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**DECOMPOSITIONS FOR NONCLOSED PLANAR m -CONVEX
SETS**

MARILYN BREEN

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Let S be an m -convex set in the plane having the property that $(\text{int cl } S) \sim S$ contains no isolated points. If T is an m -convex subset of S having convex closure, then T is a union of $\sigma(m)$ or fewer convex sets, where

$$\sigma(m) = (m-1)[1 + (2^{m-2} - 1)2^{m-3}].$$

Hence for $m \geq 3$, S is expressible as a union of $(m-1)^3 2^{m-3} \sigma(m)$ or fewer convex sets.

In case S is m -convex and $(\text{int cl } S) \sim S$ contains isolated points, an example shows that no such decomposition theorem is possible.

1. Introduction. For S a subset of Euclidean space, S is said to be m -convex, $m \geq 2$, if and only if for every m distinct points of S , at least one of the line segments determined by these points lies in S . Several decomposition theorems have been proved for m -convex sets in the plane. A closed planar 3-convex set is expressible as a union of 3 or fewer convex sets (Valentine [4]), and an arbitrary planar 3-convex set is a union of 6 or fewer convex sets (Breen [1]). Concerning the general case, a recent study shows that for $m \geq 3$, a closed planar m -convex set may be decomposed into $(m-1)^3 2^{m-3}$ or fewer convex sets (Kay and Breen [2]). This leads naturally to the problem considered here, that of determining whether such a bound exists for an arbitrary m -convex set $S \subseteq R^2$: With the restriction that $(\text{int cl } S) \sim S$ contain no isolated points, a bound in terms of m is obtained; without this restriction, an example reveals that no bound is possible.

The following terminology will be used: For points x, y in S , we say x sees y via S if and only if the corresponding segment $[x, y]$ lies in S . Points x_1, \dots, x_n in S are *visually independent via* S if and only if for $1 \leq i < j \leq n$, x_i does not see x_j via S . Throughout the paper, $\text{conv } S$, $\text{bdry } S$, $\text{int } S$, and $\text{cl } S$ will be used to denote the convex hull of S , the boundary of S , the interior of S and the closure of S , respectively.

2. The decomposition theorem. We shall be concerned with the proof of the following result, which yields the decomposition theorem as a corollary.

THEOREM. *Let T be an m -convex set in the plane having the property that $(\text{int cl } T) \sim T$ contains no isolated points. If $\text{cl } T$ is convex, then T is a union of $\sigma(m)$ or fewer convex sets, where*

$$\sigma(m) = (m - 1)[1 + (2^{m-2} - 1)2^{m-3}].$$

The main steps in the proof will be accomplished by a sequence of lemmas. The first lemma, which generalizes [1, Theorem 5], will require the following result by Lawrence, Hare and Kenelly [3, Theorem 2].

Lawrence, Hare, Kenelly theorem. Let T be a subset of a linear space such that each finite subset $F \subseteq T$ has a k -partition $\{F_i, \dots, F_k\}$, where $\text{conv } F_i \subseteq T$, $1 \leq i \leq k$. Then T is a union of k or fewer convex sets.

LEMMA 1. *Let T be an m -convex set in the plane, $m \geq 3$, such that $\text{cl } T$ is convex. If all points of $(\text{cl } T) \sim T$ are in $\text{bdry}(\text{cl } T)$, then T is a union of $\max(m - 1, 3)$ or fewer convex sets. The result is best possible.*

Proof. By the Lawrence, Hare, Kenelly theorem, it suffices to consider finite subsets of T , so without loss of generality we may assume that $\text{cl } T$ is a convex polygon. Consider the collection of all intervals in $\text{cl } T$ having endpoints in T and some relatively interior point not in T , and let \mathcal{L} denote the collection of corresponding lines. Since $(\text{cl } T \sim T) \subseteq \text{bdry}(\text{cl } T)$, each line L in \mathcal{L} supports $\text{cl } T$ along an edge, and by the m -convexity of T , $L \cap T$, has at most $m - 1$ components. We will examine the components of $B = \cup \{L \cap T: L \text{ in } \mathcal{L}\}$.

