# Pacific Journal of Mathematics

# **COMPLETIONS OF REGULAR RINGS. II**

KENNETH R. GOODEARL

Vol. 72, No. 2

February 1977

# COMPLETIONS OF REGULAR RINGS II

## K. R. GOODEARL

This paper continues earlier investigations into the structure of completions of a (von Neumann) regular ring R with respect to pseudo-rank functions, and into the connections between the ring-theoretic structure of such completions and the geometric structure of the compact convex set P(R) of all pseudo-rank functions on R. In particular, earlier results on the completion of R with respect to a single  $N \in P(R)$ are extended to completions with respect to any nonempty subset  $X \subseteq P(R)$ . Completions in this generality are proved to be regular and self-injective by reducing to the case of a single pseudo-rank function, using a theorem that the lattice of  $\sigma$ -convex faces of P(R) forms a complete Boolean algebra. Given a completion  $\overline{R}$  with respect to some  $X \subseteq P(R)$ , it is shown that the Boolean algebra of central idempotents of  $\overline{R}$ is naturally isomorphic to the lattice of those  $\sigma$ -convex faces of P(R) which are contained in the  $\sigma$ -convex face generated by X. Consequently, conditions on X are obtained which tell when R is a direct product of simple rings, and how many simple ring direct factors  $\overline{R}$  must have. Also, it is shown that the X-completion of R contains a natural copy of the completion with respect to any subset of X, so in particular the P(R)-completion of R contains copies of all the X-completions of R. The final section investigates the question of when a regular self-injective ring is complete with respect to some family of pseudo-rank functions. It is proved that a regular, right and left self-injective ring R is complete with respect to a family  $X \subseteq P(R)$  provided only that the Boolean algebra of central idempotents of R is complete with respect to X.

1. Completions. All rings in this paper are associative with unit, and ring maps are assumed to preserve the unit. This paper is a direct continuation of [7], and the reader should consult [7] for definitions which are not discussed here. A family of pseudo-rank functions on a regular ring R induces a uniform topology on R, and the purpose of this paper is to study the resulting completion of R. We begin by recalling the appropriate topological concepts.

Let S be a nonempty set, and let D be a nonempty family of pseudo-metrics on S. The (uniform) topology induced by D on S has as a subbasis the balls  $\{x \in S | d(x, y) < \varepsilon\}$ , for various  $y \in S$ ,  $d \in D$ ,  $\varepsilon > 0$ . Thus the basic open neighborhoods of a point  $y \in S$ are the sets  $\{x \in S | d_i(x, y) < \varepsilon \text{ for } i = 1, \dots, n\}$  for various  $\varepsilon > 0$ and  $d_1, \dots, d_n \in D$ . A net in S is a Cauchy net (with respect to D) provided it is Cauchy with respect to each  $d \in D$ . The space S is *complete* (with respect to D) if the topology on S is Hausdorff and every Cauchy net in S converges in S.

The completion of S (with respect to D) is constructed from the set of all Cauchy nets in S by factoring out an equivalence relation  $\sim$ , where  $\{x_i\} \sim \{y_j\}$  if and only if  $d(x_i, y_j) \rightarrow 0$  for all  $d \in D$ . Each  $d \in D$  extends to a pseudo-metric  $\overline{d}$  on the completion  $\overline{S}$ , and the family  $\{\overline{d} \mid d \in D\}$  induces a complete Hausdorff uniform topology on  $\overline{S}$ . There is a natural map  $\phi: S \rightarrow \overline{S}$ , where  $\phi(x)$  is the equivalence class of the constant net  $\{x, x, \dots\}$ . This map  $\phi$  is continuous, and  $\phi(S)$  is dense in  $\overline{S}$ . For  $x, y \in S$ ,  $\phi(x) = \phi(y)$  if and only if d(x, y) = 0for all  $d \in D$ .

Now consider another space T topologized by a family E of pseudo-metrics. A function  $f: S \to T$  is uniformly continuous (with respect to D and E) provided that for any  $\varepsilon > 0$  and any  $e \in E$ , there exist  $\delta > 0$  and  $d_1, \dots, d_n \in D$  such that for all  $x, y \in S$ , max  $\{d_i(x, y)\} < \delta$  implies  $e(f(x), f(y)) < \varepsilon$ . Any such f extends uniquely to a continuous map  $\overline{f}$  from the completion  $\overline{S}$  to the completion  $\overline{T}$ , and  $\overline{f}$  is uniformly continuous.

DEFINITION. Let R be a regular ring, and let X be a nonempty subset of P(R). Each  $N \in X$  induces a pseudo-metric  $\delta_N$  on R, where  $\delta_N(x, y) = N(x - y)$  [19, pp. 231, 232]. The family  $\{\delta_N | N \in X\}$  then induces a uniform topology on R, which we call the X-topology.

In general, the X-topology has a basis of open sets of the form  $\{x \in R \mid N_i(x - y) < \varepsilon \text{ for } i = 1, \dots, k\}$  for various  $y \in R, \varepsilon > 0$ , and  $N_1, \dots, N_k \in X$ . However, if X is convex, then the X-topology has a basis of open sets of the form  $\{x \in R \mid N(x - y) < \varepsilon\}$ . Namely, given an open set  $U \subseteq R$  and an element  $y \in U$ , we first find  $\varepsilon > 0$  and  $N_1, \dots, N_k \in X$  such that  $y \in V \subseteq U$ , where  $V = \{x \in R \mid N_i(x - y) < \varepsilon\}$  for  $i = 1, \dots, k\}$ . Setting  $N = (N_1 + \dots + N_k)/k \in X$  and  $W = \{x \in R \mid N(x - y) < \varepsilon/k\}$ , we obtain  $y \in W \subseteq V \subseteq U$ .

DEFINITION. Let R be a regular ring, and let  $X \subseteq P(R)$ . The *kernel* of X, denoted ker(X), is the set  $\{x \in R | P(x) = 0 \text{ for all } P \in X\}$ . If X is empty, then ker(X) = R, while if X is nonempty, then we see from [6, Lemma 5] that ker(X) is a proper two-sided ideal of R. For nonempty X, note that the X-topology on R is Hausdorff if and only if ker(X) = 0.

LEMMA 1.1. Let R be a regular ring, and let X, Y be nonempty subsets of P(R). Then the following conditions are equivalent:

(a) The identity map  $(R, Y-\text{topology}) \rightarrow (R, X-\text{topology})$  is (uniformly) continuous.

(b) For each  $P \in X$ , the map  $P: (R, Y\text{-topology}) \rightarrow [0, 1]$  is (uniformly) continuous.

(c) Given  $\varepsilon > 0$  and  $P \in X$ , there exist  $\delta > 0$  and  $N_1, \dots, N_k \in Y$ such that for all  $x \in R$ , max  $\{N_i(x)\} < \delta$  implies  $P(x) < \varepsilon$ .

*Proof.* (a)  $\Leftrightarrow$  (c): It is clear from the definitions that if the identity map  $(R, Y) \rightarrow (R, X)$  is continuous, then (c) holds; and if (c) holds, then the identity map  $(R, Y) \rightarrow (R, X)$  is uniformly continuous.

(b)  $\Leftrightarrow$  (c): If  $P: (R, Y) \rightarrow [0, 1]$  is continuous for all  $P \in X$ , then (c) clearly holds. Conversely, assume (c) and consider any  $P \in X$ . Given  $\varepsilon > 0$ , there exist  $\delta > 0$  and  $N_1, \dots, N_k \in Y$  as in (c). For any  $x, y \in R$ , we see that if max  $\{N_i(x - y)\} < \delta$ , then  $|P(x) - P(y)| \leq P(x - y) < \varepsilon$ , using [19, Corollary, p. 231]. Thus  $P: (R, Y) \rightarrow [0, 1]$ is uniformly continuous.

DEFINITION. Let R be a regular ring, and let  $X, Y \subseteq P(R)$ . We say that X is continuous with respect to Y, denoted  $X \ll Y$ , provided condition (c) of Lemma 1.1 is satisfied. In particular,  $\emptyset \ll Y$  for any Y, whereas  $X \ll \emptyset$  only for  $X = \emptyset$ . In case  $X = \{P\}$ , we write  $P \ll Y$  in place of  $\{P\} \ll Y$ , and similarly when  $Y = \{Q\}$ . Note in general that  $X \ll Y$  if and only if  $P \ll Y$  for all  $P \in X$ . Note also that  $X \ll Y$  implies ker  $(Y) \leq \ker(X)$ .

THEOREM 1.2. Let R be a regular ring, and let X,  $Y \subseteq P(R)$ . Then the following conditions are equivalent:

(a)  $X \ll Y$ .

(b) X is contained in the  $\sigma$ -convex face generated by Y in P(R). (c) X is contained in the  $\sigma$ -convex hull of the face generated by Y in P(R).

*Proof.* (b)  $\Leftrightarrow$  (c) by [7, Theorem 3.9].

(b)  $\Rightarrow$  (a): Given  $P \in X$ , [7, Theorem 3.9] says that  $P \ll Q$  for some Q in the  $\sigma$ -convex hull of Y. There is a  $\sigma$ -convex combination  $Q = \sum \alpha_k Q_k$  with all  $Q_k \in Y$ . Given  $\varepsilon > 0$ , there exists  $\delta > 0$  such that  $Q(x) < \delta$  implies  $P(x) < \varepsilon$ . Choose a positive integer n such that  $\sum_{k=n+1}^{\infty} \alpha_k < \delta/2$ . Then whenever  $x \in R$  and max  $\{Q_1(x), \dots, Q_n(x)\} < \delta/2$ , we have

$$Q(x) = \sum\limits_{k=1}^n lpha_k Q_k(x) + \sum\limits_{k=n+1}^\infty lpha_k Q_k(x) \leqq \sum\limits_{k=1}^n lpha_k(\delta/2) + \sum\limits_{k=n+1}^\infty lpha_k < \delta$$
 ,

whence  $P(x) < \varepsilon$ . Thus  $P \ll Y$ . Since this holds for all  $P \in X$ , we obtain  $X \ll Y$ .

(a)  $\Rightarrow$  (b): Given  $P \in X$ , we have  $P \ll Y$ . Thus there exist real

numbers  $\delta_1, \delta_2, \dots > 0$ , positive integers  $n(1) = 1 < n(2) < \dots$ , and  $Q_1, Q_2, \dots \in Y$  with the following property: whenever  $x \in R$  and  $Q_i(x) < \delta_k$  for  $i = n(k), \dots, n(k+1) - 1$ , then P(x) < 1/k. Now set  $Q = \sum_{i=1}^{\infty} Q_i/2^i$ , which lies in the  $\sigma$ -convex hull of Y. We claim that  $P \ll Q$ .

Given  $\varepsilon > 0$ , choose a positive integer  $k > 1/\varepsilon$ , and set n = n(k+1) - 1,  $\delta = \delta_k/2^n$ . Whenever  $x \in R$  and  $Q(x) < \delta$ , we have

$$Q_i(x) \leqq 2^i Q(x) \leqq 2^n Q(x) < 2^n \delta = \delta_k$$

for  $i = n(k), \dots, n$ , whence  $P(x) < 1/k < \varepsilon$ . Thus  $P \ll Q$ , hence [7, Theorem 3.9] says that P lies in the  $\sigma$ -convex face generated by Q. Therefore P lies in the  $\sigma$ -convex face generated by Y.

COROLLARY 1.3. Let R be a regular ring, let X and Y be nonempty subsets of P(R), and assume that X and Y generate the same  $\sigma$ -convex face in P(R). Then the X-topology and the Y-topology on R are identical. Moreover, Cauchyness and uniform continuity are the same whether considered relative to X or relative to Y.

*Proof.* By Theorem 1.2,  $X \ll Y$  and  $Y \ll X$ , whence Lemma 1.1 shows that the identity map (R, X-topology)  $\mapsto (R, Y$ -topology) is a homeomorphism. Thus the topologies are identical. The equivalence of Cauchyness and uniform continuity relative to X and Y also follows from the relation  $X \ll Y \ll X$ .

DEFINITION. Let R be a regular ring, and let X be a nonempty subset of P(R). The X-completion of R is the completion of Rwith respect to the uniform topology induced by X. By the standard properties of pseudo-rank functions [19, p. 232], the ring operations on R and the maps  $N \in X$  are all uniformly continuous with respect to X. Thus the X-completion  $\overline{R}$  is a ring, the natural map  $R \to \overline{R}$ is a ring map, and each  $N \in X$  extends uniquely to a continuous map  $\overline{N}: \overline{R} \to [0, 1]$ . The pseudo-metrics  $\overline{\delta}_N$  on  $\overline{R}$  which are part of the completion construction are of course induced by the  $\overline{N}$ , i.e.,  $\overline{\delta}_N(x, y) = \overline{N}(x - y)$  for all  $N \in X$  and all  $x, y \in \overline{R}$ .

Because of the continuity of the ring operations, we obtain a slight simplification in the construction of  $\overline{R}$ . Namely, the set C of Cauchy nets in R forms a ring, the subset  $C_0$  of null nets (i.e., nets which converge to zero) forms a two-sided ideal in C, and  $\overline{R} = C/C_0$ . The kernel of the natural map  $\phi: R \to \overline{R}$  is thus the ideal  $\{x \in R \mid N(x) = 0 \text{ for all } N \in X\}$ , i.e., ker  $\phi = \ker(X)$ .

These properties of  $\overline{R}$  are standard consequences of the general theory of completions of uniform spaces. By analogy with the case of a single pseudo-rank function—[11, Theorem 3.7] and [6, Corollary

15]—we should expect  $\overline{R}$  to be a regular self-injective ring, and the maps  $\overline{N}$  should be pseudo-rank functions on  $\overline{R}$ . While these properties do hold, the only one we are able to prove directly is that each  $\overline{N}$  is a pseudo-rank function on  $\overline{R}$ . It is possible to prove self-injectivity in a fairly straightforward manner once it is established that  $\overline{R}$  is regular, but regularity seems impossible to prove directly, mainly because the proofs in the case of a single pseudorank function depend so heavily on the use of sequences that they do not generalize to nets.

DEFINITION. Let R be a regular ring, let X be a nonempty subset of P(R), and let  $\overline{R}$  denote the X-completion of R. Each  $N \in X$ extends uniquely to a continuous map  $\overline{N}: \overline{R} \to [0, 1]$ . For now, we refer to  $\overline{N}$  as the natural extension of N to  $\overline{R}$ . Once we have proved that  $\overline{R}$  is regular and that  $\overline{N}$  is a pseudo-rank function on  $\overline{R}$ , we shall refer to  $\overline{N}$  as the natural extension of N to  $P(\overline{R})$ . For all  $x, y \in R$ , we have  $N(xy) \leq N(x), N(y)$  by definition and  $N(x + y) \leq N(x) + N(y)$  by [19, Corollary, p. 231]. By continuity, we obtain  $\overline{N}(xy) \leq \overline{N}(x), \overline{N}(y)$  and  $\overline{N}(x + y) \leq \overline{N}(x) + \overline{N}(y)$  for all  $x, y \in \overline{R}$ .

LEMMA 1.4. Let R be a regular ring, let X be a nonempty subset of P(R), and let  $\overline{R}$  denote the X-completion of R. Any idempotent  $e \in \overline{R}$  can be obtained as the limit of a net of idempotents from R.

*Proof.* Let  $\phi: R \to \overline{R}$  be the natural map, and for each  $N \in X$  let  $\overline{N}$  denote the natural extension of N to  $\overline{R}$ . Now e has basic open neighborhoods of the form  $B = \{x \in \overline{R} | \overline{N}_i(x-e) < \varepsilon \text{ for } i = 1, \dots, k\}$ , for suitable  $\varepsilon > 0$  and  $N_1, \dots, N_k \in X$ . We must show that for any such B, there exists an idempotent  $f \in R$  with  $\phi f \in B$ .

There exists a net  $\{a_j\} \subseteq R$  such that  $\phi a_j \rightarrow e$ , and of course  $\phi(a_j^2) \rightarrow e^2 = e$  as well. Thus there is some  $a = a_j \in R$  such that  $\bar{N}_i(\phi a - e) < \varepsilon/3$  and  $\bar{N}_i(\phi(a^2) - e) < \varepsilon/3$  for all *i*. Note that

$$N_i(a^2-a)=ar{N}_i(\phi(a^2)-\phi a) \leq ar{N}_i(\phi(a^2)-e)+ar{N}_i(\phi a-e) < 2arepsilon/3$$

for all *i*. According to [11, Lemma 2.3], there exists an idempotent  $f \in R$  such that  $f - a \in aR(a^2 - a)$ . Thus  $N_i(f - a) \leq N_i(a^2 - a) < 2\varepsilon/3$  for all *i*, and consequently

$$ar{N}_i(\phi f-e) \leq ar{N}_i(\phi f-\phi a) + ar{N}_i(\phi a-e) = N_i(f-a) + ar{N}_i(\phi a-e) < arepsilon$$
 for all  $i$ . Therefore  $\phi f \in B$ .

LEMMA 1.5. Let R be a regular ring, let X be a nonempty

subset of P(R), let  $\overline{R}$  denote the X-completion of R, and let  $\phi: R \to \overline{R}$ be the natural map. If e, f are orthogonal idempotents in  $\overline{R}$ , then there exists a net  $\{(e_j, f_j)\} \subseteq R \times R$  such that  $(\phi e_j, \phi f_j) \to (e, f)$  in  $\overline{R} \times \overline{R}$ , and for all j,  $e_j$  and  $f_j$  are orthogonal idempotents.

