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**ON CHARACTERISTIC HYPERSURFACES OF SUBMANIFOLDS  
IN EUCLIDEAN SPACE**

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## ON CHARACTERISTIC HYPERSURFACES OF SUBMANIFOLDS IN EUCLIDEAN SPACE

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**The main purpose of this paper is to prove that  $M^n \subset E^N$ , where  $N = n(n+1)/2$ , the characteristic  $(n-1)$ -dimensional submanifolds of  $M^n$  are the asymptotic hypersurfaces.**

1. Introduction. The concept of a characteristic submanifold of a given solution for a differential system, was introduced by E. Cartan in his theory of partial differential equations ([2], p. 79). Its importance appears in the treatment of the Cauchy problem.

Given an  $n$ -dimensional submanifold  $M^n$  of the Euclidean space  $E^N$ , we can define geometrically the notion of asymptotic submanifolds of  $M^n$ . The asymptotic lines have been used extensively for the study of the geometry of a surface in  $E^3$ . For higher dimension and codimension some results have been obtained, using the generalized concept [3], [4], [9], [10]. It is well known, that the characteristic curves of a surface in  $E^3$  are the asymptotic lines ([2], p. 143).

In §2 we start with a brief introduction to the Cartan-Kähler theory of differential equations. Then given a Riemannian manifold  $M^n$ , we consider the differential ideal, whose integral submanifolds determine local isometries of  $M^n$  into  $E^N$ ,  $N = n(n+1)/2$ . Next assuming  $M^n \subset E^N$ , we characterize the  $(n-1)$ -dimensional characteristic submanifolds of  $M^n$ .

In §3, we define the concept of asymptotic submanifolds of  $M^n \subset E^N$ , prove the main result and obtain a first order partial differential equation whose solutions are the characteristic hypersurfaces of  $M$ .

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2. Characteristic submanifold. Let  $M$  be an  $n$ -dimensional differentiable manifold. We denote by  $A_k(M)$  the vector space of differential  $k$ -forms on  $M$  and  $A(M) = \sum_{k=0}^n A_k(M)$ . A *differential ideal* is an ideal  $U$  in  $A(M)$  which is finitely generated, homogeneous (i.e.,  $U = \sum_{k=0}^n U_k$  where  $U_k = U \cap A_k(M)$ ) are closed under exterior differentiation. We assume that  $U$  is a differential ideal which does not contain functions i.e.,  $U_0 = 0$ . A  $p$ -dimensional submanifold  $S$  of  $M$  is said to be an ( $p$ -dimensional) *integral submanifold* for  $U$ , if  $i^*(U) = 0$  i.e.,  $i^*(U_p) = 0$  where  $i: S \rightarrow M$  is the inclusion map.

We denote by  $T_x M$  the tangent space to  $M$  at  $x \in M$ ;  $G_x^p(M)$  denotes the Grassman manifold of  $p$ -dimensional subspaces of  $T_x M$

and  $G^p(M) = \bigcup_{x \in M} G_x^p(M)$  is given the usual manifold structure. An element  $E_x^p \in G_x^p(M)$  is said to be an *integral element* for  $U$ , if all the differential forms of  $U$  vanish when restricted to the elements of  $E_x^p$ .

Let  $I_x^p(U)$  denote the set of  $p$ -dimensional integral elements for  $U$  at  $x$ , and let  $I^p(U) = \bigcup_{x \in M} I_x^p(M)$  be given the topology as a subspace of  $G^p(M)$ . If  $E_x^p$  is an integral element for  $U$  generated by  $\{v_1, \dots, v_p\}$ , we define the *polar space*  $H(E_x^p)$  by

$$H(E_x^p) = \{v \in T_x M; \phi(v, v_1, v_2, \dots, v_p) = 0, \forall \phi \in U_{p+1}\}.$$

