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**WEAKLY ALMOST PERIODIC HOMEOMORPHISMS OF THE  
TWO SPHERE**

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## WEAKLY ALMOST PERIODIC HOMEOMORPHISMS OF THE TWO SPHERE

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A self-homeomorphism  $f$  of the 2-sphere  $S^2$  is weakly almost periodic (w.a.p.) if the collection of orbit closures forms a continuous decomposition of  $S^2$ . It is shown that if  $f$  is orientation-preserving, w.a.p. and nonperiodic, then  $f$  has exactly two fixed points, and every nondegenerate orbit closure is an homology 1-sphere. There is an example with an orbit closure which is an homology 1-sphere but not a real 1-sphere. If  $f$  is orientation-reversing, w.a.p. and has a fixed point, then  $f$  is shown to be periodic. The orbit structure of orientation-reversing, w.a.p., nonperiodic homeomorphisms on  $S^2$  is studied.

1. Introduction. Let  $f$  be a periodic mapping of the 2-sphere  $S^2$  to itself. Kerékjártó [8] and Eilenberg [3] showed that  $f$  is topologically equivalent either to the identity (every point fixed), to a rotation (two fixed points), a reflection (a simple closed curve of fixed points), or to a rotation followed by a reflection (no fixed points). If  $f$  satisfies the weaker condition of being almost periodic (equivalent to having equicontinuous iterates), then the fixed point set of  $f$  again is either empty or an  $i$ -sphere,  $0 \leq i \leq 2$ , [9]. (For related results on almost periodic mappings of subsets of  $S^2$ , see Hemmingsen [7].)

In the present paper we study the weakly almost periodic homeomorphisms on  $S^2$ , (the collection of orbit closures forms a continuous decomposition of  $S^2$ ), and show that the set of fixed points is still either empty or an  $i$ -sphere,  $0 \leq i \leq 2$ , (Theorem 3 and Corollary 5). Some other results are: if  $f: S^2 \rightarrow S^2$  is weakly almost periodic (w.a.p.), orientation-reversing, and has a fixed point, then  $f$  is periodic (Theorem 4); if  $f: S^2 \rightarrow S^2$  is w.a.p., orientation-preserving, and not periodic, then every nondegenerate orbit closure is an homology 1-sphere (Theorem 5).

A homeomorphism of  $S^2$  to itself which is w.a.p. but not almost periodic is given in [12, Example 1]. This example is not almost periodic since it has an orbit closure which is not locally connected, (see [7, Section 5]). The collection of orbit closures, however, is easily seen to be continuous.

Our main theorems are given in §§ 6 and 7. Section 3 gives a summary of results in the theory of prime ends which we need. Section 4 discusses the fixed point theory used in §§ 5, 6, and 7. (Those familiar with prime ends and local fixed point index may skip

§§ 3 and 4.) Many of our techniques are based on those of Cartwright and Littlewood in [2].

2. **Definitions and notation.** If  $f: X \rightarrow X$  is a homeomorphism and  $x \in X$ , then the *orbit closure* of  $x$  is the closure of the set of iterates  $\{f^n(x)\}$ ,  $n = 0, \pm 1, \pm 2, \dots$ , ( $f^0 = Id$ ).

The original definition of weakly almost periodic was given by Gottschalk in [5]. For compact spaces the original definition is equivalent to requiring that the orbit closures form a continuous decomposition [5, Theorem 5]. The equivalent definition which we shall use in our proofs is:  $f: S^2 \rightarrow S^2$  is *weakly almost periodic* if (a) the collection of orbit closures is a decomposition of  $S^2$ , (if two orbit closures meet, they are equal), and (b) for any closed set  $B$ , the union of all orbit closures which intersect  $B$  is a closed set, [6, Theorem 4.24, p. 34].

A point  $x \in X$  is a *nonwandering point* if for every neighborhood  $U$  of  $x$ , there is a nonzero integer  $n$  such that  $f^n(U) \cap U \neq \emptyset$ . If  $x$  is not a nonwandering point it is a *wandering point*. It is easily seen that if  $f: S^2 \rightarrow S^2$  is w.a.p. then every point is a nonwandering point.

A *domain* is a connected open set. If  $A$  is a set  $\text{Cl}(A)$  and  $\text{Bd}(A)$  denote the closure and boundary, respectively, of  $A$ . If  $U$  is a domain of  $S^2$  and  $x$  is a point in a component  $R$  of  $S^2 - \text{Cl}(U)$ , then  $\text{Bd}(R)$  is the *outer boundary of  $U$  with respect to  $x$* .

