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A functional differential equation, in general, is a relationship in which the rate of change of the state of the system at time t depends on the state of the system at values of time, perhaps other than the present.

In this paper, sufficient conditions are given for g so that the initial value problem $y'(t) = F(t, y(g(t))), \ y(p) = q$, may be solved uniquely; where F is both continuous into the Banach space B, and is Lipschitzean in the second position.

1. DEFINITIONS. If p is a real number and $I = \{I_1, I_2, \cdots\}$ is a collection of intervals so that $p \in I_1$ and $I_n \subseteq I_{n+1}$ for each positive integer n, then I is said to be a nest of intervals about p. Let $I_0 = \{p\}$ and $a_0 = b_0 = p$. Also, let $[a_n, b_n] = I_n$ for each nonnegative integer n. Let I^* denote the union of all elements of I.

In general B denotes a Banach space; and if D is a real number set, let C[D, B] denote the set of continuous functions from D into B. Whenever D is an interval, C[D, B] is taken to be a Banach space with supremum norm $|\cdot|$.

If g is a continuous function from I^* into I^* so that $g(I_n) \subseteq I_n$ for each positive integer n, then g is said to be an I-function. If g is an I-function then for each positive integer n, define the following:

$$egin{aligned} A_n &= \{x \in [a_n,\, a_{n-1}] \colon g(x)
otin I_{n-1} \} \;, \ B_n &= \{x \in [b_{n-1},\, b_n] \colon g(x)
otin I_{n-1} \}, \; ext{and} \ E_n(s) &= [p,\, g(s)] \, \cap \, (A_n \, \cup \, B_n), \; ext{for each} \; s \in I_n \;. \end{aligned}$$

Let $\int_{D} h(s)ds$ denote the Lebesgue integral of h over the subset D of the domain of the Lebesgue integrable function h.

Let F denote a continuous function from $I^* \times B$ into B so that $||F(x,y)-F(x,z)|| \leq M(x)\cdot ||y-z||$ for all $x \in I^*$ and $y,z \in B$, where M is Lebesgue integrable on each I_n . Furthermore, if f is a continuous nonnegative valued function from I^* to the reals, and m is a positive integer, let $\int_p^x (M,f,g,m)$ denote

$$\left|\int_p^x M(s_1)\right|\int_p^{(g_1)} M(s_2)\left|\cdots\right|\int_p^{g(s_{m-1})} M(s_m)f(s_m)ds_m\left|\cdots\right|ds_2\left|ds_1\right|.$$

If D is either A_n or B_n , let $\int (M, f, D, m)$ denote

$$\int_{D} M(s_1) \int_{E_n(s_1)} M(s_2) \cdot \cdot \cdot \int_{E_n(s_{m-1})} M(s_m) f(s_m) ds_m \cdot \cdot \cdot ds_2 ds_1.$$

If D is a subset of the domain of the function h, let $h|_{D}$ denote

the restriction of h to D. Also, let $f \circ g$ denote the composition of f with g, whenever applicable; $f \circ g(x) = f(g(x))$.

2. Main results.

THEOREM A. Suppose I is a nest of intervals about $p, q \in B, g$ is an I-function, k is a sequence of positive integers, and for each positive integer $n, \alpha_n = \int (M, 1, A_n, k(n)) < 1$ and $\beta_n = \int (M, 1, B_n, k(n)) < 1$. Then there is a unique function $y \in C[I^*, B]$ so that y'(t) = F(t, y(g(t))) and y(p) = q, for all $t \in I^*$. [We say then that the initial value problem (IVP) has unique solution.]

Proof. Since, $I_0=\{p\}$, then certainly $y_0=\{(p,q)\}$ is the unique function in $C[I_0,B]$ so that for all $t\in I_0,\,y_0(t)=q+\int_a^t F(s,\,y_0(g(s)))ds$.

Next, suppose n is a nonnegative integer so that there is a unique function $y_n \in C[I_n, B]$ so that, for each $t \in I_n$, $y_n(t) = q + \int_p^t F(s, y_n(g(s))) ds$. The following is the construction of y_{n+1} . Let $D = \{f \in C[I_{n+1}, B]: f|_{I_n} = y_n\}$ and let m = k(n+1). Then, if $f \in D$ and $t \in I_{n+1}$, let T be so that $Tf(t) = q + \int_p^t F(s, f(g(s))) ds$. Then, certainly T is from D into D.

