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**A CHARACTERIZATION OF THE UNITARY AND  
SYMPLECTIC GROUPS OVER FINITE FIELDS OF  
CHARACTERISTIC AT LEAST 5**

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# A CHARACTERIZATION OF THE UNITARY AND SYMPLECTIC GROUPS OVER FINITE FIELDS OF CHARACTERISTIC AT LEAST 5

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The following characterization is obtained:

**THEOREM.** Let  $G$  be a finite group generated by a conjugacy class  $D$  of subgroups of prime order  $p \geq 5$ , such that for any choice of distinct  $A$  and  $B$  in  $D$ , the subgroup generated by  $A$  and  $B$  is isomorphic to  $Z_p \times Z_p$ ,  $L_2(p^m)$  or  $SL_2(p^m)$ , where  $m$  depends on  $A$  and  $B$ . Assume  $G$  has no nontrivial solvable normal subgroup. Then  $G$  is isomorphic to  $Sp_n(q)$  or  $U_n(q)$  for some power  $q$  of  $p$ .

A much larger class of groups satisfies the analogous property for  $p = 2$  or  $3$ , including many of the sporadic simple groups. The classification for  $p = 2$  appears in [3]. The classification for  $p = 3$  is incomplete, but a partial solution appears in [4].

For the most part the proof here mimics that in the papers mentioned above. The exception comes in handling certain degenerate cases. This is accomplished in § 4 by first showing a minimal counter example possesses a doubly transitive permutation representation, and then utilizing numerous results on doubly transitive groups.

**1. Notation.** In general  $G$  is a finite group and  $D$  a  $G$  invariant collection of subgroups generating  $G$ .  $G$  acts on  $D$  by conjugation with this representation denoted by  $G^D$ . If  $\alpha \subseteq D$  is a set of imprimitivity for this action we define

$$D_\alpha = \{\beta \in \alpha^G: [\alpha, \beta] = 1, \alpha \neq \beta\}$$

$$\alpha^\perp = \{\alpha\} \cup D_\alpha$$

$$A_\alpha = \alpha^G - \alpha^\perp$$

$$V_\alpha = \{\beta \in \alpha^G: \alpha^\perp = \beta^\perp\}$$

$$W_\alpha = \{\beta \in \alpha^G: D_\alpha = D_\beta\}$$

$$D_\alpha^* = \{B: B \in \beta \in D_\alpha\}.$$

For  $\Omega \subseteq \alpha^G$ ,  $\mathcal{D}(\Omega)$  is the graph with point set  $\Omega$  and edges  $(\alpha^g, \alpha^h)$  where  $\alpha^g \in D_{\alpha^h}$ .  $\mathcal{B}(\Omega)$  is the geometry with point set  $\Omega$  and block set  $\{\beta^\perp \cap \Omega: \beta \in \Omega\}$ . For  $\alpha, \beta \in \Omega$  the line through  $\alpha$  and  $\beta$  in  $\mathcal{B}(\Omega)$  is

$$\alpha * \beta = \bigcap_{\gamma \in \alpha^\perp \cap \beta^\perp \cap \Omega} (\gamma^\perp \cap \Omega)$$

$\alpha * \beta$  is *singular* if  $\beta \in D_\alpha$  and *hyperbolic* otherwise.

A *triangle* is a triple  $(A, B, C)$  with  $A \in D$ ,  $C \in D_A$ , and  $B \in A_A \cap A_C$ .

If  $G$  is a permutation group on a set  $\Omega$ ,  $\Delta \subseteq \Omega$  and  $X \subseteq G$ , then  $X_\Delta$ ,  $X(\Delta)$  is the pointwise, global stabilizer of  $\Delta$  in  $X$  respectively.  $X^\Delta = X(\Delta)/X_\Delta$  with induced permutation representation.  $F(X)$  is the set of fixed points of  $X$ .

$O_\infty(G)$  is the largest normal solvable subgroup of  $G$ .

All groups are finite.

**2. Locally  $D$ -simple groups.** Let  $G$  be a finite group and  $D$  a collection of subgroups of  $G$  such that  $D^G = D$ . Represent  $G$  as a permutation group on  $G$  by conjugation.  $G$  is said to be  *$D$ -simple* if  $G$  is generated by any  $G$  invariant subset of  $D$ .  $G$  is *locally  $D$ -simple* if  $D$  generates  $G$  and for any  $A$  and  $B$  in  $D$  either  $[A, B] = 1$  or  $\langle A, B \rangle$  is generated by  $A^{\langle A, B \rangle}$ .  $\alpha$  is a *set of imprimitivity* for  $G^\rho$  if  $\alpha \cap \alpha^g = \emptyset$  for  $g \in G - N_G(\alpha)$ , and  $\emptyset \neq \alpha = \langle \alpha \rangle \cap D \neq D$ .

**LEMMA 2.1.** *Let  $G$  be locally  $D$ -simple and  $\Delta$  a  $G$  invariant subset of  $D$ . Then*

- (1) *If  $H$  is a  $D$ -subgroup of  $G$  then  $H$  is locally  $(H \cap D)$ -simple.*
- (2) *If  $\alpha$  is a homomorphism of  $G$  then  $G\alpha$  is locally  $D\alpha$ -simple.*
- (3) *Let  $\Gamma = \langle \Delta \rangle \cap D$ . Then  $[\Gamma, D - \Gamma] = 1$ .*
- (4) *If  $G^\Delta$  is transitive then  $\langle \Delta \rangle^\Delta$  is transitive.*
- (5) *If  $D \cap Z(G)$  is empty and  $G = \langle \Delta \rangle$  for some orbit  $\Delta$  of  $G^\rho$ , then  $G$  is  $D$ -simple.*

*Proof.* (1) and (2) are straightforward. Let  $H = \langle \Delta \rangle$ . Then  $H \leq G$ . Let  $A \in \Gamma$ ,  $B \in D - \Gamma$  and assume  $[A, B] \neq 1$ . Let  $X = \langle A, B \rangle$ . Then  $X = \langle A^X \rangle \leq H$  so  $B \in \Gamma$ , contradicting the choice of  $B$ . Therefore, (3) holds.

Assume  $G^\Delta$  is transitive. Let  $K = \langle D - \Gamma \rangle$ . Then by (3)  $G$  is the central product of  $H$  and  $K$  so for  $A \in \Delta$ ,  $\Delta = A^G = A^{K^H} = A^H$ . Thus (4) holds.

Finally assume  $G^\Delta$  is transitive,  $G = \langle \Delta \rangle$  and  $Z(G) \cap D$  is empty. Suppose  $\Omega$  is an orbit of  $G^\rho$  with  $K = \langle \Omega \rangle \neq G$ . Then as  $G = \langle \Delta \rangle$ ,  $\Delta \cap K$  is empty, so by (3),  $[\Delta, \Omega] = 1$ . Thus  $\Omega$  is centralized by  $G$ , a contradiction. Thus (5) holds.

**LEMMA 2.2.** *Let  $G$  be locally  $D$ -simple and  $\alpha$  a set of imprimitivity for  $G^\rho$ . Then*

- (1) *If  $A \in \alpha$ ,  $B \in \alpha^g \neq \alpha$  and  $[A, B] = 1$ , then  $[\alpha, \alpha^g] = 1$ .*
- (2)  *$\langle \alpha^g \rangle$  is locally  $\langle \alpha \rangle^G$ -simple.*

*Proof.* (1)  $A = A^B \in \alpha^B$ , so  $\alpha^B = \alpha$ . Thus 2.1.3 applied to  $\langle \alpha, B \rangle$  implies  $[\alpha, B] = 1$ . But now the same argument shows  $[\alpha^g, C] = 1$  for each  $C$  in  $\alpha$ . (2) Let  $H = \langle \alpha \rangle \neq K = \langle \alpha^g \rangle$ , and  $X = \langle H, K \rangle$ . Assume

$[H, K] \neq 1$  and let  $A \in \alpha, B \in \alpha^g$ . Then by (1),  $[A, B] \neq 1$  so  $B \in \langle A^{\langle \Delta, B \rangle} \rangle \leq \langle H^x \rangle$ . Thus  $X = \langle H^x \rangle$ .

**LEMMA 2.3.** *Let  $G$  be locally  $D$ -simple with  $G^D$  transitive, and  $A$  abelian. Then*

- (1) *Either  $V_A$  or  $W_A$  equals  $\{A\}$ .*
- (2)  *$V_A$  and  $W_A$  are sets of imprimitivity for  $G^D$ .*
- (3)  *$V_{V_A} = \{V_A\}$  and  $W_{W_A} = \{W_A\}$ .*

*Proof.* Straightforward.

**LEMMA 2.4.** *Let  $G$  be locally  $D$ -simple with  $G^D$  transitive and  $\mathcal{D}(D)$  connected. Let  $A \in D$ . Then  $A$  is contained in a unique maximal set of imprimitivity  $\alpha$  of  $G$  and  $\langle D_\alpha^* \rangle$  is  $D_\alpha^*$ -simple.*

*Proof.* Let  $H = \langle D_A \rangle$ ,  $\pi$  an orbit of  $H$  of maximal length on  $D_A$ ,  $\Delta = (\langle \pi \rangle - Z(\langle \pi \rangle)) \cap D$ ,  $\Gamma = N_D(\Delta)$  and  $\alpha = \langle \Gamma - \Delta \rangle \cap D$ . As  $\mathcal{D}(D)$  is connected,  $|\pi| > 1$ , so  $\Delta$  is nonempty. We will show  $\alpha$  has the properties claimed in the conclusion of the lemma.

By 2.1.3,  $[\alpha, \Delta] = 1$ . By 2.1.4  $\langle \pi \rangle$  is transitive on  $\pi$ . Thus transitivity of  $G^D$  and maximality of  $|\pi|$  imply  $\pi$  is an orbit of  $\langle D_B \rangle$  on  $D_B$ , for  $B \in \alpha$ . Therefore  $B^\perp \subseteq \Gamma$ .

Suppose  $B \in \alpha \cap \alpha^g \neq \alpha$ . Then  $\Delta \subseteq B^\perp \subseteq \Gamma^g = \alpha^g \cup \Delta^g$ . Now  $\langle \pi \rangle$  is transitive on  $\pi$  so either  $\pi \subseteq \Delta^g$  or  $\pi \subseteq \alpha^g$ . If  $\pi \subseteq \Delta^g$  then  $\Delta \subseteq \langle \pi \rangle \subseteq \langle \Delta^g \rangle$ , so  $\Delta = \Delta^g$  and therefore  $\alpha = \alpha^g$ , a contradiction. Thus  $\pi \subseteq \alpha^g$ , so  $\Delta \subseteq \langle \pi \rangle \subseteq \langle \alpha^g \rangle$  and therefore  $\Delta \subseteq \alpha^g$ .

So  $\Gamma \subseteq \alpha \cup \alpha^g$ . Further  $\Delta^g \subseteq \alpha$ , so  $\alpha^g \subseteq C^\perp \subseteq \Gamma$  for  $C \in \Delta^g$ . Thus  $\Gamma = \alpha \cup \alpha^g$ . From the last remark of the second paragraph it follows that  $\Gamma$  is a component of  $\mathcal{D}(D)$ , contradicting the hypothesis that  $\mathcal{D}(D)$  is connected.

It follows that  $\alpha$  is a set of imprimitivity for  $G^D$ . By 2.2.1,  $D_\alpha^* = D_A - \alpha = \Delta - \alpha$ . By construction,  $Z(\langle \Delta \rangle) \cap \Delta$  is empty, so  $D_\alpha^* = \Delta$  and by 2.1.5,  $\langle \Delta \rangle$  is  $\Delta$ -simple.

Finally let  $\beta$  be a set of imprimitivity for  $G$  containing  $A$ .  $\Delta$  centralizes  $A$ , so  $\Delta$  normalizes  $\beta$ . If  $B \in \beta \cap \Delta$  then as  $K = \langle \Delta \rangle$  is  $\Delta$ -simple,  $\Delta \subseteq \langle B^K \rangle \leq \langle \beta^K \rangle = \langle \beta \rangle$ . Thus  $\Delta \subseteq \beta$ . As  $N_G(\beta)$  is transitive on  $\beta$ ,  $\alpha \subseteq D_{\alpha^g} \subseteq \beta$  for  $\alpha^g \in D_\alpha$ . Thus  $A^\perp \subseteq \beta$ , and transitivity of  $N_G(\beta)^\beta$  implies  $\beta$  is a component of  $\mathcal{D}(D)$ , contradicting the hypothesis that  $\mathcal{D}(D)$  is connected.

So  $\beta \cap \Delta$  is empty and by 2.1.3,  $[\beta, \Delta] = 1$ . Thus  $\beta \subseteq N_D(\Delta) - \Delta = \alpha$ . Thus  $\alpha$  is maximal as claimed.

Lemmas 2.6 and 2.7 are from §2 of [4]. 2.6 is a slight generalization of its counterpart, but the same proof goes through.