Order the vertices of $\text{cl } T$ in a clockwise direction along $\text{bdry}(\text{cl } T)$, letting p_i denote the i th vertex in our ordering, $1 \leq i \leq k$. If p_i lies in some component of B , let c_i denote this component. Otherwise, let $c_i = \emptyset$. Define sets A'_i , $1 \leq i \leq \max(3, m - 1)$, each an appropriate collection of components of B : For i odd, $i < k$, assign c_i to A'_i ; for i even, $i < k$, assign c_i to A'_2 ; assign c_k to A'_3 . Now consider the remaining components of B . If the line $L(p_i, p_{i+1})$ determined by p_i and p_{i+1} is in \mathcal{L} , $1 \leq i \leq k$ (where $p_{k+1} = p_1$), assign each remaining component on this line to some A' set not containing $c_i \neq \emptyset$ or $c_{i+1} \neq \emptyset$, and assign at most one component to each A' set. Since there are at most $m - 1$ components on each line, at most $m - 1$ A' sets are required at each stage of the argument. Furthermore, no two components on any line will be assigned to the same A' set.

Finally, let $A_i \equiv T \sim \cup \{A'_j: j \neq i\}$, $1 \leq i \leq \max(m - 1, 3)$. It

is easy to show that the A_i sets are convex and that their union is T , completing the proof.

To see that the result in Lemma 1 is best possible, consider the following example.

EXAMPLE 1. Let T be a pentagonal region having exactly $m-2$ points deleted from the relative interior of each edge, $m \geq 3$. Then T is m -convex and is not expressible as a union of fewer than $\max(m-1, 3)$ convex sets.

Lemmas 2, 3 and 4 concern points in $(\text{int cl } S) \sim S$.

LEMMA 2. *Let S be an arbitrary set in the plane. If $(\text{int cl } S) \sim S$ contains at least r noncollinear segments, where $r = 2^n$, $n \geq 0$, then S contains $n+2$ visually independent points.*

Proof. The proof is by induction. If $n = 0$, then $r = 1$ and certainly S contains 2 visually independent points. Assume the theorem true for numbers less than n , $n \geq 1$, to prove for n . Let L be the line determined by one of the 2^n (or more) noncollinear segments C in $(\text{int cl } S) \sim S$. Then at least half of the $2^n - 1$ remaining segments contain points in one of the open halfspaces H_1 determined by L . Hence $S' = S \cap H_1$ has the property that $(\text{int cl } S') \sim S'$ contains at least r' noncollinear segments, where $r' \geq (2^n - 1)/2 = 2^{n-1} - 1/2$. Since r' is an integer, $r' \geq 2^{n-1}$, so by our induction hypothesis, S' contains $n+1$ visually independent points y_1, \dots, y_{n+1} . Letting H_2 denote the opposite open halfspace determined by L , select y_0 in $H_2 \cap S$ so that $[y_0, y_i]$ cuts C for $1 \leq i \leq n+1$. Then $\{y_0, \dots, y_{n+1}\}$ is a set of $n+2$ visually independent points of S .

COROLLARY. *If S is planar and m -convex, then $(\text{int cl } S) \sim S$ contains at most $2^{m-2} - 1$ noncollinear segments.*

Proof. Assume that S contains $r \geq 1$ noncollinear segments. Then $2^n \leq r < 2^{n+1}$ for an appropriate $n \geq 0$, and by the lemma, S contains $n+2$ visually independent points. Since S is m -convex, we have $n+2 \leq m-1$, so $r < 2^{m-2}$.

The author wishes to thank the referee for his conjecture of the following result.

LEMMA 3. *Let S be an m -convex set in the plane, $m \geq 3$. If M is any line, then $M \cap [(\text{int cl } S) \sim S]$ has at most $m + [(m-3)/2]$ components. The result is best possible.*

Proof. Assume that $M \cap [(\text{int cl } S) \sim S] \neq \emptyset$, for otherwise there is nothing to prove. Since S is m -convex, it is easy to show that the set $\text{cl } S$ is m -convex, so $M \cap \text{cl } S$ has at most $m - 1$ components M_i , $1 \leq i \leq m - 1$. There exist disjoint convex neighborhoods U_i of M_i , $1 \leq i \leq m - 1$, such that no point of $U_i \cap \text{cl } S$ sees any point of $U_j \cap \text{cl } S$ via $\text{cl } S$, $1 \leq i < j \leq m - 1$. Thus no point of $U_i \cap S$ sees any point of $U_j \cap S$ via S , $1 \leq i < j \leq m - 1$.

