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# **PROXIMITY CONVERGENCE STRUCTURES**

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In this paper the notion of proximity convergence structures is introduced. These constitute a layer between Cauchy structures and uniform convergence structures (in the sense of Cook and Fischer [1]). They are a natural generalization of proximity structures. A study of the relations among these various structures constitutes §§2 and 3. In §4, compact extensions for a special class of proximity convergence spaces are constructed, and a characterization of these is obtained. They satisfy a mapping property with respect to compact  $T_2$  proximity convergence spaces which satisfy a strong regularity condition. One problem left open is the obtaining of a more reasonable definition of regularity for these spaces.

1. Proximity convergence structures. A proximity convergence structure is the natural analogue, in the context of convergence spaces, of a proximity structure. Here convergence space is used in the sense of Fischer [3], and proximity in the sense of Efremovič and Smirnov. A proximity convergence structure is a filter of proximity-like orders on a set X, and satisfies a composition property. If the filter is principal then it corresponds to an ordinary proximity.

The notation used is largely that in Cook and Fischer [1]. By  $\mathcal{O}(X)$  is meant the set of all symmetric topogenous orders on X.

So a relation < on the subsets of X is in  $\mathcal{O}(X)$  iff it satisfies the following:

(ST 1)  $\phi < A < X$  for  $A \subseteq X$ ;

 $(ST 2) \quad A < B \Longrightarrow A \subseteq B;$ 

(ST 3) if  $A' \subseteq A < B \subseteq B'$  then A' < B';

(ST 4) if A < C and B < C then  $A \cup B < C$ ; also if C < A and C < B then  $C < A \cap B$ ;

(ST 5) A < B then  $X \setminus B < X \setminus A$ .

DEFINITION 1. A proximity convergence structure on a set X is a family  $\mathscr{P} \subseteq \mathscr{O}(X)$  satisfying

(P1) if  $<_1, <_2 \in \mathscr{P}$  then  $<_1 \cap <_2 \in \mathscr{P}$ ;

 $(P 2) \quad \text{if } < \in \mathscr{P} \text{ then } < \circ < \in \mathscr{P}$ 

(P3) if  $\langle \in \mathscr{P} \text{ and } \langle \subseteq \langle' \in \mathscr{O}(X) \text{ then } \langle' \in \mathscr{P}$ .

We will call  $(X, \mathscr{P})$  a proximity convergence space. Both concepts will be abbreviated by p.c.s.

REMARK AND DEFINITION 2. We say one p.c.s. on X is less than

another if it contains it. Under this ordering the set of all p.c.s.'s on X is a complete lattice. The largest member is  $\{\subseteq\}$  and corresponds to the discrete topology on X. The smallest is  $\mathcal{O}(X)$ , which yields the indiscrete topology. (See Definition 30.) The intersection of any family of p.c.s.'s on X is also a p.c.s., so that suprema are easily described.

DEFINITION 3. If  $\mathcal{G}$  is a nonempty subset of  $\mathcal{O}(X)$  then clearly there is a smallest p.c.s.  $[\mathcal{G}]$  containing G. We will call  $\mathcal{G}$  a base, provided  $[\mathcal{G}]$  consists of refinements of orders in  $\mathcal{G}$ . In case  $[\mathcal{G}]$ consists of refinements of finite intersections of orders in  $\mathcal{G}$ , we call  $\mathcal{G}$  a subbase for  $[\mathcal{G}]$ .

As in the uniform case, ordinary proximity relations on X correspond to "principal" p.c.s's.; i.e., those which have a single element as base.

THEOREM 4. Let  $\ll \in \mathcal{O}(X)$ . Then  $\ll$  is a proximity on X iff  $\{\ll\}$  is a base for a p.c.s. on X.

*Proof.* Let  $\mathscr{S}$  denote the set of refinements (in  $\mathscr{O}(X)$ ) of  $\ll$ . If  $\ll$  is a proximity relation then  $\ll = \ll \circ \ll$  and hence  $\mathscr{S}$  satisfies (P2). The other properties are clearly satisfied. Conversely, if  $\mathscr{S}$  is a p.c.s. then  $\ll \circ \ll \in \mathscr{S}$  and so  $\ll$  is dense. Clearly then  $\ll$  is a proximity relation.

DEFINITION 5. If  $\subset \subset$  is a proximity on X we will call  $[\subset \subset]$  a proximity structure.

2. Relation with uniform convergence structure. As with ordinary proximities, each uniform convergence structure (abbreviated u.c.s.) gives rise to a p.c.s. This allows us to divide the uniform convergence structures into proximity classes. Each class contains a smallest member, which is *strongly bounded*. This last is a condition stronger than total boundedness, and more satisfying in that every proximity class contains a *unique* strongly bounded member. (A class can contain more than one totally bounded member.) Moreover if the p.c.s. is a *proximity* structure than the strongly bounded member in its class is a uniform structure; the other totally bounded uniform convergence structures in the class will not be uniform structures.

DEFINITION 6. A standard filter on  $X \times X$  is a symmetric filter  $\Phi \subseteq [\Delta]$ , the filter generated by the diagonal on X. For  $\Phi$  a standard filter we define

$$A <_{\varphi} B$$
 iff  $H(A) \subseteq B$  for some  $H \in \Phi$ .

This imitates the usual way a proximity is obtained from a uniformity. Notice that if  $\Phi$  is standard,  $\leq_{\phi} \in \mathcal{O}(X)$ .

If  $\mathcal{J}$  is a uniform convergence structure (abbreviated u.c.s.) we define

$$\mathscr{B}_{\mathscr{J}} = \{ <_{\mathfrak{o}} : \Phi \text{ is a standard filter in } \mathscr{J} \}.$$

It turns out that  $\mathscr{B}_{\mathcal{F}}$  is a base for a p.c.s.  $\mathscr{P}_{\mathcal{F}}$  on X.

LEMMA 7. Let  $\Phi$  and  $\Psi$  be standard filters on  $X \times X$ . (i) If  $\theta = \Phi \cap \Psi$  then  $<_{\theta} = <_{\phi} \cap <_{\Psi}$ (ii) If  $\mathscr{X} = \Phi \circ \Phi$  then  $<_{\mathscr{X}} = <_{\phi} \circ <_{\phi}$ .

Proof. Straightforward.

THEOREM 8. If  $\mathcal{J}$  is a u.c.s. on X then  $\mathscr{B}_{\mathcal{J}}$  is a base for a p.c.s.  $\mathscr{P}_{\mathcal{J}}$  on X. If  $\mathcal{J}$  is generated by a uniformity  $\mathscr{X}$  then  $\mathscr{P}_{\mathcal{J}}$  is a proximity structure generated by  $<_{\mathscr{X}}$ .

*Proof.* From the preceding lemma it is clear that  $\mathscr{B}_{\mathscr{P}}$  is a base for a p.c.s. on X. Suppose  $\mathscr{X}$  is a uniformity which generates  $\mathscr{J}$ . Then for  $\langle \in \mathscr{P}_{\mathscr{F}}$  we have  $\langle_{\mathscr{X}} \subseteq \langle$ . Hence  $\{\langle_{\mathscr{X}}\}\}$  is a base for  $\mathscr{P}_{\mathscr{F}}$ .

DEFINITION 9. If  $\mathscr{C}$  is a cover of X we define  $H_{\mathscr{C}} = \bigcup \{C \times C: C \in \mathscr{C}\}$ . If  $\mathscr{C}$  is finite then any entourage which contains  $H_{\mathscr{C}}$  is said to be strongly bounded. A filter  $\Phi$  on  $X \times X$  is strongly bounded iff it consists of strongly bounded entourages. A u.c.s. is strongly bounded iff it has a base of strongly bounded filters.

REMARK 10. Notice that for uniform structures strongly bounded is equivalent to totally bounded. However, in the case of a u.c.s. total-boundedness is a weaker condition.

THEOREM 11. Every strongly bounded u.c.s. is totally bounded.

*Proof.* Let  $\mathscr{J}$  be a strongly bounded u.c.s. on X, and let  $\mathscr{U}$  be an ultrafilter on X. Let  $\varphi$  be any strongly bounded filter in  $\mathscr{J}$ . We claim that  $\varphi \subseteq \mathscr{U} \times \mathscr{U}$ .