*Proof.* For each  $N \in X$ , let  $\overline{N}$  denote the natural extension of N to  $\overline{R}$ . In  $\overline{R} \times \overline{R}$ , (e, f) has basic open neighborhoods of the form

$$B=\{(x,\,y)\in ar{R} imesar{R}\,|\,ar{N}_{\imath}(x-e),\,ar{N}_{\imath}(y-f) ,$$

for suitable  $\varepsilon > 0$  and  $N_1, \dots, N_k \in X$ . We must show that for any such B, there exist orthogonal idempotents  $e', f' \in R$  such that  $(\phi e', \phi f') \in B$ .

According to Lemma 1.4, there exist nets  $\{g_j\}, \{h_j\}$  (which we may arrange to be indexed by the same directed set) of idempotents in R such that  $\phi g_j \rightarrow e$  and  $\phi h_j \rightarrow f$ . In addition,  $\phi(g_j h_j) \rightarrow ef = 0$  and  $\phi(h_j g_j) \rightarrow fe = 0$ . Thus there exist idempotents  $g = g_j$  and  $h = h_j$  in R such that  $\bar{N}_i(\phi g - e) < \varepsilon/2$ ,  $\bar{N}_i(\phi h - f) < \varepsilon/2$ ,  $\bar{N}_i(\phi(gh)) < \varepsilon/2$ , and  $\bar{N}_i(\phi(hg)) < \varepsilon/2$  for all *i*. Note that  $N_i(gh), N_i(hg) < \varepsilon/2$  for all *i*. According to [11, Lemma 2.4], there exist orthogonal idempotents  $e', f' \in R$  such that  $e' - g \in ghR$  and  $f' - h \in hgR$ . Thus  $N_i(e' - g) \leq N_i(gh) < \varepsilon/2$  and likewise  $N_i(f' - h) < \varepsilon/2$  for all *i*. Consequently,  $\bar{N}_i(\phi e' - e) \leq N_i(e' - g) + \bar{N}_i(\phi g - e) < \varepsilon$  and likewise  $\bar{N}_i(\phi f' - f) < \varepsilon$  for all *i*. Therefore  $(\phi e', \phi f') \in B$ .

PROPOSITION 1.6. Let R be a regular ring, let X be a nonempty subset of P(R), and let  $\overline{R}$  denote the X-completion of R. For each  $N \in X$ , let  $\overline{N}$  denote the natural extension of N to  $\overline{R}$ . Then  $\overline{N}$  is a pseudo-rank function on  $\overline{R}$ .

**Proof.** Let  $\phi: R \to \overline{R}$  denote the natural map, and note that  $\overline{N}(1) = \overline{N}(\phi(1)) = N(1) = 1$ . We have observed above that  $\overline{N}(xy) \leq \overline{N}(x)$ ,  $\overline{N}(y)$  for all  $x, y \in \overline{R}$ . Now consider any orthogonal idempotents  $e, f \in \overline{R}$ . By Lemma 1.5, there exists a net  $\{(e_j, f_j)\} \subseteq R \times R$  such that  $\phi e_j \to e, \phi f_j \to f$ , and  $e_j, f_j$  are orthogonal idempotents for each j. Observing that  $\overline{N}\phi(e_j+f_j)=\overline{N}\phi(e_j)+\overline{N}\phi(f_j)$  for all j, we conclude that  $\overline{N}(e+f)=\overline{N}(e)+\overline{N}(f)$ . Thus  $\overline{N}$  is a pseudo-rank function on  $\overline{R}$ .

In order to prove that the X-completion  $\overline{R}$  of a regular ring R is a regular self-injective ring, we must use the following circuitous procedure. The first step, which we develop in the next section, is to prove that the lattice of  $\sigma$ -convex faces of P(R) is a complete Boolean algebra. Using this, we reduce the problem to the case when the  $N \in X$  are facially independent. In this case, we prove that  $\overline{R}$  is isomorphic to the direct product of the N-completions of

R, from which the required properties of  $\overline{R}$  are immediate.

PROPOSITION 1.7. Let R be a regular ring, let X and Y be nonempty subsets of P(R), and assume that X and Y generate the same  $\sigma$ -convex face in P(R). Then ker (X) = ker(Y) and the Xcompletion of R coincides with the Y-completion.

**Proof.** By Theorem 1.2,  $X \ll Y$  and  $Y \ll X$ , hence we see that ker (X) = ker(Y). In addition, Corollary 1.3 shows that the X-topology and the Y-topology on R are the same, and that nets in R are Cauchy (null) with respect to X exactly when they are Cauchy (null) with respect to Y. Thus the two completions of R, constructed as the ring of Cauchy nets modulo the ideal of null nets, are identical.

THEOREM 1.8. Let R be a regular ring, let X be a nonempty subset of P(R), and let  $\overline{R}$  denote the X-completion of R. For each  $N \in X$ , let  $\overline{R}_N$  denote the N-completion of R. If X is a facially independent subset of P(R), then  $\overline{R} \cong \prod_{N \in X} \overline{R}_N$ .

*Proof.* For each  $N \in X$ , let  $\phi_N : R \to \overline{R}_N$  be the natural map, and let  $\overline{N}$  be the natural extension of N to  $P(\overline{R}_N)$ . Set  $S = \prod_{N \in X} \overline{R}_N$ , and for each  $N \in X$  let  $p_N$  denote the projection  $S \to \overline{R}_N$ . The maps  $\phi_N$  induce a map  $\phi : R \to S$ , and we note that  $\ker \phi = \bigcap \ker \phi_N =$  $\bigcap \ker (N) = \ker (X)$ .

For each  $N \in X$ , we have a pseudo-rank function  $N^* = \overline{N}p_N$  on S, and we note that  $N^*\phi = \overline{N}p_N\phi = \overline{N}\phi_N = N$ , i.e.,  $N^*$  is an extension of N to P(S). Setting  $X^* = \{N^* | N \in X\}$ , we see also that S is complete with respect to  $X^*$ . Thus to show that  $S \cong \overline{R}$ , it suffices to show that  $\phi(R)$  is dense in S in the  $X^*$ -topology.

Now let  $s \in S$ ,  $\varepsilon > 0$ , and  $N_1, \dots, N_k \in X$ . Set  $N = (N_1 + \dots + N_k)/k$ in P(R). Inasmuch as the  $N_i$  are facially independent, [7, Theorem 4.3] says that the natural map from the N-completion of R into  $T = \overline{R}_{N_1} \times \dots \times \overline{R}_{N_k}$  is an isomorphism. We have a natural map  $\psi: R \to T$  (induced by  $\phi_{N_1}, \dots, \phi_{N_k}$ ), and we have a rank function N'on T defined by the rule  $N'(x_1, \dots, x_k) = [\overline{N}_1(x_1) + \dots + \overline{N}_k(x_k)]/k$ . By virtue of the isomorphism of the N-completion of R onto T, we see that  $\psi(R)$  is dense in T in the N'-metric. Applying this information to the element  $t = (p_{N_1}(s), \dots, p_{N_k}(s))$  in T, there must exist an element  $r \in R$  such that  $N'(\psi(r) - t) < \varepsilon/k$ . Inasmuch as

$$egin{aligned} N'(\psi(r)-t) &= N'(\phi_{{}^{N_1}}(r)-p_{{}^{N_1}}(s),\,\cdots,\,\phi_{{}^{N_k}}(r)-p_{{}^{N_k}}(s)) \ &= [ar{N_1}(\phi_{{}^{N_1}}(r)-p_{{}^{N_1}}(s))+\cdots+ar{N_k}(\phi_{{}^{N_k}}(r)-p_{{}^{N_k}}(s))]/k \ &= [ar{N_1}p_{{}^{N_1}}(\phi(r)-s)+\cdots+ar{N_k}p_{{}^{N_k}}(\phi(r)-s)]/k \ &= [N_1^*(\phi(r)-s)+\cdots+N_k^*(\phi(r)-s)]/k \ , \end{aligned}$$

we conclude that  $N_i^*(\phi(r) - s) < \varepsilon$  for all  $i = 1, \dots, k$ .

Therefore  $\phi(R)$  is dense in S in the X\*-topology, as desired.

2.  $\sigma$ -Convex faces in P(R). We show in this section that for any regular ring R, the lattice of  $\sigma$ -convex faces of P(R) forms a complete Boolean algebra.

LEMMA 2.1. Let R be a regular ring, and let  $\{F_i\}$  be a collection of faces of P(R).

(a) The convex hull of  $\bigcup F_i$  is a face of P(R).

(b) The  $\sigma$ -convex hull of  $\bigcup F_i$  is a  $\sigma$ -convex face of P(R).

(c) If the  $F_i$  are all  $\sigma$ -convex and only finitely many of them are nonempty, then the convex hull of  $\bigcup F_i$  is a  $\sigma$ -convex face of P(R).

*Proof.* (a) Since P(R) is a Choquet simplex by [7, Corollary 3.6], this follows from [2, Proposition 3].

(b) In view of (a), the  $\sigma$ -convex hull of  $\bigcup F_i$  is also the  $\sigma$ -convex hull of a face of P(R). By Theorem 1.2, this is a  $\sigma$ -convex face of P(R).

(c) We may assume that there are only finitely many  $F_i$ , say  $F_1, \dots, F_n$ . Let F denote the convex hull of  $\bigcup F_i$ , which is a face of P(R) by (a).

Now consider any  $\sigma$ -convex combination  $N = \sum \alpha_k P_k$  where all  $P_k \in F$ . For each k, there is a convex combination  $P_k = \beta_{k1}P_{k1} + \cdots + \beta_{kn}P_{kn}$ with each  $P_{ki} \in F_i$ . Set  $\gamma_i = \sum_k \alpha_k \beta_{ki} \ge 0$  for each  $i = 1, \dots, n$ , and note that  $\gamma_1 + \cdots + \gamma_n = 1$ . After renumbering, we may assume that  $\gamma_1, \dots, \gamma_r > 0$  and  $\gamma_{r+1}, \dots, \gamma_n = 0$ , for some  $1 < r \le n$ . For each  $i = 1, \dots, r$ , set  $N_i = \sum_k (\alpha_k \beta_{ki} / \gamma_i) P_{ki}$ , which lies in  $F_i$  because  $F_i$  is  $\sigma$ -convex. Then  $N = \gamma_1 N_1 + \cdots + \gamma_r N_r$  is a convex combination of the  $N_i$ , whence  $N \in F$ .

Therefore F is  $\sigma$ -convex.

DEFINITION. As in [7, 8], we use B(R) to denote the Boolean algebra of central idempotents in a ring R. In case R is regular and right (or left) self-injective, B(R) is complete [8, Proposition 4.1]: for  $\{e_i\} \subseteq B(R)$ ,  $\bigwedge e_i$  is the central idempotent which generates the ideal  $\bigcap e_i R$ .

LEMMA 2.2. Let R be a regular ring, let  $N \in P(R)$ , and let  $E \subseteq B(R)$ . If  $e_0R \cap \ker(N) = 0$  for some  $e_0 \in E$ , then there exists a countable sequence  $\{e_1, e_2, \cdots\} \subseteq E$  such that  $\bigcap_{e \in E} eR = \bigcap_{n=1}^{\infty} e_nR$ .

*Proof.* Replacing E by  $\{e_0e | e \in E\}$ , we may assume that  $eR \cap$ 

ker (N) = 0 for all  $e \in E$ . Thus we may transfer the problem to  $R/\ker(N)$ , i.e., we may assume, without loss of generality, that ker (N) = 0. Now N is a rank function on R, from which it follows that R does not contain any uncountable direct sums of nonzero right ideals.

Set  $F = \{1 - e | e \in E\}$  and  $X = \{xR | x \in \bigcup_{f \in F} fR\}$ . Given any nonzero  $y \in FR = \sum_{f \in F} fR$ , we must have  $yf \neq 0$  for some  $f \in F$ , whence yfR is a nonzero member of X which is contained in yR. Thus every nonzero submodule of  $(FR)_R$  contains a nonzero member of X, hence  $(FR)_R$  must have an essential submodule which is a direct sum of members of X. Inasmuch as R contains no uncountable direct sums of nonzero right ideals, this direct sum must be countable, hence we obtain an independent sequence  $\{x_1R, x_2R, \dots\} \subseteq X$ such that  $\bigoplus x_nR$  is an essential submodule of  $(FR)_R$ .

Since R is a right nonsingular ring, the left annihilator of  $\bigoplus x_n R$  must coincide with the left annihilator of FR. For each n,  $x_n R \leq (1 - e_n)R$  for some  $e_n \in E$ . Consequently, we see that  $\bigcap_{n=1}^{\infty} e_n R$  is contained in the left annihilator of FR, i.e.,  $\bigcap_{n=1}^{\infty} e_n R \subseteq \bigcap_{e \in E} eR$ . The opposite inclusion is automatic.

PROPOSITION 2.3. Let R be a regular ring, let  $N \in P(R)$ , and let  $\overline{R}$  denote the N-completion of R. Let  $X, Y \subseteq P(R)$  such that  $X, Y \ll N$ , and for each  $P \in X \cup Y$  let  $\overline{P}$  be the continuous extension of P to  $P(\overline{R})$ . Set  $\overline{X} = {\overline{P} | P \in X}$  and  $\overline{Y} = {\overline{P} | P \in Y}$ . Then the following conditions are equivalent:

- (a)  $X \ll Y$ .
- (b)  $\bar{X} \ll \bar{Y}$ .
- (c)  $\ker(\bar{Y}) \leq \ker(\bar{X})$ .

*Proof.* Let  $\phi$  denote the natural map  $R \to \overline{R}$ , and let  $\overline{N}$  denote the natural extension of N to  $P(\overline{R})$ .

(a)  $\Rightarrow$  (b): Given  $P \in X$  and  $\varepsilon > 0$ , there exist  $\delta > 0$  and  $Q_1, \dots, Q_k \in Y$  such that for all  $y \in R$ , max  $\{Q_i(y)\} < \delta$  implies  $P(y) < \varepsilon/2$ . Since  $\overline{P}, \overline{Q}_1, \dots, \overline{Q}_k \ll \overline{N}$ , there also exists  $\delta' > 0$  such that for all  $z \in \overline{R}, \ \overline{N}(z) < \delta'$  implies both  $\overline{P}(z) < \varepsilon/2$  and max  $\{\overline{Q}_i(z)\} < \delta/2$ .

Now consider any  $x \in \overline{R}$  for which  $\max \{\overline{Q}_i(x)\} < \delta/2$ . There is some  $y \in R$  for which  $\overline{N}(\phi y - x) < \delta'$ , whence  $\overline{P}(\phi y - x) < \varepsilon/2$  and  $\max \{\overline{Q}_i(\phi y - x)\} < \delta/2$ . Then  $Q_i(y) = \overline{Q}_i(\phi y) \leq \overline{Q}_i(\phi y - x) + \overline{Q}_i(x) < \delta$ for all  $i = 1, \dots, k$ , whence  $P(y) < \varepsilon/2$  and so  $\overline{P}(x) \leq \overline{P}(x - \phi y) + \overline{P}(\phi y) = \overline{P}(\phi y - x) + P(y) < \varepsilon$ . Thus for all  $x \in \overline{R}$ ,  $\max \{\overline{Q}_i(x)\} < \delta/2$ implies  $\overline{P}(x) < \varepsilon$ .

 $(b) \Rightarrow (a)$  and  $(b) \Rightarrow (c)$  are clear.

(c)  $\Rightarrow$  (b): According to [7, Lemma 3.7], each ker  $(\bar{Q})$  (for  $Q \in Y$ ) is generated by a central idempotent. Since we have a rank func-

tion  $\overline{N}$  on  $\overline{R}$ , we see from Lemma 2.2 that there exists a countable sequence  $\{Q_1, Q_2, \dots\} \subseteq Y$  such that ker  $(\overline{Y}) = \bigcap_{n=1}^{\infty} \ker(\overline{Q}_n)$ . Set  $\overline{Q} = \sum_{n=1}^{\infty} \overline{Q}_n/2^n$ , which lies in the  $\sigma$ -convex hull of  $\overline{Y}$ , and note from Theorem 1.2 that  $\overline{Q} \ll \overline{Y}$ . Inasmuch as each  $\overline{Q}_n \ll \overline{N}$ , we also see from Theorem 1.2 that  $\overline{Q} \ll \overline{N}$ .

Now ker  $(\bar{Q}) = \bigcap_{n=1}^{\infty} \ker(\bar{Q}_n) = \ker(\bar{Y}) \leq \ker(\bar{X})$ , hence ker  $(\bar{Q}) \leq \ker(\bar{P})$  for all  $P \in X$ . According to [7, Proposition 3.8],  $\bar{X}$  is contained in the  $\sigma$ -convex hull of the face generated by  $\bar{Q}$ . Therefore  $\bar{X} \ll \bar{Q}$  by Theorem 1.2, whence  $\bar{X} \ll \bar{Y}$ .

LEMMA 2.4. Let R be a regular ring, and let  $F \subseteq G$  be  $\sigma$ convex faces of P(R). If  $F \neq G$ , then there exists  $Q \in G$  such that
the  $\sigma$ -convex face generated by Q is disjoint from F.

*Proof.* Choose some  $N \in G - F$ , and let H be the intersection of F with the  $\sigma$ -convex face generated by N. We are done if H is empty, hence we may assume that H is nonempty. Let  $\overline{R}$  denote the N-completion of R, and let  $\overline{N}$  denote the natural extension of N to  $P(\overline{R})$ . By Theorem 1.2,  $H \ll N$ , hence each  $P \in H$  extends continuously to some  $\overline{P} \in P(\overline{R})$ . Set  $\overline{H} = \{\overline{P} | P \in H\}$ .

