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Let T^* be a hyponormal contraction on a Hilbert space, so that $TT^* - T^*T = D \ge 0$ and $||T|| \le 1$. It is shown that if, in addition, T^* is completely hyponormal, then the sequence $\{T^n\}_{n=1,2},\cdots$ converges strongly to 0 as $n \to \infty$. The result is obtained as a consequence of properties of the solution w(z)of (T-zI)w(z) = x, where x is a certain vector in the range of D.

1. Let T be a bounded operator on a Hilbert space S with spectrum $\sigma(T)$ and point spectrum $\Pi_0(T)$. The range and null space of T will be denoted by R(T) and N(T) respectively. If A is any linear manifold in S, its closure will be denoted by [A]. Also, we shall consider the set of numbers z for which $\overline{z} \in \Pi_0(T^*)$ and which will be denoted by $(\Pi_0(T^*))^*$.

Let $T_z = T - zI$ for any complex number z and let D be a nonnegative self-adjoint operator satisfying

(1.1)
$$T_z T_z^* \ge D \ge 0$$
 for all z in C .

It was shown in Putnam [8] that if D has the spectral resolution

$$(1.2) D = \int_0^\infty u dF_u$$

and if x is any vector satisfying

(1.3)
$$x = F((s, \infty))x$$
, $s > 0$,

then $T_z^{-1}x$ is bounded and weakly continuous on C - P, where $P = \{z: z \in \Pi_0(T) \text{ or } \overline{z} \in \Pi_0(T^*)\}$. (Actually, the set *P* occurring in [8] was defined differently but should have been defined as above.) This result will be strengthened below to the following

THEOREM 1. Suppose (1.1), (1.2) and that $x \in S$ satisfies

(1.4)
$$k_x \equiv \int_{+0}^{\infty} u^{-1} d || F_u x ||^2 < \infty$$

Then there exists a vector-valued function w(z) on C satisfying

(1.5)
$$T_z w(z) = x \text{ and } ||w(z)|| \leq k_x^{1/2}, z \in C$$
,

and having the following properties. At every point $z_0 \notin \Pi_0(T)$, w(z) is weakly continuous, that is, for every f in \mathfrak{H} , (w(z), f) is continuous at z_0 . Further, if \mathfrak{H} is separable then, for every f in \mathfrak{H} , the function (w(z), f) is Lebesgue planar measurable on the set $C - (\Pi_0(T^*))^*$. In addition, if α is any rectifiable curve in C with arc length measure m_{α} and if $m_{\alpha}(\alpha \cap (\Pi_0(T^*))^* = 0$ then (w(z), f) is m_{α} -measurable as well as dz (= dx + idy)-measurable on α .

REMARKS. Note that if $z \notin \Pi_0(T)$ then necessarily $w(z) = T_z^{-1}x$, and that, for any f in \mathfrak{G} , (w(z), f) is analytic in $C - \sigma(T)$. Further, it is clear that all vectors x of (1.3) satisfy (1.4) and hence that the set of vectors x satisfying (1.4) is dense in R(D).

That the set $\Pi_0(T^*)$ occurring in the statement of Theorem 1 and, more generally, the point spectrum of any bounded operator on a separable Hilbert space, is Lebesgue planar measurable follows from a result of Dixmier and Foiaș [3] as Nikolskaya [7]. We are indebted to K. F. Clancey for informing us of these facts.

Recall that a bounded operator S is said to be hyponormal if $S^*S - SS^* \ge 0$ and completely hyponormal if, in addition, there does not exist any non-trivial reducing subspace of S on which its restriction is normal. If $S_z = S - zI$, then $S_z^*S_z - S_zS_z^* = S^*S - SS^*$. Clearly, if S is hyponormal then $\Pi_0(S) \subset (\Pi_0(S^*))^*$ and any eigenvector of S belonging to z is also an eigenvector of S^* belonging to \overline{z} . In particular, $\Pi_0(S)$ must be empty whenever S is completely hyponormal. Further, it is easy to see that if T^* is hyponormal then (1.1) holds with $D = TT^* - T^*T$. Consequently, in view of Theorem 1, we have the following

