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MEROMORPHIC IN THE UNIT DISK WITH A SPIRAL  
ASYMPTOTIC VALUE**

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# ON THE VALUE DISTRIBUTION OF FUNCTIONS MEROMORPHIC IN THE UNIT DISK WITH A SPIRAL ASYMPTOTIC VALUE

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The object in this paper is to examine the value distribution of functions  $f(z)$  nonconstant and meromorphic in the unit disk which have an asymptotic value  $\alpha$ , finite or infinite, along a spiral boundary path. The main result which we prove is that if  $\Delta(r)$  is a component of the set of values  $z$  such that  $|f(z) - \alpha| < r$ ,  $r > 0$ , which contains a boundary path on which  $f(z)$  tends to  $\alpha$  as  $|z| \rightarrow 1$ , then  $f(z)$  assumes every value in  $|w - \alpha| < r$  infinitely often in  $\Delta(r)$  except for at most two values (if  $\Delta(r)$  is simply-connected, then there is at most one exceptional value).

1. Introduction. A boundary path  $S: z = s(t)$ ,  $0 \leq t < 1$ , in  $|z| < 1$  shall be called a spiral if  $\arg s(t) \rightarrow +\infty$  as  $t \rightarrow 1$  or  $\arg s(t) \rightarrow -\infty$  as  $t \rightarrow 1$ . We shall denote by  $(S)$  the class of functions, nonconstant and meromorphic in  $|z| < 1$ , which have an asymptotic value  $\alpha$ , finite or infinite, along a spiral  $S$ . The object in this paper is to examine the value distribution of the functions in class  $(S)$ . In §3 we have the main result which is a version of Picard's theorem localized to a neighborhood of a transcendental singularity for functions of class  $(S)$ . This result is applied in §4 to show that, in some sense, the number of direct transcendental singularities of functions of class  $(S)$  cannot be too large. These results extend some earlier work of K. Noshiro [7] (see also [9, p. 163-167]).

2. Components of functions of class  $(S)$ . Let  $f(z) \in (S)$ . Then there is a complex value  $\alpha$ , finite or infinite, and a spiral  $S: z = s(t)$ ,  $0 \leq t < 1$ , in  $|z| < 1$  such that  $\lim_{t \rightarrow 1} f(s(t)) = \alpha$ . Let  $r > 0$  and  $\omega$  be a complex number. We form the open set

$$G = \{z \mid |f(z) - \omega| < r\}$$

if  $\omega$  is finite, and

$$G = \{z \mid |f(z)| > r\}$$

if  $\omega$  is infinite. Since functions of class  $(S)$  are of unbounded characteristic [5, p. 172], the global cluster set  $C(f)$  of  $f(z)$  in  $|z| < 1$  is total; hence,  $G \neq \emptyset$ . We denote by  $\Delta(r)$  a nonempty open component of  $G$ . If  $\text{Fr}(\Delta(r)) \cap \{|z| = 1\} = \emptyset$ , where  $\text{Fr}(A)$  denotes the set of frontier points of the set  $A$ , we shall call  $\Delta(r)$  a finite domain.

If, however,  $\text{Fr}(\mathcal{A}(r)) \cap \{|z| = 1\} \neq \emptyset$ , we shall call  $\mathcal{A}(r)$  an infinite domain.

By the minimum principle, each finite domain  $\mathcal{A}(r)$  contains a zero of  $f(z) - \omega$ . Also, by Rouché's theorem, a finite domain  $\mathcal{A}(r)$  contains the same number of roots, counting multiplicities, for  $f(z) - \beta$  for each value  $\beta$ ,  $|\beta - \omega| < r$ .

If  $\mathcal{A}(r)$  is an infinite domain, then  $\mathcal{A}(r)$  is not, in general, simply-connected. However, an easy application of the maximum principle shows  $\mathcal{A}(r)$  to be simply-connected if either  $\omega \neq \infty$  and  $f(z)$  is holomorphic in  $|z| < 1$ , i.e.,  $f(z)$  omits  $\infty$  in  $|z| < 1$ , or  $\omega = \infty$  and  $f(z)$  omits 0 in  $|z| < 1$ . An infinite domain  $\mathcal{A}(r)$  with the property that the portion of its boundary  $\text{Fr}(\mathcal{A}(r))$  which lies within  $|z| < 1$  consists entirely of closed analytic curves shall be called an annular domain. An infinite domain  $\mathcal{A}(r)$  with the property that there exists a spiral  $S^*$  in  $|z| < 1$  such that  $\mathcal{A}(r) \subseteq \{|z| < 1\} - S^*$  shall be called a spiral domain.

**THEOREM 1.** *If  $\mathcal{A}(r)$  is an infinite domain for  $f(z) \in (S)$ , then  $\mathcal{A}(r)$  is one of the following: (i) a spiral domain, (ii) an annular domain, or (iii) a subset of an annular domain.*

*Proof.* Suppose  $\mathcal{A}(r)$  is neither annular domain nor a spiral domain. Let  $r_1 > r$ . Let  $\mathcal{A}(r_1)$  be the component of the open set  $\{|z| | f(z) - \omega| < r_1\}$  such that  $\mathcal{A}(r) \subseteq \mathcal{A}(r_1)$ . Suppose  $\mathcal{A}(r_1)$  is not an annular domain. Then, applying methods found in [3], we can find a boundary path  $L'$  in  $|z| < 1$  on which  $|f(z) - \omega| = r_1$ . Since  $\mathcal{A}(r)$  is not a spiral domain, the spiral  $S$  intersects  $\mathcal{A}(r)$  in  $1 - \delta < |z| < 1$  for each  $\delta > 0$ . Thus,  $|\alpha - \omega| \leq r$ , and there exists  $t_0$ ,  $0 < t_0 < 1$ , such that the spiral  $S^*: z = s(t)$ ,  $t_0 \leq t < 1$ , is disjoint from  $L'$ . Thus,  $L'$  is also a spiral and  $\mathcal{A}(r) \subseteq \mathcal{A}(r_1) \subseteq \{|z| < 1\} - L'$ . But this implies that  $\mathcal{A}(r)$  is a spiral domain, contrary to our assumption. Therefore,  $\mathcal{A}(r_1)$  is an annular domain.

