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# THE RANGE OF ANALYTIC EXTENSIONS

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# THE RANGE OF ANALYTIC EXTENSIONS

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Denote by  $\Delta$ ,  $\overline{\Delta}$ ,  $\partial \Delta$  the open unit disc in C, its closure and its boundary, respectively. Let X be a complex Banach space and denote by  $\mathscr{A}(X)$  the class of all non-empty sets  $P \subset X$  having the following property: given any closed set  $F \subset \partial \Delta$  of measure 0 and any continuous function  $f: F \to P$ there exists a continuous extension  $\tilde{f}: \overline{\Delta} \to X$  of f, analytic on  $\Delta$  and satisfying  $\tilde{f}(\overline{\Delta} - F) \subset \text{Int } P$ .

THEOREM.  $P \in \mathscr{N}(X)$  if and only if  $\operatorname{Int} P$  is connected, locally connected at every point of P and satisfies  $P \subset \operatorname{closure}(\operatorname{Int} P)$ .

THEOREM. If  $P \subset C$  consists of more than one point then  $P \in \mathscr{H}(C)$  if and only if given any F and f as above there exists a continuous extension  $\hat{f}: \bar{d} \to C$  of f, analytic on d and satisfying  $\tilde{f}(\bar{d}) \subset P$ .

This generalizes a theorem of Rudin which asserts that such  $\hat{f}$  exists if  $P \subset C$  is homeomorphic to  $\bar{J}$ .

THEOREM. If  $P \in \mathscr{H}(X)$  then given any relatively open set  $B \subset \partial A$ , any relatively closed set  $F \subset B$  of measure 0 and any continuous function  $f: F \to P$  there exists a continuous extension  $\tilde{f:} \mathcal{A} \cup B \to X$  of f, analytic on  $\mathcal{A}$  and satisfying  $\tilde{f(}(\mathcal{A} \cup B) - F) \subset \operatorname{Int} P$ .

0. Introduction. Throughout, we denote by  $\Delta$ ,  $\overline{\Delta}$  and  $\partial \Delta$  the open unit disc in C, its closure and its boundary, respectively. If X is a complex Banach space and r > 0 we write  $B_r(X) = \{x \in X: \|x\| < r\}$ . Let  $x \in X$  and  $S, T \subset X$ . We write  $x + S = \{x + u: u \in S\}$  and  $S + T = \{u + v: u \in S, v \in T\}$ . We denote by Int  $S, \overline{S}$  the interior of S and the closure of S, respectively. If F is a compact Hausdorff space we denote by C(F, X) the set of all continuous functions from F to X and write C(F) for C(F, C). If  $B \subset \partial \Delta$  is a relatively open set we denote by  $H_B(\Delta, X)$  the set of all continuous functions from  $\Delta \cup B$  to X which are analytic on  $\Delta$ . For  $H_{\partial d}(\Delta, X)$  we write  $A(\Delta, X)$  and for  $A(\Delta, C)$ , the disc algebra, we write  $A(\Delta)$ . We denote the set of all positive integers by N. If  $a, b \in R$ , a < b we write  $[a, b] = \{t \in R: a \leq t \leq b\}$  and we denote [O, 1] by I.

The well known Rudin-Carleson theorem [3, 19, 22] states that given a closed set  $F \subset \partial \Delta$  of measure 0 and  $f \in C(F)$  there exists an extension  $\tilde{f} \in A(\Delta)$  of f satisfying

$$\max_{z\in \widehat{J}}|\widetilde{f}(z)|=\max_{s\in F}|f(s)|.$$

Actually the following theorem was proved by Rudin: Given F as above, let  $P \subset C$  be homeomorphic to  $\overline{A}$  and let  $f \in C(F)$  satisfy  $f(F) \subset P$ . There exists an extension  $\tilde{f} \in A(A)$  of f satisfying  $\tilde{f}(\overline{A}) \subset P$ . An interesting consequence is that given any  $P \subset C$  homeomorphic to  $\overline{A}$  there exists  $\tilde{f} \in A(A)$  satisfying  $\tilde{f}(\overline{A}) = \tilde{f}(\partial A) = P$  [2, 4].

The Rudin-Carleson theorem was generalized into several directions. A consequence of its generalization to the functions into a Banach space [21, 18, 7] is that for any finite-dimensional complex normed space X there exists  $\tilde{f} \in A(\Delta, X)$  such that  $\tilde{f}(\bar{\Delta}) = \overline{B_1(X)}$  [7]. Heard and Wells [12] generalized the Rudin-Carleson theorem as follows: Let  $B \subset \partial \Delta$  be a relatively open set and  $F \subset B$  a relatively closed set of measure 0. Given any bounded continuous function  $f: F \to C$  there exists an extension  $\tilde{f} \in H_B(\Delta, C)$  of f satisfying

$$\sup_{z \in \mathcal{J}} |\widetilde{f}(z)| = \sup_{s \in F} |f(s)|.$$

The generalization of this result to the functions into a Banach space X [8] makes possible, in the case when X is separable, to prove the existence of a continuous function  $\tilde{f}: \overline{A} - \{1\} \to X$ , analytic on  $\Delta$ , whose range is contained and dense in  $\overline{B_1(X)}$  and whose cluster set at 1 is  $\overline{B_1(X)}$  [8].

The applications above seem interesting enough to consider the following general problem.

PROBLEM. Let X be a complex Banach space,  $B \subset \partial \Delta$  a relatively open set,  $F \subset B$  a relatively closed set of measure 0 and let  $f: F \to X$ be a continuous function. Assume that a subset P of X contains f(F). Under what conditions on P does there exist an extension  $\tilde{f} \in H_B(\Delta, X)$  of f satisfying  $\tilde{f}(\Delta \cup B) \subset P$ ?

By the results mentioned above such an  $\tilde{f}$  exists if  $P \subset X$  is a closed ball. To prove this one needs the fact that the subspace of all bounded functions in  $H_B(\Delta, X)$  is a left  $A(\Delta)$ -module and the fact that P is absolutely convex in order to make the necessary norm estimations on the interpolating function  $\tilde{f}$  assuring that  $\tilde{f}(\Delta \cup B) \subset P$ Nothing similar is true in general when we consider the func-[8]. tions in  $H_{B}(\varDelta, X)$  whose ranges are contained in other sets than balls. Consequently one has to apply different techniques in the general case. In [11] this was done in the special case when the set P was open and it was proved that  $\tilde{f}$  above exists if P is (open and) connected. Of course this was not a generalization of the Rudin-Carleson theorem although it was enough to reprove the main result of [9]: Given any open connected set P in a separable complex Banach space X there exists a continuous function  $\tilde{f}: \bar{A} - \{1\} \rightarrow X$ , analytic on  $\Delta$ , whose range is contained and dense in P.

In the present paper we study the general case when the set  $P \subset X$  is not necessarily open. In the special case when X = C we obtain a simple complete topological description of the sets  $P \subset C$  having the following property: given any closed set  $F \subset \partial \Delta$  of measure 0 and any  $f \in C(F)$  satisfying  $f(F) \subset P$  there exists an extension  $\tilde{f} \in A(\Delta)$  of f satisfying  $\tilde{f}(\bar{\Delta}) \subset P$ . If  $P \subset C$  has such a property and if P consists of more than one point we show that for every relatively open set  $B \subset \partial \Delta$ , every relatively closed set  $F \subset B$  of measure 0 and every continuous function  $f: F \to P$  there exists a "peak" extension of f, i.e. an extension  $\tilde{f} \in H_B(\Delta, C)$  of f satisfying  $\tilde{f}((\Delta \cup B) - F) \subset \operatorname{Int} P$ . In the general case we study only the sets  $P \subset X$  with the property that given any closed set  $F \subset \partial \Delta$  of measure 0, every function  $f \in C(F, X)$  satisfying  $f(F) \subset P$  admits a peak extension  $\tilde{f} \in A(\Delta, X)$ , and obtain their topological description.