THEOREM 2. Let T^* be completely hyponormal on \mathfrak{F} and let $D = TT^* - T^*T (\geq 0)$ have the spectral resolution (1.2). If $x \in \mathfrak{F}$ satisfies (1.4) then there exists a vector-valued function w(z) on C satisfying the conditions of Theorem 1. Thus, relation (1.5) holds and w(z) is weakly continuous at all points $z_0 \notin \Pi_0(T)$. If \mathfrak{F} is separable, then, since $\Pi_0(T^*)$ is now empty, (w(z), f) is Lebesgue planar measurable in C and is measurable with respect to arc length measure and to the dz = dx + idy measure on all rectifiable curves in C.

As a consequence of Theorem 2 there will be proved

THEOREM 3. Let T^* be completely hyponormal on \mathfrak{H} and suppose that T is a contraction, that is, $||T|| \leq 1$. Then $\{T^n\}_{n=1,2,\dots}$ converges

strongly to 0 as $n \to \infty$, that is, $||T^nf|| \to 0$ as $n \to \infty$ for every f in S.

REMARKS. It follows from Theorem 3 that if T^* is any hyponormal contraction then T can be written as the direct sum $T = T_1 \bigoplus N$, where T_1^* is completely hyponormal, $T_1^n \to 0$ strongly as $n \to \infty$, and N is normal. Clearly, $N = \int z dK_z$ can be further decomposed as $N = \int_{|z|<1} z dK_z + \int_{|z|=1} z dK_z = N_1 \bigoplus N_2$, where $N_1^n \to 0$ strongly as $n \to \infty$ and N_2 is unitary. Hence, one has the following

COROLLARY 1 OF THEOREM 3. Let T^* be any hyponormal contraction on a Hilbert space. Then $T = T_2 \bigoplus U$ where $T_2^n \rightarrow 0$ strongly as $n \rightarrow \infty$ and U is unitary, where it is understood that either component of the direct sum may be missing.

Thus, if T^* is any completely nonunitary (cf. Sz.-Nagy and Foiaş [11], p. 72) hyponormal contraction, then $T^n \to 0$ strongly as $n \to \infty$, so that T is of class C_0 . (cf. [11], p. 72). In was shown in [8], p. 167, that if T^* is a hyponormal contraction for which $T^n \neq 0$ then T has a nontrivial invariant subspace. The above Corollary yields the stronger result that T^* (hence T) even has a unitary part. Also, it follows from the Corollary that if T^* is a hyponormal contraction for which $T^n f \neq 0$ as $n \to \infty$ whenever $f \neq 0$, then T must be unitary. In case T^* is also subnormal, this last result was obtained by Stampfli [10].

COROLLARY 2 OF THEOREM 3. Let T be a completely hyponormal contraction on a Hilbert space. Then T^* is (unitarily equivalent to) the restriction of the adjoint of a unilateral shift to an invariant subspace.

Proof. Actually, every contraction S satisfying $S^n \rightarrow 0$ strongly as $n \rightarrow \infty$ is unitarily equivalent to the restriction of the adjoint of a unilateral shift to an invariant subspace (Foiaş [4], de Branges and Rovnyak [1, 2]. See also Halmos [5], problem 121, and Sz.-Nagy and Foiaş [11] p. 95. Note that the unilateral shift in question is, in general, not the simple unilateral shift.

2. Proof of Theorem 1. The proof will be an extension and refinement of the argument given in [8]. Let z be fixed and let $T_z T_z^*$ have the spectral resolution

$$(2.1) T_z T_z^* = \int_0^\infty u dE_u^{(z)}$$

Then, by an argument like that on pp. 165-166 of [8],

$$\int_{_{0}}^{^{\infty}}\lim_{t
ightarrow0+}(u\,+\,t)^{_{+1}}d\,||\,E_{u}^{_{(z)}}x\,||^{_{2}}\leq k_{x}$$
 ,

where k_x is defined by (1.4). It follows that

(2.2)
$$E^{(z)}({0})x = 0 \text{ and } \int_{+0}^{\infty} u^{-1}d ||E_{u}^{(z)}x||^{2} \leq k_{x},$$

and hence, for any z in C,

(2.3)
$$y(z) = \int_{+0}^{\infty} u^{-1/2} dE_u^{(z)} x$$
 is defined and $||y(z)||^2 \leq k_x$.