Note that if $M_i \cap [(\text{int cl } S) \sim S] \neq \emptyset$, there are at least two points in $U_i \cap S$ which are visually independent via S . Hence $M_i \cap [(\text{int cl } S) \sim S] \neq \emptyset$ for at most $\lfloor (m - 1)/2 \rfloor$ of the M_i sets.

We use an inductive argument to prove the lemma. If S is 3-convex, then $M_1 \cap [(\text{int cl } S) \sim S] \neq \emptyset$ for at most one component M_1 of $M \cap \text{cl } S$, and it is easy to see that $M_1 \cap [(\text{int cl } S) \sim S]$ consists of at most three components. Assume that the result is true for j , $3 \leq j < m$, to prove for m . For some component M_1 of $M \cap \text{cl } S$, assume that $M_1 \cap [(\text{int cl } S) \sim S]$ has k components. Then clearly $1 \leq k \leq m$. For the neighborhood U_1 defined above, there correspond at least $\max(2, k - 1)$ visually independent points of S in U_1 . Examine the set $S' = \cup \{U_i \cap S: i \neq 1\}$. There are two cases to consider.

Case 1. If $k \geq 3$, the set S' contains at most $m - k$ visually independent points, and S' is $(m - k + 1)$ -convex. By our inductive assumption applied to S' , $M \cap [(\text{int cl } S') \sim S']$ has at most $(m - k + 1) + \lfloor (m - k + 1 - 3)/2 \rfloor$ components. Then $M \cap [(\text{int cl } S) \sim S]$ has at most $k + (m - k + 1) + \lfloor (m - k - 2)/2 \rfloor = m + \lfloor (m - k)/2 \rfloor$ components. This number is maximal when $k = 3$, giving the desired result.

Case 2. If $1 \leq k < 3$, then a similar argument shows that there are at most $2 + (m - 2) + \lfloor (m - 2 - 3)/2 \rfloor = m + \lfloor (m - 5)/2 \rfloor < m + \lfloor (m - 3)/2 \rfloor$ components, finishing the proof of the lemma.

An inductive construction may be used to show that the result of Lemma 3 is best possible.

EXAMPLE 2. For $3 \leq m \leq 4$, remove m collinear segments appropriately from an open convex set to obtain an m -convex set having the required property. Inductively, for $m \geq 5$ let S denote the union of an $(m - 2)$ -convex set S_1 and a 3-convex set S_2 , where $(\text{int cl } S_i) \sim S_i$ has the maximal number of collinear components, $(\text{int cl } S_1) \sim S_1$ and $(\text{int cl } S_2) \sim S_2$ are collinear, and $\text{cl } S_1 \cap \text{cl } S_2 = \emptyset$. By our inductive construction, the set $(\text{int cl } S) \sim S$ will have exactly $m - 2 + \lfloor (m - 5)/2 \rfloor + 3 = m + \lfloor (m - 3)/2 \rfloor$ collinear components.

LEMMA 4. Let S be an m -convex set in the plane. If $x \in (\text{int}$

$\text{cl } S) \sim S$ and x is not an isolated point, then x lies in a segment in $(\text{int cl } S) \sim S$.

Proof. Assume on the contrary that x is not in a segment in $(\text{int cl } S) \sim S$ to obtain a contradiction. By the corollary to Lemma 2, $(\text{int cl } S) \sim S$ contains at most $2^{m-2} - 1$ noncollinear segments. Also, by Lemma 3, for M any line determined by such a segment, $M \cap [(\text{int cl } S) \sim S]$ has at most $m + [(m - 3)/2]$ components, so the segments in $(\text{int cl } S) \sim S$ may be written as a finite union of segments. Hence we may select an open disk N centered at x which is disjoint from each of these segments, with $N \subseteq \text{int cl } S$. Let N_0 be an open disk centered at x and properly contained in N . Let L be any line through x , and let C be any component of $(\text{int cl } S) \sim S$ containing x . Since x is not an isolated point, there are points of $C \cap N_0$ in at least one of the open halfspaces H_1 determined by L , and we let C_1 be a component of $C \cap H_1 \cap N_0$. Clearly C_1 is not a singleton set and cannot be collinear with x .

We assert that there is some point z_1 in $N \cap S$ and some neighborhood N_1 of x , $N_1 \subseteq N$, such that z_1 sees no point of $N_1 \cap S$ via S : Select points s, t in C_1 such that x, s, t are not collinear. Select $z_1 \in S$ in the open convex region bounded by the rays $R(x, s), R(x, t)$ and in $N \sim N_0$ (where $R(x, s)$ denotes the ray emanating from x through s). Since $[x, z_1] \subseteq N$, each component of $[x, z_1] \sim S$ is a singleton point. Also, there are at most $m - 2$ such components, so there is some point q on $(x, z_1]$ such that $(x, q) \cap C_1 = \emptyset$.