LEMMA 2.6. *Let  $G$  be locally  $\Omega$ -simple, let  $\Lambda \subseteq \Omega$ , and let  $H$  be a  $\Omega$ -subgroup of  $G$ . Assume*

(i)  *$H$  takes the edge set of  $\mathcal{D}(\Lambda)$  onto the edge set of  $\mathcal{D}(\Omega)$  under conjugation.*

(ii) *There exists a partition  $\Lambda = \Sigma \Lambda_i$  of  $\Lambda$  such that if  $\alpha^h \in \Lambda$  for some  $\alpha \in \Lambda_i$ ,  $h \in H$ , then there exists  $r \in N_H(\Lambda_i)$  with  $\alpha^h = \alpha^r$ .*

*Let  $\bar{G}$  be a second group satisfying the hypothesis of  $G$  for which there exists a permutation isomorphism  $T$  of  $H^\alpha \bar{H}^\beta$  and an isomorphism  $S$  of  $\mathcal{D}(\Lambda)$  and  $\mathcal{D}(\bar{\Lambda})$  such that*

(iii)  *$T$  restricted to  $N_H(\Lambda_i)$  commutes with  $S$  and  $N_H(\alpha)T = N_{\bar{H}}(\alpha S)$  for each  $\alpha \in \Lambda$ .*

*Then  $S$  extends to an isomorphism of  $\mathcal{D}(D)$  and  $\mathcal{D}(\bar{D})$ .*

A triangle in  $D$  is a triple  $(A, B, C)$  with  $A \in D$ ,  $C \in D_A$ , and  $B \in A_A \cap A_C$ .  $D$  is locally conjugate in  $G$  if for  $A, B \in D$ ,  $A$  is conjugate to  $B$  in  $\langle A, B \rangle$ , or  $[A, B] = \perp$ .

LEMMA 2.7. *Let  $\Omega$  be locally conjugate in  $G$  with  $G^\Omega$  primitive and  $\mathcal{D}(\Omega)$  connected. Assume*

(\*) *If  $(\alpha, \beta, \gamma)$  is a triangle and  $X = \langle \alpha, \beta, \gamma \rangle$ , then  $\beta^\perp \cap X \subseteq \beta^{\langle \alpha^\perp \cap X \rangle}$  and  $\beta^r \subseteq (\beta^\perp \cap X)^\alpha$ .*

*Then  $\langle \alpha^\perp \rangle$  is transitive on  $A_\alpha$  and  $G^\Omega$  is rank 3.*

3.  $p$ -transvections. Let  $G$  be a finite group,  $p$  a prime. A set of  $p$ -transvections of  $G$  is a  $G$  invariant collection  $D$  of subgroups generating  $G$  such that for any  $A, B \in D$ ,  $|A| = p$  and  $\langle A, B \rangle$  is the homomorphic image of a subgroup of  $SL_2(p^n)$ , with  $n$  and the image depending on  $A$  and  $B$ .

If  $p = 2$  then  $D$  is a set of odd transpositions. Groups generated by odd transpositions have been classified [3]; they include the sporadic simple groups discovered by Fischer plus many infinite classes of simple groups. Conway's sporadic simple group  $\cdot 1$  is generated by 3-transvections, as is the Hall-Janko group and Suzuki's sporadic simple group.

LEMMA 3.1. *Let  $D$  be a set of  $p$ -transvections of  $G$ ,  $p > 2$ , and let  $M = O_\infty(G)$ . Then*

(1)  *$G$  is locally  $D$ -simple*

(2) *If  $G$  is a  $p$ -group then  $G$  is abelian*

(3) *If  $G = M$  is not a  $p$ -group then  $p = 3$  and  $G$  is a  $\{2, 3\}$  group*

(4) *If  $p > 3$  then  $M/O_p(G) = Z(G/O_p(G))$ .*

(5) *Let  $M = 1$ . Then  $G$  is a simple unless  $p = 3$  and  $G \cong PGU_{3n}(2)$ .*

*Proof.* Let  $A, B \in D$ ,  $[A, B] \neq 1$ . Set  $X = \langle A, B \rangle$ . Then  $X$  is isomorphic to  $SL_2(p^n)$  or  $L_2(p^n)$  unless  $p = 3$  and  $X \cong SL_2(5)$  or  $L_2(5)$ .

This implies (1) and (2). If  $G = M$  then as  $L_2(q)$  is simple for  $q > 3$ ,  $X$  must be isomorphic to  $SL_2(3)$  or  $A_4$ . Therefore, 4.1 of [4] yields (3).

Assume  $p > 3$ . To prove (4) we may assume  $O_p(G) = 1$ . Let  $Q$  be a minimal normal subgroup of  $G$  contained in  $M$ . Then  $Q$  is a  $q$ -subgroup for some prime  $q \neq p$ . If  $A$  centralizes  $Q$  then  $Q$  is in the center of  $G = \langle D \rangle$ , so we can assume  $[A, Q] \neq 1$ . But then  $\langle A^Q \rangle \leq AQ$  is a solvable  $D$ -subgroup whose order is divisible by  $q$ , contradicting (3).

Finally assume  $M = 1$  and let  $H$  be a minimal normal subgroup of  $G$ . If  $A \not\leq H$  and  $x \in H$  then  $\langle A, A^x \rangle$  has a normal subgroup of index  $p$ , so either  $A^x \in A^\perp$  or  $\langle A, A^x \rangle \cong SL_2(3)$  or  $A_4$ . If  $A^H \subseteq A^\perp$  then  $[H, A]$  is a normal abelian subgroup of  $H$ , so  $[H, A] = 1$ . Thus  $H$  is centralized by  $G = \langle D \rangle$ , a contradiction. Therefore, if  $A \not\leq H$ , then [4] implies  $AH \cong PGU_{3m}(2)$ .  $PGU_m(2)$  is normal in  $\text{Aut } U_m(2)$  so  $G = C_G(H)HA$ . By induction on  $|G|$ ,  $G/H \cong C_G(H)A \cong Z_p$  or  $PGU_{3m}(2)$ . But now [4] implies the latter case does not occur.

So we can take  $A \leq H$ . So  $G = \langle D \rangle = H$  is simple.

The proof of the following lemma is due to David Wales.

**LEMMA 3.2.** *Let  $G \cong L_2(q)$  or  $SL_2(q)$ ,  $q = p^m$  odd, with Sylow  $p$ -subgroup  $P$ . Assume  $G$  acts irreducibly on a  $n$ -dimensional vector space over  $GF(p)$ , such that  $n = 2 \dim C_V(P)$  and  $P$  acts semiregularly on  $V - C_V(P)$ . Then  $G \cong SL_2(q)$ ,  $n = 2m$ , and  $G$  acts in its natural representation on  $V$ .*

*Proof.* Let  $B$  be a basis of  $V$ , and  $GF(r)$  the splitting field for the representation of  $G$  on  $V$ . Extend the action of  $G$  to a vector space  $W$  over  $GF(r)$  with basis  $B$ .  $W$  is the sum of  $k$  absolutely irreducible  $G$ -invariant subspaces  $W_i$  of  $W$ . By inspection of the irreducible representations of  $SL_2(q)$  (e.g. §30, [7]),  $\dim C_{W_i}(P) = 1$  for all  $i$ . Thus as  $n = 2 \dim C_V(P)$  and  $P$  acts semiregularly on  $V - C_V(P)$ ,  $\dim C_{W_i}(P) = 2$ . Again by inspection of the representations of  $SL_2(q)$ ,  $q = r$ ,  $G \cong SL_2(q)$ , and  $G$  acts in its natural fashion on  $W_i$ . Further  $G^{W_i}$ ,  $1 \leq i \leq k$ , are the  $m$  equivalent representations obtained from  $G^{W_1}$  by  $\text{Aut } GF(q)$ . Thus  $n = 2m$  and  $G$  acts in its natural fashion on  $V$ .

**LEMMA 3.3.** *Let  $D$  be a class of  $p$ -transvections of  $G$ ,  $p$  odd, with  $G/O_\infty(G) \cong L_2(q)$ . Let  $M = O_p(G)$ ,  $A \in D$ ,  $m = |A^M|$  and  $Z = Z(G)$ . Assume  $O_\infty(G)/M = Z(G/M)$ . Then for some  $B \in D$ ,  $G = MX$  where  $X = \langle A, B \rangle \cong SL_2(q)$ ,  $Z = [A^\perp, M] \cap [B^\perp, M]$ ,  $M = [A, M][B, M]$ ,  $|M/Z| = m^2$  where  $m = |A^M|$ ,  $Z = C_M(x)$  for any  $p'$ -element of  $X$ , and  $[M, \beta]$  is transitive on  $A^M$ .*

*Proof.* As  $G/O_\infty(G) \cong L_2(q)$  there exists  $B \in D$  with  $X = \langle A, B \rangle \cong L_2(q)$  or  $SL_2(q)$ . Let  $\alpha = A^\perp \cap X$ , and  $\Omega = \alpha^X$ . Let  $K = \prod_\alpha [M, \beta]$ .

By 3.1,  $[M, \alpha]$  is elementary abelian,  $G = \langle [M, \alpha], X \rangle$  normalizes  $K$  and  $[A, M/K] = 1$ . So  $M = K$ . As  $X^\Omega$  is doubly transitive,  $Z_0 = [M, \alpha] \cap [M, \beta] = [M, \gamma] \cap [M, \delta]$  for all pairs  $(\alpha, \beta), (\lambda, \delta)$  from  $\Omega$ . So as  $[M, \alpha]$  is abelian,  $Z_0 \leq Z$ . Thus we can assume  $Z_0 = 1$ . Therefore,  $M$  is elementary abelian.  $A$  is in  $m$  groups  $\langle A, C \rangle, C \in B^\nu$ , so there are  $m^2$  total  $D$ -subgroups isomorphic to  $L_2(q)$  or  $SL_2(q)$ . Set  $\bar{G} = G/Z$ .  $Z = C_M(X)$ , so  $m^2 \geq |\bar{X}^{\bar{G}}| = |\bar{M}| \geq |[\bar{M}, \alpha][\bar{M}, \beta]|$ . On the other hand  $m = |A^{\bar{M}}| \leq |[\bar{M}, \alpha]|$ , so  $m = |[\bar{M}, \alpha]|$ ,  $\bar{M} = [\bar{M}, \alpha][\bar{M}, \beta]$ , and  $A^{\bar{M}} = A^{[\bar{M}, \beta]}$ . Lemma 3.2 implies  $\bar{X} \cong SL_2(q)$  and  $C_{\bar{M}}(x) = 1$  for all  $p'$ -elements  $x \in X$ . So it suffices to show  $Z = 1$ . Let  $\langle u \rangle = Z(X)$ . Then  $M = Z[M, u]$ , so  $D \subseteq X[M, u] \trianglelefteq G$ . Thus  $Z = 1$ .

**LEMMA 3.4.** *Let  $D$  be a class of  $p$ -transvections of  $G$ ,  $p$  odd, with  $M = O_p(G)$ ,  $X$  a  $D$ -subgroup with  $X/Z(X) \cong U_3(q)$ , and  $G = MX$ . Let  $Z = Z(G)$ ,  $A \in M$  and  $m = |AM|$ . Then  $Z \leq [A^\perp, M]$  and  $|M/Z| = m^3$ .*

*Proof.* Let  $X = \langle A_i, 1 \leq i \leq 3 \rangle$ ,  $A = A_1$ , let  $\alpha_i = A_i^\perp \cap X$  and  $\Omega = \alpha^X$ . Set  $Z_0 = [\alpha, M] \cap [\alpha_2, M]$ . As  $X^2$  is doubly transitive  $Z_0 = [\beta, M] \cap [\gamma, M]$  for  $\beta, \gamma \in \Omega$ .  $[\alpha, M]$  is abelian so  $G = \langle X, A^\nu \rangle$  centralizes  $Z_0$ . Thus we can assume  $Z_0 = 1$ .

Set  $N = \prod_{i=1}^3 [M, \alpha_i]$ . By 3.3,  $[M, \alpha_i]^{\alpha_j} \leq [M\alpha_i][M, \alpha_j]$ , so  $N$  is normalized by  $G = \langle \alpha_1, \alpha_2, \alpha_3, M \rangle$ .  $A$  centralizes  $M/N$ , so  $M = N$ . As  $Z_0 = 1$ ,  $M$  is abelian. Let  $u$  be the involution in  $\langle \alpha_1, \alpha_2 \rangle$  and  $v$  the involution in  $\langle \alpha_2, \alpha_3 \rangle$ . We may assume  $[u, v] = 1$ .  $M = C_M(u) \times [M, u]$  and by 3.3,  $C_M(u) = C_M(\alpha_1) \cap C_M(\alpha_2)$  and  $[M, u] = [M, \alpha_1][M, \alpha_2]$ . Therefore,  $C_M(u) \cap C_M(v) = Z$  and as  $X$  has one class of involutions,  $|C_M(u)/Z|^3 = |M/Z| = |C_M(u)/Z|m^2$ . So  $|M/Z| = m^3$ , and as  $|M| \leq m^3$ ,  $Z = 1$ . That is  $Z = Z_0 \leq [A, M]$ .