Next, let $T_z = T - zI$ have the polar factorization (see Kato [6], pp. 334-335)

$$(2.4) T_z = U(z)G(z) ,$$

where $G(z) = (T_z^* T_z)^{1/2}$ and U(z) is partially isometric with initial set [R(G(z))] and final set $[R(T_z)]$. Then $T_z U^*(z)y(z) = (U(z)G(z)U^*(z))y(z) = (T_z T_z^*)^{1/2}y(z) = x$. On putting

(2.5)
$$w(z) = U^*(z)y(z)$$
,

one sees that (1.5) follows from (2.3).

Next, it will be shown that the above defined bounded vectorvalued function w(z) on C is weakly continuous at every point z_0 not in $\Pi_0(T)$. It must be shown that w(z) converges weakly to $w(z_0)$, that is, for any f in \mathfrak{H} , $(w(z), f) \to (w(z_0), f)$ as $z \to z_0$. If this limit relation did not hold however, then, since w(z) is bounded, there would exist a z_0 and a sequence $\{z_n\}$ such that $w(z_n) \to p$ (weakly) as $z_n \to z_0$ with $p \neq w(z_0)$. It follows from the relation $T_z w(z) = x$, on letting $z = z_n$ and noting that $||T - T_n|| \to 0$, that $T_{z_0}p = x$ and, since $T_{z_0}w(z_0) = x$, that

(2.6)
$$T_{z_0}(p - w(z_0)) = 0$$
.

Since $z_0 \notin \Pi_0(T)$, then $p = w(z_0)$, a contradiction.

There remains then to establish the measurability of w(z) in the sense described in Theorem 1, at least if \mathfrak{F} is separable. To this end, we first shall show that, whether or not \mathfrak{F} is separable, if T is any operator with the polar factorization of (2.4), then

$$(2.7) \qquad U(z) \to U(z_0) \text{ strongly as } z \to z_0 \text{ whenever } z_0 \notin \Pi_0(T) \text{ .}$$

Assume then that $z_0 \notin \Pi_0(T)$. Note (cf. [6], pp. 334-335) that

U(z) is defined for vectors in R(G(z)) by $U(z): G(z)u \to T_z u$ and that U(z) is then extended by continuity to be isometric on [R(G(z))]. For y in $N(G(z))(=N(T_z))$, U(z)y=0. Since $z_0 \notin \Pi_0(T)$, then $N(G(z_0))=0$ and so $U(z_0)$ is isometric.

Since $R(G(z_0))$ is dense in \mathfrak{H} , relation (2.7) will follow if it is shown that

$$(2.8) \quad U(z)v \longrightarrow U(z_0)v \text{ (strongly) as } z \longrightarrow z_0 \text{, whenever } v \in R(G(z_0)) \text{.}$$

Suppose then that $v \in R(G(z_0))$, so that $v = G(z_0)u$ for some vector u. In view of $U(z)G(z)u = T_z u$ and $U(z_0)G(z_0)u = T_{z_0}u$, we have $U(z)v - U(z_0)v = (T_z - T_{z_0})u - U(z)(G(z) - G(z_0))u$. Since $||T_z - T_{z_0}|| \rightarrow 0$, hence also $||G(z) - G(z_0)|| \rightarrow 0$, as $z \rightarrow z_0$, relation (2.8), hence also (2.7), follows. By symmetry, we have also

(2.9) $U^*(z) \longrightarrow U^*(z_0)$ strongly as $z \longrightarrow z_0$ whenever $\overline{z}_0 \notin \Pi_0(T^*)$.