Let line L_1 be parallel to L so that s, t, z_1 are on the same side of L_1 and so that L_1 contains some point $q_1 \in (x, q)$. Repeating an argument from the preceding paragraph, components of $C_1 \cap L_1$ are singleton sets. Hence there exist points v, w in $L_1 \cap N_0$, $v < q_1 < w$, with $(v, w) \cap C_1 = \emptyset$. Without loss of generality, assume that v and w are interior to the convex region determined by rays $R(z_1, s)$ and $R(z_1, t)$. Then for $v < y < w$, we see that $[z_1, y] \cap C_1 \neq \emptyset$: Otherwise, the path $\lambda = [z_1, y] \cup [y, q_1] \cup [q_1, x]$ would be disjoint from C_1 , with s and t on opposite sides of λ . Since $z_1, x \notin H_1 \cap N_0$ and $C_1 \subseteq H_1 \cap N_0$, λ would separate C_1 , impossible.

Finally, let N_1 be any open disk about x in the open convex region determined by $R(z_1, v)$ and $R(z_1, w)$ such that N_1 and z_1 are on opposite sides of L_1 . Then for every y in N_1 , $[z_1, y]$ intersects (v, w) and thus $[z_1, y]$ intersects C_1 . Hence z_1 sees no point of $N_1 \cap S$ via S , the desired result.

Repeat the argument to obtain z_2 in $N_1 \cap S$ and $N_2 \subseteq N_1$ with z_2 seeing no point of $N_2 \cap S$ via S . By an obvious induction, we obtain $\{z_1, \dots, z_m\}$ a set of m visually independent points in S . This contradicts the m -convexity of S , our original assumption is false,

and x must lie in a segment in $(\text{int cl } S) \sim S$.

Finally, the following combinatorial result will be helpful.

LEMMA 5. *For each collection \mathcal{L} of $r \geq 1$ lines in the plane, $R^2 \sim (\cup \mathcal{L})$ consists of at most $f(r) = 1 + \sum_{k=1}^r k$ convex components.*

Proof. We use an inductive argument. If $r = 1$, the result is clear. Assume the result true for $r = n \geq 1$ to prove for $n + 1$. For \mathcal{L} consisting of $n + 1$ lines, select any member L of \mathcal{L} and let $\mathcal{L}' = \mathcal{L} \sim \{L\}$. Then by our induction hypothesis, $R^2 \sim (\cup \mathcal{L}')$ consists of at most $f(n)$ convex components. The line L cuts each member of \mathcal{L}' at most once, so there are at most n corresponding points of intersection. These n points in turn determine at most $n + 1$ intervals on L (two of which are unbounded), and each of these intervals cuts a component of $R^2 \sim (\cup \mathcal{L}')$, yielding two convex components where previously there was only one. Hence $R^2 \sim (\cup \mathcal{L})$ consists of at most $f(n) + n + 1 = f(n + 1)$ convex components.

THEOREM 1. *Let T be an m -convex set in the plane having the property that $(\text{int cl } T) \sim T$ contains no isolated points. If $\text{cl } T$ is convex, then T is a union of $\sigma(m)$ or fewer convex sets, where*

$$\sigma(m) = (m - 1)[1 + (2^{m-2} - 1)2^{m-3}].$$

Proof. If $m = 2$, the result is clear, so assume that $m \geq 3$. By Lemma 4, $(\text{int cl } T) \sim T$ may be expressed as a union of segments, and by the corollary to Lemma 2, these segments determine a corresponding collection \mathcal{L} of at most $r = 2^{m-2} - 1$ lines. Using Lemma 4, $R^2 \sim (\cup \mathcal{L})$ consists of at most $f(r)$ convex components C_i , $1 \leq i \leq f(r)$, where $f(r) = 1 + \sum_{k=1}^r k = 1 + (r(r + 1))/2 = 1 + (2^{m-2} - 1)(2^{m-3})$.