**4. Groups with  $\mathcal{D}(D)$  disconnected.** This section consists of a proof of the following theorem:

**THEOREM 4.1.** *Let  $D$  be a conjugacy class of  $p$ -transvections,  $p \geq 5$ , of the group  $G$ . Assume  $\mathcal{D}(D)$  is disconnected and  $O_\infty(G) = 1$ . Then  $G \cong L_2(q)$  or  $U_3(q)$  for some power  $q$  of  $p$ .*

Throughout § 4,  $G$  is a counterexample of minimal order to Theorem 4.1. For  $A \in D$  let  $\bar{A}$  be the component of  $\mathcal{D}(D)$  containing  $A$ . Let  $\bar{D}$  be the set of components. Write  $A \sim B$  if  $A, B \in D$  and  $\langle A, B \rangle$  is isomorphic to  $L_2(p)$  or  $SL_2(p)$ . For  $\bar{A} \neq \bar{B}$  define

$$\Gamma_{\bar{A}\bar{B}} = \{C \in \bar{A}: A \sim E \sim C \text{ for some } E \in \bar{B}\}.$$

Now for  $\bar{A} \neq \bar{B}$ ,  $A \sim B$  if and only if  $\bar{A} \cup \bar{B}^A = \bar{B} \cup \bar{A}^B$ . Thus if  $A \sim$

$B$  then  $X = \langle \Gamma_{A\bar{B}}, \Gamma_{B\bar{A}} \rangle$  acts on  $\Gamma = \bar{A} \cup \bar{B}'$  of order  $p + 1$ , so  $Y = \langle \Gamma_{A\bar{B}} \rangle = AY_\Gamma$  and  $X = \langle Y, B \rangle = \langle A, B \rangle X_\Gamma$ . By 3.1,  $X_\Gamma = 0_\infty(X)$  and  $Y$  is a  $p$ -group. Further for fixed  $\bar{B} \neq \bar{A}$ , the sets  $\Gamma_{C\bar{B}}, C \in \bar{A}$ , partition  $\bar{A}$ .

Let  $m = |\Gamma_{A\bar{B}}|$ , and let  $n$  be the number of classes  $\Gamma_{C\bar{B}}$  in  $\bar{A}$ . If  $m > 1$  then applying 3.3 to  $X$  we have that  $\langle A, B \rangle$  contains a central involution  $u = u(A, B)$ , and  $u$  centralizes only  $A$  in  $\Gamma_{A\bar{B}}$ .

Let  $C \in \bar{A}$ .  $\langle C, B \rangle$  contains  $E \in \Gamma_{A\bar{B}}$  and  $v = u(E, B)$  is in the center of  $\langle C, B \rangle$ . Indeed  $v = u(C, F)$  where  $C \sim F \in \bar{B} \cap \langle C, B \rangle$ . As  $v$  centralizes a unique member of  $\Gamma_{A\bar{B}}$  and  $\Gamma_{C\bar{B}}$ , each member  $C_1$  of  $\Gamma_{C\bar{B}}$  determines a distinct member  $E_1$  of  $\Gamma_{A\bar{B}} \cap \langle C_1, B \rangle$ . Thus  $m = |\Gamma_{C\bar{B}}|$  for all  $C \in \bar{A}$ . Further  $u = u(C_1, F_1)$  for some  $C_1 \in \Gamma_{C\bar{B}}, F_1 \in \Gamma_{F\bar{A}}$ . So  $C_D(u)$  intersects each  $\Gamma_{C\bar{B}}$  in  $\bar{A}$  in a unique member. Set  $K = \langle C_D(u) \rangle$  and  $H = \langle K, \bar{A} \rangle$ . Minimality of  $G$  implies  $K \cong SL_2(q)$  for some power  $q$  of  $p$ . So the set  $\mathcal{A}$  of components of  $\mathcal{D}(D)$  containing an element of  $C_D(u)$  has order  $q + 1$  and  $Q = \langle C_{\bar{A}}(u) \rangle$  acts regularly on  $\mathcal{A} - \{\bar{A}\}$ .

Now there are  $m^2$  involutions  $u(A_i, B_i), A_i \in \Gamma_{A\bar{B}}, B_i \in \Gamma_{B\bar{A}}$ , and  $m^2$  pairs  $(A_i, C_i), C_i \in \Gamma_{C\bar{B}}$ , with  $u(A_i, B_i)$  centralizing at most one pair. It follows there exists  $u$  with  $A, C \in Q$ . So as  $Q$  is abelian,  $\langle \bar{A} \rangle$  is abelian. Notice that if  $m = 1$  then  $A = \Gamma_{A\bar{B}} \cap \langle C, B \rangle$ , so again  $[A, C] = 1$ , and  $\langle \bar{A} \rangle$  is abelian. Therefore:

LEMMA 4.2.  $\langle \bar{A} \rangle$  is abelian.

Let  $\langle e \rangle = C \in \bar{A}$ . We have shown there is an  $\langle e \rangle = E \in C_{\bar{A}}(u) \cap \Gamma_{C\bar{B}}$ , and we can choose  $e$  such that  $\bar{B}^e = \bar{B}^e$ . Thus as  $\langle \bar{A} \rangle$  is abelian,  $\bar{B}^{e^2} = \bar{B}^{e^2} = \bar{B}^{e^2} = \bar{B}^{e^2} = \bar{B}^2$ , so  $H$  acts on  $\mathcal{A} = \bar{A} \cup \bar{B}^2$ , and  $H = KH_J = KO_p(H)$  by 3.1.

Summarizing:

LEMMA 4.3. (1) If  $m > 1$  then  $\langle A, B \rangle$  contains a central involution  $u$ . (2) If  $\langle A, B \rangle$  contains a central involution  $u$  then  $\langle \bar{A}, \bar{B} \rangle = H = \langle C_D(u) \rangle 0_p(H)$  with  $\langle C_D(u) \rangle \cong SL_2(q)$  for some power  $q$  of  $p$ .

Let  $J = N_G(\bar{A}), I = C_G(\bar{A})$ . For  $X \subseteq G$  let  $F(X)$  be the set of points in  $\bar{D}$  fixed by  $X$ .

LEMMA 4.4. Assume  $u$  is an involution in the center of  $\langle A, B \rangle$ . Then

(i) If  $v$  is an involution in the center of  $\langle A, C \rangle$  with  $[u, v] = 1$ , then  $u = v$ .

(ii)  $J = O(J)C_J(u)$ .

*Proof.* Set  $H = \langle C_D(u) \rangle$ . Let  $v$  be as in (i). Then  $v$  acts on  $H$  and fixes  $\bar{A}$ . There are  $q + 1$  members of  $\bar{D}$  intersecting  $H$ , and  $q + 1$  is even, by 4.3. Thus  $v$  fixes a second member  $\bar{E} \neq \bar{A}$  of  $\bar{D}$



with  $\bar{E} \cap H \neq \emptyset$ . As  $H \cong SL_2(q)$ ,  $v$  centralizes an element  $E$  of  $\bar{E}$ . Thus  $\langle u \rangle = Z(\langle A, E \rangle) = \langle v \rangle$ , yielding (i). (i) and Glauberman's  $Z^*$ -theorem imply (ii).

LEMMA 4.5. Assume  $m(\bar{A}, \bar{B}) = 1$  with  $A \sim B$ . Let  $x \in \langle A, B \rangle$  fix  $\bar{A}$  and  $\bar{B}$ . Then

- (1)  $B = \bar{B}(A)$  is the unique element of  $\bar{B}$  with  $A \sim B$ .
- (2)  $x$  acts as scalar multiplication in  $GF(p)$  on  $Q = \langle \bar{A} \rangle$ .
- (3) Assume  $y \in J$  has scalar action on  $Q$  and fixes  $\bar{B}$ . Then  $y$  has the same action on  $\langle \bar{B} \rangle$  and if  $|xI/I| > 2$  then  $F(x) = \{\bar{A}, \bar{B}\}$ .
- (4) If  $\langle A, C \rangle \cong L_2(p^n)$  or  $SL_2(p^n)$ ,  $n$  odd, for all  $C \in \bar{B}$ , then  $\langle \bar{A}, \bar{B} \rangle \cong L_2(q)$  or  $SL_2(q)$ .
- (5) If  $p = 5$  and  $\langle A, C \rangle \cong L_2(p^n)$  or  $SL_2(p^n)$ ,  $n$  even, for some  $C \in \bar{B}$  then there exists  $y$  with  $|Iy/I| = 4$  inducing scalar action on  $Q$  and  $\langle \bar{B} \rangle$ .
- (6)  $m(\bar{A}, \bar{C}) = 1$  for all  $\bar{C} \neq \bar{A}$ .

*Proof.* (1) is just a restatement of  $m(\bar{A}, \bar{B}) = 1$ . Let  $C \in \bar{A}$ .  $\langle C, B \rangle$  contains an element  $A_1$  of  $D$  centralizing  $C$  with  $A_1 \sim B$ . Thus by (1),  $A_1 = \bar{A}(B) = A$ . So  $x \in \langle A, B \rangle \leq \langle C, B \rangle$  and thus has the same action on  $C$  as on  $A$ . This yields (2). Notice that (2) implies  $J = IC_J(x)$ .

Assume  $y \in J$  is as in the hypothesis of (3). Then for  $C \in \bar{A}$ ,  $y$  fixes  $C$  and therefore  $\bar{B}(C)$ . So  $y$  acts on  $\langle C, B \rangle$  with scalar action on  $\bar{B} \cap \langle C, B \rangle$ . So  $y$  acts on  $\bar{B}$  as on  $\bar{A}$ .

Assume  $y$  has order  $r^n$  for some prime  $r$ ,  $r$  dividing  $p - 1$ , and  $\bar{C} \in F(y) - \{\bar{A}, \bar{B}\}$ . Suppose first that  $m(\bar{A}, \bar{C}) > 1$ . Then by 4.3,  $K = \langle \bar{A}, \bar{C} \rangle = HM$  where  $H = \langle C_D(u) \rangle$ ,  $u = u(A, C)$ , and  $M = O_p(K)$ .  $y$  fixes  $A$  so  $y$  fixes  $\Gamma_{C\bar{A}}$  for  $A \sim C$ . As  $|\Gamma_{C\bar{A}}|$  is a power of  $p$  and  $p \equiv 1 \pmod{r}$ ,  $x$  fixes a point  $C$  of  $\Gamma_{C\bar{A}}$ . As this holds for each  $A \in \bar{A}$ , we can assume  $x$  normalizes  $H$ . Thus with 4.3,  $F(yu) = \{\bar{A}, \bar{C}\}$  and  $[y, u] = 1$ . Now  $J = IC_J(y)$ , so  $[M, y] \leq M \cap I = [A, M]$  by 3.3. So if  $y$  acts by scalar multiplication on  $\bar{C}$ , then  $[M, y] \leq [A, M] \cap [C, M] = Z(K)$  by 3.3, so that  $y$  centralizes  $M/Z(K)$ . But  $y$  does not even centralize  $[A, M]/Z(K)$ . So  $y$  does not have scalar action on  $\bar{C}$ .

Set  $\bar{E} = \bar{B}^u$ .  $y$  has scalar action on  $\bar{E}$  and  $\bar{B}$ , so as above  $m(\bar{E}, \bar{B}) = 1$ .  $\langle E, B \rangle \cong SL_2(q)$  or  $L_2(q)$  so there exists an involution  $t$  with cycle  $(\bar{E}, \bar{B})$  inverting  $y \pmod{C(\bar{B})}$ . Thus  $ut \in N(\bar{B})$  inverts  $y \pmod{C(\bar{B})}$ , while  $N(\bar{B}) = C(\bar{B})C(y)$ . So  $|yC(\bar{B})/C(\bar{B})| = |yI/y| \leq 2$ .

Assume  $|yI/y| > 2$ . Then as above  $m(\bar{E}, \bar{F}) = 1$  for all  $\bar{E}, \bar{F} \in F(y)$  and  $C_o(y)$  fixes  $F(y)$  pointwise. Now if  $z$  is an element centralizing  $\bar{A}, \bar{B}$ , and  $y$  then  $F(z) = \langle C_D(z) \rangle \cap \bar{D}$  and minimality of  $G$  implies  $F(z) \cap F(y) = \{\bar{A}, \bar{B}\}$ . Thus  $z$  moves  $\bar{C}$ , so  $z = 1$ . Now there exists an involution  $t$  with cycle  $(\bar{A}, \bar{B})$  inverting  $y$  modulo  $C(\bar{A}) \cap C(\bar{B})$ . Thus  $y^t = y^{-1}$ . Similarly there exists  $s$  with cycle  $(\bar{B}, \bar{C})$  inverting  $y$ . So  $ts$

moves  $\bar{A}$  to  $\bar{C}$  and centralizes  $y$ , a contradiction. Thus we have shown (3).

