# Pacific Journal of Mathematics

# HOMOTOPY AND ALGEBRAIC K-THEORY

BARRY H. DAYTON

Vol. 43, No. 2

April 1972

## HOMOTOPY AND ALGEBRAIC K-THEORY

## BARRY DAYTON

A notion of homotopy is described on a category of rings. This is used to induce a notion of equivalence on the categories of projective modules and to construct a K-theory exact sequence. The topological K-theory exact sequence is then obtained from the algebraic  $K_0$ ,  $K_1$  sequence.

1. Homotopy. In this section we describe the homotopy notion and the notion of equivalence it induces on the categories of projective modules.

A cartesian square of rings is a commutative diagram of rings

$$(*) \qquad \begin{array}{c} A \xrightarrow{h_2} A_2 \\ \downarrow h_1 & \downarrow f_2 \\ A_1 \xrightarrow{f_1} A_0 \end{array}$$

where  $A = \{(a_1, a_2) \in A_1 \times A_2 | f_1(a_1) = f_2(a_2)\}$  and  $h_1$ ,  $h_2$  are restrictions of the coordinate projections. We will further assume that  $f_1$  is surjective. If  $\mathscr{K}$  is a category of rings and  $F: \mathscr{K} \to \mathscr{K}$  is a functor we call F cartesian square preserving if the functor applied to a cartesian square gives a cartesian square.

DEFINITION 1.1. Let  $\mathscr{K}$  be a category of rings. A homotopy theory  $\mathscr{H}$  for  $\mathscr{K}$  is an ordered quadruple  $(I, \iota_0, \iota_1, \pi)$  where I is a cartesian square preserving functor and  $\iota_0, \iota_1: I \to 1_{\mathscr{K}}, \pi: 1_{\mathscr{K}} \to I$  are natural transformations such that  $\iota_0(A)\pi(A) = 1_A = \iota_1(A)\pi(A)$  for  $A \in \mathscr{K}$ .

For a homotopy theory  $\mathscr{H} = (I, \iota_0, \iota_1, \pi)$  on  $\mathscr{K}$  and  $f, g: B \to A$ morphisms in  $\mathscr{K}$  define  $f \sim g$  if there exists a morphism  $h: B \to IA$ in  $\mathscr{K}$  such that  $f = \iota_0 h, g = \iota_1 h; h$  is called a homotopy of f to g. Let  $\cong$  be the smallest equivalence relation on  $\mathscr{K}(B, A)$  containing  $\sim$ ; if  $f \cong g$  we say f is homotopic to g.

Note that a homotopy theory gives rise to a homotopy category, i.e. a category whose objects are those of  $\mathscr{K}$  and whose morphisms are homotopy classes of morphisms.

Let  $\mathscr{L}$  be an arbitrary category and  $G: \mathscr{K} \to \mathscr{L}$  be a covariant functor A homotopy theory  $\mathscr{H} = (I, \iota_0, \iota_1, \pi)$  on  $\mathscr{K}$  is called compatible with G if  $G(\pi(A))$  is an isomophism for each  $A \in \mathscr{K}$ . Note that if  $\mathscr{H}$  is compatible with G then  $G(\iota_0) = G(\iota_1) = G(\pi)^{-1}$  consequently if  $f \cong g$ , then G(f) = G(g). For any ring A let  $\underline{P}(A)$  denote the category of finitely generated projective right A-modules. Given a ring homomorphism  $f: A \to B$ denote by  $\hat{f}: \underline{P}(A) \to \underline{P}(B)$  the covariant additive functor defined by  $\hat{f}(M) = M \bigotimes_A B$  on objects M of  $\underline{P}(A)$  and  $\hat{f}(\alpha) = \alpha \otimes 1$  on morphisms of  $\underline{P}(A)$ . It is well known that if M is A-projective then  $M \bigotimes_A B$  is B-projective.