LEMMA 1. If $f, h \in D$ and $t \in I_{n+1}$, then

 $||T^mf(t)-T^mh(t)|| \leq \int_p^t (M,||f\circ g-h\circ g||,g,m), \ for \ each \ positive integer \ m.$

Proof of Lemma 1. (by induction on m) If m = 1,

$$egin{aligned} ||Tf(t)-Th(t)|| &= ||\int_{p}^{t} [F(s,f(g(s)))-F(s,h(g(s)))]ds|| \ &\leq \left|\int_{p}^{t} ||F(s,f(g(s)))-F(s,h(g(s)))||ds
ight| \ &\leq \left|\int_{p}^{t} M(s)\!\cdot\!||f(g(s))-h(g(s))||ds
ight| = \int_{p}^{t} (M,||f\!\circ\!g-h\!\circ\!g||,g,1) \;. \end{aligned}$$

Now, suppose the lemma holds for m = r. Then,

$$\begin{split} || \, T^{r+1} f(t) - \, T^{r+1} h(t) \, || \\ &= \left\| \int_{p}^{t} [F(s, \, T^{r} f(g(s))) - F(s, \, T^{r} h(g(s)))] ds \, \right\| \\ &\leq \left| \int_{p}^{t} || \, F(s, \, T^{r} f(g(s))) - F(s, \, T^{r} h(g(s))) \, || \, ds \, \right| \\ &\leq \left| \int_{p}^{t} M(s) \cdot || \, T^{r} f(g(s)) - \, T^{r} h(g(s)) \, || \, ds \, \right| \\ &\leq \left| \int_{p}^{t} M(s_{1}) \cdot \int_{p}^{g(s_{1})} (M, \, || \, f \circ g \, - \, h \circ g \, || \, , \, g, \, r) ds_{1} \, \right| \, , \end{split}$$

by the induction hypothesis, but this equals $\int_{r}^{t} (M, ||f \circ g - h \circ g||, g, r+1)$.

LEMMA 2. If N is a bounded, measurable function from I_{n+1} to the reals so that N(s)=0 whenever s is in $I_{n+1}\setminus (A_{n+1}\cup B_{n+1})$, then

$$\int_{p}^{a_{n+1}}(M, N, g, m) = \int (M, N, A_{n+1}, m),$$

and

$$\int_{a}^{b_{n+1}} (M, N, g, m) = \int (M, N, B_{n+1}, m) .$$

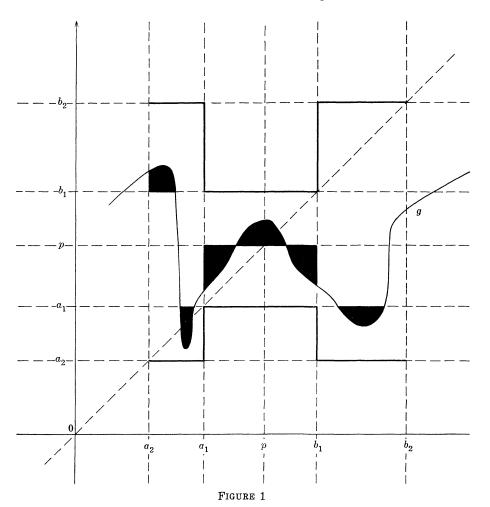
Proof of Lemma 2. (by induction on m) If m=1, $\int_p^{a_{n+1}}(M,N,g,1)=\left|\int_p^{a_{n+1}}M(s)N(s)ds\right|=\int_{A_{n+1}}M(s)N(s)ds=\int_{A_{n+1}}(M,N,A_{n+1},1)$, because N is 0 at each point of $[p,a_{n+1}]\backslash A_{n+1}$. Suppose the lemma is true for m=r. Then, $\int_p^{a_{n+1}}(M,N,g,r+1)=\int_p^{a_{n+1}}(M,U,g,r)$, where $U(s)=\left|\int_p^{g(s)}M(t)N(t)dt\right|$, for all $s\in I_{n+1}$. If $s\in I_{n+1}\backslash (A_{n+1}\cup B_{n+1}),g(s)\in I_n$. Thus, N is 0 on [p,g(s)], and so U(s)=0. Whence, U satisfies the conditions for N in the lemma. So, by the induction hypothesis, $\int_p^{a_{n+1}}(M,U,g,r)=\int_p^{\infty}(M,U,A_{n+1},r)=\int_p^{\infty}(M,N,A_{n+1},r+1)$, because $U(s)=\int_{E_{n+1}(s)}M(t)N(t)dt$. The proof of the second equality in the lemma is similar. Thus, Lemma 2 is proven.