An integral element  $E_x^p$ ,  $p \geq 1$  is said to be *ordinary* if there exist integral elements  $E_x^0, E_x^1, \dots, E_x^{p-1}$  with  $E_x^0 \subset E_x^1 \subset \dots \subset E_x^{p-1} \subset E_x^p$  such that  $\dim H(E_x^i)$  is constant on a neighborhood of  $E_x^i$  in  $I^i(U)$  for  $i = 0, 1, \dots, p-1$ . A zero-dimensional integral element  $E_x^0$  is said to be *regular* if  $\dim H(E_x^0)$  is constant on a neighborhood of  $E_x^0$  in  $I^0(U)$ . A  $p$ -dimensional integral element  $E_x^p$ ,  $p \geq 1$  is said to be *regular* if it is ordinary and  $\dim H(E_x^p)$  is constant on a neighborhood of  $E_x^p$  in  $I^p(U)$ . We remark that when  $M$  is connected, this definition of regularity is equivalent to Cartan's ([2], pp. 61-67) according to which, an integral element  $E_x^p$  is regular if it is ordinary and  $\dim H_x^p$  is equal to the dimension of a generic  $p$ -dimensional ordinary integral element.

It follows from Cartan-Kähler theorem ([2, pp. 68-74], [7, p. 26]) under the assumption that the manifold  $M$  and the differential forms are analytic, that given a  $q$ -dimensional ordinary integral element  $E_x^q$ , then there exists a  $q$ -dimensional integral submanifold  $S$ , which contains  $x$  and satisfies the requirement  $T_x S = E_x^q$ .

An integral submanifold  $S$  for  $U$  is said to be *singular* if  $\forall x \in S$ , the integral element  $T_x S$  is not ordinary. We remark, that an integral submanifold  $S$  may be singular because none of its points is regular, or none of its tangential subspaces of dimension one, or two,  $\dots$ , etc., or  $p-1$  is regular, where  $p$  is the dimension of  $S$ . Hence one may have different classes of singular integral submanifolds, whose degree of singularity decreases in a certain sense when one goes from one class to the next one.

Let  $S$  be a  $p$ -dimensional nonsingular integral submanifold for  $U$ , a submanifold  $\bar{S} \subset S$  of dimension  $q < p$  is called *characteristic* if  $\forall x \in \bar{S}$ , the integral element  $T_x \bar{S}$  is not regular.

The concepts introduced above, can be found with more details in [2] and [7]. The Cartan-Janet theorem [1], [6] asserts that any real analytic,  $n$ -dimensional, Riemannian manifold can be locally mapped by a real analytic isometric embedding, into a Euclidean space  $E^N$  of dimension  $N = n(n+1)/2$ . In what follows we consider the differential ideal, whose integral submanifolds give local isome-

tries of  $M$  into  $E^N$ . Next assuming  $M \subset E^N$ , we characterize the  $(n - 1)$ -dimensional characteristic submanifolds of  $M$ . We adopt the following indices convention

$$\begin{aligned} 1 \leq i, j, k, l \leq n; & \quad n + 1 \leq \lambda, \mu, \alpha \leq N; \\ 1 \leq I, J, K \leq N; & \quad N = n(n + 1)/2 \end{aligned}$$

and the summation convention with regard to repeated indices.

Let  $M$  be an  $n$ -dimensional Riemannian manifold with metric  $g$ . Let  $F(M)$  denote the bundle of orthonormal frames over  $M$ , with the usual manifold structure. Under the action of the orthogonal group  $O(n)$ ,  $F(M)$  is a principal fiber bundle over  $M$ , with structural group  $O(n)$ . Let  $\pi: F(M) \rightarrow M$  be the usual projection. We define the canonical forms  $\omega^1, \dots, \omega^n$  on  $F(M)$  by

$\pi_{*z}(v) = \omega^i(v)e_i$  where  $z = (x, e_1, \dots, e_n) \in F(M)$  and  $v \in T_z(F(M))$ , hence  $\pi^*g = \sum_i \omega^i \otimes \omega^i$ . The connection forms  $\omega_i^j$  on  $F(M)$  are uniquely defined by

$$d\omega^i = \omega^j \wedge \omega_j^i, \quad \omega_i^i + \omega_j^j = 0.$$

Finally, if we consider

$$\Omega_i^j = d\omega_i^j - \omega_i^k \wedge \omega_k^j$$

then there exist functions  $R_{ijkl}$ , the components of the Riemann curvature tensor, defined on  $F(M)$  such that

$$\Omega_i^j = -\frac{1}{2}R_{ijkl}\omega^k \wedge \omega^l, \quad R_{ijkl} = -R_{ijlk}.$$

Similarly for  $E^N$ , we denote by  $F(E^N)$  the bundle of orthonormal frames over  $E^N$ ,  $\bar{\pi}: F(E^N) \rightarrow E^N$  the projection,  $\bar{\omega}^I$  the canonical forms on  $F(E^N)$ ,  $\bar{\omega}_I^J$  the connection forms on  $F(E^N)$ .