If  $A_0, A_1, \dots, A_n, B_0, \dots, B_e$  are rings, if  $f_i: A_{i-1} \to A_i$  and  $g_i: B_{i-1} \to B_i$ are ring homomorphisms, if  $A_0 = B_0 = A$ ,  $A_n = B_e = B$  and if  $f_n f_{n-1} \cdots f_1 = g_e g_{e-1} \cdots g_1$ , we denote by  $\langle f_1, \dots, f_n/g_1, \dots, g_e \rangle$  the canonical natural equivalence  $\hat{f}_n \cdots \hat{f}_1 \to \hat{g}_e \cdots \hat{g}_1$ ; it is straightforward to verify that

$$\left\langle \frac{g_1, \cdots, g_e}{h_1, \cdots, h_k} \right\rangle \left\langle \frac{f_1, \cdots, f_n}{g_1, \cdots, g_e} \right\rangle = \left\langle \frac{f_1, \cdots, f_n}{h_1, \cdots, h_k} \right\rangle,$$

that

$$\left\langle \frac{f_1, \ldots, f_n, h}{g_1, \ldots, g_e, h} \right\rangle = \hat{h} \left\langle \frac{f_1, \ldots, f_n}{g_1, \ldots, g_e} \right\rangle$$

whenever  $h: B \to C$  and that

$$\left\langle rac{h,f_1,\cdots,f_n}{h,g_1,\cdots,g_e} \right
angle_{\mathcal{M}} = \left\langle rac{f_1,\cdots,f_n}{g_1,\cdots,g_e} 
angle_{\hat{h}\mathcal{M}}$$

for  $h: C \to A$  where the subscript M means that the natural equivalence is evaluated at the module  $M \in \underline{P}(C)$ .

DEFINITION 1.2. A homotopy theory  $\mathscr{H} = (I, \iota_0, \iota_1, \pi)$  in  $\mathscr{K}$  induces an  $\mathscr{H}$ -equivalence  $\cong_{\mathscr{H}}$  in each category  $\underline{P}(A), A \in \mathscr{K}$  as follows: given  $M, N \in \underline{P}(A)$  write  $M \sim_{\mathscr{H}} N$  if there is a  $Q \in \underline{P}(IA)$  such that  $M \approx \iota_0 Q, N \approx \iota_1 Q$  and let  $\cong$  be the smallest equivalence relation on the set of isomorphism classes of objects in  $\underline{P}(A)$  containing  $\sim_{\mathscr{H}}$ . If  $M \cong_{\mathscr{H}} N$  we say that the modules are equivalent mod- $\mathscr{H}$ .

The homotopy theory  $\mathscr{H}$  in  $\mathscr{H}$  also induces an equivalence relation  $\cong_{\mathscr{H}}$  in the set  $\operatorname{Iso}(M, N)$  of isomorphisms  $M \to N$  of A-projectives by letting  $\phi_0 \sim_{\mathscr{H}} \phi_1$  denote that there is an isomorphism  $\theta: \widehat{\pi}M \to \widehat{\pi}N$ such that

$$\phi_{j}=\Big\langle rac{\pi,\, \ell_{j}}{1} \Big
angle_{_{N}}(\hat{\ell}_{j} heta) \Big\langle rac{1}{\pi,\, \ell_{j}} \Big
angle_{_{M}}$$

for j = 0, 1 and letting  $\cong_{\mathscr{H}}$  be the smallest equivalence relation containing  $\sim_{\mathscr{H}}$  on the set Iso(M, N). If  $\phi_0 \cong_{\mathscr{H}} \phi_1$  we say the isomorphisms are equivalent mod  $\mathscr{H}$ .

Note that if  $M' \xrightarrow{\omega} M \xrightarrow{\phi_0} N \xrightarrow{\mu} N'$  are isomorphisms and if  $\phi_0 \cong \phi_1 \mod \mathscr{H}$  then also  $\mu \phi_0 \omega \cong \mu \phi_1 \omega \mod \mathscr{H}$ . It is not difficult to show

that if  $f: A \to B$  is a morphism in  $\mathscr{K}$  then  $M \cong N \mod \mathscr{H}$  in  $\underline{P}(A)$ implies  $\widehat{f}M \cong \widehat{f}N \mod \mathscr{H}$  in  $\underline{P}(B)$  and  $\phi_0 \cong \phi_1 \mod \mathscr{H}$  implies  $\widehat{f}\phi_0 \cong \widehat{f}\phi_1 \mod \mathscr{H}$  in  $\underline{P}(B)$ . It is also easily seen that if  $f \cong g: A \to B$  and  $M \in \underline{P}(A)$  then  $\widehat{f}M \cong \widehat{g}M \mod \mathscr{H}$  in  $\underline{P}(B)$ .