In §1 we state the main results. In §2 we give the complete proofs; this section contains some lemmas and theorems which might be of independent interest. In §3 we present some simple applications to the ranges and cluster sets of analytic functions.

## 1. Main results.

DEFINITION 1. [10, 11] Let  $B \subset \partial \Delta$  be a relatively open set. A subset P of a complex Banach space X is said to have the analytic extension property (AEP) with respect to  $H_B(\Delta, X)$  if, given any relatively closed set  $F \subset B$  of measure 0 and any continuous function  $f: F \to P$  there exists an extension  $\tilde{f} \in H_B(\Delta, X)$  of f which satifies  $\tilde{f}(\Delta \cup B) \subset P$ . We say that  $P \subset X$  has AEP if it has AEP with respect to  $H_B(\Delta, X)$  for every relatively open set  $B \subset \partial \Delta$ .

DEFINITION 2. Let  $B \subset \partial \Delta$  be a relatively open set. A subset P of a complex Banach space X is said to have the *peak analytic* extension property (PAEP) with respect to  $H_B(\Delta, X)$  if, given any F and f as above there exists an extension  $\tilde{f} \in H_B(\Delta, X)$  of f satisfying  $\tilde{f}((\Delta \cup B) - F) \subset \text{Int } P$ . We call every such extension a *peak extension* with respect to P (whether P has PAEP or not). We say that  $P \subset X$  has PAEP if it has PAEP with respect to  $H_B(\Delta, X)$  for every relatively open set  $B \subset \partial \Delta$ .

Let O be an open subset of a complex Banach space X. O is called *locally connected* (LC) at a point  $x \in X$  if given any  $\varepsilon > 0$ there exists  $\delta > 0$  such that if  $(x + B_{\delta}(X)) \cap O$  is not empty it is contained in a connected component of  $(x + B_{\varepsilon}(X)) \cap O$  [17]. Note that O is LC at every point of O.

Now we are able to state our main results.

THEOREM 1. Let P be a nonempty subset of a complex Banach space X. Then the following are equivalent

(A) there exists a closed set  $F \subset \partial \Delta$  of measure 0 with infinitely many points such that every continuous function  $f: F \to P$  admits a peak extension  $\tilde{f} \in A(\Delta, X)$  with respect to P

(B) P has PAEP with respect to  $A(\Delta, X)$ 

(C) P has PAEP

- (D) P has the following properties:
  - (i)  $P \subset \overline{\operatorname{Int} P}$ .

(ii) Int P is connected and locally connected at every point of P.

THEOREM 2. Let P be a subset of C containing more than one point. Then the following are equivalent

(A) there exists a closed set  $F \subset \partial \Delta$  of measure 0 with infinitely many points such that every continuous function  $f: F \to P$  admits an extension  $\tilde{f} \in A(\Delta)$  satisfying  $\tilde{f}(\bar{\Delta}) \subset P$ 

- (B) P has AEP
- (C) P has PAEP.

COROLLARY. Let P be a subset of C containing more than one point. Then the following are equivalent

(A) given any closed set  $F \subset \partial \Delta$  of measure 0 and any  $f \in C(F)$ satisfying  $f(F) \subset P$  there exists an extension  $\tilde{f} \in A(\Delta)$  of f satisfying  $\tilde{f}(\bar{\Delta}) \subset P$ 

(B) given any closed set  $F \subset \partial \Delta$  of measure 0 and any  $f \in C(F)$ satisfying  $f(F) \subset P$  there exists an extension  $\tilde{f} \in A(\Delta)$  of f satisfying  $\tilde{f}(\bar{\Delta} - F) \subset \text{Int } P$ 

(C) P has the following properties

(i)  $P \subset \overline{\operatorname{Int} P}$ 

(ii) Int P is connected and locally connected at every point of P.

REMARK. The above Corollary gives a complete topological description of the sets  $P \subset C$  for which the Rudin theorem holds. If (C) in the Corollary above is satisfied by a (nonempty) compact set  $P \subset C$  whose interior is simply connected note that then P is homeomorphic to  $\overline{A}$  [17]. Finally, note that the main results of [7, 8, 9, 11] follow from Theorem 1 and Theorem 2 above.

2. Proofs.

LEMMA 1. Let P be a nonempty subset of a complex Banach space X and let  $F \subset \partial \Delta$  be a closed set of measure 0 containing infinitely many points. Suppose that given any continuous function  $f: F \to P$  there exists an extension  $\tilde{f} \in A(\Delta, X)$  of f such that  $\tilde{f}(\bar{\Delta} - F) \subset \text{Int } P$ . Then

- (i)  $P \subset \overline{\operatorname{Int} P}$
- (ii) Int P is connected
- (iii) Int P is locally connected at every point of P.

*Proof.* Let  $x \in P$ . By the assumption there exists  $\tilde{f} \in A(\Delta, X)$  satisfying  $\tilde{f}(F) = \{x\}$  and  $\tilde{f}(\overline{\Delta} - F) \subset \text{Int } P$ . Let  $\{z_n\} \subset \Delta$  converge to a point of F. By the continuity of  $\tilde{f}$   $\{\tilde{f}(z_n)\}$  converges to x. Since  $\tilde{f}(z_n) \in \text{Int } P$   $(n \in N)$  (i) is proved.

By the assumption F is nowhere dense on  $\partial \Delta$  and contains more than one point. Consequently  $F = F_1 \cup F_2$  where  $F_1$ ,  $F_2$  are nonempty disjoint compact sets. Let  $x, y \in \operatorname{Int} P$  and define f(s) = x  $(s \in F_1)$ , f(s) = y  $(s \in F_2)$ . Clearly  $f \in C(F, X)$  and by the assumption there exists  $\tilde{f} \in A(\Delta, X)$  satisfying  $\tilde{f}(F_1) = \{x\}$ ,  $\tilde{f}(F_2) = \{y\}$  and  $\tilde{f}(\bar{\Delta} - F) \subset$ Int P. Let  $z_1 \in F_1$ ,  $z_2 \in F_2$ . Now  $t \mapsto \varphi(t) = \tilde{f}(z_1 + t(z_2 - z_1))$  is a path joining x and y. By the properties of f we have  $\varphi(I) \subset \operatorname{Int} P$  which proves (ii).

To prove (iii) assume that  $\operatorname{Int} P$  is not LC at a point  $x \in P$ . This means that there exists  $\varepsilon > 0$  such that for every  $\delta > 0$  the set  $(x + B_{\delta}(X)) \cap \operatorname{Int} P$  meets at least two connected components of the set  $(x + B_{\varepsilon}(X)) \cap \operatorname{Int} P$ . It follows that there exist two sequences  $\{x_n\} \subset \operatorname{Int} P$ ,  $\{y_n\} \subset \operatorname{Int} P$  converging to x such that for every  $n \in N$  $x_n$  and  $y_n$  lie in different components of  $(x + B_{\varepsilon}(X)) \cap \operatorname{Int} P$ . Assume for a moment that there exist a sequence  $\{t_n\} \subset \partial \Delta$  converging to  $t \in \partial \Delta$  and  $\tilde{f} \in A(\Delta, X)$  satisfying  $\tilde{f}(\Delta) \subset \operatorname{Int} P$  and  $f(t_{2n-1}) = x_n$ ,  $f(t_{2n}) = y_n (n \in N)$ . By the continuity of  $\tilde{f}$  there exists a neighbourhood  $U \subset \overline{\Delta}$  of t such that  $\tilde{f}(U) \subset x + B_{\varepsilon}(X)$ . Consequently there is some  $n \in N$  such that the closed segment J joining  $t_{2n-1}$  and  $t_{2n}$  is contained in U. Then by the properties of  $\tilde{f}$ ,  $\tilde{f}(J)$  is a path joining  $x_n$  and  $y_n$  in  $(x + B_{\varepsilon}(X)) \cap \operatorname{Int} P$ , a contradiction.

