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EXTENSIONS OF TOPOLOGICAL GROUPS

LAWRENCE GERALD BROWN

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EXTENSIONS OF TOPOLOGICAL GROUPS

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In this paper, we will be concerned with topological group extensions of a polonais group A by a polonais group G. When A is abelian, we will consider two cohomology groups: $H^2(G,A)$ and $H^2_V(G,A)$. $H^2(G,A)$ is based on Borel cochains and was studied by Moore. $H^2_V(G,A)$ is obtained by identifying cochains which differ only on a first category set, an idea suggested to us by D. Wigner. We will show that each of the groups classifies the extensions and that the hypothesis that A be abelian can be eliminated.

By a polonais group, we mean a separable metrizable topological group which is complete in its two-sided uniformity. The completeness requirement is equivalent to topological completeness (see [2], Exercise Q(d), p. 212). If E is a topological group having a polonais normal subgroup A such that E/A is polonais, then it is elementary to prove that E must be polonais also.

If A is abelian, then the cohomology groups are defined in terms of an a priori action of G on A. If A is non-abelian, then we will define sets (not groups) $H^2(G,A)$ without given action of G on A. For brevity, we proceed directly to the general case.

Let \mathscr{A} be the group of topological automorphisms of A. We will write ${}^{\theta}a$ for the action of $\theta \in \mathscr{A}$ on $a \in A$ and I_a for the inner automorphism $b \to aba^{-1}$. Let e denote the identity of any group. Then $H^2(G, A)$ is defined by means of cocycles (σ, ρ) where:

(1)
$$\sigma: G \times G \to A, \ \rho: G \to \mathscr{A},$$

 σ is a Borel function on $G \times G$ and $(x, a) \rightarrow {}^{\rho(x)}a$ is a Borel function on $G \times A$.

$$egin{aligned} \sigma(x,\,y)\!\cdot\!\sigma(xy,\,z) &= {}^{
ho(x)}\sigma(y,\,z)\!\cdot\!\sigma(x,\,yz)\;,\
ho(x)\!\cdot\!
ho(y) &= I_{\sigma(x,\,y)}
ho(x,\,y)\;,\ \sigma(x,\,e) &= \sigma(e,\,y) &= e,\; ext{and}\
ho(e) &= e\;. \end{aligned}$$

 (σ, ρ) and (σ', ρ') are identified in $H^2(G, A)$ if there is a Borel function $\lambda \colon G \to A$ such that:

(3)
$$\sigma'(x, y) = \lambda(x) \cdot {}^{\rho(x)} \lambda(y) \cdot \sigma(x, y) \cdot \lambda(xy)^{-1},$$
$$\rho'(x) = I_{\lambda(x)} \cdot \rho(x).$$

 $H_{\nu}^{2}(G,A)$ can be defined by simply stipulating that (2) and (3)

hold only on the complement of a first category set. However, for purposes of motivation, we prefer a slightly different description.

If X is a complete metric space and Y a metric space, then (following Wigner) by a virus from X to Y, we mean an equivalence class of continuous functions $f\colon R\to Y$ where R is a dense G_δ set in X. f and f' are equivalent if they agree on $R\cap R'$. (We could eliminate the equivalence relation by using maximal continuous extensions.) It is clear that if Y is separable, the viruses amount to Borel functions modulo first category sets. The concept of virus will be used later. In the abelian case, it could be incorporated directly into the definition of $H^{\mathfrak{p}}_{r}(G,A)$.

