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GENERATING LARGE INDECOMPOSABLE CONTINUA

MICHEL SMITH

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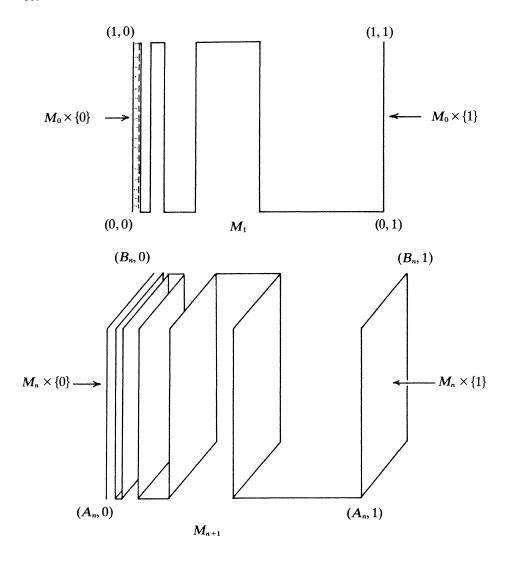
It has been shown by D. P. Bellamy that every metric continuum is homeomorphic to a retract of some metric indecomposable continuum. This result was later extended by G. R. Gordh who proved a similar theorem in the non-metric case. In the present paper a different technique is used to generate such continua.

It is shown that if  $\alpha$  is an infinite cardinal number then there is an indecomposable continuum with  $2^{\alpha}$  composants and if I a (non-metric) continuum then I is homeomorphic to a retract of such a continuum. An indecomposable continuum is constructed such that if C is a composant of it and H is an infinite subset of C then C contains a limit point of H. Finally a non-metric continuum is found so that each proper subcontinuum of it is metric.

Definitions and notations. A continuum is a compact connected Hausdorff space. Suppose A is a well ordered set, for each  $a \in A$   $M_a$  is a topological space, and if a < b in A  $\theta_a^b$  is a continuous function from  $M_b$  onto  $M_a$  so that if a < b < c in A then  $\theta_a^b \circ \theta_b^c = \theta_a^c$ . The space M is the inverse limit  $M = \lim \{M_a, \theta\}_{a \in A}$  means that M is the topological space to which the point P belongs if and only if P is a function from A into  $\bigcup_{a \in A} \{M_a\}$  so that  $P_a \in M_a$  and if a < b in A then  $\theta_a^b(P_b) = P_a$ . R is a region in M means that there is an element  $a \in A$ and an open set  $S \subseteq M_a$  so that  $R = \{P \mid P_a \in S\}$ .  $P_a$  denotes the function from M into  $M_a$  so that  $P_a(P) = P_a$ . If  $S = \prod_{a \in A} S_a$  is a product space then  $x = \{x_a\}_{a \in A}$  denotes the point of S so that  $x_a \in S_a$ , and  $\pi_a$  denotes the function from S into  $S_a$  so that  $\pi_a(x) = x_a$ . If  $\alpha$  is an ordinal number  $\prod_{i \le \alpha} [0, 1]_i$  denotes the cartesian product of  $\alpha$  copies of the interval [0, 1]. If  $M = \lim \{M_a, \theta\}_{a \in A}$  and for each  $a \in A$   $M_a$  is a continuum then M is a continuum. Also if for each  $a \in A$ ,  $M_a$  is an indecomposable continuum then so is M. For theorems concerning inverse limits the reader should consult [2].

THEOREM 1. Suppose M is a compact continuum,  $\alpha$  is a well ordered set with no last element, M is the inverse limit  $M = \lim_{\alpha \to \infty} \{M_{\alpha}, \theta\}_{\alpha \in \alpha}$  of a collection of Hausdorff continua, and for each  $\alpha \in \alpha$  there is a subcontinuum  $I_{\alpha}$  of  $M_{\alpha}$  so that:

(1)  $\theta_a^b(I_b) = M_a$  for a < b in  $\alpha$ , and



(2) if I is a subcontinuum of  $M_a$  intersecting  $I_a$  and  $M_a - I_a$  then I contains  $I_a$ . Then M is indecomposable.

*Proof.* Suppose  $a \in \alpha$  and P is a point of  $M_a - I_a$ . Then there is a subcontinuum V of  $M_a$  which is irreducible from the point P to  $I_a$ . The set  $V - I_a \cap V$  is connected and  $\overline{V - I_a \cap V} = \overline{V}$ . From condition (2) it follows that  $I_a \subseteq V$ , so  $I_a \subset V = \overline{V - I_a \cap V} \subset \overline{M - I_a}$ .