Inasmuch as N does not lie in the  $\sigma$ -convex face H, we see from Theorem 1.2 that N is not continuous with respect to H. According to Proposition 2.3, it follows that ker  $(\bar{H}) \leq \text{ker}(\bar{N})$ , whence ker  $(\bar{H}) \neq$ 0. Using [7, Lemma 3.7], we thus obtain a nonzero central idempotent  $e \in B(\bar{R})$  such that  $e\bar{R} = \text{ker}(\bar{H})$ .

Since  $e \neq 0$ ,  $\overline{N}(e) \neq 0$ , hence we can define a pseudo-rank function  $\overline{Q} \in P(\overline{R})$  by the rule  $\overline{Q}(x) = \overline{N}(ex)/\overline{N}(e)$ . Pulling  $\overline{Q}$  back to  $Q \in P(R)$ , we have  $Q \leq [1/\overline{N}(e)]N$ , whence Q lies in the face generated by N [7, Corollary 3.3]. Thus  $Q \in G$ .

Now consider any P in the  $\sigma$ -convex face generated by Q, and note that P also lies in the  $\sigma$ -convex face generated by N. By Theorem 1.2,  $P \ll Q$ , N, hence P extends continuously to some  $\overline{P} \in$  $P(\overline{R})$ . According to Proposition 2.3,  $(1 - e)\overline{R} = \ker(\overline{Q}) \leq \ker(\overline{P})$ , hence  $\overline{P}(e) = 1$ . Since  $e\overline{R} = \ker(\overline{H})$ , we conclude that  $P \notin H$  and so  $P \notin F$ .

Therefore the  $\sigma$ -convex face generated by Q is disjoint from F.

LEMMA 2.5. Let R be a regular ring, let F, G be faces in P(R), and let  $F_1$ ,  $G_1$  be the  $\sigma$ -convex hulls of F, G. If F and G are disjoint, then  $F_1$  and  $G_1$  are disjoint.

*Proof.* Suppose there exists  $N \in F_1 \cap G_1$ . Then there is a  $\sigma$ -convex combination  $N = \sum \alpha_k P_k$  with all  $P_k \in F$ . By renumbering, we may assume that  $\alpha_1 > 0$ . If  $\alpha_1 = 1$ , then  $P_1 = N \in G_1$ . If  $\alpha_1 < 1$ ,

then

$$lpha_{_{1}}P_{_{1}}+(1-lpha_{_{1}})\sum\limits_{_{k=2}}^{\infty}lpha_{_{k}}P_{_{k}}/(1-lpha_{_{1}})=\sum\limits_{_{k=1}}^{\infty}lpha_{_{k}}P_{_{k}}=N\!\in\!G_{_{1}}$$
 .

Since  $G_1$  is a face of P(R) by Theorem 1.2,  $P_1 \in G_1$  in this case also, hence we obtain a  $\sigma$ -convex combination  $P_1 = \sum \beta_k Q_k$  with all  $Q_k \in G$ . Again, we may assume that  $\beta_1 > 0$ . Since  $\sum \beta_k Q_k = P_1$  lies in the face F, we conclude as above that  $Q_1 \in F$ . But then  $Q_1 \in F \cap G$ , which is impossible.

THEOREM 2.6. Let R be a regular ring, and let  $\mathscr{F}$  denote the lattice of  $\sigma$ -convex faces of P(R). Then  $\mathscr{F}$  is a complete Boolean algebra. For  $\{F_i\} \subseteq \mathscr{F}, \ \land F_i = \cap F_i \text{ and } \lor F_i \text{ is the } \sigma$ -convex hull of  $\cup F_i$ . For  $F, G \in \mathscr{F}, F \lor G$  is the convex hull of  $F \cup G$ .

*Proof.* It is clear that  $\mathscr{F}$  is a complete lattice in which arbitrary infima are given by intersections. For  $\{F_i\} \subseteq \mathscr{F}$ , Lemma 2.1 shows that the  $\sigma$ -convex hull of  $\cup F_i$  belongs to  $\mathscr{F}$ , whence the  $\sigma$ -convex hull of  $\cup F_i$  equals  $\vee F_i$ . For  $F, G \in \mathscr{F}$ , Lemma 2.1 shows that the convex hull of  $F \cup G$  belongs to  $\mathscr{F}$ , whence the convex hull of  $F \cup G$  belongs to  $\mathscr{F}$ , whence the convex hull of  $F \cup G$  equals  $F \vee G$ .

Given  $F, G, H \in \mathscr{F}$ , we automatically have  $(F \land G) \lor (F \land H) \subseteq F \land (G \lor H)$ . Now consider any  $N \in F \land (G \lor H)$ . Since  $N \in G \lor H$ , we obtain a convex combination  $N = \alpha P + (1 - \alpha)Q$  with  $P \in G$ ,  $Q \in H$ . If  $\alpha = 0$ , then  $N = Q \in F \land H$ , while if  $\alpha = 1$ , then  $N = P \in F \land G$ . If  $0 < \alpha < 1$ , then since N lies in the face F, we obtain P,  $Q \in F$ , and consequently  $P \in F \land G$ ,  $Q \in F \land H$ . Thus  $N \in (F \land G) \lor (F \land H)$  in any case, whence  $F \land (G \lor H) = (F \land G) \lor (F \land H)$ . Therefore  $\mathscr{F}$  is a distributive lattice.

Now let  $F \in \mathscr{F}$ , and let X denote the set of those  $G \in \mathscr{F}$  which are disjoint from F. Given any nonempty chain  $\{G_i\} \subseteq X$ , we see that  $\cup G_i$  is a face of P(R) which is disjoint from F. According to Lemma 2.5, the  $\sigma$ -convex hull of  $\cup G_i$  is also disjoint from F. Thus  $\vee G_i \in X$ , which provides the chain  $\{G_i\}$  with an upper bound in X. Now Zorn's Lemma gives us a maximal element  $G \in X$ .

If  $F \lor G \neq P(R)$ , then by Lemma 2.4 there is a nonempty  $H \in \mathscr{F}$ which is disjoint from  $F \lor G$ . In particular, H is disjoint from both F and G. Inasmuch as  $\mathscr{F}$  is distributive, we obtain  $F \land (G \lor H) =$  $(F \land G) \lor (F \land H) = \emptyset$  and so  $G \lor H \in X$ , which contradicts the maximality of G. Thus  $F \lor G = P(R)$ , whence G is a complement for F in  $\mathscr{F}$ .

Therefore  $\mathscr{F}$  is a complete, complemented, distributive lattice, i.e., a complete Boolean algebra.

COROLLARY 2.7. Let R be a regular ring, and let  $X \subseteq P(R)$ .

Then there exists a facially independent set  $Y \subseteq P(R)$  such that Yand X generate the same  $\sigma$ -convex face in P(R). In particular, any  $\sigma$ -convex face in P(R) can be generated by a facially independent subset of P(R).

*Proof.* If X is empty, then X itself is facially independent, hence we may assume that X is nonempty. Let  $\mathscr{F}$  denote the lattice of  $\sigma$ -convex faces of P(R), which is a complete Boolean algebra by Theorem 2.6. For each  $P \in P(R)$ , let F(P) denote the  $\sigma$ convex face generated by P in P(R), and set  $\mathscr{F}_0 = \{F(P) | P \in P(R)\}$ .

Note that every nonempty face in  $\mathscr{F}$  contains a (nonempty) face from  $\mathscr{F}_0$ . Since  $\mathscr{F}$  is a complete Boolean algebra, we may thus express F as the supremum of some family  $\{F(P_i)\}$  of pairwise disjoint members of  $\mathscr{F}_0$ . Since the  $F(P_i)$  are pairwise disjoint, the set  $Y = \{P_i\}$ is facially independent. Since each  $P_i$  generates  $F(P_i)$ , we see that Y generates  $\lor F(P_i) = F$ . Thus Y and X generate the same  $\sigma$ -convex face in P(R).

The results of Theorem 2.6 and Corollary 2.7 do not hold in general for non- $\sigma$ -convex faces. That is, the lattice of faces of P(R) may not be a complete Boolean algebra (although it must be a complete distributive lattice), and there may exist faces in P(R)which cannot be generated by facially independent sets. In fact, in the following example we construct a regular ring R such that P(R) cannot be generated (as a face) by facially independent pseudorank functions.

Let K be a field, let  $K_1, K_2, \cdots$  be copies of K, and let R be the K-subalgebra of  $\prod K_n$  generated by 1 and  $J = \bigoplus K_n$ . Note that R is regular and that  $R/J \cong K$ .

Since  $R/J \cong K$ , there exists a unique  $P_0 \in P(R)$  such that ker  $(P_0) = J$ . For  $n = 1, 2, \dots$ , let  $e_n$  denote the identity of  $K_n$ . Since  $R/(1 - e_n)R \cong K$ , there exists a unique  $P_n \in P(R)$  such that ker  $(P_n) = (1 - e_n)R$ . Given any  $P \in P(R)$  and  $n = 1, 2, \dots$ , we claim that  $P(e_n x) = P(e_n)P_n(x)$  for all  $x \in R$ , which is clear if  $P(e_n) = 0$ . If  $P(e_n) \neq 0$ , then the rule  $Q(x) = P(e_n x)/P(e_n)$  defines  $Q \in P(R)$  such that ker  $(Q) = (1 - e_n)R$ . In this case, we obtain  $Q = P_n$  by uniqueness of  $P_n$ , from which the claim follows.

We now claim that every  $P \in P(R)$  is a  $\sigma$ -convex combination of  $P_0, P_1, P_2, \cdots$ . More specifically, we claim that

$$P = [1 - \sum_{n=1}^{\infty} P(e_n)]P_0 + \sum_{n=1}^{\infty} P(e_n)P_n$$
.

If  $\sum P(e_n) = 0$ , then P(J) = 0, whence  $P = P_0$  by uniqueness and the claim holds. Now assume that  $\sum P(e_n) = \gamma > 0$ , and set Q =

 $\sum_{n=1}^{\infty} [P(e_n)/\gamma] P_n \text{ in } P(R). \quad \text{Given } x \in R \text{ and } n = 1, 2, \dots, \text{ we have } (e_1 x + \dots + e_n x) R \leq xR \text{ and so } P(e_1 x) + \dots + P(e_n x) \leq P(x), \text{ whence } n \in \mathbb{R}$ 

$$\gamma Q(x) = \sum\limits_{n=1}^{\infty} P(e_n) P_n(x) = \sum\limits_{n=1}^{\infty} P(e_n x) \leqq P(x)$$
 ,

using the claim above. As a result,  $\gamma Q \leq P$ , hence [7, Proposition 3.2] says that  $P - \gamma Q = \beta Q'$  for some  $\beta \geq 0$  and some  $Q' \in P(R)$ . Note that  $\beta = 1 - \gamma = 1 - \sum_{n=1}^{\infty} P(e_n)$ . If  $\beta = 0$ , then  $\gamma = 1$  and  $P = Q = \sum_{n=1}^{\infty} P(e_n)P_n$ . If  $\beta > 0$ , then  $Q'(J) = \beta^{-1}(P - \gamma Q)(J) = 0$ , whence  $Q' = P_0$  (by uniqueness) and so

$$P = \gamma Q + \beta P_{\scriptscriptstyle 0} = \beta P_{\scriptscriptstyle 0} + \sum_{n=1}^{\infty} P(e_n) P_n$$

as required.

Since every  $P \in P(R)$  is a  $\sigma$ -convex combination of the  $P_n$ , every nonempty face of P(R) must contain at least one  $P_n$ . As a result, any collection of nonempty pairwise disjoint faces of P(R) must be countable, whence every facially independent subset of P(R) must be countable.

Now consider any facially independent subset  $X \subseteq P(R)$ . We claim that the face F generated by X is not equal to P(R). Write  $X = \{Q_1, Q_2, \cdots\}$ , repeating some  $Q_i$  if necessary in order to get an infinite sequence. For each  $i = 1, 2, \cdots$ , there is a  $\sigma$ -convex combination  $Q_i = \sum_{n=0}^{\infty} \alpha_{in} P_n$ . Inasmuch as  $\lim_{n\to\infty} \alpha_{in} = 0$  for each i, we can find positive integers  $n(1) < n(2) < \cdots$  such that for all  $k = 1, 2, \cdots, \alpha_{1,n(k)}, \alpha_{2,n(k)}, \cdots, \alpha_{k,n(k)} < 1/2^{2k}$ . Define  $\beta_1, \beta_2, \cdots$  by setting  $\beta_{n(k)} = 1/2^k$  for all k and all other  $\beta_n = 0$ , and set  $Q = \sum_{n=1}^{\infty} \beta_n P_n$  in P(R). We shall prove that  $Q \notin F$ .

If  $Q \in F$ , then by [1, (1.9)] there are convex combinations  $\alpha Q + (1-\alpha)Q' = \alpha_1Q_1 + \cdots + \alpha_tQ_t$  for some  $0 < \alpha < 1$ , some  $Q' \in P(R)$ , and some t. Now choose a positive integer  $k \ge t$  such that  $2^k > \alpha^{-1}$ . Then

$$eta_{{}_n(k)}=1/2^k=2^k/2^{2k}>lpha^{{}_{-1}}lpha_{{}_{i,\,n(k)}}$$

for  $i = 1, \dots, k$ , whence

$$\begin{split} Q(e_{n(k)}) &= \beta_{n(k)} = (\alpha_1 + \cdots + \alpha_t) \beta_{n(k)} \\ &> \alpha^{-1} (\alpha_1 \alpha_{1,n(k)} + \cdots + \alpha_t \alpha_{t,n(k)}) \\ &= \alpha^{-1} [\alpha_1 Q_1(e_{n(k)}) + \cdots + \alpha_t Q_t(e_{n(k)})] \\ &= \alpha^{-1} [\alpha Q(e_{n(k)}) + (1 - \alpha) Q'(e_{n(k)})] \geqq Q(e_{n(k)}) , \end{split}$$

which is impossible. Thus  $Q \notin F$ , hence  $F \neq P(R)$ .

Thus the faces generated by facially independent subsets of P(R) are all proper, so that P(R) cannot be generated (as a face) by facially independent pseudo-rank functions.

Also, if X is a maximal facially independent subset of P(R), then X generates a face F which is proper, yet F intersects every nonempty face of P(R). Thus F has no complement in the lattice of faces of P(R), hence the lattice of faces of P(R) is not a Boolean algebra.

### 3. Structure of completions.

THEOREM 3.1. Let R be a regular ring, let X be a nonempty subset of P(R), and let  $\overline{R}$  denote the X-completion of R. For each  $N \in X$ , let  $\overline{N}$  denote the natural extension of N to  $\overline{R}$ .

(a)  $\overline{R}$  is a regular, right and left self-injective ring.

(b) For each  $N \in X$ ,  $\overline{N}$  is a pseudo-rank function on  $\overline{R}$ .

(c) If  $\overline{X} = \{\overline{N} | N \in X\}$ , then ker  $(\overline{X}) = 0$  and  $\overline{R}$  is complete with respect to  $\overline{X}$ .

**Proof.** (a) According to Corollary 2.7, there exists a facially independent set  $Y \subseteq P(R)$  such that Y and X generate the same  $\sigma$ -convex face in P(R). Then Proposition 1.7 shows that  $\overline{R}$  coincides with the Y-completion of R. For each  $N \in Y$ , let  $\overline{R}_N$  denote the N-completion of R, which by [11, Theorem 3.7] and [6, Corollary 15] is a regular, right and left self-injective ring. According to Theorem 1.8,  $\overline{R} \cong \prod_{N \in Y} \overline{R}_N$ , whence  $\overline{R}$  is regular and right and left self-injective.

- (b) Proposition 1.6.
- (c) is clear from the completion process.

Our major tool for investigating the structure of an X-completion  $\overline{R}$  is Theorem 3.7, which provides a complete description of the Boolean algebra  $B(\overline{R})$  of central idempotents of  $\overline{R}$ . In order to prove this theorem, we first require generalizations of several of the results of [7].

DEFINITION. Let  $\{e_i | i \in I\}$  be a nonempty family of pairwise orthogonal idempotents in a ring R. There is a standard net of idempotents in R formed from  $\{e_i\}$  as follows. For index set, we take the family  $\mathscr{F}$  of all nonempty finite subsets of I, ordered by inclusion. For each  $F \in \mathscr{F}$ , we write  $e_F = \sum_{i \in F} e_i$ , thus obtaining a net  $\{e_F\}$  of idempotents indexed by the directed set  $\mathscr{F}$ . We abbreviate this net as  $\sum e_i$ , and refer to it as the net of partial sums of the  $e_i$ .

LEMMA 3.2. Let R be a regular ring, let X be a nonempty subset of P(R) such that ker (X) = 0, and assume that R is complete with respect to X. Let J be a right ideal of R which is closed in the X-topology, and let  $\{e_i\}$  be a nonempty family of orthogonal idempotents in J.

(a)  $\sum e_i$  converges to an idempotent  $e \in J$ .

(b) If  $\bigoplus e_i R$  is essential in J, then eR = J. If, in addition, J is a two-sided ideal, then e is central in R.

(c) J is generated by an idempotent. If J is a two-sided ideal, then J is generated by a central idempotent.

*Proof.* (a) Let I be the index set for the  $e_i$ , let  $\mathscr{F}$  be the family of all nonempty finite subsets of I, and set  $e_F = \sum_{i \in F} e_i$  for all  $F \in \mathscr{F}$ . We claim that the net  $\sum e_i = \{e_F\}$  is Cauchy with respect to any  $N \in X$ .