An *homology 1-sphere*  $K$  in  $S^2$  is a continuum (closed, connected set) such that  $S^2 - K$  has exactly two components.

An open triod is a set homeomorphic to the set of all points  $(x, y)$  in the plane such that either  $-1 < x < 1$  and  $y = 0$ , or  $x = 0$  and  $0 \leq y < 1$ . The points  $(-1, 0)$ ,  $(1, 0)$ ,  $(0, 1)$  are called the *feet* of the triod.

If  $U$  is a domain then a *crosscut* of  $U$  is an open arc in  $U$  whose closure is an arc which intersects  $\text{Bd}(U)$  in two points. An *endcut* of  $U$  is a half-open arc in  $U$  whose closure is an arc which intersects  $\text{Bd}(U)$  in one point.

3. **Prime ends.** In this section we state the results and definitions concerning prime ends which we shall use in §§ 5 and 6. The material in the present section is taken from [2], [11], and [15].

Let  $U$  be a simply-connected domain in  $S^2$  with a nondegenerate boundary. A *C-transformation* of  $U$  onto the open unit disk  $D$  is a homeomorphism  $T: U \rightarrow D$  such that the image of any crosscut in  $U$  is a crosscut in  $D$ , and the endpoints of such images of crosscuts

of  $U$  are dense in the boundary of  $D$ . The conformal mapping of  $U$  onto  $D$  given by the Riemann mapping theorem shows that  $C$ -transformations always exist. However,  $C$ -transformations may be constructed by topological methods, without using conformal mapping theory, [15, Appendix 2].

Given a homeomorphism  $f$  of the closure of  $U$  onto itself, and a  $C$ -transformation  $T$  of  $U$  onto  $D$ , we have that  $TfT^{-1}: D \rightarrow D$  is a  $C$ -transformation which may be extended to a homeomorphism of the closed unit disk onto itself, [15, (4.10) on page 6, and (A1.7) on page 27].

A collection of crosscuts  $Q_1, Q_2, \dots$  of the simply connected domain  $U$  is a *chain* if (a) the arcs  $\text{Cl}(Q_1), \text{Cl}(Q_2), \dots$  are pairwise disjoint, (b)  $Q_n$  separates  $Q_{n-1}$  from  $Q_{n+1}$  in  $U$ , (c) there is a point on  $\text{Bd}(U)$  whose greatest distance from  $Q_n$  approaches 0 as  $n \rightarrow \infty$ . Corresponding to each  $Q_n$  there is a domain  $G_n$  of  $U - Q_n$  containing  $Q_{n+1}$ . Note that  $G_1 \supset G_2 \supset \dots$ .

If  $\{Q_i\}$ ,  $\{R_i\}$  are chains of crosscuts, and  $\{G_i\}$ ,  $\{H_i\}$  are their respective corresponding domains, then  $\{Q_i\}$ ,  $\{R_i\}$  are *equivalent* chains if for every  $n$  there is an  $m$  such that  $H_m \subset G_n$  and  $G_m \subset H_n$ . Equivalent chains are said to define the same *prime end*. Thus, a prime end of  $U$  is an equivalence class of chains of  $U$ .

If  $Q_1, Q_2, \dots$  is a chain of crosscuts in  $U$ , then their images  $T(Q_1), T(Q_2), \dots$  under the  $C$ -transformation  $T: U \rightarrow D$  is a chain in  $D$ , [15, Appendix 2]. If  $\{Q_i\}$  and  $\{R_i\}$  are equivalent chains in  $U$ , then  $\{T(Q_i)\}$ , and  $\{T(R_i)\}$  are equivalent chains in  $D$ , and in fact converge to the same point on the boundary of  $D$ , ( $\{Q_i\}$  and  $\{R_i\}$  may not converge to the same point on  $\text{Bd}(U)$ ). Thus,  $T$  sets up a 1 - 1 correspondence between prime ends of  $U$  and points of the unit circle [11, p. 621].

If  $f: \text{Cl}(U) \rightarrow \text{Cl}(U)$  is a homeomorphism and  $E$  is a prime end of  $U$ , then  $E$  is *fixed* by  $f$  if for some chain  $\{Q_i\}$  defining  $E$ , we have that  $\{Q_i\}$  and  $\{f(Q_i)\}$  are equivalent chains. This definition is easily seen to be independent of which defining chain is used. If  $T: U \rightarrow D$  is a  $C$ -transformation,  $h: \text{Cl}(D) \rightarrow \text{Cl}(D)$  is the extension of  $TfT^{-1}$ , and  $e$  is the point on  $\text{Bd}(D)$  corresponding to the fixed prime end  $E$ , then  $h(e) = e$ . Conversely, every fixed point of  $h$  on  $\text{Bd}(D)$  corresponds to a fixed prime end of  $f$ .