Now suppose M is the union of two proper subcontinua H and K. Let P be a point of H not in K and let Q be a point of K not in H. There exists an element  $a \in \alpha$  and mutually exclusive regions  $R_a$  and  $S_a$  of  $M_a$  containing  $P_a$  and  $Q_a$  respectively so that  $R = \{x \mid x_a \in R_a\}$ 

does not intersect K and  $S = \{x \mid x_a \in S_a\}$  does not intersect H. Thus R and S are mutually exclusive open sets in M containing P and Q respectively. It follows from the above and condition (1) that  $\theta_a^{(a+1)^{-1}}(R_a)$  and  $\theta_a^{(a+1)^{-1}}(S_a)$  are mutually exclusive open sets in  $M_{a+1}$  and each intersects both  $I_{a+1}$  and  $M_{a+1} - I_{a+1}$ . So  $P_{a+1}(H)$  and  $P_{a+1}(K)$  both intersect  $I_{a+1}$  and  $P_{a+1}(K)$ . But then  $P_a(K) = M_a = P_a(H)$ , since  $P_a = \theta_a^{a+1} \circ P_{a+1}$ , which is a contradiction. Thus M is indecomposable.

THEOREM 2. If q is an infinite cardinal number, there is an indecomposable continuum M with  $2^q$  composants.

*Proof.* Let  $\alpha$  be the first ordinal number so that  $|\alpha| = q$ . The continuum M will be constructed as an inverse limit of  $\alpha$  irreducible continua. Let  $M_0 = [0, 1]$ . Let  $M_1$  be the subcontinuum of  $[0, 1] \times [0, 1]$  so that

$$M_{1} = (M_{0} \times \{0\}) \cup \left(\bigcup_{i=0}^{\infty} \left[\left(M_{0} \times \left\{\frac{1}{2i+1}\right\}\right) \cup \left(\{0\} \times \left[\frac{1}{2i+2}, \frac{1}{2i+1}\right]\right)\right]\right)$$

$$\cup \left(\bigcup_{i=1}^{\infty} \left[\left(M_{0} \times \left\{\frac{1}{2i}\right\}\right) \cup \left(\{1\} \times \left[\frac{1}{2i+1}, \frac{1}{2i}\right]\right)\right]\right).$$

The continuum  $M_1$  is the union of countably many copies of  $M_0$  and countably many arcs. If  $A_1 = (0, 0)$  and  $B_1 = (1, 1)$  then  $M_1$  is irreducible from  $A_1$  to  $B_1$ . Let  $\theta_0^1$  be the function from  $M_1$  onto  $M_0$  so that  $\theta_0^1(P_1, P_2) = P_1$ .

Suppose that  $b < \alpha$  and that  $M_a$  and  $\theta_c^a$  have been defined for c < a < b so that  $M_a$  is a subcontinuum of  $\Pi_{i \le a} [0, 1]_i$  which is irreducible from the point  $A_a = \{0\}_{i \le a}$  to the point  $B_a = \{1\}_{i \le a}$ , and  $\theta_c^a$  is a function from  $M_a$  onto  $M_c$  so that  $\theta_c^a(\{x_i\}_{i \le a}) = \{x_i\}_{i \le c}$ . Suppose that b is not a limit ordinal, b = a + 1 for some  $a < \alpha$ . Let  $M_b$  be the subcontinuum of  $\Pi_{i \le b} [0, 1]_i$  so that

$$[*] M_b = (M_a \times \{0\}) \cup \left(\bigcup_{i=0}^{\infty} \left[\left(M_a \times \left\{\frac{1}{2i+1}\right\}\right)\right]$$

$$\cup \left(\left\{A\right\} \times \left[\frac{1}{2i+2}, \frac{1}{2i+1}\right]\right)\right]$$

$$\cup \left(\bigcup_{i=1}^{\infty} \left[\left(M_a \times \left\{\frac{1}{2i}\right\}\right) \cup \left(\left\{B_a\right\} \times \left[\frac{1}{2i+1}, \frac{1}{2i}\right]\right)\right]\right).$$

The continuum  $M_b$  is the union of countably many copies of  $M_a$  and countably many arcs.  $M_b$  is irreducible from any point of  $(M_a \times \{0\})$  to

the point  $(B_a \times \{1\})$ . Let  $A_b = A_a \times \{0\}$  and  $B_b = B_a \times \{1\}$ . Let  $\theta_a^b$  be the function from  $M_b$  onto  $M_a$  so that if  $\{x_i\}_{i \le b} \in M_b$  then  $\theta_a^b(\{x_i\}_{i \le b}) = \{x_i\}_{i \le a}$ . If c < a define  $\theta_b^c$  to be the function  $\theta_a^b \circ \theta_a^c$ .

