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FINITE-TO-ONE OPEN MAPS OF GENERALIZED METRIC SPACES

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We investigate the invariance of certain classes of generalized metric spaces under finite-to-one open maps. In particular, the following classes of spaces are invariant: $w\Delta$ -spaces, β -spaces, Σ^* -spaces, $w\gamma$ -spaces, γ -spaces, quasi-metrizable T_1 -spaces, σ -spaces and Moore spaces. Several applications are given, including a metrization theorem via finite-to-one open maps. We also show that M -spaces, wM -spaces, wN -spaces, and M_i -spaces ($i = 1, 2, 3$) are not necessarily preserved by finite-to-one open maps. Further, an example is presented which shows that some of those classes of space which are invariant under finite-to-one open maps are not necessarily invariant under compact open maps.

1. Introduction. Y. Tanaka [28] showed that several classes of generalized metric spaces are invariant under finite-to-one open maps. In this paper we extend his results to other classes of spaces. A map $f: X \rightarrow Y$ is *finite-to-one* if, for every $y \in Y$, the set $f^{-1}(y)$ is a finite subset of X . All maps are assumed to be continuous and onto. For other pertinent references the reader is referred to ([8], [9], [24]).

In § 2, the investigation of the invariance of many classes of spaces under finite-to-one open maps is undertaken. An interesting consequence of invariance under finite-to-one open maps is a result on point-finite open covers in Corollary 2.4. Also, in § 2, an example is presented which discusses the situation for compact open maps. Further results on finite-to-one open maps, with respect to certain classes of spaces defined by Hodel [13], are presented in § 4.

In § 3, we use the results of § 2 to obtain some interesting mapping theorems of the following sort: Let $f: X \rightarrow Y$ be a finite-to-one open map of a regular $w\Delta$ -space X onto a space Y : (1) If Y is a space with a G_δ^* -diagonal, then both X and Y are developable; (2) If Y is a collectionwise normal, σ^* -space, then both X and Y are metrizable.

Before proceeding to our theorems, we consider some of the basic terminology needed for this paper.

A space X is called a *$w\Delta$ -space* [5] if there is a sequence $\langle \mathcal{U}_n \rangle$ of open covers of X such that if $x_n \in \text{St}(x, \mathcal{U}_n)$, then the sequence $\langle x_n \rangle$ clusters. If there is a sequence $\langle \mathcal{U}_n \rangle$ of open covers of X such that $\{\text{St}(x, \mathcal{U}_n): n = 1, 2, \dots\}$ is an open basis at $x \in X$, then X is said to be a *developable space*. A regular developable space is called a *Moore*

space.

A space X is called a β -space [14] if, for each $x \in X$, there is a sequence $\{g_n(x): n = 1, 2, \dots\}$ of open neighborhoods of X such that if $x \in g_n(x_n)$, then the sequence $\langle x_n \rangle$ clusters.

Instead of giving the definitions of σ^* -spaces [27], Σ^* -spaces [20] and quasi-metrizable spaces [29], we present equivalent formulations which are used in the proof of Theorem 2.1. For the actual definitions of these concepts, the reader is referred to the above references.

LEMMA 1.1 (Heath). *A space X is a σ^* -space if and only if, for each $x \in X$, there is a sequence $\{g_n(x): n = 1, 2, \dots\}$ of open neighborhoods of x satisfying*

- (a) $\bigcap_{n=1}^{\infty} g_n(x) = \{x\}$ for all $x \in X$.
- (b) If $y \in g_n(x)$, then $g_n(y) \subset g_n(x)$ for all $x, y \in X$ and $n \in N$.

LEMMA 1.2 (Nagata [23]; see also [2]). *A space X is a Σ^* -space if and only if, for each $x \in X$, there is a sequence $\{g_n(x): n = 1, 2, \dots\}$ of open neighborhoods of x satisfying:*

- (a) If $y \in g_n(x)$, then $g_n(y) \subset g_n(x)$ for all $x, y \in X$ and $n \in N$.
- (b) If $x \in g_n(x_n)$, then the sequence $\langle x_n \rangle$ clusters.