Assume the hypothesis of (4). Let  $E \in \bar{A}$ , and  $C = \bar{B}(E)$ . Then for  $\alpha \in Q^* \cap \langle A, C \rangle$ ,  $\langle \alpha \rangle \in \bar{A}$ . So  $\bar{A} = \{\langle \alpha \rangle : \alpha \in Q^*\}$ . Let  $\mathcal{A} = \bar{A} \cup \bar{B}^2$ . Clearly  $Q$  normalizes  $\mathcal{A}$ . Further for  $E = \langle e \rangle \in \bar{A}$ ,  $\bar{B}^{eB} \subseteq \bar{A} \cup \bar{B}^{\langle E, B \rangle \cap Q}$ , so as  $\bar{A} = \{\langle \alpha \rangle : \alpha \in Q^*\}$ ,  $B$  normalizes  $\mathcal{A}$ . Thus  $X = \langle \bar{A}, \bar{B} \rangle$  normalizes  $\mathcal{A}$ . Further  $X^J$  is 2-transitive with  $Q^J \trianglelefteq X_A^J$  and regular on  $\mathcal{A} - \{\bar{A}\}$ . Therefore, a result [11] of Kantor and Seitz implies  $X^J \cong L_2(q)$ . This yields (4).

Assume the hypothesis of (5). Then there exists  $y \in \langle A, C \rangle$  with  $|yI/I| = 4$  inducing scalar action on  $Q \cap \langle A, C \rangle$  and  $\langle \bar{B} \rangle \cap \langle A, C \rangle$ . By (2),  $x = y^2$  inverts  $Q$  and  $\langle \bar{B} \rangle$ , so orbits of  $x$  on  $\bar{A}$  have order at most two. Suppose  $(A_1, A_2)$  is such an orbit. Let  $B_2 = \bar{B}(A_2)$  and set  $X = \langle A_1, B_2 \rangle$ . Then  $y$  normalizes  $X$  with  $x$  inverting  $Q \cap X$ , so  $y$  induces scalar action on  $Q \cap X$  and fixes  $A_1$ , a contradiction. Thus  $y$  fixes  $\bar{A}$  pointwise and induces scalar action on  $Q$ . This yields (5).

It remains to show (6). Assume  $m(\bar{A}, \bar{C}) > 1$  and let  $u = u(A, C)$ . By 4.4,  $J = 0(J)C_J(u)$ . As  $J = IC_J(y)$ ,  $[u, y] \leq 0(I)$ . Thus some conjugate  $v$  of  $u$  centralizes  $y$ . Now if  $p > 5$  or  $p = 5$  and  $\langle A, E \rangle \cong L_2(5^n)$  or  $SL_2(5^n)$ ,  $n$  even, for some  $E \in \bar{B}$ , then we can choose  $y$  with  $|Iy/I| > 2$ . So by (3),  $F(y) = \{\bar{A}, \bar{B}\}$ . As  $[v, y] = 1$  and  $v$  fixes  $\bar{A}$ ,  $v$  fixes  $\bar{B}$ . So  $v$  centralizes some  $B \in \bar{B}$ , and by 4.3, as  $m(\bar{A}, \bar{B}) = 1$ ,  $v \in I$ . But this is impossible as  $u \notin I$ .

It follows from (4) that  $\langle \bar{A}, \bar{B} \rangle \cong L_2(q)$  or  $SL_2(q)$  with  $q = p^n$ ,  $n$  odd. So  $\bar{A} = \{\langle \alpha \rangle : \alpha \in Q^*\}$ . But by 4.3,  $\langle \bar{A}, \bar{C} \rangle = H = \langle C_D(u) \rangle O_p(H)$  with  $O_p(H) \neq Z(H)$ . Thus there exists  $\alpha \in Q^* \cap O_p(H)$  with  $\langle \alpha \rangle \notin \bar{A}$ , a contradiction.

LEMMA 4.6.  $m(\bar{A}, \bar{B}) = 1$  for all  $\bar{B} \neq \bar{A}$ .

*Proof.* Assume not. Then by 4.5.6,  $m(\bar{A}, \bar{B}) > 1$  for all  $\bar{B} \neq \bar{A}$ . Let  $u = u(A, B)$ ,  $v = u(A, C)$ . By 4.4,  $u$  is conjugate to  $v$  under  $J$ , so  $J$  takes  $\bar{C}$  to a point of  $F(u)$ . But by 4.3 and 4.4,  $C_q(u)^{F(u)}$  is 2-transitive. Thus  $J$  is transitive on  $\bar{D} - \{\bar{A}\}$ . Let  $K = \langle \bar{A}, \bar{B} \rangle$ ,  $H = \langle C_D(u) \rangle$  and  $M = O_p(K)$ . Let  $\Omega = \bigcup_{K \cap J} C_q(u^k)$ . Suppose  $w \in u^J$  inverts  $1 \neq x \in \Omega$ . Then  $wu^k$  inverts  $x$  while by 4.4,  $wu^k$  has odd order. So  $X = [Q, u^J] \leq \langle Q - \Omega \rangle \leq M \cap Q$  by 3.3. But  $X \trianglelefteq J$ ,  $J$  is transitive on  $\bar{D} - \{\bar{A}\}$  and  $M \cap Q$  fixes  $\bar{B}$ , so  $X$  fixes  $\bar{D}$  pointwise, contradicting 3.1.5.

LEMMA 4.7. (1) *There exists a prime  $r$  such that for all  $\bar{B} \neq \bar{A}$ ,  $J = IN_L(R)$  for some  $r$ -group with  $F(R) = \{\bar{A}, \bar{B}\}$ .*

(2)  $G^{\bar{D}}$  is doubly transitive.

*Proof.* (1) implies that there exists a prime  $r$  such that for any  $\bar{B} \neq \bar{A}$ , a Sylow  $r$ -subgroup of  $G_{\bar{A}\bar{B}}$  fixes only two points. This implies  $G^{\bar{D}}$  is doubly transitive. So it suffices to prove (1). But unless  $p = 5$  there exists a prime  $r$  dividing  $p - 1$  and an  $r$ -element  $y \in \langle A, B \rangle$  fixing  $\bar{A}$  and  $\bar{B}$  with  $|Iy/I| > 2$ . So 4.5 implies (1) unless  $p = 5$  and  $\langle \bar{A}, \bar{B} \rangle = H \cong L_2(5^n)$  or  $SL_2(5^n)$ ,  $n$  odd. As  $5^n = |Q| = |\langle \bar{A} \rangle|$ , this holds for all  $\bar{B} \neq \bar{A}$ .

Suppose  $u$  is an involution in  $I$  and let  $(\bar{C}, \bar{E})$  be a cycle in  $u$  and  $X = \langle \bar{C}, \bar{E} \rangle$ . As  $u$  does not centralize  $X$ ,  $u$  acts fixed point free on  $X \cap \bar{D}$ , so as  $n$  is odd,  $u$  induces an outer automorphism in  $PG L_2(5^n)$  on  $X$ , and thus there exists a 2-element  $y \in X$  inducing scalar action in  $GF(5)$  on  $\langle \bar{C} \rangle$  and  $\langle \bar{E} \rangle$  with  $y^2$  not centralizing  $\langle \bar{C} \rangle$ . Thus by 4.5,  $|F(y)| = 2$ , so  $|\bar{D}| = m$  is even.

Assume  $m$  is odd. Then  $I$  has odd order. Let  $x$  be the involution in  $\langle A, B \rangle \cap J$ . By 4.5,  $J = IC_J(x)$ . But as  $m$  is odd  $J$  contains a Sylow 2-subgroup of  $G$ , so the  $Z^*$ -theorem contradicts  $O_\infty(G) = 1$ . Therefore,  $m$  is even.

If a Sylow 2-subgroup of  $G_{\bar{A}\bar{B}}$  fixes exactly two points for every  $\bar{B} \neq \bar{A}$ , then  $G^{\bar{D}}$  is doubly transitive. So choose  $\bar{B}$  such that a Sylow group of  $G_{\bar{A}\bar{B}}$  fixes more than two points. Then  $H = \langle \bar{A}, \bar{B} \rangle \cong L_2(5^n)$ ,  $C_J(H)$  has odd order and the involution  $x \in H_{\bar{A}\bar{B}}$  fixes three or more points. Suppose  $y^2 = x$  for some  $y \in G$ . If  $(\bar{C}, \bar{E})$  is a cycle of  $y$  in  $F(x)$  then  $y$  normalizes  $X = \langle \bar{C}, \bar{E} \rangle$  so as  $y^2 = x$  and  $n$  is odd,  $y$  fixes two points in  $X \cap \bar{D}$ , which must be  $\bar{C}$  and  $\bar{E}$ . This is a contradiction, so  $x$  is not rooted in this manner.

Suppose  $I$  has odd order. Then by 4.5,  $J = IC_J(y)$  for any involution  $y \in \langle \bar{A}, \bar{C} \rangle$  and any  $\bar{C} \neq \bar{A}$ . So  $y \in x^I$ . Let  $u$  be an involution. We may assume  $u$  has cycle  $(\bar{A}, \bar{B})$ . So  $u$  normalizes  $H$ , and as  $I$  has odd order and  $x$  is not rooted in  $\langle u, H \rangle$ ,  $u \in H$ . Thus  $u \in x^G$ . Thus  $G$  has one class of involutions, so as  $x$  is not rooted, a Sylow 2-subgroup of  $G$  is elementary abelian. Walter's classification of such groups [13] implies  $G \cong L_2(5^n)$ , a contradiction. So  $I$  has even order. Thus  $x$  centralizes some involution  $u \in I$ ; as  $|\bar{D}|$  is even, there exists  $\bar{R} \in F(x) \cap F(u) - \{\bar{A}\}$ ; minimality of  $G$  implies  $\langle C_{\bar{D}}(u) \rangle \cong L_2(5^n)$ ,  $SL_2(5^n)$  or  $U_3(5^n)$ , so  $F(x) \cap F(u) = \{\bar{A}, \bar{R}\}$ .

Consider  $C_G(x)^{F(x)}$ . Arguments such as in 4.5.3 and in the last paragraph show that nontrivial elements of  $C(x)^{F(x)}$  fix at most two points. Let  $(\bar{C}, \bar{E})$  be a cycle of  $u$  in  $F(x)$ . We have shown  $x$  is rooted modulo  $C(\bar{C}) \cap C(\bar{E})$ , while  $x$  is not rooted. So  $C(\bar{C}) \cap C(\bar{E})$  has even order and there exists an involution  $v \in C(x)^{F(x)}$ , fixing  $\bar{C}$  and  $\bar{E}$ , and centralizing  $u$ .  $v$  acts on  $F(x) \cap F(u) = \{\bar{A}, \bar{R}\}$ . Let  $L = C_{\bar{A}\bar{R}}^{F(x)}$ .  $L$  acts semiregularly on  $F(x) - \{\bar{A}, \bar{R}\}$  and  $C_L(v)$  acts on  $F(v) \cap F(x) = \{\bar{C}, \bar{E}\}$ , so  $\langle v \rangle = C_L(u)$ . So a Sylow 2-subgroup  $S$  of  $\langle L, v \rangle = L^*$  is semidihedral or dihedral, and there are one or two classes of involu-

tions in  $L^* - L$ , respectively. But if  $\bar{T} \in F(x) - \{\bar{A}, \bar{B}\}$  let  $t$  be the involution in  $C(x)^{F(x)}$  fixing  $\bar{T}$  and  $\bar{T}^u$  and centralizing  $u$ . Then  $t \in v_i^L$ ,  $i = 1$  or  $2$ , one of the (at most) two classes of involutions in  $L^* - L$ . So  $L$  takes  $F(t) \cap F(x) = \{\bar{T}, \bar{T}^u\}$  to  $F(x) \cap F(v_i)$ . Thus  $L$  has one orbit, or two orbits of equal length, on  $F(x) - \{\bar{A}, \bar{B}\}$ , for  $S$  semidihedral or dihedral, respectively. It now follows easily that  $C(x)^{F(x)}$  is 2-transitive. But  $J$  and therefore  $C_J(x)$  cannot take  $\bar{B}$  to  $\bar{R}$  as there is no involution in  $I$  fixing  $\bar{B}$ . This last contradiction completes the proof of 4.7.

Set  $L = G_{\bar{A}\bar{B}}$ ,  $H = \langle \bar{A}, \bar{B} \rangle$ ,  $K = C_G(H)$ , and  $Q = \langle \bar{A} \rangle$ .

LEMMA 4.8. (1)  $J = IL$  and  $K \neq 1$ .

(2)  $H \cong L_2(q)$  or  $SL_2(q)$ .

*Proof.* By 4.7.1 there exists a prime  $r$  such that a Sylow  $r$ -subgroup  $R$  of  $L$  fixes only  $\bar{A}$  and  $\bar{B}$ , and  $J = IN_J(R)$ .  $N_J(R)$  acts on  $F(R) = \{\bar{A}, \bar{B}\}$ ; so  $N_J(R) \leq L$ . If  $K = I \cap L = 1$  then  $I$  is regular on  $\bar{D} - \{\bar{A}\}$  by 4.7.2, so [11] implies  $G \cong L_2(q)$  or  $U_3(q)$ . Thus  $K \neq 1$ . Minimality of  $G$  implies  $H = \langle C_D(K) \rangle \cong SL_2(q)$  or  $L_2(q)$ .