Suppose that b is a limit ordinal. Let  $M'_b$  be the continuum  $M_b' = \lim_{a \to b} \{M_a, \theta\}_{a < b}$ . Let  $A_b'$  denote the point P so that  $P_a(P) = A_a$  and let  $B_b'$  denote the point P so that  $P_a(P) = B_a$ . Then  $M_b'$  is irreducible from  $A_b'$ to  $B'_b$  since for each  $a < b M_a$  is irreducible from  $P_a(A'_b)$  to  $P_a(B'_b)$ . Let  $L_b$  denote the function from  $M'_b$  into  $\Pi_{i< b} [0, 1]_i$  so that if  $P \in M'_b$  then  $L_b(P) = {\{\pi_i(P_i)\}_{i < b} \text{ where } P_i \text{ is the } i\text{th coordinate of the point } P,}$  $P_i = \mathbf{P}_i(P)$ . Note that  $\mathbf{P}_i(P) \in M_i \subset \prod_{k \le i} [0, 1]_k$ .  $L_b$  is a homeomorphism because if P is a point of  $M_b$  and i < j < b then  $\pi_a(\mathbf{P}_i(P)) = \pi_a(\mathbf{P}_i(P))$  for all  $a \le i$ ; in other words the ath coordinate in the cartesian product  $\prod_{k \leq i} [0, 1]_k$  of  $\mathbf{P}_i(P)$  is the same as the ath coordinate in  $\prod_{k \leq i} [0, 1]_k$  of  $\mathbf{P}_i(P)$ . Then  $L_b(M_b') \subset \Pi_{k \le b} [0, 1]_k$ .  $M_b$  is defined by replacing  $M_a$  by  $L_b(M_b')$  in [\*] above and  $A_a$  by  $L_b(A_b')$  and  $B_a$  by  $L_b(B_b')$ . So  $M_b$  is irreducible from any point of  $(L_b(M_b) \times \{0\})$  to the point  $(L_b(B_b) \times \{1\})$ . Let  $A_b = (L_b(A_b) \times \{0\})$  and  $B_b = (L_b(B_b) \times \{1\})$ . If a < b let  $\theta_a^b$  be the function from  $M_b$  onto  $M_a$  so that if  $\{x_i\}_{i \leq b} \in M_b$  then  $\theta_a^b(\{x_i\}_{i \leq b}) = \{x_i\}_{i \leq a}$ . For notational convenience, if b is a limit ordinal let  $M_{b-1}$  denote the space  $L_b(M_b')$  and let  $\mathbf{P}_{b-1}$  denote the function  $f \circ \mathbf{P}_b$  where f projects  $L_b(M_b') \times [0, 1]$  onto  $L_b(M_b') \times \{0\}$ .

Let  $M = \varprojlim \{M_a, \theta\}_{a < \alpha}$ . If for each a,  $I_a = M_{a-1} \times \{0\}$  then M and the collection  $\{I_a\}_{a < \alpha}$  satisfy the hypothesis of Theorem 1 because  $M_a$  is irreducible from the point  $B_a$  to each point of  $I_a$ . Thus M is indecomposable. If  $P \in M$  let  $P_{\gamma}$  denote  $\mathbf{P}_{\gamma}(P)$ . Let L denote the projection  $L_{\alpha}$  as defined above.

Suppose x is a point of M and  $w_x$  is the set to which P belongs if and only if there exists a  $\beta < \alpha$  so that if  $\beta < \gamma < \alpha$  then  $\pi_a(P_\gamma) = \pi_a(x_\gamma)$  for all  $\alpha$  so that  $\beta < \alpha \le \gamma$ . Equivalently:  $w_x$  is the point set to which P belongs if and only if there exists a  $\beta < \alpha$  so that  $\pi_a(L(P)) = \pi_a(L(x))$  for all  $\alpha > \beta$ . The set  $w_x$  will be shown to be the composant of M containing x.