Whenever  $F \subseteq G$  in  $\mathscr{F}$ , we have  $e_F = e_F e_G$  and so  $N(e_F) \leq N(e_G) \leq 1$ . Thus the net  $\{N(e_F)\}$  of real numbers is increasing and bounded above, hence it must converge. As a result, given any  $\varepsilon > 0$  there must exist  $F \in \mathscr{F}$  such that  $|N(e_G) - N(e_H)| < \varepsilon/2$  whenever  $G, H \supseteq F$ in  $\mathscr{F}$ . In particular, when  $G \supseteq F$  we see that  $e_F$  and  $e_G - e_F$  are orthogonal idempotents, whence  $N(e_G - e_F) = N(e_G) - N(e_F) < \varepsilon/2$ . Consequently,  $N(e_G - e_H) < \varepsilon$  whenever  $G, H \supseteq F$  in  $\mathscr{F}$ . Thus the net  $\sum e_i$  is indeed Cauchy with respect to N.

By completeness,  $\sum e_i$  converges to some  $e \in R$ , and of course e is an idempotent. Since each  $e_F$  lies in the closed set J, we also have  $e \in J$ .

(b) Given any  $i \in I$ , we have  $e_F e_i = e_i$  for all  $F \supseteq \{i\}$  in  $\mathscr{F}$ , whence  $ee_i = e_i$ . Thus  $\bigoplus e_i R \leq eR \leq J$ . Since  $\bigoplus e_i R$  is essential in J, it follows that eR is essential in J, from which we infer that eR = J.

If J is two-sided, then eR is a two-sided ideal in a semiprime ring, whence e must be central.

(c) Choose a maximal independent family  $\{x_jR\}$  of principal right ideals contained in J, so that  $\bigoplus x_jR$  is essential in J. Also, choose a right ideal K such that  $J \bigoplus K$  is essential in  $R_R$ , whence  $(\bigoplus x_jR) \bigoplus K$ is essential as well. Inasmuch as R is regular and right self-injective by Theorem 3.1, we see that for each k,

$$R_{\scriptscriptstyle R} = E((\bigoplus x_j R) \bigoplus K) = x_k R \bigoplus E((\bigoplus_{j \neq k} x_j R) \bigoplus K)$$
.

As a result, there exists an idempotent  $f_k \in R$  such that  $f_k R = x_k R$ and  $f_k x_j = 0$  for all  $j \neq k$ . Thus we obtain orthogonal idempotents  $f_j$  such that  $\bigoplus f_j R = \bigoplus x_j R$  is essential in J.

According to (a) and (b),  $\sum f_j$  converges to an idempotent f such that fR = J, and if J is two-sided, then f is central.

LEMMA 3.3. Let R be a regular ring, let X be a nonempty

subset of P(R), and let  $\overline{R}$  denote the X-completion of R. If  $P \in P(R)$  and  $P \ll X$ , then P extends (uniquely) to a continuous  $\overline{P} \in P(\overline{R})$ . In addition, ker  $(\overline{P})$  is generated by a central idempotent in  $\overline{R}$ .

**Proof.** By continuity, P extends uniquely to a continuous map  $\overline{P}: \overline{R} \to [0, 1]$ . Exactly as in Proposition 1.6, we infer that  $\overline{P} \in P(\overline{R})$ . Now ker  $(\overline{P})$  is a two-sided ideal of  $\overline{R}$  which is topologically closed, hence Lemma 3.2 says that ker  $(\overline{P})$  is generated by a central idempotent.

LEMMA 3.4. Let R be a regular ring, let X be a nonempty subset of P(R) such that ker (X) = 0, and assume that R is complete with respect to X. Let  $P \in P(R)$  such that  $P \ll X$ .

(a) If  $x, x_1, x_2, \dots \in R$  such that  $x_1R \leq x_2R \leq \dots$  and  $\bigcup x_nR$  is essential in xR, then  $P(x) = \sup P(x_n)$ .

(b) If  $y, y_1, y_2, \dots \in R$  such that  $y_1R \ge y_2R \ge \dots$  and  $\cap y_nR = yR$ , then  $P(y) = \inf P(y_n)$ .

*Proof.* (a) Proceeding as in [6, Lemma 12], we construct orthogonal idempotents  $e_1, e_2, \dots \in R$  such that  $e_1R \bigoplus \dots \bigoplus e_nR = x_nR$  for all n. Each  $e_n \in xR$ , and xR is closed in the X-topology (because it is an annihilator). Thus by Lemma 3.2,  $\sum e_n$  converges to an idempotent  $e \in R$  such that eR = xR. Since P is continuous, we thus obtain

$$P(x) = P(e) = \sum P(e_n) = \sup \{P(e_1) + \cdots + P(e_n)\} = \sup P(x_n)$$
.

(b) Choose idempotents  $e_1, e_2, \dots \in R$  such that  $(1 - e_n)R = y_n R$ for all n, and note that  $Re_1 \leq Re_2 \leq \dots$ . Since R is left self-injective by Theorem 3.1, some left ideal of R is an injective hull for  $\cup Re_n$ . Thus there is an idempotent  $e \in R$  such that  $\cup Re_n$  is essential in Re. Observing that Re and  $\cup Re_n$  have the same right annihilator, we see that (1 - e)R = yR. According to (a), 1 - P(y) = $P(e) = \sup P(e_n) = \sup \{1 - P(y_n)\}$ , whence  $P(y) = \inf P(y_n)$ .

PROPOSITION 3.5. Let R be a regular ring, let X be a nonempty subset of P(R) such that ker (X) = 0, and assume that R is complete with respect to X. Let P,  $Q \in P(R)$  such that P,  $Q \ll X$ . If ker  $(Q) \leq ker(P)$ , then  $P \ll Q$ .

*Proof.* If not, then there exist  $\varepsilon > 0$  and  $x_1, x_2, \dots \in R$  such that for all n,  $Q(x_n) < 1/2^n$  but  $P(x_n) \ge \varepsilon$ . Set  $y_{kn}R = x_kR + \dots + x_nR$ for all  $n \ge k$ . Since R is right self-injective by Theorem 3.1, there exist elements  $z_1, z_2, \dots \in R$  such that  $\bigcup_{n=k}^{\infty} y_{kn}R$  is essential in  $z_kR$ for all k, and there exists  $z \in R$  such that  $\bigcap_{k=1}^{\infty} z_kR = zR$ . Using Lemma 3.4, we obtain

$$egin{aligned} Q(z) &\leq Q(z_k) = \sup \left\{ Q(y_{kk}), \, Q(y_{k,k+1}), \, \cdots 
ight\} \ &\leq \sup \left\{ Q(x_k) + \, \cdots \, + \, Q(x_n) 
ight\} = \sum_{n=k}^\infty Q(x_n) < \sum_{n=k}^\infty 1/2^n = 1/2^{k-1} \end{aligned}$$

for all  $k = 1, 2, \cdots$ . Thus Q(z) = 0, whence P(z) = 0. However,  $z_k R \ge y_{kk} R = x_k R$  for all k and so  $P(z_k) \ge P(x_k) \ge \varepsilon$  for all k, hence Lemma 3.4 says that  $P(z) = \inf P(z_k) \ge \varepsilon$ , which is a contradiction. Therefore  $P \ll Q$ .

COROLLARY 3.6. Let R be a regular ring, let X be a nonempty subset of P(R), and let  $\overline{R}$  denote the X-completion of R. Let  $Y, W \subseteq$ P(R) such that  $Y, W \ll X$ , and for each  $P \in Y \cup W$  let  $\overline{P}$  be the continuous extension of P to  $P(\overline{R})$ . Set  $\overline{Y} = \{\overline{P} | P \in Y\}$  and  $\overline{W} =$  $\{\overline{P} | P \in W\}$ . Then the following conditions are equivalent:

- (a)  $Y \ll W$ .
- (b)  $\bar{Y} \ll \bar{W}$ .
- (c)  $\ker(\bar{W}) \leq \ker(\bar{Y})$ .

*Proof.* Let  $\phi: R \to \overline{R}$  be the natural map. For each  $N \in X$ , let  $\overline{N}$  denote the natural extension of N to  $P(\overline{R})$ , and set  $\overline{X} = \{\overline{N} | N \in X\}$ 

(a)  $\Rightarrow$  (b): Given  $P \in Y$  and  $\varepsilon > 0$ , there exist  $\delta > 0$  and  $Q_1, \dots, Q_k \in W$  such that for all  $y \in R$ , max  $\{Q_i(y)\} < \delta$  implies  $P(y) < \varepsilon/2$ . Since  $\overline{P}, \overline{Q}_1, \dots, \overline{Q}_k \ll \overline{X}$ , there also exist  $\delta' > 0$  and  $N_1, \dots, N_s \in X$  such that for all  $z \in \overline{R}$ , max  $\{\overline{N}_j(z)\} < \delta'$  implies both  $\overline{P}(z) < \varepsilon/2$  and max  $\{\overline{Q}_i(z)\} < \delta/2$ .

Now consider any  $x \in \overline{R}$  for which  $\max \{\overline{Q}_i(x)\} < \delta/2$ . There is some  $y \in R$  for which  $\max \{\overline{N}_j(\phi y - x)\} < \delta'$ , whence  $\overline{P}(\phi y - x) < \varepsilon/2$ and  $\max \{\overline{Q}_i(\phi y - x)\} < \delta/2$ . Then  $\overline{Q}_i(y) = \overline{Q}_i(\phi y) \leq \overline{Q}_i(\phi y - x) + \overline{Q}_i(x) < \delta$ for all  $i = 1, \dots, k$ , whence  $P(y) < \varepsilon/2$  and so  $\overline{P}(x) \leq \overline{P}(x - \phi y) + \overline{P}(\phi y) = \overline{P}(\phi y - x) + P(y) < \varepsilon$ . Thus for all  $x \in \overline{R}$ ,  $\max \{\overline{Q}_i(x)\} < \delta/2$ implies  $\overline{P}(x) < \varepsilon$ .

 $(b) \Rightarrow (a)$  and  $(b) \Rightarrow (c)$  are clear.

(c)  $\Rightarrow$  (b): Given any  $P \in Y$ , Lemma 3.3 gives us a central idempotent  $e \in \overline{R}$  such that  $(1 - e)\overline{R} = \ker(\overline{P})$ . Since  $\ker(\overline{W}) \leq \ker(\overline{P})$ , we thus obtain  $\bigcap_{Q \in W} e[\ker(\overline{Q})] = 0$ . Lemma 3.3 also shows that each of the ideals  $e[\ker(\overline{Q})]$  is generated by a central idempotent, hence Lemma 2.2 says that there exists a countable sequence  $\{Q_1, Q_2, \cdots\} \subseteq W$  such that  $\bigcap_{n=1}^{\infty} e[\ker(\overline{Q}_n)] = 0$ , i.e.,  $\bigcap_{n=1}^{\infty} \ker(\overline{Q}_n) \leq \ker(\overline{P})$ . Set  $\overline{Q} = \sum_{n=1}^{\infty} \overline{Q}_n/2^n$ , which lies in the  $\sigma$ -convex hull of  $\overline{W}$ , and note from Theorem 1.2 that  $\overline{Q} \ll \overline{X}$ . Observing that  $\ker(\overline{Q}) \leq \ker(\overline{P})$ , we see from Proposition 3.5 that  $\overline{P} \ll \overline{Q}$ , whence  $\overline{P} \ll \overline{W}$ .

Let K be a convex subset of a real vector space, and let F be a face of K. It is clear from the definitions that a subset of F is a face of F if and only if it is a face of K. Thus the lattice of faces of F is just the lattice of those faces of K which are contained in F.

THEOREM 3.7. Let R be a regular ring, let X be a nonempty subset of P(R), and let  $\overline{R}$  denote the X-completion of R. Let F be the  $\sigma$ -convex face generated by X in P(R), and let  $\mathscr{F}$  be the lattice of  $\sigma$ -convex faces of F. Then  $B(\overline{R}) \cong \mathscr{F}$ .

*Proof.* For each  $N \in F$ , we have  $N \ll X$  by Theorem 1.2, and we let  $\overline{N}$  denote the continuous extension of N to  $P(\overline{R})$ .

Given  $e \in B(\overline{R})$ , set  $\theta(e) = \{N \in F | \overline{N}(e) = 1\}$ , and note that  $\theta(e)$  is a  $\sigma$ -convex subset of F. Suppose that we have  $0 < \alpha < 1$  and  $N_1, N_2 \in F$  with  $\alpha N_1 + (1 - \alpha)N_2 \in \theta(e)$ . Then  $\alpha \overline{N}_1(e) + (1 - \alpha)\overline{N}_2(e) = 1$ , whence  $\overline{N}_1(e) = \overline{N}_2(e) = 1$  and so  $N_1, N_2 \in \theta(e)$ . Thus  $\theta(e)$  is a face of F, i.e.,  $\theta(e) \in \mathscr{F}$ . Now suppose that  $e \leq f$  in  $B(\overline{R})$ , i.e., e = ef. For any  $N \in \theta(e)$ , we have  $1 = \overline{N}(e) \leq \overline{N}(f)$  and so  $\overline{N}(f) = 1$ , whence  $N \in \theta(f)$ . Thus  $\theta(e) \subseteq \theta(f)$ . Therefore we have a monotone map  $\theta: B(\overline{R}) \to \mathscr{F}$ .

Given any  $G \in \mathscr{F}$ , set  $\overline{G} = \{\overline{N} \mid N \in G\}$ . According to Lemma 3.2, there is some  $\mu(G) \in B(\overline{R})$  such that ker  $(\overline{G}) = (1 - \mu(G))\overline{R}$ . If  $G \subseteq H$  in  $\mathscr{F}$ , then  $(1 - \mu(H))\overline{R} = \ker(\overline{H}) \leq \ker(\overline{G}) = (1 - \mu(G))\overline{R}$  and so  $1 - \mu(H) \leq 1 - \mu(G)$ , whence  $\mu(G) \leq \mu(H)$ . Therefore we have a monotone map  $\mu: \mathscr{F} \to B(\overline{R})$ .

Consider any  $e \in B(\bar{R})$ . Since  $\bar{N}(e) = 1$  for all  $N \in \theta(e)$ , we obtain  $\bar{N}(1-e) = 0$  for all  $N \in \theta(e)$ , whence  $1-e \in \ker(\overline{\theta(e)}) = (1-\mu\theta(e))\bar{R}$ . Thus  $1-e \leq 1-\mu\theta(e)$ , hence  $\mu\theta(e) \leq e$ . Set  $f = e - \mu\theta(e)$ , which is a central idempotent in  $\bar{R}$ , and assume that  $f \neq 0$ . Then  $\bar{Q}(f) > 0$  for some  $Q \in X$ , and we may define  $P^* \in P(\bar{R})$  by the rule  $P^*(x) = \bar{Q}(fx)/\bar{Q}(f)$ . Pulling  $P^*$  back to  $P \in P(R)$ , we see that  $P \leq [1/\bar{Q}(f)]Q$ , whence [7, Corollary 3.3] shows that  $P \in F$ . Clearly  $P^* \ll \bar{Q}$  and so  $P^* \ll \{\bar{N} | N \in X\}$ , hence  $P^* = \bar{P}$ . Thus  $\bar{P}(x) = \bar{Q}(fx)/\bar{Q}(f)$  for all  $x \in \bar{R}$ . Since ef = f, we obtain  $\bar{P}(e) = 1$ , whence  $P \in \theta(e)$  and so  $1 - \mu\theta(e) \in \ker(\bar{P})$ . Now  $f = f(1 - \mu\theta(e))$  belongs to  $\ker(\bar{P})$ , which is impossible, because  $\bar{P}(f) = 1$ . Therefore f = 0, i.e.,  $\mu\theta(e) = e$ .

Finally, consider any  $G \in \mathscr{F}$ . Since  $1 - \mu(G) \in \ker(\bar{N})$  for all  $N \in G$ , we have  $\bar{N}(\mu(G)) = 1$  for all  $N \in G$ , whence  $G \subseteq \theta \mu(G)$ . Given any  $P \in \theta \mu(G)$ , we have  $\bar{P}(\mu(G)) = 1$ , hence  $\ker(\bar{G}) = (1 - \mu(\bar{G}))\bar{R} \leq \ker(\bar{P})$ . According to Corollary 3.6,  $P \ll G$ , and consequently  $P \in G$ , by Theorem 1.2. Therefore  $\theta \mu(G) = G$ .

Therefore  $\theta$  and  $\mu$  are inverse order isomorphisms, hence lattice isomorphisms.

LEMMA 3.8. Let R be a regular ring, let X be a nonempty subset of P(R), let  $\overline{R}$  denote the X-completion of R, and let  $e \in B(\overline{R})$ . Then  $e\overline{R}$  is a simple ring if and only if e is an atom of  $B(\overline{R})$ .

*Proof.* Obviously simplicity of  $e\overline{R}$  implies atomicity of e. Conversely, assume that e is an atom, so that  $e\overline{R}$  is indecomposable as a ring. Since  $\overline{R}$  is a regular, right and left self-injective ring by Theorem 3.1, [18, Theorems 4.7, 5.1] show that  $e\overline{R}$  is directly finite, whence [16, Proposition 2.7] shows that  $e\overline{R}$  is simple.

The following corollaries of Theorem 3.7 extend [6, Theorems 19, 22, 23] to the case of X-completions.

COROLLARY 3.9. Let R be a regular ring, and let X be a nonempty subset of P(R). Then the following conditions are equivalent:

(a) The X-completion of R is a simple ring.

(b) X consists of a single extreme point of P(R).

(c) The  $\sigma$ -convex face generated by X is minimal among the nonempty  $\sigma$ -convex faces of P(R).

