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**THE  $p$ -CLASSES OF AN  $H^*$ -ALGEBRA**

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# THE $p$ -CLASSES OF AN $H^*$ -ALGEBRA

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**This paper considers a family of  $*$ -subalgebras of a semisimple  $H^*$ -algebra  $A$ . For  $0 < p \leq \infty$  a nonnegative extended-real value  $|a|_p$  is associated with each  $a$  in  $A$ ; then the  $p$ -class  $A_p$  is defined to be  $\{a \in A: |a|_p < \infty\}$ . If  $1 \leq p \leq \infty$ ,  $A_p$  is then a two-sided  $*$ -ideal of  $A$  (proper only if  $p < 2$ ), and  $(A_p, |\cdot|_p)$  is a normed  $*$ -algebra.  $(A_2, |\cdot|_2)$  is  $(A, \|\cdot\|)$ ; and for  $1 \leq p < 2$ ,  $(A_p, |\cdot|_p)$  is a Banach  $*$ -algebra, for which structure theorems are given.**

1. Introduction. Let  $A$  be a semisimple  $H^*$ -algebra with inner product and norm denoted by  $(\cdot, \cdot)$  and  $\|\cdot\|$ , respectively. The trace class of  $A$ , that is, the set  $\tau(A) = \{xy: x, y \in A\}$ , has been studied by Saworotnow and Friedell [8], who show, first of all, that for any nonzero  $a \in A$  there exists a positive element  $[a] \in A$  such that  $[a]^2 = a^*a$ , and  $a \in \tau(A)$  if and only if  $[a] \in \tau(A)$ . An algebra norm  $\tau$  is then introduced on  $\tau(A)$  by defining  $\tau(a) = tr[a]$  for each  $a \in \tau(A)$ , where in turn the trace functional  $tr$  is unambiguously defined on  $\tau(A)$  by letting  $tr\ xy = (x, y^*) = \sum(xy p_\omega, p_\omega)$ ,  $\{p_\omega: \omega \in \Omega\}$  being any maximal family of mutually orthogonal nonzero self-adjoint idempotents. With this norm,  $\tau(A)$  is actually a Banach algebra [9, Corollary to Theorem 1]. This presentation parallels that of Schatten [10] for  $\tau c$ , the trace class of  $\sigma c$ , the Schmidt class of operators on a Hilbert space.

In a somewhat similar sense our central development in §3 brings over into the present context some of the work of McCarthy [6] on the operator algebras  $c_p$ . We preface this with a basic spectral theorem established in §2; in §4 we study the structure of the Banach  $*$ -algebras  $A_p$ , where  $1 \leq p < 2$ . Finally, in §5 we relate  $A_p$  to the class  $c_p$  of operators on a Hilbert space [6; 2, ch. XI. 9] and also to  $\mathcal{E}_p$  spaces [3, pp. 70 ff.; 5].

2. Preliminary spectral theory. Throughout the remainder of this paper  $A$  will continue to denote a semisimple  $H^*$ -algebra. By a *projection*  $p$  in  $A$  we shall mean a nonzero self-adjoint idempotent. A projection  $p$  is *primitive* if  $p$  cannot be expressed as  $p = p_1 + p_2$ , where  $p_1$  and  $p_2$  are orthogonal projections. By a *projection base* in  $A$  we mean a maximal family of mutually orthogonal projections (not necessarily primitive); note that if  $a \in A$  and  $\{p_\omega: \omega \in \Omega\}$  is a projection base, then  $a = \sum ap_\omega = \sum p_\omega a$  [1, Theorem 4.1, where primitivity of the projections is not needed to establish this point]. Finally, we shall say that an element  $a$  in  $A$  is *positive* if  $(ax, x) \geq 0$  for every  $x \in A$ ;  $a$  is then necessarily self-adjoint.

LEMMA 2.1. *Let  $b$  be a nonzero normal element of  $A$ . There is a well-defined family  $\{p_\omega; \omega \in \Omega\}$  of mutually orthogonal projections in  $A$ , and a well-defined set  $\{\alpha_\omega; \omega \in \Omega\}$  of complex numbers, such that*

$$(1) \quad b = \sum \alpha_\omega p_\omega$$

$$(2) \quad bp_\omega = p_\omega b = \alpha_\omega p_\omega \text{ for each } \omega \in \Omega.$$

*The nonzero  $\alpha_\omega$  are precisely the nonzero elements of the spectrum of  $b$ .*

*Proof.* Let  $A_0$  be the intersection of all maximal commutative  $*$ -subalgebras of  $A$  containing  $b$ .  $A_0$  is a proper  $H^*$ -algebra in the inner product and involution of  $A$ . Let  $\{p_\omega; \omega \in \Omega\}$  be the collection of projections of  $A_0$  which are primitive in  $A_0$ ; then each  $p_\omega A_0$  is a minimal ideal of  $A_0$ , and if  $\omega_1 \neq \omega_2$  we have  $p_{\omega_1} p_{\omega_2} = 0$  and  $(p_{\omega_1}, p_{\omega_2}) = 0$ . Also,  $A_0 = \sum p_\omega A_0$ , the orthogonal direct sum of the minimal ideals  $p_\omega A_0$ , each of which is one-dimensional and consists of scalar multiples of  $p_\omega$  [1, Corollary 4.1]. Therefore  $b = \sum \alpha_\omega p_\omega$ , where  $\{\alpha_\omega; \omega \in \Omega\}$  is a set of complex numbers. Property (2) is immediate from the orthogonality of the  $p_\omega$ . We shall show that the nonzero  $\alpha_\omega$  are the nonzero elements of  $sp(b|A_0)$ , the spectrum of  $b$  relative to  $A_0$ . Let  $\phi$  be any multiplicative linear functional on  $A_0$ . We have  $\phi(p_\omega) = \phi(p_\omega^2) = [\phi(p_\omega)]^2$ , and hence the value of  $\phi$  at each projection  $p_\omega$  must be either 0 or 1.  $\phi$  cannot have the value 0 at every  $p_\omega$  or else  $\phi$  would vanish on  $A_0$ ; nor can we have  $\phi(p_{\omega_1}) = 1 = \phi(p_{\omega_2})$  if  $\omega_1 \neq \omega_2$ , for then  $1 = \phi(p_{\omega_1})\phi(p_{\omega_2}) = \phi(p_{\omega_1} p_{\omega_2}) = \phi(0) = 0$ . Therefore, each multiplicative linear functional on  $A_0$  is of the form  $\phi_\nu(p_\omega) = \delta_{\nu\omega}$ , where  $\nu \in \Omega$ . We have, for each  $\nu \in \Omega$ ,  $\phi_\nu(b) = \sum \alpha_\omega \phi_\nu(p_\omega) = \alpha_\nu = \hat{b}(\phi_\nu)$ , where  $\hat{b}$  denotes the Gelfand transform of  $b \in A_0$ . Since the nonzero  $\alpha_\omega$  are therefore the nonzero elements of the range of  $\hat{b}$ , they are by the Gelfand theory precisely the nonzero elements of  $sp(b|A_0)$ . However,  $sp(b|A) = sp(b|A_0)$ , since if  $c \in A_0$  has a quasi-inverse  $c^0$  in  $A$ , then, as is well-known,  $c^0$  belongs to every maximal commutative  $*$ -subalgebra of  $A$  containing  $c$ , or equivalently,  $c^0 \in A_0$ . Finally, it is clear that the element  $b$  uniquely determines the algebra  $A_0$ , along with its set of primitive projections  $\{p_\omega; \omega \in \Omega\}$  and the corresponding numbers  $\alpha_\omega$ , since  $\alpha_\omega p_\omega$  is the orthogonal projection of  $b$  on the closed ideal  $p_\omega A_0$  of  $A_0$ .

