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ON THE NIELSEN NUMBER OF A FIBER MAP

DENNIS MCGAVRAN AND JINGYAL PAK

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Suppose $\mathscr{T} = \{E, \pi, B, F\}$ is a fiber space such that $0 \rightarrow \pi_1(F) \xrightarrow{i_{\sharp}} \pi_1(E) \xrightarrow{\pi_{\sharp}} \pi_1(B) \rightarrow 0$ is exact. Suppose also that the above fundamental groups are abelian. If $f: E \rightarrow E$ is a fiber preserving map such that $f_{\sharp}(\alpha) = \alpha$ if and only if $\alpha = 0$, then it is shown that $R(f) = R(f') \cdot R(f_b)$ where R(h) is the Reidemeister number of the map h.

A product formula for the Nielsen number of a fiber map which holds under certain conditions was introduced by R. Brown. Let $\mathscr{T} = \{E, \pi, L, (p, q), s^i\}$ be a principal s^i -bundle over the lens space L(p, q), where \mathscr{T} is determined by $[f_j] \in$ $[L(p, q), cp^{\infty}] \simeq H^2(L(p, q), z) \simeq z_p$. Let $f: E \to E$ be a fiber preserving map such that $f_{b\xi}(1) = c_2, f'_{\xi}(\bar{l}_p) = \bar{c}_1$, where 1 generates $\pi_1(s^i) \simeq z$ and \bar{l}_p generates $\pi_1(L(p, q)) \simeq z_p$. Then the Nielsen numbers of the maps involved satisfy

$$N(f) = N(f_b) \cdot (d, 1 - c_1, s)$$
,

where d = (j, p) and $s = j/p(c_1 - c_2)$.

I. Introduction. Let $\mathscr{T} = \{E, \pi, B, F\}$ be a fiber space. Any fiber preserving map $f: E \to E$ induces maps $f': B \to B$, and, for each $b \in B$, $f_b: \pi^{-1}(b) \to \pi^{-1}(b)$, where $\pi^{-1}(b) \simeq F$. The map f will be called a fiber map (or bundle map if \mathscr{T} is a bundle).

Let N(g) denote the Nielsen number of a map g. The Nielsen number, N(g), serves as a lower bound on the number of fixed points of a map homotopic to g, and under certain hypotheses, there exists a map homotopic to g with exactly N(g) fixed points. R. Brown and E. Fadell ([2] and [3]) proved the following:

THEOREM. Let $\mathscr{T} = \{E, \pi, B, F\}$ be a locally trivial fiber space, where E, B, and F are connected finite polyhedra. Let $f: E \to E$ be a fiber map. If one of the following conditions holds:

(i) $\pi_1(B) = \pi_2(B) = 0$.

(ii) $\pi_1(F) = 0$.

(iii) \mathscr{T} is trivial and either $\pi_{i}(B) = 0$ or $f = f' \times f_{b}$ for all $b \in B$ then $N(f) = N(f') \cdot N(f_{b})$ for all $b \in B$.

These strong restrictions on the spaces involved eliminate some interesting fiber spaces. For example, any circle bundle over B with $\pi_1(B) \neq 0$ is excluded. Furthermore, if $\pi_1(B) = \pi_2(B) = 0$, then the total space E is $B \times S^1$.

This paper has two objectives. The first is to try to generalize

the above result to the case of a bundle $\mathscr{T} = \{E, \pi, B, F\}$ where $\pi_1(B)$ is a nontrivial abelian group, and $\pi_2(B) = 0$. The second is to investigate the relationships between the Nielsen numbers of the maps f, f', and f_b for particular circle bundles.

In this paper all spaces are path-connected.

II. Some general results. The reader may refer to [1] and [2] for definitions and details concerning the Nielsen number N(f), Reidemeister number R(f), and Jiang subgroup T(f) of a map $f: X \to X$.