Let  $H \in \Phi$ , and let  $\mathscr{C}$  be a finite cover of X such that  $H_{\mathscr{C}} \subseteq H$ . Since  $\mathscr{U}$  is an ultrafilter,  $\mathscr{U} \cap \mathscr{C} \neq \emptyset$ . But if  $C \in \mathscr{U} \cap \mathscr{C}$  then  $H \supseteq C \times C \in \mathscr{U} \times \mathscr{U}$ .

THEOREM 12. Let  $\mathcal{J}$  be a u.c.s. on X. The following conditions

are equivalent:

- (i)  $\mathcal{J}$  is strongly bounded;
- (ii) *I* has at least one strongly bounded member;
- (iii) The filter  $[\Delta]^*$  of all strongly bounded entourages is in  $\mathcal{J}$ .

*Proof.* Since  $\mathcal{J} \neq \emptyset$ , clearly (i)  $\Rightarrow$  (ii). Now suppose (ii) holds. Note  $[\mathcal{A}]^*$  is a filter. If  $\Phi$  is any strongly bounded filter in  $\mathcal{J}$  then  $\Phi \subseteq [\mathcal{A}]^*$ .

Finally, assume  $[\varDelta]^* \in \mathcal{J}$ . If  $\Phi \in \mathcal{J}$  then  $\Phi \cap [\varDelta]^*$  is a strongly bounded filter in  $\mathcal{J}$ , and is contained in  $\Phi$ .

LEMMA 13. A strongly bounded u.c.s. is the smallest member of its proximity class.

*Proof.* Let  $\mathscr{J}$  and  $\mathscr{K}$  be u.c.s.'s on X and suppose  $\mathscr{J}$  is strongly bounded, with  $\mathscr{P}_{\mathscr{J}} = \mathscr{P}_{\mathscr{K}}$ . We wish to show  $\mathscr{K} \subseteq \mathscr{J}$ . Let  $\Phi \in \mathscr{K}$  and let  $\Psi = \Phi \cap \Phi^{-1} \cap [\varDelta]$ . Then  $<_{\Psi} \in \mathscr{P}_{\mathscr{K}} = \mathscr{P}_{\mathscr{J}}$ , so we can choose  $\theta \in \mathscr{J}$  so that  $<_{\theta} \subseteq <_{\Psi}$ . Let  $\theta^* = \theta \cap [\varDelta]^*$ . We claim that  $\theta^* \circ \theta^* \subseteq \Phi$ .

Let  $H \in \theta^*$ , and let  $\mathscr{C}$  be a finite cover of X with  $H_{\mathscr{C}} \subseteq H$ . Then for  $C \in \mathscr{C}$  we have  $C <_{\theta} H(C)$ . Let  $K_{\mathcal{C}} \in \Psi$  such that  $K_{\mathcal{C}}(C) \subseteq H(C)$ , and define K to be the intersection of the  $K_{\mathcal{C}}$ 's. Then  $K \in \Phi$ . We claim  $K \subseteq H \circ H$ .

Let  $(x, y) \in K$ . Choose  $C \in \mathscr{C}$  so  $x \in C$ . Then  $y \in K_c(C) \subseteq H(C)$ . Set  $c \in C$  with  $(c, y) \in H$ . Then  $(x, c) \in C \times C \subseteq H$ . Hence  $(x, y) \in H \circ H$ .

THEOREM 14. Let  $\mathscr{P}$  be a p.c.s. on X, and define

 $\mathscr{B}_{\mathscr{P}} = \{ \Phi : \Phi \text{ is standard and } <_{\phi} \in \mathscr{P} \}$ .

Then  $\mathscr{B}_{\mathscr{P}}$  is a base for a strongly bounded u.c.s.  $\mathscr{J}_{\mathscr{P}}$  in the proximity class of  $\mathscr{P}$ .

*Proof.* If  $\Phi = [\Delta]$  then  $<_{\phi} = \subseteq$ , so  $[\Delta] \in \mathscr{B}_{\mathscr{P}}$ . From Lemma 7 it is clear that  $\mathscr{B}_{\mathscr{P}}$  is a base for a u.c.s.  $\mathscr{J}_{\mathscr{P}}$ .

(1)  $\mathcal{J}_{\mathcal{P}}$  is strongly bounded.

Let  $\theta = [\Delta]^*$ . We will show  $<_{\theta} \subseteq \subseteq$ , so that  $\theta \in \mathscr{B}_{\mathscr{P}}$ . Let  $A \subseteq B$ , and define  $\mathscr{C} = \{B, X \setminus A\}$ . Then  $H_{\mathscr{C}} \in [\Delta]^*$  and  $H_{\mathscr{C}}(A) \subseteq B$ . Thus  $A <_{\theta} B$ .

(2)  $\mathcal{J}_{\mathcal{P}}$  is in the proximity class of  $\mathcal{P}$ .

Clearly the p.c.s. determined by  $\mathcal{J}_{\mathscr{P}}$  is contained in  $\mathscr{P}$ . Now let  $< \in \mathscr{P}$ . We define

$$\mathscr{A} = \{ H \subseteq X \times X : A < H(A) \text{ if } A \subseteq X \}$$
$$\mathscr{B} = \{ H_{\mathscr{C}} : \exists A, B \subseteq X \text{ with } A <^{2} B \text{ and } \mathscr{C} = \{ B, X \setminus A \} .$$

Notice  $\mathscr{B} \subseteq [\mathscr{A}]$ , so  $\mathscr{B}$  is a subbase for a proper filter  $\varphi$  on  $X \times X$ . Since each member of  $\mathscr{B}$  is symmetric, clearly  $\varphi$  is symmetric; hence  $\varphi$  is standard. We will show  $\langle {}^2 \subseteq \langle_{\varphi} \subseteq \langle_{\varphi} \subseteq \langle_{\varphi} \rangle$ . If this holds, then  $\varphi \in \mathcal{J}_{\varphi}$  and hence  $\langle$  is in the p.c.s. induced by  $\mathcal{J}_{\varphi}$ .

If  $A < {}^{2}B$  we define  $\mathscr{C} = \{B, X \setminus A\}$ . Then  $H_{\mathscr{C}} \in \overline{\Phi}$ , and  $H_{\mathscr{C}}(A) \subseteq B$ . Thus  $< {}^{2}\subseteq <_{\mathfrak{G}}$ . To show that  $<_{\mathfrak{G}}\subseteq <$  it is sufficient to establish that  $\Phi \subseteq A$ .

Let  $H_i \in \mathscr{B}$  for  $1 \leq i \leq n$  and suppose  $\bigcap_i H_i \subseteq H$ . For each i, let  $A_i <^2 B_i$  such that  $H_i = H_{\mathscr{C}_i}$ , where  $\mathscr{C}_i = \{B_i, X \setminus A_i\}$ . Choose  $D_i$ so  $A_i < D_i < B_i$ , and define  $\mathscr{D}_i = \{D_i, X \setminus D_i\}$ . Set  $\mathscr{K} = \prod_i \mathscr{D}_i$ , and for  $k \in \mathscr{K}$  let  $C_k = \bigcap_i k(i)$ . Note the  $C_k$ 's cover X.

Now let  $E \subseteq X$ . We must show E < H(E). This holds, provided  $E \cap C_k < H(E)$  for  $k \in \mathscr{K}$ . we will actually show that if  $E \cap C_k \neq \emptyset$  then  $C_k < H(E)$ .

Let  $k \in \mathscr{K}$ , with  $E \cap C_k \neq \emptyset$ . Define h(i) to be  $B_i$  if  $k(i) = D_i$ , and  $X \setminus A_i$  otherwise. Then k(i) < h(i) for  $1 \leq i \leq n$ , and so  $C_k < \bigcap_i h(i)$ . We claim  $\bigcap h(i) \subseteq H(E)$ .

Let  $x \in \bigcap_i h(i)$ , and pick  $x_0 \in E \cap C_k$ . We will show  $(x_0, x) \in H$ . Choose *i*, and suppose  $k(i) = D_i$ . Then  $h(i) = B_i$ , and so  $(x_0, x) \in D_i \times B_i \subseteq H_i$ . Similarly if  $k(i) = X \setminus D_i$  then  $x_0$  and x are both in  $X \setminus A_i$ , and hence  $(x_0, x) \in H$ .