**THEOREM 2.** *If  $\mathcal{A}(r)$  is an infinite domain for  $f(z) \in (S)$  and if  $L$  is a boundary path in  $\mathcal{A}(r)$  on which  $f(z) \rightarrow \omega$  as  $|z| \rightarrow 1$ , then  $\mathcal{A}(r)$  is either a spiral domain or an annular domain.*

*Proof.* Recall that  $\alpha$  is the asymptotic value of  $f(z)$  along the spiral  $S$ . Suppose  $\omega \neq \alpha$ . Then, in this case, the boundary path  $L$  must be a spiral. Suppose  $\mathcal{A}(r)$  is not an annular domain. As in the proof above we apply the methods found in [3] to find a boundary path  $L'$  in  $|z| < 1$  on which  $|f(z) - \omega| = r$ . Since  $L$  is a spiral,  $L'$  is a spiral and  $\mathcal{A}(r) \subseteq \{|z| < 1\} - L'$ .

Suppose  $\omega = \alpha$ . Then, there exists  $t_0$ ,  $0 < t_0 < 1$ , such that

$|f(s(t)) - \alpha| < r$  for all  $t$ ,  $t_0 \leq t < 1$ . Let  $S^*: z = s(t)$ ,  $t_0 < t < 1$ . Clearly, either  $S^* \subseteq \Delta(r)$  or  $\Delta(r) \subseteq \{|z| < 1\} - S^*$ . If  $S^* \subseteq \Delta(r)$ , then we can argue as above to show that  $\Delta(r)$  is a spiral domain.

Let  $z = \phi(w)$  denote the inverse function of  $w = f(z) \in (S)$ . The domain of  $z = \phi(w)$  is a Riemann surface  $\Phi$ . We shall write  $Q(w; w_0)$  to denote a functional element with center  $w = w_0$  for  $z = \phi(w)$ . Let

$$\Lambda: q(t) = Q(w; w(t)), \quad 0 \leq t < 1,$$

with  $\lim_{t \rightarrow 1} w(t) = \omega$ , be a curve on the Riemann surface  $\Phi$ . This curve  $\Lambda$  is said to define a transcendental singularity  $\Omega$  for  $z = \phi(w)$  on  $\Phi$ , with projection  $w = \omega$ , if (i) for every positive number  $\delta$ ,  $\delta < 1$ , the system of functional elements  $Q(w; w(t))$ ,  $0 \leq t \leq \delta$ , defines an analytic continuation (possibly, of algebraic character), but (ii) for any functional element  $Q(w; \omega)$ , rational or algebraic, with center at  $w = \omega$ , the system  $Q(w; w(t))$ ,  $0 \leq t \leq 1$ , where  $w(1) = \omega$ , never defines an analytic continuation. A theorem due to the work of Iversen [6, p. 13] and Noshiro [7, p. 53] states that there is a one-to-one correspondence between the asymptotic paths of  $w = f(z)$  and the transcendental singularities of  $z = \phi(w)$ , the inverse function of  $w = f(z)$ . In view of this result, we shall say that two asymptotic boundary paths  $L_1$  and  $L_2$  for  $f(z) \in (S)$  are equivalent if  $L_1$  and  $L_2$  both correspond (in the sense of the Iversen-Noshiro theorem) to the same transcendental singularity  $\Omega$  on the Riemann surface  $\Phi$  of  $z = \phi(w)$ , and, we shall indicate this equivalence by the notation  $[L_1] = [L_2]$ . We refer the reader to [2] and [3] where the notions of equivalent and nonequivalent asymptotic paths are analyzed in greater detail.

**THEOREM 3.** *If  $f(z) \in (S)$  and  $\Delta(r)$  is an annular domain for all  $r > 0$ , then the inverse function  $z = \phi(w)$  of  $w = f(z)$  has exactly one transcendental singularity and it lies above  $w = \omega$ .*

*Proof.* Suppose  $z = \phi(w)$  has at least two transcendental singularities  $\Omega_1$  and  $\Omega_2$ . Let  $S_1$  and  $S_2$  be the asymptotic boundary paths in  $|z| < 1$  for  $f(z)$  which correspond to  $\Omega_1$  and  $\Omega_2$ , respectively. Since  $f(z) \in (S)$ ,  $S_1$  and  $S_2$  are spirals. Let  $r > 0$ . Since  $\Delta(r)$  is an annular domain,  $S_1$  and  $S_2$  intersect  $\Delta(r) \cap \{\delta < |z| < 1\}$  for each  $\delta$ ,  $0 < \delta < 1$ . Since  $r$  is an arbitrary positive number, we have that  $\omega$  is the asymptotic value on  $S_1$  and  $S_2$ . By [3, Theorem 1],  $[S_1] \neq [S_2]$  implies that there exists  $b > 0$  and a boundary path  $L'$ , necessarily a spiral, on which  $|f(z) - \omega| = b$ . But, then  $\Delta(r_0) \subseteq \{|z| < 1\} - L'$  for  $r_0$ ,  $0 < r_0 < b$ , which contradicts our hypothesis that  $\Delta(r_0)$  is an

annular domain. Hence,  $z = \phi(w)$  has exactly one transcendental singularity.