We will be particularly interested in the Reidemeister number. It serves as an upper bound on N(f) and in many cases R(f) = N(f). Let $h: G \to G$ be a homomorphism where G is an abelian group. It is shown in [1] that $R(h) = |\operatorname{coker} (1 - h)| (||\operatorname{means the order of a}$ group). The Reidemeister number of a map $f: X \to X$ is defined to be the Reidemeister number of the induced homomorphism $f_{\sharp}: \pi_1(X) \to \pi_1(X)$. Now let \mathscr{T} be a fiber space. Let $F_b = \pi^{-1}(b)$. If $w: I \to B$ is such that w(0) = b and w(1) = b', we may translate $F_{b'}$ along the path w to F_b (see [6]). This gives a homeomorphism $\overline{w}: F_{b'} \to F_b$. Given a fiber map $f: E \to E$, we have the natural map $f'_b: F_b \to F_{f'(b)}$, the restriction of f to F_b . Then by definition $f_b = \overline{w} \circ f'_b$. For more details on $f_b: F_b \to F_b$ readers are referred to [2].

Suppose \mathscr{T} is a fiber space and w is a loop based at b. Then we have $\bar{w}: \pi^{-1}(b) \to \pi^{-1}(b)$. The fiber space \mathscr{T} is said to be orientable if the induced homomorphism $\bar{w}_*: H_*(\pi^{-1}(b), z) \to H_*(\pi^{-1}(b), z)$ is the identity homomorphism for every loop w based at b. It is shown in [2] that if \mathscr{T} is orientable and if the Jiang subgroup $T(p^{-1}(b), e_0) =$ $\pi_1(p^{-1}(b), e_0)$ for a fixed $b \in B$ then the Nielsen number of f_b is independent of the choice of path from f'(b) to b. Furthermore, the Nielsen number $N(f_b)$ is independent of the choice of $b \in B$.

LEMMA 1. Let \mathscr{T} be a fiber space with $\pi_1(F)$, $\pi_1(E)$, and $\pi_1(B)$ abelian. Suppose $f: E \to E$ is a fiber map. Then the following diagram commutes:

$$egin{array}{cccc} \pi_1(F_b) & & \stackrel{\imath_{\sharp}}{\longrightarrow} \pi_1(E) \ & & & \downarrow 1 - f_{\sharp} \ & & & & \downarrow 1 - f_{\sharp} \ & & & & \pi_1(F_b) & \stackrel{i_{\sharp}}{\longrightarrow} \pi_1(E) \ . \end{array}$$

Proof. First, by [6], the map \bar{w} is homotopic in E to the identity map on $F_{f'(b)}$. Hence we have

$$\begin{split} i_{\sharp} \circ (1 - f_{b\sharp})(\alpha) &= i_{\sharp} [\alpha - (\bar{w} \circ f_b^{*})_{\sharp}(\alpha)] \\ &= i_{\sharp}(\alpha) - i_{\sharp} (\bar{w} \circ f_b^{*})_{\sharp}(\alpha) = i_{\sharp}(\alpha) - (i_{\sharp} \circ f_{b\sharp}^{*})(\alpha) \\ &= i_{\sharp}(\alpha) - (f_{\sharp} \circ i_{\sharp})(\alpha) = (1 - f_{\sharp}) \circ i_{\sharp}(\alpha) \;. \end{split}$$

LEMMA 2 [4]. Suppose we have the following commutative diagram of modules, where the rows are exact:



Then there is an exact sequence

$$\begin{array}{ccc} 0 \longrightarrow \ker \alpha \xrightarrow{\mu_{\ast}} \ker \beta \xrightarrow{\epsilon_{\ast}} \ker \gamma \\ \xrightarrow{\omega} \operatorname{coker} \alpha \xrightarrow{\mu_{\ast}'} \operatorname{coker} \beta \xrightarrow{\epsilon_{\ast}'} \operatorname{coker} \gamma \longrightarrow 0 \ . \end{array}$$

The homomorphisms μ_* and ε_* are restrictions of μ and ε , and μ'_* and ε'_* are induced by μ' and ε' on quotients. The connecting homomorphism ω : ker $\gamma \to \operatorname{coker} \alpha$ is defined as follows. Let $c \in \ker \gamma$, choose $b \in B$ with $\varepsilon b = c$. Since $\varepsilon' \beta b = \gamma \varepsilon b = \gamma c = 0$ there exists $a' \in A'$ with $\beta b = \mu' a'$. Define $\omega(c) = [a']$, the coset of a' in coker α . Then ω is a well-defined homomorphism. See [4, p. 99] for the proof of the lemma.