THEOREM 15. If  $\mathscr{P}$  is a proximity structure then  $\mathscr{J}_{\mathscr{P}}$  is a uniform structure.

*Proof.* Suppose  $\ll$  generates  $\mathscr{P}$ . Let  $\varphi \in \mathscr{J}_{\mathscr{P}}$  so  $<_{\phi} \subseteq \ll$  and  $\varphi$  is strongly bounded. We claim  $\varphi^2$  generates  $\mathscr{J}_{\mathscr{P}}$ .

Let  $\Psi \in \mathscr{J}_{\mathscr{P}}$  and assume  $\Psi$  is standard. Then  $<_{\Psi} \in \mathscr{P}$ , so  $<_{\varphi} \subseteq <_{\Psi}$ . Let  $H \in \Phi$ . Then we can choose  $\mathscr{C}$  a finite cover of X such that  $H_{\mathscr{C}} \subseteq H$ . For  $C \in \mathscr{C}$  we have  $C <_{\Psi} H(C)$ . Pick  $K \in \Psi$  so  $K(C) \subseteq H(C)$  for all C in  $\mathscr{C}$ . Then  $K \subseteq H^2$ , so  $H^2 \in \Psi$ . This establishes that  $\Phi^2 \subseteq \Psi$ .

EXAMPLE 16. We conclude this section with an example to show that a totally bounded u.c.s. need not be strongly bounded. Let  $\tau$ be a compact  $T_2$  convergence structure on a set X, and suppose that every finite intersection of convergent filters has a member with an infinite complement. For example, we would let  $\tau$  be the usual topology on the closed unit interval. Let  $\mathcal{J}$  be the u.c.s. generated by  $\{\mathcal{F} \times \mathcal{F} : \mathcal{F} \text{ is convergent}\}$ . Clearly  $\mathcal{J}$  is totally bounded. We claim it is not strongly bounded.

Let  $\Phi \in \mathcal{J}$ . We will exhibit a member of  $\Phi$  which is not strongly bounded. Let  $\mathcal{F}_i, \dots, \mathcal{F}_n$  be convergent filters with  $(\bigcap_i \mathcal{F}_i \times \mathcal{F}_i) \cap$  $[\Delta] \subseteq \Phi$ . Pick  $F \in \bigcap_i \mathcal{F}_i$  so that X. F is infinite. Define  $H = (F \times$   $F) \cup \varDelta$ . Note  $H \in \Phi$ .

Now let  $\mathscr{C}$  be any cover X with  $H_{\mathscr{C}} \subseteq H$ . For  $x \in X \setminus F$  let  $C_x \in \mathscr{C}$  such that  $x \in C_x$ . Since  $C_x \times C_x \subseteq H$ , clearly  $C_x = \{x\}$  for  $x \notin F$ . Thus  $\mathscr{C}$  is infinite, and H is not strongly bounded.

3. Relation with Cauchy structures. In contrast to the classical case, a totally bounded Cauchy structure  $\mathscr{C}$  can be induced by several different p.c.s.'s. However there always exist a smallest and a largest p.c.s. which induce  $\mathscr{C}$ . If  $\mathscr{C}$  is uniform, the smallest p.c.s. associated with it is a proximity structure, but the largest need not be. We call the smallest p.c.s. yielding  $\mathscr{C}$  a saturated p.c.s.

DEFINITION 17. A Cauchy structure on X is a family  $\mathscr{C}$  of proper filters on X such that

(C1) if  $x \in X$  then  $\dot{x} \in \mathscr{C}$ ;

(C2) if  $\mathscr{F}$  is a proper filter which contains a member of  $\mathscr{C}$  then  $\mathscr{F} \in \mathscr{C}$ ;

(C3) if  $\mathcal{F}, \mathcal{G} \in \mathcal{C}$  with  $\mathcal{F} \vee \mathcal{G} \neq [\emptyset]$  then  $\mathcal{F} \cap \mathcal{G} \in \mathcal{C}$ .

Keller [4] has shown that  $\mathscr{C}$  is a Cauchy structure on X iff it is the set of Cauchy filters for some u.c.s. on X. If  $\mathscr{C}$  is induced by a *uniformity* we call  $\mathscr{C}$  a *uniform* Cauchy structure. We say  $\mathscr{C}$ is totally bounded iff every ultrafilter on X is in  $\mathscr{C}$ .

DEFINITION 18. For  $\mathscr{F}$  a filter on X we define a relation  $<_{\mathscr{F}}$  on X by  $A <_{\mathscr{F}} B$  iff  $A \subseteq B$  and B or  $X \setminus A$  is in  $\mathscr{F}$ .

REMARK 19. Notice that  $<_{\mathscr{F}}$  is in  $\mathscr{O}(X)$ . Also if  $\Phi = (\mathscr{F} \times \mathscr{F}) \cap [\Delta]$  then  $<_{\phi} = <_{\mathscr{F}}$ .

THEOREM 20. Let  $\mathscr{C}_{\mathscr{F}} = \{\mathscr{F}: <_{\mathscr{F}} \in \mathscr{P}\}\)$ , where  $\mathscr{P}$  is a p.c.s. on X. If  $\mathscr{J}$  is any totally bounded u.c.s. in the proximity class of  $\mathscr{P}$  then  $\mathscr{C}_{\mathscr{F}}$  is the set of  $\mathscr{J}$ -Cauchy filters.

*Proof.* Let  $\mathscr{F}$  be a filter on X and define  $\varPhi = (\mathscr{F} \times \mathscr{F}) \cap [\varDelta]$ . If  $\mathscr{F}$  is  $\mathscr{J}$ -Cauchy then  $\varPhi \in \mathscr{J}$ , and so  $<_{\mathscr{F}} = <_{\varPhi} \in \mathscr{P}$ . Hence  $\mathscr{F} \in \mathscr{C}_{\mathscr{P}}$ .

Conversely, suppose  $\mathscr{F} \in \mathscr{C}_{\mathscr{P}}$ . Then  $<_{\emptyset} = <_{\mathscr{F}} \in \mathscr{P} = \mathscr{P}_{\mathscr{F}}$  and so we can choose  $\mathscr{\Psi} \in \mathscr{J}$  with  $<_{\mathscr{F}} \subseteq <_{\emptyset}$ . Let  $\mathscr{U}$  be an ultrafilter containing  $\mathscr{F}$ . Then  $\mathscr{U}$  is  $\mathscr{J}$ -Cauchy, and therefore  $\mathscr{\Psi}(\mathscr{U})$  is also  $\mathscr{J}$ -Cauchy. (By  $\mathscr{\Psi}(\mathscr{U})$  is meant the filter generated by all sets of the form H(U), where  $H \in \mathscr{\Psi}$  and  $U \in \mathscr{U}$ . It is easy to check that  $[\mathscr{\Psi} \cap (\mathscr{U} \times \mathscr{U})]^3 \subseteq \mathscr{\Psi}(\mathscr{U}) \times \mathscr{\Psi}(\mathscr{U}).$ )

We claim that  $\Psi(\mathcal{U}) \subseteq \mathcal{F}$ . Let  $H \in \Psi$  and  $U \in \mathcal{U}$ . Then  $U <_{\mathbb{F}} H(U)$ ,

and since  $<_{\mathbb{F}} \subseteq <_{\emptyset}$  we can choose  $K \in \Phi$  with  $K(U) \subseteq H(U)$ . Now pick  $F \in \mathscr{F}$  so  $F \times F \subseteq K$ . Then since  $\mathscr{F} \subseteq \mathscr{U}$  we have  $F \cap U \neq \emptyset$ , and so  $F \subseteq K(U)$ . This establishes that  $H(U) \in \mathscr{F}$ , as desired.

REMARK 21. This theorem tells us that the totally bounded u.c.s.'s in the same proximity class all induce the same Cauchy structure.

DEFINITION 22. A p.c.s.  $\mathscr{P}$  is compatible with a totally bounded Cauchy structure  $\mathscr{C}$  iff  $\mathscr{C} = \mathscr{C}_{\mathscr{P}}$ .

NOTATION 23. Let  $\mathscr{F}$  be a filter on X and let  $< \varepsilon \mathscr{O}(X)$ . Then

 $r_<(\mathscr{F}) = \{A \hbox{\rm :} F < A \text{ for some } F \in \mathscr{F}\}$  .