LEMMA 4.9. Suppose  $x \in L^*$  with  $|C_Q(x)| = q_0 > 1$ . Then  $\langle C_D(x) \rangle \cong L_2(q_0)$ ,  $SL_2(q_0)$  or  $U_3(q_0)$  and  $|F(x)| = q_0 + 1$  or  $q_0^3 + 1$ .

*Proof.* Minimality of  $G$  yields the desired form for  $\langle C_D(x) \rangle$ . If  $\bar{C} \in F(x)$  then  $[x, C] = 1$  where  $C = \bar{C}(A)$ ,  $A \in C_{\bar{A}}(x)$ . Thus  $|F(x)| = q_0 + 1$  or  $q_0^3 + 1$ .

LEMMA 4.10. Set  $n = |\bar{D}|$ . Then  $(n - 1, |K|)$  is a power of  $p$ .

*Proof.* Let  $r$  be a prime divisor of  $|K|$ , and  $R$  a Sylow  $r$ -subgroup of  $K$ . By 4.9,  $F(R) = q + 1$  or  $q^3 + 1$ , so if  $r \neq p$  then a Sylow  $r$ -subgroup  $R_1$  of  $N_I(R)$  fixes a second point  $\bar{B}$  of  $F(R)$ ; that is  $R_1 = R$ . So  $R$  is Sylow in  $I$  and  $r$  does not divide  $n - 1 = |I : K|$ .

LEMMA 4.11.  $|\bar{D}| = n$  is even. If  $u$  is an involution then  $n \equiv |F(u)| \pmod{4}$ .  $|L|$  is even.

*Proof.* Results of Bender on doubly transitive groups [5.6] imply  $L$  has even order. By 3.1,  $G$  is simple, so any involution  $u$  must act as an even permutation on  $\bar{D}$ . Thus  $n \equiv |F(u)| \pmod{4}$ . If  $n$  is odd, 2-elements fix an odd number of points. So by 4.8 and 4.9,  $|K|$  and  $|L/HK|$  are odd. And by 4.5.3,  $|H \cap L| \not\equiv 0 \pmod{4}$ . As  $L$  has even order,  $|H \cap L| \equiv |L| \equiv 2 \pmod{4}$ . Thus  $p \equiv q \equiv 5 \pmod{8}$ . Let  $u$  be the involution in  $H \cap L$ , and  $S$  a  $u$ -invariant Sylow 2-subgroup of  $I$ . As

$n$  is odd and  $J = IL$ ,  $S\langle u \rangle$  is Sylow in  $G$ . As  $G$  has no subgroup of index two,  $S \neq 1$ . Let  $s$  be an involution in  $S$ , and  $(\bar{B}, \bar{C})$  a cycle in  $s$ . Then  $s$  normalizes  $X = \langle \bar{B}, \bar{C} \rangle$  and as  $|F(s)| = 1$ ,  $s$  acts fixed point free on  $\bar{D} \cap X$ . So as  $p \equiv q \equiv 5 \pmod{8}$ ,  $\langle s, X \rangle \cong PGL_2(q)$  and there exists  $y \in \langle s, X \rangle$  of order 4 inducing scalar multiplication on  $\langle \bar{B} \rangle$  and fixing  $\bar{B}$  and  $\bar{C}$ . By 4.5.3,  $|F(y)| = 2$ , contradicting  $n$  odd.

**LEMMA 4.12.** *If  $J = O(I)L$  then  $J = O_\pi(I)L$ , where  $\pi$  is the set of primes dividing  $n - 1$ . Also  $O_p(K) \neq 1$ , and  $O_\pi(I)$  is not nilpotent.*

*Proof.* Set  $P = O_\pi(I)$ . If  $P \neq O(I)$  let  $R/P$  be minimal normal in  $J/P$ ,  $R < O(I)$ .  $R/P$  is an  $r$ -group for some prime  $r$  and by a Frattini argument,  $J = PN_J(R_1)$  where  $R_1$  is a Sylow  $r$ -subgroup of  $R$  contained in  $K$ . By 4.9,  $N_J(R_1) = LP_1$  where  $|P_1| = q$  or  $q^3$ , and  $P_1 \trianglelefteq N_J(R_1)$ . Thus  $PP_1 \trianglelefteq J$ , so  $P_1 \leq P$  and  $J = PL$ . Results of Kantor and Seitz on doubly transitive groups [11, 12] imply  $P$  is not nilpotent or regular on  $\bar{D} - \{\bar{A}\}$ . Thus  $1 \neq P \cap L = P \cap K = O_p(K)$  by 4.10.

**LEMMA 4.13.** *Let  $X \subseteq L$  fix 3 or more points of  $\bar{D}$ . Then  $C_G(X)^{F(X)}$  is doubly transitive.*

*Proof.* It suffices to show there exists a prime  $r$  such that a Sylow  $r$ -subgroup of  $C_L(X)$  fixes only  $\bar{A}$  and  $\bar{B}$ . Thus with 4.5 we can assume  $q = 5^m$  with  $m > 1$  odd. Thus there is an  $r$ -element  $1 \neq y \in H \cap L$ ,  $r > 2$ , and as  $m$  is odd  $y$  is not inverted in  $J/I$  by 4.8. Thus arguing as in 4.5,  $F(y) = \langle \bar{A}, \bar{B} \rangle$ .  $[y, X] = 1$  unless  $C_q(X) \neq 1$ , in which case 4.9 implies  $C_G(X)^{F(X)}$  is doubly transitive.

**LEMMA 4.14.** *Assume  $q \equiv -1 \pmod{4}$  and  $x$  is an involution in  $L$  inverting  $Q$  with  $|F(x)| > 2$ . Then  $|F(x)| = q + 1$ .*

*Proof.* As  $q \equiv -1 \pmod{4}$ ,  $q$  is an odd power of  $p$ , so no element in  $H \cap L$  is inverted in  $J/I$ . Thus if  $y \in H \cap L$  with  $|y| > 2$  then  $|F(y)| = 2$ . Therefore, with 4.9 and 4.13,  $C_G(x)^{F(x)}$  is a Zausenhaus group. So  $C_G(x)^{F(x)}$  has a normal subgroup isomorphic to  $L_s(m)$ , of index at most two, with  $|F(x)| = m + 1$ . Now if  $m \equiv 1 \pmod{4}$  then by 4.9 and 4.11,  $K$  has odd order, and  $\langle x \rangle$  is Sylow in  $L$ , so that  $|C_L(x)^{F(x)}|$  is odd, contradicting  $m \equiv 1 \pmod{4}$ . So  $m \equiv -1 \pmod{4}$ . Thus  $C_L(x)^{F(x)}$  is cyclic and inverted by any  $t \in C_G(x)$  with cycle  $(\bar{A}, \bar{B})$ . As we can choose  $t \in H$ , and  $[K, t] = 1$ , it follows that  $|C_K(x)| = \varepsilon \leq 2$ . Further  $\varepsilon(m - 1)/2 = |C_L(x)^{F(x)}| = \varepsilon|H \cap L| = \varepsilon(q - 1)/2$ , so  $m = q$ .

**LEMMA 4.15.** *Suppose  $u$  is an involution in  $Z^*(L)$  fixing 3 or more points. Then  $u \in Z^*(J)$ .*

*Proof.*  $u \in Z^*(L)$  so  $u^L \cap C_L(u) = \{u\}$ . Now 4.13 implies  $u^G \cap L = u^L$ . Further as  $|\bar{D}|$  is even, if  $v$  is a conjugate of  $u$  in  $J$  centralizing  $u$  then we can assume  $v \in L$ , so  $v \in u^G \cap C_L(u) = u^L \cap C_L(u) = \{u\}$ . Thus by the  $Z^*$ -theorem,  $u \in Z^*(J)$ .

LEMMA 4.16. *If  $H \cong L_2(q)$  then  $H \cap \bar{D} = F(X)$  for any  $1 \neq X \leq K$ .*

*Proof.* If  $F(X) \neq H \cap \bar{D}$  then by 4.9,  $H \leq \langle C_D(X) \rangle \cong U_3(q)$ , so  $H \cong SL_2(q)$ .

LEMMA 4.17. *Assume  $u$  is an involution in  $L$  fixing  $m+1 \geq 3$  points, let  $c = |L : C_L(u)|$  and let  $e$  be the number of conjugates of  $u$  with cycle  $(\bar{A}, \bar{B})$ . Then  $|D| - 1 = m(m+1)e/c + m$ .*

*Proof.* Let  $\Omega$  be the set of pairs  $(v, \alpha)$  where  $v \in u^G$  and  $\alpha$  is a cycle in  $v$ . Then  $|u^G|(n-m-1)/2 = |\Omega| = n(n-1)e/2$  where  $n = |\bar{D}|$ . Further by 4.13,  $|u^G| = n(n-1)c/m(m+1)$ .

LEMMA 4.18. (1) *Let  $S$  be a 2-group such that  $C_Q(S) \neq 1$ . Then  $S$  has rank at most one.*

(2)  $J = O(I)L$ .

*Proof.* Suppose  $1 \neq \langle u \rangle = H \cap L$ . Then by 4.15,  $u \in Z^*(I)$ , so  $J = O(I)L$ . Define  $P = O_\pi(I)$  as in 4.12, and assume  $S$  has 2-rank at least two. Then  $P = \prod_{s \in S^*} C_P(s)$ , while by 4.9,  $C_P(s)$  is a  $p$ -group for  $s \in S^*$ . Thus  $P$  is a  $p$ -group, contradicting 4.12.

So  $H \cap L = 1$  and by 4.16,  $N_I(H) = QK$  is strongly embedded in  $I$ . As  $Q \leq O(I)$  and  $[K, H \cap L] = 1$ , Bender's classification of groups with a strongly embedded subgroup [6] implies  $J = O(I)N_J(H \cap L)$ . By 4.5, augmented by arguments such as in 4.13 for the case  $q = 5^m$ ,  $m$  odd,  $N_J(H \cap L) = L$ . Now arguing as above,  $S$  has 2-rank at most one.

Define  $P = O_\pi(I)$  as in 4.12. Set  $P_0 = O_p(K)$ .  $P_0 \neq 1$  by 4.12 and 4.18.

LEMMA 4.19. (1)  $F(X) = H \cap \bar{D}$  for  $1 \neq X \leq P_0$ .

(2)  $H \cap K = 1$ .

(3) *Assume  $u$  is an involution in  $K$  and let  $v \in u^G$  have cycle  $(\bar{A}, \bar{B})$ . Let  $P_1$  be a  $\langle u, v \rangle$  invariant Sylow  $p$ -group of  $O(K)$ . Then  $[v, P_1] = P_1$  and  $[u, P_1] \neq 1$ .*

*Proof.* Assume  $1 \neq X \leq P_0$  with  $F(X) \neq H \cap D$ . Then  $Y = \langle C_D(X) \rangle \cong U_3(q)$  by 4.9. So  $H \cap K = \langle u \rangle \neq 1$ . Further as  $N_K(X)^{F(X)}$  is a  $p'$ -group,  $X = P_0$ . Let  $(\bar{C}, \bar{E})$  be a cycle in  $u$  and  $v \in u^G$  fix  $\bar{C}$  and  $\bar{E}$ . Then  $[u, v] = 1$  so  $v$  acts on  $\langle C_D(u) \rangle = H$  and thus also on  $P_0$ .

$v$  induces an automorphism on  $Y \cong U_3(q)$  and therefore fixes points  $\bar{A}_i \in F(P_0)$ . So  $\bar{C} \in \langle \bar{A}_1, \bar{A}_2 \rangle \leq Y$  and therefore  $F(P_0) = \bar{D}$ , a contradiction. This yields (1).

Assume  $1 \neq \langle u \rangle = H \cap K$ . Then in particular  $[u, P_0] = 1$ . Let  $v \in u^G$  have cycle  $(\bar{A}, \bar{B})$ .  $v$  acts on  $P_0$  and  $F(v) \cap F(x) = F(v) \cap F(u) = \emptyset$  for  $x \in P_0^*$ . Thus  $C_{P_0}(v)$  acts fixed point free on  $F(v)$  of order  $q + 1$ , so  $C_{P_0}(v) = 1$ . Define  $e$  and  $c$  as in 4.17. It follows that  $c = 1$  and  $e \equiv 0 \pmod{p}$ . So by 4.17,  $|\bar{D}| - 1 = q[(q + 1)e/c + 1] \equiv q \pmod{pq}$ . So  $P_0Q$  is Sylow in  $P$  and  $u$  centralizes  $P_0Q$ , and inverts a Hall  $p'$ -group  $P_1$  of  $P$ . Thus  $P = P_1 \times (P_0Q)$  is nilpotent, contradicting 4.12. This yields (2).