If  $E$  is a prime end of  $U$ ,  $\{Q_i\}$  is a defining chain for  $E$ , and  $p$  is the point on  $\text{Bd}(U)$  to which the crosscuts  $\{Q_i\}$  converge, then  $p$  is a *principal point* of  $E$ . (We remark that there exists a  $U$  with a prime end  $E$  such that every point of  $\text{Bd}(U)$  is a principal point of  $E$ , [13].)

If  $A$  is an endcut in  $U$  with an endpoint  $s \in \text{Bd}(U)$ , then there is a chain  $\{Q_i\}$  defining a prime end  $E$  such that  $s$  is a principal

point of  $E$  and each crosscut  $Q_i$  separates the endpoint of  $A$  in  $U$  from some (open) subarc of  $A$  having  $s$  as an endpoint.  $E$  is the *prime end determined by  $A$* . If  $T: U \rightarrow D$  is a  $C$ -transformation, and  $e$  is the point on  $\text{Bd}(D)$  corresponding to  $E$ , then  $T(A)$  is an endcut in  $D$  having  $e$  as an endpoint, [15, page 5].

4. Lefschetz number and local fixed point index. In this section we state the results concerning fixed points which we shall use in §§ 5, 6, and 7.

If  $X$  is a compact polyhedron and  $f: X \rightarrow X$  is a map (continuous function), then there is a certain rational number  $L(f)$ , called the *Lefschetz number* of  $f$ , associated with  $f$  and  $X$ , [14, p. 195]. We shall use the following two facts about  $L(f)$ .

*Fact 1.* If  $X$  is a two cell, then  $L(f) = 1$ .

*Fact 2.* If  $X$  is a 2-sphere and  $f$  is an orientation-preserving homeomorphism, then  $L(f) = 2$ .

For proofs of Facts 1 and 2, see [14, p. 196].

If  $e$  is the category of compact polyhedra and maps, let  $A(e)$  denote the set of pairs  $(f, U)$ , where  $f: X \rightarrow X$  is a map in  $e$  and  $U$  is an open subset of  $X$  such that  $f$  has no fixed points on the boundary of  $U$ . Then there is a function  $i$ , the *local fixed point index*, from  $A(e)$  into the rationals which satisfies the following axioms:

A1. If  $(f, U), (g, U)$  belong to  $A(e)$ , and  $f = g$  on the closure of  $U$ , then  $i(f, U) = i(g, U)$ .

A2. If  $f_t$  is a homotopy such that  $(f_t, U) \in A(e)$  for each  $t$ ,  $0 \leq t \leq 1$ , then  $i(f_0, U) = i(f_1, U)$ .

A3. If  $(f, U) \in A(e)$  and  $U$  contains mutually disjoint open sets  $V_j, j = 1, \dots, k$ , such that  $f$  has no fixed points on  $U - \bigcup_{j=1}^k V_j$ , then

$$i(f, U) = \sum_{j=1}^k i(f, V_j).$$

In particular, if  $f$  has no fixed points on  $U$ ,  $i(f, U) = 0$ .

A4. If  $f: X \rightarrow X$  belongs to  $e$ , then  $i(f, X) = L(f)$ .

A5. If the maps  $f: X \rightarrow Y, g: Y \rightarrow X$  belong to  $e$ , and

$$(gf, U) \in A(e),$$

then  $i(gf, U) = i(fg, g^{-1}(U))$ .

For further discussion of the local fixed point index see [4] or [1].

REMARK. If  $D$  is the open unit disk, and  $h$  is a map of the closure of  $D$  to itself with no fixed points on  $\text{Bd}(D)$ , then  $i(h, D) = 1$ . For, by Fact 1 and Axiom A4,  $1 = L(h) = i(h, \text{Cl}(D))$ . Then, by Axiom A3,  $i(h, \text{Cl}(D)) = i(h, D)$ .