THEOREM 3. Suppose $\mathcal{T} = \{E, \pi, B, F\}$ is a fiber space such that

$$0 \longrightarrow \pi_1(F) \xrightarrow{i_{\sharp}} \pi_1(E) \xrightarrow{\pi_{\sharp}} \pi_1(B) \longrightarrow 0$$

is an exact sequence of abelian groups. Suppose $f: E \to E$ is a fiber map and $w: I \to B$ is a path from b to f'(b). Then we have the following exact sequence:

$$\begin{array}{l} 0 \longrightarrow \ker \left(1 - f_{b\sharp}\right) \longrightarrow \ker \left(1 - f_{\sharp}\right) \longrightarrow \ker \left(1 - f_{\sharp}'\right) \\ \longrightarrow \operatorname{coker} \left(1 - f_{b\sharp}\right) \longrightarrow \operatorname{coker} \left(1 - f_{\sharp}\right) \longrightarrow \operatorname{coker} \left(1 - f_{\sharp}'\right) \longrightarrow 0 \ . \end{array}$$

Proof. The fiber map induces the following commutative diagram:

$$\begin{array}{ccc} 0 & \longrightarrow & \pi_1(F) & \xrightarrow{i_{\sharp}} & \pi_1(E) & \xrightarrow{\pi_{\sharp}} & \pi_1(B) & \longrightarrow & 0 \\ & & (1-f_{\delta\sharp}) & & (1-f_{\sharp}) & & (1-f_{\sharp}') & \\ 0 & \longrightarrow & \pi_1(F) & \xrightarrow{i_{\sharp}} & \pi_1(E) & \xrightarrow{\pi_{\sharp}} & \pi_1(B) & \longrightarrow & 0 \end{array}$$

Now the result becomes a simple application of Lemmas 1 and 2.

COROLLARY 4. ker $(1 - f_{bs})$ is independent of w and b.

Proof. ker $(1 - f_{b*})$ is isomorphic to the kernel of the map ker $(1 - f_{*}) \xrightarrow{\pi_{**}} \text{ker} (1 - f'_{*})$. But this map is the restriction of $\pi_{*}: \pi_{1}(E) \to \pi_{1}(B)$, which is independent of w and b.

Suppose $h: G \to G$ is a homomorphism of abelian groups. We will say that h satisfies Condition A if $h(\alpha) = \alpha$ if and only if $\alpha = 0$.

THEOREM 5. Suppose \mathscr{T} is a fiber space satisfying the hypotheses of Theorem 3. Suppose $f: E \to E$ is a fiber map such that f'_{\sharp} satisfies Condition A. Then $R(f) = R(f') \cdot R(f_b)$ for all $b \in B$.

Proof. We have $(1 - f'_*)(\alpha) = 0$ if and only if $f'_*(\alpha) = \alpha$ if and only if $\alpha = 0$. Therefore, $1 - f'_*$ is injective and we have the following exact sequence:

 $0 \to \operatorname{coker} (1 - f_{\sharp}) \to \operatorname{coker} (1 - f_{\sharp}) \to \operatorname{coker} (1 - f_{\sharp}') \to 0 \ .$

The theorem follows from the properties of R(f).

COROLLARY 6. Under the hypotheses of Theorem 5 $R(f_b)$ is independent of w and b.

Proof. This follows since both R(f) and R(f') are independent of w and b.