Suppose  $P \in w_x$ . Then there exists a  $\beta < \alpha$  so that  $\pi_a(L(P)) = \pi_a(L(x))$  for all  $a > \beta$ . Then  $\{y \mid y \in M \text{ and } (y_\gamma)_a = (x_\gamma)_a \text{ for all } a \text{ such that } \beta < a \le \gamma\}$  is a proper subcontinuum of M containing x and P. The following lemma implies that  $w_x$  is a composant.

LEMMA A. If I is a proper subcontinuum of M containing the point x then there exists a  $\beta < \alpha$  so that if  $\beta < \gamma < \alpha$  then  $\pi_a(\mathbf{P}_{\gamma}(I)) = \pi_a(x_{\gamma})$  for all a so that  $\beta < a \leq \gamma$ ; (or, there exists a  $\beta < \alpha$  so that  $\pi_a(L(I)) = \pi_a(L(x))$  for all a so that  $\beta < a < \alpha$ .)

*Proof.* Suppose that I is a subcontinuum of M containing the point x. Then there exists an element  $\beta < \alpha$  so that  $P_{\beta}(I) \neq M_{\beta}$ . Suppose that

the lemma is false. Then there exists a first element  $a_1 > \beta$  so that  $\pi_{a_1}(L(I))$  is non-trivial. Likewise there is a first element  $a_2$  after  $a_1$  and a first element  $a_3$  after  $a_2$  so that  $\pi_{a_2}(L(I))$  and  $\pi_{a_3}(L(I))$  are non-trivial,  $\beta < a_1 < a_2 < a_3$ .

Let  $\gamma > a_3$ . Suppose  $0 \in \pi_{a_i}(\mathbf{P}_{\gamma}(I))$  for some i = 1, 2, 3. Then there is a number t distinct from 0 in  $\pi_{a_i}(\mathbf{P}_{\gamma}(I))$ . But  $\mathbf{P}_{\gamma}(I)$  intersects  $M_{a_{i-1}} \times \{0\}$  and  $M_{a_i} - (M_{a_{i-1}} \times \{0\})$ , so  $M_{a_{i-1}} \times \{0\} \subset \mathbf{P}_{a_i}(I)$ . Thus  $M_{\beta} \subset \mathbf{P}_{\beta}(I)$  which is a contradiction.

Suppose  $1 \in \pi_{a_2}(\mathbf{P}_{\gamma}(I))$ . Then there is a number t < 1 in  $\pi_{a_2}(\mathbf{P}_{\gamma}(I))$ . But there is a number  $r \ge t$  so that  $\{A_{a_2-1}\} \times [r,1] \subseteq \mathbf{P}_{a_2}(I)$ , this follows from the construction of  $M_{a_2}$ . Then  $0 \in \pi_{a_1}(\mathbf{P}_{a_2}(I))$  since  $A_{a_2-1} = \{0\}_{i < a_2}$  and this is a contradiction. So  $1 \not\in \pi_{a_2}(\mathbf{P}_{\gamma}(I))$ . Similarly  $1 \not\in \pi_{a_3}(\mathbf{P}_{\gamma}(I))$ .

Suppose  $0 < t_1 < t_2 < 1$  and  $[t_1, t_2] \subset \pi_{a_3}(\mathbf{P}_{\gamma}(I))$ . But  $\mathbf{P}_{a_3}(I)$  does not intersect any of the sets  $\{\{A_{a_3-1}\} \times [1/(2i+2), 1/(2i+1)]\}_{i=0}^{\infty}$  or any of the sets  $\{\{B_{a_3-1}\} \times [1/(2i+1), 1/2i]\}_{i=1}^{\infty}$ , or else either 0 or 1 would belong to  $\pi_{a_2}(\mathbf{P}_{a_2}(I))$ . Then  $\mathbf{P}_{a_3}(I)$  must be a subset of  $M_{a_3} \times \{1/k\}$  for some integer k > 1. But  $\pi_a(\mathbf{P}_{a_3}(I)) = \pi_a(\mathbf{P}_{\gamma}(I))$  for  $a \le a_3$  so  $\pi_{a_3}(\mathbf{P}_{\gamma}(I)) = 1/k$  which is a contradiction. So the lemma must be true.

LEMMA B. Suppose q is a cardinal number and  $\alpha$  is the first ordinal number so that  $q = |\alpha|$ . Then there exists a collection G of functions from  $\alpha$  into the set  $\{0, 1\}$  of cardinality  $2^q$  so that if f and g belong to G then the set  $\{x \mid x \in \alpha \text{ and } f(x) \neq g(x)\}$  is cofinal in  $\alpha$ .