5. Preliminary lemmas. Our first lemma is based on Lemma 11 of [2].

LEMMA 1. *Suppose  $f: S^2 \rightarrow S^2$  is a homeomorphism,  $U$  is a simply connected domain with nondegenerate boundary,  $f(U) = U$ , and every point of  $U$  is a nonwandering point. Suppose also that  $E$  is a prime end of  $U$  which is fixed by  $f$ . Then every principal point of  $E$  is a fixed point of  $f$ .*

*Proof.* Let  $Q_1, Q_2, \dots$  be a chain of crosscuts defining  $E$  which converge to the principal point  $p$  of  $E$ .

*Case 1.*  $f(Q_i) \cap Q_i = \phi$  for some  $i$ . Let  $V$  be the component of  $U - Q_i$  containing  $Q_{i+1}, Q_{i+2}, \dots$ .  $E$  is fixed by  $f$ , so  $\{Q_j\}$  and  $\{f(Q_j)\}$  are equivalent chains, hence  $f(V) \cap V \neq \phi$ . But then  $f(V)$  either contains or is contained in  $V$ . Assume  $f(V) \subset V$ . Let  $W$  be the nonempty open set  $V - \text{Cl}(f(V))$ . Then  $f^n(W) \cap W = \phi$  if  $n \neq 0$ . Thus no point of  $W$  is a nonwandering point. This contradiction shows that Case 1 cannot occur.

*Case 2.*  $f(Q_i) \cap Q_i \neq \phi$  for all  $i$ ,  $i = 1, 2, \dots$ . For each  $i$ , select a point  $x_i \in Q_i$  such that  $f(x_i) \in Q_i$ . The crosscuts  $Q_1, Q_2, \dots$  converge to the principal point  $p$ , hence  $\{x_i\} \rightarrow p$ , hence  $\{f(x_i)\} \rightarrow f(p)$ . But  $f(x_i) \in Q_i$ , hence  $\{f(x_i)\} \rightarrow p$ . Hence  $f(p) = p$  and the proof of Lemma 1 is complete.

LEMMA 2. *Suppose  $f: S^2 \rightarrow S^2$  is a homeomorphism,  $M$  is an invariant continuum in  $S^2$  which contains no fixed point of  $f$ , and every point of  $S^2$  is a nonwandering point. Then  $i(f, U) = 1$  for every component  $U$  of  $S^2 - M$  which is invariant under  $f$ . (See § 4 for discussion of the fixed point index  $i(f, U)$ .)*

*Proof.* Let  $U$  be a component of  $S^2 - M$  such that  $f(U) = U$ .  $M$  is connected, hence  $U$  is simply connected. Also,  $\text{Bd}(U)$  is nondegenerate, since  $M$  contains no fixed point of  $f$ . Let  $T$  be a  $C$ -transformation of  $U$  onto the open unit disk  $D$ . Extend  $TfT^{-1}$  to a

homeomorphism  $h$  of  $\text{Cl}(D)$  onto itself. Since  $\text{Bd}(U)$  contains no fixed point of  $f$ , we see by Lemma 1 that  $U$  has no fixed prime ends. Hence  $h$  has no fixed points on  $\text{Bd}(D)$ . Hence  $i(h, D) = 1$  by the Remark, § 4.

We would like to conclude from Axiom A5 of § 4 that  $i(f, U) = 1$ . However,  $D$  and  $U$  are not compact polyhedra. We overcome this difficulty as follows: let  $X$  be an open 2-cell which contains the fixed points of  $f$  in  $U$  and whose closure is contained in  $U$ . Let  $Y$  be a closed 2-cell in  $U$  containing  $\text{Cl}(X) \cup f(\text{Cl}(X))$ . Let  $r_1: \text{Cl}(D) \rightarrow T(Y)$ , and  $r_2: S^2 \rightarrow Y$  be retractions. Since  $T(X)$  contains all fixed points of  $h$ , we have:

$$\begin{aligned}
 1 = i(h, D) &= i(h, T(X)) && \text{by Axiom A3} \\
 &= i(T r_2 f T^{-1} r_1, T(X)) && \text{by A1} \\
 &= i(f T^{-1} r_1 T r_2, X) && \text{by A5} \\
 &= i(f, X) && \text{by A1} \\
 &= i(f, U) && \text{by A3.}
 \end{aligned}$$

The proof of Lemma 2 is complete.