Assume the hypothesis of (3) and define  $c$  and  $e$  as in 4.17. Arguing as above,  $[v, P_1] = P_1$ , so  $p$  divides  $e$ . By 4.18,  $L = O(K)C_L(u)$ , so if  $[P_1, u] = 1$ , then  $p$  does not divide  $c$ . But then arguing as above we have a contradiction.

LEMMA 4.20.  $q \equiv 1 \pmod{4}$ .

*Proof.* Assume  $q \equiv -1 \pmod{4}$ . By 4.9, 4.10, and 4.14,  $C_P(x)$  is a  $p$ -group for any involution  $x \in L$ , while by 4.12,  $P$  is not a  $p$ -group. Thus  $L$  has 2-rank one. Suppose  $K$  has odd order. By 4.11,  $L$  has even order so there exists an involution  $x \in L$  and  $\langle x \rangle$  is Sylow in  $J$ . If  $|F(x)| = 2$ , then by 4.11,  $n = |\bar{D}| \equiv 2 \pmod{4}$ , and [2] implies  $G \cong L_2(q)$ . Thus by 4.14,  $|F(x)| = q + 1$ . Let  $v$  be a conjugate of  $x$  with cycle  $(\bar{A}, \bar{B})$ . We may choose  $v = t$  or  $tx$  where  $t \in H$ . By 4.16,  $F(P_0) = H \cap \bar{D}$ , so  $|F(P_0) \cap F(v)| = 0$  or  $2$ . Thus if  $C_{P_0}(v) \neq 1$  then  $1 \equiv q + 1 = |F(x)| \equiv 0$  or  $2 \pmod{p}$ , so  $v$  inverts  $P_0$ . Thus  $v = tx$ , and  $x$  inverts  $P_0$ . Define  $e$  and  $c$  as in 4.17. Then  $e = (q - 1)c/2$ , so by 4.17,  $n - 1 = q(q^2 + 1)/2$ . In particular  $QP_0$  is Sylow in  $P$  and inverted by  $x$ . As  $|F(x)| = q + 1$ ,  $x$  inverts an  $x$ -invariant Sylow  $r$ -subgroup of  $P$  for  $r \neq p$ , with 4.10. Thus  $x$  inverts  $P$ , and  $P$  is abelian, contradicting 4.12.

So  $K$  contains an involution  $u$ . Let  $v \in u^G$  have cycle  $(\bar{A}, \bar{B})$ , with  $[v, u] = 1$ . As  $H \cap K = 1$  and  $v$  acts fixed point free on  $F(u) = H \cap \bar{D}$ ,  $v = t$  or  $ut$  where  $t \in H$ . By 4.19  $[v, P_0] \neq 1$ , so  $v = ut$ . Thus defining  $e$  and  $c$  as in 4.17,  $e = (q - 1)c/2$ , so by 4.17,  $n - 1 = q[(q + 1)e/c + 1] = q(q^2 + 1)/2$ . Let  $R$  be a  $\langle u \rangle(H \cap L)$  invariant  $r$ -Sylow group of  $P$ , where  $r \neq p$ . Then  $\langle u \rangle(H \cap L)$  acts semiregularly on  $R$ ,  $|R| > q$ . As a  $p'$ -Hall group of  $P$  has order  $(q^2 + 1)/2$ ,  $(q^2 + 1)/2$  is a prime power. Thus  $q$  is a prime (e.g. Lemma 3.1, [1]).  $P_0$  acts semiregularly on  $\bar{D} - F(P_0)$  of order  $q(q^2 + 1)/2 - q = q(q^2 - 1)/2$ , so  $|P_0| = q$ . Thus  $Q = C_P(u) \leq Z(P)$ , or  $[P, u]$  is a Hall  $p'$ -group of  $P$ . In either event  $P$  is nilpotent, contradicting 4.12.

LEMMA 4.21.  $|K|$  is odd.

*Proof.* Assume  $K$  has even order and let  $u$  be an involution in  $K$  and  $v$  a conjugate of  $u$ , centralizing  $u$ , with cycle  $(\bar{A}, \bar{B})$ . By 4.1,  $[v, P_1] = P_1$  and  $[u, P_1] \neq 1$ . So  $C_{P_1}(uv) \neq 1$ ,  $|F(uv)| \equiv 0 \pmod{p}$  and  $uv \notin u^G$ . So by 4.11 and 4.18,  $uv \in x^G$  or  $(ux)^G$  where  $x \in H$ . Now  $[x, P_0] = 1$  so  $|F(x)| \equiv 2 \pmod{p}$ . Thus  $uv \in (ux)^G$  and as  $|F(uv)| \equiv 0 \pmod{p}$  and  $|F(P_0) \cap F(ux)| = 2$ ,  $C_{P_0}(ux) = C_{P_0}(u) = 1$ . So  $Q = C_P(u)$ , yielding a contradiction as in 4.20.

LEMMA 4.22.  $L$  has 2-rank one.

*Proof.* Assume not. Then as  $|K|$  is odd by 4.21, there exists an involution  $x \in H \cap L$  and an involution  $u \in L$  with  $|C_Q(u)| = r$ ,  $q = r^2$ , and  $Q = C_Q(u) \times C_Q(ux)$ . Notice  $P = C_P(x)C_P(u)C_P(ux) = C_P(x)Q$ . Set  $m + 1 = |F(x)|$ . As  $P_0$  acts semi-regularly on  $F(x) - \{\bar{A}, \bar{B}\}$ ,  $m \equiv 1 \pmod{p}$ . Let  $P_2$  be a subgroup of  $C_P(x)$  maximal with respect to being normal in  $C_J(x)$  and semiregular on  $F(x) - \{\bar{A}\}$ . Let  $M/P_2$  be a minimal subgroup of  $C_J(x)/P_2$  contained in  $C_P(x)$ . By 4.10,  $M/P_2$  is a  $p$ -group and as  $P_2$  is semi-regular on  $F(x) - \{\bar{A}\}$  of order  $m \equiv 1 \pmod{p}$ ,  $P_2$  is a  $p'$ -group. Thus  $M = P_2(P_0 \cap M) = P_2M_0$  and  $C_J(x) = P_2(N(M_0) \cap C_J(x)) = P_2C_L(x)$  as  $F(x) \cap F(M_0) = \{\bar{A}, \bar{B}\}$ . So  $|P_2| = m$  and  $P_2 \leq QC_P(x) = P$ . Thus  $P_2Q$  is regular on  $\bar{D} - \{\bar{A}\}$ . As  $u$  inverts  $P_2$ ,  $P_2Q$  is nilpotent and thus contained in  $\text{Fit}(P)$ , the Fitting subgroup of  $P$ . So  $\text{Fit}(P)$  is transitive on  $\bar{D} - \{\bar{A}\}$  and nilpotent, contradicting 4.12.

LEMMA 4.23.  $|\bar{D}| \equiv 2 \pmod{4}$ .

*Proof.* Assume not. Let  $x$  be the involution in  $H \cap L$ . By 4.11,  $|F(x)| \equiv 0 \pmod{4}$ . As in 4.14,  $C_G(x)^{F(x)}$  is a Zassenhaus group and  $t$  inverts  $L^{F(x)}$  where  $t \in H$  has cycle  $(\bar{A}, \bar{B})$ . But  $[t, P_0] = 1$  and  $P_0 \cong P_0^{F(x)}$ , a contradiction.

4.22 and 4.23 together with [2] imply  $G \cong L_2(q)$  or  $U_3(q)$ . Thus the proof of Theorem 4.1 is complete.

## 5. Examples.

*Hypothesis 5.1.* Let  $V$  be a  $2m$  dimensional space over  $GF(q)$ ,  $q$  a power of the odd prime  $p$ , with nondegenerate skew symmetric bilinear form  $(,)$ . For  $u \in V^*$  the transvection  $u^*$  determined by  $u$  is the map

$$u^*: \langle x \rangle \longrightarrow \langle x + (x, u)u \rangle$$



considered as a projective transformation of  $V$ . Let  $D = \{\langle u^* \rangle : u \in V^*\}$  and  $G = \langle D \rangle$ .

$G$  is the  $2m$  dimensional projective symplectic group  $SP_{2m}(q)$  over  $GF(q)$ .

LEMMA 5.2. Assume hypothesis 5.1. Let  $A = \langle a^* \rangle$  and  $B = \langle b^* \rangle$  lie in  $D$  with  $[A, B] \neq 1$ . Set  $L = \langle D_A \cap D_B \rangle$ . Then

- (1)  $D$  is a class of  $p$ -transvections of  $G$ .
- (2)  $L/Z(L) \cong SP_{2m-2}(q)$  for  $m > 1$ .

*Proof.* Let  $\langle c^* \rangle = C \in D$ . Then  $[A, C] = 1$  if and only if  $(a, c) = 0$ . So  $(,)$  restricted to  $\langle a, b \rangle$  is a nondegenerate skew symmetric bilinear form and therefore  $\langle A, B \rangle$  is a homomorphic image of a subgroup of  $SL_2(q)$ . This yields (1). Similarly  $L$  acts as a symplectic group on  $\langle a, b \rangle^\perp$  yielding (2).

Hypothesis 5.3. Let  $V$  be a  $n$ -dimensional vector space over  $GF(q^2)$  with nondegenerate semibilinear form  $(,)$ . For nonsingular vector  $u$  let  $u^*$  be the transvection determined by  $u$  considered as a projective transformation of  $V$ . Let  $D = \{u^* : (u, u) = 0\}$ , and  $G = \langle D \rangle$ .

$G$  is the  $n$  dimensional projective special unitary groups,  $U_n(q)$ .

LEMMA 5.4. Assume hypothesis 5.3. Let  $A = \langle a^* \rangle$  and  $B = \langle b^* \rangle$  lie in  $D$  with  $[A, B] \neq 1$ . Set  $L = \langle D_A \cap D_B \rangle$  then

- (1)  $D$  is a class of  $p$ -transvections of  $G$ .
- (2)  $L/Z(L) \cong U_{n-2}(q)$  for  $n \geq 4$ .
- (3)  $G$  contains a unique class of  $D$ -subgroups  $K^G$  with  $K/Z(K) \cong U_{n-1}(q)$ .

*Proof.* The proofs of (1) and (2) are as in 5.2. Assume  $K$  is a  $D$ -subgroup of  $G$  with  $K/Z(K) \cong U_{n-1}(q)$ . As  $[a^*, c^*] = 1$  if and only if  $(a, c) = 0$ ,  $\langle u : \langle u^* \rangle \in K \cap D \rangle$  is a nonsingular hyperplane of  $V$  preserved by  $K$ . As  $G$  is transitive on such hyperplanes, (3) follows.

6. Proof of main theorem. For the remainder of this paper  $G$  is a counter example of minimal order to the main theorem. Lemma 3.1 implies:

LEMMA 6.1.  $G$  is simple.

Theorem 4.1 implies:

LEMMA 6.2.  $\mathcal{D}(D)$  is connected.

Let  $A \in D$ . By 2.4,  $A$  is contained in a unique maximal set of imprimitivity  $\alpha$  of  $G^D$ . Set  $H = \langle D_\alpha \rangle$ ,  $M = O_\infty(H)$ , and  $\Omega = \alpha^\sigma$ . By 2.4,  $H$  is  $D_\alpha^*$ -simple. Minimality of  $G$  implies  $H/M \cong Sp_n(q)$  or  $U_n(q)$ , for some power  $q$  of  $p$ .

LEMMA 6.3. Let  $\beta \in D_\alpha$ ,  $\gamma \in D_\beta \cap A_\alpha$ . Set  $\Gamma = D_\alpha \cap D_\gamma$  and  $L = \langle \Gamma \rangle$ . Then  $LM = H$ ,  $M \neq Z(H)$  and  $\alpha^*\beta = \{\alpha\} \cup \beta^M$ .

*Proof.* Let  $B \in \beta$ .  $H/M \cong Sp_n(q)$  or  $U_n(q)$  has  $V_{BM/M}$  as a set of imprimitivity on  $D_\alpha^*M/M$ , so  $\langle \beta \rangle$  is abelian. Set  $K = \langle D_\beta \cap \Gamma \rangle$ ,  $H_1 = \langle D_\beta \rangle$ , and  $M_1 = O_\infty(H_1)$ .