It remains to prove the existence of f and  $\{t_n\}$  above. By the assumption F contains infinitely many points which implies that there is some  $t \in F$  which is a cluster point of  $F - \{t\}$ . Since F is nowhere dense on  $\partial \Delta$  there exists a decreasing sequence  $\{T_n\}$  of open arcs in  $\partial \Delta$  all of whose endpoints lie in  $\partial \Delta - F$  and such that  $F \subset T_1$ ,  $\bigcap_{n=1}^{\infty} T_n = \{t\}$ . Define  $F_n = F \cap (T_n - T_{n+1})$   $(n \in N)$ . Then  $F_n(n \in N)$ are disjoint compact sets infinitely many of which are not empty. Passing to a subsequence if necessary we may assume that all sets  $F_n$   $(n \in N)$  are nonempty. Define  $f: F \to X$  by

$$egin{aligned} f(s) &= x_n \; (s \in F_{2n-1}; \, n \in N) \; , \ f(s) &= y_n \; (s \in F_{2n}; \, n \in N) \; , \ f(t) &= x \; . \end{aligned}$$

It is easy to see that f is continuous. By the assumption there exists an extension  $\tilde{f} \in A(\varDelta, X)$  of f satisfying  $\tilde{f}(\bar{\varDelta} - F) \subset \text{Int } P$ . Choose  $t_n \in F_n(n \in N)$ .

An open subset O of a complex Banach space X is called *uni*formly locally connected (ULC) on a subset K of X if given any  $\varepsilon > 0$  there exists  $\delta > 0$  such that for every  $x \in K$  the set  $(x + B_{\delta}(X)) \cap O$ , if not empty, is contained in a connected component of the set  $(x + B_{\varepsilon}(X)) \cap O$  [17]. We call any such  $\varepsilon \mapsto \delta(\varepsilon)$  a modulus of ULC of O on K.

LEMMA 2. Let O be an open subset of a complex Banach space X and let  $K \subset X$  be a compact set. Suppose that O is locally connected at each point  $x \in K$ . Then O is uniformly locally connected on K.

Proof. Simple. For the idea see [17, p. 160].

LEMMA 3. [11] Let  $B \subset \partial \Delta$  be a relatively open set,  $G \subset B$  a relatively closed set of measure 0, and  $H \subset B$  a compact set of measure 0, disjoint from G. Let  $U \subset \Delta \cup B$  be a neighbourhood of H and let  $\varepsilon > 0$ . Assume that P is an open connected set in a complex Banach space X which contains the point 0 and let  $f: H \to P$ be a continuous function. There exists  $\tilde{f} \in H_{\mathbb{B}}(\Delta, X)$  which satisfies

- (i)  $\widetilde{f} \mid H = f$ (ii)  $\widetilde{f}(G) = \{0\}$
- $(\Pi) \ f(G) = \{0\}$
- (iii)  $\|\widetilde{f}(z)\| < \varepsilon \ (z \in (\varDelta \cup B) U)$
- (iv)  $f(\varDelta \cup B) \subset P$ .

Lemma 3, which gives an approximate solution to our Problem, is the most important tool in the present paper.

LEMMA 4. Let O be an open connected set in a complex Banach space X. Let  $F \subset \partial \Delta$  be a closed set of measure 0 and  $f_1$ ,  $f_2$  two continuous functions from F to O. There exists a homotopy  $p: F \times I \rightarrow O$  satisfying

$$p(s, 0) = f_1(s)$$
  
 $p(s, 1) = f_2(s)$   $(s \in F)$ .

*Proof.* Choose  $s_0 \in \partial \mathcal{A} - F$  and  $x \in O$ . By Lemma 3 there exist  $g_i \in A(\mathcal{A}, X)$  (i = 1, 2) satisfing

$$egin{array}{ll} g_i | \, F = f_i \ g_i (ar{A}) \subset O \ g_i (s_0) = x \end{array} iggin{pmatrix} (i = 1, \, 2) \ . \end{array}$$

Put

$$p(s,\,t) = egin{cases} g_{_1}(s\,+\,2t(s_{_0}\,-\,s)) & (s\in F,\,0\,\leq\,t\,\leq\,1/2) \ g_{_2}(s_{_0}\,-\,(1\,-\,2t)(s\,-\,s_{_0})) & (s\in F,\,1/2\,\leq\,t\,\leq\,1) \ . \end{cases}$$

It is easy to check that p has the required properties.

LEMMA 5. Let O be an open subset of a complex Banach space and let  $F \subset \partial \Delta$  be a closed set of measure 0. Suppose that  $f: F \to \overline{O}$ is a continuous function and assume that O is ULC on f(F). Let  $\varepsilon \mapsto \delta(\varepsilon)$  be a modulus of ULC of O on f(F). Let R > 0 and assume that  $g: F \to O$  is a continuous function satisfying

$$||f(s)-g(s)||<\delta(R)/2 \quad (s\in F)$$
 .

Let  $\varepsilon > 0$ . There exists a homotopy  $\pi: F \times I \rightarrow O$  satisfying

- (i)  $\pi(s, 0) = g(s) \quad (s \in F),$
- (ii)  $||\pi(s, 1) f(s)|| < \varepsilon$  ( $s \in F$ ),
- (iii)  $||\pi(s, t) f(s)|| < 2R$   $(s \in F, 0 \le t \le 1)$ .

*Proof.* By the properties of F

$$F = \bigcup_{i=1}^m F_i$$

where  $F_i$   $(i = 1, 2, \dots, m)$  are disjoint compact sets such that

 $(1.1) \quad ||f(\xi) - f(\eta)|| < \min \{R, \, \delta(R)/2, \, \varepsilon/2\} \quad (\xi, \, \eta \in F_i; \, i = 1, \, 2, \, \cdots, \, m) \; .$ 

Choose  $\zeta_i \in F_i (i = 1, 2, \dots, m)$ . Since  $f(F) \subset \overline{O}$  there exist  $x_i \in O$   $(i = 1, 2, \dots, m)$  such that

(1.2) 
$$||f(\zeta_i) - x_i|| < \min \{\delta(R)/2, \varepsilon/2\} \quad (i = 1, 2, \dots, m).$$

Define  $h(s) = x_i$   $(s \in F_i; i = 1, 2, \dots, m)$ . By the assumption and by (1.1) we have

(1.3) 
$$||f(\zeta_i) - g(s)|| \leq ||f(\zeta_i) - f(s)|| + ||f(s) - g(s)|| < \delta(R)$$
  $(s \in F_i; i = 1, 2, \dots, m)$ 

and similarly by (1.1) and (1.2)

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$$\begin{array}{ll} (1.4) & ||h(s) - f(s)|| \leq ||h(s) - f(\zeta_i)|| + ||f(\zeta_i) - f(s)|| \\ & < \varepsilon & (s \in F_i; \, i = 1, \, 2, \, \cdots, \, m) \; . \end{array}$$