### 6. Fixed point sets of weakly almost periodic homeomorphisms on $S^2$ .

**THEOREM 3.** *Suppose  $f: S^2 \rightarrow S^2$  is a w.a.p. orientation-preserving homeomorphism. Then either  $f$  is the identity or  $f$  has exactly two fixed points.*

*Proof.* Let  $\text{Fix}(f)$  denote the set of fixed points of  $f$ . Assume  $\text{Fix}(f) \neq S^2$ . Since  $f$  is orientation-preserving it is easily seen that  $f$  leaves every component of  $S^2 - \text{Fix}(f)$  invariant, and so we may select an arc  $A$  in one of these components such that  $f(A) \cap A \neq \emptyset$ . Denote by  $M$  the union of all orbit closures which meet  $A$ .  $M$  is closed, since  $f$  is w.a.p.;  $M$  contains no fixed point of  $f$ ; and  $M$  is connected since  $M$  is the union of the connected set

$$\bigcup_{n=-\infty}^{\infty} f^n(A)$$

and limit points of this set.

Since  $M$  and  $\text{Fix}(f)$  are disjoint closed sets, we see that  $\text{Fix}(f)$  is contained in a finite number  $U_1, \dots, U_s$  of components of  $S^2 - M$ . By Axioms A3, A4, and Fact 2 of § 4, we have

$$2 = L(f) = i(f, S^2) = \sum_{j=1}^s i(f, U_j) .$$

But by Lemma 2,  $i(f, U_j) = 1$ ,  $1 \leq j \leq s$ . Hence  $s = 2$ .

It remains to show that  $\text{Fix}(f) \cap U_j, j = 1, 2$ , is a single point.

Let  $U$  be the component of  $U_1 - \text{Fix}(f)$  with  $\text{Bd}(U_1) \subset \text{Bd}(U)$ . Since  $\text{Bd}(U_1)$  and  $\text{Fix}(f)$  are disjoint closed sets, we see that  $\text{Bd}(U) - \text{Bd}(U_1)$  is a closed nonempty subset of  $\text{Fix}(f)$ .

*Case 1.*  $\text{Bd}(U) - \text{Bd}(U_1)$  has more than one component. Then by [16, Corollary 3.11, p. 109], there is a simple closed curve  $J$  in  $U$  which separates  $\text{Bd}(U) - \text{Bd}(U_1)$ . Let  $B$  be an arc with one endpoint on  $\text{Bd}(U_1)$ , the other on  $J$ , and contained in  $U$  except for one endpoint. Then  $\text{Bd}(U_1) \cup J \cup B$  is connected, and

$$f(\text{Bd}(U_1) \cup J \cup B) \cap (\text{Bd}(U_1) \cup J \cup B) \neq \phi.$$

Thus if we denote by  $N$  the union of all orbit closures which intersect  $\text{Bd}(U_1) \cup J \cup B$ , we see that  $N$  is an invariant continuum which contains no fixed point of  $f$  (this follows similarly to the case of  $M$  above). Let  $V_1, \dots, V_t$  be the (finite) number of components of  $S^2 - N$  such that  $\text{Fix}(f) \cap V_j \neq \phi$  and  $V_j \subset U_1, 1 \leq j \leq t$ . By Lemma 2,  $i(f, V_j) = 1, 1 \leq j \leq t$ . By Axiom A3,

$$1 = i(f, U_1) = \sum_{j=1}^t i(f, V_j) = t.$$

But  $J$  separates two points of  $\text{Fix}(f) \cap U_1$ , hence  $t > 1$ . This contradiction shows that Case 1 cannot occur.

*Case 2.*  $\text{Bd}(U) - \text{Bd}(U_1)$  is connected. The proof will be complete if we show that  $\text{Bd}(U) - \text{Bd}(U_1)$  is a single point. We assume that  $\text{Bd}(U) - \text{Bd}(U_1)$  is a nondegenerate continuum and derive a contradiction.

Assuming  $\text{Bd}(U) - \text{Bd}(U_1)$  is a nondegenerate continuum we establish

*Claim 1.* There is a simply connected invariant domain  $C_v$  containing two endcuts  $A$  and  $B$  such that the endpoint of  $B$  on  $\text{Bd}(C_v)$  is not a fixed point of  $f$ , and the endpoint of  $A$  on  $\text{Bd}(C_v)$  is a fixed point of  $f$  which is not a limit point of  $\text{Bd}(C_v) - \text{Fix}(f)$ .

Let  $Q$  be a crosscut in  $U$  both of whose endpoints lie on

$$\text{Bd}(U) - \text{Bd}(U_1).$$

Let  $V$  be the component of  $U - Q$  whose boundary does not intersect  $\text{Bd}(U_1)$ , [15, (5.3), p. 6].  $V$  is a component of

$$S^2 - ((\text{Bd}(U_1) - \text{Bd}(U)) \cup Q).$$



Let  $p$  be a point of  $\text{Bd}(V) - \text{Cl}(Q)$ . Note that  $p$  is a fixed point of  $f$ .