LEMMA 2.2. *Let  $b$  be a nonzero normal element of  $A$ , and let  $b = \sum \mu_n q_n$ , where  $\{q_n\}$  is a countable (possibly finite) family of mutually orthogonal projections, and the  $\mu_n$  are nonzero complex numbers such that  $\mu_m \neq \mu_n$  if  $m \neq n$ . Let  $h$  be any self-adjoint element of  $A$  which commutes with  $b$ . Then for each  $n$ ,  $hq_n = q_n h$ .*

*Proof.* Extend  $\{q_n\}$  to a projection base  $\{q_\gamma; \gamma \in \Gamma\}$ . For each  $\gamma$ , if  $q_\gamma = q_n$  for some  $n$ , let  $\mu_\gamma = \mu_n$ ; otherwise, let  $\mu_\gamma = 0$ . (Note that  $bq_\gamma = q_\gamma b = \mu_\gamma q_\gamma$  for each  $\gamma \in \Gamma$ .) Then for any  $q_n$  we have  $q_n h =$

$\Sigma_i q_n h q_i$ . Also, since  $b$  and  $h$  commute,  $\mu_n q_n h q_i = q_n b h q_i = q_n h b q_i = \mu_i q_n h q_i$ . If  $q_i \neq q_n$  then  $\mu_i \neq \mu_n$  and consequently  $q_n h q_i = 0$ . Thus  $q_n h = q_n h q_n$ . Taking adjoints we have  $h q_n = q_n h q_n$ ; therefore  $h q_n = q_n h$ .

**COROLLARY 2.3.** *Let  $b$ ,  $\{\mu_n\}$ , and  $\{q_n\}$  be as in the lemma, and let  $A_0$  be, as before, the intersection of all maximal commutative  $*$ -subalgebras of  $A$  containing  $b$ . Then for each  $n$ ,  $q_n \in A_0$ .*

*Proof.* Let  $A_1$  be any maximal commutative  $*$ -subalgebra of  $A$  containing  $b$ . Since  $A_1$  is a  $*$ -algebra, each  $x \in A_1$  is of the form  $x = h + ik$ , where  $h, k \in A_1$ , and  $h$  and  $k$  are self-adjoint. Therefore, each  $q_n$  commutes with every element of  $A_1$ , and by maximality of  $A_1$ ,  $q_n \in A_1$ . Therefore, finally,  $q_n \in A_0$ .

**LEMMA 2.4.** *Let  $b$ ,  $\{\mu_n\}$ , and  $\{q_n\}$  be as in Lemma 2.2. Then each  $q_n$  is a finite sum of the projections  $p_\omega$  of Lemma 2.1.*

*Proof.* Each  $q_n$  belongs to  $A_0$ , and therefore, as in the proof of Lemma 2.1,  $q_n = \Sigma \beta_\omega p_\omega$  for suitable numbers  $\beta_\omega$ . Also,  $q_n = q_n^2 = \Sigma \beta_\omega^2 p_\omega$ , and therefore each  $\beta_\omega$  is either 0 or 1. Only finitely many can be 1, since  $\|q_n\|^2 = \Sigma \beta_\omega^2 \|p_\omega\|^2 \geq \Sigma \beta_\omega^2$ .

Now let  $q_n = p_{n_1} + \dots + p_{n_{k(n)}}$ . The orthogonal projection of  $b$  on the closed left ideal  $Aq_n$  is  $bq_n = \mu_n q_n = \mu_n(p_{n_1} + \dots + p_{n_{k(n)}})$ . From Lemma 2.1, since  $b = \Sigma \alpha_\omega p_\omega$ , this projection of  $b$  is also  $\alpha_{n_1} p_{n_1} + \dots + \alpha_{n_{k(n)}} p_{n_{k(n)}}$ . Therefore  $\alpha_{n_i} = \mu_n, i = 1, \dots, k(n)$ , and in the representation  $b = \Sigma \alpha_\omega p_\omega$  we may replace the sum  $\alpha_{n_1} p_{n_1} + \dots + \alpha_{n_{k(n)}} p_{n_{k(n)}}$  by  $\mu_n q_n$ . If this is done for each  $n$  indexing the countable set  $\{q_n\}$ , the procedure evidently replaces the representation  $b = \Sigma \alpha_\omega p_\omega$  by  $b = \Sigma \mu_n q_n$ , and therefore makes use of every term  $\alpha_\omega p_\omega$  except those for which  $\alpha_\omega = 0$ . We thus have the following spectral theorem.

**THEOREM 2.5.** *Let  $b$  be a nonzero normal element of  $A$ . Then  $b$  may be represented uniquely (apart from the order of the terms) as a sum*

$$(*) \quad b = \Sigma \lambda_n e_n,$$

in which

- (1)  $\{\lambda_n\}$  is a countable family of distinct nonzero complex numbers consisting of the nonzero elements of the spectrum of  $b$ , and
- (2)  $\{e_n\}$  is a countable family of mutually orthogonal projections. We have  $be_n = e_n b = \lambda_n e_n$  for each  $n$ ;  $b$  is self-adjoint if and only if each  $\lambda_n$  is real, and  $b$  is positive if and only if each  $\lambda_n > 0$ .

**DEFINITION 2.6.** Let  $b$  be a nonzero normal element of  $A$ . A representation  $(*)$  of  $b$  having properties (1) and (2) of Theorem 2.5

will be called a *spectral representation* of  $b$ . If  $b$  is a positive element of  $A$ , we shall refer to *the* spectral representation of  $b$ , meaning the one in which  $\lambda_m < \lambda_n$  if  $m > n$ . For any nonzero normal element  $b$ , the set  $E_b$  of mutually orthogonal projections in a spectral representation of  $b$  will be called the *spectral family* of  $b$ .

**DEFINITION 2.7.** Let  $b$  be a nonzero normal element of  $A$ , and let  $E_b$  be its spectral family. A projection base  $\{e_\omega: \omega \in \Omega\}$  containing every  $e_n$  in  $E_b$  will be called a *projection base associated with  $b$* . (Note that by a simple maximality argument,  $E_b$  can always be extended to a projection base associated with  $b$ .)

**3. The classes  $A_p$  and their basic properties.** We begin this section by recalling some basic results from [8]. Corresponding to each  $a$  in  $A$  there is a unique positive element  $[a]$  of  $A$  such that  $[a]^2 = a^*a$ . Moreover, there is, for each nonzero  $a$  in  $A$ , a well-defined partial isometry  $W$  on  $A$ , having initial set  $\overline{[a]A}$  and final set  $\overline{aA}$ , such that  $a = W[a]$ ,  $[a] = W^*a$ , and  $\|W\| = 1$ . We shall call  $W$  the *partial isometry associated with  $a$* . We define a *left centralizer* on  $A$  to be an operator  $S$  in  $B(A)$  such that  $S(xy) = (Sx)y$  for all  $x, y \in A$ . (This terminology, though widely used, is not universal; the type of operator just defined is called a right centralizer in [8] and [9], and elsewhere.) Evidently, each left multiplication operator  $L_a, a \in A$ , is a left centralizer on  $A$ ; also, for any nonzero  $a$  in  $A$ , the partial isometry  $W$  associated with  $a$  is a left centralizer (see [8, p. 97]). We note, finally, for fairly frequent use, that for any  $x \in A$ ,  $\|ax\| = \|[a]x\|$ , since  $\|ax\|^2 = (ax, ax) = (a^*ax, x) = ([a]^2x, x) = ([a]x, [a]x) = \|[a]x\|^2$ .

**DEFINITION 3.1.** Let  $a$  be a nonzero element of  $A$ , and let  $[a] = \sum \lambda_n e_n$  be the spectral representation of  $[a]$ . We define

$$\begin{aligned} |a|_p &= (\sum \lambda_n^p \|e_n\|^2)^{1/p} \text{ for } 0 < p < \infty, \\ |a|_\infty &= \lambda_1. \end{aligned}$$

For  $a = 0$ , we define  $|a|_p = 0$ ,  $0 < p \leq \infty$ .

**DEFINITION 3.2.** For  $0 < p \leq \infty$ ,  $A_p = \{a \in A: |a|_p < \infty\}$ .

**REMARK 3.3.** For  $0 < p \leq \infty$ ,

- (1)  $a \in A_p$  if and only if  $[a] \in A_p$ , since  $[a] = [[a]]$  implies  $|a|_p = |[a]|_p$ ;
- (2) if  $e$  is a projection,  $e \in A_p$  and  $|e|_p = \|e\|^{2/p}$ .

REMARK 3.4. Let  $\{e_\omega; \omega \in \Omega\}$  be a projection base associated with  $[a]$ . We shall write  $[a] = \sum \lambda_\omega e_\omega$ , always assuming that  $\lambda_\omega = \lambda_n$  if  $e_\omega \notin E_{[a]}$ . Then  $|a|_p = (\sum \lambda_\omega^p \|e_\omega\|^2)^{1/2}$  for  $0 < p < \infty$ ; and we continue to write  $|a|_\infty = \lambda_1$ , understanding  $\lambda_1$  to be  $\sup \{\lambda_\omega; \omega \in \Omega\}$ .