By (1.2) and (1.3) we have

$$x_i = h(s) \in (f(\zeta_i) + B_{\mathfrak{d}(R)}(X)) \cap O$$
  $(s \in F_i; i = 1, 2, \cdots, m)$ 

and

$$g(s) \in (f(\zeta_i) + B_{\delta(R)}(X)) \cap O$$
  $(s \in F_i; i = 1, 2, \dots, m)$ 

and it follows that for each  $i = 1, 2, \dots, m$  both  $h(F_i)$  and  $g(F_i)$  are contained in the same connected component of  $(f(\zeta_i) + B_{\mathbb{R}}(X)) \cap O$ . Now Lemma 4 applies to show that for each  $i = 1, 2, \dots, m$  there exists a homotopy  $p_i: F_i \times I \to O$  satisfying

$$\left. egin{array}{ll} p_i(s,\,0) &= g(s) \ p_i(s,\,1) &= h(s) \end{array} 
ight\} (s \in F_i;\,i=1,\,2,\,\cdots,\,m) \ \end{array}$$

and

(1.5) 
$$p_i(s, t) \in (f(\zeta_i) + B_R(X)) \cap O$$
  
 $(s \in F_i; 0 \le t \le 1; i = 1, 2, \dots, m).$ 

Define  $\pi: F \times I \to O$  by  $\pi | F_i \times I = p_i$   $(i = 1, 2, \dots, m)$ . Since  $F_i$   $(i = 1, 2, \dots, m)$  are disjoint compact sets it follows that  $F_i \times I$   $(i = 1, 2, \dots, m)$  are disjoint compact subsets of  $F \times I$  and consequently  $\pi$  is continuous. Clearly  $\pi(s, 0) = g(s)$   $(s \in F)$ . By (1.1) and (1.5) we have

$$egin{aligned} &\|p_i(s,\,t)-f(s)\| \leq \|p_i(s,\,t)-f(\zeta_i)\|+\|f(\zeta_i)-f(s)\|\ &< 2R \qquad (s\in F_i;\,0\leq t\leq 1;\,i=1,\,2,\,\cdots,\,m) \end{aligned}$$

which implies (iii). Finally, by (1.4) we have  $||\pi(s, 1) - f(s)|| < \varepsilon$   $(s \in F)$ .

THEOREM 3. Let O be an open subset of a complex Banach space X and let  $F \subset \partial \Delta$  be a closed set of measure 0. Let  $f: F \to \overline{O}$  be a continuous function and assume that O is locally connected at each point of f(F). Then there exists a homotopy  $p: F \times I \to \overline{O}$  satisfying

(i) 
$$p(s, t) \in O$$
  $(s \in F; 0 \leq t < 1)$ 

(ii) 
$$p(s, 1) = f(s) \ (s \in F)$$
.

**Proof.** Observe first that f(F) being compact O is ULC on f(F) by Lemma 2. Let  $\varepsilon \mapsto \delta(\varepsilon)$  be a modulus of ULC of O on f(F.) Choose a decreasing sequence  $\{R_n\}$  of positive numbers converging to 0 and a strictly increasing sequence  $\{t_n\}$  of nonnegative numbers converging to 1 where  $t_1 = 0$ .

Assume for a moment that there exists a continuous function  $p: F \times (I - \{1\}) \rightarrow O$  satisfying

$$(2.1) || p(s, t) - f(s)|| < 2R_n (s \in F; t_n \leq t < 1; n \in N).$$

Since  $\{R_n\}$  converges to 0 we have  $\lim_{t\to 1} p(s, t) = f(s)$  uniformly for  $s \in F$ . Since f is continuous on F it follows that the extension  $p: F \times I \to \overline{O}$  of p defined on  $F \times \{1\}$  by p(s, 1) = f(s)  $(s \in F)$  is continuous on  $F \times I$  and satisfies (i), (ii) above.

To prove the existence of p satisfying (2.1) we first prove that there exists a sequence of homotopies  $\pi_n: F \times I \longrightarrow O$   $(n \in N)$  satisfying

(2.2) 
$$\pi_{n+1}(s, 0) = \pi_n(s, 1) \quad (s \in F, n \in N)$$

$$(2.3) ||\pi_n(s, 1) - f(s)|| < \delta(R_{n+1})/2 (s \in F, n \in N)$$

and

$$(2.4) ||\pi_n(s, t) - f(s)|| < 2R_n (s \in F, 0 \le t \le 1, n \in N).$$

To define  $\pi_1$  observe that  $F = \bigcup_{i=1}^m F_i$  where  $F_i$   $(i = 1, 2, \dots, m)$  are disjoint compact sets such that

$$(2.5) ||f(\xi) - f(\eta)|| < \delta(R_i)/4 (\xi, \eta \in F_i, i = 1, 2, \dots, m)$$

Choose  $\zeta_i \in F_i$   $(i = 1, 2, \dots, m)$ . Since  $f(F) \subset \overline{O}$  there exist  $x_i \in \overline{O}$  $(i = 1, 2, \dots, m)$  satisfying

(2.6) 
$$||x_i - f(\zeta_i)|| < \delta(R_1)/4$$
  $(i = 1, 2, \dots, m)$ .

Define  $g(s) = x_i$   $(s \in F_i, i = 1, 2, \dots, m)$ . Then  $g: F \to O$  is a continuous function which satisfies  $||f(s) - g(s)|| < \delta(R_1)/2$   $(s \in F)$  by (2.5) and (2.6). By Lemma 5 applied to f, g,  $R = R_1$  and  $\varepsilon = \delta(R_2)/2$  there exists a homotopy  $\pi_1: F \times I \to O$  satisfying  $||\pi_1(s, 1) - f(s)|| < \delta(R_2)/2$   $(s \in F)$  and  $||\pi_1(s, t) - f(s)|| < 2R_1$   $(s \in F, 0 \leq t \leq 1)$ .

Assume that there exist  $\pi_n$   $(n = 1, 2, \dots, l)$  satisfying (2.2) for  $1 \leq n \leq l-1$  and (2.3), (2.4) for  $1 \leq n \leq l$ . By Lemma 5 applied to f, to  $s \mapsto g(s) = \pi_l(s, 1)$   $(s \in F)$ , to  $R = R_{l+1}$  and  $\varepsilon = \delta(R_{l+2})/2$  there exists a homotopy  $\pi_{l+1}$ :  $F \times I \to O$  satisfying (2.2) for n = l and (2.3), (2.4) for n = l+1. Now the existence of  $\{\pi_n : n \in N\}$  satisfying (2.2), (2.3) and (2.4) follows by induction.

Now define  $p(s, t_n + t(t_{n+1} - t_n)) = \pi_n(s, t)$   $(s \in F, 0 \leq t \leq 1, n \in N)$ . It is easy to check that p has all the required properties.

LEMMA 6. Let O be an open subset of a complex Banach space X. Let  $B \subset \partial \Delta$  be a relatively open set,  $H \subset B$  a compact set of measure 0 and  $G \subset B$  a relatively closed set of measure 0, disjoint from H. Suppose that  $\pi: H \times I \rightarrow O$  is a continuous function

satisfying

diam {
$$\pi(s, t)$$
:  $0 \leq t \leq 1$ }  $\leq M$  ( $s \in H$ )

for some M. Let  $U \subset \Delta \cup B$  be a neighbourhood of H and let  $\varepsilon > 0$  be arbitrary. Assume that  $h: \Delta \cup B \rightarrow O$  is a continuous function such that

(a)  $h(s) = \pi(s, 0) \ (s \in H)$ 

(b) there exists  $\tau > 0$  such that

$$h(\varDelta \cup B) + B_{\varepsilon}(X) \subset O$$
.

Then there exists  $g \in H_B(\varDelta, X)$  satisfying (i)  $(h + g)(s) = \pi(s, 1)$   $(s \in H)$ 

- (ii) g(s) = 0 ( $s \in G$ )
- (iii)  $||g(z)|| < \varepsilon$   $(z \in (\Delta \cup B) U)$
- (iv)  $||g(z)|| < M + \varepsilon$   $(z \in \varDelta \cup B)$
- (v) there exists  $\delta > 0$  such that

$$(h+g)(\varDelta\cup B)+B_{\mathfrak{s}}(X)\subset O$$
 .