*Proof.* Let T be a bijection from  $\alpha \times \alpha$  onto  $\alpha$ . If  $a \in \alpha$  then the set  $T(\{a\} \times \alpha)$  is cofinal in  $\alpha$ . Suppose that S is a subset of  $\alpha$ , let  $f_S$  be the function from  $\alpha$  into  $\{0, 1\}$  so that  $f_S(t) = 1$  if and only if  $t \in T(S \times \alpha)$ . Let  $G = \{f_S \mid S \text{ is a subset of } \alpha\}$ . Suppose  $S_1$  and  $S_2$  are two distinct subsets of  $\alpha$  and a is an element of  $S_1$  not in  $S_2$ . Then  $f_{S_1}(T(\{a\} \times \alpha)) = 1$  and  $f_{S_2}(T(\{a\} \times \alpha)) = 0$  so  $\{x \mid x \in \alpha \text{ and } f_{S_1}(x) \neq f_{S_2}(x)\}$  contains the set  $T(\{a\} \times \alpha)$  which is cofinal in  $\alpha$ . Thus  $|G| = 2^q$  and the lemma is proven.

The continuum M was constructed so that every function from  $\alpha$  into the set  $\{0, 1\}$  belongs to L(M). If q is a cardinal number and  $\alpha$  is the first ordinal number so that  $q = 2^{|\alpha|}$  then, by Lemma B, the number of composants of M is at least  $2^{|\alpha|}$ . If c denotes the cardinality of [0, 1] then M has cardinality at most  $c^{|\alpha|}$ . But  $2^{|\alpha|} = c^{|\alpha|}$ , so M has  $2^{|\alpha|}$  composants.

Notation: If  $\lambda$  is a limit ordinal let  $M_{\lambda}$  denote the indecomposable continuum obtained by the construction of Theorem 2 with  $\lambda = \alpha$ .

COROLLARY 2.1. If X is a continuum then X is homeomorphic to a retract of an indecomposable continuum with an arbitrarily large number of composants.

- **Proof.** It follows from the construction in [3] that X is homeomorphic to a retract of an irreducible continuum Y. Then if Y is irreducible from the point A to the point B merely replace  $M_0$  by Y and  $\{0\}$  and  $\{1\}$  by A and B respectively in the above construction.
- COROLLARY 2.2. There exists a non-metric continuum each proper subcontinuum of which is metric.
- *Proof.* Consider  $M_{\omega_1}$ , where  $\omega_1$  is the first uncountable ordinal. By Lemma A, if I is a proper subcontinuum of M there is a point  $x \in M$  and an element  $\beta < \omega_1$  so that  $\pi_a(L(I)) = \pi_a(x)$  for all a so that  $\beta < a < \omega_1$ . Thus L(I) is embedded in  $\prod_{a \le \beta} [0,1]_a \times (\{\pi_a(L(x))\}_{a < \beta})$ . So I is homeomorphic to a subset of the cartesian product of countably many intervals and hence is metric. For each  $a < \omega_1$  let  $x_a$  be the point of  $\prod_{i < \omega} [0,1]_i$  which is 1 at the ath coordinate and is 0 elsewhere. Then the set  $\{x \mid x = x_a, a < \alpha\}$  is an uncountable set of points in L(M) which contains none of its limit points. Thus L(M) is not metric.
- Observation 1. If X is a non-metric continuum and every proper subcontinuum of X is metric then X is indecomposable.
- Observation 2. The continuum  $M_{\omega_1}$  has  $2^{\aleph_1}$  composants, and  $c \le 2^{\aleph_1} \le 2^c$ . Thus the continuum could have c or  $2^c$  composants depending on which axioms of set theory are assumed. It is also possible that neither equality holds.
- COROLLARY 2.3. There exists a continuum M every proper subcontinuum of which is less numerous than M.
- *Proof.* Let  $\alpha$  be the first ordinal number so that  $2^c < 2^{|\alpha|}$ , where c is the cardinality of the interval [0,1]. Then if  $\beta < \alpha, 2^{|\beta|} < 2^{|\alpha|}$ . Consider the continuum  $M_{\alpha}$  constructed above.  $M_{\alpha}$  contains at least  $2^{|\alpha|}$  points. By Lemma A, if I is a proper subcontinuum of M there exists a point  $x \in M$  and an element  $\beta < \alpha$  so that  $\pi_a(L(I)) = \pi_a(x)$  for all a so that  $\beta < a < \alpha$ . Thus L(I) is embedded in  $\prod_{\alpha \leq \beta} [0,1]_a \times (\{\pi_a(L(x))\}_{\beta < \alpha})$ . So I has at most  $c^{|\beta|}$  points and  $c^{|\beta|} \leq 2^c < 2^{|\alpha|}$ . Again observe that any continuum having this property must be indecomposable.
- Theorem 3. Suppose q is a cardinal number,  $\alpha$  is the first ordinal number so that  $|\alpha| = q$ , and C is a composant of  $M_{\alpha}$ . If  $H \subset C$  and  $|H| < \alpha$  then  $\bar{H} \subset C$ .
- *Proof.* Suppose  $H \subset w_x$ . It follows from the definition of  $w_x$  that there exists a  $\beta < \alpha$  so that if  $P \in H$  then  $\pi_a(L(P)) = \pi_a(L(x))$  for all a