Now, the two lemmas are applied. By Lemma 1, $||T^m f(t) - T^m h(t)|| \le \int_{\rho}^t (M, ||f \circ g - h \circ g||, g, m)$, for all $t \in I_m$, $\le \max \left\{ \int_{\rho}^{a_{n+1}} (M, ||f \circ g - h \circ g||, g, m) \right\}$ which by Lemma 2 is $= \max \left\{ \int (M, ||f \circ g - h \circ g||, A_{n+1}, m), \int (M, ||f \circ g - h \circ g||, B_{n+1}, m) \right\}$, because ||f(g(s)) - h(g(s))|| = 0 for all $s \in I_{n+1} \setminus (A_{n+1} \cup B_{n+1})$. Thus, $|T^m f - T^m h| \le \max \left\{ \int (M, ||f \circ g - h \circ g||, A_{n+1}, m), \int (M, ||f \circ g - h \circ g||, B_{n+1}, m) \right\} \le \max \left\{ \int (M, 1, A_{n+1}, m), \int (M, 1, B_{n+1}, m) \right\} \cdot |f - h|$. Thus, T^m is a contraction map from the complete metric space D into D. Thus T^m has a unique fixed point y_{n+1} . It is a known result that this implies that y_{n+1} is the unique fixed point of T. $[(Ty_{n+1}) = T(T^m(Ty_{n+1}) = T^m(Ty_{n+1}),$ but only y_{n+1} is so that $y_{n+1} = T^m y_{n+1}$. So $Ty_{n+1} = y_{n+1}$, and uniqueness is clear.

Thus, $y_{n+1}(t)=Ty_{n+1}(t)=q+\int_p^t F(s,y_{n+1}(g(s)))ds$, for all $t\in I_{n+1}$, and is the unique such function. Hence, by inductive definition, for each positive integer i, there is a unique function $y_i\in C[I_i,B]$ so that for all $t\in I_i,\,y_i(t)=q+\int_p^t F(s,y_i(g(s)))ds$. Now, define $y\in I_i$

 $C[I^*,B]$ so that $y(t)=y_n(t)$, whenever $t\in I_n$. Since $m\leq n$ implies $y_n|_{I_m}=y_m,y$ is well-defined, and $y(t)=q+\int_p^t F(s,y(g(s)))ds$, for all $t\in I^*$. Now, suppose $z(t)=q+\int_p^t F(s,z(g(s)))ds$, for all $t\in I^*$, and $z\in C[I^*,B]$. Then, if n is a positive integer, and $t\in I_n,z|_{I_n}(t)=q+\int_p^t F(s,z|_{I_n}(g(s)))ds$. So, $z|_{I_n}=y_n=y|_{I_n}$ for each positive integer n. Thus, z=y.

COROLLARY 1. Let M be the constant 1 function, and let k(n)=2, for all n. Suppose for each n, $\int_{A_n} \min\{|g(x)-a_{n-1}|,|g(x)-b_{n-1}|\}dx<1$, and $\int_{B_n} \min\{|g(x)-a_{n-1}|,|g(x)-b_{n-1}|\}dx<1$. Then, the IVP has a unique solution. [See Figure 1. All the shaded area between each pair of vertical dashed lines is less than one.]