Notice  $r_{\leq}(\mathcal{F})$  is a filter contained in  $\mathcal{F}$ .

DEFINITION 24. Let  $\mathscr{C}$  be a totally bounded Cauchy structure on C.

- $(1) \quad \mathscr{P}_{L}(\mathscr{C}) = [\{<_{\mathscr{F}}: \mathscr{F} \in \mathscr{C}\}];$
- $(2) \quad \mathscr{P}_{\mathcal{S}}(\mathscr{C}) = \{ < \in \mathscr{O}(X) \colon \mathscr{F} \in \mathscr{C} \Longrightarrow r_{<}(\mathscr{F}) \in \mathscr{C} \}.$

THEOREM 25. If  $\mathscr{C}$  is a totally bounded Cauchy structure on Xthen  $\mathscr{P}_{L}(\mathscr{C})$  is the largest p.c.s on X compatible with  $\mathscr{C}$ , and  $\mathscr{P}_{s}(\mathscr{C})$ is the smallest. Moreover,  $\mathscr{S} = \{<_{\mathscr{F}}: \mathscr{F} \in \mathscr{C}\}$  is a subbase for  $\mathscr{P}_{L}(\mathscr{C})$ .

Proof.

(1)  $\mathscr{S}$  is a subbase for  $\mathscr{P}_{L}(\mathscr{C})$ .

Let  $\mathscr{R}$  be the set of refinements of finite intersections of orders in  $\mathscr{S}$ . We need  $\mathscr{R} = \mathscr{P}_{L}(\mathscr{C})$ . It is sufficient to show that  $\mathscr{R}$  is a p.c.s. Clearly  $\mathscr{R}$  satisfies (P1) and (P3).

Let  $\mathscr{F}_i, \dots, \mathscr{F}_n \in \mathscr{C}$  with  $<_i = <_{\mathscr{F}_i}$ . Suppose  $\bigcap_i <_i \subseteq < \in \mathscr{O}(X)$ . We wish to show  $< \circ < \in \mathscr{R}$ . We may assume the  $\mathscr{F}_i$ 's are pairwise disjoint; i.e.,  $\mathscr{F}_i \lor \mathscr{F}_j = [\varnothing]$  for  $i \neq j$ . This follows by induction from (C 3), since if  $\mathscr{F}_i \lor \mathscr{F}_j \neq [\varnothing]$  we replace  $<_i \cap <_j$  by  $<_{\mathscr{F}}$ , where  $\mathscr{F} = \mathscr{F}_i \cap \mathscr{F}_j$ . Choose  $F_i \in \mathscr{F}_i$  so that the  $F_i$ 's are pairwise disjoint.

Suppose now that  $A <_i B$  for  $1 \leq i \leq n$ . We will show  $A <^2 B$ . For each *i*, define

$$D_i = egin{cases} F_i \cap B ext{ if } B \in \mathscr{F}_i \ F_i ackslash A ext{ if } B \notin \mathscr{F}_i \ . \end{cases}$$

Note  $D_i \in \mathscr{F}_i$  for all *i*. Let  $H = (\bigcup_i D_i \times D_i) \cup A$ . We claim A < H(A) < B.

Clearly  $A \subseteq H(A)$ . To see that  $H(A) \subseteq B$ , let  $a \in A$  with  $(a, x) \in H$ . If x = a then  $x \in B$ . If  $x \neq a$  then for some *i*, *a* and *x* are both in  $D_i$ . Since  $a \notin \mathscr{F}_i \setminus A$ , clearly  $D_i = F_i \cap B$ , and so  $x \in B$ . Now fix *i*. We wish to show  $A <_i H(A) <_i B$ . It is sufficient to show that either H(A) or  $X \setminus H(A)$  or  $B \setminus A$  is in  $\mathscr{F}_i$ . If  $D_i = F_i \setminus A$  it is not difficult to prove that  $D_i \cap H(A) = \emptyset$ , so that  $X \setminus H(A) \in \mathscr{F}_i$ . (Recall the  $D_j$ 's are pairwise disjoint.) If  $D_i = F_i \cap B$  and  $D_i \cap A = \emptyset$  then clearly  $B \setminus A \in \mathscr{F}_i$ . If  $D_i \cap A \neq \emptyset$  then  $D_i \subseteq H(A)$  and so  $H(A) \in \mathscr{F}_i$ .

(2)  $\mathscr{P}_{s}(C)$  is a p.c.s.

If  $< = <_1 \cap <_2$  and  $\mathscr{F} \in \mathscr{C}$  then  $r_{<}(F) = r_{<_1}(\mathscr{F}) \cap r_{<_2}(\mathscr{F})$ . Using this and (C 3), we conclude  $\mathscr{P}_{s}(\mathscr{C})$  is closed under finite intersections. Similarly  $r_{<^2}(\mathscr{F}) = r_{<}(r_{<}(\mathscr{F}))$ , so  $\mathscr{P}_{s}(\mathscr{C})$  is closed under "squaring". Since  $r_{<}(\mathscr{F}) \subseteq r_{<'}(\mathscr{F})$  whenever  $< \subseteq <'$  clearly (P3) holds.

(3)  $\mathscr{P}_{L}(\mathscr{C}) \subseteq \mathscr{P}_{S}(\mathscr{C}).$ It is sufficient to show that <

It is sufficient to show that  $<_{\mathscr{F}} \in \mathscr{P}_{\mathcal{S}}(\mathscr{C})$  for  $\mathscr{F} \in \mathscr{C}$ . Let  $< = <_{\mathscr{F}}$  and let  $\mathscr{G} \in \mathscr{C}$ . If  $\mathscr{G} \lor \mathscr{F} = [\varnothing]$  then  $r_{<}(\mathscr{G}) = \mathscr{G}$ ; and if  $\mathscr{G} \lor \mathscr{F} \neq [\varnothing]$  then  $r_{<}(\mathscr{G}) \supseteq \mathscr{F} \cap \mathscr{G}$ . Thus in either case  $r_{<}(\mathscr{G}) \in \mathscr{C}$ .

(4)  $\mathscr{P}_{s}(\mathscr{C})$  and  $\mathscr{P}_{L}(\mathscr{C})$  are both compatible with  $\mathscr{C}$ . Let  $\mathscr{C}_{s}$  denote the Cauchy structure induced by  $\mathscr{P}_{s}(\mathscr{C})$ ; and similarly for  $\mathscr{C}_{L}$ . Suppose  $\mathscr{F} \in \mathscr{C}$ . Then by definition of  $\mathscr{P}_{L}(\mathscr{C})$  we have  $\langle_{\mathscr{F}} \in \mathscr{P}_{L}(\mathscr{C})$  and hence  $\mathscr{F} \in \mathscr{C}_{L}$ . Therefore  $\mathscr{C} \subseteq \mathscr{C}_{L} \subseteq \mathscr{C}_{s}$ .

Now suppose  $\mathcal{G} \in \mathcal{C}_s$ . Then  $<_{\mathscr{G}} \in \mathcal{P}_s(\mathcal{C})$ . Let  $< = <_{\mathscr{G}}$  and let  $\mathcal{U}$  be an ultrafilter containing  $\mathcal{G}$ . Then  $\mathcal{U} \in \mathcal{C}$ , and so by definition of  $\mathcal{P}_s(\mathcal{C})$  we have  $r_<(\mathcal{U}) \in \mathcal{C}$ . But  $r_<(\mathcal{U}) \subseteq \mathcal{G}$ , and so  $\mathcal{C}_s \subseteq \mathcal{C}$ .

(5) If  $\mathscr{P}$  is a p.c.s. compatible with  $\mathscr{C}$  then  $\mathscr{P}_{\mathcal{S}}(\mathscr{C}) \leq \mathscr{P} \leq \mathscr{P}_{\mathcal{L}}(\mathscr{C})$ .

For  $\mathscr{F} \in \mathscr{C} = \mathscr{C}_{\mathscr{F}}$  we have  $<_{\mathscr{F}} \in \mathscr{P}$ . Thus  $\mathscr{P}_{L}(\mathscr{C}) \subseteq \mathscr{P}$ . Now let  $< \in \mathscr{P}$  and choose  $\mathscr{F} \in \mathscr{C}$ . Let  $\mathscr{G} = r_{<}(\mathscr{F})$ . We must show  $\mathscr{G} \in \mathscr{C}$ ; i.e.,  $<_{\mathscr{F}} \in \mathscr{P}$ . It is straightforward to check that  $(<_{\mathscr{F}} \cap <)^{3} \subseteq <_{\mathscr{F}}$ .

