If  $\Delta_j = 0$  for some  $j$  then  $u_1, \dots, u_{n-r}, u_j$  are algebraically dependent over  $k$ . This is a contradiction, hence (0) is  $k$ -simple on  $W_u$ . Conversely, assume that  $P \in W_u$  is  $k(u)$ -simple on  $W_u$ . If  $P \neq (0)$ , we have  $k(u)[\xi]_P \cong k(u)[\eta]_P / (\sum u_i \eta_i) \cdot k(u)[\eta]_P$  from the above. If  $P = (0)$ , then  $P$  is  $k(u)$ -normal on  $W_u$ . By Theorem 6 in the following  $V/k$  is normal at (0), therefore  $(\mathfrak{p}, H_u) = \mathfrak{p}_u$  and  $k(u)[\xi]_{(0)} \cong k(u)[\eta]_{(0)} / (\sum u_i \eta_i) \cdot k(u)[\eta]_{(0)}$ . Therefore  $k(u)[\xi]_P \cong k(u)[\eta]_P / (\sum u_i \eta_i) \cdot k(u)[\eta]_P$  if  $P$  is  $k(u)$ -simple on  $W_u$ . Since  $ht((\sum u_i \eta_i) \cdot k(u)[\eta]_P) = 1$ , it follows from [8; (9; 11), p. 28] that  $k(u)[\eta]_P$  is a regular local ring. Hence  $P$  is  $k$ -simple on  $V$ .

By an argument similar to the proof of Lemma 2, we have the following.

**COROLLARY.** *If  $V/k$  is of dimension  $r \geq 3$  and if  $V/k$  is locally free of  $(r - 1)$ -dimensional singularities, then  $V \cap H_u/k(u)$  is locally free of  $(r - 2)$ -dimensional singularities.*

*Note.* If  $r = 2$ , the corollary is clearly false as one sees by taking  $V$  to be a cone with vertex at (0).

**THEOREM 5.** *If  $V/k$  is a complete intersection of dimension  $\geq 3$  and if  $V$  is  $k$ -normal at (0), then the generic hyperplane section  $V \cap H_u$  is also  $k(u)$ -normal at (0).*

*Proof.*  $V/k(u)$  is  $k(u)$ -normal at (0), by Lemma 1. By corollary to Theorem 1,  $(\mathfrak{p}, H_u) = \mathfrak{p}_u$  is prime. For any polynomial  $F \neq 0$  in  $k(u)[X]$ , by [7; Th. p. 49] or [16; Th. 26, p. 203],  $(\mathfrak{p}_u, F) = (\mathfrak{p}, H_u, F)$  is unmixed. Hence, passing to the quotient modulo  $\mathfrak{p}_u$ , we have that every nonzero principal ideal in the coordinate ring  $k(u)[\xi]$  of  $V \cap H_u$  is unmixed. It follows that every nonzero principal ideal in the local ring of  $V \cap H_u$  at (0),  $k(u)[\xi]_{(0)}$ , is also unmixed. Since  $V/k$  is  $k$ -normal at (0), therefore  $V/k$  is locally free of  $(r - 1)$ -dimensional singularities at (0). By the above corollary,  $V \cap H_u$  is locally free of  $(r - 2)$ -dimensional singularities at (0). It follows from Proposition 1 that  $V \cap H_u$  is  $k(u)$ -normal at (0).

**THEOREM 6.** *If  $V \cap H_u$  is  $k(u)$ -normal at  $(0)$ , then  $V/k$  is normal at  $(0)$ .*

*Proof.* This theorem is really a consequence of [3; Lemma 4, p. 360] ([8; (36.9), p. 134]). Indeed, let  $(\eta)$  be a generic point of  $V$  over  $k(u)$ . Passing to  $k(u)[\eta]$ , by Theorem 1, we have  $(u_1\eta_1 + \cdots + u_n\eta_n) \cdot k(u)[\eta] = \bar{p}_u \cap \bar{q}$ , where  $\bar{p}_u$  and  $\bar{q}$  are residues of  $p_u$  and  $q$  modulo  $p$  respectively. It is clear that (1)  $(u_1\eta_1 + \cdots + u_n\eta_n) \cdot k(u)[\eta]_{(0)} = \bar{p}_u \cdot k(u)[\eta]_{(0)} \cap \bar{q}k(u)[\eta]_{(0)}$ ,  $u_1\eta_1 + \cdots + u_n\eta_n$  is in the Jacobson radical of  $k(u)[\eta]_{(0)}$ , (2)  $(u_1\eta_1 + \cdots + u_n\eta_n) \cdot (k(u)[\eta]_{(0)})_{\bar{p}_u} = \bar{p}_u \cdot (k(u)[\eta]_{(0)})_{\bar{p}_u}$ , and (3) let  $(\xi)$  be a generic point of  $V \cap H_u$  over  $k(u)$ , then