LEMMA 1.3 (Ribeiro [25]). *A T_1 -space X is quasi-metrizable if and only if, for each $x \in X$, there is an open basis $\{g_n(x): n = 1, 2, \dots\}$ such that if $y \in g_n(x)$, then $g_n(y) \subset g_{n-1}(x)$ for all $x, y \in X$ and $n \geq 2$.*

Unless otherwise stated no separation axioms are assumed; however, regular spaces are always assumed to be T_1 and paracompact means paracompact T_2 . The set of positive integers will be denoted by N .

2. Basic results on finite-to-one open maps.

THEOREM 2.1. *Let $f: X \rightarrow Y$ be a finite-to-one open map.*

- (A): *If X is a $w\Delta$ -space, then Y is a $w\Delta$ -space.*
- (B): *If X is a β -space, then Y is a β -space.*
- (C): *If X is a σ^* -space, then Y is a σ^* -space.*
- (D): *If X is a Σ^* -space, then Y is a Σ^* -space.*
- (E): *If X is a quasi-metrizable T_1 -space, then Y is quasi-metrizable T_1 -space.*

Proof. (A): Suppose $\langle \mathcal{U}_n \rangle$ is a sequence of open covers of X illustrating that X is a $w\Delta$ -space. We may assume $\mathcal{U}_{n+1} < \mathcal{U}_n$ for each $n \in N$. Let $\mathcal{V}_n = f(\mathcal{U}_n) = \{f(U): U \in \mathcal{U}_n\}$ for each $n \in N$. Since f is an open map, $\{\mathcal{V}_n\}$ is a sequence of open covers of Y . Suppose

$y \in Y$ and $y_n \in \text{St}(y, \mathcal{V}_n)$ for each $n \in N$. Then, for each n , there is an element $V_n \in \mathcal{V}_n$ such that $\{y, y_n\} \subset V_n = f(U_n)$ for some $U_n \in \mathcal{U}_n$. Choose $x_n \in U_n$ such that $f(x_n) = y$. Since f is a finite-to-one map, there is an $x \in f^{-1}(y)$ and a subsequence $\langle x_{n_i} \rangle_{i=1}^\infty$ of $\langle x_n \rangle$ such that $x_{n_i} = x$ for all $i \in N$. Since $y_{n_i} \in f(U_{n_i})$, there is a $z_{n_i} \in U_{n_i}$ such that $f(z_{n_i}) = y_{n_i}$. But then, $\{x, z_{n_i}\} \subset U_{n_i}$ and thus $z_{n_i} \in \text{St}(x, \mathcal{U}_{n_i})$. Hence the sequence $\langle z_{n_i} \rangle$ clusters and it follows that the sequence $\langle y_{n_i} \rangle = \langle f(z_{n_i}) \rangle$ clusters. Thus Y is a $w\Delta$ -space.

(B): For each $x \in X$, let $\{g_n(x): n = 1, 2, \dots\}$ be a sequence of open neighborhoods of x illustrating that X is a β -space. We may assume $g_{n+1}(x) \subset g_n(x)$ for each $n \in N$. For each $y \in Y$, let $h_n(y) = \bigcap \{f(g_n(x)): x \in f^{-1}(y)\}$. Since f is an open map, $\{h_n(y): n = 1, 2, \dots\}$ is a sequence of open neighborhoods of y . Suppose $y \in h_n(y_n)$. Then, for each n , there is a $z_n \in f^{-1}(y_n)$ such that $y \in f(g_n(z_n))$ and thus an $x_n \in g_n(z_n)$ such that $f(x_n) = y$. Since f is a finite-to-one map, there is an $x \in f^{-1}(y)$ and a subsequence $\langle x_{n_i} \rangle_{i=1}^\infty$ of $\langle x_n \rangle$ such that $x_{n_i} = x$ for all $i \in N$. Thus $x \in g_{n_i}(z_{n_i}) \subset g_n(z_{n_i})$ and the subsequence $\{z_{n_i}\}_{i=1}^\infty$ has a cluster point. Therefore $\langle z_n \rangle$ has a cluster point and thus so does $\langle f(z_n) \rangle = \langle y_n \rangle$ showing that Y is a β -space.