Given a ring with unit R, an R-algebra will mean a unitary R-algebra. If A is an R-algebra, then  $a: R \to A$  will denote the unique R-algebra homomorphism such that a(1) = 1. In addition to the above results we then have:

LEMMA 1.3. Let  $\mathscr{K}$  be a category of R-algebras and R-algebra homomorphisms and let  $\mathscr{H} = (I, \iota_0, \iota_1 \pi)$  be a homotopy theory on  $\mathscr{K}$ . Let  $f \cong g: A \to B$  in  $\mathscr{K}$ , let  $M, N \in \underline{P}(R)$  and let  $\phi \in \operatorname{Iso}(\widehat{a}M, \widehat{a}N)$ . Then

$$\left\langle \frac{a, f}{b} \right\rangle_{\scriptscriptstyle N}(\widehat{f}(\phi)) \left\langle \frac{b}{a, f} \right\rangle_{\scriptscriptstyle M} \cong \left\langle \frac{a, g}{b} \right\rangle_{\scriptscriptstyle N}(\widehat{g}(\phi)) \left\langle \frac{b}{a, g} \right\rangle_{\scriptscriptstyle M} \bmod \mathscr{H}$$

in Iso  $(\hat{b}M, \hat{b}N)$ .

*Proof.* We may assume  $f \sim g$ . Letting  $h: A \to IB$  be a homotopy from f to g, define  $\omega: \hat{\pi}\hat{b}M \to \hat{\pi}\hat{b}N$  by

$$\omega = \Big\langle rac{a,\,h}{b,\,\pi} \Big
angle_{_N}(h(\phi)) \Big\langle rac{b,\,\pi}{a,\,h} \Big
angle_{_M} \;.$$

It is easily verified that  $\omega$  shows that the two isomorphisms are equivalent mod  $\mathscr{H}$ .

Equivalence mod  $\mathscr{H}$  works well with cartesian squares. If (\*) is a cartesian square we can construct the fiber product category  $\underline{P}(A) \times_{\underline{P}(A_0)} \underline{P}(A_2)$ , [2, p. 358] in which objects are triples  $(M, \phi, N)$ where  $M \in \underline{P}(A_1)$ ,  $N \in \underline{P}(A_2)$  and  $\phi: \hat{f}_1 M \to \hat{f}_2 N$  is an isomorphism in  $\underline{P}(A_0)$ ; and the morphisms  $(M, \phi, N) \to (M', \phi', N')$  are pairs  $(\alpha, \beta)$ where  $\alpha: M \to M' \in \underline{P}(A_1), \beta: N \to N' \in \underline{P}(A_2)$  and  $\phi'(\hat{f}\alpha) = (\hat{f}_2\beta)\phi$ . By Milnor's theorem [2, p. 479] the functor  $F: \underline{P}(A) \to \underline{P}(A_1) \times_{\underline{P}(A_0)} \underline{P}(A_2)$ given by  $F(M) = (\hat{h}_1 M, \langle h_1 f_1 / h_2 f_2 \rangle_M, \hat{h}_2 M)$  and  $F(\alpha) = (\hat{h}_1 \alpha, \hat{h}_2 \alpha)$  is an equivalence of categories. Making this identification, the following is a projective module analogue of a theorem on vector bundles. [1, Lemma 1.4.6].

PROPOSITION 1.4. Let  $\mathscr{H} = (I, \iota_0, \iota_1\pi)$  be a homotopy theory on  $\mathscr{H}$  and (\*) a cartesian square in  $\mathscr{H}$ . Let  $M \in \underline{P}(A), N \in \underline{P}(A)$  and  $\phi_0 \cong \phi_1: \hat{f}_1 M \to \hat{f}_2 N \mod \mathscr{H}$ . Then  $(M, \phi_0, N) \cong (M, \phi_1, N) \mod \mathscr{H}$  in  $\underline{P}(A)$ .

*Proof.* Assume  $\phi_0 \sim \mathcal{H} \phi_1$  and let  $\omega: \hat{\pi} \hat{f}_1 M \to \hat{\pi} \hat{f}_2 N$  show  $\phi_0 \sim \mathcal{H} \phi_1$ .

Define  $\omega': \widehat{If}_1 \widehat{\pi} M \to \widehat{If}_2 \widehat{\pi} N$  by

$$\omega' = \left\langle rac{f_2,\pi}{\pi,\,If_2} 
ight
angle_{\scriptscriptstyle N} (\omega) \left\langle rac{\pi,\,If_1}{f_{\scriptscriptstyle 1},\,\pi} 
ight
angle_{\scriptscriptstyle M}$$
 .