REMARK 3.5. Let  $\{e_\omega; \omega \in \Omega\}$  be a projection base associated with  $[a] \in A$ .

(1)  $|a|_2^2 = |[a]|_2^2 = \sum \lambda_\omega^2 \|e_\omega\|^2 = \sum \|\lambda_\omega e_\omega\|^2 = \sum \|[a]e_\omega\|^2 = \sum \|ae_\omega\|^2 = \|a\|^2$ . Hence  $|a|_2 = \|a\|$  and  $A_2 = A$ .

(2)  $|a|_1 = |[a]|_1 = \sum \lambda_\omega \|e_\omega\|^2 = \sum (\lambda_\omega e_\omega, e_\omega) = \sum ([a]e_\omega, e_\omega) = \text{tr}[a] = \tau(a)$  [8, Lemma 3]. Hence  $|a|_1 = \tau(a)$  and  $A_1 = \tau(A)$ , the trace class of  $A$ .

DEFINITION 3.6. Let  $b$  be a nonzero positive element of  $A$ , with spectral representation  $b = \sum \lambda_n e_n$ . For  $0 < p < \infty$ ,  $b^p = \sum \lambda_n^p e_n$ , provided that this sum exists in  $A$ .

REMARK 3.7. From [8, Lemma 3] we have that  $a \in A_p$  if and only if  $[a]^p \in A_1 = \tau(A)$ . This occurs if and only if  $[a]^{p/2}$  exists in  $A$ ; we then have  $|a|_p^p = \sum \lambda_n^p \|e_n\|^2 = \tau([a]^p) = |[a]^p|_1 = |[a]^{p/2}|^2 = \sum ([a]^{p/2} p_\omega, p_\omega)$  for any projection base  $\{p_\omega; \omega \in \Omega\}$ .

REMARK 3.8. For  $0 < p \leq \infty$ , clearly  $|a|_p \geq 0$ , and  $|a|_p = 0$  if and only if  $a = 0$ . Also, since  $[\alpha a] = |\alpha| [a]$  for any complex number  $\alpha$ , we have  $|\alpha a|_p = |\alpha| |a|_p$ .

LEMMA 3.9. For any  $a \in A$  and  $0 < p < \infty$ ,  $|a|_\infty \leq |a|_p$ .

*Proof.* For  $a = 0$  the result is obvious. Otherwise, using the spectral representation of  $[a]$ , we have  $|a|_\infty^p = \lambda_1^p \leq \sum \lambda_n^p \|e_n\|^2 = |a|_p^p$ .

LEMMA 3.10. For any  $a \in A$ ,  $\|ax\| \leq |a|_\infty \|x\|$ .

*Proof.* For  $a \neq 0$ , let  $\{e_\omega; \omega \in \Omega\}$  be a projection base associated with  $[a]$ . Then  $[a]x = \sum \lambda_\omega e_\omega x$  and  $\|[a]x\|^2 = \sum \lambda_\omega^2 \|e_\omega x\|^2 \leq \lambda_1^2 \sum \|e_\omega x\|^2 = \lambda_1^2 \|x\|^2$ . Hence  $\|ax\| = \|[a]x\| \leq |a|_\infty \|x\|$ .

COROLLARY 3.11. For any  $a \in A$ ,  $|a|_\infty = \|L_a\|$ .

*Proof.* For  $a, x \neq 0$ ,  $\|ax\|/\|x\| \leq |a|_\infty$ , by the lemma. But  $\|ae_1\|/\|e_1\| = \|[a]e_1\|/\|e_1\| = \lambda_1 = |a|_\infty$ .

PROPOSITION 3.12. For  $a \in A$  and  $0 < p < q \leq \infty$ ,  $|a|_q \leq |a|_p$ .

Hence  $A_p \subset A_q$ , and if  $2 \leq p \leq \infty$  then  $A_p = A$ .

*Proof.* Using the spectral representation of  $[a]$ , we have  $|a|_q^q = \sum \lambda_n^q \|e_n\|^2 = \sum \lambda_n^{q-p} \lambda_n^p \|e_n\|^2 \leq \lambda_1^{q-p} \sum \lambda_n^p \|e_n\|^2 = |a|_\infty^{q-p} |a|_p^p \leq |a|_p^q$ , by Lemma 3.9.

REMARK 3.13. By 3.7,  $a \in A_{2p}$  ( $0 < p < \infty$ ) if and only if  $[a]^p$  exists in  $A$ . For  $1 \leq p < \infty$ ,  $A_{2p} = A$  and hence  $[a]^p$  is defined.

PROPOSITION 3.14. If  $A$  is infinite-dimensional, then for  $0 < p < q \leq 2$ ,  $A_q$  is properly larger than  $A_p$ .

*Proof.* From the structure theory of  $H^*$ -algebras [1], we see that if  $A$  is infinite-dimensional then  $A$  contains a countably infinite set  $\{e_n: n \in N\}$  of mutually orthogonal projections. Choose  $r$  such that  $p < r < q$ ; then the series  $\sum_{n=1}^{\infty} n^{-1/r} \|e_n\|^{-2/q}$  converges to a positive element of  $A$  (since the squares of the norms of its terms have a finite sum). Denoting this element by  $a$ , we observe that the given series (or one obtained from it by grouping and rearranging terms) is the spectral representation of  $a$ . Thus  $a \in A_q$ , since  $|a|_q^q = \sum_{n=1}^{\infty} n^{-q/r} < \infty$ ; however  $a \notin A_p$ , since  $|a|_p^p = \sum_{n=1}^{\infty} n^{-p/r} \|e_n\|^{2-(2p/q)} \geq \sum_{n=1}^{\infty} n^{-p/r} = \infty$ .

Some elements of the following lemma appear in [8, p. 96]. For most of it, however, the author is indebted to M. Kervin.

LEMMA 3.15. Let  $a$  be any nonzero element of  $A$ , and let  $[a] = \sum \lambda_n e_n$  be the spectral representation of  $[a]$ . For each  $n$ , let  $f_n = \lambda_n^{-2} a e_n a^*$ . Then  $[a^*] = \sum \lambda_n f_n$  is the spectral representation of  $[a^*]$ , and  $\|f_n\| = \|e_n\|$  for each  $n$ .

*Proof.* Clearly, the  $\lambda_n$  are distinct positive numbers and the  $f_n$  are self-adjoint. We recall, first of all, that  $[a]^2 = \sum \lambda_n^2 e_n = a^* a$ , and therefore  $a^* a e_n = e_n a^* a = \lambda_n^2 e_n$ . Thus  $f_n f_n = (\lambda_n^{-2} a e_n a^*)(\lambda_n^{-2} a e_n a^*) = \lambda_n^{-2} \lambda_n^{-2} a e_n (a^* a e_n) a^* = \lambda_n^{-2} a e_n e_n a^* = \delta_{nn} f_n$ . Therefore, the  $f_n$  are mutually orthogonal idempotents. Also,  $\lambda_n^2 \|f_n\|^2 = \lambda_n^{-2} (a e_n a^*, a e_n a^*) = (e_n a^*, e_n a^*) = \lambda_n^2 \|e_n\|^2$ , and therefore  $\|f_n\| = \|e_n\|$  and the  $f_n$  are nonzero. Now we wish to show that  $[a^*] = \sum \lambda_n f_n$ . We shall show first that  $a = \sum a e_n$ . Extend the family  $E_{[a]}$  to a projection base  $\{e_\omega: \omega \in \Omega\}$ . Then  $a = \sum a e_\omega$  and  $a^* a = \sum a^* a e_\omega$ . But if  $e_\alpha \notin E_{[a]}$  then  $a^* a e_\alpha = 0$ , since  $a^* a = \sum \lambda_n^2 e_n = \sum a^* a e_n$ . Therefore, for  $e_\alpha \notin E_{[a]}$  we have  $e_\alpha a^* a e_\alpha = 0 = (a e_\alpha)^* (a e_\alpha)$ , and thus  $a e_\alpha = 0$  [1, Lemma 2.2]. We conclude that  $a = \sum a e_n$ . Finally,  $(\sum \lambda_n f_n)^2 = \sum \lambda_n^2 f_n = \sum a e_n a^* = a a^*$ , and therefore  $\sum \lambda_n f_n$  is the (unique) positive square root of  $a a^*$ ; that is,  $\sum \lambda_n f_n = [a^*]$ .