Denote by  $L$  the union of all orbit closures which intersect  $\text{Cl}(Q)$ .  $L$  is a continuum.  $p$  is not a limit point of  $L$  so there is a connected neighborhood  $0$  of  $p$  which misses  $L$ . Let  $A$  be an endcut of  $V$  which is contained in  $0$ . Let  $C_v$  be the component of

$$S^2 - ((\text{Bd}(U) - \text{Bd}(U_1)) \cup L)$$

which contains the endcut  $A$ . The endpoint of  $A$  in  $\text{Bd}(C_v)$  has a neighborhood  $0$  which misses  $L$ , hence  $0 \cap \text{Bd}(C_v) \subset \text{Fix}(f)$ .

Let  $B'$  be an endcut of  $V$  with one endpoint  $b$  in  $C_v$  and the other in the crosscut  $Q$ . Then the component of  $B' \cap C_v$  containing  $b$  is the required endcut  $B$ .

$C_v$  is simply connected because  $(\text{Bd}(U) - \text{Bd}(U_1)) \cup L$  is connected, (see [15, (5.3), p. 6] and [10, Theorem 74, p. 217]).

$C_v$  is invariant because (a)  $(\text{Bd}(U) - \text{Bd}(U_1)) \cup L$  is invariant, (b)  $\text{Bd}(C_v)$  contains a continuum of fixed points of  $f$ , and (c)  $f$  is orientation-preserving, (for further details see proof of Claim 2 below). The proof of Claim 1 is complete.

*Claim 2.* The prime end  $E$  of  $C_v$  determined by the endcut  $A$  is a fixed prime end of  $f$ .

Let  $S_1, S_2, \dots$  be a chain of crosscuts converging to the endpoint  $s$  of  $A$  and defining the prime end  $E$ . Since  $s$  is not a limit point of  $\text{Bd}(C_v) - \text{Fix}(f)$ , we may assume that the endpoints of  $S_i$  are fixed points of  $f$  for every  $i$ ,  $i = 1, 2, \dots$ . We also may assume that every crosscut  $S_i$  intersects  $A$ . From the crosscut  $S_i$  and the endcut  $A$  we may construct an open triod  $T_i$  (see § 2 for definition) whose feet are fixed points of  $f$ . Since  $f$  is orientation-preserving, we see easily that  $f(T_i) \cap T_i \neq \phi$ . (Hence  $f(C_v) \cap C_v \neq \phi$ , and since  $(\text{Bd}(U) - \text{Bd}(U_1)) \cup L$  is invariant, we have  $f(C_v) = C_v$ .)

Since  $f(T_i) \cap T_i \neq \phi$  for  $i = 1, 2, \dots$ , we see that  $\{S_i\}$  and  $\{f(S_i)\}$  are equivalent chains, hence  $E$  is a fixed prime end of  $f$ . The proof of Claim 2 is complete.

Let  $T$  be a  $C$ -transformation of  $C_v$  onto the open unit disk  $D$ . Extend the homeomorphism  $TfT^{-1}: D \rightarrow D$  to a homeomorphism  $h$  of the closed unit disk onto itself.  $h$  is orientation-preserving, since  $f$  is.

By Claim 2, there is a fixed prime end of  $C_v$ ; hence  $h$  has a fixed point on  $\text{Bd}(D)$ . But then, since  $h$  is orientation-preserving, every point of  $\text{Bd}(D)$  is either a fixed point of  $h$  or converges to a fixed point under positive iterates of  $h$  [2, Lemma 14].

Consider the endcut  $B$  of Claim 1. The endpoint of  $B$  on  $\text{Bd}(C_v)$

is not fixed by  $f$ , but this endpoint is a principal point of the prime end  $F$  determined by  $B$ . Hence, by Lemma 1,  $F$  is not a fixed prime end. Hence, if  $e$  is the endpoint of  $T(B)$  on  $\text{Bd}(D)$ ,  $e$  is not a fixed point of  $h$ . But then, there is a fixed point  $m$  of  $h$  on  $\text{Bd}(D)$  such that  $\{h^n(e)\}_{n=0}^\infty \rightarrow m$ . If  $M$  is the prime end of  $C_v$  corresponding to the point  $m$ , then by Lemma 1, every principal point of  $M$  is a fixed point of  $f$ .