*Proof.* Let  $\overline{R}$  denote the X-completion of R, let F denote the  $\sigma$ -convex face generated by X in P(R), and let  $\mathscr{F}$  denote the lattice of  $\sigma$ -convex faces of F.

(b)  $\Rightarrow$  (c): We have  $X = \{N\}$  for some extreme point  $N \in P(R)$ , hence  $F = \{N\}$  as well, from which minimality is clear.

(c)  $\Rightarrow$  (a): According to (c),  $\mathscr{F} = \{ \oslash, F \}$ , hence Theorem 3.7 shows that  $B(\overline{R}) = \{0, 1\}$ . By Lemma 3.8,  $\overline{R}$  is simple.

(a)  $\Rightarrow$  (b): Obviously  $B(\overline{R}) = \{0, 1\}$ , hence  $\mathscr{F} = \{\emptyset, F\}$ , by Theorem 3.7. Choosing  $N \in F$ , we see that F is the  $\sigma$ -convex face generated by N. According to Proposition 1.7,  $\overline{R}$  equals the N-completion of R, whence [6, Corollary 20] shows that N is an extreme point of P(R). Then  $\{N\} \in \mathscr{F}$ , whence  $F = \{N\}$ , and consequently  $X = \{N\}$ .

COROLLARY 3.10. Let R be a regular ring, let X be a nonempty subset of P(R), and let F be the  $\sigma$ -convex face generated by X in P(R). Then the set of simple ring direct factors of the X-completion of R has the same cardinality as the set of extreme points of F. This is also the same cardinality as that of the set of extreme points of the face generated by X.

*Proof.* Let  $\overline{R}$  denote the X-completion of R. According to Lemma 3.8, the set of simple ring direct factors of  $\overline{R}$  has the same cardinality as the set of atoms of  $B(\overline{R})$ . Using Theorem 3.7, we

can put the atoms of  $B(\overline{R})$  in one-to-one correspondence with the minimal (nonempty)  $\sigma$ -convex faces of F. Finally, we see from Corollary 3.9 that the set of minimal  $\sigma$ -convex faces of F has the same cardinality as the set of extreme points of F.

If G is the face generated by X, then clearly any extreme point of G is also an extreme point of F. Inasmuch as F is the  $\sigma$ -convex hull of G (by Theorem 1.2), we conclude that any extreme point of F must lie in G. Therefore F and G have the same extreme points.

COROLLARY 3.11. Let R be a regular ring, let X be a nonempty subset of P(R), and let k be a positive integer. Then the following conditions are equivalent:

(a) The X-completion of R is a direct product of k simple rings.

(b) The  $\sigma$ -convex face generated by X can be generated by k distinct extreme points of P(R).

(c) The face generated by X is the convex hull of k distinct extreme points of P(R).

(d) The face generated by X has dimension k-1.

*Proof.* Let  $\overline{R}$  denote the X-completion of R, let F denote the  $\sigma$ -convex face generated by X in P(R), and let G denote the face generated by X in P(R).

(a)  $\Rightarrow$  (b): Clearly  $B(\overline{R})$  is an atomic Boolean algebra with k atoms, hence by Theorem 3.7 the same is true of the lattice of  $\sigma$ -convex faces of F. Thus F contains k distinct minimal (nonempty)  $\sigma$ -convex faces  $F_1, \dots, F_k$ , and F is generated by  $F_1 \cup \dots \cup F_k$ . According to Corollary 3.9, each  $F_i = \{N_i\}$  for some extreme point  $N_i \in P(R)$ . Then  $N_1, \dots, N_k$  are distinct extreme points of P(R), and F is the  $\sigma$ -convex face generated by  $\{N_1, \dots, N_k\}$ .

(b)  $\Rightarrow$  (a): There exist distinct extreme points  $N_1, \dots, N_k \in P(R)$ such that F is the  $\sigma$ -convex face generated by  $\{N_1, \dots, N_k\}$ . Then the lattice of  $\sigma$ -convex faces of F is atomic with k atoms (namely  $\{N_1\}, \dots, \{N_k\}$ ), hence by Theorem 3.7 the same is true of  $B(\bar{R})$ . Thus  $\bar{R}$  is a direct product of k nonzero indecomposable rings, and by Lemma 3.8 each of these indecomposable rings is simple.

(b)  $\Rightarrow$  (c): There exist distinct extreme points  $N_1, \dots, N_k \in P(R)$ such that F is the  $\sigma$ -convex face generated by  $\{N_1, \dots, N_k\}$ . Since each  $\{N_i\}$  is a  $\sigma$ -convex face of P(R), we see from Lemma 2.1 that F equals the convex hull of  $\{N_1, \dots, N_k\}$ . Thus F = G, so that Gis the convex hull of  $\{N_1, \dots, N_k\}$ .

(c)  $\Rightarrow$  (b): There exist distinct extreme points  $N_1, \dots, N_k \in P(R)$ such that G is the convex hull of  $\{N_1, \dots, N_k\}$ . Since each  $\{N_i\}$  is a  $\sigma$ -convex face of P(R), we see from Lemma 2.1 that G is  $\sigma$ - convex. Thus F = G, and F is the  $\sigma$ -convex face generated by  $\{N_1, \dots, N_k\}$ .

(c)  $\Rightarrow$  (d): There exist distinct extreme points  $N_1, \dots, N_k \in P(R)$ such that G is the convex hull of  $\{N_1, \dots, N_k\}$ . Thus the affine span of G equals the affine span of  $\{N_1, \dots, N_k\}$ , whence dim  $(G) \leq k - 1$ . If dim (G) < k - 1, then the  $N_i$  must be affinely dependent. After renumbering, we obtain  $N_1 = \alpha_2 N_2 + \cdots + \alpha_k N_k$  for some real numbers  $\alpha_2, \dots, \alpha_k$  whose sum is 1. Renumbering once again, we obtain an index t with  $2 \leq t < k$  such that  $\alpha_2, \dots, \alpha_t \leq 0$  and  $\alpha_{t+1}, \dots, \alpha_k > 0$ . Now  $N_1 - \alpha_2 N_2 - \dots - \alpha_t N_t = \alpha_{t+1} N_{t+1} + \dots + \alpha_k N_k$ , and we note that  $1 - \alpha_2 - \dots - \alpha_t = \alpha_{t+1} + \dots + \alpha_k = \beta > 0$ . Thus

$$(lpha_{t+1}\!/eta)N_{t+1}+\cdots+(lpha_k\!/eta)N_k=eta^{-1}N_1-(lpha_2\!/eta)N_2-\cdots-(lpha_t\!/eta)N_t$$
 ,

so that some positive convex combination of  $N_{t+1}, \dots, N_k$  equals a convex combination of  $N_1, \dots, N_t$ .

Let H be the convex hull of  $\{N_1, \dots, N_t\}$ , which is a face of P(R) by Lemma 2.1. Since a positive convex combination of  $N_{t+1}$ ,  $\dots$ ,  $N_k$  lies in this face, we obtain  $N_{t+1}$ ,  $\dots$ ,  $N_k \in H$ , whence G = H. Using the implication (c)  $\Rightarrow$  (a), we find that  $\overline{R}$  is a direct product of t simple rings as well as a direct product of k simple rings. Since t < k, this is impossible. Therefore dim (G) = k - 1.

(d)  $\Rightarrow$  (c): Let A denote the affine span of G in  $\mathbb{R}^{\mathbb{R}}$ . Since dim  $(A) = k - 1 < \infty$ , A is closed in  $\mathbb{R}^{\mathbb{R}}$ , hence  $A \cap \mathbb{P}(\mathbb{R})$  is closed in  $\mathbb{P}(\mathbb{R})$ . Given any  $P \in A \cap \mathbb{P}(\mathbb{R})$ , we have  $P = \alpha_1 N_1 + \cdots + \alpha_s N_s$  for some  $N_1, \dots, N_s \in G$  and some real numbers  $\alpha_1, \dots, \alpha_s$  whose sum is 1. After renumbering, we obtain an index t < s such that  $\alpha_1, \dots, \alpha_t \leq 0$  and  $\alpha_{t+1}, \dots, \alpha_s > 0$ . Proceeding as above, we obtain a convex combination  $\beta_0 P + \beta_1 N_1 + \cdots + \beta_t N_t$  with  $\beta_0 > 0$  which equals a convex combination of  $N_{t+1}, \dots, N_s$ . Thus  $\beta_0 P + \beta_1 N_1 + \cdots + \beta_t N_t$  lies in the face G, whence  $P \in G$ . Therefore  $A \cap \mathbb{P}(\mathbb{R}) = G$ , so that G is closed in  $\mathbb{P}(\mathbb{R})$ .

Now G is a compact convex subset of  $\mathbb{R}^{\mathbb{R}}$ , hence the Krein-Milman Theorem [14, p. 131] says that G is the closure of the convex hull of its extreme points. Suppose G contains k + 1 distinct extreme points  $P_1, \cdots P_{k+1}$ . If H is the convex hull of these extreme points, then H is a face of  $\mathbb{P}(\mathbb{R})$  by Lemma 2.1, and the implication  $(c) \Rightarrow (d)$  says that  $\dim(H) = k$ . Since  $H \subseteq G$ , this is impossible. Thus G must have only  $h \leq k$  distinct extreme points  $P_1, \cdots, P_k$ . Since the convex hull of the finite set  $\{P_1, \cdots, P_k\}$  is closed, G must be the convex hull of  $\{P_1, \cdots, P_k\}$ . Using the implication  $(c) \Rightarrow (d)$ again, we find that  $\dim(G) = h - 1$ , whence h = k.

COROLLARY 3.12. Let R be a regular ring, let X be a nonempty

subset of P(R), and let F be the  $\sigma$ -convex face generated by X in P(R). Then the X-completion of R is a direct product of simple rings if and only if F can be generated by some collection of extreme points of P(R).

*Proof.* Let  $\overline{R}$  denote the X-completion of R, and let  $\mathscr{F}$  denote the lattice of  $\sigma$ -convex faces of F.

If  $\overline{R}$  is a direct product of simple rings, then  $B(\overline{R})$  must be atomic, whence Theorem 3.7 shows that  $\mathscr{F}$  is atomic. Thus there exist minimal (nonempty)  $\sigma$ -convex faces  $F_i \subseteq F$  such that  $F = \bigvee F_i$ in  $\mathscr{F}$ . According to Corollary 3.9, each  $F_i$  consists of a single extreme point  $N_i$ , hence F is the  $\sigma$ -convex face generated by the collection  $\{N_i\}$  of extreme points.

Conversely, assume that F is generated by a collection of extreme points of P(R). Then F is the supremum of a collection of atoms in  $\mathscr{F}$ , whence  $\mathscr{F}$  is atomic. By Theorem 3.7,  $B(\overline{R})$  is atomic, hence there exist orthogonal atoms  $e_j \in B(\overline{R})$  such that  $\bigvee e_j = 1$ . Each  $e_j\overline{R}$  is a simple ring by Lemma 3.8. Since  $\wedge (1 - e_j) = 0$  generates the ideal  $\cap (1 - e_j)\overline{R}$ , we see that the ideal  $\bigoplus e_j\overline{R}$  has zero annihilator in  $\overline{R}$ . Consequently, we obtain an injective ring map  $\phi: \overline{R} \to$  $\prod e_j\overline{R}$ . As in [5, Theorem 18], we conclude that  $\phi$  is an isomorphism, whence  $\overline{R}$  is a direct product of simple rings.

Let R be the simple regular ring of [6, Example C]. According to [6, Lemma 31], P(R) has uncountably many distinct extreme points. If F is the  $\sigma$ -convex face generated by the extreme points of P(R), then Corollaries 3.12 and 3.10 show that the F-completion of R is a direct product of uncountably many simple rings.

## 4. Decomposition of completions.

PROPOSITION 4.1. Let R be a regular ring, let  $X_1, X_2$  be nonempty subsets of P(R) such that  $X_1 \ll X_2$ , and let  $\overline{R}_i$  denote the  $X_i$ completion of R.

(a) The natural map  $R/\ker(X_2) \to R/\ker(X_1)$  extends uniquely to a continuous map  $\phi: \overline{R}_2 \to \overline{R}_1$ . Moreover,  $\phi$  is a ring map.

(b) For each  $N \in X_1$ , let  $\overline{N}$  denote the natural extension of N to  $P(\overline{R}_1)$  and let  $N^*$  denote the continuous extension of N to  $P(\overline{R}_2)$ . Then  $N^* = \overline{N}\phi$ .

(c) If  $X_1^* = \{N^* | N \in X_1\}$ , then ker  $\phi = \ker(X_1^*)$ .

*Proof.* (a) The existence and uniqueness of  $\phi$  are standard properties of completions. Since the ring operations in each  $\overline{R}_i$  are continuous,  $\phi$  is a ring map.

(b) is exactly analogous to [7, Lemma 2.4].

(c) If  $\bar{X}_1 = \{\bar{N} | N \in X_1\}$ , then ker  $(\bar{X}_1) = 0$  because  $\bar{R}_1$  is the X-completion of R. Thus it follows from (b) that ker  $\phi = \bigcap_{N \in X_1} \ker(\bar{N}\phi) = \ker(X_1^*)$ .

DEFINITION. In the situation of Proposition 4.1, we refer to  $\phi$  as the *natural map* from  $\overline{R}_2$  to  $\overline{R}_1$ .

THEOREM 4.2. Let R be a regular ring, let  $X_1, X_2$  be nonempty subsets of P(R), and let  $\overline{R}_i$  denote the  $X_i$ -completion of R. If  $X_1 \ll X_2$ , then the natural map  $\phi: \overline{R}_2 \to \overline{R}_1$  is surjective.

**Proof.** For all  $N \in X_i$ , let  $\overline{N}$  denote the natural extension of N to  $P(\overline{R}_i)$ . For all  $N \in X_1$ , let  $N^*$  denote the continuous extension of N to  $P(\overline{R}_2)$ , and note from Proposition 4.1 that  $N^* = \overline{N}\phi$ . Set  $\overline{X}_i = \{\overline{N} | N \in X_i\}$  and  $X_1^* = \{N^* | N \in X_1\}$ , and note from Proposition 4.1 that ker  $\phi = \ker(X_1^*)$ .

According to Lemma 3.2, there is a central idempotent  $e \in \overline{R}_2$ such that  $(1 - e)\overline{R}_2 = \ker(X_1^*)$ , and we note that  $e \neq 0$ . Set  $X'_2 = \{N \in X_2 | \overline{N}(e) \neq 0\}$ , which is nonempty because  $\ker(\overline{X}_2) = 0$ . For each  $N \in X'_2$ , we may define  $\overline{N}' \in \mathbf{P}(\overline{R}_2)$  by the rule  $\overline{N}'(x) = \overline{N}(ex)/\overline{N}(e)$ . Since  $\overline{N}' \leq [1/\overline{N}(e)]\overline{N}$ , we have  $\overline{N}' \ll \overline{N}$ , hence  $\overline{N}' \ll \overline{X}_2$ . Setting  $\overline{X}'_2 = \{\overline{N}' | N \in X'_2\}$ , we thus have  $\overline{X}'_2 \ll \overline{X}_2$ .

Obviously  $1 - e \in \ker(\bar{X}'_2)$ . Given any  $x \in \bar{R}_2$  for which  $ex \neq 0$ , we have  $\bar{N}(ex) \neq 0$  for some  $N \in X_2$ . For this N,  $\bar{N}(e) \neq 0$  as well, whence  $N \in X'_2$  and  $\bar{N}'(x) \neq 0$ . Thus  $\ker(\bar{X}'_2) = (1 - e)\bar{R}_2 = \ker(X_1^*)$ , hence Corollary 3.6 shows that  $\bar{X}'_2 \ll X_1^*$ .

Now let  $\psi_i$  denote the natural map  $R \to \overline{R}_i$ , and note that  $\phi \psi_2 = \psi_1$ . Given any  $x \in \overline{R}_1$ , there exists a net  $\{x_j\} \subseteq R$  such that  $\phi \psi_2(x_j) = \psi_1(x_j) \to x$  in the  $\overline{X}_1$ -topology. Since  $(1 - e)\overline{R}_2 = \ker(X_1^*) = \ker \phi$ , we see that  $\phi(e\psi_2(x_j)) \to x$  as well. Now

$$N^*(e\psi_2(x_j)-e\psi_2(x_k))=ar{N}(\phi(e\psi_2(x_j))-\phi(e\psi_2(x_k)))$$

for all j, k and all  $N \in X_1$ , hence the net  $\{e\psi_2(x_j)\} \subseteq \overline{R}_2$  must be Cauchy with respect to  $X_1^*$ . Inasmuch as  $\overline{X}_2' \ll X_1^*$ , it follows that  $\{e\psi_2(x_j)\}$ is also Cauchy with respect to  $\overline{X}_2'$ . Since

$$ar{N}(e\psi_2(x_j)-e\psi_2(x_k))=ar{N}(e)ar{N}'(e\psi_2(x_j)-e\psi_2(x_k))$$

for all j, k and all  $N \in X'_2$ ,  $\{e\psi_2(x_j)\}$  is Cauchy with respect to  $\overline{N}$  for all  $N \in X'_2$ . In addition, we have  $\overline{N}(e\psi_2(x_j) - e\psi_2(x_k)) \leq \overline{N}(e) = 0$  for all j, k and all  $N \in X_2 - X'_2$ , hence  $\{e\psi_2(x_j)\}$  is Cauchy with respect to  $\overline{N}$  in this case as well. Therefore the net  $\{e\psi_2(x_j)\} \subseteq \overline{R}_2$  is Cauchy with respect to  $\overline{X}_2$ . By completeness, there exists  $y \in \overline{R}_2$  such that  $e\psi_2(x_j) \to y$  in the  $\overline{X}_2$ -topology. Since  $\phi$  is continuous,  $\phi(e\psi_2(x_j)) \to \phi(y)$  in the  $\overline{X}_1$ -topology, and consequently  $\phi(y) = x$ .