(C): Since X is a $\sigma^\#$ -space, there is a sequence $\{g_n(x): n = 1, 2, \dots\}$ of open neighborhoods of each $x \in X$ satisfying conditions (a) and (b) of Lemma 1.1. For each $y \in Y$, let $h_n(y) = \bigcap \{f(g_n(x)): x \in f^{-1}(y)\}$. Since f is an open map, $\{h_n(y): n = 1, 2, \dots\}$ is a sequence of open neighborhoods of y . To verify condition (1) of Lemma 1.1, suppose $y \in Y$. If $z \in Y$ and $z \neq y$, then $f^{-1}(z) \cap f^{-1}(y) = \emptyset$. Since $f^{-1}(z)$ and $f^{-1}(y)$ are finite sets, there is an integer n such that $g_n(x) \cap f^{-1}(z) = \emptyset$ for all $x \in f^{-1}(y)$. It follows that $z \notin \bigcap \{f(g_n(x)): x \in f^{-1}(y)\} = h_n(y)$. Thus $\{y\} = \bigcap_{n=1}^\infty h_n(y)$. For condition (2) of Lemma 1.1, we suppose $z \in h_n(y)$. Then $z \in f(g_n(x))$ for every $x \in f^{-1}(y)$ and thus there is an $x_z \in g_n(x)$ such that $f(x_z) = z$. Since $x_z \in g_n(x)$, $g_n(x_z) \subset g_n(x)$ and thus $f(g_n(x_z)) \subset f(g_n(x))$. Thus $\bigcap \{f(g_n(x_z)): x \in f^{-1}(y)\} \subset \bigcap \{f(g_n(x)): x \in f^{-1}(y)\}$. It follows that $h_n(z) \subset h_n(y)$ and thus Y is a $\sigma^\#$ -space.

(D): Using the characterization of $\Sigma^\#$ -spaces given in Lemma 1.2, (D) is proved by essentially combining the arguments presented in proving (B) and (C).

(E): By Lemma 1.3, for each $x \in X$, there exists an open basis $\{g_n(x): n = 1, 2, \dots\}$ such that if $y \in g_n(x)$, then $g_n(y) \subset g_{n-1}(x)$ for every $x, y \in X$ and $n \geq 2$. For each $y \in Y$, let $h_n(y) = \bigcap \{f(g_n(x)): x \in f^{-1}(y)\}$. It is easy to verify that $\{h_n(y): n = 1, 2, \dots\}$ is an open basis for y . Also, using an argument analogous to that used in (C), it follows that if $z \in h_n(y)$, then $h_n(z) \subset h_{n-1}(y)$. Thus, since Y is clearly a T_1 -space, Y is quasi-metrizable by Lemma 1.3.

As immediate consequences of Theorem 2.1, we have the follow-

ing results concerning σ -spaces and Moore spaces. As in [28], we define a σ -space to be a space with a σ -locally-finite closed net.

COROLLARY 2.2 (Tanaka [28]). *Let $f: X \rightarrow Y$ be a finite-to-one open map of a σ -space X onto a space Y . Then Y is a σ -space.*

Proof. Since X is a σ -space, X is clearly both a Σ^* and σ^* -space. Thus, by Theorem 2.1, Y is a Σ^* and σ^* -space. But a Σ^* and σ^* -space is a σ -space [26].

COROLLARY 2.3. *Let $f: X \rightarrow Y$ be a finite-to-one open map of a Moore space X onto a space Y . Then Y is a Moore space.*

Proof. Since X is a Moore space, X is a $w\mathcal{A}$ and σ^* -space. Thus, by Theorem 2.1, Y is a $w\mathcal{A}$ and σ^* -space. Also, since f is a finite-to-one open map and X is regular, it follows that Y is regular. But a regular, $w\mathcal{A}$ and σ^* -space is a Moore space [6].