$$\frac{k(u)[\eta]_{(0)}}{\bar{p}_u k(u)[\eta]_{(0)}} \cong k(u)[\xi]_{(0)},$$

which is integrally closed as  $V \cap H_u$  is  $k(u)$ -normal at  $(0)$ . Moreover, let  $k(u)[\eta]_{(0)}^*$  be the integral closure of  $k(u)[\eta]_{(0)}$  in  $k(u)(\eta)$ , and let  $p'$  be a minimal prime divisor of  $(u_1\eta_1 + \cdots + u_n\eta_n) \cdot k(u)[\eta]_{(0)}^*$ . It follows from [2; Th. 2, p. 253] and [2; Th. 3; p. 254] that  $ht(p' \cap k(u)[\eta]_{(0)}) = ht p = 1$ . Therefore  $p' \cap k(u)[\eta]_{(0)} = \bar{p}_u$ , i.e., every minimal prime divisor of  $(u_1\eta_1 + \cdots + u_n\eta_n) \cdot k(u)[\eta]_{(0)}^*$  lies over  $p_u$ . The above verify the conditions of [3; Lemma 4, p. 360], therefore  $k(u)[\eta]_{(0)}$  is integrally closed.

**3. The local normal problem.** Throughout this section let  $V/k$  be a variety of dimension  $r \geq 3$ , passing through  $(0)$  with  $(\xi)$  as a generic point over  $k$  and let  $H_u: u_1X_1 + \cdots + u_nX_n = 0$  be a generic hyperplane through  $(0)$ . If  $V/k$  is normal at  $(0)$ , is it true that  $H_u \cap V$  is  $k(u)$ -normal at  $(0)$ ? If  $V/k$  is a complete intersection then by Theorem 5, the answer to the question is yes. However we shall prove the answer to the question is negative in general.

**DEFINITION 4.** (a) Let  $R$  be a Noetherian ring. Subset  $\{a_1, \dots, a_q\}$  of  $R$  is a prime sequence if for each  $i = 1, 2, \dots, q$ ,  $a_i$  is not a zero divisor in the ring  $R/(a_1, \dots, a_{i-1}) \cdot R$ .

(b) Let  $R$  be a local ring, the number of elements of a maximal prime sequence in  $R$  is called the homological co-dimension of  $R$ , and is denoted by  $\text{cod } h(A)$ . If  $\text{cod } h(A) = \dim A$ , we say that  $A$  is a Cohen-Macaulay ring.

For a general commutative ring  $R$  and a multiplicative system  $S$  which does not contain 0, it is well known [15, p. 219] that  $(\mathfrak{A}:\mathfrak{B})^e \subset \mathfrak{A}^e:\mathfrak{B}^e$  and  $(\mathfrak{X}:\mathfrak{Y})^e \subset \mathfrak{X}^e:\mathfrak{Y}^e$ , where  $(*)^e = (*) \cdot R_s$ ,  $(*)^e = f^{-1}(*)$ ,  $f$  is the canonical homomorphism of  $R$  into  $R_s$  and where  $\mathfrak{A}, \mathfrak{B}$  are two ideals in  $R$ , and  $\mathfrak{X}, \mathfrak{Y}$  are two ideals in  $R_s$ .

PROPOSITION 5. Let  $\mathfrak{A}, \mathfrak{B}, \mathfrak{X}$  and  $\mathfrak{Y}$  be the same as above. Then

- (a)  $(\mathfrak{A} : \mathfrak{B})^e = \mathfrak{A}^e : \mathfrak{B}^e$ ; if  $\mathfrak{A} \supset \text{Ker } f$  and  $\mathfrak{B}$  is finitely generated, also (b)  $(\mathfrak{X} : \mathfrak{Y})^e = \mathfrak{X}^e : \mathfrak{Y}^e$  if  $\mathfrak{Y}$  is finitely generated.