EXAMPLE 1. Let \mathscr{T} be a principal T^k -bundle over a (2n + 1)dimensional lens space $L(p), p \ge 1$. We know from [5] that $L = L(d) \times T^k$ where d divides p. Let $f: E \to E$ be a bundle map. It follows easily from results in [1] that $N(f_b) = R(f_b)$. It is also shown in [1] that N(f') = R(f') for n = 1, and the proof can be easily generalized to higher dimensions. Furthermore, by showing that $T(f) = \pi_1(L(d) \times T^k)$, where T(f) is the Jiang subgroup of f, one can show that N(f) = R(f). Now such a bundle satisfies the hypothesis of Theorem 3. Hence, if $f'_*: \pi_1(L(p)) \to \pi_1(L(p))$ satisfies the hypothesis of Theorem 5, we have $N(f) = N(f') \cdot N(f_b)$ for all $b \in B$.

EXAMPLE 2. If G is a compact connected semi-simple Lie group, then $\mathscr{T} = \{E, \pi, G, S^{i}\}$ satisfies the hypothesis of Theorem 3. If $f: E \to E$ is a fiber map then $N(f) = N(f') \cdot N(f_b)$ follows from [3] since the second integral cohomology group of G vanishes. Assume $N(f') \neq 0 \neq N(f_b)$. Then since G and Sⁱ are H-spaces $T(f') = \pi_1(G)$ and $T(f_b) = \pi_1(S^{i})$; and we have N(f') = R(f') and $N(f_b) = R(f_b)$. It follows that $R(f) = R(f') \cdot R(f_b)$ independent of Condition A.

LEMMA 7. Suppose $h: Z_p \to Z_p$ is such that $h(\bar{l}) = \bar{m}$. Then Condition A holds iff (1 - m, p) = 1.

Proof. Suppose (1 - m, p) = 1. If $h(\bar{n}) = \bar{m}\bar{n} = \bar{n}, 1 \leq n < p$, then $mn \equiv n \pmod{p}$. Hence p divides (1 - m)n, which is impossible if (1 - m, p) = 1.

Now suppose $h(\alpha) = \alpha$ iff $\alpha = 0$. Suppose (1 - m, p) = d. Let $1 - m = c_1 d$, $p = c_2 d$. Then $h(\bar{c}_2) = \bar{m}\bar{c}_2$. Now

$$mc_2 - c_2 = c_2(m-1) = -c_2c_1d = -c_1p$$
.

Thus $h(\overline{c}_2) = \overline{c}_2$ and d = 1.

EXAMPLE 1 (con't). We have $\pi_1(L(p)) \simeq Z_p$. Suppose $f'_{\sharp}(\bar{l}) = \bar{m}$. Then N(f') = (1 - m, p). Hence Theorem 5 is applicable if and only if N(f') = 1.

III. A general solution to Example 1. Let $\mathscr{T} = \{E, \pi, L(p,q), s^i\}$ be a principal s^i -bundle over a 3-dimensional lens space L(p,q). If \mathscr{T} is induced by $[f_j] \in [L(p,q), CP^{\infty}] \simeq H^2(L(p,q), Z) \simeq Z_p$, then $E \simeq L(d,q) \times s^i$, where d = (j, p)(see [7]). Let j = j'd, p = p'd.

THEOREM 8. Let \mathscr{T} be as above and $f: E \to E$ a fiber map such that, for a particular choice of $b \in B$ and w, $f_{b*}(1) = c_2$ and $f'_*(\overline{l}_p) = \overline{c}_1$, where 1 generates $\pi_1(s^1) \simeq Z$ and \overline{l}_p generates $\pi_1(L(p, q)) \simeq Z_p$. Let $s = j/p(c_1 - c_2)$. Then

$$N(f) = N(f_b) \cdot (d, 1 - c_1, s)$$
.