 $Proof.\quad \alpha_n=\int_{A_n}M(s_{\scriptscriptstyle 1})\int_{E_{n}(s_{\scriptscriptstyle 1})}M(s_{\scriptscriptstyle 2})ds_{\scriptscriptstyle 2}ds_{\scriptscriptstyle 1}=\int_{A_n}\int_{E_{n}(s_{\scriptscriptstyle 1})}ds_{\scriptscriptstyle 2}ds_{\scriptscriptstyle 1}.\quad \text{Now, } s_{\scriptscriptstyle 1}\in A_n \text{ implies}$

$$E_n(s_{\scriptscriptstyle 1}) = egin{cases} A_n \cap [p,\,g(s_{\scriptscriptstyle 1})], ext{ if } g(s_{\scriptscriptstyle 1}) \in A_n, ext{ and } \ B_n \cap [p,\,g(s_{\scriptscriptstyle 1})], ext{ if } g(s_{\scriptscriptstyle 1}) \in B_n \ . \end{cases}$$

Thus, $E_n(s_1) \subseteq [g(s_1), a_{n-1}]$ if $g(s_1) \in A_n$, and in this case, $|g(s_1) - a_{n-1}| \le |g(s_1) - b_{n-1}|$. Also, $E_n(s_1) \subseteq [b_{n-1}, g(s_1)]$ if $g(s_1) \in B_n$, and in this case, $|g(s_1) - b_{n-1}| \le |g(s_1) - a_{n-1}|$. Thus, $E_n(s_1)$, which is certainly measurable, must have measure $\le \min \{|g(s_1) - a_{n-1}|, |g(s_1) - b_{n-1}|\}$. Hence, $\int_{A_n} ds_2 ds_1 \le \int_{A_n} \min \{|g(s_1) - a_{n-1}|, |g(s_1) - b_{n-1}|\} ds_1$, because $\int_{E_n(s_1)} ds_2$ is the measure of $E_n(s_1)$. Thus, $a_n < 1$, and similarly $a_n < 1$, for each positive integer a_n . Apply Theorem A.

COROLLARY 2. Suppose k(n)=1 for each n. Then, if $\int_{A_n} M < 1$ and $\int_{B_n} M < 1$, for each n, the IVP has unique solution.

Proof. Immediate.

COROLLARY 3. Suppose M is the constant 1 function and k(n) = 1 for each n. Then if $\max\{b_n - b_{n-1}, a_{n-1} - a_n\} < 1$, for each n, the IVP has unique solution.

Proof.
$$A_n \subseteq [a_{n-1},a_n]$$
 and $B_n \subseteq [b_{n-1},b_n]$ implies $\int_{A_n} 1 \le \int_{a_n}^{a_{n+1}} 1 = a_{n-1}-a_n$ and $\int_{B_n} 1 \le \int_{b_{n-1}}^{b_n} 1 = b_n-b_{n-1}$. Apply Corollary 2.

The following example illustrates the advantage of allowing k(n) to assume integral values other than 1.

EXAMPLE. Let F be so that M=1 in the IVP-y(p)=q, y'(t)=F(t,y(g(t))), where

$$g(x) = egin{cases} 2x & ext{, if } x \in [0,\,p], ext{ and } \ 4p - 2x, ext{ if } x \in [p,\,2p]. \end{cases}$$

then it is straightforward to show that if J is a subinterval of [0, 2p] and $g(J) \subseteq J$, then J = [0, 2p]. Thus, if I is a nest of intervals about any point of [0, 2p] and $I^* = [0, 2p]$, then $I_n = [0, 2p]$ for each positive integer n, if g is to be an I-function. Thus, in order to apply Corollary 3, it seems necessary to require p < 1, in order to solve

the *IVP*. However, if Theorem A is applied with k(n) = m for all n, then Theorem B, which follows, shows that the condition $p < 2^{(m-1)/m}$ gives the best apparent bound for the size of p in order to solve the *IVP*. Now, since m is arbitrary, clearly, p may be any positive number less than 2.