*Proof.* Let  $\mathfrak{B} = (b_1, \dots, b_t)R$ , we have  $\mathfrak{B}^e = (f(b_1), \dots, f(b_t)) \cdot R_s$ . Let  $x \in \mathfrak{A}^e : \mathfrak{B}^e$ . Then  $x\mathfrak{B}^e \subset \mathfrak{A}^e$  and  $xf(b_i) = f(a_i)/f(s_i)$  for some  $a_i \in \mathfrak{A}$  and  $s_i \in S$ . Therefore  $f(\pi_i s_i)xf(b_i) \in f(\mathfrak{A})$ . For each  $b \in f(\mathfrak{B})$ ,  $b = \sum_j f(r_j)f(b_j)$  for some  $r_j \in R$ . Now  $f(\pi_i s_i)xb = \sum_j f(\pi_i s_i)xf(r_j)f(b_j) \in f(\mathfrak{A})$ , which implies that  $f(\pi_i s_i)x \in f(\mathfrak{A}) : f(\mathfrak{B})$ . Hence  $x \in (f(\mathfrak{A}) : f(\mathfrak{B}))R_s$ . Since  $\mathfrak{A} \supset \text{Ker } f$ , by [15; (15), p. 148],  $f(\mathfrak{A}) : f(\mathfrak{B}) = f(\mathfrak{A} : \mathfrak{B})$ . Therefore  $x \in (\mathfrak{A} : \mathfrak{B})^e$  and  $\mathfrak{A}^e : \mathfrak{B}^e = (\mathfrak{A} : \mathfrak{B})^e$ . The proof of (b) is similar.

LEMMA 5.  $k(u)[\xi]_{(0)}$  is Cohen-Macaulay if and only if  $k[\xi]_{(0)}$  is Cohen-Macaulay, where  $k[\xi]$  is the coordinate ring of  $V/k$ , and  $u$  is an indeterminate over  $k(\xi)$ .

*Proof.* If  $k[\xi]_{(0)}$  is Cohen-Macaulay, then there exist  $\zeta_1, \dots, \zeta_r$  such that  $\{\zeta_1, \dots, \zeta_r\}$  forms a maximal prime sequence, where  $r = \dim V$ . Thus  $(\zeta_1, \dots, \zeta_i)k[\xi]_{(0)} : (\zeta_{i+1}) \cdot k[\xi]_{(0)} = (\zeta_1, \dots, \zeta_i) \cdot k[\xi]_{(0)}$  for  $i = 1, 2, \dots, r$ . By [15; (1), p. 227], [15; (15), (21), p. 148] Proposition 5 and [16; (3), p. 221] one has  $(\zeta_1, \dots, \zeta_i)k(u)[\xi]_{(0)} : (\zeta_{i+1})k(u)[\xi]_{(0)} = (\zeta_1, \dots, \zeta_i)k(u)[\xi]_{(0)}$ , for  $i = 1, 2, \dots, r$ . Therefore  $\{\zeta_1, \dots, \zeta_r\}$  remains as a maximal prime sequence of  $k(u)[\xi]_{(0)}$ . Thus  $k(u)[\xi]_{(0)}$  is Cohen-Macaulay.

Conversely, let  $k(u)[\xi]_{(0)}$  be Cohen-Macaulay, let  $\{\zeta_1(u; \xi), \dots, \zeta_r(u; \xi)\}$  be a maximal prime sequence of  $k(u)[\xi]_{(0)}$ . Then, for  $i = 1, 2, \dots, r$ , we have  $(\zeta_1(u; \xi), \dots, \zeta_i(u; \xi)) \cdot k(u)[\xi]_{(0)} : (\zeta_{i+1}(u; \xi)) \cdot k(u)[\xi]_{(0)} = (\zeta_1(u; \xi), \dots, \zeta_i(u; \xi)) \cdot k(u)[\xi]_{(0)}$ . By [15; (21), p. 148], going back to the polynomial ring  $k(u)[x]$ , we have  $(\zeta_1(u; x), \dots, \zeta_i(u; x), p)k(u)[x]_{(0)} : (\zeta_{i+1}(u; x), p)k(u)[x]_{(0)} = (\zeta_1(u; x), \dots, \zeta_i(u; x), p)k(u)[x]_{(0)}$ . In viewing [4; Satz 3, p. 59], one sees that