Assume  $n \geq 4$ . Then by 5.2 and 5.4,  $KM_1/M_1 \cong U_{n-2}(q)$  or  $Sp_{n-2}(q)$ . Suppose  $L$  is not  $D$ -simple. Then by 2.1,  $L$  is the central product of two  $D$ -subgroups  $L_i$ . Let  $B \in L_1$ .  $K$  is  $D$ -simple, so  $K = L_2$ . Thus  $\beta = B^\perp \cap L_1$ , so  $\mathcal{D}(L_i \cap D)$  is disconnected. Thus  $L/O_\infty(L) \cong L_2(q) \times L_2(q)$  or  $U_3(q) \times U_3(q)$ . As  $U_5(q)$  contains no  $D$ -subgroup of the latter type, that case is eliminated. As  $\beta = B^\perp \cap L_1$ ,  $\beta = B^\perp \cap D_\alpha^*$ . Now let  $C \in \gamma$  with  $X = \langle A, C \rangle \cong SL_2(q)$ , and  $x \in X$  fix  $\alpha$  and  $\gamma$  with  $|x| \geq 4$ .  $x$  centralizes  $L$  and normalizes  $H$ . Suppose  $L \neq \langle C_{D_\alpha^*}(x) \rangle = Y$ . Then there exists  $\delta \in A_\gamma \cap Y$ . Minimality of  $G$  implies  $\mathcal{D}(Y \cap D)$  is connected so we can choose  $\delta \in D_\sigma$  for some  $\sigma \subseteq L$ . Let  $Z = \langle \lambda, \delta \rangle$ . As  $\gamma, \delta \in D_\sigma$ ,  $Z/O_p(Z) \cong SL_2(q)$ . So as  $[x, \delta] = 1$ , we get  $[x, \lambda] = 1$ , a contradiction. So  $L = Y$  and as  $x$  induces an automorphism on  $H/M \cong Sp_4(q)$  or  $U_4(q)$  with  $Y/O_\infty(Y) \cong L_2(q) \times L_2(q)$ , this automorphism has order two. As  $|x| > 2$ ,  $1 \neq x^2$  centralizes  $H/M$ . As  $[x^2, B^\perp \cap D_\alpha^*] = 1$ ,  $[H, x^2]$ , so  $\langle x^2 \rangle = Z(X)$  and  $X \cong SL_2(5)$ . But now  $C_D(x^2)$  is a component of  $\mathcal{D}(D)$ , contradicting 6.2.

So  $L$  is  $D$ -simple. Therefore, minimality of  $G$  implies  $L/O_\infty(L) \cong H/M$  and  $O_\infty(K) = M_1 \cap K \neq Z(K)$ . As  $D_\gamma \cap (\alpha^*\beta) = \{\beta\}$ ,  $\alpha^*\beta = \{\alpha\} \cup \beta^M$ .

Thus we may assume  $n \leq 3$ . Suppose  $X = \langle A, E \rangle \cong SL_2(q)$  for  $E \in D_\beta^*$ . Then we may choose  $C \in \gamma \cap X$ . Let  $\langle u \rangle = Z(X)$ . Then  $u \in \langle A, C \rangle$ , so  $[u, L] = 1$ .  $u$  acts on  $H/M$  and centralizes  $\beta$ , so  $J = \langle C_{D_\alpha^*}(u) \rangle$  contains a  $D$ -subgroup isomorphic to  $SL_2(q_0)$  for some  $q_0$  dividing  $q$ . Let  $\langle v \rangle$  be the center of that subgroup. If  $J \neq L$  then considering  $\langle J, X \rangle$ , minimality of  $G$  yields a contradiction. So  $J = L$  and  $[v, X] = 1$ .  $\langle C_{D_\alpha^*}(v) \rangle = X_0 \cong SL_2(q)$ , so arguing on  $v$  in place of  $u$  we get  $X_0 = L$  and  $q_0 = q$ . If  $H = LM$  then as  $D_\alpha \neq D_\gamma$ ,  $M \neq Z(H)$ , and as above  $\alpha^*\beta = \{\alpha\} \cup \beta^M$ . So we may assume  $H/M \cong U_3(q)$ . Define  $x$  as above with  $u \in \langle x \rangle$ .  $[x, L] = 1$  and  $x$  acts on  $H/M \cong U_3(q)$ , so as  $2 < |x|$  divides  $q - 1$ ,  $u \in \langle x \rangle$  centralizes  $H/M$ , contradicting  $LM \neq H$ .

So  $X$  does not exist. Thus  $H \cong L_2(q)$ . Claim  $\beta = B^\perp \cap D_\alpha^* = \alpha^*\beta - \{\alpha\}$ . For if not  $\beta \subseteq \langle \alpha^*\beta - \{\alpha, \beta\} \rangle$  whereas  $\alpha \not\subseteq \langle \alpha^*\beta - \{\alpha, \beta\} \rangle$ .

Choose  $1 \neq x \in H_1$  fixing  $\alpha$  and  $\lambda$ .  $x$  acts on  $H$  and centralizes  $\beta$ , so  $[x, H] = 1$ . Let  $E \in D_\alpha^* - L$  and  $C \in \gamma$ . The action of  $x$  on  $\langle C, E \rangle$  yields a contradiction.

**LEMMA 6.4.** *Let  $(\alpha, \gamma, \beta)$  be a triangle in  $\Omega$ . Then there exists  $\sigma$  with  $\alpha, \beta$ , and  $\gamma$  in  $D_\sigma$ .*

*Proof.* Claim  $\mathcal{D}(\Omega)$  has diameter two. For if not  $\alpha\beta\gamma\delta$  be a chain with  $d(\alpha, \delta) = 3$ . Let  $H_1 = \langle D_\gamma \rangle$ ,  $M_1 = O_\infty(H_1)$ ,  $\Gamma = D_\alpha \cap D_\gamma$  and  $L = \langle \Gamma \rangle$ . Then by 6.3,  $H_1 = LM_1$ , so  $\delta M_1 = \sigma M_1$  for some  $\sigma \in \Gamma$ . Thus  $\sigma \in D_\alpha \cap D_\beta$ , contradicting  $d(\alpha, \delta) = 3$ . Thus  $\mathcal{D}(\Omega)$  has diameter two, so if  $(\alpha, \gamma, \beta)$  is a triangle, by 6.3,  $LM = H$ . So again there exists  $\sigma \in \Gamma$  with  $\sigma M = \beta M$ .  $\alpha, \beta$ , and  $\gamma$  are in  $D_\sigma$ .

**LEMMA 6.5.** *Let  $\gamma \in A_\alpha$ . Then  $\langle \alpha, \gamma \rangle \cong SL_2(q)$  and  $|\langle \alpha \rangle| = q$ .*

*Proof.* Set  $X = \langle \alpha, \gamma \rangle$ . By 6.4, there exists  $\beta \in D_\alpha \cap D_\gamma$ . Let  $H_1 = \langle D_\beta \rangle$ ,  $M_1 = O_\infty(H_1)$ . Suppose  $A \neq E \in \alpha$  with  $A \equiv E \pmod{M_1}$ . Then  $A = \langle a \rangle$ ,  $E = \langle e \rangle$  with  $x = ae^{-1} \in M_1$ . Thus  $x$  fixes every singular line  $\beta^* \delta = \{\beta\} \cup \delta^{M_1}$  through  $\beta$ . As  $H \leq C_G(x)$  is transitive on  $D_\alpha$ ,  $x$  fixes all singular lines through any  $\beta \in D_\alpha$ . Let  $\sigma \in A_\alpha$ . By 6.3, there are distinct singular lines  $\beta_i^* \sigma$ ,  $i = 1, 2$ , with  $\beta_i \in D_\alpha$ . Then  $x$  fixes  $(\beta_1^* \sigma) \cap (\beta_2^* \sigma) = \{\sigma\}$ . Thus  $x$  fixes  $\Omega$  pointwise. But this contradicts 6.1.

So  $|\langle \alpha \rangle| = |\langle \alpha \rangle M / M| = q$  by 6.3. By 6.3,  $X / O_p(X) \cong SL_2(q)$ , so  $|\langle \alpha \rangle| = q$ ,  $O_p(X) = 1$ .

**LEMMA 6.6.**  *$\Omega$  is locally conjugate in  $G$ ,  $\langle \alpha^\perp \rangle$  is transitive on  $A_\alpha$ , and  $G^\Omega$  is rank 3.*

*Proof.* By 6.5,  $\Omega$  is locally conjugate in  $G$ . Therefore, to show  $\langle \alpha^\perp \rangle$  is transitive on  $A_\alpha$  and thus that  $G^\Omega$  is rank 3, it suffices to show (\*) of 2.7. But if  $(\alpha, \gamma, \beta)$  is a triangle in  $\Omega$ , set  $X = \langle \alpha, \gamma, \beta \rangle$ . Then by 6.3,  $X / O_p(X) \cong SL_2(q)$  with  $\alpha^\perp \cap X = \alpha^{O_p(X)}$ . So 3.3 yields (\*).

Following the notation of D. Higman let  $k = |D_\alpha|$ ,  $l = |A_\alpha|$ ,  $\lambda = |D_\alpha \cap D_\beta|$  for  $\beta \in D_\alpha$ , and  $\mu = |D_\alpha \cap D_\gamma|$  for  $\gamma \in A_\alpha$ . Let  $m = |\beta^M|$ . [10] implies:

**LEMMA 6.7.**  *$l = k(k - \lambda - 1)/\mu$  and either*

(1)  *$k = l$  and  $\mu = (\lambda + 1)/2 = k/2$  or*

(2)  *$d^2 = (\lambda - \mu)^2 + 4(k - \mu)$  is a square and  $d$  divides  $2k + (\lambda - \mu)(k + l)$ .*

**LEMMA 6.8.**  *$O_\infty(L) = Z(L)$ .*

*Proof.* Assume not. Then there exists  $x \in O_\infty(L) = L \cap M$  with  $B^x \neq B$ . By 6.5,  $\beta^x \neq \beta$ , so  $\beta^x \in (\alpha^*\beta) \cap D_\gamma = \{\beta\}$ , a contradiction.

LEMMA 6.9.  $\alpha^*\gamma = \langle \alpha, \gamma \rangle \cap \Omega$  has order  $q + 1$ . If  $H/M \cong U_3(q)$  then  $m = q^2$ .

*Proof.* Assume  $n \geq 4$ . Then a hyperbolic line  $\beta\delta$  in  $\mathcal{B}(I)$  is as claimed. But  $\beta^*\delta \subseteq \beta\delta$  while clearly  $\langle \beta, \delta \rangle \cap \Omega \subseteq \beta^*\delta$ . Next assume  $n = 2$ . Then by 6.3,  $D_\alpha \cap D_\gamma = \langle \beta, \delta \rangle \cap \Omega$  for  $\beta, \delta \in D_\alpha \cap D_\gamma$ , and  $D_\beta \cap D_\delta = \langle \alpha, \gamma \rangle \cap \Omega$ , so  $\alpha^*\gamma$  is as claimed. Finally assume  $H/M \cong U_3(q)$ . Let  $Z = Z(\langle \alpha^\perp \rangle)$ .  $Z$  acts semiregularly on  $\alpha^*\gamma - \{\alpha\}$ . So if  $|\alpha^*\gamma| = q + 1$  then  $|Z| = q$ . If  $|\alpha^*\gamma| \neq q + 1$  then  $\alpha^*\gamma = D_\beta \cap D_\delta$ , for  $\beta, \delta \in D_\alpha \cap D_\gamma$ . So  $|\alpha^*\gamma| = q^3$  and  $N_G(\alpha^*\gamma)^{\alpha^*\gamma}$  acts as a subgroup of  $\text{Aut}(U_3(q))$ . But by 3.4,  $Z$  is elementary abelian, while an elementary subgroup of  $\text{Aut}(U_3(q))$  acting semiregularly on  $q^3$  letters has order at most  $q$ . Further  $|\alpha^*\gamma| - 1 = |N_{M\langle \alpha \rangle}(\alpha^*\gamma)| = |C_{M\langle \alpha \rangle}(L)| = |Z| = q$  by 3.4. So  $|\alpha^*\gamma| = q + 1$ .

Finally  $\mu = |I| = q^3 + 1$ ,  $\lambda = m - 1$ , and  $k = \mu m$  by 6.3 and 6.8. Thus by 6.7,  $q^3 m^2 = l$ , while by 6.6,  $l = |\langle \alpha^\perp \rangle : N_{\langle \alpha^\perp \rangle}(\gamma)| = |M\langle \alpha \rangle| = q m^3$  by 3.4. Thus  $m = q^2$ .

LEMMA 6.10. If  $H/M \cong L_2(q)$  then  $m = q$  or  $q^2$ . If  $H/M \cong Sp_n(q)$  or  $U_n(q)$ ,  $n \geq 3$ , then  $m = q$  or  $q^2$  respectively.

*Proof.* Assume  $H/M \cong L_2(q)$ . Then  $\mu = q + 1$ ,  $k = \mu m$  and  $\lambda = m - 1$ . So by 6.7,  $l = m^2 q$  and  $\mu + \lambda = m + q$  divides  $2k + (\lambda - \mu)(k + l) \equiv -2(q^2 - 1)q \pmod{m + q}$ . By 3.3, an element of order  $q - 1$  in  $L$  acts semiregularly on  $([A, M]/Z)^*$  of order  $m - 1$ , so  $q - 1$  divides  $m - 1$ . Thus  $q$  divides  $m = q^{r+1}$ . So  $q^r + 1$  divides  $2(q^2 - 1)$  and therefore  $r \leq 1$ . That is  $m = q$  or  $q^2$ .

So with 6.9 we can assume  $n \geq 4$ . Therefore, singular lines in  $L$  have order  $q$  or  $q^2$ , respectively. Thus as  $\alpha^*\beta = \{\alpha\} \cup \beta^M$  these lines are also lines in  $G$ .