Therefore  $\phi$  is surjective.

DEFINITION. Let R be a regular ring, let  $\{X_i\}$  be a nonempty family of nonempty subsets of P(R), and for each i let  $\overline{R}_i$  denote the  $X_i$ -completion of R. If  $\overline{R}$  denotes the  $(\cup X_i)$ -completion of R, then we have natural maps  $\phi_i \colon \overline{R} \to \overline{R}_i$  for each i. Together, these maps induce a map  $\phi \colon \overline{R} \to \prod \overline{R}_i$ , which we of course call the *natural* map.

COROLLARY 4.3. Let R be a regular ring, let  $\{X_i\}$  be a nonempty family of nonempty subsets of P(R), and for each i let  $\overline{R}_i$  denote the  $X_i$ -completion of R. If  $\overline{R}$  denotes the  $(\bigcup X_i)$ -completion of R, then the natural map  $\phi: \overline{R} \to \prod \overline{R}_i$  yields an isomorphism of  $\overline{R}$  onto a subdirect product of the  $\overline{R}_i$ .

*Proof.* For each  $N \in \bigcup X_i$ , let  $\overline{N}$  denote the natural extension of N to  $P(\overline{R})$ . Set  $\overline{X}_i = \{\overline{N} | N \in X_i\}$  for each *i*, and note from Proposition 4.1 that ker  $(\overline{X}_i)$  equals the kernel of the natural map  $\phi_i$ :  $\overline{R} \to \overline{R}_i$ . As a result, ker  $\phi = \cap \ker \phi_i = \cap \ker (\overline{X}_i) = \ker (\cup \overline{X}_i) = 0$ , hence  $\phi$  is injective. Inasmuch as each  $\phi_i$  is surjective by Theorem 4.2,  $\phi(\overline{R})$  is a subdirect product of the  $\overline{R}_i$ .

THEOREM 4.4. Let R be a regular ring, let F be a nonempty  $\sigma$ -convex face of P(R), and let  $\overline{R}$  denote the F-completion of R. Let  $\mathscr{F}$  denote the lattice of  $\sigma$ -convex faces of F, and for each nonempty  $G \in \mathscr{F}$  let  $\overline{R}_{G}$  denote the G-completion of R. Then there is a lattice isomorphism  $\mu: \mathscr{F} \to B(\overline{R})$  such that  $\mu(G)\overline{R} \cong \overline{R}_{G}$  for all nonempty  $G \in \mathscr{F}$ .

*Proof.* Set  $\overline{G} = \{\overline{N} | N \in G\}$  for all  $G \in \mathscr{F}$ . Using Theorem 3.7, we obtain a lattice isomorphism  $\mu: \mathscr{F} \to B(\overline{R})$  such that  $(1 - \mu(G))\overline{R} = \ker(\overline{G})$  for all  $G \in \mathscr{F}$ . Given a nonempty  $G \in \mathscr{F}$ , the natural map  $\phi_G: \overline{R} \to \overline{R}_G$  is surjective by Theorem 4.2. Since  $\ker(\phi_G) = \ker(\overline{G}) = (1 - \mu(G))\overline{R}$  by Proposition 4.1, we conclude that  $\phi_G$  restricts to an isomorphism of  $\mu(G)\overline{R}$  onto  $\overline{R}_G$ .

Taking account of Proposition 1.7, Theorem 4.4 shows that whenever  $X \subseteq Y$  are nonempty subsets of P(R), then the Y-completion of R contains a copy of the X-completion of R. In particular, the P(R)-completion of R is the "largest" completion, since it contains copies of all the X-completions of R. PROPOSITION 4.5. Let R be a regular ring, let  $\{X_k\}$  be a nonempty family of nonempty subsets of P(R), and for each k let  $\overline{R}_k$ denote the  $X_k$ -completion of R. Let  $\overline{R}$  denote the  $(\cup X_k)$ -completion of R, for each  $N \in \bigcup X_k$  let  $\overline{N}$  denote the natural extension of N to  $P(\overline{R})$ , and for each k set  $\overline{X}_k = \{\overline{N} | N \in X_k\}$ . Then the natural map  $\phi: \overline{R} \to \prod \overline{R}_k$  is an isomorphism if and only if  $\ker(\overline{X}_i) + \ker(\overline{X}_j) = \overline{R}$ for all  $i \neq j$ .

*Proof.* Note that the natural map  $\phi_i: \overline{R} \to \overline{R}_i$  is the composition of  $\phi$  with the projection  $\prod \overline{R}_k \to \overline{R}_i$ . If  $\phi$  is an isomorphism, then clearly  $\ker(\phi_i) + \ker(\phi_j) = \overline{R}$  for all  $i \neq j$ , whence Proposition 4.1 shows that  $\ker(\overline{X}_i) + \ker(\overline{X}_j) = \overline{R}$  for all  $i \neq j$ .

Conversely, assume that  $\ker(\bar{X}_i) + \ker(\bar{X}_j) = \bar{R}$  for all  $i \neq j$ . Using Lemma 3.2, we obtain central idempotents  $e_k \in \bar{R}$  such that  $(1 - e_k)\bar{R} = \ker(\bar{X}_k)$ . Inasmuch as  $(1 - e_i)\bar{R} + (1 - e_j)\bar{R} = \bar{R}$  for all  $i \neq j$ , we see that the  $e_k$  are pairwise orthogonal. Since  $\bar{R}$  is the  $(\bigcup X_k)$ -completion of R, we have  $\cap \ker(\bar{X}_k) = 0$ , so that  $\cap (1 - e_k)\bar{R} = 0$ . Thus the annihilator of the ideal  $\bigoplus e_k\bar{R}$  is zero. Proceeding as in [5, Theorem 18], we see that the natural map  $\psi \colon \bar{R} \to \prod e_k\bar{R}$  is an isomorphism.

For each k,  $\ker(\phi_k) = \ker(\bar{X}_k) = (1 - e_k)\bar{R}$  by Proposition 4.1, hence  $\phi_k$  induces a monomorphism  $\theta_k \colon e_k \bar{R} \to \bar{R}/\ker(\bar{X}_k) \to \bar{R}_k$ . According to Theorem 4.2,  $\phi_k$  is surjective, whence  $\theta_k$  is an isomorphism. As a result, these  $\theta_k$  induce an isomorphism  $\theta \colon \prod e_k \bar{R} \to \prod \bar{R}_k$ . Observing that  $\phi = \theta \psi$ , we conclude that  $\phi$  is an isomorphism.

THEOREM 4.6. Let R be a regular ring, let  $\{X_k\}$  be a nonempty family of nonempty subsets of P(R), and let  $\overline{R}$  denote the  $(\cup X_k)$ completion of R. For each k, let  $\overline{R}_k$  denote the  $X_k$ -completion of R, and let  $F_k$  be the face generated by  $X_k$  in P(R). Then the natural map  $\phi: \overline{R} \to \prod \overline{R}_k$  is an isomorphism if and only if the faces  $F_k$ are pairwise disjoint.

*Proof.* For each  $N \in \bigcup X_k$ , let  $\overline{N}$  denote the natural extension of N to  $P(\overline{R})$ . For each k, set  $\overline{X}_k = \{\overline{N} | N \in X_k\}$ .

First assume that there exists  $P \in F_i \cap F_j$  for some  $i \neq j$ . By [7, Corollary 3.3], there exist  $Q_i$  in the couvex hull of  $X_i$  and  $Q_j$ in the convex hull of  $F_j$  such that  $P \leq \alpha Q_i, \alpha Q_j$  for some  $\alpha > 0$ . Now  $P \ll Q_i \ll X_i \ll \bigcup X_k$ , hence P has a continuous extension  $\overline{P} \in P(\overline{R})$ . By continuity,  $\overline{P} \leq \alpha \overline{Q}_i, \alpha \overline{Q}_j$ , whence

$$\ker (\bar{X}_i) + \ker (\bar{X}_j) \leq \ker (\bar{Q}_i) + \ker (\bar{Q}_j) \leq \ker (\bar{P}) < \bar{R}$$
.

Then Proposition 4.5 says that  $\phi$  is not an isomorphism.

Conversely, if  $\phi$  is not an isomorphism, then by Proposition 4.5

we must have ker  $(\bar{X}_i)$  + ker  $(\bar{X}_j) \neq \bar{R}$  for some  $i \neq j$ . By Lemma 3.2, ker  $(\bar{X}_i)$  and ker  $(\bar{X}_j)$  are each generated by a central idempotent, hence there is a central idempotent  $e \neq 0$  in  $\bar{R}$  such that  $(1 - e)\bar{R} = \ker(\bar{X}_i) + \ker(\bar{X}_j)$ . Then  $\bar{N}(e) \neq 0$  for some  $N \in \bigcup X_k$ , hence we may define  $\bar{Q} \in P(\bar{R})$  by the rule  $\bar{Q}(x) = \bar{N}(ex)/\bar{N}(e)$ . Pulling  $\bar{Q}$  back to  $Q \in P(R)$ , we see that  $Q \leq [1/\bar{N}(e)]N$ , whence  $Q \ll N \ll \bigcup X_k$ . Inasmuch as ker  $(\bar{X}_i) + \ker(\bar{X}_j) = (1 - e)\bar{R} \leq \ker(\bar{Q})$ , Corollary 3.6 says that  $Q \ll X_i, X_j$ . According to Theorem 1.2, Q lies in the  $\sigma$ -convex hulls of  $F_i$  and  $F_j$ . Therefore  $F_i$  and  $F_j$  are not disjoint, by Lemma 2.5.

COROLLARY 4.7. Let R be a regular ring, and let  $\{F_k\}$  be a nonempty family of nonempty faces of P(R). Let  $\overline{R}$  denote the  $(\cup F_k)$ -completion of R, and for each k let  $\overline{R}_k$  denote the  $F_k$ -completion of R. If the  $F_k$  are pairwise disjoint, then  $\overline{R} \cong \prod \overline{R}_k$ .

Theorem 4.6 and Corollary 4.7 are generalizations of [7, Theorem 4.3 and Corollary 4.4], for if  $N \in P(R)$  is a positive  $\sigma$ -convex combination of some  $P_k \in P(R)$ , then the  $\sigma$ -convex face generated by N coincides with the  $\sigma$ -convex face generated by the  $P_k$ .

5. Extending pseudo-rank functions to completions. [7, Theorem 7.4] gives a description of the closure of the face generated by a subset  $X \subseteq P(R)$ . This theorem is a bit awkward, because it is not constructed in terms of the X-completion of R. A more natural description of closures of faces is given by the following theorem.

THEOREM 5.1. Let R be a regular ring, let X be a nonempty subset of P(R), and let  $\overline{R}$  denote the X-completion of R. Let  $\phi$ :  $R \rightarrow \overline{R}$  be the natural map, and let  $P \in P(R)$ . Then P lies in the closure of the face generated by X in P(R) if and only if  $P = P'\phi$ for some  $P' \in P(\overline{R})$ .

*Proof.* Since  $\overline{R}$  is a regular, right and left self-injective ring by Theorem 3.1, [17, Theorems 4.7, 5.1] show that  $\overline{R}$  is directly finite.

Assume first that  $P = P'\phi$  for some  $P' \in \mathbf{P}(\overline{R})$ . If  $\overline{X} = \{\overline{N} | N \in X\}$ (where  $\overline{N}$  denotes the natural extension of N to  $\mathbf{P}(\overline{R})$ ), then ker  $(\overline{X}) = 0 \leq \ker(P')$ , hence [7, Theorem 7.1] says that P' lies in the closure of the face generated by  $\overline{X}$  in  $\mathbf{P}(\overline{R})$ . As a result, we infer that  $P = P'\phi$  lies in the closure of the face generated by  $\overline{X}\phi = X$ .

Conversely, let F denote the face generated by X in P(R), and assume that P lies in the closure of F. By Theorem 1.2,  $N \ll X$ for each  $N \in F$ , hence each such N has a continuous extension  $\overline{N} \in$  $P(\overline{R})$  such that  $\overline{N}\phi = N$ . If  $\phi^* \colon P(\overline{R}) \to P(R)$  is the map induced by  $\phi$ , we thus have  $F \subseteq \phi^*(P(\bar{R}))$ . Now  $\phi^*(P(\bar{R}))$  is a continuous image of a compact space and so is compact, hence closed in P(R). Therefore  $\phi^*(P(\bar{R}))$  contains the closure of F, whence  $P \in \phi^*(P(\bar{R}))$ , i.e.,  $P = P'\phi$  for some  $P' \in P(\bar{R})$ .

6. Completeness versus self-injectivity. Theorem 3.1 shows that any regular ring R which is complete with respect to a nonempty set X of pseudo-rank functions is right and left self-injective. Since self-injectivity may be viewed as an algebraic completeness property, it is natural to ask about the converse implication: If R is a regular, right and left self-injective ring, must R be complete with respect to some family of pseudo-rank functions? For indecomposable rings, the next theorem shows that the answer is yes. In general, we show that the answer depends on whether or not B(R) is complete, and can be negative.

THEOREM 6.1. Let R be a regular, right and left self-injective ring which is indecomposable (as a ring). Then there exists a unique rank function N on R, and R is complete in the N-metric.

*Proof.* By [18, Theorems 4.7, 5.1], R is directly finite, whence [16, Proposition 2.7] shows that R is a simple ring. In addition, [5, Lemma 5', p. 832] shows that for any  $x, y \in R$ , either  $xR \leq yR$  or  $yR \leq xR$ , i.e., R satisfies the "comparability axiom" of [9, p. 812]. As a result, [9, Corollary 3.15] shows that there exists a unique rank function N on R.

According to [17, Corollary to Theorem 1], the lattice L(R) of principal right ideals of R is continuous, i.e., L(R) is a continuous geometry. Since R is indecomposable, L(R) is irreducible [19, Theorem 2.9, p. 76]. As a result, [19, Theorem 17.4, p. 230] says that R is complete in the N-metric.

In general, a regular ring may be complete with respect to some families of pseudo-rank functions but not others. As the following example shows, there exists a regular, right and left self-injective ring R with rank functions N, N' such that R is complete in the N-metric but not in the N'-metric.

Choose fields  $F_1, F_2, \cdots$  and set  $R = \prod F_n$ , which is a regular self-injective ring. If  $e_n$  denotes the unit of  $F_n$ , then  $R/(1-e_n)R \cong F_n$ , hence there exists a unique pseudo-rank function  $P_n \in P(R)$  with ker  $(P_n) = (1 - e_n)R$ . Setting  $N = \sum_{n=1}^{\infty} P_n/2^n$ , we obtain a rank function N on R, and it is clear that R is complete in the N-metric. Now choose a maximal ideal M of R which contains  $\bigoplus F_n$ . There is a unique pseudo-rank function  $P \in P(R)$  with ker (P) = M, and

we set N' = (N + P)/2 which is a rank function on R. If R is complete in the N'-metric, then we see from Lemma 3.2 then  $\sum e_n \rightarrow 1$  in the N'-metric. However,  $\sum_{n=1}^{\infty} N'(e_n) = 1/2$ , hence this is impossible. Therefore R is not complete in the N'-metric.

We now proceed to show that a regular ring R is complete with respect to a family X of pseudo-rank functions provided only that B(R) is complete with respect to X. As with Theorem 3.1, we must first prove the case of a single pseudo-rank function. In this case, the proof of [19, Theorem 17.4, p. 230] may be applied, once we have shown that the pseudo-rank function involved satisfies a certain countable additivity property, as follows.

DEFINITION. Let R be a regular ring, let  $N \in P(R)$ , and let J be a right ideal of R. We shall say that N is countably additive on J provided that whenever  $x_1R, x_2R, \cdots$  is a countable sequence of independent principal right ideals contained in J and  $\bigoplus x_nR$  is essential in xR for some  $x \in J$ , then  $N(x) = \sum N(x_n)$ . If this holds for J = R, then we simply say that N is countably additive.

LEMMA 6.2. Let R be a regular ring, let  $N \in P(R)$ , let J be a right ideal of R, and assume that N is countably additive on J. If  $x, x_1, x_2, \dots \in J$  and  $\sum x_n R$  is essential in xR, then  $N(x) \leq \sum N(x_n)$ .

*Proof.* We may choose independent principal right ideals  $y_1R, y_2R, \dots \leq J$  such that  $y_1R \oplus \dots \oplus y_kR = x_1R + \dots + x_kR$  for all k. Since N is countably additive on J, we obtain  $N(x) = \sum N(y_n)$ . In addition, we have  $y_1R \oplus \dots \oplus y_kR \leq x_1R \oplus \dots \oplus x_kR$  for each k and so  $N(y_1) + \dots + N(y_k) \leq N(x_1) + \dots + N(x_k)$ , by [7, Lemma 6.6]. Thus  $N(x) = \sum N(y_n) \leq \sum N(x_n)$ .

LEMMA 6.3. Let R be a regular, right and left self-injective ring with a rank function N. Let e be an idempotent in R such that N is countably additive on (1-e)R. Then (1-e)Re is complete in the N-metric.

*Proof.* Let L(R) denote the lattice of principal right ideals of R, which is continuous by [17, Corollary to Theorem 1].