Since

$$egin{array}{ccc} IA & \stackrel{Ih_2}{\longrightarrow} IA_2 \ Ih_1 & & & \downarrow If_2 \ IA_1 & \stackrel{If_1}{\longrightarrow} IA_0 \end{array}$$

is by hypothesis also a cartesian square we have  $(\hat{\pi}M, \omega', \hat{\pi}N) \in \underline{\underline{P}}(IA)$ and direct calculation shows that  $\hat{\iota}_j(\hat{\pi}M, \omega', \hat{\pi}N) \approx (M, \phi_j, N)$  for j = 0, 1.

2. A connecting homomorphism. In this section we obtain an explicit formula for a connecting homomorphism useful in constructing algebraic *K*-theory exact sequences.

Let  $K_0$ ,  $K_1$  be the algebraic  $K_i$  functors [2, p. 445]. If  $\mathscr{K}$  is a category of *R*-algebras and *R*-algebra homomorphisms define  $\tilde{K}_i(A) = K_i(A)/\text{Im } K_i(a)$ . If  $f: A \to B$  is a morphism in  $\mathscr{K}$  then  $f \circ a = b$  and we let  $\tilde{K}_i(f): \tilde{K}_i(A) \to \tilde{K}_i(B)$  be the induced map. It is simple to verify that  $\tilde{K}_0$ ,  $\tilde{K}_1$  are functors on  $\mathscr{K}$  and moreover that  $\tilde{K}_i(A)$  is isomorphic to the usual reduced group whenever A is an augmented *R*-algebra.

THEOREM 2.1. Let  $\mathscr{H}$  be a homotopy theory on a category  $\mathscr{K}$  of R-algebras compatible with  $\widetilde{K}_{0}$ . Let

$B \longrightarrow R$		$A \longrightarrow R$	
	$\lfloor a_0$	$\int f_1$	$\int a_0$
$B_1 \xrightarrow{g} A_0$		$A_1 \xrightarrow{f} A_0$	

be cartesian squares in  $\mathcal{K}$ ,  $h: B_1 \to A_1$  such that  $fh \cong g$  and  $\hat{K}_0(B_1) = 0$ . Then there is a unique group homomorphism  $\delta: \hat{K}_0(B) \to \hat{K}_0(A)$  such that

$$\delta[(\hat{b}_{_1}M,\phi,N)] = \left[\left(\hat{a}_{_1}M,\phi\left\langle rac{a_{_1},f}{b_{_1},g}
ight
angle_{_M},N
ight)
ight]$$

for  $M, N \in \underline{\underline{P}}(R)$ .

*Proof.* For  $Q = (\hat{b}_1 M, \phi, N) \in \underline{P}(B)$  define

$$DQ = \left( \widehat{a}_{\scriptscriptstyle 1}M, \, \phi \Big\langle rac{a_{\scriptscriptstyle 1}, \, f}{b_{\scriptscriptstyle 1}, \, g} \Big
angle_{_{M}}, \, N 
ight) \in \underline{P}(A)$$
 .

Once one has established

- (i) If  $Q_1 \approx Q_2$  then  $DQ_1 \cong DQ_2 \mod \mathscr{H}$ .
- (ii)  $D(Q_1 \bigoplus Q_2) \approx DQ_1 \bigoplus DQ_2$
- (iii)  $D(\hat{b}M) = \hat{a}M$
- (iv) every element of  $\hat{K}_0(B)$  is of the form [Q]

it follows easily that  $\delta$  is well defined, unique and a group homomorphism. Because proofs of assertions (ii)—(iv) are themselves straightforward and do not depend on homotopy, we will prove only (i). Suppose then that  $(\alpha, \beta): (\hat{b}_1 M, \phi, N) \to (\hat{b} M', \phi', N')$  is an isomorphism. Then we have  $\phi' = \hat{a}_0(\beta)(\phi)g(\alpha^{-1})$ . By Lemma 1.3

$$\Big\langle rac{b_1,\,g}{a_0} \Big
angle_{_M} \widehat{g}(lpha^{-1}) \Big\langle rac{a_0}{b_1,\,g} \Big
angle_{_{M'}} \cong \Big\langle rac{b_1,\,f\,h}{b_1,\,g} \Big
angle_{_M} \widehat{fh}(lpha^{-1}) \Big\langle rac{a_0}{b_1,\,h} \Big
angle_{_{M'}} ext{ mod } \mathscr{H}.$$