so that  $\beta < a < \alpha$ . Suppose  $Q \in M - w_x$ . Then there exists a  $\delta > \beta$  so that  $\pi_{\delta}(L(Q)) \neq \pi_{\beta}(L(x))$ . Let  $S_{\delta}$  be a region in  $[0,1]_{\delta}$  containing  $\pi_{\delta}(L(\theta))$  and not  $\pi_{\delta}(L(x))$ . Then  $R = \{Z \mid \pi_{\delta}(Z) \in S\}$  is an open set in L(M) containing L(Q) but no point of L(H). So  $Q \notin \overline{H}$ . So  $\overline{H} \subset w_x$ .

DEFINITION. The subset H of the Hausdorff space X is said to be conditionally compact if and only if it is true that every infinite subset of H has a limit point in H.

COROLLARY 3.1. There exists a conditionally compact indecomposable connected Hausdorff space with only one composant.

*Proof.* By Theorem 3 any composant of  $M_{\omega_1}$  is such a space.

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# **Pacific Journal of Mathematics**

Vol. 62, No. 2

February, 1976

Allan Russell Adler and Catarina Isabel Kiefe, <i>Pseudofinite fields</i> , <i>procyclic</i>				
fields and model-completion	305			
Christopher Allday, The stratification of compact connected Lie group				
actions by subtori	311			
Martin Bartelt, Commutants of multipliers and translation operators	329			
Herbert Stanley Bear, Jr., Ordered Gleason parts	337			
James Robert Boone, On irreducible spaces. II	351			
James Robert Boone, On the cardinality relationships between discrete collections and open covers	359			
L. S. Dube, On finite Hankel transformation of generalized functions				
Michael Freedman, Uniqueness theorems for taut submanifolds	379			
Shmuel Friedland and Raphael Loewy, Subspaces of symmetric matrices				
containing matrices with a multiple first eigenvalue	389			
Theodore William Gamelin, <i>Uniform algebras spanned by Hartogs</i>				
series	401			
James Guyker, On partial isometries with no isometric part	419			
Shigeru Hasegawa and Ryōtarō Satō, A general ratio ergodic theorem for				
semigroups	435			
Nigel Kalton and G. V. Wood, <i>Homomorphisms of group algebras with norm</i>				
less than $\sqrt{2}$	439			
Thomas Laffey, On the structure of algebraic algebras	461			
Will Y. K. Lee, On a correctness class of the Bessel type differential				
operator $S_{\mu}$	473			
Robert D. Little, Complex vector fields and divisible Chern classes	483			
Kenneth Louden, Maximal quotient rings of ring extensions	489			
Dieter Lutz, Scalar spectral operators, ordered $l^{\rho}$ -direct sums, and the				
counterexample of Kakutani-McCarthy	497			
Ralph Tyrrell Rockafellar and Roger Jean-Baptiste Robert Wets, <i>Stochastic</i>				
convex programming: singular multipliers and extended duality				
singular multipliers and duality	507			
Edward Barry Saff and Richard Steven Varga, Geometric overconvergence of				
rational functions in unbounded domains	523			
Joel Linn Schiff, Isomorphisms between harmonic and P-harmonic Hardy				
spaces on Riemann surfaces	551			
Virinda Mohan Sehgal and S. P. Singh, <i>On a fixed point theorem of</i>				
Krasnoselskii for locally convex spaces	561			
Lewis Shilane, Filtered spaces admitting spectral sequence operations	569			
Michel Smith, Generating large indecomposable continua	587			
John Vuon On the composition algebras of H invariant measures	505			