$$\overline{(\zeta_1(u; x), \dots, \zeta_i(u; x), p)k(u)[x]_{(0)}} : \overline{(\zeta_{i+1}(u; x), p)k(u)[x]_{(0)}} = \overline{(\zeta_1(u; x), \dots, \zeta_i(u; x), p)k(u)[x]_{(0)}}$$

almost always for  $i = 1, 2, \dots, r$ , where the bar means specialization of  $u$  to elements in  $k$ . Passing to the local ring of  $V/k(u)$  at  $(0)$ , by [15; (15), p. 148], we have  $\overline{(\zeta_1(u; \xi), \dots, \zeta_i(u; \xi))k(u)[\xi]_{(0)} : \zeta_{i+1}(u; \xi)k(u)[\xi]_{(0)}} = \overline{(\zeta_1(u; \xi), \dots, \zeta_i(u; \xi))k(u)[\xi]_{(0)}}$  almost always for  $i = 1, 2, \dots, r$ . Let  $a \in k$  be such that the above holds and  $\zeta_i(a; \xi) \neq 0$ , for  $i = 1, 2, \dots, r$ , then  $(\zeta_1(a; \xi), \dots, \zeta_i(a; \xi))k[\xi]_{(0)} : (\zeta_{i+1}(a; \xi))k[\xi]_{(0)} = (\zeta_1(a; \xi), \dots, \zeta_i(a; \xi)) \cdot k[\xi]_{(0)}$  for  $i = 1, 2, \dots, r$ . Therefore  $\{\zeta_1(a; \xi), \dots, \zeta_r(a; \xi)\}$  forms a system of prime sequence of  $k[\xi]_{(0)}$ . Hence  $k[\xi]_{(0)}$  is Cohen-Macaulay.

THEOREM 7. Let  $V/k$  and  $H_u$  be the same as the above. It is not

true in general that if  $V/k$  is  $k$ -normal at  $(0)$ , then  $V \cap H_u/k(u)$  is  $k(u)$ -normal at  $(0)$ .

*Proof.* Suppose that if  $V/k$  is  $k$ -normal at  $(0)$ , then  $V \cap H_u/k(u)$  is  $k(u)$ -normal at  $(0)$ . Let  $(\xi)$  be a generic point of  $V$  over  $k$  and let  $(\eta)$  be that of  $V \cap H_u$  over  $k(u)$ . Applying the supposition to  $V \cap H_u/k(u)$ , we get  $(V \cap H_u) \cap H_{u(2)}k(u, u(2))$ -normal at  $(0)$ , where

$$H_{u(2)}: u_{21}X_1 + \cdots + u_{2n}X_n = 0$$

is a generic hyperplane through  $(0)$  on

$$V \cap H_u/k(u) \quad \text{and} \quad u(2) = \{u_{21}, \dots, u_{2n}\}$$

are algebraically independent over  $k(u)(\xi, \eta)$ . Repeating the supposition and Corollary 2 to Theorem 1 in this way until dimension  $r$  of  $V$  is cut down to 2, we have then

$$V \cap H_u \cap H_{u(2)} \cap \cdots \cap H_{u(r-2)}k(u, u(2), \dots, u(\gamma - 2))\text{-normal}$$