*Proof.* By the assumption  $\pi: H \times I \to O$  is continuous hence  $\pi(H \times I)$  is a compact set contained in O. Consequently by (b) there exists  $\delta > 0$  which satisfies

$$(3.0) \qquad \qquad \delta < \varepsilon/3$$

$$h(\varDelta \cup B) + B_{2\delta}(X) \subset O$$

and

$$\pi(H \times I) + B_{5\delta}(X) \subset O .$$

By the properties of H

$$H = igcup_{j=1}^m H_j$$

where  $H_j$   $(j = 1, 2, \dots, m)$  are disjoint compact sets such that

$$(3.3) \quad \begin{array}{l} ||\pi(\xi,\,0)-\pi(\eta,\,0)|| < \delta \\ ||\pi(\xi,\,1)-\pi(\eta,\,1)|| < \delta \end{array} \quad (\xi,\,\eta\in F_j\colon j=1,\,2,\,\cdots,\,m) \; .$$

Since h is continuous on  $\varDelta \cup B$  there exist disjoint neighbourhoods  $V_j \subset U$  of the sets  $H_j$   $(j = 1, 2, \dots, m)$ , respectively, such that

$$(3.4) ||h(\xi) - h(\eta)|| < \delta (\xi, \eta \in V_j; j = 1, 2, \dots, m).$$

Let  $1 \leq j \leq m$ . Choose  $\xi_j \in H_j$  and consider the set

$$P_{j} = -\pi(\xi_{j}, 0) + \{\pi(\xi_{j}, t): 0 \leq t \leq 1\} + B_{z\delta}(X)$$
.

By the continuity of  $\pi$ ,  $p_j$  is an open connected set in X containing the point 0. By (3.3) we have

$$egin{array}{ll} \pi(s,\,0)\in\pi(arsigma_j,\,0)\,+\,B_{\mathfrak{d}}(X)\ \pi(s,\,1)\in\pi(arsigma_j,\,1)\,+\,B_{\mathfrak{d}}(X) \end{array} 
ight
angle (s\in H_j)$$

and it follows that  $\pi(s, 1) - \pi(s, 0) \in P_j$   $(s \in H_j)$ . Hence  $s \mapsto \pi(s, 1) - \pi(s, 0)$  is a continuous function from  $H_j$  into  $P_j$ . By Lemma 3 there exists  $g_j \in H_B(\mathcal{A}, X)$  satisfying

$$(3.5) g_j(s) = \pi(s, 1) - \pi(s, 0) (s \in H_j)$$

$$(3.6) g_j(s) = 0 (s \in G \cup (H - H_j))$$

$$(3.7) ||g_j(z)|| < \delta/m (z \in (\varDelta \cup B) - V_j)$$

$$(3.8) g_j(\varDelta \cup B) \subset P_j .$$

Define

$$g = \sum_{j=1}^m g_j$$

By (3.7) we have

$$(3.9) ||g(z)|| < \delta (z \in (\varDelta \cup B) - \bigcup_{j=1}^m V_j).$$

Since  $V_j \subset U$   $(j = 1, 2, \dots, m)$  it follows that  $||g(z)|| < \delta$  $(z \in (\Delta \cup B) - U)$  and by (3.0) (iii) is satisfied.

If  $z \in V_j$  for some j,  $1 \leq j \leq m$  then  $z \notin V_k$   $(k \neq j, 1 \leq k \leq m)$ . Consequently we have by (3.7) and (3.8)

(3.10) 
$$g(z) = g_j(z) + \sum_{k \neq j} g_k(z)$$
  
 $\in P_j + B_j(X)$   $(z \in V_j, j = 1, 2, \dots, m)$ 

By the assumption diam  $\{\pi(s, t): 0 \leq t \leq 1\} \leq M$   $(s \in H)$  which implies that

$$\sup_{y \in P_j} ||y|| \leq M + 2\delta \qquad (j = 1, 2, \cdots, m) \ .$$

By (3.0), (3.9) and (3.10) (iv) follows. Now, (3.9) implies that

$$h(z)+g(z)\in h(arDelto \cup B)+B_{\delta}(X)$$
  $(z\in (arDelto \cup B)-igcup_{j=1}^m V_j)$ 

and by (3.1) it follows that

$$(3.11) h(z) + g(z) + B_{i}(X) \subset O (z \in (\varDelta \cup B) - \bigcup_{j=1}^{m} V_{j}).$$

If  $z \in V_j$  for some j,  $1 \leq j \leq m$  then  $z \notin V_k$   $(k \neq j, 1 \leq k \leq m)$  so by (a), by (3.4) and by (3.10) it follows that

$$egin{aligned} h(z) + g(z) &\in h(\xi_j) + B_{\delta}(X) + P_j + B_{\delta}(X) \ &= h(\xi_j) + B_{\delta}(X) - \pi(\xi_j, 0) + \{\pi(\xi_j, t) \colon 0 \leq t \leq 1\} + B_{2\delta}(X) + B_{\delta}(X) \ &\subset \pi(F imes I) + B_{4\delta}(X) \;. \end{aligned}$$

Now (3.2) implies that  $h(z) + g(z) + B_{\delta}(X) \subset O$   $(z \in \bigcup_{j=1}^{m} V_j)$ , which, together with (3.11) gives (v).

By the properties of  $g_j$   $(1 \le j \le m)$  it is easy to see that (i) and (ii) are also satisfied.

THEOREM 4. Let O be an open connected subset of a complex Banach space X. Let  $B \subset \partial \Delta$  be a relatively open set and  $F \subset B$  a relatively closed set of measure 0. Assume that  $p: F \times I \rightarrow \overline{O}$  is a continuous function satisfying  $p(s, t) \in O$  ( $s \in F, 0 \leq t < 1$ ).

There exists  $f \in H_{\mathbb{B}}(\mathcal{A}, X)$  such that

(a) f(s) = p(s, 1)  $(s \in F)$ 

(b)  $f(z) \in O$   $(z \in (\Delta \cup B) - F)$ .

REMARK. In particular, Theorem 4 implies the following: Let O, B and F be as above and let  $u: F \to \overline{O}$  be a continuous function. If there exists a peak continuous extension of u, i.e., a continuous extension  $v: \varDelta \cup B \to \overline{O}$  of u satisfying  $v((\varDelta \cup B) - F) \subset O$  then there exists a peak analytic extension of u, i.e. a continuous extension  $w: \varDelta \cup B \to \overline{O}$  of u, analytic on  $\varDelta$  and satisfying  $w((\varDelta \cup B) - F) \subset O$ . To see this, put p(s, t) = v(st) ( $s \in F, 0 \leq t \leq 1$ ) and apply Theorem 4.

*Proof of Theorem* 4. We consider only the case when F is not compact. It is easy to see how to simplify the proof below in the case of compact F; note that in the latter case the proof is considerably simpler.

Part 1. With no loss of generality we may assume that  $0 \in O$ . As in [8] write

$$F = igcup_{j=1}^{\infty} F_j$$

where  $F_j \subset \Delta \cup B$   $(j \in N)$  are compact sets infinitely many of which are not empty, such that there exist disjoint open sets  $0_j \subset \Delta \cup B$  $(j \in N)$  with the property that  $F_j \subset 0_j$   $(j \in N)$  and such that every compact subset of  $\Delta \cup B$  misses all but a finite number of the sets  $0_j$ . Passing to a subsequence if necessary we may assume that all sets  $F_j$   $(j \in N)$  are nonempty. Passing to a smaller  $0_1$  if necessary we may assume that  $0_1$  is contained in a compact subset of  $\Delta \cup B$ .

By Lemma 4 there exists for each  $j \in N$  a homotopy  $p_j: F \times I \to O$ satisfying  $p_j(s, 0) = 0$ ,  $p_j(s, 1) = p(s, 0)$   $(s \in F_j)$ . With no loss of generality we may assume that p(s, 0) = 0  $(s \in F)$  (otherwise we replace p by  $\pi$  defined as follows

$$\pi(s, t) = p_j(s, 2t)$$
  $(0 \le t \le 1/2, s \in F_j, j \in N)$   
 $\pi(s, t) = p(s, 2t - 1)$   $(1/2 \le t \le 1, s \in F);$ 

by the properties of the sets  $F_j$   $(j \in N)$  it is easy to see that  $\pi: F \times I \rightarrow \overline{O}$  is continuous).