 $H_{r}^{2}(G, A)$ is defined by means of cocycles (σ, ρ) where:

- (1') $\sigma: R_1 \to A, \rho: R_2 \to \mathscr{A}$ where R_1 and R_2 are dense G_{δ} 's in $G \times G$ and G. σ is continuous on R_1 , and $(x, a) \to {}^{\rho(x)}a$ is continuous on $R_2 \times A$.
- (2') $\sigma(x,y)\sigma(xy,z) = {}^{\rho(x)}\sigma(y,z)\sigma(x,yz)$ and $\rho(x)\rho(y) = I_{\sigma(x,y)}\rho(xy)$ whenever everything is defined. (σ,ρ) and (σ',ρ') are identified in $H^2_V(G,A)$ if there is a continuous function $\lambda\colon R\to A$ (R a dense G_δ in G) such that:
- (3') (3) holds whenever everything is defined. (In particular, (σ, ρ) is identified with (σ', ρ') if they agree off a first category set.) We need two technical results:
- LEMMA 1 (Dixmier [1]). If E is a polonais group, A a closed normal subgroup, and $p: E \to E/A$ the projection, then there is a Borel function $f: E/A \to E$ such that p(f(x)) = x for all $x \in E/A$.
- LEMMA 2 (Wigner). If E is a metrizable group complete in its two-sided uniformity, A a closed normal subgroup, and $p: E \to E/A$ the projection, then there is a dense G_{δ} , $R \subset E/A$ and a continuous function $f: R \to E$ such that p(f(x)) = x for all $x \in R$.
- *Proof.* (One may note first that Lemma 2 follows from Lemma 1 in the polonais case.) Let $U_1, U_2 \cdots$ be a fundamental system of symmetric neighborhoods of e in G. Define recursively subsets O_n of G such that O_n is maximal with respect to:
- (4) O_n is open; $O_n \subset O_{n-1}$; and if $x, y \in O_n$ and p(x) = p(y), then $x^{-1}y, yx^{-1} \in U_n \cdot (O_0 = E_\bullet)$
- It is not hard to see that $p(O_n)$ is dense in E/A:
- If $V \subset O_{n-1}$ is open, VV^{-1} and $V^{-1}V \subset U_n$, and p(V) is disjoint from $p(O_n)$, then $O_n \cup V$ satisfies (4). If $R = \cap p(O_n)$, then it is not hard to prove that R is dense (i.e., that E/A is second category in itself. Actually, E/A is even complete in its two-sided uniformity.) For $x \in R$, let $y_n \in O_n$ be such that $p(y_n) = x$. Then (y_n) is Cauchy

and hence $y_n \to y$, for some $y \in G$. y can easily be seen to be independent of the choices of y_n , and if we define f(x) = y, it is straightforward to prove f is continuous.

Now we can define a map π : Ext $(G, A) \to H^2(G, A)$. (Ext (G, A) is the set of equivalence classes of topological group extensions of A by G.) If E is a given extension, let f be as in Lemma 1 such that f(e) = e.

Define (σ, ρ) by:

(5)
$$f(x) \cdot f(y) = \sigma(x, y) f(xy); \rho(x) a = f(x) \cdot a \cdot f(x)^{-1}$$
.

It is easy to see that $\pi(E) = [(\sigma, \rho)]$ gives a well defined function π where $[(\sigma, \rho)]$ denotes the class of (σ, ρ) .

There is a natural map $j\colon H^2(G,A)\to H^2_{\mathbb{F}}(G,A)$. If (σ,ρ) satisfies (1) and (2), then there are dense G_i 's $R_1\subset G\times G$ and $R_3\subset G\times A$ such that the restriction of σ to R_1 and the restriction of $(x,\alpha)\to e^{(x)}a$ to R_3 are continuous.

LEMMA 3. If $R_2 = \{x \in G : \{a : (x, a) \in R_3\}$ is a dense G_i in $A\}$, then R_2 is a dense G_i in G and $(x, a) \rightarrow {}^{\rho(x)}a$ is continuous on $R_2 \times A$.

Proof. That R_2 is a dense G_δ is clear. Now suppose $x_n \to x$ and $a_n \to a(x_n, x \in R_2)$. We can find $b \in A$ such that:

$$(x_{\scriptscriptstyle n},\,b)\in R_{\scriptscriptstyle 3},\,(x,\,b)\in R_{\scriptscriptstyle 3},\,(x_{\scriptscriptstyle n},\,b^{\scriptscriptstyle -1}a_{\scriptscriptstyle n})\in R_{\scriptscriptstyle 3},\,(x,\,b^{\scriptscriptstyle -1}a)\in R_{\scriptscriptstyle 3}$$
 .