REMARK 26. This theorem tells us that each totally bounded Cauchy structure has a largest and smallest p.c.s. compatible with it. Since an intersection of proximity convergence structures is also a p.c.s., we see that the set of proximity convergence structures compatible with a given totally bounded Cauchy structure is a complete lattice.

THEOREM 27. If  $\mathscr{C}$  is a totally bounded Cauchy structure and  $\mathscr{P}$  is a proximity structure compatible with  $\mathscr{C}$  then  $\mathscr{P} = \mathscr{P}_{s}(\mathscr{C})$ .

*Proof.* Let  $\mathscr{P}$  be a p.c.s. compatible with  $\mathscr{C}$  and suppose  $\{\ll\}$  is a base for  $\mathscr{P}$ . We will show  $\mathscr{P}_{\mathcal{S}}(\mathscr{C}) \subseteq \mathscr{P}$ .

Let  $\langle \in \mathscr{P}_{s}(\mathscr{C})$  and suppose  $A \not \subset B$ . We wish to show A < | < B. For this it is sufficient to produce a filter  $\mathscr{F}$  in  $\mathscr{C}$  with  $A \not \subset \mathscr{F} B$ . (Recall if  $\mathscr{F} \in \mathscr{C}$  then  $\langle \mathscr{F} \in \mathscr{P}$  and so  $\ll \subseteq \langle \mathscr{F} \cdot \rangle$ ) Set  $\mathscr{S} = \{D: A < D\} \cup \{X \setminus E: E < B\}$ . Then since  $A \notin B$ ,  $\mathscr{S}$  has the finite intersection property. Let  $\mathscr{U}$  be an ultrafilter containing  $\mathscr{S}$ . Then  $\mathscr{U} \in \mathscr{C}$ . Since  $\langle \varepsilon \mathscr{S}_s(\mathscr{C}) \rangle$  we have  $r_{<}(\mathscr{U}) \in \mathscr{C}$ . Clearly neither B nor  $X \setminus A$  is in  $r_{<}(\mathscr{U})$ .

REMARK AND DEFINITION 28. From this theorem it follows easily that if  $\mathscr{C}$  is uniform (and totally bounded) then  $\mathscr{P}_{s}(\mathscr{C})$  is the unique proximity structure compatible with  $\mathscr{C}$ . We will call  $\mathscr{P}_{s}(\mathscr{C})$  a saturated p.c.s. (whether or nor  $\mathscr{C}$  is uniform). Obviously then every proximity structure is saturated.

EXAMPLE 29. Even if  $\mathscr{C}$  is uniform,  $\mathscr{P}_L(\mathscr{C})$  need not be a proximity structure. For example let  $\mathscr{K}$  be a totally bounded uniformity with Cauchy family  $\mathscr{C}$ . Assume that no finite intersection of Cauchy filters equals  $\{X\}$ . This is the case as long as  $\mathscr{K} \neq \{X \times X\}$ , but the proof is somewhat involved and will not be given. Certainly it is true for the usual uniformity on the closed unit interval. Assume also that if  $A <_{\mathscr{K}} A$  then  $A = \emptyset$  or X. This is true if the associated topology is connected, for example.

Suppose  $<_{\mathfrak{X}} \in \mathscr{P}_{L}(\mathscr{C})$ . By Theorem 25, there are Cauchy filters  $\mathscr{F}_{1}, \dots, \mathscr{F}_{n}$  such that  $\bigcap_{i} <_{\mathscr{F}_{i}} \subseteq <_{\mathfrak{X}}$ . Therefore if  $F \in \bigcap_{i} \mathscr{F}_{i}$  then  $F <_{\mathfrak{X}} F$ , and so F = X. Hence  $\bigcap_{i} \mathscr{F}_{i} = \{X\}$ , which is impossible. Therefore  $<_{\mathfrak{X}} \notin \mathscr{P}_{L}(\mathscr{C})$ , and so  $\mathscr{P}_{L}(\mathscr{C}) \neq \mathscr{P}_{S}(\mathscr{C})$ . By Theorem 27,  $\mathscr{P}_{L}(\mathscr{C})$  is not a proximity structure.

4. The  $\Sigma$ -compactification. A p.c.s. is compact, provided the associated convergence structure is compact. A compactification of p.c.s. is a compact p.c.s. in which the given space can be densely embedded. In general a p.c.s has many compactifications. We will confine ourselves to one, called the  $\Sigma$ -compactification. This works at least for relatively round spaces, and has a nice characterization. Using it we can obtain a generalization of the classical one-to-one correspondence between proximity structures and  $T_2$  compactifications of a given topological space.

Continuous maps to compact  $T_2$  spaces can be extended to this compactification, provided the range spaces satisfy a strong regularity condition. We leave open the problem of obtaining the "right" definition of regularity for a p.c.s.

DEFINITION 30. Let  $\mathscr{P}$  be a p.c.s. on X. For  $x \in X$  we define  $\tau_{\mathscr{P}}(x)$  to be the intersection ideal generated by the filters of the form  $r_{\leq}(\dot{x})$ , where  $\leq \in \mathscr{P}$ .

THEOREM 31. If  $\mathcal{J}$  is in the proximity class of  $\mathcal{T}$  then  $\tau_{\mathcal{J}} = \tau_{\mathcal{T}}$ .

*Proof.* Notice that  $\{r_{<}(\dot{x}): < \varepsilon \mathscr{P}\}$  is a base for  $\tau_{\mathscr{P}}(x)$ . Thus if  $\mathscr{F} \in \tau_{\mathscr{P}}(x)$  then for some  $< \varepsilon \mathscr{P}$  we have  $r_{<}(\dot{x}) \subseteq \mathscr{F}$ . Let  $\Psi \in \mathscr{F}$  with  $<_{\Psi} \subseteq <$ . Now,  $\Psi \subseteq \dot{x} \times \Psi(\dot{x})$ , so  $\Psi(\dot{x}) \in \tau_{\mathscr{F}}(x)$ . But  $\Psi(\dot{x}) \subseteq r_{<}(\dot{x})$ , since for  $H \in \Psi$  we have  $\{x\} <_{\Psi} H(x)$ .

Now suppose  $\mathscr{F} \in \tau_{\mathscr{F}}(x)$ . Let  $\mathscr{G} = \mathscr{F} \cap \dot{x}$  and let  $\varPhi = \mathscr{G} \times \mathscr{G} \cap$ [ $\varDelta$ ]. Then  $\varPhi \in \mathscr{J}$  and so  $<_{\vartheta} \in \mathscr{P}$ . Set  $< = <_{\vartheta} = <_{\mathscr{G}}$ . Then  $r_{<}(\dot{x}) \subseteq \mathscr{F}$ .

REMARK 32. We can also describe  $\tau_{\mathscr{P}}$  as follows:  $\mathscr{F} \in \tau_{\mathscr{P}}(x)$  iff for some  $\mathscr{G} \in \mathscr{F} \cap \dot{x}$  we have  $<_{\mathscr{C}} \in \mathscr{P}$ .

Next we will describe the construction of the  $\Sigma$ -extension of a p.c.s.

DEFINITION 33. Let  $\mathscr{C}$  be a Cauchy structure on X. Two filters in  $\mathscr{C}$  are *equivalent* iff their intersection is in  $\mathscr{C}$ . We denote the associated partition by  $X^*(\mathscr{C})$ , or just  $X^*$ . The map which assigns to a point x in X the equivalence class of  $\dot{x}$  is denoted by j. If  $(X, \mathscr{C})$  is  $T_2$  then j is an injection of X into  $X^*$ .

We define  $\Sigma$  to be the set of all maps  $\sigma$  which assign to each equivalence class p in  $X^*$  a filter in p; we further require for  $x \in X$  and  $\sigma \in \Sigma$  that  $\sigma(j(x)) = \dot{x}$ .

For each  $\sigma$  in  $\Sigma$  we obtain a map from  $\mathscr{P}(X)$  to  $\mathscr{P}(X^*)$ ; namely,

$$A^{\sigma} = \{p \in X^* \colon A \in \sigma(p)\}$$
 .