LEMMA 6.11.  $H/M \cong U_n(q)$  and  $m = q^2$ .

*Proof.* If not  $\mu = \lambda + 2$ , so  $\mathcal{B}(\Omega)$  is a symmetric block design. Further all lines have order  $q + 1$ . Thus a result of Dembowski and Wager [8] implies  $\mathcal{B}(\Omega)$  is  $(n + 1)$ -dimensional projective space over  $GF(q)$ . As  $G$  is generated by the set of elations of  $\mathcal{B}(\Omega)$  commuting with the symplectic polarity  $\alpha \leftrightarrow \alpha^\perp$ ,  $G \cong Sp_{n+2}(q)$ .

The case  $n = 2$  must be treated differently since in this case the existence of  $D$ -subgroups isomorphic to  $U_3(q)$  are not assured. The following lemma treats this special case.

LEMMA 6.12.  $n \geq 3$ .

*Proof.* Assume  $n = 2$ . Let  $\beta, \delta \in I'$ , and set  $X = L_{\beta\delta}$ . We first determine the fixed point sets of elements of  $L$ .

If  $x \in \langle \beta \rangle^*$  then  $F(x) = \beta^\perp$ . If  $x \in X - Z(L)$ , then  $F(x) = \{\beta, \delta\} \cup \alpha^*\gamma$ . For if  $\sigma \in F(x)$  is not as claimed, then by 3.3,  $\sigma \in A_\alpha$ .  $x$  normalizes  $\langle \delta, \alpha \rangle \cong SL_2(q)$  and centralizes  $\alpha$ , so  $x$  centralizes  $\sigma$ . Thus a similar argument on  $\langle \sigma, \beta \rangle$  and  $\langle \sigma, \delta \rangle$  shows  $\sigma \in D_\beta \cap D_\delta = \alpha^*\gamma$ . If  $\langle x \rangle = Z(L)$  then  $F(x) = I' \cup (\alpha^*\gamma)$ . For arguing as above  $F(x) = C_\alpha(x)$ , and minimality of  $G$  implies  $\langle C_\alpha(x) \rangle / Z(\langle C_\alpha(x) \rangle) \cong L_2(q) \times L_2(q)$ ; that is  $C_\alpha(x) = I' \cup (\alpha^*\gamma)$ . Finally let  $x \in L$  act fixed point free on  $I'$ . As above  $F(x) = C_\alpha(x)$  and as  $D_\alpha \cap C_\alpha(x)$  is empty,  $\langle C_\alpha(x) \rangle = Y_{F(x)} \cong SL_2(q)$  or  $U_3(q)$ . And if  $Y \cong U_3(q)$  then  $Y$  is doubly transitive so  $x \in \langle D_\alpha \cap D_\sigma \rangle$  for  $\sigma \in F(x) - \{\alpha\}$ . Thus  $x$  is in  $q^2$  distinct conjugate of  $L$  in  $H$ . However, with 3.3,  $C_\alpha(x) = \langle \alpha \rangle$ , so there are  $m^2 q(q-1)/2$  conjugates of  $\langle x \rangle$  in  $H$ . On the other hand there are  $m^2$  conjugates of  $L$ , each containing  $q(q-1)/2$  conjugates of  $\langle x \rangle$ , so  $\langle x \rangle$  is in a unique conjugate of  $L$ . So  $F(x) = \alpha^*\gamma$ .

Let  $\bar{G} = U_4(q)$ , let  $\bar{D}$  be the class of subgroups generated by transvections in  $\bar{G}$ , let  $\bar{\alpha}$  consists of the members of  $\bar{D}$  whose center is a given singular point of the associated projective space, and let  $\bar{\Omega} = \bar{\alpha}^{\bar{G}}$ . Let  $\bar{\gamma} \in A_{\bar{\alpha}}$  and  $\bar{L} = \langle D_{\bar{\alpha}} \cap D_{\bar{\gamma}} \rangle$ . The discussion above implies  $\bar{L}^{\bar{G}}$  is permutation isomorphic to  $L^G$ .

Lemma 6.3 implies that every  $\sigma$  in  $\Omega - (\alpha^*\beta)$  appears in a unique  $D_{\beta_1}$ ,  $\beta_1 \in \alpha^*\beta$ . Set  $K = L_\beta$ , and let  $t \in L$  have cycle  $(\beta, \delta)$ . Let  $\sum_{i=0}^{q-2} \beta_i^k$  be a partition of  $\alpha^*\beta$  with  $\beta_0 = \alpha$  and  $\beta_1 = \beta$ . Set  $A_i = (\beta_i^* - (\alpha^*\beta)) \cup \{\beta_i\}$ , and  $A = \bigcup A_i$ . Then  $L$  maps the edge set of  $\mathcal{D}(A)$  onto the edge set of  $\mathcal{D}(\Omega)$ , except for edges in  $\mathcal{D}(\alpha^*\beta)$ .

Let  $T$  be permutation isomorphism of  $L$  and  $\bar{L}$ , and let  $\bar{\beta} = \beta T$ . Let  $\bar{\beta}_i^{KT}$  be orbits of  $KT$  on  $\bar{\alpha}^*\bar{\beta}$  and define  $\bar{A}$  as above with respect to these  $\bar{\beta}_i$ . There exists an isomorphism  $S$  of  $\mathcal{D}(A)$  and  $\mathcal{D}(\bar{A})$  such that  $S$  restricted to  $\mathcal{D}(A_i)$  commutes with  $T$  restricted to  $N_L(A_i)$  and  $N_{\bar{L}}(\sigma S) = (N_L(\sigma))T$  for  $\sigma \in A$ . For  $\sigma \in A_i$  there exists  $\bar{\sigma} \in \bar{A}_i$  with  $N_{\bar{L}}(\bar{\sigma}) = (N_L(\bar{\sigma}))T$  from the discussion above, so  $S$  can be defined in the obvious manner. So we can apply 2.6 to show  $\mathcal{D}(\Omega) \cong \mathcal{D}(\bar{\Omega})$  and thus  $G \cong \bar{G}$ , if we show condition (ii) of 2.6 is satisfied.

Clearly (ii) holds on  $A_0$ . Suppose  $\sigma, \sigma' \in A_1, x \in L$ . Claim  $\sigma^x = \sigma'^y$  for  $y \in K$ . As  $L = K \cup KtK$  we can assume  $x = t$ . Thus  $\sigma^x \in D_\beta \cap D_\delta = \alpha^*\gamma$ , so  $\sigma = \sigma^t$  is fixed by  $t$ . But  $K = N_L(A_1)$ , so (ii) holds here. Suppose  $\sigma, \sigma' \in A_i, i \geq 2$ . We consider the case  $|\sigma^L| = q^2 - 1$ ; the case  $|\sigma^L| = q(q^2 - 1)$  is analogous. Now  $\langle \beta \rangle = N_L(A_i)$  and  $q^2 = |A_i \cap \bigcup_{\alpha^*\gamma} D_\omega|$  in  $q$  orbits of length  $q$  under  $\langle \beta \rangle$ . These are the points in orbits of length  $q^2 - 1$  under  $L$ . Let  $\theta$  be the set of edges  $(\beta_i^y, \omega)$  with  $y \in L$

and  $|\omega^L| = q^2 - 1$ . Let  $N$  be the number of orbits of  $L$  on  $\theta$ . Then  $q(q^2 - 1)N = |(\beta_i, \sigma)^L|N = |\theta| = |\beta_i^L|q^2 = (q^2 - 1)q^2$ , so  $N = q$ . Thus  $(\beta_i, \sigma^x) = (\beta_i, \omega^y)$  for some  $\omega \in A_i, y \in \langle \beta \rangle$ . That is condition (ii) holds on  $A_i$ .

This completes the proof of 6.12.

A unitary  $(\alpha, \beta, \gamma)$  in  $\Omega$  is a triple with  $\beta \in A_\alpha$  and

$$\gamma \in \bigcap_{\delta \in \alpha^* \beta} A_\delta.$$

LEMMA 6.13. *If  $(\alpha, \beta, \gamma)$  is a unitary triple then  $\langle \alpha, \beta, \gamma \rangle / Z(\langle \alpha, \beta, \gamma \rangle) \cong U_3(q)$ .*

*Proof.* We can choose a unitary triple  $(\beta_1, \beta_2, \beta_3)$  in  $H$ . Set  $X = \langle \beta_1, \beta_2, \beta_3 \rangle$ . As  $H/M \cong U_n(q)$ ,  $X/Z(X) \cong U_3(q)$ . If  $n = 3$  we can count the number of unitary triples and the number of such triples centralizing some  $\alpha \in \Omega$ . These two numbers are equal. So assume  $n \geq 4$ , and let  $(\sigma_1, \sigma_2, \sigma_3)$  be a unitary triple. Choose  $\beta \in D_{\sigma_1} \cap D_{\sigma_2}$ . If  $\sigma_3 \in D_\sigma$  set  $\beta = \alpha$ . If not let  $\alpha^* \beta$  be a singular line in  $D_{\sigma_1} \cap D_{\sigma_2}$ . By 6.3, we can assume  $\alpha \in D_{\sigma_3}$ . Thus as above we are through.

Let  $(\alpha, \gamma, \delta)$  be a unitary triple in  $D_\beta$ . Set  $J = \langle D_\delta \cap \Gamma \rangle$ .

LEMMA 6.14.  *$J/Z(J) \cong U_{n-1}(q)$ .*

*Proof.* If  $n = 3$ ,  $\langle \alpha, \gamma, \delta \rangle = D_\beta \cap D_\sigma$  for suitable  $\sigma \in A_\beta$  and  $J = \langle \beta^* \sigma \rangle$ . If  $n = 4$ ,  $J$  has width one and a counting argument shows  $|J \cap \Omega| = q^3 + 1$ . Thus by minimality of  $G$ ,  $J/Z(J) \cong U_3(q)$ . Finally if  $n > 4$ , then arguing as in 6.3,  $J$  is transitive on  $J \cap D$  and  $\langle D_\beta \cap J \rangle / O_\infty(\langle D_\beta \rangle) \cong U_{n-3}(q)$ , so minimality of  $G$  implies the desired result.

LEMMA 6.15. *Let  $\theta = \Gamma \cup \delta^L$  and  $K = \langle \theta \rangle$ . Then  $K \cong SU_{n+1}(q)$  and  $\Omega = \theta \cup \alpha^K$ .*

*Proof.* Claim  $\theta^\theta = \theta$ . Clearly  $L$  normalizes  $\theta$ , so it suffices to show  $\delta$  normalizes  $\theta$ . Let  $\sigma \in \Gamma \cap A_\delta$ . Then  $\langle \sigma, \delta \rangle \cong SL_2(q)$ , so  $\sigma^\delta = \delta^\sigma \subseteq \theta$ . Thus  $\Gamma^\delta \subseteq \theta$ . Using the fact that 6.15 is true in  $U_{n+1}(q)$ , one can check that

$$L = J(\bigcup_{\mathcal{L}} \langle \sigma_1^* \sigma_2 \rangle)$$

where  $\mathcal{L}$  is the set of lines in  $L - J$ . Thus it suffices to show  $X \cap \Omega \subseteq \theta$  when  $X = \langle \sigma_1, \sigma_2, \delta \rangle$ . But if  $(\sigma_1, \sigma_2, \delta)$  is unitary, 6.13 implies  $X \cap \Omega = \sigma_1^* \sigma_2 \cup \delta^{\langle \sigma_1^* \sigma_2 \rangle} \subseteq \theta$  and if  $(\sigma_1, \delta, \sigma_2)$  is a triangle then  $X/O_p(X) \cong SL_2(q)$  and 3.3 yields the same equality.

So  $\theta^\theta = \theta$ .  $\alpha \notin \theta$ , so  $K \neq G$ .  $Y = \langle D_\beta \cap \theta \rangle = \langle D_\beta \cap \Gamma, \delta \rangle$ , so

$Y/O_\infty(Y) \cong U_{n-1}(q)$ .  $[L, \alpha] = 1$  and  $\delta \in A_\alpha$ , so  $\Gamma = D_\alpha \cap \theta$ . Arguing as above  $\theta \cup \alpha^K$  is self normalizing, so  $\Omega = \theta \cup \alpha^K$ .

Let  $Z = Z(K)$ .  $Z$  fixes  $\theta$  pointwise and  $K \leq C_\alpha(Z)$  is transitive on  $\Omega - \theta$ , so  $Z$  does not fix  $\alpha$ .  $|SU_{n+1}(q)|/|SU_n(q)| = |\alpha^K| = |K : N_K(\alpha)|$  and  $LZ/Z \cong SU_n(q)$ , so  $|Z| = (n+1, q)$ . Considering the covering group of  $U_{n+1}(q)$  we get  $K \cong SU_{n+1}(q)$ .

Put  $K$  and  $D_\delta$  in the roles of  $H$  and  $A$  in 2.6. Then 6.15 and 5.4 together with 2.6 imply  $G \cong U_{n+2}(q)$ .

This completes the proof of the main theorem.

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