A direct computation gives

$$\widehat{g}(lpha^{-1})\Big\langle rac{a_1,\,f}{b_1,\,g}\Big
angle_{_{M}}\,\cong \Big\langle rac{a_1,\,f}{b_1,\,g}\Big
angle_{_{M}}\widehat{f}\Big(\Big\langle rac{b_1,\,h}{a_1}\Big
angle_{_{M}}\widehat{h}(lpha^{-1})\Big\langle rac{a_1}{b_1,\,h}\Big
angle_{_{M'}}\Big)\,\mathrm{mod}\,\,\mathscr{H},$$

 $\mathbf{so}$ 

$$\phi' \Big\langle rac{a_1,\ f}{b_1,\ g} \Big
angle_{_M'} \cong \ \widehat{a}_{_0}(eta)(\phi) \Big\langle rac{a_1,\ f}{b_1,\ g} \Big
angle_{_M} \widehat{f}(\gamma)$$

where

$$\gamma = \Big\langle rac{b_{\scriptscriptstyle 1},\,h}{a_{\scriptscriptstyle 1}} \Big
angle_{_{M}}(\widehat{h}(lpha^{_{-1}})) \Big\langle rac{a_{\scriptscriptstyle 1}}{b_{\scriptscriptstyle 1},\,h} \Big
angle_{_{M'}} \,.$$

Therefore (using Proposition 1.4)

$$\left( \widehat{a}_{_1}M', \, \phi' \Big\langle rac{a_{_1},\,f}{b_{_1},\,g} \Big
angle_{_{M'}},\, N' 
ight) \cong \left( \widehat{a}_{_1}M',\, a_{_0}(eta)(\phi) \Big\langle rac{a_{_1},\,f}{b_{_1},\,g} \Big
angle_{_M} \widehat{f}(\gamma),\, N' 
ight) \, \mathrm{mod} \, \mathscr{H}.$$

Since  $(\gamma, \beta^{-1})$  is an isomorphism from this latter module to

$$\left(\widehat{a}_{_{1}}M,\,\phi\Big\langle rac{a_{_{1}},\,f}{b_{_{1}},\,g}\Big
angle _{_{M}},\,N
ight)$$

the assertion (i) is proved.

3. An exact sequence. In this section we use the homomorphism of 2.1 and the standard  $K_0$ ,  $K_1$  exact sequence to construct a 5-term exact sequence.

An *R*-algebra *A* is called proper if the morphism  $K_0(a): K_0(R) \rightarrow K_0(A)$  is injective. We note that either of the following two conditions is sufficient to insure that an *R*-algebra *A* is proper:

(i) A has as an augmentation, i.e. there is a  $e: A \to R$  such that  $ea = 1_R$ 

(ii) R is a principal ideal domain and A is a commutative R algebra.

LEMMA 3.1. Let (\*) be a cartesian square of proper R-algebra. Then there is an exact sequence

$$\widetilde{K}_{1}(A) \longrightarrow \widetilde{K}_{1}(A_{1}) \bigoplus \widetilde{K}_{1}(A_{2}) \longrightarrow \widetilde{K}_{1}(A_{0}) \stackrel{\partial}{\longrightarrow} \widetilde{K}_{0}(A)$$
  
 $\longrightarrow \widetilde{K}_{0}(A_{1}) \bigoplus \widetilde{K}_{0}(A_{2}) \longrightarrow \widetilde{K}_{0}(A_{0})$ 

which is functorial with respect to transformations of cartesian squares.

Proof. Since



is a cartesian square, by [2, p. 481] we have the commutative diagram

where the columns and the first two rows are exact. An easy chase shows that the third row is exact.

We wish to give an explicit formula for the morphism  $\tilde{\partial}$ . For this we have:

LEMMA 3.2. Let  $A, A_0$  and  $A_1$  be proper R-algebras and



be a cartesian square. Then the connecting homomorphism of 3.1 is

given by

$$\widetilde{\partial}[\widehat{a}_{_0}M,\, lpha] = \left[ \left( \widehat{a}M,\, lpha \Big\langle rac{a_{_1},\, f}{a_{_0}} \Big
angle_{_M},\, M 
ight) 
ight] \ for \ M \in \underline{P}(R) \;.$$