THEOREM B. If g is as in the above example, and for each positive integer n, $F_n(x) = \int_x^x (1, 1, g, n + 1)$, then

- (1) F_n is symmetric about p. That is, for each $n, F_n(x) = F_n(2p-x)$, for all $x \in [0, p]$; and
- (2) $F_n(x)+F_n(p-x)=p^{n+1}/2^n, \ for \ each \ n, \ and \ for \ all \ x\in [0,\ p/2].$

Proof. (induction on n) Suppose n=1. Then, if $x \in [0, 2p]$, $F_1(x) = \left| \int_x^x |g(s) - p| \, ds \right|$, which is

$$F_1(x) = egin{cases} p^2/2 - px + x^2, & ext{if } x \in [0, \, p/2] \;, \ px - x^2, & ext{if } x \in [p/2, \, p] \;, \ -2p^2 + 3px - x^2, & ext{if } x \in [p, \, 3p/2], ext{ and } \ 5p^2/2 - 3px + x^2, & ext{if } x \in [3p/2, \, 2p] \;. \end{cases}$$

It is straightforward to show that F_1 satisfies the conditions (1) and (2) of the theorem. Now, suppose the theorem is true for the positive integer k. Then, for each $x \in [0, 2p]$, $F_{k+1}(x) = \left| \int_p^x F_k(g(s)) ds \right|$. If $x \in [0, p]$, $F_{k+1}(2p-x) = \left| \int_p^{2p-x} F_k(g(s)) ds \right|$. Thus, if $x \le s \le p$, g(s) = 2s = 4p - 2(2p-s) = g(2p-s). So, $F_{k+1}(x) = \int_p^x F_k(g(s)) ds = \int_{2p-x}^p F_k(g(2p-s)) ds = \int_p^{2p-x} F_k(g(s)) ds = F_{k+1}(2p-x)$. Thus, F_{k+1} is symmetric about p. Now, suppose $x \in [0, p/2]$. Then,

$$egin{aligned} F_{k+1}(x) &+ F_{k+1}(p-x) \ &= \int_x^p F_k(g(s)) ds + \int_{p-x}^p F_k(g(s)) ds \ &= \int_x^p F_x(2s) ds + \int_{p-x}^p F_k(2s) ds, ext{ because } g(s) = 2s \ &= \int_x^p F_k(2s) ds + \int_{p-x}^p F_k(2p-2s) ds, ext{ because } g(z) = g(2p-z) \ &= \int_x^p F_k(2s) ds - \int_{2x}^0 (1/2) F_k(s) ds, ext{ by change of variable} \end{aligned}$$

$$=\int_x^p F_k(2s)ds + (1/2)\int_0^{2x} F_k(s)ds$$

$$=\int_x^p F_k(2s)ds + \int_0^x F_k(2s)ds, \text{ by change of variable}$$

$$=\int_0^p F_k(2s)ds$$

$$=(1/2)\int_0^{2p} F_k(s)ds, \text{ by change of variable}$$

$$=\int_0^p F_k(s)ds, \text{ because } F_k \text{ is symmetric about } p$$

$$=\int_0^{p/2} F_k(s)ds + \int_{p/2}^p F_k(s)ds$$

$$=\int_0^{p/2} F_k(s)ds - \int_0^{p/2} F_k(p-s) (-1)ds, \text{ by change of variable}$$

$$=\int_0^{p/2} \{F_k(s) + F_k(p-s)\}ds$$

$$=\int_0^{p/2} \{p^{k+1}/2^k\}ds, \text{ by the induction hypothesis}$$

$$=p^{k+2}/2^{k+1}.$$

By Theorem B, $F_n(0)+F_n(p-0)=p^{n+1}/2^n$. But, $F_n(p)=0$, by definition of F_n , and thus $F_n(0)=p^{n+1}/2^n$. Also, $F_n(2p)=F_n(2p-0)=F_n(0)=p^{n+1}/2^n$. Thus, if $p^{n+1}/2^n<1$, then $\alpha_{n+1} \leq F_n(0)=p^{n+1}/2^n<1$, and $\beta_{n+1} \leq F_n(2p)=p^{n+1}/2^n<1$. Apply Theorem A.

3. Applications. The following is a generalization of a theorem by Anderson [1].

Let F be a continuous real-valued function with domain D of the plane $R \times R$ so that the partial derivative F_2 is continuous on D and $(0, b) \in D$. Let h' and k be so that if $|x| \leq h'$ and $|y - b| \leq k$, then $(x, y) \in D$. Let $K = \sup\{|F(x, y)|: |x| \leq h' \text{ and } |y - b| \leq k\}$, $h = \min\{k', k/K\}$, and $M = \sup\{|F_2(x, y)|: |x| \leq h \text{ and } |y - b| \leq k\}$.