Then ${}^{\rho(x_n)}a_n={}^{\rho(x_n)}b\cdot{}^{\rho(x_n)}b^{-1}a_n \longrightarrow {}^{\rho(x)}b\cdot{}^{\rho(x)}b^{-1}a={}^{\rho(x)}a.$

Now let $\bar{\sigma}$ and $\bar{\rho}$ be the restrictions of σ and ρ to R_1 and R_2 , and define $j[(\sigma,\rho)]=[(\bar{\sigma},\bar{\rho})]$. It should be clear that j is a well-defined map on $H^2(G,A)$.

The map $j\pi$: Ext $(G, A) \to H^{2}_{r}(G, A)$ can be described a little more simply. If f and R satisfy the conclusion of Lemma 2, then we can use (5) to define σ, ρ, R_{1} and R_{2} . Note that $R_{2} = R$ and $R_{1} = \tilde{R} = \{(x, y) \in G \times G : x, y, xy \in R\}$.

We can now state:

Theorem. If G and A are polonais groups, then π and $j\pi$ are bijections.

Proof. The main part of the proof is that $j\pi$ is surjective, and we prove that first. Let σ , ρ , R_1 and R_2 be given. We first reduce to the case where R_1 and R_2 are as in the previous paragraph. Let $R = \{x \in R_2 : \{y : (x, y) \in R_1\}$ is a dense G_δ in G. Then for $(x, y) \in \widetilde{R}$,

define:

(6) $\Sigma(x,y)(z)={}^{\rho(z)}\sigma(y,z)\boldsymbol{\cdot}\sigma(x,yz)\boldsymbol{\cdot}\sigma(xy,z)^{-1}$ for all z such that this makes sense.

Then Σ induces a function from \widetilde{R} into the set of viruses from G to A. For $(x,y) \in \widetilde{R} \cap R_1$, it is easy to see from (2') that $\Sigma(x,y)$ is the constant virus $\sigma(x,y)$. Since $\widetilde{R} \cap R_1$ is dense in \widetilde{R} and Σ is continuous, it is now clear that $\Sigma(x,y)$ is a constant virus, $\widetilde{\sigma}(x,y)$, for all $(x,y) \in \widetilde{R}$, and $\widetilde{\sigma}$ is continuous. We now replace σ by $\widetilde{\sigma}$ (and R_2 by R) to obtain the desired situation. We will also assume that $e \notin R$.

We next extend (σ, ρ) to a cocycle defined everywhere, following Moore [7]. To this end, let V be the group of all viruses from G to A. For $x \in R$, $a \in A$, define $\theta(x) \colon V \to V$ and $J_a \colon V \to V$ by:

$$[\theta(x)g](y) = \sigma(y,x)g(yx) \text{ and }$$

$$(J_ag)(x) = {}^{\rho(x)}a \cdot g(x) \text{ for } g \in V.$$

It is a straightforward computation to verify:

(8)
$$\frac{\theta(x) \cdot \theta(y) = J_{\sigma(x|y)} \cdot \theta(xy), (x, y, xy \in R), J_{ab} = J_a \cdot J_b, \text{ and } \theta(x)^{-1} \text{ exists and } \theta(x)J_a\theta(x)^{-1} = J_{\rho(x)}.$$

Now let E' be the group generated by J(A) and $\theta(R)$. We can see that if A is identified with J(A), then A is normal in E', and each element of E' induces a continuous automorphism of A. Let $p : E' \to E'/A$ be the projection. Clearly, for $(x, y) \in \widetilde{R}$, $p\theta(xy) = p\theta(x) \cdot p\theta(y)$. It is now easy to see that $p\theta$ extends to a homomorphism $\overline{\theta} : G \to E'/A$. Let $f : G \to E'$ be an extension of θ such that f(e) = e and $pf = \overline{\theta}$. Then we can extend (σ, ρ) by:

(9)
$$f(x) \cdot f(y) = J_{\sigma(x,y)} \cdot f(xy), \text{ and}$$
$$J_{\sigma(x)} = f(x) \cdot J_{\sigma} \cdot f(x)^{-1}.$$

It is clear that the extended (σ, ρ) satisfies the cocycle relations (2) (though not (1)).