*Proof.* Since the full subcategory of  $P(A_0)$  with objects  $\hat{a}_0 M$ ,  $M \in P(R)$  is cofinal,  $K_1(A_0)$  and hence  $\widetilde{K}_1(A_0)$  is generated by elements of the form  $[\hat{a}_0 M, \alpha]$  [2, p. 355]. But

$$egin{aligned} &\widehat{\partial}[\hat{a}_0M,\,lpha] = \widehat{\partial}iggl[ \widehat{ff'}\widehat{a}M,\,iggl\langle rac{a_0}{a,\,f,\,f'}iggr
angle_{_M}lphaiggl\langle rac{a,\,f',\,f}{a_0}iggr
angle_{_M}iggr] \ &= iggl[ iggl( \widehat{f'}\widehat{a}M,\,iggl\langle rac{a_0}{a,\,arepsilon,\,a_0}iggr
angle_{_M}lphaiggl\langle rac{a,\,f',\,f}{a_0}iggr
angle_{_M},\,\widehat{arepsilon}\widehat{a}Miggr)iggr] - [\widehat{a}M] \ &= iggl[ iggl( \widehat{a},\,M,\,lphaiggl\langle rac{a_0}{a,\,arepsilon,\,a_0}iggr
angle_{_M},\,Miggr)iggr] + 0 \end{aligned}$$

from [2, 4.3 p. 365] since  $[\hat{\alpha}M] \in \text{Im } K_0(a)$ . In order to apply 2.1 we need

LEMMA 3.3. Under the hypotheses of Theorem 2.1 the diagram

$$egin{array}{cccc} \widetilde{K}_1(A_0) & \stackrel{\widehat{\partial}'}{\longrightarrow} \widetilde{K}_0(B) & \longrightarrow \widetilde{K}_0(B_1) = 0 \ & & & \downarrow \ & & \check{\widetilde{\partial}} & & \downarrow \ & & \check{\widetilde{\partial}} & & \check{K}_0(A) & \stackrel{\widehat{\widetilde{\partial}}'}{\longrightarrow} \widetilde{K}_0(A_1) \end{array}$$

commutes.

Proof.

$$egin{aligned} &\delta \widetilde{\partial}'[\widehat{a}'M,\,lpha] = \delta \Big[ \Big(\widehat{b},\,M,\,lpha \Big\langle rac{b_1,\,g}{a'} \Big
angle_{_M},\,M\Big) \Big] = \Big[ \Big(\widehat{a}_1M,\,lpha \Big\langle rac{b_1,\,g}{a_0} \Big
angle_{_M} \Big\langle rac{a_1,\,f}{b_1,\,g} \Big
angle_{_M},\,M\Big) \Big] \ &= \Big[ \Big(\widehat{a}_1M,\,lpha \Big\langle rac{a_1,\,f}{a'} \Big
angle,\,M\Big) \Big] = \,\widetilde{\partial}[\widehat{a}_0M,\,lpha] \;. \end{aligned}$$

Also since  $\widetilde{K}_0(B_1) = 0$  it can be seen that if

$$[N]\in \widetilde{K}_{\scriptscriptstyle 0}(B),\, [N]=[(\widehat{b}_{\scriptscriptstyle 1}M,\,\phi,\,N)],\,M,\,N\!\in\!P(R)$$
 .

Thus

$$\widetilde{K}_{\scriptscriptstyle 0}(f')\delta[(\widehat{b}_{\scriptscriptstyle 1}M,\phi,N)]=\widetilde{K}_{\scriptscriptstyle 0}(f')\Bigl[\Bigl(\widehat{a}_{\scriptscriptstyle 1}M,\phi\Bigl\langle rac{a_{\scriptscriptstyle 1},f}{h_{\scriptscriptstyle 1},g}\Bigr
angle_{_M},N\Bigr)\Bigr]=[\widehat{a}_{\scriptscriptstyle 1}M]=0\;.$$

THEOREM 3.4. Let  $\mathcal{K}$  be a category of proper R-algebras and  $\mathcal{H}$  be a homotopy theory on  $\mathcal{K}$  compatible with  $\tilde{K}_0$ . Let



be a diagram in  $\mathscr{K}$  where  $fh \cong g$ , all other squares commute and the vertical squares are cartesian. If  $\widetilde{K}_0(C_1) = \widetilde{K}_0(B_1) = 0$  then

$$\widetilde{K}_{\scriptscriptstyle 0}(C) \stackrel{K_0(f')}{\longrightarrow} \widetilde{K}_{\scriptscriptstyle 0}(B) \stackrel{\delta}{\longrightarrow} \widetilde{K}_{\scriptscriptstyle 0}(A) \longrightarrow \widetilde{K}_{\scriptscriptstyle 0}(A_{\scriptscriptstyle 1}) \longrightarrow \widetilde{K}_{\scriptscriptstyle 0}(A_{\scriptscriptstyle 0})$$

is exact

Proof. From 3.1 and 3.3 we get a commutative diagram



where the rows are exact. A diagram chase gives the result.