THEOREM C. Suppose there are intervals $I_1 \subseteq I_2 \subseteq \cdots \subseteq I_m = [-h, h]$ so that max $\{b_n - b_{n-1}, a_{n-1} - a_n\} \cdot M < 1$ for each integer in [1, m], and so that $0 \in I_1$. Let $I_j = I_m$ for each $j \geq m$. Then, if g is an I-function, there is a unique function g so that g(0) = g and g'(t) = F(t, g(g(t))), for all g'(t) = f(t, g(g(t))).

Proof. Let $E=\{(x,y)\colon |x|\le h, |y-b|\le k\}$, and let G be an extension of $F|_E$ so that

$$G(x, y) = egin{cases} F(x, b-k), & ext{if } y \leq b-k, \text{ and} \\ F(x, b+k), & ext{if } y \geq b+k \end{cases}.$$

By continuity of F_2 and the mean value theorem, it follows that F is Lipschitzean in the second position with constant M. It follows, also, that G has the same Lipschitz constant M. Then, by Corollary 2, there is a unique function $y \in C[I^*, B] = C[[-h, h], R]$ so that y'(t) = G(t, y(g(t))), y(0) = b, for all $t \in [-h, h]$. Equivalently, $y(t) = b + \int_0^t G(s, y(g(s))) ds$, for all $|t| \le h$. Thus, $|y(t) - b| = \left| \int_0^t G(s, y(g(s))) ds \right| \le h \cdot \sup\{|G(s, y(g(s)))| : |s| \le h\}$, and since the range of G is a subset of the range of $F|_E$, we have that this is $\le h \cdot \sup\{|F(x, v)| : |x| \le h, |v - b| \le k\} = h \cdot K \le k$, by definition of h. Thus, G(x, y(g(x))) = F(x, y(g(x))), for all $|x| \le h$. So, y'(t) = F(t, y(g(t))), y(0) = b, for all $t \in [-h, h]$.

The following is a generalization of a theorem by Kuller [3].

THEOREM D. Suppose only that g is a continuous function with connected, real domain E so that g is not the identity, but gog is the identity. Then, if M=1 and $q \in B$, there is a segment Q about the unique fixed point p' of g so that if $p \in Q \cap E$, the IVP has unique solution.

Proof. Kuller proves that g has a unique fixed point p' and that g is strictly decreasing. Let 0 < k < 1/2. Let $\beta_0 = p$ and let β be a nondecreasing sequence of reals so that $\beta_i - \beta_{i-1} < k$, for each positive integer i, and so that β converges to the right boundary of E, which may be $+\infty$. Then, for each positive integer i, let $\{\alpha_{i1}, \alpha_{i2}, \cdots, \alpha_{in_i}\}$ be so that $g(\beta_i) = \alpha_{in_i} \ge \cdots \ge \alpha_{i2} \ge \alpha_{i1} = g(\beta_{i-1})$ and also so that $\alpha_{ij} - \alpha_{i,j+1} < k$, for all j. Then, $\{[\alpha_{ij}, g(\alpha_{ij})]: i \ge 1$ and $1 \le j \le n_i\}$ is a monotonic collection of intervals, each containing p. Let $I_1 = [\alpha_{11}, g(\alpha_{11})]$. Suppose I_m has been defined to be $[\alpha_{ij}, g(\alpha_{ij})]$. Then, let $g(\alpha_{ij})$

$$I_{\scriptscriptstyle{m+1}} = egin{cases} [lpha_{i,j+1}, \, g(lpha_{i,j+1})], & ext{if} \;\; j < n_i, \; ext{and} \ [lpha_{i+1,2}, \; g(lpha_{i+1,2})], & ext{if} \;\; j = n_i \;. \end{cases}$$

Relabel I_n to be $[a_n, b_n]$. Then, max $\{a_{n-1} - a_n, b_n - b_{n-1}\} < 1$, for each positive integer n. Let $Q = (a_1, b_1)$. Then apply Corollary 3.

Kuller required differentiability of g in order to solve $y' = y \circ g$, y(p') = q, where p' is the unique fixed point of g.

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