We will later have occasion to use a uniqueness result for the extended (σ, ρ) . Thus let (σ', ρ') satisfy (2) everywhere, suppose σ' agrees with σ on a dense G_{δ} , R_1 , and ρ' agrees with ρ on a dense G_{δ} , R_2 . Define $R' = \{y \in R \cap R_2 : \{x : (x, y) \in R_1\}$ is a dense G_{δ} in G. We can see that for any $v \in G$, there is a dense G_{δ} , R_v , such that $\sigma'(u, v)$ is continuous in u on R_v . This follows from the following consequence of (2):

(10)
$$\sigma'(u, yz) = {}^{\rho'(u)}\sigma'(y, z)^{-1} \cdot \sigma'(u, y) \cdot \sigma'(uy, z)$$

where we choose $y, z \in R'$ such that yz = v.

Thus we can use (7) to define θ' on all of G, agreeing with θ on R', and θ' will satisfy (8) (with (σ', ρ') instead of (σ, ρ)). If we define λ by $\theta'(x) = \lambda(x)f(x)$, then we see that (3) is satisfied and λ vanishes on R'. This is the desired uniqueness result.

Using the extended (σ, ρ) , we can now construct a (non-topological) extension E of A by G and a function $f: G \to E$ such that if $p: E \to G$ is the projection, then pf(x) = x, f(e) = e, and (5) is satisfied. We must topologize E, and we first define sequential convergence to e. If $\alpha_n \in E$, we say that $\alpha_n \to e$ if there exists $\beta = b \cdot f(y) (y \in R)$ such that if $\beta \alpha_n = b_n \cdot f(y_n)$, then $y_n \in R$, $b_n \to b$, and $y_n \to y$. If $\alpha_n = a_n \cdot f(x_n)$, then it is readily seen that this condition is equivalent to:

(11)
$$yx_n \in R, x_n \to e, \text{ and } \rho(y) a_n \cdot \sigma(y, x_n) \to e.$$

The condition is thus independent of b, and we now show it is independent of y (subject to $y, yx_n \in R$). We need:

LEMMA 4. (a) For $x \in G$, $\sigma(x, y)$ is continuous in y on $R_x = \{y: y, xy \in R\}$.

(b) $\sigma(x, y)$ is continuous in x on $R_y = \{x: x, xy \in R\}$.

Proof. (a) Let $y_n \to y$ in R_x . Consider:

(12)
$$\sigma(x, y_n) = \sigma(v, w)^{-1} \cdot \rho(v) \sigma(w, y_n) \cdot \sigma(v, wy_n)$$

where $v = xw^{-1}$ and $w, xw^{-1}, wy_n, wy \in R$.

Then from the continuity of σ on \widetilde{R} , we see that $\sigma(x, y_n) \to \sigma(x, y)$. (b) is proved similarly.

Now if y = uy' where $y, yx_n, y', y'x_n \in R$, we find:

$$(13) \qquad {}^{\rho(y)}a_n \cdot \sigma(y, x_n) = \sigma(u, y')^{-1\rho(u)} [{}^{\rho(y')}a_n \cdot \sigma(y', x_n)] \cdot \sigma(u, y'x_n) .$$

Using Lemma 4(a), we see that (11) is satisfied for y if it is for y'.

LEMMA 5. If $\alpha_n \to e$, then $\beta \alpha_n \beta^{-1} \to e$ for any $\beta \in E$.