Let  $\{x_n\}$  be a Cauchy sequence in (1-e)Re. By passing to a subsequence, we may assume that  $N(x_i - x_j) < 1/2^{k+1}$  whenever  $i, j \ge k$ . Now define  $a_n R, b_k R, cR \in L(R)$  as follows:  $a_n R = (e + x_n)R$ ,  $b_k R = E(\sum_{n=k}^{\infty} a_n R), cR = \bigcap_{k=1}^{\infty} b_k R$ . Note that  $a_k R \le b_k R$  for all k and that  $b_1 R \ge b_2 R \ge \cdots$ . Since  $x_k \in (1-e)R$  and  $e + x_k \in a_k R$ , we see that  $a_k R + (1-e)R = R$ , whence  $b_k R + (1-e)R = R$  for all k. Inasmuch as L(R) is lower continuous, we thus obtain

$$cR + (1-e)R = (\bigcap_{k=1}^{\infty} b_k R) + (1-e)R = \bigcap_{k=1}^{\infty} [b_k R + (1-e)R] = R.$$

As a result, there exists an idempotent  $f \in R$  such that  $fR \leq cR$  and (1 - f)R = (1 - e)R.

Since (1 - f)R = (1 - e)R, we have Rf = Re, hence f = fe and e = ef. As a result, we see that the element x = f - e lies in (1 - e)Re. Note also that  $e + x = f \in cR$ . We shall show that  $x_n \to x$ . Whenever  $n \ge k$ ,

$$egin{aligned} a_n R &= (e+x_n) R = [e+x_k+\sum\limits_{j=k+1}^n (x_j-x_{j-1})] R \ &\leq (e+x_k) R + \sum\limits_{j=k+1}^\infty (x_j-x_{j-1}) R &\leq a_k R + \sum\limits_{j=k+1}^\infty (x_j-x_{j-1}) R \ . \end{aligned}$$

Defining  $d_k R = E(\sum_{j=k+1}^{\infty} (x_j - x_{j-1})R) \leq (1-e)R$ , we thus have  $a_n R \leq a_k R + d_k R$  for all  $n \geq k$ . As a result,  $\sum_{n=k}^{\infty} a_n R \leq a_k R + d_k R$ , whence  $b_k R \leq a_k R + d_k R$ . We also have  $b_k R = a_k R \bigoplus u_k R$  for some  $u_k$ , whence  $a_k R \bigoplus u_k R \leq a_k R + d_k R \lesssim a_k R \bigoplus d_k R$ . According to [18, Theorems 4.7, 5.1], R is directly finite, hence [8, Corollary 3.9] implies that  $u_k R \leq d_k R$ . Since  $d_k \in (1-e)R$  and all  $x_j - x_{j-1} \in (1-e)R$ , we may use Lemma 6.2 to obtain

$$N(u_k) \leq N(d_k) \leq \sum_{j=k+1}^{\infty} N(x_j - x_{j-1}) < \sum_{j=k+1}^{\infty} 1/2^j = 1/2^k$$

for all k.

Now  $f \in cR \leq b_k R = a_k R + u_k R = (e + x_k)R + u_k R$ , hence  $f = (e + x_k)r + u_k s$  for some  $r, s \in R$ . Since  $x_k \in (1 - e)Re$ ,  $e + x_k$  is idempotent, so that  $(e + x_k)f = (e + x_k)r + (e + x_k)u_k s$ . We also have  $e + x_k \in Re = Rf$ , hence  $e + x_k = (e + x_k)f = (e + x_k)r + (e + x_k)u_k s = f - u_k s + (e + x_k)u_k s = f + (e + x_k - 1)u_k s$ . Consequently,

$$x_k - x = (e + x_k) - (e + x) = e + x_k - f = (e + x_k - 1)u_k s \; ,$$

and so  $N(x_k - x) \leq N(u_k) < 1/2^k$ .

Therefore  $x_n \rightarrow x$ .

THEOREM 6.4. Let R be a regular, right and left self-injective ring with a rank function N. Then N is countably additive if and only if R is complete in the N-metric.

*Proof.* First assume that R is complete, and let  $x_1R, x_2R, \cdots$ be independent principal right ideals such that  $\bigoplus x_nR$  is essential in some principal right ideal xR. For each k, choose  $y_k \in R$  such that  $y_kR = x_1R \bigoplus \cdots \bigoplus x_kR$ . Then  $y_1R \leq y_2R \leq \cdots$  and  $\bigcup y_kR$  is essential in xR, whence Lemma 3.4 says that  $N(x) = \sup N(y_k)$ . Since  $N(y_k) =$  $N(x_1) + \cdots + N(x_k)$  for all k, we obtain  $N(x) = \sum N(x_n)$ . Thus N is countably additive.

Conversely, assume that N is countably additive, and let T denote the ring of all  $2 \times 2$  matrices over R. By [12, Theorem 1], N induces a rank function P on T such that  $P\begin{pmatrix} x & 0 \\ 0 & x \end{pmatrix} = N(x)$  for all  $x \in R$ . Given  $x \in R$ ,  $\begin{pmatrix} x & 0 \\ 0 & 0 \end{pmatrix} T$  and  $\begin{pmatrix} 0 & 0 \\ 0 & x \end{pmatrix} T$  are isomorphic principal right ideals of T such that  $\begin{pmatrix} x & 0 \\ 0 & 0 \end{pmatrix} T \oplus \begin{pmatrix} 0 & 0 \\ 0 & x \end{pmatrix} T = \begin{pmatrix} x & 0 \\ 0 & x \end{pmatrix} T$ , from which we see that  $P\begin{pmatrix} x & 0 \\ 0 & 0 \end{pmatrix} = N(x)/2$ . Also,  $\begin{pmatrix} 0 & x \\ 0 & 0 \end{pmatrix} T \cong \begin{pmatrix} x & 0 \\ 0 & 0 \end{pmatrix} T$ , hence  $P\begin{pmatrix} 0 & x \\ 0 & 0 \end{pmatrix} = N(x)/2$  as well.

The rule  $xR \mapsto \begin{pmatrix} x & 0 \\ 0 & 0 \end{pmatrix} T$  defines an isomorphism from the lattice of principal right ideals of R onto the lattice of those principal right ideals of T which are contained in  $\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} T$ . Inasmuch as  $P\begin{pmatrix} x & 0 \\ 0 & 0 \end{pmatrix} = N(x)/2$  for all  $x \in R$ , we infer from the countable additivity of N that P must be countably additive on  $\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} T$ . As a result, Lemma 6.3 shows that  $\begin{pmatrix} 0 & R \\ 0 & 0 \end{pmatrix}$  is complete in the P-metric, from which we conclude that R is complete in the N-metric.

The result of Theorem 6.4 is used in the proof of [10, Corollaire 2.8], although the reference quoted there only covers the case in which the ring is indecomposable.

DEFINITION. Let R be a regular ring, and let X be a nonempty subset of P(R) such that ker(X) = 0. We shall say that B(R) is orthogonally complete with respect to X provided that for any orthogonal family  $\{e_i\} \subseteq B(R), \sum e_i$  converges to some  $e \in B(R)$ . Note that when  $\sum e_i \rightarrow e$ , we have  $e = \bigvee e_i$ . Thus if B(R) is orthogonally complete with respect to X, then B(R) is also complete as a lattice.

For the case of a rank function N, we proceed to show that if R is self-injective and B(R) is orthogonally complete with respect to N, then R is complete in the N-metric. In order to accomplish this, we must consider the Type I and Type II cases separately. (See [8, 15] for the definitions.)

**PROPOSITION 6.5.** Let R be a regular, right and left self-injective ring of Type I with a rank function N. If B(R) is orthogonally complete with respect to N, then R is complete in the N-metric.

Proof. Case I. R is abelian.

Let  $x_1R, x_2R, \cdots$  be an independent family of principal right ideals of R, and let  $\bigoplus x_nR$  be essential in some principal right ideal xR. Choose idempotents  $e, e_1, e_2, \cdots \in R$  such that eR = xR and  $e_nR = x_nR$  for all n. Since R is abelian, we have  $e, e_1, e_2, \cdots \in B(R)$ , the  $e_n$  are pairwise orthogonal, and  $e = \bigvee e_n$ . Inasmuch as B(R) is orthogonally complete with respect to N,  $\sum e_n \to \bigvee e_n = e$  in the *N*-metric, whence  $\sum N(x_n) = \sum N(e_n) = N(e) = N(x)$ . Therefore N is countably additive, hence Theorem 6.4 says that R is complete in the *N*-metric.

Case II. R is Type  $I_n$  for some n.

There exist  $n \times n$  matrix units  $e_{ij} \in R$  such that the ring  $T = e_{11}Re_{11}$  is abelian. We may define a rank function P on T by the rule  $P(x) = N(x)/N(e_{11})$ . Inasmuch as the rule  $e \mapsto e_{11}e$  defines an isomorphism of B(R) onto B(T), we infer that B(T) must be orthogonally complete with respect to P. As a result, Case I shows that T is complete in the P-metric, hence also in the N-metric. For any i, j, there is an additive isomorphism of T onto  $e_{ii}Re_{jj}$  given by the rule  $x \mapsto e_{i1}xe_{1j}$ , and we observe that  $N(x) = N(e_{i1}xe_{1j})$  for all  $x \in T$ . Thus each  $e_{ii}Re_{jj}$  must be complete in the N-metric, whence R is complete in the N-metric.

Case III. General case.

According to [17, Theorems 4.7, 5.1], R is directly finite, hence Type  $I_f$ . Consequently, R is isomorphic to a direct product of rings of Type  $I_n$  [8, Corollary 6.5], [16, Corollaire 3.5]. Thus there exist orthogonal central idempotents  $e_1, e_2, \dots \in B(R)$  such that  $\bigvee e_n = 1$ , each  $e_n R$  is Type  $I_n$ , and  $R = \prod e_n R$ .

Whenever  $e_n \neq 0$ , we may define a rank function  $P_n$  on  $e_n R$  by the rule  $P_n(x) = N(x)/N(e_n)$ . Since  $B(e_n R) = B(R) \cap e_n R$ ,  $B(e_n R)$  is orthogonally complete with respect to  $P_n$ , whence Case II shows that  $e_n R$  is complete in the  $P_n$ -metric and thus in the N-metric.

Given any Cauchy sequence  $\{x_n\} \subseteq R$ , it follows that for each n, the sequence  $\{e_n x_1, e_n x_2, \dots\}$  converges to some  $y_n \in e_n R$ . Inasmuch as  $R = \prod e_n R$ , we thus have  $y \in R$  such that  $e_n y = y_n$  for all n, i.e.,  $e_n x_k \to e_n y$  for each n. Also, because B(R) is orthogonally complete, we have  $\sum e_n \to \bigvee e_n = 1$ , whence  $\sum_n e_n x_k \to x_k$  for all k and  $\sum_n e_n y \to y$ . Thus  $x_k \to y$ .

LEMMA 6.6. Let R be a regular, right self-injective ring, and let X be a nonempty subset of P(R) such that ker (X) = 0. Let x,  $y \in R$  and  $g \in B(R)$ .

(a) If  $N(ex) \leq N(ey)$  for all  $e \leq g$  in B(R) and all  $N \in X$ , then  $gxR \leq gyR$ .

(b) If N(ex) = N(ey) for all  $e \leq g$  in B(R) and all  $N \in X$ , then  $gxR \cong gyR$ .

*Proof.* (a) By [16, Théorème 1.1] or [8, Theorem 3.3], there exists  $e \in B(R)$  such that  $egyR \leq egxR$  and  $(1 - e)gxR \leq (1 - e)gyR$ . Then  $egxR = aR \oplus bR$  with  $aR \cong egyR$ , and  $N(b) = N(egx) - N(egy) \leq 0$ 

for all  $N \in X$ . Since ker(X) = 0, we obtain b = 0, hence  $egxR \cong egyR$ . Thus  $gxR \leq gyR$ .

(b) is proved in the same manner.

LEMMA 6.7. Let R be a regular ring, let X be a nonempty subset of P(R) such that ker (X) = 0, and assume that B(R) is orthogonally complete with respect to X. Let  $\phi: B(R) \to R$  be a continuous map such that  $\phi(e + f) = \phi(e) + \phi(f)$  for all orthogonal  $e, f \in B(R)$ . Then there exists  $g \in B(R)$  such that  $\phi(e) \ge 0$  for all  $e \le 1 - g$  in B(R) and  $\phi(e) < 0$  for all nonzero  $e \le g$  in B(R).

*Proof.* Set  $A = \{f \in B(R) | \phi(e) \ge 0 \text{ for all } e \le f \text{ in } B(R)\}$ , and choose a maximal orthogonal family  $\{h_i\} \subseteq A$ . By orthogonal completeness,  $\sum h_i$  converges to some  $h \in B(R)$ . Given  $e \le h$  in B(R), we note that  $\{eh_i\}$  is an orthogonal family in B(R) such that  $\sum eh_i \rightarrow e$ . For any finite set F of indices, we have  $\phi(\sum_{i \in F} eh_i) = \sum_{i \in F} \phi(eh_i) \ge 0$  since each  $h_i \in A$ . Thus  $\phi(e) \ge 0$ , by continuity.

Setting  $g = 1 - h \in B(R)$ , we now have  $\phi(e) \ge 0$  for all  $e \le 1 - g$  in B(R).

Now consider any nonzero  $e \leq g$  in B(R). Since e is orthogonal to each  $h_i$ , it follows from the maximality of the family  $\{h_i\}$  that edoes not lie above any nonzero member of A. As a result, each nonzero  $f \leq e$  in B(R) must lie above some member of the set B = $\{f \in B(R) | \phi(f) < 0\}$ . Consequently, there exists an orthogonal family  $\{f_j\} \subseteq B$  such that  $\forall f_j = e$ , and by orthogonal completeness we obtain  $\sum f_j \rightarrow e$ . Choose a particular index k. Given any finite set F of indices such that  $k \in F$ , we have  $\phi(\sum_{j \in F} f_j) = \sum_{j \in F} \phi(f_j) \leq \phi(f_k)$ since each  $f_j \in B$ . By continuity,  $\phi(e) \leq \phi(f_k) < 0$ .

PROPOSITION 6.8. Let R be a regular, right self-injective ring of Type II with a rank function N. If B(R) is orthogonally complete with respect to N, then N is countably additive.

*Proof.* Let  $x_1R, x_2R, \cdots$  be independent principal right ideals of R, and let  $\bigoplus x_nR$  be essential in some principal right ideal xR. For  $k = 1, 2, \cdots$ , we have  $x_1R \bigoplus \cdots \bigoplus x_kR \le xR$ , whence  $N(x_1) + \cdots + N(x_k) \le N(x)$ . Thus  $\sum N(x_n) \le N(x)$ . Suppose that  $\sum N(x_n) < N(x)$ , and choose a positive integer t such that  $\sum N(x_n) < N(x) - (1/t)$ .

The rule  $\phi(e) = \sum N(ex_n) - N(ex) + N(e)/t$  defines a continuous map  $\phi: B(R) \to \mathbf{R}$  such that  $\phi(e+f) = \phi(e) + \phi(f)$  for all orthogonal idempotents  $e, f \in B(R)$ . Applying Lemma 6.7, we obtain  $g \in B(R)$  such that  $\sum N(ex_n) \ge N(ex) - N(e)/t$  for all  $e \le 1 - g$  in B(R) and  $\sum N(ex_n) < N(ex) - N(e)/t$  for all nonzero  $e \le g$  in B(R). Inasmuch as  $\sum N(x_n) < N(x) - (1/t)$ , we see that  $g \ne 0$ .

Since R is Type II, it contains no nonzero abelian idempotents, hence [8, Proposition 5.8] says that there is some  $y \in R$  for which  $t(yR) \cong R$ . Note that  $t(gyR) \cong gR \neq 0$ , whence  $gy \neq 0$ . Note also that N(ey) = N(e)/t for all  $e \in B(R)$ . For all nonzero  $e \leq g$  in B(R),

$$N(ey) = N(e)/t \leq N(e)/t + \sum N(ex_n) < N(ex)$$
 ,

hence  $N(ey) \leq N(ex)$  for all  $e \leq g$  in B(R). According to Lemma 6.6,  $gyR \leq gxR$ , hence  $gyR \cong zR$  for some nonzero  $z \in gxR$ . Write  $gxR = zR \bigoplus wR$  for some w, and note that

$$\sum N(ex_n) < N(ex) - N(e)/t = N(ex) - N(ez) = N(ew)$$

for all nonzero  $e \leq g$  in B(R).

In particular,  $N(ex_1) \leq N(ew)$  for all  $e \leq g$  in B(R), hence Lemma 6.6 shows that  $gx_1R \approx w_1R$  for some  $w_1 \in wR$ . Next,  $wR = w_1R \bigoplus u_1R$  for some  $u_1$ , and

$$N(ex_2) \leq \sum N(ex_n) - N(ex_1) \leq N(ew) - N(ew_1) = N(eu_1)$$

for all  $e \leq g$  in B(R), hence Lemma 6.6 shows that  $gx_2R \approx w_2R$  for some  $w_2 \in u_1R$ . Continuing in this manner, we obtain an independent sequence  $w_1R, w_2R, \dots \leq wR$  such that  $gx_nR \approx w_nR$  for all n. Thus  $\bigoplus gx_nR \leq wR$ . Inasmuch as  $\bigoplus gx_nR$  is essential in gxR, it follows that  $gxR \leq wR$ . But then  $N(z) + N(w) = N(gx) \leq N(w)$  and so N(z) = 0, which contradicts the fact that  $z \neq 0$ .

Therefore  $\sum N(x_n) = N(x)$ , so that N is countably additive.

THEOREM 6.9. Let R be a regular, right and left self-injective ring with a rank function N. Then R is complete in the N-metric if and only if B(R) is orthogonally complete with respect to N.

*Proof.* Obviously completeness of R implies orthogonal completeness of B(R). Conversely, assume that B(R) is orthogonally complete.