Let  $X_1, X_2, \dots$  be a chain of crosscuts of  $C_v$  defining the prime end  $M$ . We claim that for large  $j$ ,  $T(X_j)$  intersects the orbit under  $h$  of  $T(B)$ . To see this we proceed as follows. Let  $b$  be the endpoint of  $B$  in  $C_v$ . Then the orbit closure of  $b$  is contained in  $C_v$ ; therefore, the orbit closure of  $T(b)$  under  $h$  is contained in  $D$ . In particular,  $m$  is not a limit point of the orbit of  $T(b)$ . Hence, for large  $j$ , the closure of the crosscut  $T(X_j)$  separates  $m$  and the orbit of  $T(b)$  in  $\text{Cl}(D)$ . But the other endpoint  $e$  of  $T(B)$  converges to  $m$  under positive iterates of  $h$ , so for large  $j$ , there is a positive integer  $n$  such that  $h^n(\text{Cl}(T(B)))$  intersects both components of

$$\text{Cl}(D) - \text{Cl}(T(X_j)).$$

Hence  $h^n(T(B))$  intersects  $T(X_j)$ , and our claim is established.

Hence, for large  $j$ ,  $X_j$  intersects the orbit under  $f$  of  $\text{Cl}(B)$ .

But the chain  $X_1, X_2, \dots$  of crosscuts converges to a principal point  $q$  of the prime end  $M$ . But then  $q$  is a fixed point of  $f$  which is a limit point of the orbit of  $\text{Cl}(B)$ . Therefore, the union of all orbit closures which intersect  $\text{Cl}(B)$  is not a closed set. This contradicts the fact that  $f$  is w.a.p.

This final contradiction establishes that  $\text{Bd}(U) - \text{Bd}(U_1)$  is a single point. Similarly,  $\text{Fix}(f) \cap U_2$  is a single point, and so  $f$  has exactly two fixed points. The proof of Theorem 3 is complete.

**THEOREM 4.** *Suppose  $f: S^2 \rightarrow S^2$  is a w.a.p. orientation-reversing homeomorphism. Then either  $f$  has no fixed points, or  $f$  is periodic with period 2.*

*Proof.* Suppose  $f$  has a fixed point.

*Claim.*  $f$  has more than two fixed points.

Suppose the claim is not true. Let  $A$  be an arc intersecting no fixed point, such that  $A \cap f(A) \neq \emptyset$ . Denote by  $M$  the union of all orbit closures which intersect  $A$ .  $M$  is an invariant continuum containing no fixed points of  $f$ . Let  $U$  be a component of  $S^2 - M$  containing a fixed point of  $f$ . Then  $f(U) = U$  and  $U$  is simply connected with a nondegenerate boundary. Let  $T$  be a  $C$ -transformation of  $U$

onto the open unit disk  $D$ . Extend  $TfT^{-1}$  to a homeomorphism  $h$  of the closed unit disk onto itself.  $h$  is orientation-reversing, since  $f$  is. But then  $h$  must have two fixed points on  $\text{Bd}(D)$ , [16, Theorem 7.3, p. 264]. These fixed points correspond to fixed prime ends of  $U$ . By Lemma 1, the principal points of these prime ends are fixed points of  $f$ . This contradicts the assumption that  $M$  contains no fixed points of  $f$ . The proof of our claim is complete.

But now consider the homeomorphism  $f^2: S^2 \rightarrow S^2$ .  $f^2$  is orientation-preserving, w.a.p. [6, Theorem 4.24, p. 34 and Theorem 2.33, p. 17], and by our claim, has more than two fixed points. Hence, by Theorem 3,  $f^2 = \text{Id}$ . The proof of Theorem 4 is complete.

**COROLLARY 5.** *Suppose  $f: S^2 \rightarrow S^2$  is a w.a.p. orientation-reversing homeomorphism. Then the set of fixed points of  $f$  is either empty or is a simple closed curve.*

*Proof.* Follows from Theorem 4 and [3].