Henceforth, it will be supposed that T is the operator occurring in the statement of Theorem 1. By (2.2) and (2.3), $y(z) \in [R(T_z T_z^*)^{1/2}] =$ $[R(T_z)]$, and this set is the initial set of $U^*(z)$. Since $w(z) = U^*(z)y(z)$, it follows that $U(z)w(z) = U(z)U^*(z)y(z) = y(z)$. We shall show that

(2.10)
$$y(z) \longrightarrow y(z_0)$$
 weakly as $z \longrightarrow z_0, \ \overline{z}_0 \notin \Pi_0(T^*)$.

If (2.10) did not hold then, since y(z) is (uniformly) bounded in C, there would exist a sequence $\{z_n\}$ for which $z_n \to z_0$ and $y(z_n) \to q$ (weakly) as $n \to \infty$ with $q \neq y(z_0)$. Since w(z) is also bounded, we may choose a subsequence of $\{z_n\}$, which will also be denoted by $\{z_n\}$, such that $w(z_n) \to p$ (weakly).

Let f be arbitrary in §. Then $(y(z_n)f) \rightarrow (q, f)$ and also $(y(z_n), f) = (U(z_n)w(z_n), f) = (w(z_n), U^*(z_n)f)$. In view of (2.9), we have $(w(z_n), U^*(z_n)f) \rightarrow (p, U^*(z_0)f) = (U(z_0)p, f)$, and hence $q = U(z_0)p$. Since $y(z_0) = U(z_0)w(z_0)$, we see that $q - y(z_0) = U(z_0)(p - w(z_0))$. But, as noted earlier, $T_{z_0}(p - w(z_0)) = 0$ (cf. (2.6)), so that $p - w(z_0) \in N(G(z_0))$ and hence $U(z_0)(p - w(z_0)) = 0$. Thus $q = y(z_0)$, a contradiction, and so (2.10) is proved.

In summary, we see that the vector-valued function w(z) on Cis weakly continuous at $z_0 \notin \Pi_0(T)$. The vector-valued function y(z)is weakly continuous at z_0 if $\overline{z}_0 \notin \Pi_0(T^*)$. Also, the operator-valued function $U^*(z)$ on C is strongly continuous and, hence, U(z) is weakly continuous at z_0 if $\overline{z}_0 \notin \Pi(T^*)$.

Suppose now that \mathfrak{H} is separable. Then, as noted earlier, $\Pi_0(T^*)$

(hence also $(\Pi_0(T^*))^*$) is Lebesgue planar measurable. It will be shown that for any f in \mathfrak{H} , the function (w(z), f) is Lebesgue planar measurable on $C - (\Pi_0(T^*))^*$. For, let $\{\phi_n\}(n = 1, 2, \cdots)$ be any complete orthonormal system for \mathfrak{H} . Then (w(z), f) = (y(z), U(z)f) = $\sum_{n=1}^{\infty} (y(z), \phi_n)(\phi_n, U(z)f)$. But each term of the summation is a function continuous at all points z for which \overline{z} is not in $\Pi_0(T^*)$. In particular, each such term, and hence the sum, is (planar) measurable on $C - \Pi_0(T^*))^*$. (The argument is similar to that used in [9], p. 384, in connection with the proof of Stone's theorem on unitary groups.)

Finally, a similar argument establishes the assertion of the last part of Theorem 1 and the proof is now complete.

3. Proof of Theorem 3. Without loss of generality it may be supposed that \mathcal{G} is separable. It follows from Theorem 2 that if w(z) is defined by (2.5) and if f is arbitrary in \mathcal{G} , then (w(z), f) is (bounded and) measurable with respect to arc length and to the measure dz = dx + idy on every circle $C_r = \{z: |z| = r\}, 0 < r < \infty$. Let

(3.1)
$$y(r) = -(2\pi i)^{-1} \int_{C_r} w(z) dz \Big(= -r(2\pi i)^{-1} \int_C w(rt) dt \Big),$$

where $C = C_1$ and all circles are oriented positively. It is understood, of course, that y(r) is defined in terms of the relation $(y(r), f) = -(2\pi i)^{-1} \int_{C_r} (w(z), f) dz$ for any f in \mathfrak{S} and that the latter integral is a Lebesgue integral. A similar remark applies to the other integrals of this section.