By the compactness of the sets  $F_j$   $(j \in N)$  and by the continuity of p there exists for each  $j \in N$  an increasing sequence  $\{t_{ij}: i \in N\}$ ,  $0 < t_{ij} < 1$   $(i \in N)$ , converging to 1 and satisfying

(4.1) diam {
$$p(s, t): t_{ij} \leq t \leq 1$$
} < 1/2<sup>*i*+2</sup> ( $s \in F_j, j \in N, i \in N$ ).

Part 2. In the sequel we will prove the following:

(A) for each  $j \in N$  there exists a decreasing sequence  $U_{ij} \subset \Delta \cup B$  $(i \in N)$  of neighbourhoods of  $F_j$  contained in  $0_j$  and satisfying

$$\mathop{igwarpmin}_{i=1}^{\infty}\,U_{ij}=F_{j}\qquad(j\in N)$$

(B) there exists a decreasing sequence  $\{\varepsilon_i\}$  of positive numbers where

$$(4.2) B_{\varepsilon_1}(X) \subset O$$

such that

Assume for a moment that we have proved (A), (B), (C) above. Define

$$f(z) = \sum_{i=1}^{\infty} g_i(z)$$
  $(z \in \varDelta \cup B)$ .

We show that f has all the required properties.

Since each compact of  $\Delta \cup B$  misses all but a finite number of the sets  $0_i$ , (C) (ii) implies that the series converges uniformly on

each compact subset of  $\Delta \cup B$ . Consequently  $f \in H_B(\Delta, X)$ . By (C) (i) and by the continuity of p we have for all  $j \in N$  and for all  $s \in F_j$ 

$$f(s)=\lim_{n o\infty}\sum\limits_{i=1}^n g_i(s)=\lim_{n o\infty}\sum\limits_{i=1}^n g_i(s)=\lim_{n o\infty}p(s,\,t_{nj})=p(s,\,1)$$
 .

Consequently f(s) = p(s, 1)  $(s \in F)$  so (a) is satisfied.

To check (b), let  $z \in \Delta \cup B - F$ . Let first  $z \in (\Delta \cup B) - \bigcup_{j=1}^{\infty} U_{1j}$ . Now (C) (iii) implies that  $||f(z)|| < \varepsilon_1$  and by (4.2) it follows that  $f(z) \in O$ . Now, let  $z \in U_{1j}$  for some  $j \in N$ . Then  $z \notin U_{1k}$   $(k \neq j, k \in N)$ . Further, since  $z \notin F_j$  it follows that there exists  $i \in N$  such that  $z \in U_{ij}$ ,  $z \notin U_{i+1,j}$ . Consequently  $z \in (\Delta \cup B) - \bigcup_{j=1}^{\infty} U_{i+1,j}$ , so that  $z \in (\Delta \cup B) - \bigcup_{j=1}^{\infty} U_{kj}$  for every  $k \in N$ ,  $k \geq i + 1$ . By (C) (iii) it follows that

$$||\sum_{k=i+1}^\infty g_k(z)||$$

which, by (C) (iv) implies that  $f(z) \in O$ .

Part 3. It remains to prove (A), (B), (C) above and we do this by induction.

First, choose  $\varepsilon_1 > 0$  such that (4.2) holds and put  $U_{11} = 0_1$ . Choose a decreasing sequence  $\{U_{i1}\}$  of neighbourhoods of  $F_1$  in  $\Delta \cup B$  contained in  $0_1$  and satisfying  $\bigcap_{i=1}^{\infty} U_{i1} = F_1$ . By Lemma 3 there exists  $g_1 \in H_B(\Delta, X)$  satisfying  $g_1(s) = p(s, t_{11})$   $(s \in F_1)$ ,  $g_1(s) = 0$   $(s \in F - F_1)$ ,  $g_1(\Delta \cup B) \subset O$  and

$$(4.3) ||g_i(z)|| < \min \{1/2, \, \varepsilon_i/2\} (z \in (\varDelta \cup B) - U_{ii}) \; .$$

Now  $0_1$  is contained in a compact subset of  $\Delta \cup B$ . Consequently  $g_1(U_{11})$  is contained in a compact set contained in O by the continuity of  $g_1$ . It follows by (4.2) and (4.3) that there exists  $\varepsilon_2$ ,  $0 < \varepsilon_2 < \varepsilon_1$  satisfying  $g_1(\Delta \cup B) + B_{\varepsilon_2}(X) \subset O$ . So we have proved the existence of a sequence  $\{U_{k1}, k \in N\} \in c_1, \varepsilon_2 < \varepsilon_1$  and  $g_1 \in H_B(\Delta, X)$  satisfying (A) and (C) (i)-(iv) for i = 1.

Assume that we have proved the existence of the sequences  $\{U_{kj}, k \in N\}$   $(1 \leq j \leq n)$ , of a decreasing sequence  $\{\varepsilon_k, 1 \leq k \leq n+1\}$  of positive numbers and of a sequence  $\{g_i, 1 \leq i \leq n\} \subset H_B(\varDelta, X)$  such that (A) is satisfied for  $1 \leq j \leq n$  and (C) (i)-(iv) is satisfied for  $1 \leq i \leq n$ . We show below how to choose a sequence  $\{U_{k,n+1}, k \in N\}$  to satisfy (A) for j = n + 1 and then how to choose  $\varepsilon_{n+2}$ :  $0 < \varepsilon_{n+2} < \varepsilon_{n+1}$  and  $g_{n+1} \in H_B(\varDelta, X)$  to satisfy (C) (i)-(iv) for i = n + 1.

By the compactness of the set  $p(F_{n+1}\times [0,t_{n+1,n+1}])$  there exist  $\delta>0$  and  $\varepsilon>0$  satisfying

$$(4.4) p(F_{n+1} \times [0, t_{n+1, n+1}]) + B_{4\delta}(X) \subset O$$

(4.5) 
$$4\delta < \varepsilon_{n+1}$$

(4.6) 
$$\delta + \varepsilon < 1/2^{n+1} - 1/2^{n+2}$$

$$(4.7) \qquad \qquad \delta + \varepsilon < \varepsilon_{n+1}/2^{n+1} .$$

We choose  $\{U_{k,n+1}, k \in N\}$  as follows:  $\sum_{m=1}^{n} g_m$  is continuous on  $\Delta \cup B$ and by (C) (i)  $(\sum_{m=1}^{n} g_m)(s) = 0$   $(s \in F_{n+1})$ . Consequently there exists a neighbourhood  $U_{n+1,n+1} \subset 0_{n+1}$  of  $F_{n+1}$  in  $\Delta \cup B$  such that

(4.8) 
$$||(\sum_{m=1}^{n} g_{m})(z)|| < \delta \qquad (z \in U_{n+1,n+1}).$$

Now choose a decreasing sequence  $U_{k,n+1} \subset 0_{n+1}$   $(k \in N)$  of neighbourhoods of  $F_{n+1}$  in  $\Delta \cup B$  satisfying  $U_{k,n+1} = U_{n+1,n+1}$   $(k \in N, k \leq n)$  and  $\bigcap_{k=1}^{\infty} U_{k,n+1} = F_{n+1}$ , so that (A) is satisfied for j = n + 1.

By Lemma 3 there exists  $e \in H_B(\varDelta, X)$  satisfying

(4.9)  $e(s) = p(s, t_{n+1,n+1}) \quad (s \in F_{n+1})$ 

$$(4.10) e(s) = 0 (s \in F_k, k \in N, k \neq n+1)$$

$$(4.11) ||e(z)|| < \delta (z \in (\varDelta \cup B) - U_{n+1,n+1})$$

and

$$(4.12) e(\varDelta \cup B) \subset p(F_{n+1} \times [0, t_{n+1, n+1}]) + B_{\delta}(X)$$

since  $p(F_{n+1} \times [0, t_{n+1,n+1}]) + B_{\delta}(X)$  is an open connected set which contains 0 and the set  $\{p(s, t_{n+1,n+1}), s \in F_{n+1}\}$ .