**COROLLARY 3.16.** *For any  $a \in A$  and  $0 < p \leq \infty$ ,  $|a|_p = |a^*|_p$ . Hence  $a \in A_p$  if and only if  $a^* \in A_p$ .*

In order to arrive at the results announced in our opening synopsis, we shall need to establish several crucial inequalities. Lemmas 3.17, 3.18, and 3.22 are adapted from [6, Lemmas 2.1, 2.2].

**LEMMA 3.17.** *For  $0 < p < \infty$ , let  $b$  be a positive element of  $A_{2p}$  (so that  $b^p$  exists in  $A$ ). Then for any nonzero  $x \in A$ ,*

- (1)  $(b^p x, x) \geq (bx, x)^p \|x\|^{2(1-p)}$  if  $1 \leq p < \infty$ ,
- (2)  $(b^p x, x) \leq (bx, x)^p \|x\|^{2(1-p)}$  if  $0 < p \leq 1$ .

*Proof.* (1) Suppose  $1 \leq p < \infty$ . Let  $\{e_\omega: \omega \in \Omega\}$  be a projection base associated with  $b$ , where, as usual, we take  $\lambda_\omega = \lambda_n$  if  $e_\omega = e_n \in E_b$ , and  $\lambda_\omega = 0$  if  $e_\omega \notin E_b$ . We have, by Hölder's inequality,

$$\begin{aligned} (bx, x) &= \sum \lambda_\omega (e_\omega x, x) \\ &\leq [\sum \lambda_\omega^p (e_\omega x, x)]^{1/p} [\sum (e_\omega x, x)]^{1-(1/p)} \\ &= [(\sum \lambda_\omega^p e_\omega x, x)]^{1/p} [\sum \|e_\omega x\|^2]^{(p-1)/p} \\ &= (b^p x, x)^{1/p} \|x\|^{2(p-1)/p}. \end{aligned}$$

Hence  $(b^p x, x) \geq (bx, x)^p \|x\|^{2(1-p)}$ .

(2) Suppose  $0 < p \leq 1$ . Replace the element  $b$  in (1) by  $b^p$  and the exponent  $p$  by  $1/p$  to obtain the desired inequality.

**LEMMA 3.18.** *Let  $a \in A$ , and let  $\{q_\omega: \omega \in \Omega\}$  be a projection base for  $A$ . Then*

- (1)  $|a|_p^p \leq \sum \|aq_\omega\|^p \|q_\omega\|^{2-p}$  if  $1 \leq p \leq 2$ ,
- (2)  $|a|_p^p \geq \sum \|aq_\omega\|^p \|q_\omega\|^{2-p}$  if  $2 \leq p < \infty$ .

*In each case, equality holds if  $\{q_\omega: \omega \in \Omega\}$  is a projection base associated with  $[a]$ .*

*Proof.* We note first that  $[a]^p$  exists, since  $p \geq 1$ .

(1) Suppose  $1 \leq p \leq 2$ . By (2) of Lemma 3.17 we have for each  $q_\omega$ ,

$$\begin{aligned} ([a]^p q_\omega, q_\omega) &= (([a]^2)^{p/2} q_\omega, q_\omega) \\ &\leq ([a]^2 q_\omega, q_\omega)^{p/2} \|q_\omega\|^{2-p} \\ &= \|aq_\omega\|^p \|q_\omega\|^{2-p}. \end{aligned}$$

Summing over  $\Omega$  gives, by 3.7,

$$|a|_p^p = \sum ([a]^p q_\omega, q_\omega) \leq \sum \|aq_\omega\|^p \|q_\omega\|^{2-p}.$$

If  $\{q_\omega\}$  is a projection base associated with  $[a]$ , then by 3.4 we have



$$\begin{aligned}
\Sigma ||aq_\omega||^p ||q_\omega||^{2-p} &= \Sigma ||[a]q_\omega||^p ||q_\omega||^{2-p} \\
&= \Sigma \lambda_\omega^p ||q_\omega||^p ||q_\omega||^{2-p} \\
&= \Sigma \lambda_\omega^p ||q_\omega||^2 \\
&= |a|_p^p.
\end{aligned}$$

(2) is proved similarly, using (1) of Lemma 3.17.

**PROPOSITION 3.19.** *For  $1 \leq p \leq \infty$ , let  $a \in A_p$ , and let  $S$  be a left centralizer on  $A$ . Then  $Sa \in A_p$ , and  $|Sa|_p \leq ||S|| |a|_p$ .*

*Proof.* The result is standard for  $p = \infty$ . Suppose  $1 \leq p \leq 2$ ; let  $\{e_\omega: \omega \in \Omega\}$  be a projection base associated with  $[a]$ . By Lemma 3.18 (1),  $|Sa|_p^p \leq \Sigma ||(Sa)e_\omega||^p ||e_\omega||^{2-p} = \Sigma ||S(ae_\omega)||^p ||e_\omega||^{2-p} \leq ||S||^p \Sigma ||ae_\omega||^p ||e_\omega||^{2-p} = ||S||^p |a|_p^p$ . Now suppose  $2 \leq p < \infty$ , and this time let  $\{e_\omega: \omega \in \Omega\}$  be a projection base associated with  $[Sa]$ . We have, using (2) of Lemma 3.18,  $|Sa|_p^p = \Sigma ||(Sa)e_\omega||^p ||e_\omega||^{2-p} = \Sigma ||S(ae_\omega)||^p ||e_\omega||^{2-p} \leq ||S||^p \Sigma ||ae_\omega||^p ||e_\omega||^{2-p} \leq ||S||^p |a|_p^p$ .

**COROLLARY 3.20.** *For  $1 \leq p \leq \infty$ , let  $a \in A_p$ ,  $x \in A$ . Then  $xa$  and  $ax$  belong to  $A_p$ , and  $|xa|_p \leq |x|_\infty |a|_p$ ,  $|ax|_p \leq |a|_p |x|_\infty$ .*

*Proof.* By Corollary 3.11 the statements about  $xa$  are immediate, since  $L_x$  is a left centralizer. We also have, by Corollary 3.16,  $|ax|_p = |(ax)^*|_p = |x^*a^*|_p \leq |x^*|_\infty |a^*|_p = |a|_p |x|_\infty$ .

**COROLLARY 3.21.** *For  $1 \leq p \leq \infty$ , let  $a, b \in A_p$ . Then  $|ab|_p \leq |a|_p |b|_p$ .*

In our next lemma we shall make use of a special operator decomposition given by McCarthy [6, p. 250]. Suppose  $T \in B(A)$ ; then  $T = (TT^*)^{1/4} U(T^*T)^{1/4}$ , where  $U$  is a partial isometry with  $||U|| = 1$ .

**LEMMA 3.22.** *Suppose  $1 \leq p < \infty$ . Let  $a \in A$ , and let  $\{q_\omega: \omega \in \Omega\}$  be any projection base for  $A$ . Then  $\Sigma |(aq_\omega, q_\omega)|^p ||q_\omega||^{2(1-p)} \leq |a|_p^p$ .*

*Proof.* We use the operator decomposition just mentioned:  $L_a = (L_a L_a^*)^{1/4} U(L_a^* L_a)^{1/4} = L_{[a]}^{1/2} U L_{[a^*]}^{1/2}$ . We have, by two applications of the Schwarz inequality,

$$\begin{aligned}
\Sigma |(aq_\omega, q_\omega)|^p ||q_\omega||^{2(1-p)} &= \Sigma |(UL_{[a]}^{1/2} q_\omega, L_{[a^*]}^{1/2} q_\omega)|^p ||q_\omega||^{2(1-p)} \\
&\leq \Sigma ||L_{[a]}^{1/2} q_\omega||^p ||L_{[a^*]}^{1/2} q_\omega||^p ||q_\omega||^{2(1-p)} \\
&= \Sigma (||L_{[a]}^{1/2} q_\omega||^p ||q_\omega||^{1-p}) (||L_{[a^*]}^{1/2} q_\omega||^p ||q_\omega||^{1-p}) \\
&\leq [\Sigma ||L_{[a]}^{1/2} q_\omega||^{2p} ||q_\omega||^{2(1-p)}]^{1/2} [\Sigma ||L_{[a^*]}^{1/2} q_\omega||^{2p} ||q_\omega||^{2(1-p)}]^{1/2}
\end{aligned}$$

$$\begin{aligned}
&= [\Sigma(L_{[a]}^{1/2}q_\omega, L_{[a]}^{1/2}q_\omega)^p \|q_\omega\|^{2(1-p)}]^{1/2} [\Sigma(L_{[a^*]}^{1/2}q_\omega, L_{[a^*]}^{1/2}q_\omega)^p \|q_\omega\|^{2(1-p)}]^{1/2} \\
&= [\Sigma([a]q_\omega, q_\omega)^p \|q_\omega\|^{2(1-p)}]^{1/2} [\Sigma([a^*]q_\omega, q_\omega)^p \|q_\omega\|^{2(1-p)}]^{1/2} \\
&\leq [\Sigma([a]^p q_\omega, q_\omega)]^{1/2} [\Sigma([a^*]^p q_\omega, q_\omega)]^{1/2} \text{ by Lemma 3.17 (1)} \\
&= |a|_p^{p/2} |a^*|_p^{p/2} \text{ by 3.7} \\
&= |a|_p^p.
\end{aligned}$$