at  $(0)$ , where  $u(i) = \{u_{i1}, \dots, u_{in}\}$ , and  $\{u_{i1}, \dots, u_{in}\}$  are indeterminates over  $k(u, u(2), \dots, u(i-1)(\xi, \eta, \eta_2, \eta_{i-1}))$  with  $\eta_j = (\eta_{j1}, \dots, \eta_{jn})$  being a generic point of  $V \cap H_u \cap H_{u(2)} \cap \cdots \cap H_{u(j)}$  over  $k(u, u(2), \dots, u(j))$ . Let  $U = \{u, u(2), \dots, u(\gamma - 2)\}$ , then  $k(U) = k(u, u(2), \dots, u(\gamma - 2))$ . Consider  $V/k(U)$ ,  $(\xi)$  is a generic point of  $V$  over  $k(U)$ . Correspondingly in the coordinate ring  $k(U)[\xi]$  of  $V$  over  $k(U)$  we have then  $r - 2$  quantities  $\zeta_i = u_{i1}\xi_1 + \cdots + u_{in}\xi_n$ ,  $i = 1, 2, \dots, r - 2$ , such that  $(\zeta_1, \dots, \zeta_i)$  is a prime ideal in  $k(U)[\xi]_{(0)}$  and  $\zeta_{i+1} \notin (\zeta_1, \dots, \zeta_i)k(U)[\xi]_{(0)}$ . Thus  $\{\zeta_1, \dots, \zeta_{r-2}\}$  is a prime sequence in the local ring  $k(U)[\xi]_{(0)}$ . Let  $R$  be  $k(U)[\xi]_{(0)}/(\zeta_1, \dots, \zeta_{r-2}) \cdot k(U)[\xi]_{(0)}$ , then  $R$  is integrally closed of dimension 2. By [16; (3), p. 397],  $R$  is Cohen-Macaulay. Let  $a, b \in k(U)[\xi]_{(0)}$  be such that their residues modulo  $(\zeta_1, \dots, \zeta_{r-2}) \cdot k(U)[\xi]_{(0)}$  form a maximal prime sequence of  $R$ , then  $\{\zeta_1, \dots, \zeta_{r-2}, a, b\}$  is a prime sequence of  $k(U)[\xi]_{(0)}$ . Therefore  $\dim k(U)[\xi]_{(0)} = \text{cod } h k(U)[\xi]_{(0)}$  and hence  $k(U)[\xi]_{(0)}$  is a Cohen-Macaulay ring. It follows from Lemma 5 that  $k[\xi]_{(0)}$  is a Cohen-Macaulay ring. So under the supposition, we conclude that  $k[\xi]_{(0)}$  is integrally closed implies that  $k[\xi]_{(0)}$  is Cohen-Macaulay. But on the other hand, [1; Proposition, p. 655] and [1; Th. 5, p. 653] yield an example of a local ring of an algebraic variety at a rational point which is a factorial local ring (hence normal), but not a Cohen-Macaulay local ring. Hence the above supposition yields a contradiction.

**THEOREM 8.** *If  $V/k$  is normal at  $(0)$ , and the local ring  $k[\xi]_{(0)}$  is a Cohen-Macaulay ring, then  $V \cap H_u/k(u)$  is normal at  $(0)$ .*

*Proof.* By the corollary to Theorem 4,  $(p, H_u)$  is free of  $(\gamma - 2)$ -

dimensional singularities. By Lemma 5,  $k(u)[\xi]_{(0)}$  is Cohen-Macaulay. For any nonzero  $a(u; \xi)$  in  $k(u)[\xi]_{(0)}$  not in the prime ideal

$$(u_1\xi_1 + \cdots + u_n\xi_n) \cdot k(u)[\xi]_{(0)}, \{a(u, \xi), u_1\xi_1 + \cdots + u_n\xi_n\}$$

forms a prime sequence of  $k(u)[\xi]_{(0)}$ , therefore by [16; Lemma 5, p. 401],  $(a(u, \xi), u_1\xi_1 + \cdots + u_n\xi_n) \cdot k(u)[\xi]_{(0)}$ , is unmixed. Hence every nonzero principal ideal of  $k(u)[\xi]_{(0)}/(u_1\xi_1 + \cdots + u_n\xi_n) \cdot k(u)[\xi]_{(0)}$ , is unmixed. It follows from Proposition 1 that  $V \cap H_u$  is  $k(u)$ -normal at  $(0)$ .

I would like to take this opportunity to express my thanks to Professor A. Seidenberg for suggesting the problem, his valuable advice and continuous encouragement.

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Received December 16, 1969. Part of the results of this paper over a field  $k$  of characteristic 0 forms part of my doctoral thesis written in 1966 under Professor A. Seidenberg in the University of California at Berkeley and was partially supported by NSF under GP3990.



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PUBLISHED BY PACIFIC JOURNAL OF MATHEMATICS, A NON-PROFIT CORPORATION

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