This allows us to define a map from  $\mathscr{O}(X)$  to the set of relations on  $X^*$ . For  $\langle \in \mathscr{O}(X)$  we define  $A \langle {}^{\sigma}B$  iff there are subsets C and D of X with  $A \subseteq C^{\sigma}$ ,  $D^{\sigma} \subseteq B$ , and  $C \langle D$ .

Now suppose  $\mathscr{C}$  is totally bounded, and let  $\mathscr{P}$  be a compatible p.c.s. We define  $\mathscr{P}_{\Sigma} = \{ <' \in \mathscr{O}(X^*) \colon \text{for } \sigma \in \Sigma, \exists < \in \mathscr{P} \text{ with } <^{\sigma} \subseteq <' \}$ . It is easy to check that  $\mathscr{P}_{\Sigma}$  is a p.c.s. on X. We will call  $(j, (X^*, \mathscr{P}_{\Sigma}))$  the  $\Sigma$ -extension of  $(X, \mathscr{P})$ . It is closely related to the Kowalsky completion of  $(X, \mathscr{C})$ , described in [5] and in [7].

DEFINITION 34. Let  $k: (X, \mathscr{P}) \to (Y, \mathscr{Q})$ . For  $\langle \in \mathscr{O}(X)$  we define  $k(\langle \rangle \in \mathscr{O}(Y)$  by  $A \ k(\langle \rangle B \ \text{iff} \ A \subseteq B \ \text{and} \ k^{-1}(A) < k^{-1}(B)$ . We say k is a *dense embedding* of  $(X, \mathscr{P})$  into  $(Y, \mathscr{Q})$ , provided k is one-to-one and for  $\langle \in \mathscr{O}(X)$  we have  $\langle \in \mathscr{P} \ \text{iff} \ k(\langle \rangle \in \mathscr{Q}$ .

Next we will establish that j is a dense embedding of  $(X, \mathscr{P})$  into  $(X^*, \mathscr{P}_{\Sigma})$ .

**LEMMA 35.** Let  $(X, \mathscr{P})$  be  $T_2$  and let  $\tau'$  denote the convergence structure induced by  $\mathscr{P}_{\Sigma}$ .

- (i) If  $p \in X^*$  and  $\mathscr{F} \in p$  then  $j(\mathscr{F}) \in \tau'(p)$ .
- (ii) If  $\mathcal{G} \in \tau'(p)$  and  $\sigma \in \Sigma$  then the filter  $\mathcal{G}_{\sigma} = \{A: A^{\sigma} \in \mathcal{G}\}$  is in p.

*Proof.* Suppose  $\mathscr{F} \in p$  and define  $\mathscr{G} = j(\mathscr{F}) \cap \dot{p}$ . To show  $j(\mathscr{F}) \to p$  it is sufficient to establish  $<_{\mathscr{F}}$  is in  $\mathscr{P}_{\Sigma}$ .

Pick  $\sigma \in \Sigma$  and set  $\mathscr{H} = \mathscr{F} \cap \sigma(p)$ . Now  $\mathscr{H}$  is Cauchy, and so  $<_{\mathscr{H}} \in \mathscr{P}$ . Observe that  $\mathscr{H} \subseteq \mathscr{G}_{\sigma}$ , so that  $<_{\mathscr{H}}^{\circ} \subseteq <_{\mathscr{F}}$ .

Now assume  $\mathscr{U} \in \tau'(p)$ , and let  $\sigma \in \Sigma$ . Pick  $\langle \in \mathscr{P}_{\Sigma} \text{ with } r_{\langle}(\dot{p}) \subseteq \mathscr{U}$ , and choose  $\langle_{1} \in \mathscr{P}$  so that  $\langle_{1}^{\sigma} \subseteq \langle$ . Then  $r_{\langle_{1}}(\sigma(p)) \subseteq \mathscr{U}_{\sigma}$ . For if  $A \in \sigma(p)$  and  $A \langle_{1} B$  then  $A^{\sigma} \langle_{1}^{\sigma} B^{\sigma}$  and hence  $A^{\sigma} \langle B^{\sigma}$ . Since  $p \in A^{\sigma}$  we have  $B^{\sigma} \in r_{\langle}(\dot{p}) \subseteq \mathscr{U}$ .

Now  $\sigma(p) \in p$  and  $<_1 \in \mathscr{P}$ . Therefore  $r_{<_1}(\sigma(p)) \in p$ . (Use Theorem 25 and (C 3)).

THEOREM 36. Let  $(X, \mathscr{P})$  be  $T_2$ . Then  $(X^*, \mathscr{P}_{\Sigma})$  is  $T_2$  and j is a dense embedding of  $(X, \mathscr{P})$  into  $(X^*, \mathscr{P}_{\Sigma})$ .

*Proof.* Suppose  $\mathcal{G}$  converges to both p and q. Let  $\sigma \in \Sigma$ . By the preceding lemma  $\mathcal{G}_{\sigma} \in p \cap q$ . Thus p = q, and  $\mathcal{P}_{\Sigma}$  is  $T_2$ .

Notice that for  $\sigma \in \Sigma$  and  $A \subseteq X$  we have  $j^{-1}(A^{\sigma}) = A$ . Here strong use is made of the fact that  $\sigma(j(x)) = \dot{x}$  for  $x \in X$ . From this it is easy to see that for  $\langle \in \mathscr{P}$  and  $\sigma \in \Sigma$  we have  $\langle \sigma \subseteq j(\langle \rangle)$ . Thus  $j(\langle \rangle) \in \mathscr{P}_{\Sigma}$ .

Now suppose  $\langle \in \mathscr{O}(X) \rangle$  and  $j(\langle) \in \mathscr{P}_{\Sigma}$ . Let  $\sigma \in \Sigma$  and choose  $\langle_1 \in \mathscr{P} \rangle$  with  $\langle_1^{\sigma} \subseteq j(\langle) \rangle$ . Using the same fact as before, we see that  $\langle_1 \subseteq \langle \rangle$ . This establishes that j is an embedding.

It is easy to check that j(X) is dense in  $X^*$ , since for  $\mathscr{F} \in p$  we have  $j(\mathscr{F}) \to p$ . (Lemma 35).

Next we will give conditions under which the  $\Sigma$ -extension is actually a compactification.

DEFINITION 37. Let  $(X, \mathscr{P})$  be a p.c.s. For  $\sigma \in \Sigma$  we define

 $<_{\sigma} = \bigcap \{ <_{\mathcal{F}} : \mathcal{F} = \sigma(p) \text{ for some } p \in X^* \}$ .

Then  $\mathscr{P}$  is relatively round iff each  $<_{\sigma}$  is in  $\mathscr{P}$ .

Notice that every proximity structure is relatively round. In fact if  $\subset \subset$  is a proximity on X then  $\subset \subset = \bigcap \{ <_{\mathcal{F}} : \mathcal{F} \in \mathscr{C}(\subset \subset) \}$ .

THEOREM 38. If  $(X, \mathscr{P})$  is relatively round and  $T_2$  then  $(j, (X^*, \mathscr{P}_2))$  is a compactification of  $(X, \mathscr{P})$ .

*Proof.* In view of Theorem 36, we need only establish that  $\mathscr{P}_{\mathcal{I}}$  is compact. Let  $\mathscr{U}$  be an ultrafilter on  $X^*$ .

Notice that for  $\sigma \in \Sigma$ , if  $A <_{\sigma} B$  then  $(X^* \setminus B^{\sigma}) \subseteq (X \setminus A)^{\sigma}$ ; thus either  $B^{\sigma}$  or  $(X \setminus A)^{\sigma}$  is in  $\mathscr{U}$ . This yields  $<_{\sigma} \subseteq <_{\mathscr{U}_{\sigma}}$ . Since  $\mathscr{P}$  is relatively round, we conclude  $\mathscr{U}_{\sigma}$  is Cauchy for  $\sigma \in \Sigma$ .

Moreover, the  $\mathcal{U}_{\sigma}$ 's are all in the same equivalence class. To see

this, suppose  $\sigma$  and  $\mu$  are in  $\Sigma$  and let  $\eta(p) = \sigma(p) \cap \mu(p)$  for  $p \in X^*$ . Then  $\eta \in \Sigma$ , and also  $\mathscr{U}_{\eta} \subseteq \mathscr{U}_{\sigma} \cap \mathscr{U}_{\mu}$ . Thus  $\mathscr{U}_{\sigma}$  and  $\mathscr{U}_{\mu}$  are equivalent. Let q be the equivalence class of the  $\mathscr{U}_{\sigma}$ 's. We claim  $\mathscr{U} \to q$ .