**PROPOSITION 3.23.** *For  $1 \leq p \leq \infty$ , let  $a, b \in A_p$ . Then  $|a + b|_p \leq |a|_p + |b|_p$ .*

*Proof.* The result is well-known for  $p = \infty$ . For  $1 \leq p < \infty$ , let  $\{e_\omega: \omega \in \Omega\}$  be a projection base associated with  $[a + b]$ , and let  $W$  be the partial isometry associated with  $a + b$ . Then

$$\begin{aligned}
|a + b|_p &= [\Sigma([a + b]e_\omega, e_\omega)^p \|e_\omega\|^{2(1-p)}]^{1/p} \\
&= [\Sigma|([a + b]e_\omega, e_\omega)|^p \|e_\omega\|^{2(1-p)}]^{1/p} \\
&= [\Sigma|((W^*(a + b))e_\omega, e_\omega)|^p \|e_\omega\|^{2(1-p)}]^{1/p} \\
&= [\Sigma|((W^*a)e_\omega, e_\omega)|^p \|e_\omega\|^{2(1-p)/p} + |((W^*b)e_\omega, e_\omega)|^p \|e_\omega\|^{2(1-p)/p}|^p]^{1/p} \\
&\leq [\Sigma|((W^*a)e_\omega, e_\omega)|^p \|e_\omega\|^{2(1-p)}]^{1/p} + [\Sigma|((W^*b)e_\omega, e_\omega)|^p \|e_\omega\|^{2(1-p)}]^{1/p}
\end{aligned}$$

by Minkowski's inequality

$$\begin{aligned}
&\leq |W^*a|_p + |W^*b|_p && \text{by Lemma 3.22} \\
&\leq \|W^*\| |a|_p + \|W^*\| |b|_p && \text{by Proposition 3.19} \\
&= |a|_p + |b|_p.
\end{aligned}$$

**COROLLARY 3.24.** *For  $1 \leq p \leq \infty$ ,  $A_p$  is a normed linear space. Hence  $A_p$  is a two-sided  $*$ -ideal of  $A$  and  $(A_p, |\cdot|_p)$  is a normed algebra.*

Now for  $1 \leq p \leq \infty$  we wish to investigate the relationship between  $A_p$  and the dual space of  $A_q$ , where  $(1/p) + (1/q) = 1$ . In what follows we shall omit proofs for the cases  $p = 1, q = \infty$  and  $p = \infty, q = 1$ ; these are given in [9].

**LEMMA 3.25.** *Let  $(1/p) + (1/q) = 1$ , where  $1 \leq p, q \leq \infty$ . Let  $a \in A_p, b \in A_q$ . Then  $|tr ab| = |tr ba| \leq |a|_p |b|_q$ .*

*Proof.* We shall assume with no loss of generality that  $1 < p \leq 2$  and hence  $2 \leq q < \infty$ . Let  $\{e_\omega: \omega \in \Omega\}$  be a projection base associated with  $[a]$ . Then  $|tr ab| = |tr ba| = |\Sigma(bae_\omega, e_\omega)| \leq \Sigma|(ae_\omega, b^*e_\omega)| \leq \Sigma\|ae_\omega\| \|b^*e_\omega\| = \Sigma\|ae_\omega\| \|e_\omega\|^{(2-p)/p} \|b^*e_\omega\| \|e_\omega\|^{(2-q)/q}$ , since  $((2-p)/p) + ((2-q)/q) = 0$ . By Hölder's inequality, the last sum does not exceed  $[\Sigma\|ae_\omega\|^p \|e_\omega\|^{2-p}]^{1/p} [\Sigma\|b^*e_\omega\|^q \|e_\omega\|^{2-q}]^{1/q}$ . But the first sum in brackets is  $|a|_p^p$ , and the second is less than or equal to  $|b^*|_q^q$ , by Lemma 3.18

(2). Hence  $|tr\ ab| \leq |a|_p |b|_q$ .

For each  $a \in A_p$  we now define  $\phi_a(x) = tr\ xa$  for all  $x \in A_q$ . From the linearity of  $tr$  on the trace class  $\tau(A)$ , it is evident that  $\phi_a$  is a linear functional on  $A_q$ ; moreover,  $\phi_a$  is bounded and  $\|\phi_a\| \leq |a|_p$ , by Lemma 3.25. We shall show that the opposite inequality holds as well.

**PROPOSITION 3.26.** *For  $1 \leq p \leq \infty$ , the mapping  $a \rightarrow \phi_a$  is a linear isometry of  $A_p$  into  $A'_q$ , the dual space of  $A_q$ .*

*Proof.* Again using the linearity of  $tr$  on  $\tau(A)$  one easily verifies that the mapping is linear. In view of our above remarks, therefore, we need only prove that  $|a|_p \leq \|\phi_a\|$ . Let  $[a] = \sum \lambda_n e_n$  be the spectral representation of  $[a]$ , and let  $w_k = \sum_{n=1}^k \lambda_n^{p-2} e_n a \in A_q$ . We shall compute  $|w_k|_q$ . First of all,  $w_k^* w_k = (\sum_{m=1}^k \lambda_m^{p-2} a e_m) (\sum_{n=1}^k \lambda_n^{p-2} e_n a^*) = \sum_{m,n=1}^k \lambda_m^{p-2} \lambda_n^{p-2} a e_m e_n a^* = \sum_{n=1}^k \lambda_n^{2(p-2)} a e_n a^* = \sum_{n=1}^k \lambda_n^{2(p-1)} \lambda_n^{-2} a e_n a^* = \sum_{n=1}^k \lambda_n^{2(p-1)} f_n$ , where  $f_n = \lambda_n^{-2} a e_n a^*$ . Since, by Lemma 3.15, the  $f_n$  are mutually orthogonal projections with  $\|f_n\| = \|e_n\|$ , we have  $[w_k] = \sum_{n=1}^k \lambda_n^{p-1} f_n$ , and  $|w_k|_q = [\sum_{n=1}^k \lambda_n^{q(p-1)} \|f_n\|^2]^{1/q} = [\sum_{n=1}^k \lambda_n^p \|e_n\|^2]^{1/q}$ . We also have  $\sum_{n=1}^k \lambda_n^p \|e_n\|^2 = \sum_{n=1}^k \lambda_n^p tr\ e_n = |tr(\sum_{n=1}^k \lambda_n^p e_n)| = |tr(\sum_{n=1}^k \lambda_n^{p-2} e_n a^* a)| = |tr\ w_k a| = |\phi_a(w_k)| \leq \|\phi_a\| |w_k|_q = \|\phi_a\| [\sum_{n=1}^k \lambda_n^p \|e_n\|^2]^{1/q}$ . Thus  $[\sum_{n=1}^k \lambda_n^p \|e_n\|^2]^{1/p} \leq \|\phi_a\|$ , and since  $\sum_{n=1}^k \lambda_n^p \|e_n\|^2 \leq \|\phi_a\|^p$  for every  $k$ , we have  $|a|_p \leq \|\phi_a\|^p$ .