As an immediate consequence of the results of this section we have the following:

COROLLARY 2.4. *Let $\{0_\alpha: \alpha \in A\}$ be a point-finite open covering of X . If each 0_α is a $w\mathcal{A}$ -space (β -space; σ^* -space; Σ^* -space, quasi-metrizable T_1 -space; σ -space; Moore space), then so is X .*

The following example shows that Corollary 2.4 and thus Theorem 2.1 do not hold for M -spaces [21], M^* -spaces [27], M^* -spaces [15], wM -spaces [16] or M_i -spaces ($i = 1, 2, 3$) [7].

EXAMPLE 2.5. In [10] Corson and Michael constructed a non-normal, completely regular space X which is the union of two open metrizable subsets. By Corollary 2.4, X is developable. It follows that X is not an M , M^* , M^* , wM or M_i -space ($i = 1, 2, 3$).

The following example shows that we can not replace the finite-to-one open map by a compact open map in Theorem 2.1 (A), (B), (D) and Corollaries 2.2 and 2.3. This example also shows that semi-metrizable and semi-stratifiable spaces [11] are not preserved by compact open maps.

EXAMPLE 2.6. Let Q be an uncountable subset of $[0, 1]$ whose only compact sets are countable, such spaces exist [17, p. 514]. Let Y be $[0, 1]$ retopologized so that the open sets have the form $U \cup V^-$ where U is open in the usual topology of $[0, 1]$ and $V \subset Q$. The space Y is a nonmetrizable, paracompact space with a G_δ -diagonal. It [19] Michael showed that the product of Y with a metric space

is not normal. It follows from [18, Proposition 5] that there is a closed subset of Y which is not a G_δ -set. Thus Y is not semi-stratifiable and hence not a semimetrizable space, σ -space, nor a developable space. Further, since Y is a paracompact space with a G_δ -diagonal, Y is not a β -space nor a Σ^* -space. In [3] Bennett showed that there is a T_2 , metacompact developable space X and a compact open map from X onto Y .

We remark that Tanaka [28] constructs many other interesting examples concerning finite-to-one open maps and compact open maps.

3. Applications. A space X is said to have a G_δ^* -diagonal [14] if there exists a sequence $\langle \mathcal{G}_n \rangle$ of open covers of X such that, for each $x \in X$, $\bigcap_{n=1}^{\infty} \overline{\text{St}(x, \mathcal{G}_n)} = \{x\}$. According to Hodel [14], a space is a Moore space if and only if it is a regular, $w\mathcal{A}$ -space with a G_δ^* -diagonal

THEOREM 3.1. *Let $f: X \rightarrow Y$ be a finite-to-one open map of a regular $w\mathcal{A}$ -space onto a space Y . If Y has a G_δ^* -diagonal or is a σ^* -space, then both X and Y are Moore spaces.*

Proof. By Theorem 2.1, Y is a $w\mathcal{A}$ -space. If Y has a G_δ^* -diagonal, then Y is a Moore space by Hodel's theorem. If Y is a σ^* -space, then Y is a Moore space by a result of Burke [6, Theorem 2.4]. Thus, in either case, Y is a Moore space. On the other hand, using a result of Tanaka [28] (see also Coban [9]), it follows that X is a σ -space since Y is a Moore space. But, a σ -space which is a $w\mathcal{A}$ -space is a Moore space. Thus X is a Moore space.

Let \mathcal{U} be a collection of open subsets of a space X . The collection \mathcal{U} is called *point-countable* if each point $x \in X$ belongs to at most countable many members of \mathcal{U} . The collection \mathcal{U} is called *point-separating* provided that if $p \neq q$ are points in X , there is some $U \in \mathcal{U}$ such that $p \in U$ and $q \notin U$. Nagata [22] proved that a space is metrizable if and only if it is a paracompact, $w\mathcal{A}$ -space with a point-countable, point-separating open cover.