Consider the function  $h = \sum_{m=1}^{n} g_m + e$ . Since (C) (iv) holds for i = n it follows by (4.5) that  $(\sum_{m=1}^{n} g_m)(\Delta \cup B) + B_{4\delta}(X) \subset O$  which, by (4.11) implies that

$$(4.13) h(z) + B_{2\delta}(X) \subset O (z \in (\Delta \cup B) - U_{n+1,n+1}).$$

Now let  $z \in U_{n+1,n+1}$ . By (4.8) and (4.12) we have  $h(z) \in p(F_{n+1} \times [0, t_{n+1,n+1}]) + B_{2\delta}(X)$  which, by (4.4) implies that  $h(z) + B_{2\delta}(X) \subset O$  $(z \in U_{n+1,n+1})$  and by (4.13) it follows that

$$(4.14) h(\varDelta \cup B) + B_{2\delta}(X) \subset O.$$

Now, put

$$egin{aligned} H &= egin{aligned} & H = egin{aligned} & h = 1 \ h = 1 \ h = 1 \ p(s, t_{nj} + t(t_{n+1,j} - t_{nj})) & (s \in F_j, 1 \leq j \leq n) \ p(s, t_{n+1,n+1}) & (s \in F_{n+1}) \ , \ & U &= egin{aligned} & h = 1 \ p(s, t_{n+1,n+1}) & (s \in F_{n+1}) \ , \ & U &= egin{aligned} & h = 1 \ p(s, t_{n+1,n+1}) & (s \in F_{n+1}) \ , \ & U &= egin{aligned} & h = 1 \ p(s, t_{n+1,n+1}) & (s \in F_{n+1}) \ , \ & U &= egin{aligned} & h = 1 \ p(s, t_{n+1,n+1}) & (s \in F_{n+1}) \ , \ & U &= egin{aligned} & h = 1 \ p(s, t_{n+1,n+1}) & (s \in F_{n+1}) \ , \ & U &= egin{aligned} & h = 1 \ p(s, t_{n+1,n+1}) & (s \in F_{n+1}) \ , \ & U &= egin{aligned} & h = 1 \ p(s, t_{n+1,n+1}) & (s \in F_{n+1}) \ , \ & U &= egin{aligned} & h = 1 \ p(s, t_{n+1,n+1}) & (s \in F_{n+1}) \ , \ & U &= egin{aligned} & h = 1 \ p(s, t_{n+1,n+1}) & (s \in F_{n+1}) \ , \ & U &= egin{aligned} & h = 1 \ p(s, t_{n+1,n+1}) & (s \in F_{n+1}) \ , \ & U &= egin{aligned} & h = 1 \ p(s, t_{n+1,n+1}) & (s \in F_{n+1}) \ , \ & U &= egin{aligned} & h = 1 \ p(s, t_{n+1,n+1}) & (s \in F_{n+1}) \ , \ & U &= egin{aligned} & h = 1 \ p(s, t_{n+1,n+1}) & (s \in F_{n+1}) \ , \ & U &= egin{aligned} & h = 1 \ p(s, t_{n+1,n+1}) & (s \in F_{n+1}) \ , \ & U &= egin{aligned} & h = 1 \ p(s, t_{n+1,n+1}) & (s \in F_{n+1}) \ , \ & U &= egin{aligned} & h = 1 \ p(s, t_{n+1,n+1}) & (s \in F_{n+1}) \ , \ & U &= egin{aligned} & h = 1 \ p(s, t_{n+1,n+1}) & (s \in F_{n+1}) \ , \ & U &= egin{aligned} & h = 1 \ p(s, t_{n+1,n+1}) & (s \in F_{n+1}) \ , \ & U &= egin{aligned} & h = 1 \ p(s, t_{n+1,n+1}) & (s \in F_{n+1}) \ , \ & U &= egin{aligned} & h = 1 \ p(s, t_{n+1,n+1}) & (s \in F_{n+1}) \ , \ & U &= egin{aligned} & h = 1 \ p(s, t_{n+1,n+1}) & (s \in F_{n+1}) \ , \ & U &= egin{aligned} & h & H \ p(s, t_{n+1,n+1}) & (s \in F_{n+1}) \ , \ & U &= egin{aligned} & h & H \ p(s, t_{n+1,n+1}) & (s \in F_{n+1,n+1}) & (s \in F_{n+1}) \ , \ & U &= egin{aligned} & h & H \ p(s, t_{n+1,n+1}) & (s \in F_{n+1}) \ , \ & U &= egin{aligned} & h & H \ p(s, t_{n+1,n+1}) & (s \in F_{n+1,n+1}) & (s \in F_{n+1,n+1}) \ , \ & U &= egin{ali$$

Observe that by (C) (i), (4.9) and (4.10)  $\pi(s, 0) = h(s)$   $(s \in H)$ . Note also that by (4.1) diam  $\{\pi(s, t), 0 \leq t \leq 1\} < M$   $(s \in H)$ . Now Lemma 6 applies to show that there exists  $g \in H_B(\mathcal{A}, X)$  with the following properties:

$$(4.15) \qquad (h+g)(s) = \pi(s,1) = p(s,t_{n+1,j}) \qquad (s \in F_j, 1 \leq j \leq n+1)$$

$$(4.16) g(s) = 0 (s \in F_j, j \in N, j \ge n+2)$$

$$(4.17) ||g(z)|| < \varepsilon (z \in (\varDelta \cup B) - \bigcup_{k=1}^{n+1} U_{n+1,k})$$

$$(4.18) || g(z) || < 1/2^{n+2} + \varepsilon (z \in \varDelta \cup B) ,$$

and that there exists  $\varepsilon_{n+2}$ ,  $0 < \varepsilon_{n+2} < \varepsilon_{n+1}$  such that

$$(4.19) (h+g)(\varDelta \cup B) + B_{\varepsilon_{n+2}}(X) \subset O.$$

Define  $g_{n+1} = e + g$ . Clearly  $g_{n+1} \in H_B(\varDelta, X)$ . By (4.15) and (4.16) it follows that (C) (i) is satisfied for i = n + 1. Further, by (4.19) (C) (iv) is satisfied for i = n + 1.

Let  $z \in (\Delta \cup B) - U_{n+1,n+1}$ . By (4.11), (4.18) and (4.6) we have  $||g_{n+1}(z)|| \leq ||e(z)|| + ||g(z)|| < \delta + \varepsilon + 1/2^{n+2} < 1/2^{n+1}$  which implies that (C) (ii) is satisfied for i = n + 1. Finally, let  $z \in (\Delta \cup B) - \bigcup_{j=1}^{n+1} U_{n+1,j}$ . By (4.11), (4.17) and (4.7) it follows that

$$\|\|g_{n+1}(z)\|<\delta+arepsilon$$

which implies that (C) (iii) is also satisfied for i = n + 1.

*Proof of Theorem* 1. Clearly  $(C) \Rightarrow (B) \Rightarrow (A)$ . By Lemma 1, (A)  $\Rightarrow$  (D). To show that (D) implies (C) assume that  $P \subset Int P$  and that Int P is connected and locally connected at every point of P. Let  $B \subset \partial A$  be a relatively open set,  $F \subset B$  a relatively closed set of measure 0 and  $f: F \rightarrow P$  a continuous function. In the case of noncompact F write  $F = \bigcup_{i=1}^{\infty} F_i$  where  $F_i$   $(j \in N)$  are nonempty compact subsets of  $\varDelta \cup B$  such that there exist disjoint open sets  $0_j \subset \varDelta \cup B$  $(j \in N)$  satisfying  $F_j \subset 0_j$   $(j \in N)$  ([8], see also Part 1 of the proof of Theorem 4). For each  $j \in N$ ,  $f_j = f | F_j$  is a continuous function from  $F_j$  to P. Now Theorem 3 applies to show that by the properties of P there exists for each  $j \in N$  a homotopy  $p_j: F_j \times I \rightarrow P$ satisfying  $p_j(s, t) \in \text{Int } P$   $(s \in F_j, 0 \leq t < 1)$  and  $p_j(s, 1) = f_j(s)$   $(s \in F_j)$ . Define  $p: F \times I \rightarrow P$  by  $p | F_j \times I = p_j$   $(j \in N)$ . By the properties of  $p_i$  and  $F_i$   $(j \in N)$  p is continuous and satisfies  $p(s, t) \in Int P$   $(s \in F, t) \in Int P$  $0 \leq t < 1$ ) and p(s, 1) = f(s) ( $s \in F$ ). In the case when F is compact the existence of such a p is immediate by Theorem 3. Now by Theorem 4 there exists  $\widetilde{f}\in H_{\scriptscriptstyle B}({\it \varDelta},\,X)$  satisfying  $\widetilde{f}\,|\,F=f$  and  $\widetilde{f}((\Delta \cup B) - F) \subset \operatorname{Int} P.$ 