4. The topological K-theory exact sequence. In this section we use 3.4 to construct the topological K-Theory exact sequence.

Let R denote the real or complex numbers. For a compact Hausdorff space X let CX be the ring of continuous R-valued functions and for a continuous function  $f: X \to Y$  let  $f^*: CY \to CX$  be the induced ring homomorphism. Denote the one point space by \* and take  $\mathscr{K}$ to be the category of rings CX and ring homomorphisms. We will consider  $\mathcal{K}$  to be a category of  $C^* = R$  algebras. Define  $J: \mathcal{K} \to$  $\mathcal{K}$  by  $JCX = C(X \times I)$  where I denotes the unit interval and J(f) = $(f \times 1)^*$ . Define  $\iota_0, \iota_1, \pi$  by  $i_0^*, i_1^*, \pi^*$  where  $i_j: X \to I$  is given by  $i_i(x) = (x, j)$  and  $\pi(x, t) = x, \pi: X \times I \rightarrow X$ . It follows easily that  $\mathscr{H} =$  $(J, \iota_0, \iota_1, \pi)$  is a homotopy theory on  $\mathscr{K}$ . We recall that  $K_0^{\scriptscriptstyle T}(X) =$  $K_0(CX)$  where  $K_0^T$  is topological  $K_0$  functor. If X is a pointed space the reduced group as defined above coincides with the usual reduced group. It follows from standard results on vector bundles [1, Lemma 1.4.3] and on the correspondence between vector bundles over X and projective modules over CX that  $\mathcal{H}$  is compatible with  $K_0^T$ . Alternatively it can be easily proved directly that if  $M, N \in P(X)$  then  $M \cong$ 

#### $N \mod \mathscr{H}$ if and only if $M \approx M$ .

We then have

THEOREM 4.1. Let X be a compact Hausdorff space,  $A \subset X$  a closed subspace. Let SA, SX denote the suspensions of A, X respectively. Then there is an exact sequence

$$\widetilde{K}_{\scriptscriptstyle 0}^{\, {\scriptscriptstyle T}}(SX) \longrightarrow \widetilde{K}_{\scriptscriptstyle 0}^{\, {\scriptscriptstyle T}}(SA) \longrightarrow \widetilde{K}_{\scriptscriptstyle 0}^{\, {\scriptscriptstyle T}}(X/A) \longrightarrow \widetilde{K}_{\scriptscriptstyle 0}^{\, {\scriptscriptstyle T}}(X) \longrightarrow \widetilde{K}_{\scriptscriptstyle 0}^{\, {\scriptscriptstyle T}}(A)$$

Proof. Consider the diagram



where TX denotes the cone on X and h is any continuous function. Applying the functor C we get a diagram of the form (\*) and it is not hard to show that the vertical squares are cartesian. Since TAis contractible  $hi \cong j$  so  $i^*h^* \cong j^*$ . Thus theorem (3.4) applies to give the desired exact sequence.

The long exact K-theory sequence follows in the usual manner by splicing sequences of this form together.

#### References

2. Hyman Bass, K-Theory, Benjamin, New York, (1968).

Received August 10, 1971

HARVEY MUDD COLLEGE AND NORTHEASTERN ILLINOIS UNIVERSITY

<sup>1.</sup> M. F. Atiyah, K-Theory, Benjamin, New York, (1966).

## PACIFIC JOURNAL OF MATHEMATICS

#### EDITORS

H. SAMELSON

Stanford University Stanford, California 94305

C. R. HOBBY

University of Washington Seattle, Washington 98105 J. DUGUNDJI

Department of Mathematics University of Southern California Los Angeles, California 90007

RICHARD ARENS University of California Los Angeles, California 90024

#### ASSOCIATE EDITORS

E.F. BECKENBACH

B.H. NEUMANN

SUPPORTING INSTITUTIONS

F. WOLF

UNIVERSITY OF BRITISH COLUMBIA CALIFORNIA INSTITUTE OF TECHNOLOGY UNIVERSITY OF CALIFORNIA MONTANA STATE UNIVERSITY UNIVERSITY OF NEVADA NEW MEXICO STATE UNIVERSITY OREGON STATE UNIVERSITY UNIVERSITY OF OREGON OSAKA UNIVERSITY UNIVERSITY OF SOUTHERN CALIFORNIA STANFORD UNIVERSITY UNIVERSITY OF TOKYO UNIVERSITY OF UTAH WASHINGTON STATE UNIVERSITY UNIVERSITY OF WASHINGTON \* \* AMERICAN MATHEMATICAL SOCIETY NAVAL WEAPONS CENTER

K. YOSHIDA

The Supporting Institutions listed above contribute to the cost of publication of this Journal, but they are not owners or publishers and have no responsibility for its content or policies.