Let q be the equivalence class of the  $\mathscr{U}_{\sigma}$ 's. We claim  $\mathscr{U} \to q$ . Let  $\sigma \in \Sigma$  and define  $\mathscr{F} = \mathscr{U}_{\sigma} \cap \sigma(q)$ . Then  $\mathscr{F} \in q$ , so  $<_{\mathscr{F}} \in \mathscr{P}$ . Let  $\mathscr{V} = \mathscr{U} \cap \dot{q}$ . Then it is simple to check that  $<_{\mathscr{F}}^{\sigma} \subseteq <_{\mathscr{F}}$ .

Next we wish to characterize the  $\Sigma$ -compactification of  $(X, \mathscr{P})$  as its unique relatively round  $T_2$  compactification. This will be done by using the corresponding fact for uniform convergence spaces, established in [7].

DEFINITION 39. Let  $f: (X, \mathscr{P}) \to (Y, \mathscr{Q})$ . Then f is p-continuous iff  $f(<) \in \mathscr{Q}$  whenever  $< \in \mathscr{P}$ .

LEMMA 40. Let  $f: (X, \mathscr{P}) \to (Y, \mathscr{Q})$ 

(i) f is p-continuous iff it is uniformly continuous with respect to  $\mathcal{J}_{\mathcal{P}}$  and  $\mathcal{J}_{\mathcal{Q}}$ .

(ii) f is an embedding of  $(X, \mathscr{P})$  into  $(Y, \mathscr{Q})$  iff it embeds  $(X, \mathscr{J}_{\mathscr{P}})$  in  $(Y, \mathscr{J}_{\mathscr{Q}})$ .

*Proof.* Notice that if  $\Phi$  is a standard filter on  $X \times X$  and  $\Psi = (f \times f)(\Phi) \cap [\Delta]$  then  $<_{\Psi} = f(<_{\phi})$ . Clearly then (i) holds. Also if  $\Psi \in \mathscr{J}_{\varnothing}$  and f is a p-embedding then  $\Phi \in \mathscr{J}_{\varnothing}$ . Therefore every p-embedding is a uniform embedding.

Now assume f is a uniform embedding. Suppose  $\langle \in \mathcal{O}(X) \rangle$  with  $f(\langle) \in \mathcal{O}$ . Pick  $\theta \in \mathcal{J}_{\mathcal{O}} \rangle$  with  $\langle_{\theta} \subseteq f(\langle) \rangle$ . Set  $\theta_1 = (f \times f)^{-1}(\theta)$ . We claim  $\theta_1 \in \mathcal{J}_{\mathcal{O}} \rangle$  and  $\langle_{\theta_1} \subseteq \langle$ .

Since  $<_{\theta}$  is defined,  $\theta$  is standard; therefore  $\theta_1$  is standard, and in particular it is proper. Note  $\theta \subseteq (f \times f)(\theta_1)$ , so that  $\theta_1 \in \mathscr{J}_{\mathfrak{S}}$ . Now if  $A <_{\theta_1} B$  then  $f(A) <_{\theta} Y \setminus f(X \setminus B)$ . Since  $<_{\theta} \subseteq f(<)$  we conclude A < B.

DEFINITION 41. Let  $f: (X, \mathscr{P}) \to (Y, \mathscr{Q})$ . By  $\Sigma(f)$  we mean the set of all maps  $\sigma$  which assign to each point y in Y a filter converging to y. We further require that for  $y \in f(X)$  and  $\sigma \in \Sigma(f)$  we have  $\sigma(y) = \dot{y}$ .

We define  $(f, (Y, \mathcal{Q}))$  to be relatively round provided  $\langle_{\sigma} \in \mathcal{Q}$  for each  $\sigma$  in  $\Sigma(f)$ . We say  $(f, (Y, \mathcal{J}_{\sigma}))$  is relatively round iff for  $\sigma \in$  $\Sigma(f)$  the filter  $\bigcap \{\sigma(y) \times \sigma(y) : y \in Y\}$  is in  $\mathcal{J}_{\sigma}$ .

LEMMA 42. If  $(k, (Y, \mathbb{Z}))$  is a relatively round compactification of  $(X, \mathbb{S})$  then  $(k, (Y, \mathcal{J}_{c}))$  is a relatively round completion of  $(X, \mathcal{J}_{c})$ .

*Proof.* From the preceding lemma we know that k is an embedding of  $(X, \mathcal{J}_{\mathcal{P}})$  into  $(Y, \mathcal{J}_{\mathcal{P}})$ . Since  $\mathcal{J}_{\mathcal{P}}$  and  $\mathcal{Q}$  induce the same

convergence structure  $\tau'$ , clearly this embedding is dense. Since  $\tau'$  is compact,  $\mathcal{J}_{\sigma}$  is complete.

Now let  $\sigma \in \Sigma(f)$ . Then  $<_{\sigma} \in \mathscr{Q}$ . Set  $\theta = \bigcap \{\sigma(y) \times \sigma(y) : y \in Y\}$ . We claim  $<_{\theta} = <_{\sigma}$ , so that  $\theta \in \mathscr{J}_{\mathscr{Q}}$ . To see that  $<_{\sigma} \subseteq <_{\theta}$  notice that if  $A <_{\sigma} B$  then  $(B \times B) \cap (X \setminus A \times X \setminus A) \in \theta$ .

THEOREM 43. If  $(X, \mathscr{P})$  is relatively round and  $T_2$  then  $(j, (X^*, \mathscr{P}_{\Sigma}))$  is the unique relatively round  $T_2$  compactification of  $(X, \mathscr{P})$ .

*Proof.* In [7], Theorem 19, it was shown that any two relatively round  $T_2$  completions of a u.c.s. are equivalent. From this, and from the two preceding lemmas, it follows that  $(X, \mathscr{P})$  can have at most one relatively round  $T_2$  compactification.

By Theorem 38 we know  $(j, (X^*, \mathscr{P}_{\Sigma}))$  is a compactification of  $(X, \mathscr{P})$ . To see that it is relatively round pick  $\sigma \in \Sigma(j)$  and let  $\mu \in \Sigma$ . Set  $\eta(p) = \sigma(p)_{\mu}$  for  $p \in X^*$ . By Lemma 35,  $\eta(p) \in p$  for  $p \in X^*$ . It is easy to check that if p = j(x) then  $(\dot{p})_{\mu} = \dot{x}$ . Thus  $\eta \in \Sigma$ , and  $<_{\eta} \in \mathscr{P}$ . Notice that  $<_{\eta}^{\mu} \subseteq <_{\sigma}$ , so that  $<_{\sigma} \in \mathscr{P}_{\Sigma}$ .

THEOREM 44. If  $(X, \mathscr{P})$  is a relatively round saturated  $T_2$  p.c.s. then  $(X^*, \mathscr{P}_2)$  is saturated.

*Proof.* Suppose  $<' \in \mathcal{O}(X^*)$ , and  $r_{<'}(\mathscr{F})$  is Cauchy whenever  $\mathscr{F}$  is. Let  $\sigma \in \Sigma$  and define

$$A < B ext{ iff } X^* ackslash (X ackslash A)^{\sigma} <' B^{\sigma}$$
 .

Then  $\langle \in \mathscr{O}(X)$  and  $\langle \circ \subseteq \langle \cdot \rangle$ . We claim  $\langle \in \mathscr{P}$ .

Let  $\mathscr{F} \in \mathscr{C}_{\mathscr{F}}$ , and let p be its equivalence class. Then  $j(\mathscr{F}) \to p$  (Lemma 35). Define  $\mu \in \Sigma(j)$  by  $q \to j(\sigma(q)) \cap \dot{q}$ . Since  $\mathscr{P}$  is relatively round, so is  $(j, (X^*, \mathscr{P}_{\varepsilon}))$  (Theorem 43). Thus  $<_{\mu} \in \mathscr{P}_{\Sigma}$ , and  $\mathscr{G}_1 = r_{<_{\mu}}(j(\mathscr{F}))$  converges to p. Let  $\mathscr{G} = r'_{<}(\mathscr{G}_1)$ . Then  $\mathscr{G} \to p$ , and so  $\mathscr{G}_{\sigma} \in p$ .