**THEOREM 3.27.** *For  $1 \leq p \leq 2$ , the mapping  $a \rightarrow \phi_a$  is a linear isometry of  $A_p$  onto  $A'_q$ .*

*Proof.* Let  $\phi$  be any bounded linear functional on  $A_q$ . Then for all  $x \in A_q (= A)$ ,  $|\phi(x)| \leq \|\phi\| |x|_q \leq \|\phi\| \|x\|$ , by Proposition 3.12. Therefore  $\phi$  is a bounded linear functional on  $A$ , and by the Riesz representation theorem there exists  $a \in A$  such that  $\phi(x) = (x, a^*) = tr\ xa$  for all  $x \in A$ . We need only show that  $a \in A_p$ . But if we again consider the spectral representation  $[a] = \sum \lambda_n e_n$  and define  $w_k$  as in the preceding proof, the same computations show that  $\sum_{n=1}^k \lambda_n^p \|e_n\|^2 \leq \|\phi\|^p$  for every  $k$ , and hence  $\sum \lambda_n^p \|e_n\|^2 < \infty$  and  $a \in A_p$ .

**COROLLARY 3.28.** *For  $1 \leq p \leq 2$ ,  $(A_p, |\cdot|_p)$  is a Banach  $*$ -algebra.*

We conclude this section with an example to show that if  $2 < p \leq \infty$  and  $A (= A_p)$  is infinite-dimensional, then  $(A^p, |\cdot|_p)$  is incomplete. First of all, if  $(A_p, |\cdot|_p)$  is complete, then from the inverse mapping theorem and the fact that  $|\cdot|_p$  is dominated by  $\|\cdot\|$ , we can conclude that these two norms are equivalent on  $A$ . But this is not so if  $A$  is infinite-dimensional, for if  $\{e_n: n \in N\}$  is a countably infinite set of mutually orthogonal projections in  $A$  and we let  $s_k = \sum_{n=1}^k n^{-1/2} \|e_n\|^{-2/p} e_n$ , then  $\{s_k\}$  is a Cauchy sequence in the  $|\cdot|_p$ -topology but not in the

$\|\cdot\|$ -topology.

4. The structure of the Banach  $*$ -algebras  $A_p$ . In this section we shall confine our attention mainly to the algebras  $A_p$ , where  $1 \leq p \leq 2$ , although some of our results hold for  $p > 2$  as well. Unless otherwise indicated, therefore, we shall assume throughout that  $1 \leq p < 2$ . We begin by observing that for these values of  $p$ ,  $A_p$  is a quite special instance of an  $IP$ -algebra, as introduced and studied by Yood in [12]; hence the entire theory of that paper is at our disposal. Furthermore, it is readily verified that  $(A_p, \|\cdot\|)$  is a (normed) Hilbert algebra; we shall immediately note some properties of this Hilbert algebra. Our first lemma is a simple consequence of the  $\|\cdot\|$ -continuity of multiplication.

LEMMA 4.1. *If  $R$  is any right ideal of  $A_p$ , then  $\bar{R}$ , the closure of  $R$  in  $A$ , is a closed right ideal of  $A$ .*

LEMMA 4.2. *If  $R$  is a right ideal of  $A_p$  and  $P$  is the orthogonal projection operator of  $A$  onto  $\bar{R}$ , the closure of  $R$  in  $A$ , then for any  $a \in A_p$ ,  $Pa \in A_p$ . In particular, if  $R$  is relatively  $\|\cdot\|$ -closed in  $A_p$  then  $Pa \in R$ .*

*Proof.* This is immediate from Proposition 3.19, inasmuch as  $P$  is a left centralizer on  $A$ .

PROPOSITION 4.3. *If  $R$  is a relatively  $\|\cdot\|$ -closed right ideal of  $A_p$ , then  $A_p = R \oplus R^\perp$ , where  $R^\perp$  is the orthogonal complement of  $R$  in  $A_p$ .*

*Proof.* Considering the closures in  $A$  of these right ideals, we have, for any  $a \in A_p$ ,  $a = a_1 + a_2$ , where  $a_1 \in \bar{R}$ ,  $a_2 \in \bar{R}^\perp$ . But by Lemma 4.2,  $a_1 \in R$  and  $a_2 \in R^\perp$ .

REMARK 4.4. For a closed right ideal  $R$  in any Hilbert algebra, we have  $\mathcal{L}(R) = R^{\perp*}$ , where  $\mathcal{L}(R)$  is the left annihilator of  $R$ . This is readily established by the argument used for an  $H^*$ -algebra [5, Theorem 12]. Combining this fact with Proposition 4.3 we obtain the following.

COROLLARY 4.5.  *$(A_p, \|\cdot\|)$  is a dual Hilbert algebra.*

Our next proposition, along with the known structure theory of  $H^*$ -algebras [1, Theorem 4.2], enables us to obtain a structure theorem for the Hilbert algebras  $A_p$ .

**PROPOSITION 4.6.** *Let  $I$  be a closed two-sided ideal of  $A$  (and therefore an  $H^*$ -algebra). Then  $I \cap A_p = I_p$ , the  $p$ -class of  $I$ .*

*Proof.* If  $a \in I_p$  then  $[a]$ , as an element of the  $H^*$ -algebra  $I$ , has a spectral decomposition  $[a] = \sum \lambda_n e_n$ , where  $e_n \in I$  for each  $n$ , and  $\sum \lambda_n^p \|e_n\|^2 < \infty$ . This is therefore the (unique) spectral decomposition of  $[a]$  in  $A$ , and therefore  $a \in I \cap A_p$ . Conversely, suppose  $a \in I \cap A_p$ . Since  $a \in I$ ,  $[a]$  has a spectral decomposition  $[a] = \sum \lambda_n e_n$  in  $I$ , and again this is its unique spectral decomposition in  $A$ . Since  $a \in A_p$  we have  $\sum \lambda_n^p \|e_n\|^2 < \infty$ , and therefore  $a \in I_p$ .

**REMARK 4.7.** Let  $J$  be a relatively  $\|\cdot\|$ -closed two-sided ideal of  $A_p$ . Then  $J$  is a minimal closed ideal of  $A_p$  if and only if  $\bar{J}$ , the closure of  $J$  in  $A$ , is a minimal closed ideal of  $A$ . If the latter condition holds (so that  $\bar{J}$  is a topologically simple  $H^*$ -algebra), then  $J$  is a topologically simple Hilbert algebra.

We use these results and Lemma 4.2 to obtain our structure theorem for  $A_p$  as a Hilbert algebra.

**THEOREM 4.8** *The Hilbert algebra  $(A_p, \|\cdot\|)$  is the direct topological sum of its minimal closed two-sided ideals, which are mutually orthogonal. Each of these is a topologically simple Hilbert algebra and is the  $p$ -class of a minimal closed two-sided ideal of  $A$ .*

For the remainder of this section we consider the Banach  $*$ -algebras  $(A_p, |\cdot|_p)$ . Our aim in the following development is twofold: (1) to investigate the  $|\cdot|_p$ -closed right ideals of  $A_p$ ; (2) to obtain a structure theorem for  $(A_p, |\cdot|_p)$  analogous to Theorem 4.8.

**LEMMA 4.9.** *Let  $I$  be any  $\|\cdot\|$ -closed two-sided ideal of  $A$ . For any  $a \in A$ , let  $a_1$  denote the orthogonal projection of  $a$  on  $I$ . Then*

- (1)  $(a^*)_1 = (a_1)^*$ ,
- (2)  $[a]_1 = [a_1]$ .

*Proof.* Let  $a = a_1 + a_2$ , where  $a_2 \in I^\perp$ , the orthogonal complement of  $I$  in  $A$ . Then  $a^* = a_1^* = (a_1)^* + (a_2)^*$ . (1) follows readily from the fact that  $I$  and  $I^\perp$  are closed under the involution. To establish (2), we first note that  $a^*a = a_1^*a_1 + a_2^*a_2$ . Then, letting  $[a] = [a]_1 + [a]_2$ , we have  $a^*a = [a]^2 = [a]_1^2 + [a]_2^2$ , and hence  $[a]_1^2 = a_1^*a_1$ , by the uniqueness of the decomposition. If we show that  $[a]_1$  is positive, then  $[a]_1 = [a_1]$  by the definition of  $[a_1]$ . For any  $x \in A$ , let  $x = x_1 + x_2$ , where  $x_1 \in I$ ,  $x_2 \in I^\perp$ . Then  $([a]_1x, x) = ([a]_1x_1 + [a]_1x_2, x_1 + x_2) = ([a]_1x_1, x_1) = ([a]_1x_1 + [a]_2x_1, x_1) = ([a]x_1, x_1) \geq 0$ .