In [10] Valiron offers a construction of a function which shows that the converse of Theorem 3 is false. Valiron's construction is very difficult to follow and prompts the need for another approach to the construction of such a function.

3. Value distribution of functions of class (S) in  $\Delta(r)$ . We denote by  $n(\phi, a)$  the number of functional elements  $Q(w; a)$ , with center  $w = a$ , for  $z = \phi(w)$  the inverse of  $f(z) \in (S)$ , where an algebraic functional element is counted  $k$  times if its order of ramification is  $k - 1$ . Noshiro [7, p. 60] proved the following: Let  $z = \phi_D(w)$  denote the branch of  $z = \phi(w)$  obtained by continuing  $Q(w; a)$ ,  $a \in D$ , inside  $D$  with algebraic elements, where  $D$  is an arbitrary domain of the  $w$ -plane. If  $z = \phi_D(w)$  has no transcendental singularity with projection inside  $D$ , then  $n(\phi_D, w)$  is a finite or infinite constant in  $D$ .

**THEOREM 4.** *If  $\Delta(r)$  is an annular domain for  $f(z) \in (S)$  and if the transcendental singularities of  $z = \phi(w)$  lying above  $|w - \omega| < r$  have the property that they lie above at most a finite set of points  $w_1, w_2, \dots, w_k$  in  $|w - \omega| < r$ , then every value of  $|w - \omega| < r$  is assumed infinitely often by  $f(z)$  in  $\Delta(r)$ , except possibly the values  $w_1, w_2, \dots, w_k$ .*

*Proof.* Let  $D' = \{|w - \omega| < r\} - \bigcup_{j=1}^k \{w_j\}$ . Then, the branch  $z = \phi_D(w)$  of  $z = \phi(w)$  has no transcendental singularity with projection inside  $D'$ . By Noshiro's theorem above, the function  $n(\phi_D, w)$  is constant throughout  $D'$ . If  $\Delta(r)$  had at most finitely many holes, then, since  $\Delta(r)$  is an annular domain, the global cluster set  $C(f)$  of  $f(z)$  would be contained in the closed disk  $|w - \omega| \leq r$ . But this contradicts functions of class (S) having total global cluster sets. Thus,  $\Delta(r)$  has infinitely many holes. Each hole is bounded by a closed analytic curve whose image under  $f(z)$  covers the circumference  $|w - \omega| = r$  completely. Thus,  $n(\phi_D, w) = +\infty$  throughout  $D'$  and we are done.

**THEOREM 5.** *If  $\Delta(r)$  is a spiral domain for  $f(z) \in (S)$ , then each value  $\beta$ ,  $|\beta - \omega| < r$ , omitted by  $f(z)$  in  $\Delta(r)$  is an asymptotic value along a spiral contained in  $\Delta(r)$ .*

*Proof.* Since  $\Delta(r)$  is a spiral domain, there exists a spiral  $S'$  in  $|z| < 1$  such that  $\Delta(r) \subseteq \{|z| < 1\} - S'$ . Let  $\zeta = \zeta(z)$  be a one-to-one conformal map of the simply-connected region  $\{|z| < 1\} - S'$  onto

$|\zeta| < 1$  such that the prime end  $P$  of  $\{|z| < 1\} - S'$  whose impression  $I(P)$  is  $|z| = 1$  corresponds to  $\zeta = 1$ . We use  $z = z(\zeta)$  to denote the inverse map of  $\zeta = \zeta(z)$ . Let  $\mathcal{A}'(r)$  be the image of  $\mathcal{A}(r)$  in  $|\zeta| < 1$  under  $\zeta = \zeta(z)$ . Since  $\mathcal{A}(r)$  is a spiral domain, we have that  $1 \in \text{Fr } (\mathcal{A}'(r))$ .

The function  $F(\zeta) = f(z(\zeta))$  is holomorphic in  $\mathcal{A}'(r)$  and continuous in  $\overline{\mathcal{A}'(r)}$ , with the exception of  $\zeta = 1$ . In fact,  $|F(\zeta) - \omega| < r$  for  $\zeta \in \mathcal{A}'(r)$  and  $|F(\zeta) - \omega| = r$  for  $\zeta \in \text{Fr } (\mathcal{A}'(r))$ ,  $\zeta \neq 1$ .

Suppose  $f(z)$  omits  $\beta$  in  $\mathcal{A}(r)$ ,  $|\beta - \omega| < r$ . Then,  $F(\zeta)$  omits  $\beta$  in  $\mathcal{A}'(r)$ . Let

$$F_2(\zeta) = \frac{F_1(\zeta) - \beta^*}{1 - \beta^* F_1(\zeta)}$$

where  $F_1(\zeta) = 1/r(F(\zeta) - \omega)$  and  $\beta^* = 1/r(\beta - \omega)$ . Then,  $F_2(\zeta)$  is holomorphic in  $\mathcal{A}'(r)$  with  $|F_2(\zeta)| < 1$  in  $\mathcal{A}'(r)$ ,  $|F_2(\zeta)| = 1$  for  $\zeta \in \text{Fr } (\mathcal{A}'(r))$ ,  $\zeta \neq 1$ , and  $F_2(\zeta) \neq 0$  in  $\mathcal{A}'(r)$ . If  $\mathcal{A}(r)$  were simply-connected, then  $\mathcal{A}'(r)$  would be simply-connected and we could, then, apply results of functions belonging to Seidel's class  $(U)$  [8, p. 32] to prove our theorem. Unfortunately,  $\mathcal{A}(r)$  may not be simply-connected, in general. An argument of Doob [4] helps to surmount this difficulty.