Proof. We first examine the structure of $L(d, q) \times s^1$ as an s^1 -bundle over L(p, q) (see [7]). L(p, q) and L(d, q) are obtained from s^3 as the orbit space of a free Z_p -action and Z_d -action, respectively. Given $((r_1, \theta_1), (r_2, \theta_2)) \in s^3$, let $\langle (r_1, \theta_1), (r_2, \theta_2) \rangle$ represent its equivalence class as an element in L(p, q). In $L(d, q) \times I$, $I = [0, 2\pi]$, identify $\{\langle (r_1, \theta_1), (r_2, \theta_2) \rangle, 2\pi\}$ with $\{\langle (r_1, \theta_1 + j'v), (r_2, \theta_2 + j'qv) \rangle, 0\}$ to obtain E, where $v = 2\pi/p$. Define $h: E \to L(d, q) \times S^1$ by

$$h\{\langle (r_1, \ heta_1), \ (r_2, \ heta_2)
angle, \ t\} = \left\{ \left\langle \left(r_1, \ heta_1 + rac{t}{2\pi}j'v
ight), \ \left(r_2, \ heta_2 + rac{t}{2\pi}j'qv
ight)
ight
angle, \ t
ight\} \,.$$

Then h is a homeomorphism. Let $\pi_1(L(d, q) \times S^1)$ be generated by $(\bar{l}_d, 0)$ and (0, 1). Then $(\bar{l}_d, 0)$ is represented by the loop $\bar{\sigma}_{\bar{l}} = \{\langle (1, t(2\pi/d)), (0, 0) \rangle, 0\}, 0 \leq t \leq 1$, and (0, 1) is represented by $\bar{\sigma}_2 = \{\langle (1, 0), (0, 0) \rangle, t\}, 0 \leq t \leq 2\pi$. Then in $E, \sigma_1 = \{\langle (1, t(2\pi/d)), (0, 0) \rangle, 0\}$ and $\sigma_2 = \{\langle (1, -t/(2\pi)j'v), (0, 0) \rangle, t\}$ represent $(\bar{l}_d, 0)$ and (0, 1) respectively. \bar{l}_p is represented by the loop $\gamma = \langle (1, tv), (0, 0) \rangle 0 \leq t \leq 1$. Now the projection map $\pi: E \to L(p, q)$ is given by

$$\pi\{\langle (r_{\scriptscriptstyle 1},\, heta_{\scriptscriptstyle 1}),\,(r_{\scriptscriptstyle 2},\, heta_{\scriptscriptstyle 2})
angle,\,t\}=\langle\!\!\langle (r_{\scriptscriptstyle 1},\, heta_{\scriptscriptstyle 1}),\,(r_{\scriptscriptstyle 2},\, heta_{\scriptscriptstyle 2})
angle\,$$
 .

We have

$$\pi \circ \sigma_1 = \left\langle \left\langle \left(1, t \frac{2\pi}{d}\right), (0, 0) \right\rangle \right\rangle \quad 0 \leq t \leq 1 = \langle (1, tp'v), (0, 0) \rangle$$

Hence

 $\pi_{\sharp}(\overline{l}_d, 0) = \overline{p}'$.

Also

$$\pi \circ \sigma_2 = \left\langle \left\langle \left(1, -\frac{t}{2\pi}j'v\right), (0, 0) \right\rangle \right\rangle \quad 0 \leq t \leq 2\pi$$

 \mathbf{SO}

$$\pi_{\sharp}(0, 1) = -\bar{j'}$$
.

One fiber in E consists of

$$\bigcup_{\substack{n=0\\0\leq t\leq 2\pi}}^{p'}\left\{\langle (1, nj'v), (0, 0)\rangle, t\right\}.$$

Hence, in $L(d, q) \times S^{\scriptscriptstyle 1}$, this fiber is

where $0 \leq \tau \leq p'$ and $\overline{2}\overline{\pi}\overline{\tau}$ represents the equivalence class of $2\pi\tau \pmod{2\pi}$. Hence $i_*(1) = (\overline{j}', p')$.