According to [18, Theorems 4.7, 5.1], R is directly finite, hence [8, Corollary 7.6] shows that there is some  $g \in B(R)$  such that gRis Type  $I_f$  and (1-g)R is Type  $II_f$ . If  $g \neq 0$ , then we may define a rank function P on gR by the rule P(x) = N(x)/N(g). Observing that B(gR) is orthogonally complete with respect to P, we see from Proposition 6.5 that gR is complete in the P-metric, hence also in the N-metric. If  $1 - g \neq 0$ , then we may define a rank function Qon (1-g)R by the rule Q(x) = N(x)/N(1-g). According to Proposition 6.8, Q is countably additive, whence Theorem 6.4 shows that (1-g)R is complete in the Q-metric, and thus also in the N-metric. Therefore gR and (1-g)R are both complete in the N-metric, whence R is complete in the N-metric.

THEOREM 6.10. Let R be a regular, right and left self-injective ring, and let X be a nonempty subset of P(R) such that ker(X) = 0. Then the following conditions are equivalent:

(a) R is complete with respect to X.

(b) B(R) is orthogonally complete with respect to X.

(c) Every ideal of B(R) which is closed in the X-topology is principal.

*Proof.* (a)  $\Rightarrow$  (c): If I is an ideal of B(R) which is closed in the X-topology, then we check that IR is a two-sided ideal of R which is closed in the X-topology. According to Lemma 3.2, IR = eR for some  $e \in B(R)$ , whence I = eB(R).

(c)  $\Rightarrow$  (b): Let  $\{e_i | i \in I\}$  be a family of pairwise orthogonal idempotents in B(R). Let  $\mathscr{F}$  be the family of nonempty finite subsets of I, and set  $e_F = \sum_{i \in F} e_i$  for all  $F \in \mathscr{F}$ . Set  $J = \{e \in B(R) | e \leq e_F$  for some  $F \in \mathscr{F}\}$ , and note that J is an ideal of B(R). If K is the X-closure of J, then K is an ideal of B(R), and (c) says that K is generated by some  $f \in B(R)$ . In particular, note that  $e_F \leq f$  for all  $F \in \mathscr{F}$ .

Given  $N \in X$  and  $\varepsilon > 0$ , there is some  $e \in J$  such that  $N(e - f) < \varepsilon$ , and  $e \leq e_F$  for some  $F \in \mathscr{F}$ . Whenever  $G \supseteq F$  in  $\mathscr{F}$ , we have  $e \leq e_F \leq e_G \leq f$ , hence  $f - e_G = (f - e_G)(f - e)$  and so  $N(f - e_G) \leq N(f - e) < \varepsilon$ . Thus  $\sum e_i \to f$ , so that B(R) is orthogonally complete.

(b)  $\Rightarrow$  (a): According to Corollary 2.7, there exists a facially independent set  $Y = \{N_k\} \subseteq P(R)$  such that Y and X generate the same  $\sigma$ -convex face in P(R). In view of Corollary 1.3 and Proposition 1.7, we see that B(R) is orthogonally complete with respect to Y, and that it suffices to prove that R is complete with respect to Y. Therefore we may assume, without loss of generality, that X - Y. For each k, let  $F_k$  be the face generated by  $N_k$  in P(R).

For each k, ker  $(N_k)$  is a two-sided ideal of R which is closed in the X-topology. Using (b), we see (as in Lemma 3.2) that ker  $(N_k) = (1 - e_k)R$  for some  $e_k \in B(R)$ . Now  $N_k$  restricts to a rank function on  $e_kR$ , and since B(R) is orthogonally complete with respect to X we see that  $B(e_kR)$  is orthogonally complete with respect to  $N_k$ . As a result, Theorem 6.9 shows that  $e_kR$  is complete in the  $N_k$ -metric. If  $\phi_k$  denotes the natural map from R into its  $N_k$ -completion  $\overline{R}_k$ , we thus have shown that  $\phi_k$  is surjective. Recall that ker  $(\phi_k) =$ ker  $(N_k) = (1 - e_k)R$ .

Suppose that  $e_j e_k \neq 0$  for some  $j \neq k$ . Then we may define pseudorank functions  $N'_j$ ,  $N'_k \in \mathbf{P}(R)$  by the rules  $N'_j(x) = N_j(e_j e_k x)/N_j(e_j e_k)$ and  $N'_k(x) = N_k(e_j e_k x)/N_k(e_j e_k)$ . By [7, Corollary 3.3],  $N'_j \in F_j$  and  $N'_k \in F_k$ . Set  $N = (N'_j + N'_k)/2$ , and note that  $N, N'_j$ , and  $N'_k$  all restrict to rank functions on  $e_j e_k R$ . Given orthogonal idempotents  $\{f_n\} \subseteq B(e_j e_k R)$ , (b) says that  $\sum f_n$  must converge (in the X-topology) to some  $f \in B(R)$ , and we note that  $f \in B(e_j e_k R)$ . In particular,  $\sum f_n \to f$  in the  $N_j$ -metric and the  $N_k$ -metric, from which we infer the  $\sum f_n \to f$  in the N-metric. Therefore  $B(e_j e_k R)$  is orthogonally complete with respect to N, hence Theorem 6.9 says that  $e_j e_k R$  is complete in the N-metric. Note that  $N'_j, N'_k \ll N$ . Inasmuch as  $N'_j$  and  $N'_k$  both restrict to rank functions on  $e_j e_k R$ , it now follows from [7, Lemma 4.1] that these restrictions are facially dependent in  $P(e_j e_k R)$ . Consequently, there exist  $P \in P(e_j e_k R)$  and  $\alpha > 0$  such that  $P \leq \alpha N'_j, \alpha N'_k$  on  $e_j e_k R$ . Defining P to be zero on  $(1 - e_j e_k)R$ , we obtain  $P \in P(R)$  such that  $P \leq \alpha N'_j, \alpha N'_k$ . Using [7, Corollary 3.3] again, we find that  $P \in F_j \cap F_k$ , which is impossible.

Therefore  $e_j e_k = 0$  for all  $j \neq k$ . We thus have pairwise orthogonal central idempotents  $e_k$  such that the annihilator of the ideal  $\bigoplus e_k R$  is  $\cap (1 - e_k)R = \cap \ker(N_k) = \ker(X) = 0$ . As in [5, Theorem 18], it follows that the natural map  $R \to \prod e_k R$  is an isomorphism. In-assuch as each  $\phi_k \colon R \to \overline{R}_k$  is surjective with kernel  $(1 - e_k)R$ , we now see that the map  $\phi \colon R \to \prod \overline{R}_k$  induced by the  $\phi_k$  must be an isomorphism.

Finally, let  $\overline{R}$  denote the X-completion of R, let  $\psi: R \to \overline{R}$  and  $\theta: \overline{R} \to \prod \overline{R}_k$  be the natural maps, and note that  $\theta \psi = \phi$ . Since the faces  $F_k$  are pairwise disjoint, we conclude from Theorem 4.6 that  $\theta$  is an isomorphism. Therefore the inclusion map  $\psi = \theta^{-1}\phi: R \to \overline{R}$  is an isomorphism, whence R is complete with respect to X.

Returning to our original question, we now see that in order for a regular self-injective ring R to be complete with respect to some nonempty  $X \subseteq P(R)$ , we need only find such an X such that B(R) is orthogonally complete with respect to X. However, this is not always possible, as the following example shows.

By [4, Theorem 2.2], there exists a nonzero Boolean algebra B with the countable chain condition such that no direct summand of B has a strictly positive finitely additive measure. Considering B as a (commutative) regular ring in the usual way, this says that B contains no uncountable direct sums of nonzero ideals, and that there does not exist a rank function on any direct summand of B.

Now let R be the maximal quotient ring of B, which is a regular self-injective ring. In fact, R is the Boolean completion of B[3, Theorem 5], so that B(R) = R. Since  $B_B$  is essential in  $R_B$ , we see that R does not contain any uncountable direct sums of nonzero ideals (i.e., as a Boolean algebra, R satisfies the countable chain condition). Suppose there is an idempotent  $e \in R$  such that there is a rank function N on eR. Then  $e \neq 0$ , hence there exists a nonzero idempotent  $f \in eR \cap B$ . But then N induces a rank function on fB, which cannot happen. Thus there does not exist a rank function on any direct summand of R.

If R is complete with respect to some family of pseudo-rank functions, then using Theorem 4.6 we see that R must be isomorphic to a direct product  $\prod R_k$ , where each  $R_k$  is complete with respect to a rank function  $N_k$ . But then there exist rank functions on some direct summands of R, which is false. Therefore R is not complete with respect to any family of pseudo-rank functions.

Returning to the general case, we are left with the following problem: Given a regular, right and left self-injective ring R, when is B(R) orthogonally complete with respect to some family of pseudorank functions? Since all pseudo-rank functions on B(R) extend to pseudo-rank functions on R by [7, Corollary 6.10], we need only look for a suitable family of pseudo-rank functions on B(R). This reduces the problem to Boolean algebras. For the case of a single pseudo-rank function, we thus have the following problem: Given a Boolean algebra B, when does there exist a rank function N on Bsuch that B is complete in the N-metric? Obviously B must be complete and satisfy the countable chain condition, but the example above shows that these conditions are not sufficient. Rather complicated necessary and sufficient conditions on B may be found in [13, Theorems 4, 9] and [15, Theorem 4].

#### References

1. E. M. Alfsen, On the geometry of Choquet simplexes, Math. Scand., 15 (1964), 97-110.

2. \_\_\_\_\_, On the decomposition of a Choquet simplex into a direct convex sum of complementary faces, Math. Scand., **17** (1965), 169–176.

3. B. Brainerd and J. Lambek, On the ring of quotients of a Boolean ring, Canadian Math. Bull., 2 (1959), 25-29.

4. H. Gaifman, Concerning measures on Boolean algebras, Pacific J. Math., 14 (1964), 61-73.

5. K. R. Goodearl, Prime ideals in regular self-injective rings, Canad. J. Math., 25 (1973), 829-839.

6. \_\_\_\_\_, Simple regular rings and rank functions, Math. Ann., 214 (1975) 267-287.
 7. \_\_\_\_\_, Completions of regular rings, Math. Annalen, 220 (1976), 229-252.

8. K. R. Goodearl and A. K. Boyle, Dimension theory for nonsingular injective

8. K. R. Goodearl and A. K. Boyle, Dimension theory for nonsingular injecti modules, Mem. Amer. Math. Soc., 177 (1976).

9. K. R. Goodearl and D. Handelman, Simple self-injective rings, Commu. in Algebra, 3 (1975), 797-834.

10. J. M. Goursaud and L. Jeremy, Sur l'enveloppe injective des anneaux réguliers, Commu. in Algebra, **3** (1975), 763-779.

11. I. Halperin, Regular rank rings, Canad. J. Math., 17 (1965), 709-719.

12. \_\_\_\_, Extension of the rank function, Studia Math., 27 (1966), 325-335.

13. J. L. Kelley, Measures on Boolean algebras, Pacific J. Math., 9 (1959), 1165-1177.

14. J. L. Kelley and I. Namioka, *Linear Topological Spaces*, Van Nostrand, Princeton, 1963.

15. D. Maharam, An algebraic characterization of measure algebras, Ann. of Math., **48** (1947), 154-167.

16. G. Renault, Anneaux réguliers auto-injectifs à droite, Bull. Soc. Math. France, 101 (1973), 237-254.

Y. Utumi, On continuous regular rings and semisimple self-injective rings, Canad.
 J. Math., 12 (1960), 597-605.

18. ——, On continuous rings and self-injective rings, Trans. Amer. Math. Soc., **118** (1965), 158-173.

19. J. Von Neumann, Continuous Geometry, Princeton, Princeton Univ. Press, 1960.

Received December 28, 1976. This research was partially supported by a National Science Foundation grant.

UNIVERSITY OF UTAH SALT LAKE CITY, UT 84112

#### PACIFIC JOURNAL OF MATHEMATICS

#### EDITORS

RICHARD ARENS (Managing Editor)

University of California Los Angeles, CA 90024

CHARLES W. CURTIS University of Oregon Eugene, OR 97403

C.C. MOORE University of California Berkeley, CA 94720 J. Dugundji

Department of Mathematics University of Southern California Los Angeles, CA 90007

R. FINN and J. MILGRAM Stanford University Stanford, CA 94305

#### ASSOCIATE EDITORS

E. F. BECKENBACH

B. H. NEUMANN

F. Wolf

#### SUPPORTING INSTITUTIONS

UNIVERSITY OF BRITISH COLUMBIA CALIFORNIA INSTITUTE OF TECHNOLOGY UNIVERSITY OF CALIFORNIA MONTANA STATE UNIVERSITY UNIVERSITY OF NEVADA, RENO NEW MEXICO STATE UNIVERSITY OREGON STATE UNIVERSITY UNIVERSITY OF OREGON OSAKA UNIVERSITY UNIVERSITY OF SOUTHERN CALIFORNIA STANFORD UNIVERSITY UNIVERSITY OF HAWAII UNIVERSITY OF TOKYO UNIVERSITY OF UTAH WASHINGTON STATE UNIVERSITY UNIVERSITY OF WASHINGTON \* \* \*

K. YOSHIDA

AMERICAN MATHEMATICAL SOCIETY

The Supporting Institutions listed above contribute to the cost of publication of this Journal, but they are not owners or publishers and have no responsibility for its content or policies.

Mathematical papers intended for publication in the *Pacific Jaurnal of Mathematics* should be in typed form or offset-reproduced, (not dittoed), double spaced with large margins. Please do not use built up fractions in the text of your manuscript. You may however, use them in the displayed equations. Underline Greek letters in red, German in green, and script in blue. The first paragraph or two must be capable of being used separately as a synopsis of the entire paper. Items of the bibliography should not be cited there unless absolutely necessary, in which case they must be identified by author and Journal, rather than by item number. Manuscripts, in triplicate, may be sent to any one of the editors. Please classify according to the scheme of Math. Reviews, Index to Vol. **39**. All other communications should be addressed to the managing editor, or Elaine Barth, University of California, Los Angeles, California, 90024.

The Pacific Journal of Mathematics expects the author's institution to pay page charges, and reserves the right to delay publication for nonpayment of charges in case of financial emergency.

100 reprints are provided free for each article, only if page charges have been substantially paid. Additional copies may be obtained at cost in multiples of 50.

The Pacific Journal of Mathematics is issued monthly as of January 1966. Regular subscription rate: \$72 00 a year (6 Vols., 12 issues). Special rate: \$36.00 a year to individual members of supporting institutions.

Subscriptions, orders for back numbers, and changes of address should be sent to Pacific Journal of Mathematics, 103 Highland Boulevard, Berkeley, California, 94708.

PUBLISHED BY PACIFIC JOURNAL OF MATHEMATICS, A NON-PROFIT CORPORATION Printed at Kokusai Bunken Insatsusha (International Academic Printing Co., Ltd.).

8-8, 3-chome, Takadanobaba, Shinjuku-ku, Tokyo 160, Japan.

Copyright © 1975 by Pacific Journal of Mathematics Manufactured and first issued in Japan

# Pacific Journal of Mathematics Vol. 72, No. 2 February, 1977

George E. Andrews, <i>Plane partitions. II. The equivalence of the</i>	
Bender-Knuth and MacMahon conjectures	283
Lee Wilson Badger, An Ehrenfeucht game for the multivariable quantifiers	202
of Malitz and some applications	293
Wayne C. Bell, <i>A decomposition of additive set functions</i>	305
Bruce Blackadar, Infinite tensor products of C*-algebras	313
Arne Brøndsted, <i>The inner aperture of a convex set</i>	335
N. Burgoyne, <i>Finite groups with Chevalley-type components</i>	341
Richard Dowell Byrd, Justin Thomas Lloyd and Roberto A. Mena, On the	
retractability of some one-relator groups	351
Paul Robert Chernoff, Schrödinger and Dirac operators with singular potentials and hyperbolic equations	361
John J. F. Fournier, <i>Sharpness in Young's inequality for convolution</i>	383
Stanley Phillip Franklin and Barbara V. Smith Thomas, <i>On the metrizability</i>	
$of k_{\omega}$ -spaces	399
David Andrew Gay, Andrew McDaniel and William Yslas Vélez, <i>Partially</i>	
normal radical extensions of the rationals	403
Jean-Jacques Gervais, <i>Sufficiency of jets</i>	419
Kenneth R. Goodearl, <i>Completions of regular rings. II</i>	423
Sarah J. Gottlieb, Algebraic automorphisms of algebraic groups with stable	
maximal tori	461
Donald Gordon James, Invariant submodules of unimodular Hermitian	
forms	471
J. Kyle, $W_{\delta}(T)$ is convex	483
Ernest A. Michael and Mary Ellen Rudin, A note on Eberlein compacts	487
Ernest A. Michael and Mary Ellen Rudin, Another note on Eberlein	
compacts	497
Thomas Bourque Muenzenberger and Raymond Earl Smithson, <i>Fixed point</i>	501
theorems for acyclic and dendritic spaces	501
Budh Singh Nashier and A. R. Rajwade, <i>Determination of a unique solution</i> of the quadratic partition for primes $p \equiv 1 \pmod{7}$	513
Frederick J. Scott, New partial asymptotic stability results for nonlinear	
ordinary differential equations	523
Frank Servedio, Affine open orbits, reductive isotropy groups, and dominant	
gradient morphisms; a theorem of Mikio Sato	537
D. Suryanarayana, On the distribution of some generalized square-full	
integers	547
Wolf von Wahl, Instationary Navier-Stokes equations and parabolic	
systems	557