Since  $F_2(\zeta)$  omits 0 in  $\mathcal{A}'(r)$ ,  $1/F_2(\zeta)$  is holomorphic in  $\mathcal{A}'(r)$ . Suppose  $1/F_2(\zeta)$  is bounded in  $\mathcal{A}'(r)$ . Then there exists a number  $K > 0$  such that  $1/|F_2(\zeta)| < K$  in  $\mathcal{A}'(r)$ . Let  $\sigma$  be a number such that  $0 < \sigma < 1$ . Choose a definite branch of the function  $(1/2(\zeta - 1))^\sigma$  in  $\mathcal{A}'(r)$ . This branch is holomorphic in  $\mathcal{A}'(r)$  with  $|1/2(\zeta - 1)| \leq 1$  in  $\mathcal{A}'(r)$ . The function

$$\phi_\sigma(\zeta) = \frac{\left(\frac{1}{2}(\zeta - 1)\right)^\sigma}{F_2(\zeta)}$$

is holomorphic and bounded in  $\mathcal{A}'(r)$ . Furthermore,

$$|\phi_\sigma(\zeta)| \leq \frac{1}{|F_2(\zeta)|} = 1$$

for  $\zeta \in \text{Fr } (\mathcal{A}'(r))$ ,  $\zeta \neq 1$ . Also,

$$\lim_{\substack{\zeta \rightarrow 1 \\ \zeta \in \mathcal{A}'(r)}} \phi_\sigma(\zeta) = 0.$$

By the maximum principle,  $|\phi_\sigma(\zeta)| \leq 1$  for  $\zeta \in \mathcal{A}'(r)$ , for every  $\sigma > 0$ . If we let  $\sigma \rightarrow 0$ , we have that  $|F_2(\zeta)| \geq 1$  in  $\mathcal{A}'(r)$ , and this is a contradiction. Thus, we have that 0 is a cluster value for  $F_2$  at  $\zeta = 1$  in  $\mathcal{A}'(r)$ . But, clearly, 0 does not belong to the set of boundary cluster values for  $F_2$  at  $\zeta = 1$  in  $\mathcal{A}'(r)$ . Since 0 is omitted by  $F_2$  in

$\mathcal{A}'(r)$ , by the Gross-Iversen theorem [8, p. 23-24], there exists a path  $L$  in  $\mathcal{A}'(r)$  terminating at  $\zeta = 1$  on which  $F_2(\zeta) \rightarrow 0$  as  $|\zeta| \rightarrow 1$ . Thus, on  $L$   $f(z(\zeta)) \rightarrow \beta$  as  $|\zeta| \rightarrow 1$ . We simply notice that the image of  $L$  is a spiral lying in  $\mathcal{A}(r)$  on which  $f(z) \rightarrow \beta$  as  $|z| \rightarrow 1$ .

**THEOREM 6.** *Let  $\mathcal{A}(r)$  be an infinite domain for  $f(z) \in (S)$  such that  $\mathcal{A}(r)$  contains a boundary path  $L$  on which  $f(z) \rightarrow \omega$  as  $|z| \rightarrow 1$ . Let  $\mathcal{A}_\tau = \mathcal{A}(r) \cap \{|z| < \tau\}$ ,  $0 < \tau < 1$ , and let  $A(\tau)$  denote the area of the Riemannian image of  $\mathcal{A}_\tau$  under  $w = f(z)$ . Then*

$$\lim_{\tau \rightarrow 1} A(\tau) = +\infty.$$

*Proof.* Suppose for all  $r_1$ ,  $0 < r_1 < r$ ,  $\mathcal{A}(r_1)$  is an annular domain (here it is understood, of course, that  $\mathcal{A}(r_1)$  is that component which contains the end part of  $L$ ). Then, by Theorem 3, the inverse  $z = \phi(w)$  has exactly one transcendental singularity which lies above  $w = \omega$ . By Theorem 4, every value in the disk  $|w - \omega| < r$ , except possibly  $w = \omega$ , is assumed infinitely often by  $f(z)$  in  $\mathcal{A}(r)$ . Thus, in this case,

$$\lim_{\tau \rightarrow 1} A(\tau) = +\infty.$$

Let us, next, consider the case that for some  $r_0$ ,  $0 < r_0 < r$ ,  $\mathcal{A}(r_0)$  is a spiral domain. Let  $\mathcal{A}_\tau^0 = \mathcal{A}(r_0) \cap \{|z| < \tau\}$ ,  $0 < \tau < 1$ , and let  $A_0(\tau)$  denote the area of the Riemannian image of  $\mathcal{A}_\tau^0$  under  $f(z)$ . Since  $\mathcal{A}(r_0) \subseteq \mathcal{A}(r)$ , it follows that  $A_0(\tau) \leq A(\tau)$ . In view of this, it suffices to assume that  $\mathcal{A}(r)$  is a spiral domain. Since  $\mathcal{A}(r) \subseteq \{|z| < 1\} - S'$  for some spiral  $S'$  in  $|z| < 1$ , we again map  $\mathcal{A}(r)$  onto  $\mathcal{A}'(r)$  using the one-to-one conformal map  $\zeta = \zeta(z)$  of  $\{|z| < 1\} - S'$  onto  $|\zeta| < 1$  that we used in the proof of Theorem 5. Then, the image  $L'$  of the spiral  $L$  is a path in  $\mathcal{A}'(r)$  which terminates at  $\zeta = 1$ .