**PROPOSITION 4.10.** *Let  $\{J_\gamma: \gamma \in I\}$  be a family of mutually orthogonal relatively  $\|\cdot\|$ -closed two-sided ideals of  $A_p$ . Let  $a_\gamma \in J_\gamma$  for each  $\gamma$ , and let  $a = \Sigma a_\gamma$  (in the  $\|\cdot\|$ -topology). Then  $|a|_p^p = \Sigma |a_\gamma|_p^p$ , and hence  $a \in A_p$  if and only if  $\Sigma |a_\gamma|_p^p < \infty$ .*

*Proof.* Clearly, each  $a_\gamma$  is the orthogonal projection of  $a$  on  $J_\gamma$ , and hence, by the preceding lemma,  $[a] = \Sigma [a_\gamma]$ . Now for each  $\gamma$ , let  $[a_\gamma] = \Sigma_n \lambda_{\gamma_n} e_{\gamma_n}$  be the spectral representation of  $[a_\gamma]$  in the  $H^*$ -algebra  $\bar{J}_\gamma$ , the  $\|\cdot\|$ -closure of  $J_\gamma$  in  $A$ . Then  $|a_\gamma|_p^p = \Sigma_n \lambda_{\gamma_n}^p \|e_{\gamma_n}\|^2$ . Also,  $[a] = \Sigma_\gamma \Sigma_n \lambda_{\gamma_n} e_{\gamma_n}$ , and since in this sum there cannot be infinitely many equal coefficients, the spectral representation of  $[a]$  is obtained by merely grouping the terms of the series having the same coefficient, and then rearranging the terms, if necessary. Hence  $|a|_p^p = \Sigma_\gamma \Sigma_n \lambda_{\gamma_n}^p \|e_{\gamma_n}\|^2 = \Sigma_\gamma |a_\gamma|_p^p$ .

**REMARK 4.11.** This proposition also holds for  $2 \leq p < \infty$ . Also, it is easily seen that  $|a|_\infty = \sup_\gamma |a_\gamma|_\infty$ .

**LEMMA 4.12.** *Let  $a \in A_p$  and let  $\varepsilon$  be any positive number. Then there exist projections  $e$  and  $f$  in  $A_p$  such that  $|a - ae|_p < \varepsilon$  and  $|a - fa|_p < \varepsilon$ .*

*Proof.* Let  $A_0$  be the intersection of all maximal commutative  $*$ -subalgebras of  $A$  containing  $[a]$ . Then, as in Lemma 2.1, we have a representation  $[a] = \Sigma \alpha_n p_n$  (each  $\alpha_n \neq 0$ ), which, by grouping and rearranging of terms, yields the spectral representation of  $[a]$ ; hence  $|a|_p^p = \Sigma \alpha_n^p \|p_n\|^2$ . (Note that  $[a] \in (A_0)_p$ .) We may write  $[a] = ([a] - \Sigma_{n=1}^k \alpha_n p_n) + (\Sigma_{n=1}^k \alpha_n p_n)$ , where  $\Sigma_{n=1}^k \alpha_n p_n$  belongs to the relatively  $\|\cdot\|$ -closed two-sided ideal  $\Sigma_{n=1}^k (A_0)_p p_n$  of  $(A_0)_p$ , and  $([a] - \Sigma_{n=1}^k \alpha_n p_n)$  belongs to the orthogonal complement of this ideal in  $(A_0)_p$ . By Proposition 4.10,  $|a|_p^p = |[a]|_p^p = |[a] - \Sigma_{n=1}^k \alpha_n p_n|_p^p + |\Sigma_{n=1}^k \alpha_n p_n|_p^p$ . But this last term is  $\Sigma_{n=1}^k \alpha_n^p \|p_n\|^2$ , which has the limit  $|a|_p^p$  as  $k \rightarrow \infty$ . We therefore have  $\lim_{k \rightarrow \infty} |[a] - \Sigma_{n=1}^k \alpha_n p_n|_p^p = 0 = \lim_{k \rightarrow \infty} |[a] - [a] \Sigma_{n=1}^k p_n|_p^p$ .

Hence for sufficiently large  $k$  there is a projection  $e = \Sigma_{n=1}^k p_n$  such that  $|[a] - [a]e|_p < \varepsilon$ . Taking  $W$  to be the partial isometry associated with  $a$ , we have, using Proposition 3.19,  $|a - ae|_p = |W[a] - (W[a])e|_p = |W[a] - W([a]e)|_p \leq \|W\| |[a] - [a]e|_p < \varepsilon$ . There is likewise a projection  $f$  such that  $|a^* - a^*f|_p < \varepsilon$ ; hence  $|a - fa|_p = |(a - fa)^*|_p < \varepsilon$ .

**COROLLARY 4.13.** *For any  $a \in A_p$ ,  $a \in \overline{aA_p} \cap \overline{A_p a}$ , where the closure is in the  $|\cdot|_p$ -topology.*

We remarked at the beginning of this section that  $A_p$  is a special

case of an  $IP$ -algebra, and now that we have established the result of Corollary 4.13, we immediately have the following from [12, Theorems 3.5 and 4.9].

**COROLLARY 4.14.**  $(A_p, |\cdot|_p)$  has dense socle, and is the direct topological sum of its minimal closed two-sided ideals.

**COROLLARY 4.15.**  $(A_p, |\cdot|_p)$  is a dual algebra.

A simple consequence of Corollary 4.15 is the following.

**PROPOSITION 4.16.** Let  $R$  be a right ideal of  $A_p$ .  $R$  is closed in the  $|\cdot|_p$ -topology if and only if  $R$  is relatively closed in the  $\|\cdot\|$ -topology.

*Proof.* Since  $\|a\| \leq |a|_p$  for every  $a \in A_p$ , by Proposition 3.12, it is clear that every relatively  $\|\cdot\|$ -closed subset of  $A_p$  is  $|\cdot|_p$ -closed. Moreover, if the right ideal  $R$  is  $|\cdot|_p$ -closed, then it is an annihilator ideal, by Corollary 4.15, and therefore is relatively  $\|\cdot\|$ -closed, by the  $\|\cdot\|$ -continuity of multiplication.

**REMARK 4.17.** This result holds for  $2 \leq p \leq \infty$ . In this case,  $R$  is clearly  $\|\cdot\|$ -closed if it is  $|\cdot|_p$ -closed. But if  $R$  is a  $\|\cdot\|$ -closed right ideal of  $A_p (= A)$ , we have  $R = R^{\perp\perp} = \mathcal{L}(R^\perp)^*$ , by 4.4. By the  $|\cdot|_p$ -continuity of multiplication,  $\mathcal{L}(R^\perp)$  is  $|\cdot|_p$ -closed.

We combine Proposition 4.16 with Proposition 4.3 to obtain the following.

**COROLLARY 4.18.**  $(A_p, |\cdot|_p)$  is a right complemented algebra (in the sense of [11]).

More can be said about the manner in which  $A_p$  is the direct topological sum of its minimal closed two-sided ideals. In order to do so, we obtain a converse of Proposition 4.10, which leads to our final structure theorem.

**PROPOSITION 4.19.** Let  $\{J_\gamma; \gamma \in \Gamma\}$  be a family of mutually orthogonal closed two-sided ideals of  $A_p$ . Let  $a_\gamma \in J_\gamma$  for each  $\gamma$ , and suppose that  $\sum |a_\gamma|_p^p < \infty$ . Then there exists  $a \in A_p$  such that  $a = \sum a_\gamma$ , where the sum may be taken in the  $|\cdot|_p$ -topology or the  $\|\cdot\|$ -topology.

*Proof.* Considering only the nonzero  $a_\gamma$ , which we denote as  $a_n$ , let  $s_k = \sum_{n=1}^k a_n$ . Then, by Proposition 4.10, for  $k > m$  we have  $|s_k - s_m|_p^p = |\sum_{n=m+1}^k a_n|_p^p = \sum_{n=m+1}^k |a_n|_p^p \rightarrow 0$  as  $k, m \rightarrow \infty$ . The Cauchy sequence  $\{s_k\}$  thus has a limit  $a$  in the Banach algebra  $(A_p, |\cdot|_p)$ , and  $a =$

$\Sigma a_n = \Sigma a_r$  in the  $|\cdot|_p$ -topology. (A standard argument shows that the limit is independent of the order of summation.) By Proposition 3.12, the sum is the same in the  $\|\cdot\|$ -topology.