THEOREM 3.2. *Let $f: X \rightarrow Y$ be an open finite-to-one map of a regular $w\mathcal{A}$ -space X onto a space Y . If Y has any one of the following properties, then both X and Y are metrizable.*

- (a) Y is a paracompact space with a point-countable, point-separating open cover.
- (b) Y is a paracompact space with a G_δ -diagonal.
- (c) Y is a collectionwise normal, σ^* -space.

Proof. By Theorem 2.1, Y is a $w\mathcal{A}$ -space. Thus, in case (a),

Y is a paracompact, $w\mathcal{A}$ -space with a point-countable, point-separating open cover and therefore metrizable by Nagata's result. For (b), we note that it is easy to verify that a paracompact space with a G_δ -diagonal has a point-countable, point-separating open cover. Thus (b) follows from (a). For (c), we note that since Y is clearly a regular space, Y is a Moore space by Theorem 3.1. Consequently, since Y is a collectionwise normal Moore space, Y is metrizable [4]. The above arguments show that if Y satisfies (a), (b) or (c), then Y is metrizable. It remains to show that X is metrizable.

To verify the metrizability of X we use the fact that, since Y is metrizable, Y is a hereditarily paracompact, σ -space. Since Y is hereditarily paracompact and f is a finite-to-one open map, X is hereditarily paracompact [8, Theorem 3]. Furthermore, since Y is a σ -space and f is a finite-to-one open map, X is a σ -space ([9], [28]). However, it is well known that a paracompact, σ , $w\mathcal{A}$ -space is metrizable. Thus X is metrizable.

In order to prove Theorems 3.3 and 3.4 we need the following results:

LEMMA A (Shiraki [26, Corollary 2.2]). *A space X is a σ -space if and only if X is a Σ^* and σ^* -space.*

LEMMA B (Hodel [14]). *A T_2 -space X is semi-stratifiable if and only if X is a β and σ^* -space.*

THEOREM 3.3. *Let $f: X \rightarrow Y$ be a finite-to-one open map of a σ^* -space X onto a Σ^* -space Y . Then both X and Y are σ -spaces. Moreover, we may interchange the roles of X and Y .*

Proof. By Theorem 2.1, Y is a σ^* -space and thus a σ -space by Lemma A. Since Y is a σ -space and f a finite-to-one open map X is a σ -space ([9], [28]). The "moreover" is proved in exactly the same manner.

THEOREM 3.4. *Let $f: X \rightarrow Y$ be finite-to-one open map of a T_2 , σ^* -space onto a β -space Y . Then both X and Y are semi-stratifiable. Moreover, we may interchange the roles of X and Y .*

Proof. By Theorem 2.1, Y is a σ^* -space and thus a semi-stratifiable space by Lemma B. Since Y is semi-stratifiable, X is semi-stratifiable [28, Theorem 2]. The "moreover" is proved in exactly the same manner.

4. Hodel's spaces. The author is very grateful to the referee

(R. E. Hodel) for suggestions which led to this portion of the paper.

By Hodel's spaces we mean those classes of spaces which were introduced in [13]; these include $w\gamma$ -spaces, $w\theta$ -spaces and wN -spaces.

A space X is a $w\gamma$ -space if, for each $x \in X$, there is a sequence $\{g_n(x): n = 1, 2, \dots\}$ of open neighborhoods of x such that if $y_n \in g_n(x)$ and $x_n \in g_n(y_n)$, then the sequence $\langle x_n \rangle$ has a cluster point. If we require that x is a cluster point of the sequence $\langle x_n \rangle$, then X is called γ -space [13].