Proof. First, let $\beta=b\in A$ where $\alpha_n=a_nf(x_n)$. If $y,\,yx_n\in R$ and $f(y)\beta\alpha_n\beta^{-1}=c_n\cdot f(x_n)$, then:

$$(14) c_n = {}^{\rho(y)}b \cdot [{}^{\rho(y)}a_n \cdot \sigma(y, x_n)] \cdot {}^{\rho(yx_n)}b^{-1}.$$

Hence (11) is satisfied for $\beta \alpha_n \beta^{-1}$ if satisfied for α_n . Now let $\beta = f(z)$. Then if $y, yzx_nz^{-1}, yz, yzx_n \in R$, and $f(y)\beta \alpha_n \beta^{-1} = d_n f(yzx_nz^{-1})$,

(15)
$$d_n = \sigma(y, z) \cdot [\rho^{(yz)} a_n \cdot \sigma(yz, x_n)] \cdot \sigma(yzx_nz^{-1}, z)^{-1}.$$

Hence (by Lemma 4 (b)), (11) is satisfied for $\beta \alpha_n \beta^{-1}$ if satisfied for α_n .

From this lemma, it is easy to see that the definition of $\alpha_n \to e$ could equivalently have been given in terms of $\alpha_n\beta$ instead of $\beta\alpha_n$. The criterion would then have been:

(11') If
$$w, x_n w \in R$$
, then $a_n \cdot \sigma(x_n, w) \rightarrow e$ and $x_n \rightarrow e$. $(\alpha_n = a_n \cdot f(x_n))$.

LEMMA 6. If $\alpha_n \to e$ and $\beta_n \to e$, then $\alpha_n \cdot \beta_n \to e$.

Proof. Let $\alpha_n = a_n \cdot f(x_n)$, $\beta_n = b_n \cdot f(y_n)$. First, choose z such that z, zx_n , and $zx_ny_n \in R$. Then choose w such that w, y_nw , and $zx_ny_nw \in R$. Then if $f(z) \cdot \alpha_n \cdot \beta_n = c_n f(zx_ny_n)$, we find:

$$(16) \quad c_n = \left[\begin{smallmatrix} \rho(z) & a_n \cdot \sigma(z, x_n) \end{smallmatrix}\right] \cdot \begin{smallmatrix} \rho(zx_n) & \left[b_n \cdot \sigma(y_n, w)\right] \cdot \sigma(zx_n, y_n w) \cdot \sigma(zx_n, y_n, w)^{-1} \ .$$

Hence (11), for $\alpha_n \beta_n$ follows from (11) for α_n and (11') for β_n .

LEMMA 7. If $\alpha_n \rightarrow e$, $\alpha_n^{-1} \rightarrow e$.

Proof. Let $\alpha_n = a_n \cdot f(x_n)$. Choose z such that $z, zx_n^{-1} \in R$. Then choose w such that $w, zw, x_n w \in R$. Then if $f(z) \cdot \alpha_n^{-1} = c_n f(zx_n^{-1})$, we find

(17)
$$c_n^{-1} = \frac{\rho(zx_n^{-1})}{n} [a_n \cdot \sigma(x_n, w)] \cdot \sigma(zx_n^{-1}, x_n w) \cdot \sigma(z, w)^{-1} .$$

Thus (11), for α_n^{-1} follows from (11') for α_n .

We now must show that there is a metrizable group-topology on E such that convergence as defined above is convergence in the topology. To do this, it is sufficient to find a sequence W_m such that $e \in W_m \subset E$, and $\alpha_n \to e$ as defined above if and only if α_n is eventually in W_m , for each m. Let U_m be a fundamental system of neighborhoods of e in A and V_m a fundamental system of neighborhoods relative to R of $u_0 \in R$. Then we define:

(18)
$$W_m = [U_m f(V_m)]^{-1} \cdot U_m f(V_m) .$$

Suppose that α_n is eventually in each W_m . Then $\alpha_n=\beta_n^{-1}\gamma_n$ where β_n, γ_n are eventually in each $U_m f(V_m)$. Hence $f(u_0)^{-1}\beta_n \to e$ and $f(u_0)^{-1}\gamma_n \to e$. Thus $\alpha_n=[f(u_0)^{-1}\beta_n]^{-1}\cdot[f(u_0)^{-1}\gamma_n]\to e$. Now assume $\alpha_n=a_n f(x_n)\to e$. Since $V_m^{-1}V_m$ is a neighborhood of e in G, we can find $y_n, z_n\in R$ such that $y_n\to u_0, z_n\to u_0$ and $y_n^{-1}z_n=x_n$. Now define $\beta_n=f(y_n)$ and $\gamma_n=c_n f(z_n)$ where c_n is chosen such that $\beta_n^{-1}\gamma_n=\alpha_n$. Then calculation shows:

(19)
$$c_n = {}^{\rho(y_n)}[a_n \cdot \sigma(x_n, w)] \cdot \sigma(y_n, x_n w) \cdot \sigma(z_n, w)^{-1}$$

where we assume w chosen so that w, $x_n w$, $z_n w$ and $u_0 w \in R$. Then (11') shows that $c_n \to e$. Hence β_n , γ_n are eventually in each $U_m f(V_m)$, as

desired.

Now that we have a metrizable topology on E, it is easy to see that the subspace topology on A is the correct one. It is also not hard to see that the quotient topology on G is the correct one. Indeed, all we must show is that if $x_n \to e$ in G, then we can find $\alpha_n \to e$ in E such that $p(\alpha_n) = x_n$. To do this, choose y_n and z_n as in the preceding paragraph. Then $\alpha_n = f(y_n)^{-1}f(z_n)$. From the fact that A and G are complete and separable, it now follows easily that E is complete and separable and hence polonais. It is clear that f is continuous on F. Hence $f(E) = [\sigma, \rho]$, and we have proved that f is surjective.

To show that $j\pi$ is 1-1, assume $j\pi(E)=j\pi(E')$. Then we can find a dense $G_{\delta}R \subset G$ and continuous $f\colon R\to E$, $f'\colon R\to E'$ such that pf(x)=x=p'f'(x), and $(\sigma,\rho)=(\sigma',\rho')$ where σ,ρ,σ' , and ρ' are defined by (5). Then we can define a function $\varphi\colon p^{-1}(R)\to p'^{-1}(R)$ by:

(20)
$$\varphi(a \cdot f(x)) = a \cdot f'(x) ,$$

and clearly $\varphi(\alpha\beta) = \varphi(\alpha) \cdot \varphi(\beta)$ for $\alpha, \beta, \alpha\beta \in p^{-1}(R)$. From this, it is not hard to prove that φ can be extended to a homomorphism of E onto E' and that this homomorphism is a topological isomorphism.

It is now clear that π is 1-1. To show that π is surjective, let (σ, ρ) satisfy (1) and (2). Let E be such that $j\pi(E) = j[(\sigma, \rho)]$. Then we can find a Borel function $f: G \to E$ such that pf(x) = x, f(e) = e, and if (σ', ρ') is defined by (5) (with (σ', ρ') substituted for (σ, ρ)), then (σ, ρ) agrees with (σ', ρ') except on a first category set. Now the uniqueness result proved above shows that there is a function $\lambda: G \to A$, which vanishes on a dense G_{δ}, R' , such that (3) holds. We must show that λ is Borel. (3) implies:

(21)
$$\lambda(xy)=\sigma'(x,\,y)^{-1}\boldsymbol{\cdot}\lambda(x)\boldsymbol{\cdot}^{\rho(x)}\lambda(y)\boldsymbol{\cdot}\sigma(x,\,y)=\sigma'(x,\,y)^{-1}\boldsymbol{\cdot}\sigma(x,\,y)\ ,$$

for $(x, y) \in R' \times R'$. Thus if $m: R' \times R' \to G$ is the group operation, then $\lambda \circ m$ is Borel. Since m is surjective, it follows from well-known results on Borel sets (see Kuratowski [3] or the first few pages of Mackey [4]) that λ is Borel. The theorem is now proved.

We make two final remarks:

- 1. If A is abelian and we are given an action of G on A, then it is easy to see that the bijections π , j, and $j\pi$ preserve the group operations (where the group operation on Ext (G,A) is the usual Baer product).
- 2. The hypothesis that A be separable can be dropped by considering A as a direct limit of closed separable subgroups (G-subgroups

in the abelian case).

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