Let  $\mathcal{A}''(r)$  denote the image of  $\mathcal{A}'(r)$  in the  $t$ -plane under the map  $t = (\zeta - \zeta_0)/(\zeta - 1)$ , where  $\zeta_0$  is the initial point of the path  $L'$ . The image  $L''$  of  $L'$  under this map is a path which begins at the interior point  $t = 0$  of  $\mathcal{A}''(r)$  and terminates at the boundary point  $t = \infty$  of  $\mathcal{A}''(r)$ . We define

$$G(t) = f\left(z\left(\frac{t - \zeta_0}{t - 1}\right)\right)$$

in  $\mathcal{A}''(r)$ . Let  $\mathcal{A}_\tau'' = \mathcal{A}''(r) \cap \{|t| < \tau\}$ ,  $0 < \tau < +\infty$ . Let  $A''(\tau)$  denote the area of the Riemannian image of the open set  $\mathcal{A}_\tau''$  under  $G(t)$ . Since the range of  $G(t)$  in  $\mathcal{A}''(r)$  is identical to that of  $f(z)$  in  $\mathcal{A}(r)$  and since  $\mathcal{A}''(r)$  is linked to  $\mathcal{A}(r)$  by means of a one-to-one conformal map, it suffices to show that

$$\lim_{\tau \rightarrow +\infty} A''(\tau) = +\infty.$$

Let  $\tau_0 > 0$  be fixed so that  $|t| < \tau_0$  contains at least one boundary point of  $\Delta''(r)$ . Denote by  $L''_\tau$  the part of the path  $L''$  which runs from the last point of intersection  $t_\tau$  of  $L''$  with  $|t| = \tau$ , counting from  $t = 0$ . Since  $G(t) \rightarrow \omega$  on  $L''$  as  $|t| \rightarrow +\infty$ , there exists a number  $\tau_1$ ,  $\tau_1 > \tau_0 > 0$ , such that (i)  $|G(t) - \omega| < (1/2)r$  for all  $t \in L''_{\tau_1}$ , and (ii) for any  $\tau > \tau_1$ , if  $\gamma_\tau$  denotes the collection of component arcs of  $|t| = \tau$  which fall into  $\Delta''(r)$  (there can be at most finitely many such arcs since the boundary of  $\Delta''(r)$  is an analytic curve), then  $\gamma_\tau$  contains a crosscut of  $\Delta''(r)$ , call it  $\lambda_\tau$ , such that the point  $t_\tau \in \lambda_\tau$  and the endpoints of  $\lambda_\tau$  lie on  $\text{Fr}(\Delta''(r))$ . Since the image of the arc  $\lambda_\tau$  under  $G(t)$  is a curve which starts from a point on  $|w - \omega| = r$ , passes through a point lying in  $|w - \omega| < (1/2)r$ , and, finally, terminates at a point on  $|w - \omega| = r$ , we have that the length of the image of  $\lambda_\tau$  under  $G(t)$  is greater than or equal to  $r$ .

Let  $L(\tau)$  be the total length of the image of  $\gamma_\tau$  under  $G(t)$ ; let  $l(\tau)$  be the total length of  $\gamma_\tau$ . Then for  $t = \tau e^{i\theta}$ ,

$$L(\tau) = \int_{\gamma_\tau} |G'(t)| \tau d\theta.$$

By the Schwarz inequality,

$$(L(\tau))^2 \leq \left( \int_{\gamma_\tau} |G'(t)|^2 \tau d\theta \right) \left( \int_{\gamma_\tau} \tau d\theta \right) = l(\tau) \int_{\gamma_\tau} |G'(t)|^2 \tau d\theta.$$

Hence,

$$(1) \quad \frac{(L(\tau))^2}{l(\tau)} \leq \int_{\gamma_\tau} |G'(t)|^2 \tau d\theta,$$

and, for  $\tau > \tau_1$ ,

$$(2) \quad \int_{\tau_1}^{\tau} \frac{(L(\tau))^2}{l(\tau)} d\tau \leq \int_{\tau_1}^{\tau} \int_{\gamma_\tau} |G'(t)|^2 \tau d\tau d\theta \leq A''(\tau) - A''(\tau_1).$$

Since  $l(\tau) \leq 2\pi\tau$  and  $L(\tau) \geq r$  for all  $\tau$ ,  $\tau_1 \leq \tau \leq +\infty$ ,

$$\int_{\tau_1}^{\tau} \frac{(L(\tau))^2}{l(\tau)} d\tau \geq \frac{r^2}{2\pi} \int_{\tau_1}^{\tau} \frac{d\tau}{\tau} \longrightarrow +\infty$$

as  $\tau \rightarrow +\infty$ . Therefore,

$$\lim_{\tau \rightarrow +\infty} A''(\tau) = +\infty.$$

**THEOREM 7.** *Under the hypothesis of Theorem 6,*



$$\liminf_{t \rightarrow 1} \frac{L(\tau)}{A(\tau)} = 0 ,$$

where  $L(\tau)$  denotes the length of the images of the collection of arcs of  $|z| = \tau$  which fall into  $\Delta(r)$  under  $f(z)$ .