Let $T_i = (\text{cl } C_i) \cap T$, $1 \leq i \leq f(r)$. Then clearly T_i is an m -convex set, $m \geq 3$, such that $\text{cl } T_i$ is convex and $(\text{cl } T_i) \sim T_i \subseteq \text{bdry}(\text{cl } T_i)$. Then by Lemma 1, T_i is a union of $\max(m - 1, 3)$ or fewer convex sets. Hence if $m \geq 4$, T is a union of

$$\sigma(m) = (m - 1)[1 + (2^{m-2} - 1)2^{m-3}]$$

or fewer convex sets, the desired result.

In case $m = 3$, then by [1, Lemma 3], all points of $(\text{int cl } T) \sim T$ are collinear. If L is the corresponding line, $T \cap L$ contains at most two components L_1, L_2 . Letting H_1, H_2 represent distinct open halfspaces determined by L , define $T_i = (H_i \cap T) \cup L_i$, $1 \leq i \leq 2$.

A proof similar to that of Lemma 1 shows that each T_i is a union of two or fewer convex sets, so T is a union of $\sigma(3) = 4$ or fewer convex sets, completing the proof of the theorem.

COROLLARY. *If S is an m -convex set in the plane, $m \geq 3$, having the property that $(\text{int cl } S) \sim S$ contains no isolated points, then S is expressible as a union of $(m-1)^3 2^{m-3} \sigma(m)$ or fewer convex sets.*

Proof. It is easy to show that the set $\text{cl } S$ is m -convex, and by [2, Theorem 6], $\text{cl } S$ may be decomposed into $(m-1)^3 2^{m-3}$ or fewer closed convex sets. If C is one of these convex sets, let $T = C \cap S$. Clearly T is m -convex. There are two cases to consider.

Case 1. If C is contained in a line, then T contains at most $m-1 < \sigma(m)$ convex components.

Case 2. If C is not contained in a line, then it is easy to show that $\text{cl } T = C$: First pick p in C . Since $C \subseteq \text{cl } S$, every neighborhood of p contains points of S . If p is in $\text{int } C$, then points of S contained in small discs centered at p necessarily belong to $C \cap S = T$. Thus we conclude that $p \in \text{cl } T$. On the other hand, if $p \in \text{bdry } C$, then every neighborhood of p contains points of $\text{int } C$. By our previous remarks, $\text{int } C \subseteq \text{cl } T$, so $p \in \text{cl } (\text{cl } T) = \text{cl } T$. Hence $C \subseteq \text{cl } T$. The reverse inclusion is obvious, so $C = \text{cl } T$ and $\text{cl } T$ is convex. Certainly $(\text{int cl } T) \sim T$ contains no isolated points, so by the theorem, T is a union of $\sigma(m)$ or fewer convex sets. Thus S is a union of $(m-1)^3 2^{m-3} \sigma(m)$ or fewer convex sets.

3. An example. The following example shows that no decomposition theorem is possible in case S is an m -convex set having isolated points as components of $(\text{int cl } S) \sim S$.

EXAMPLE 3. Let k be an arbitrary integer and let P be a regular polygon having $2k$ vertices p_1, \dots, p_{2k} . Let v_1, \dots, v_{2k} be vertices of a regular polygon interior to P , where for $1 \leq i \leq 2k$, v_i is sufficiently close to p_i that the following holds: If x and y are visually independent points of $P' \equiv P \sim \{v_1, \dots, v_{2k}\}$, then for every i, j , $1 \leq i, j \leq 2k$, either $(R(x, v_i) \sim [x, v_i]) \cap (R(y, v_j) \sim [y, v_j]) \cap P = \emptyset$ or x, v_i, y, v_j are collinear. Hence three points x, y, z are visually independent via P' only if they are collinear with a pair of distinct points v_i and v_j , and P' is 4-convex.

However, P' is not expressible as a union of fewer than $k+2$

convex sets. (If the vertices v_i are ordered in a clockwise direction, $1 \leq i \leq 2k$, consider the $k + 1$ subsets P_1, \dots, P_{k+1} of P' bounded by and disjoint from the k lines $L(v_1, v_{2k}), L(v_2, v_{2k-1}), \dots, L(v_k, v_{k+1})$. Let $P_{k+2} = \text{conv}(\cup \{(v_i, v_{2k+1-i}): 1 \leq i \leq k\})$. Assign each remaining segment of $P' \cap L(v_i, v_{2k+1-i})$ to one of the adjacent regions P_i or P_{i+1} , $1 \leq i \leq k$, in the obvious manner. This yields a $(k + 2)$ -member decomposition of P' . The number $k + 2$ is best possible.)

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