## 7. Orbit closures of weakly almost periodic homeomorphisms on $S^2$ .

**THEOREM 6.** *Suppose  $f: S^2 \rightarrow S^2$  is a w.a.p. orientation-preserving homeomorphism which is not periodic. Then every nondegenerate orbit closure is a 1-dimensional homology 1-sphere.*

*Proof.*  $f \neq \text{Id}$  so by Theorem 3,  $f$  has exactly two fixed points. Let  $K$  be a nondegenerate orbit closure. We show that  $K$  separates the fixed points of  $f$ . Suppose not. Then there is a simple closed curve  $J$  which separates  $K$  and the fixed points of  $f$ , (connect the fixed points by an arc missing  $K$ , then “enlarge” the arc slightly to obtain a disk whose boundary is  $J$ ). We must have  $f(J) \cap J \neq \emptyset$ , since otherwise every point of  $J$  would be a wandering point. Denote by  $M$  the union of all orbit closures which intersect  $J$ . Then  $M$  is an invariant continuum which separates  $K$  and the fixed points of  $f$ . Let  $U$  be a component of  $S^2 - M$  which intersects  $K$ . Since every point of  $U$  is a nonwandering point, there is an integer  $n$  such that  $f^n(U) \cap U \neq \emptyset$ . Since  $M$  is invariant,  $f^n(U) = U$ .

$f^n$  is a w.a.p. orientation-preserving homeomorphism [6, p. 34 and p. 17].  $f$  is not periodic, hence  $f^n \neq \text{Id}$ , hence by Theorem 3,  $f^n$  has exactly two fixed points. These fixed points are the original fixed points of  $f$ , and so the domain  $U$  contains no fixed points of  $f^n$ . But by Lemma 2,  $i(f^n, U) = 1$ . This contradiction shows that the orbit closure  $K$  must separate the fixed points of  $f$ .

We now show that  $K$  is connected. Let  $V$  be a component of  $S^2 - K$  containing a fixed point of  $f$ . Let  $B$  be the outer boundary of  $V$  with respect to the fixed point of  $f$  not in  $V$ , (see § 2 for definitions).  $B$  is connected, [10, Theorem 25, p. 176]. And  $V$  and the fixed points of  $f$  are invariant, hence  $B$  is invariant. But  $K$  is a minimal invariant set, and  $B \subset K$ , hence  $B = K$ .

$K$  is one dimensional, since outer boundaries contain no interior points.

Finally,  $S^2 - K$  has exactly two components. For, if there were more than two components, then some component  $U$  would contain no fixed point of  $f$ , and we would arrive at the same contradiction as in proving that  $K$  separates the fixed points of  $f$ .

Thus  $K$  is a 1-dimensional homology 1-sphere and the proof of Theorem 6 is complete.

REMARK. [12, Example 1] is an example of a w.a.p. orientation-preserving homeomorphism with an orbit closure which is an homology 1-sphere but not a real 1-sphere.

THEOREM 7. *Suppose  $f: S^2 \rightarrow S^2$  is a w.a.p. orientation-reversing homeomorphism which is not periodic. Then, with two exceptions, every orbit closure is the union of two disjoint homology 1-spheres. The exceptions are (a) a period 2 orbit, and (b) one orbit closure which is an homology 1-sphere (the "axis of reflection").*

*Proof.*  $f^2$  is a w.a.p., orientation-preserving, nonperiodic homeomorphism. Hence, by Theorems 3 and 6,  $f^2$  has two fixed points, and every nondegenerate orbit closure is an homology 1-sphere. The orbit closure under  $f$  of a point  $x$  is the union of the orbit closure of  $x$  under  $f^2$  and the orbit closure of  $f(x)$  under  $f^2$ . Thus, the two fixed points of  $f^2$  correspond to a period 2 orbit under  $f$ , and every other orbit closure under  $f$  is the union of two homology 1-spheres which are either disjoint or equal. Let  $H$  denote the collection of orbit closures under  $f$  which are homology 1-spheres. We show that  $H$  has exactly one element.

Let  $G$  be the decomposition space whose points are orbit closures under  $f^2$ . Let  $w: S^2 \rightarrow G$  be the natural decomposition map [16, p. 125]. If  $K$  is any nondegenerate orbit closure under  $f^2$ , then  $w(K)$  is a cut point of  $G$ , since  $K$  separates  $S^2$ ,  $w$  is an open map, [16, p. 130], and orbit closures are connected. Hence  $G$  has exactly two noncut points, (the fixed points of  $f^2$ ), hence  $G$  is an arc, [16, p. 54]. Define a map  $g: G \rightarrow G$  by  $g(w(K)) = w(f(K))$  for all orbit closures  $K$  of  $f^2$ . It is easily seen that  $g$  is a nontrivial period 2

map of the arc  $G$  onto itself. Fixed points of  $G$  correspond to elements of the set  $H$  defined above. But  $g$  has exactly one fixed point [16, p. 264]. The proof of Theorem 7 is complete.

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