*Proof.* By (2)

$$\frac{(L(\tau))^2}{l(\tau)} \leq \frac{dA''(\tau)}{d\tau} .$$

Hence,

$$\frac{d\tau}{l(\tau)} \leq \frac{dA''(\tau)}{(L(\tau))^2} .$$

Let  $E = \{\tau \mid \tau > \tau_0, L(\tau) \geq A''(\tau)^{1/2+\varepsilon}\}$ ,  $0 < \varepsilon < 1/2$ . Since  $l(\tau) \leq 2\pi\tau$ ,

$$\frac{1}{2\pi} \int_E \frac{d\tau}{\tau} \leq \int_E \frac{d\tau}{l(\tau)} .$$

Thus,

$$\begin{aligned} \frac{1}{2\pi} \int_E \frac{d\tau}{\tau} &\leq \int_E \frac{dA''(\tau)}{(L(\tau))^2} \leq \int_E \frac{dA''(\tau)}{(A''(\tau)^{1/2+\varepsilon})^2} \\ &\leq \int_{A''(\tau_0)}^{\infty} \frac{dt}{t^{1+2\varepsilon}} < +\infty . \end{aligned}$$

Thus, there exists a sequence of positive numbers  $\{\tau_n\}$  such that  $\tau_n \rightarrow +\infty$  as  $n \rightarrow +\infty$  with  $\tau_n \notin E$  for all  $n$ . Therefore,

$$\frac{L(\tau_n)}{A''(\tau_n)} \leq \frac{A''(\tau_n)^{1/2+\varepsilon}}{A''(\tau_n)} = \frac{1}{A''(\tau_n)^{1/2-\varepsilon}}$$

and, by Theorem 6, we have

$$(3) \quad \liminf_{\tau \rightarrow +\infty} \frac{L(\tau)}{A''(\tau)} = 0 .$$

For  $f(z) \in (S)$ , the Riemannian image  $\Phi_r$  of the disk  $|z| < r$ ,  $0 < r < 1$ , under  $f(z)$  is a finite covering of the Riemann sphere of diameter 1 and tangent to the  $w$ -plane endowed with the spherical distance as metric. The Riemann images  $\Phi_r$  exhaust the surface  $\Phi$  of the inverse  $z = \phi(w)$  of  $w = f(z)$  [8, p. 90]. Let  $A(r)$  denote the spherical area of  $\Phi_r$  and let  $L(r)$  denote the spherical length of the boundary of  $\Phi_r$ .

COROLLARY. For  $f(z) \in (S)$ ,

$$(i) \quad \lim_{r \rightarrow 1} A(r) = +\infty ,$$

and

$$(ii) \quad \liminf_{r \rightarrow 1} \frac{L(r)}{A(r)} = 0.$$

*Proof.* Follows immediately from Theorems 6 and 7.

A Riemann surface  $\Phi$  which satisfies condition (ii) in the above corollary is called regularly exhaustible. For a thorough discussion of regularly exhaustible surfaces and the value distribution theory connected with them we refer the reader to [9, p. 152-170]. In view of this, it is appropriate to state the following corollary.

**COROLLARY.** *The Riemannian image of a function of class (S) is regularly exhaustible.*

The next theorem is a Picard theorem localized to a transcendental singularity of the inverse function  $z = \phi(w)$  of  $f(z) \in (S)$ . The idea behind the proof comes from the work of K. Noshiro [7].

**THEOREM 8.** *Let  $\Delta(r)$  be an infinite domain for  $f(z) \in (S)$  such that  $\Delta(r)$  contains a boundary path  $L$  on which  $f(z) \rightarrow \omega$  as  $|z| \rightarrow 1$ . Then, the function takes every value of  $|w - \omega| < r$  infinitely often in  $\Delta(r)$ , except for at most two values. In particular, if  $\Delta(r)$  is simply-connected, then  $f(z)$  assumes every value of  $|w - \omega| < r$  infinitely often in  $\Delta(r)$  with one possible exception.*

*Proof.* We assume, first, that  $\Delta(r)$  is simply-connected. Let  $\Delta''(\tau)$ ,  $A''(\tau)$ ,  $\gamma_\tau$ ,  $L(\tau)$ , and  $G(t)$  be as found in the proof of Theorem 6. Suppose that  $w_1$  and  $w_2$  are distinct values in  $|w - \omega| < r$  such that  $G(t)$  omits  $w_1$  and  $w_2$  in  $\Delta''(r) \cap \{|t| > \tau_0\}$  for some  $\tau_0 > 0$ . Consider the open set  $\Delta'_\tau = \Delta''(r) \cap \{\tau_0 < |t| < \tau\}$ . Since each component of  $\Delta'_\tau$  contains at least one arc of either  $\gamma_{\tau_0}$  or  $\gamma_\tau$ , we must have that  $\Delta'_\tau$  consists of finitely many simply-connected components

$$\Delta'_\tau(1), \Delta'_\tau(2), \dots, \Delta'_\tau(m), m = m(\tau).$$

The Riemannian image of  $\Delta'_\tau$  under  $G(t)$  consists of  $m$  simply-connected covering surfaces  $\Phi''_\tau(j)$  corresponding to  $\Delta'_\tau(j)$ ,  $j = 1, 2, \dots, m$ , of the base surface

$$B = \{|w - \omega| < r\} - \{w_1, w_2\}.$$

The Euler characteristic of  $B$  is 1 [5, p. 136].