Proof of Theorem 2. Clearly  $(C) \rightarrow (B) \rightarrow (A)$ . It remains to prove that (A) implies (C). Assume that there exists a closed set  $F \subset \partial \Delta$  of measure 0 with infinitely many points such that every continuous function  $f: F \rightarrow P$  admits an extension  $\tilde{f} \in A(\Delta)$  satisfying  $\tilde{f}(\bar{\Delta}) \subset P$ .

Let  $f: F \to P$  be a nonconstant continuous function. By the above assumption there exists a necessarily nonconstant extension  $\tilde{f} \in A(\varDelta)$  of f satisfying  $\tilde{f}(\bar{\varDelta}) \subset P$ . Since every nonconstant complexvalued analytic function is an open map [20] we have  $\tilde{f}(\varDelta) \subset \text{Int } P$ . Consequently  $(s, t) \mapsto p(s, t) = f(st)$  is a continuous function from  $F \times I$  to P satisfying

(5.1) 
$$p(s, t) \in \operatorname{Int} P \qquad (s \in F, 0 \leq t < 1)$$
$$p(s, 1) = f(s) \qquad (s \in F) .$$

In the case when  $f: F \to P$  is a constant, say f(s) = x  $(s \in F)$ write  $F = F_1 \cup F_2$  where  $F_1$ ,  $F_2$  are disjoint compact sets. By the assumption P consists of more than one point so that there is a  $y \in P$ ,  $y \neq x$ . Further, by the above assumptions there exist necessarily nonconstant functions  $f_1, f_2 \in A(\Delta)$  satisfying  $f_1(F_1) =$  $f_2(F_2) = \{x\}, f_1(F_2) = f_2(F_1) = \{y\}$  and  $f_1(\overline{\Delta}) \subset P, f_2(\overline{\Delta}) \subset P$ . Now define  $p: F \times I \to P$  as follows

$$p(s, t) = egin{cases} f_1(st) & (s \in F_1, \ 0 \leq t \leq 1) \ f_2(st) & (s \in F_2, \ 0 \leq t \leq 1) \ . \end{cases}$$

Since  $f_1$  and  $f_2$  are open maps p is a continuous function satisfying (5.1).

Having proved the existence of a continuous function  $p: F \times I \rightarrow P$ satisfying (5.1) Theorem 4 applies to show that there exists  $g \in A(A, X)$ satisfying g | F = f and  $g(\overline{A} - F) \subset \text{Int } P$ . Since f was arbitrary it follows that (A) in Theorem 1 is satisfied for our F and P. Consequently (C) follows by Theorem 1.

3. Application and remarks. Given any set  $P \subset C$  homeomorphic to  $\overline{A}$  there exists  $f \in A(A)$  satisfying  $f(\overline{A}) = f(\partial A) = P$ . This is a consequence of Rudin's theorem. Below we present some generalizations of this result. The existence of  $f \in A(A)$  such that  $f(\partial A)$  fills some square had been proved before Rudin's theorem and had raised certain interest [16]. Church [5] gave a complete topological description of the sets  $f(\partial A)$ ,  $f \in A(A)$ .

APPLICATION 1. Given any nonempty compact set  $P \subset C$  satisfying  $P = \overline{\operatorname{Int} P}$  and such that  $\operatorname{Int} P$  is connected and locally connected at every point of P, there exists  $\tilde{f} \in A(\varDelta)$  satisfying  $\tilde{f}(\overline{\varDelta}) = \tilde{f}(\partial \varDelta) = P$ . *Proof.* Let  $F \subset \partial \Delta$  be a Cantor set of measure 0. By the compactness of P there exists  $f \in C(F)$  satisfying f(F) = P [13]. Now by Corollary 1 there exists an extension  $\tilde{f} \in A(\Delta)$  satisfying  $f(\bar{\Delta}) \subset P$ .

APPLICATION 2. Given any nonempty set  $P \subset C$  satisfying  $P = \overline{\operatorname{Int} P}$  and such that  $\operatorname{Int} P$  is connected and locally connected at every point of P, there exists a continuous function  $\tilde{f} \colon \overline{A} - \{1\} \to C$ , analytic on  $\Delta$  and satisfying

$$\widetilde{f}((ar{\varDelta}-\{1\})\cap\,U)=\widetilde{f}((\partialar{\varDelta}-\{1\})\cap\,U)=P$$

for every neighbourhood  $U \subset C$  of the point 1.

*Proof.* Let  $\{V_n: n \in N\}$  be a sequence of disjoint open arcs in  $\partial \Delta$  such that for every neighbourhood U of the point 1 there exists  $n_U \in N$  such that  $V_n \subset U$   $(n \in N, n > n_U)$ . For each  $n \in N$  let  $F_n \subset V_n$  be a Cantor set of measure 0. It is easy to construct a function  $\alpha: N \to N$  satisfying

$$N \subset \alpha(\{n, n + 1, \cdots\})$$
  $(n \in N)$ .

Write  $P = \bigcup_{n=1}^{\infty} P_n$  where  $P_n$  are compact sets. For each  $n \in N$  there exists  $f_n \in C(F_n)$  satisfying  $f_n(F_n) = P_{\alpha(n)}$  [13]. Put  $F = \bigcup_{n=1}^{\infty} F_n$  and define  $f: F \to P$  by  $f | F_n = f_n$   $(n \in N)$ . Clearly f is a continuous function. By Theorem 1 there exists a continuous extension  $\tilde{f}: \bar{d} - \{1\} \to X$ , analytic on  $\Delta$  and satisfying  $\tilde{f}(\bar{d} - \{1\}) \subset P$ . It is easy to see that  $\tilde{f}$  has all the required properties.

The following application to vector-valued functions is perhaps more interesting. Its proof is the same as the proof of Application 1.

APPLICATION 3. Given any nonempty compact set P in a finite dimensional complex normed space X satisfying  $P = \overline{\operatorname{Int} P}$  and such that  $\operatorname{Int} P$  is connected and locally connected at every point of P there exists  $\tilde{f} \in A(\Delta, X)$  such that  $\tilde{f}(\overline{\Delta}) = \tilde{f}(\partial \Delta) = P$ .

REMARK. Note that in Application 2 we can replace C by any finite-dimensional complex normed space.

Note that in the case when X is infinite-dimensional one can not fill a subset of X having nonempty interior with  $f(\Delta \cup B)$  for some  $f \in H_B(\Delta, X)$  since  $f(\Delta \cup B)$  is always a countable union of compact subsets of X and consequently its interior is empty. For the results about cluster sets in this case see [8, 9]. REMARK. If X = C then by Theorem 2 a set  $P \subset X$  containing more than one point has AEP if and only if it has PAEP. If dim  $X \ge 2$  this is no longer true since there exist subsets P of X having AEP whose interior is empty. An example is  $P = \overline{A}x$  where  $x \in X, x \neq 0$ .

PROBLEM. Give an example of a nonempty set  $P \subset C^2$  satisfying  $P = \overline{\operatorname{Int} P}$  which has AEP but not PAEP.

REMARK. The results of the present paper give a partial solution to Problem 3 in [10].

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