The set $\Pi_0(T) \cap \{z : |z| = 1\}$ is empty; otherwise, T would have a normal part. (In fact, if T is any contraction and if z is an eigenvalue of T satisfying |z| = 1 with eigenvector f then \overline{z} is an eigenvalue of T^* with the same eigenvector f; cf. [11], p. 8.) If zis fixed and |z| = 1, then, by Theorem 2, $w(rz) \rightarrow w(z)$ (weakly) as $r \rightarrow 1 - 0$. For any fixed f in \mathfrak{F} , it follows from (3.1) and the uniform boundedness convergence theorem that

$$(y(r), f) = -(2\pi i)^{-1} \int_{C_r} (w(z), f) dz$$

 $\longrightarrow -(2\pi i)^{-1} \int_C (w(z), f) dz \text{ as } r \longrightarrow 1-0,$

Thus, $y(r) \rightarrow y(1)$ (weakly) as $r \rightarrow 1-0$. Similarly, $y(r) \rightarrow y(1)$ (weakly) as $r \rightarrow 1+0$. But, if r > 1, $-(2\pi i)^{-1} \int_{c_r} T_z^{-1} v dz = v$, for arbitrary v, so that, if x is any vector satisfying (1.4), y(r) = x for r > 1 and hence y(1) = x. Hence, we have for such vectors x,

(3.2) $y(r) \longrightarrow x \text{ (weakly) as } r \longrightarrow 1 - 0.$

In view of (1.5),

$$egin{aligned} Ty(r) &= -(2\pi i)^{-1} \int_{\mathcal{C}_r} Tw(z) dz \ &= -(2\pi i)^{-1} \int_{\mathcal{C}_r} (T-z+z) w(z) dz \ , \ &= -(2\pi i)^{-1} \int_{\mathcal{C}_r} zw(z) dz \ . \end{aligned}$$

Similarly, one sees that $T^n y(r) = -(2\pi i)^{-1} \int_{\mathcal{C}_r} z^n w(z) dz$ for $n = 1, 2, \cdots$, and hence

$$(3.3) T^n y(r) \longrightarrow 0 (strongly) as n \longrightarrow \infty, ext{ for } r < 1.$$

Next, let $\mathfrak{M} = \{v: T^n v \to 0 \text{ (strongly) as } n \to \infty\}$. Since T is a constraction, \mathfrak{M} is a subspace invariant under T. Also, by (3.3), each y(r), r < 1, belongs to \mathfrak{M} . Hence, by (3.2), if u is any vector in \mathfrak{M}^{\perp} , $0 = (y(r), u) \to (x, u)$ as $r \to 1 - 0$, and so $x \in \mathfrak{M}$, where x is any vector satisfying (1.4). Since such vectors are dense in R(D), $R(D) \subset \mathfrak{M}$.

Let now & denote the least subspace containing R(D) and reducing T. It will be shown that

$$(3.4) \mathfrak{L} \subset \mathfrak{M}$$

To see this, note that if $u \in \mathfrak{M}$ then $Tu \in \mathfrak{M}$. Also, $TT^* - TT^* = D$ and hence $T^n T^* u = T^{n-1} T^* T u + T^{n-1} D u$. Since $Du \in \mathfrak{M}$, then $T^{n-1} D u \to 0$ as $n \to \infty$ and hence $\limsup_{n\to\infty} || T^n T^* u || \leq || T u ||$. Repetition of this argument shows that $\limsup_{n\to\infty} || T^n T^* u || \leq || T^k u ||$ for $k = 1, 2, \cdots$, and hence $T^n T u \to 0$ as $n \to \infty$, so that $T^* u \in \mathfrak{M}$. Thus, whenever u is in \mathfrak{M} so also are Tu and $T^* u$. Since $R(D) \subset \mathfrak{M}$, the desired relation (3.4) follows.

It is clear that \mathfrak{L}^{\perp} also reduces T and that $T | \mathfrak{L}^{\perp}$ is normal. Since T^* is completely hyponormal then $\mathfrak{L}^{\perp} = 0$, and so by (3.4), $\mathfrak{M} = \mathfrak{H}$. This completes the proof of Theorem 3.

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