Applying Ahlfors' theorem of covering surfaces [5, p. 137] to  $\Delta'_\tau(j)$  and  $\Phi''_\tau(j)$ , we have  $S^j \leq hL^j$ ,  $j = 1, 2, \dots, m$ , where  $S^j$  denotes the ratio between the area of  $\Phi''_\tau(j)$  and the area of  $B$ , and  $L^j$

denotes the length of the boundary of  $\Phi''(j)$  relative to  $B$ ,  $h$  being a constant dependent on  $B$ . Thus,

$$\sum_{j=1}^m S^j \leq h \sum_{j=1}^m L^j,$$

and

$$S(\tau) \leq h(L(\tau) + L(\tau_0))$$

where  $S(\tau) = A''(\tau)/\pi r^2$ . Thus,  $(L(\tau) + L(\tau_0))/S(\tau) \geq 1/h$  for all  $\tau$ ,  $\tau > \tau_0$ . Therefore,

$$\lim_{\tau \rightarrow +\infty} \frac{L(\tau)}{A''(\tau)} \geq \frac{\pi r^2}{h} > 0.$$

But this contradicts (3). Thus,  $G(t)$  in  $\Delta''(r)$  and, clearly,  $f(z)$  in  $\Delta(r)$  assumes all values of  $|w - \omega| < r$ , except possibly one, infinitely many times.

Suppose  $\Delta(r)$  is an arbitrary infinite domain which contains  $L$ ;  $\Delta(r)$  may not be simply-connected. Assume that there are three distinct values  $w_1, w_2, w_3$  of  $|w - \omega| < r$  which are assumed only finitely many times by  $f(z)$  in  $\Delta(r)$ . We draw a simple closed analytic curve  $L$  in  $|w - \omega| < r$  which encloses  $\omega, w_1, w_2$ , and passes through  $w_3$ . Since  $f(z) \rightarrow \omega$  on  $L$  as  $|z| \rightarrow 1$ , we may assume that the image of  $L$  under  $f(z)$  lies entirely in the interior  $H$  of  $L$ .

Let  $\Delta_H$  be the component of  $\{z | f(z) \in H\}$  which contains the path  $L$ . Then, clearly,  $\Delta_H \subseteq \Delta(r)$ . Choose  $r_0$ ,  $0 < r_0 < 1$ , such that  $f(z)$  omits  $w_1, w_2$ , and  $w_3$  in  $\Delta(r) \cap \{r_0 < |z| < 1\}$ . Let  $\Delta_H^*$  be the component of  $\Delta_H \cap \{r_0 < |z| < 1\}$  which contains the end part of  $L$ . Then,  $\Delta_H^* \subseteq \Delta_H$ , and  $\Delta_H^*$  is simply-connected. Indeed, if it were not, then on the boundary of each hole  $f(z)$  would assume the value  $w_3$ . But by the construction of  $\Delta_H^*$  this is impossible. Also,  $\Delta_H^*$  is clearly a spiral domain.

We can, now, apply the above argument to the simply-connected spiral domain  $\Delta_H^*$  to show that it cannot omit two values. Thus, our theorem is proved.

**THEOREM 9.** *Each exceptional value of Theorem 8 is an asymptotic value for  $f(z)$  along a spiral contained in  $\Delta(r)$ .*

*Proof.* Suppose  $\beta \neq \omega$  is assumed by  $f(z)$  in  $\Delta(r)$  only finitely many times. Then, there exists  $\delta$ ,  $0 < \delta < 1$ , such that  $f(z)$  omits  $\beta$  in  $\Delta(r) \cap \{\delta < |z| < 1\}$ . Choose  $\delta_1$ ,  $\delta < \delta_1 < 1$ , so that  $f(z) \neq \beta$  on  $|z| = \delta_1$ . Let

$$\varepsilon = \min |f(z) - \beta| \quad \text{for} \quad |z| = \delta_1,$$

and, let  $\rho = 1/4 \min(\varepsilon, r - |\beta - \omega|, |\beta - \omega|)$ . Clearly,  $\rho > 0$ . By Theorem 8, there exists a value  $z_0$  such that  $z_0 \in \mathcal{A}(r) \cap \{\delta_1 < |z| < 1\}$  and  $|f(z_0) - \beta| < \rho$ . Let  $\mathcal{A}_\beta(\rho)$  be that component of  $\{z \mid |f(z) - \beta| < \rho\}$  which contains  $z_0$ . By the choice of  $\rho$ , we have  $\mathcal{A}_\beta(\rho) \subseteq \{\delta_1 < |z| < 1\}$  and  $\mathcal{A}_\beta(\rho) \subseteq \mathcal{A}(r)$ . Since  $f(z)$  omits  $\beta$  in  $\mathcal{A}_\beta(\rho)$ , we have that  $\mathcal{A}_\beta(\rho)$  is an infinite domain. We, also, point out that the end part of the spiral on which  $f(z) \rightarrow \omega$  as  $|z| \rightarrow 1$  is disjoint from  $\mathcal{A}_\beta(\rho)$ . Thus,  $\mathcal{A}_\beta(\rho)$  is a spiral domain in which  $\beta$  is an omitted value of  $f(z)$ . By Theorem 5,  $\beta$  is an asymptotic value along a spiral contained in  $\mathcal{A}_\beta(\rho)$ .

4. Direct transcendental singularities. Let  $f(z) \in (S)$  and  $\mathcal{A}(r)$  be an infinite domain in  $|z| < 1$  such that  $\mathcal{A}(r)$  contains a boundary path  $L$  on which  $f(z) \rightarrow \omega$ . If  $f(z)$  omits  $\omega$  in  $\mathcal{A}(r)$  for  $r > 0$  sufficiently small, then the transcendental singularity  $\Omega$  of  $z = \phi(w)$  which corresponds to  $L$  is said to be a direct transcendental singularity.