**THEOREM 4.20.** *The Banach  $*$ -algebra  $(A_p, |\cdot|_p)$  is the  $p$ -direct sum of its minimal closed two-sided ideals  $J_\lambda$ . The  $J_\lambda$  are mutually orthogonal and each is a topologically simple Banach  $*$ -algebra.  $A_p$  is the “ $p$ -direct sum” in that it consists precisely of all sums  $\Sigma a_\lambda$ ,  $a_\lambda \in J_\lambda$ , such that  $\Sigma |a_\lambda|_p^p < \infty$ , where  $a = \Sigma a_\lambda$  may be understood as a limit in either the  $|\cdot|_p$ -topology or the  $\|\cdot\|$ -topology, and  $|a|_p = (\Sigma |a_\lambda|_p^p)^{1/p}$ .*

**5. Relationship to other systems.** If  $A$  is a topologically simple  $H^*$ -algebra, then there is a  $*$ -isomorphism  $x \rightarrow X$  of  $A$  onto the Schmidt class  $\sigma c$  of operators on the Hilbert space  $H = l_2(\Gamma)$ , where  $\Gamma$  is the index set of a maximal family  $\{q_r\}$  of mutually orthogonal primitive projections in  $A$  [1, Theorem 4.3]. Under this isomorphism,  $\|x\| = \alpha \sigma(X)$ , where  $\sigma(X)$  denotes the Schmidt norm of the operator  $X$  and  $\alpha \geq 1$  is the norm of each of the projections  $q_r$  (actually, all primitive projections in  $A$  have the same norm [7, Corollary 5.9]). Now if  $x$  is any nonzero element of  $A$  and  $[x] = \Sigma \lambda_n e_n$  is the spectral representation of  $[x]$ , then we may replace the nonprimitive projections among the  $e_n$  by finite sums of primitive projections to obtain a new representation

$$(*) \quad [x] = \Sigma \mu_n p_n,$$

where  $\mu_m \leq \mu_k$  if  $m > k$ . For a given coefficient  $\mu_n$  in  $(*)$ , we shall call the number of primitive projections having  $\mu_n$  as coefficient the multiplicity of  $\mu_n$  in this representation, denoted by  $m(\mu_n)$ . We have, for  $0 < p < \infty$ ,  $|x|_p = (\Sigma \mu_n^p \|p_n\|^2)^{1/p} = \alpha^{2/p} (\Sigma \mu_n^p)^{1/p}$ . Also,  $|x|_\infty = \mu_1$ . Since the  $\mu_n$  are the nonzero elements of the spectrum of  $[x]$ , and since the corresponding operator  $[X]$  is compact, these numbers are the nonzero characteristic values of  $[X]$ . Now for each  $\mu_n$ , let  $M(\mu_n)$  denote the multiplicity of  $\mu_n$  as a characteristic value of the operator  $[X]$ ; that is, the dimension of the subspace of  $H$  spanned by the characteristic vectors of  $[X]$  corresponding to  $\mu_n$ . We shall show that  $m(\mu_n) = M(\mu_n)$ .

**LEMMA 5.1.** *Let  $p$  be a primitive projection in the topologically simple  $H^*$ -algebra  $A$ . Then the corresponding projection  $P$  in  $\sigma c$  is one-dimensional on  $H$ .*

*Proof.* If  $P$  is not one-dimensional, let  $P = Q + R$ , where  $Q$  and  $R$  are projections onto orthogonal nonzero subspaces of  $P(H)$ . Letting



$q$  and  $r$  be the corresponding elements of  $A$ , we see that  $q$  and  $r$  are orthogonal projections in  $A$  with  $p = q + r$ . Thus  $p$  is not primitive.

LEMMA 5.2. *For any  $\mu_n$  in  $(*)$ ,  $m(\mu_n) = M(\mu_n)$ .*

*Proof.* Let  $p_{n_1}, \dots, p_{n_k}$  be the projections in  $(*)$  having coefficient  $\mu_n$ . Then  $m(\mu_n) = k$ . Also, letting  $P_{n_1}, \dots, P_{n_k}$  be the corresponding projections in  $\sigma c$ , we have, using the preceding lemma,  $\dim(P_{n_1} + \dots + P_{n_k})(H) = k$ ; therefore  $M(\mu_n) \geq k$ . Suppose  $M(\mu_n) > k$ , and let  $h$  be a nonzero element of  $H$  such that  $[X]h = \mu_n h$  and  $h$  is orthogonal to  $(P_{n_1} + \dots + P_{n_k})(H)$ . Let  $Q$  be the orthogonal projection onto the one-dimensional subspace of  $H$  spanned by  $\{h\}$ .  $Q \in \sigma c$ , and  $[X]Q = \mu_n Q$ . Now let  $q$  be the corresponding projection in  $A$ ; then  $[x]q = \mu_n q$ . For  $i = 1, \dots, k$ ,  $p_{n_i} q = 0$  since  $P_{n_i} Q = 0$ ; and for  $m \neq n_1, \dots, n_k$ ,  $p_m [x]q = \mu_m p_m q = \mu_n p_m q$ , so that  $p_m q = 0$ , since  $\mu_m \neq \mu_n$ . Thus  $q$  is orthogonal to all the  $p_n$ , which means that  $[x]q = 0$ , a contradiction. We conclude that  $m(\mu_n) = k = M(\mu_n)$ .

Now we observe that the coefficients  $\mu_n$  in  $(*)$  are the nonzero characteristic values of  $[X]$  enumerated according to their multiplicity  $M(\mu_n)$ . Thus, for  $0 < p < \infty$ ,  $|X|_p = (\sum \mu_n^p)^{1/p}$  and also  $|X|_\infty = \mu_1$ , where  $|\cdot|_p$  here denotes the  $c_p$  norm of  $X$  as an operator on  $H$ . Finally, we have  $|x|_p = \alpha^{2/p} |X|_p$  for  $0 < p \leq \infty$ , and therefore the mapping  $x \rightarrow X$  is a bicontinuous isomorphism of  $A_p$  into  $c_p(H)$ . Since  $c_2 = \sigma c$  [2, p. 1093] and  $c_p \subset c_2$  for  $0 < p \leq 2$ , the isomorphism is onto  $c_p$  for these values of  $p$ .

Now let  $A$  be any proper  $H^*$ -algebra, and let  $\{I_\lambda: \lambda \in A\}$  be the family of minimal closed two-sided ideals of  $A$ . Each  $I_\lambda$  is a topologically simple  $H^*$ -algebra and  $A$  is the Hilbert space direct sum  $\sum I_\lambda$ . For each  $\lambda \in A$ , let  $\Gamma_\lambda$  be the index set of a maximal family  $\{e_{\lambda_\gamma}: \gamma \in \Gamma_\lambda\}$  of mutually orthogonal primitive projections in  $I_\lambda$ , and let  $\alpha_\lambda$  be the norm  $\|e_{\lambda_\gamma}\|$  of each of the  $e_{\lambda_\gamma}$  in  $I_\lambda$ . For each  $x_\lambda \in I_\lambda$  let  $X_\lambda$  be the corresponding Schmidt class operator on  $H_\lambda = l_2(\Gamma_\lambda)$ . Then, as we have noted above,  $|x_\lambda|_p = \alpha_\lambda^{2/p} |X_\lambda|_p$ ,  $0 < p \leq \infty$ , where  $|X_\lambda|_p$  is the  $c_p$  norm of the operator  $X_\lambda$ . Then, by Proposition 4.10, we have  $|x|_p = (\sum |x_\lambda|_p^2)^{1/2} = (\sum \alpha_\lambda^2 |X_\lambda|_p^2)^{1/2}$  for  $0 < p < \infty$ , and, by 4.11,  $|x|_\infty = \sup_\lambda |x_\lambda| = \sup_\lambda |X_\lambda|$ . Thus, again, as in Proposition 4.10,  $x \in A_p$  if and only if each  $x_\lambda \in (I_\lambda)_p = I_\lambda \cap A_p$  and  $\sum |x_\lambda|_p^2 < \infty$ . These conditions in turn imply that each corresponding operator  $X_\lambda \in c_p(H_\lambda)$  and  $\sum \alpha_\lambda^2 |X_\lambda|_p^2 < \infty$ . For  $1 \leq p \leq 2$ , it has been established that the last-mentioned implication is an equivalence; for these values of  $p$ , therefore, in the special situation in which each  $H_\lambda$  is finite-dimensional, we have shown that the algebras  $A_p$  are instances of the  $\mathcal{E}_p$  spaces studied in [3, pp. 70 ff.] and [5].

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