Mathematical papers intended for publication in the *Pacific Journal of Mathematics* should be in typed form or offset-reproduced, (not dittoed), double spaced with large margins. Underline Greek letters in red, German in green, and script in blue. The first paragraph or two must be capable of being used separately as a synopsis of the entire paper. The editorial "we" must not be used in the synopsis, and items of the bibliography should not be cited there unless absolutely necessary, in which case they must be identified by author and Journal, rather than by item number. Manuscripts, in duplicate if possible, may be sent to any one of the four editors. Please classify according to the scheme of Math. Rev. Index to Vol. **39**. All other communications to the editors should be addressed to the managing editor, Richard Arens, University of California, Los Angeles, California, 90024.

50 reprints are provided free for each article; additional copies may be obtained at cost in multiples of 50.

The *Pacific Journal of Mathematics* is published monthly. Effective with Volume 16 the price per volume (3 numbers) is \$8.00; single issues, \$3.00. Special price for current issues to individual faculty members of supporting institutions and to individual members of the American Mathematical Society: \$4.00 per volume; single issues \$1.50. Back numbers are available.

Subscriptions, orders for back numbers, and changes of address should be sent to Pacific Journal of Mathematics, 103 Highland Boulevard, Berkeley, California, 94708.

PUBLISHED BY PACIFIC JOURNAL OF MATHEMATICS, A NON-PROFIT CORPORATION

Printed at Kokusai Bunken Insatsusha (International Academic Printing Co., Ltd.), 270, 3-chome Totsuka-cho, Shinjuku-ku, Tokyo 160, Japan.

# Pacific Journal of Mathematics Vol. 43, No. 2 April, 1972

Arne P. Baartz and Gary Glenn Miller, <i>Souslin's conjecture as a problem on</i> <i>the real line</i>	277	
Joseph Barback, On solutions in the regressive isols		
Barry H. Dayton, <i>Homotopy and algebraic K-theory</i>		
William Richard Derrick, Weighted convergence in length	307	
M. V. Deshpande and N. E. Joshi, <i>Collectively compact and semi-compact</i>		
sets of linear operators in topological vector spaces	317	
Samuel Ebenstein, Some $H^p$ spaces which are uncomplemented in $L^p$	327	
David Fremlin, On the completion of locally solid vector lattices	341	
Herbert Paul Halpern, Essential central spectrum and range for elements of		
a von Neumann algebra	349	
G. D. Johnson, <i>Superadditivity intervals and Boas' test</i>	381	
Norman Lloyd Johnson, <i>Derivation in infinite planes</i>		
V. M. Klassen, <i>The disappearing closed set property</i>		
B. Kuttner and B. N. Sahney, On the absolute matrix summability of Fourier		
series	407	
George Maxwell, Algebras of normal matrices	421	
Kelly Denis McKennon, <i>Multipliers of type</i> (p, p)	429	
James Miller, Sequences of quasi-subordinate functions	437	
Leonhard Miller, The Hasse-Witt-matrix of special projective varieties	443	
Michael Cannon Mooney, A theorem on bounded analytic functions	457	
M. Ann Piech, <i>Differential equations on abstract Wiener space</i>	465	
Robert Piziak, Sesquilinear forms in infinite dimensions	475	
Muril Lynn Robertson, <i>The equation</i> $y'(t) = F(t, y(g(t)))$	483	
Leland Edward Rogers, <i>Continua in which only semi-aposyndetic</i>		
subcontinua separate	493	
Linda Preiss Rothschild, <i>Bi-invariant pseudo-local operators on Lie</i>		
groups	503	
Raymond Earl Smithson and L. E. Ward, <i>The fixed point property for</i>		
arcwise connected spaces: a correction	511	
Linda Ruth Sons, Zeros of sums of series with Hadamard gaps	515	
Arne Stray, Interpolation sets for uniform algebras	525	
Alessandro Figà-Talamanca and John Frederick Price, Applications of		
random Fourier series over compact groups to Fourier multipliers	531	