**THEOREM 10.** *Let  $f(z) \in (S)$  and let  $z = \phi(w)$  be its inverse function. Then, the set of values  $\omega$  in the  $w$ -plane which are projections of direct transcendental singularities of  $z = \phi(w)$  is at most countable.*

*Proof.* Let  $\{\omega_n\}$  be the rational points in the  $w$ -plane and let  $\{r_n\}$  be the rationals of the interval  $(0, 1)$ . Let  $G_n = \{z \mid |f(z) - \omega_n| < r_n\}$ . We set  $H = \bigcup_{n=1}^{\infty} H_n$ , where  $H_n$  is the set of points of  $|w - \omega_n| < r_n$  which are not covered by the image of at least one component of  $G_n$  under  $f(z)$ . By Theorem 9,  $H_n$  is at most countable, and, hence, so is  $H$ .

Suppose  $f(z) \rightarrow \omega$  on a spiral  $S$  and  $S$  corresponds to a direct transcendental singularity for  $z = \phi(w)$ . Then, there exists  $r > 0$  such that  $S \subset \mathcal{A}(r)$  and  $f(z)$  omits  $\omega$  in  $\mathcal{A}(r)$ . But there exists an integer  $n$  such that a component  $\mathcal{A}_n$  of  $G_n$  is contained in  $\mathcal{A}(r)$  with  $|\omega - \omega_n| < r_n$ . Therefore,  $\omega \in H_n$  and the theorem is proven.

We, next, present an example of a holomorphic function  $f(z) \in (S)$  such that its inverse function has uncountably many transcendental singularities above  $w = \infty$ , and we note that since  $f(z)$  is holomorphic these are direct transcendental singularities. This example places the last result in clearer perspective.

Let  $A$  be the extended complex plane and let  $M$  be the Cantor set on the interval  $0 \leq \theta \leq 2\pi$  with  $\{I_n\}$  denoting the sequence of the open middle-third intervals of  $0 \leq \theta \leq 2\pi$  which are deleted to construct  $M$ . The order of the sequence  $\{I_n\}$  is as follows:

$$I_1 = \left( \frac{2\pi}{3}, \frac{4\pi}{3} \right), \quad I_2 = \left( \frac{2\pi}{9}, \frac{4\pi}{9} \right),$$

$$I_3 = \left( \frac{14\pi}{9}, \frac{16\pi}{9} \right), \quad I_4 = \left( \frac{2\pi}{27}, \frac{4\pi}{27} \right), \dots$$

Let  $\{J_n\}$  be the sequence of open intervals of the sequence of open sets  $[0, 2\pi] - \bar{I}_1, [0, 2\pi] - \bar{I}_1 \cup \bar{I}_2, \dots$ , with the ordering

$$J_1 = \left( 0, \frac{2\pi}{3} \right), \quad J_2 = \left( \frac{4\pi}{3}, 2\pi \right), \quad J_3 = \left( 0, \frac{2\pi}{9} \right),$$

$$J_4 = \left( \frac{4\pi}{9}, \frac{2\pi}{3} \right), \quad J_5 = \left( \frac{4\pi}{3}, \frac{14\pi}{9} \right), \dots$$

Since  $A$  is an analytic set and  $M$  is a closed nowhere dense set we can apply a theorem of Bagemihl and Seidel [1, p. 198-199] to claim the existence of a function  $f(z)$ , holomorphic in  $|z| < 1$ , with the following properties:

(i) for every  $\theta \in M$ ,  $\lim_{r \rightarrow 1} f(\operatorname{re}^{i(\theta + 1/(1-r))}) = w_\theta$  exists (possibly infinite);

(ii) if  $I$  is any subinterval of  $0 \leq \theta \leq 2\pi$  such that  $I \cap M \neq \emptyset$ , then  $A = \{w_\theta | \theta \in I \cap M\}$ , and for every  $a \in A$ , there are uncountably many values of  $\theta \in I \cap M$  for which  $w_\theta = a$ .

By (ii) every value of the extended complex plane is an asymptotic value on uncountably many spiral paths  $S_\theta: z = s_\theta(r) = \operatorname{re}^{i(\theta + 1/(1-r))}$ ,  $0 \leq r < 1$ , for  $\theta \in M$ . Let  $\theta_1, \theta_2 \in M$ ,  $\theta_1 < \theta_2$  such that  $f(z) \rightarrow \omega$  on  $S_{\theta_1}$  and  $S_{\theta_2}$  as  $|z| \rightarrow 1$ . We can find two intervals  $I_{n_1}$  and  $I_{n_2}$  of  $\{I_n\}$  such that  $I_{n_1} \subseteq (\theta_1, \theta_2)$  and  $I_{n_2} \subseteq [0, 2\pi] - [\theta_1, \theta_2]$ . Thus, for  $n'_1, n'_2$  sufficiently large, there exist intervals  $J_{n'_1}$  abutting  $I_{n_1}$  and  $J_{n'_2}$  abutting  $I_{n_2}$  such that  $J_{n'_1} \subseteq (\theta_1, \theta_2)$  and  $J_{n'_2} \subseteq [0, 2\pi] - [\theta_1, \theta_2]$ . But since  $J_{n'_1} \cap M \neq \emptyset$  and  $J_{n'_2} \cap M \neq \emptyset$ , by (ii), there exist spirals separating  $S_{\theta_1}$  and  $S_{\theta_2}$  on which  $f(z)$  has asymptotic values different from  $w = \omega$ . Thus  $[S_{\theta_1}] \neq [S_{\theta_2}]$ . Since  $\omega$  is an arbitrary value of the extended complex plane, we see that the inverse  $z = \phi(w)$  has uncountably many transcendental singularities above every value of the extended  $w$ -plane. In particular, since  $f(z)$  omits  $w = \infty$ ,  $z = \phi(w)$  has uncountably many direct transcendental singularities above  $w = \infty$ .

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