It is not difficult to check that  $\mathscr{G}_{\sigma} \subseteq r_{<}(\mathscr{F})$  so that  $r_{<}(\mathscr{F})$  is Cauchy. Since  $\mathscr{P}$  is saturated we conclude  $< \in \mathscr{P}$ , and  $<' \in \mathscr{P}_{\Sigma}$ .

REMARK 45. There is a one-to-one correspondence between certain  $T_2$  compactifications of a given  $T_2$  convergence space  $(X, \tau)$  and certain of its compatible p.c.s.'s. If  $\mathscr{P}$  is relatively round then  $(j, (X^*, \tau(\mathscr{P}_2)))$  is a  $T_2$  compactification of  $(X, \tau_{\mathscr{P}})$ . It is also a relatively round compactification meaning that if  $\mathscr{F} \to p$  and  $\sigma \in \Sigma(j)$ then  $r_{<_{\sigma}}(\mathscr{F}) \to p$ . Thus the map  $\mathscr{P} \to (j, (X^*, \tau(\mathscr{P}_2)))$  takes relatively round p.c.s.'s on  $(X, \tau)$  to relatively round  $T_2$  compactifications of  $(X, \tau)$ .

This map is one-to-one, provided we limit ourselves to *saturated* structures. This follows from the preceding theorem and from the

fact that a homeomorphism is *p*-continuous with respect to the largest compatible saturated structures.

The above map is also a surjection. Given a relatively round  $T_2$  compactification  $(k, (Y, \tau'))$  we define  $\mathscr{P}'$  to be the (unique) compatible saturated p.c.s. Set  $\mathscr{P} = \{<:k(<) \in \mathscr{P}'\}$ . Then  $\mathscr{P}$  is relatively round, saturated and compatible with  $\tau$ . Moreover,  $(k, (Y, \mathscr{P}'))$  is a compactification of  $(X, \mathscr{P})$ . Using Theorem 43, we can establish that the given compactification is equivalent to  $(j, (X^*, \tau(\mathscr{P}_2)))$ .

If  $\mathscr{P}_1 \geq \mathscr{P}_2$  then  $\kappa_1 \geq \kappa_2$ . ( $\kappa_i$  is the compactification associated with  $\mathscr{P}_i$ .) However it is not clear the converse holds.

In the final part of this section we will show that a certain class of *p*-continuous functions on  $(X, \mathscr{P})$  extend to its  $\Sigma$ -compactification.

DEFINITION 46. For any convergence space  $(X, \tau)$  we define an order  $<^{\circ}$  on X by  $A <^{\circ} B$  iff  $\overline{A} \subseteq B^{i}$ . A compatible p.c.s.  $\mathscr{P}$  is *c-regular* iff  $<^{\circ} \in \mathscr{P}$ . A compatible u.c.s.  $\mathscr{J}$  is *c*-regular iff it is regular in the sense of Pervin and Biesterfeldt [6]. In their notation, this means if  $\Phi \in \mathscr{J}$  then  $\Phi^{\circ} \in \mathscr{J}$ .

REMARK 47. Both these definitions of regularity seem too strong. If  $\mathscr{P}$  is c-regular then  $\tau_{\mathscr{P}}$  is a regular topological structure. The same is true if  $\mathscr{J}$  is c-regular and strongly bounded. Finding a better definition of regularity has proved unexpectedly difficult.

THEOREM 48. Let  $\mathcal{J}$  be the strongly bounded u.c.s. in the proximity class of  $\mathcal{P}$ . Then  $\mathcal{P}$  is c-regular iff  $\mathcal{J}$  is c-regular.

*Proof.* Let  $\Phi$  be a standard, strongly bounded member of  $\mathcal{J}$ , and set  $\Psi = \Phi^{\circ} \cap (\Phi^{\circ})^{-1}$ . We will establish that  $<^{\circ} \in \mathscr{F}$  iff  $\Psi \in \mathcal{J}$ . Since the standard strongly bounded members of  $\mathcal{J}$  are a base for  $\mathcal{J}$  this is sufficient to establish the desired equivalence.

 $(1) \quad (<^{\circ} \cap <_{\varphi})^{2} \subseteq <_{\forall^{\bullet}}$ 

This is established by the following observations.

(i) If  $H \subseteq X \times X$  then  $H^{\circ}(A) \subseteq H(A)^{-}$  for  $A \subseteq X$ .

If  $(a, x) \in H^c$  with  $a \in A$  then  $x \in H(a)^- \subseteq H(A)^-$ .

(ii) If  $H = H^{-1}$  then  $(H^c)^{-1}(A^i) \subseteq H(A)$ . Let  $a \in A^i$  with  $(x, a) \in H^c$ . Then  $a \in H(x)^-$  and so  $A \cap H(x) \neq \emptyset$ . For  $z \in A \cap H(x)$  we have  $x \in H(z) \subseteq H(A)$ .

 $(2) <_{\mathbb{F}}^2 \subseteq <^{\circ}.$ 

We will show first that if K is strongly bounded then  $A^- \subseteq K^{\circ}(A)$ for  $A \subseteq X$ . Let  $\mathscr{C}$  be a finite cover of X such that  $H_{\mathscr{C}} \subseteq K$ . Pick  $x \in A^-$ . Then there is a set C in  $\mathscr{C}$  with  $x \in C^-$  and  $C \cap A \neq \emptyset$ . To see this, let  $\mathscr{F} \to x$  such that  $A \in \mathscr{F}$ , and let  $\mathscr{U}$  be an ultrafilter containing  $\mathcal{F}$ . Then  $\mathcal{U} \cap \mathcal{C} \neq \emptyset$ , and for C in  $\mathcal{U} \cap \mathcal{C}$  the desired conditions hold.

Now pick  $u \in C \cap A$ . Then  $C \subseteq K(u)$  and so  $x \in K(u)^-$ . This means  $(u, x) \in K^c$  and thus  $x \in K^c(A)$ .

From this it follows that if  $A <_{\mathbb{F}} B$  then  $A^- \subseteq B$ . Moreover,  $A \subseteq B^i$ ; note  $X \setminus B <_{\mathbb{F}} X \setminus A$ , so that  $(X \setminus B)^- \subseteq X \setminus A$ . Therefore if  $A <_{\mathbb{F}}^2 B$  then  $A^- \subseteq B^i$ .

THEOREM 49. Let  $(X, \mathscr{P})$  be  $T_2$ . Every p-continuous function from  $(X, \mathscr{P})$  to a c-regular compact  $T_2$  p.c.s. has a unique extension to  $(X^*, \mathscr{P}_{\Sigma})$ .

**Proof.** Let f be a p-continuous function from  $(X, \mathscr{P})$  to a c-regular compact  $T_2$  space  $(Y, \mathscr{Q})$ . It is easy to check that f is Cauchy-continuous. Since Y is compact and  $T_2$ , the image of a filter in  $\mathscr{C}_{\mathscr{P}}$  has a unique limit in Y. Moreover, the images of equivalent filters have the same limit. This defines a map  $h: X^* \to Y$ ; namely, h(p) is the limit of the f-image of any filter in p. Notice hj = f. We need to establish that h is p-continuous. This is where c-regularity is used.

Let  $\langle \in \mathscr{P}_{\Sigma}$  and select  $\sigma \in \Sigma$ . Choose  $\langle \circ \subseteq \mathscr{P}_{\Sigma}$  so  $\langle \circ \circ \subseteq Z = f(\langle \circ \circ \circ \rangle) \cap \langle \circ \circ \circ \rangle$ . We claim  $\langle \circ \circ \subseteq Z \subseteq h(\langle \circ \circ \rangle)$ . This is based on the following observations.

(i) If  $A \subseteq B^i$  then  $h^{-1}(A) \subseteq f^{-1}(B)^{\sigma}$ .

(ii) If  $C^{-} \subseteq D$  then  $f^{-1}(C)^{\sigma} \subseteq h^{-1}(D)$ .

(iii) If  $B f(<_1) C$  then  $f^{-1}(B)^{\sigma} < f^{-1}(C)^{\sigma}$ .

Note h is unique, since every continuous extension of f must agree with h on the dense subset j(X).

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