For $w\gamma$ -spaces and γ -spaces we have the following results analogous to Theorem 2.1

THEOREM 4.1. *Let $f: X \rightarrow Y$ be a finite-to-one open map.*

- (a) *If X is a $w\gamma$ -space, then Y is a $w\gamma$ -space.*
- (b) *If X is a γ -space, then Y is a γ -space.*

Proof. (A): For each $x \in X$, let $\{g_n(x): n = 1, 2, \dots\}$ be a sequence of open neighborhoods of x illustrating that X is a $w\gamma$ -space. We may assume $g_{n+1}(x) \subset g_n(x)$ for each $n \in N$. For each $y \in Y$, let $h_n(y) = \bigcap \{f(g_n(x)): x \in f^{-1}(y)\}$. Since f is an open map, $\{h_n(y): n \in N\}$ is a sequence of open neighborhoods of y . Suppose, for $y \in Y$, that $t_n \in h_n(y)$ and $s_n \in h_n(t_n)$. Since f is a finite-to-one map and $t_n \in h_n(y)$, there is a subsequence $\langle t_{n_i} \rangle_{i=1}^\infty$ of $\langle t_n \rangle$ such that $t_{n_i} \in f(g_{n_i}(x))$ for some $x \in f^{-1}(y)$. Thus, there is an $x_{n_i} \in g_{n_i}(x)$ such that $f(x_{n_i}) = t_{n_i}$. Also, since $s_{n_i} \in h_{n_i}(t_{n_i}) \subset f(g_{n_i}(x_{n_i}))$, there is a $z_{n_i} \in g_{n_i}(x_{n_i})$ such that $f(z_{n_i}) = s_{n_i}$. Since $x_{n_i} \in g_{n_i}(x)$ and $z_{n_i} \in g_{n_i}(x_{n_i})$, it follows that $\langle z_{n_i} \rangle_{i=1}^\infty$ has a cluster point. Therefore, $\langle s_{n_i} \rangle_{i=1}^\infty = \langle f(z_{n_i}) \rangle_{i=1}^\infty$ has a cluster point and thus so does $\langle s_n \rangle$ showing that Y is a $w\gamma$ -space.

To prove (B), we merely have to observe that if x were a cluster point of $\langle z_{n_i} \rangle_{i=1}^\infty$ in the preceding argument, then $y = f(x)$ would be a cluster point of $\langle s_{n_i} \rangle_{i=1}^\infty$.

The author has not been successful in obtaining an analogue of the preceding result for $w\theta$ -spaces and θ -spaces, although a straight forward argument for these cases yields the following.

THEOREM 4.2. *Let $\{O_\alpha: \alpha \in A\}$ be a point-finite open covering of X . If each O_α is a γ -space ($w\gamma$ -space; $w\theta$ -space; θ -space), then so is X .*

Turning our attention to wN -spaces, we note the following result of Hodel [13]: A space X is a wM -space if and only if it is wN -space and a $w\gamma$ -space. As an immediate consequence of this result and Theorem 4.2, Example 2.5 shows that wN -spaces are not preserved by finite-to-one open maps and that Theorem 4.2 does not hold for wN -spaces.

5. Summary. In this section we summarize the known results concerning the invariance of certain classes of generalized metric spaces under finite-to-one open maps.

(A): *Classes of spaces invariant under finite-to-one open maps:* In this paper we have shown that $w\mathcal{A}$ -spaces, $w\gamma$ -spaces, γ -spaces, β -spaces, σ^* -spaces, Σ^* -spaces, quasi-metrizable T_1 -spaces, σ -spaces (see also [28]), and Moore spaces are invariant. Also, according to Tanaka [28], P -spaces, strict p -spaces, symmetric spaces, semimetrizable spaces and semi-stratifiable spaces are invariant. In fact, Henry [12], showed that semi-stratifiable spaces are invariant under pseudo-open finite-to-one maps.

(B): *Classes of spaces not invariant under finite-to-one open maps:* We have shown in Example 2.5 that M -spaces, wN -spaces M^* -spaces, M^* -spaces, wM -spaces and M_i -spaces ($i = 1, 2, 3$) are not invariant. Also, Example 3.3 of [28] shows that Σ -spaces and subparacompact spaces are not invariant.

Finally, we note that it does not seem to be known if p -spaces [1] or quasi-complete spaces [11] are preserved by finite-to-one open maps. As mentioned previously, the invariance of $w\theta$ -spaces and θ -spaces is not known.

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