We have the following commutative diagram:

$$\begin{array}{ccc} 0 & \longrightarrow & \pi_1(S^1) \xrightarrow{i_{\sharp}} & \pi_1(L(d, q) \times S^1) \xrightarrow{\pi_{\sharp}} & \pi_1(L(p, q)) \longrightarrow 0 \\ & & (1-f_{b\sharp}) \\ 0 & \longrightarrow & \pi_1(S^1) \xrightarrow{i_{\sharp}} & \pi_1(L(d, q) \times S^1) \xrightarrow{\pi_{\sharp}} & \pi_1(L(p, q)) \longrightarrow 0 \end{array}$$

We must compute the cokernel of $(1 - f_*)$ since $N(f) = |\operatorname{coker} (1 - f_*)|$. Let

$$(1-f_{\sharp})(\overline{l}_{d}, 0) = (\overline{a}, 0) \quad (1-f_{\sharp})(0, 1) = (\overline{s}, u) .$$

Commutativity of the right hand square implies that $a = 1 - c_1$, while commutativity of the left hand square implies $u = 1 - c_2$. Now

$$(1-f'_{*})\circ\pi_{*}(0, 1)=\overline{-(1-c_{_{1}})j'}\ \pi_{*}\circ(1-f_{*})(0, 1)=\overline{p's-j'u}=\overline{p's-j'(1-c_{_{2}})}\,.$$

Hence

$$p's - j'(1 - c_2) \equiv -(1 - c_1)j' \pmod{p}$$
.

Therefore,

$$j'(c_2 - c_1) + p's = kp$$
.

We must have $p' \mid j'(c_2 - c_1)$ so

$$s = kd \, + \, rac{j'}{p'} (c_{\scriptscriptstyle 1} \, - \, c_{\scriptscriptstyle 2}) \; .$$

Hence we may assume

$$s=rac{j'}{p'}(c_{\scriptscriptstyle 1}-c_{\scriptscriptstyle 2})=rac{j}{p}(c_{\scriptscriptstyle 1}-c_{\scriptscriptstyle 2})\;.$$

Therefore, $\operatorname{Im}(1-f_{\sharp})$ is generated by $\overline{(1-c_1, 0)}$, $(\overline{s}, 0)$, and $(0, 1-c_2)$. Now the group $\pi_1(L(d, q) \times S^1) \simeq z_d \bigoplus z$, and the subgroup generated by $\overline{(1-c_1, 0)}$ and $(\overline{s}, 0)$ is the subgroup generated by $\overline{((1-c_1, s), 0)}$. Consequently, the cokernel of $(1-f_{\sharp})$ is isomorphic to $z_d/(1-c_1, s)z_d \bigoplus z/(1-c_2)z$. Which, in turn, is isomorphic to $z_{(d,1-c_1,s)} \bigoplus z_{(1-c_2)}$. Therefore,

 $|\operatorname{coker} (1 - f_{*})| = N(f) = (d, 1 - c_{1}, s) \cdot |1 - c_{2}| = (d, 1 - c_{1}, s) \cdot N(f_{b})$.

Note. (1) Since \mathscr{T} is orientable and $T(\pi^{-1}(b), e_0) = \pi_1(\pi^{-1}(b), e_0)$, the above formula is independent of w and b.

(2) In the above argument we could replace L(p, q) with the generalized lens space as in [5].

(3) If p is a prime the product formula follows from results of R. Brown and E. Fadell [3].

(4) Theorem 8 also indicates that a product theorem of the type obtained by R. Brown and E. Fadell is hard to expect in general.

COROLLARY 9. Let \mathscr{T} be as in Theorem 8. Suppose $f: E \to E$ is a bundle map such that for some $b \in L(p, q)$ $f_{b}: \pi^{-1}(b) \to \pi^{-1}(b)$ is homotopic to a fixed-point free map. Then there exists a map $g: E \to E$, homotopic to f, which is fixed-point free.

Proof. Let \tilde{f}_b be the fixed-point free map on $\pi^{-1}(b)$ which is homotopic to f_b . Clearly $N(\tilde{f}_b) = 0$ and since the Nielsen number is a homotopy invariant, $N(f_b) = 0$. Thus from Theorem 8, N(f) = 0, and the corollary follows from the converse of the Lefschetz fixed-point theorem of F. Wecken [8].

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