We consider the product manifold  $B = F(M) \times F(E^N)$ , and define the differential ideal on  $B$ . Let  $\rho: B \rightarrow F(M)$  and  $\bar{\rho}: B \rightarrow F(E^N)$  be the usual projections. Using  $\rho$  and  $\bar{\rho}$  we can pull the differential forms  $\omega^i, \omega_i^j, \bar{\omega}^I, \bar{\omega}_I^J$  back to  $B$ , we will denote the pulled-back forms by the same symbols. Let  $U$  be the differential ideal on  $B$  generated by

$$\begin{aligned} & \bar{\omega}^i - \omega^i \\ & \bar{\omega}^i \\ (*) & \bar{\omega}_i^j - \omega_i^j \\ & \omega^i \wedge \bar{\omega}_i^j \\ & \bar{\omega}_i^j \wedge \bar{\omega}_j^i + \frac{1}{2}R_{ijk\lambda}\omega^i \wedge \omega^k. \end{aligned}$$

We remark that there is a left action of  $O(n)$  on  $B$  which preserves the differential ideal  $U$ . Namely if  $A = (a_{ij}) \in O(n)$  we consider  $L_A: B \rightarrow B$ , which associates to

$$z = ((x, e_1, \dots, e_n), (\bar{x}, \bar{e}_1, \dots, \bar{e}_N)) \in B$$

the point

$$L_A(z) = \left( \left( x, \sum_i a_{1i} e_i, \dots, \sum_i a_{ni} e_i \right), \left( \bar{x}, \sum_i a_{1i} \bar{e}_i, \dots, \sum_i a_{ni} \bar{e}_i, \bar{e}_{n+1}, \dots, \bar{e}_N \right) \right).$$

It is not difficult to verify that  $L_A^*(U \cap A_1(B)) \subset U \cap A_1(B)$  and hence  $L_A^*(U) = U$ .

Since we want to determine the  $(n - 1)$ -dimensional characteristic submanifolds of  $M^n \subset E^N$ , we start characterizing the nonregular  $(n - 1)$ -dimensional integral elements  $E_z^{n-1}$  for  $U$  in  $B$ , whose projections  $\pi_* \circ \rho_*(E_z^{n-1})$  are  $(n - 1)$ -dimensional. This characterization is obtained in Lemma 1(c).

Let  $p$  be an integer  $0 \leq p < n$ , we adopt the additional index conventions

$$1 \leq a, b, c \leq p; \quad p + 1 \leq r, s, t \leq n.$$

Suppose that  $E_z^p$  is a  $p$ -dimensional integral element for  $U$ , generated by vectors  $e_1, \dots, e_p$  such that

$$\omega^a(e_b) = \delta_b^a, \quad \omega^r(e_b) = 0.$$

If we denote,  $h_{ia}^\lambda = \bar{\omega}_i^\lambda(e_a)$  then it follows, from the fact that the generators of  $U$  vanish when restricted to  $E_z^p$ , that

$$(1) \quad h_{ab}^\lambda = h_{ba}^\lambda$$

$$(2) \quad \sum_\lambda (h_{ia}^\lambda h_{jb}^\lambda - h_{ib}^\lambda h_{ja}^\lambda) - R_{ijab} = 0.$$

Denote by

$$H_{ia} = (h_{ia}^{n+1}, \dots, h_{ia}^N)$$

the vector in the  $(N - n)$ -dimensional Euclidean space.

Let  $J^p$  denote the set of  $p$ -dimensional integral elements  $E_z^p$ , which satisfy the following conditions:

1.  $\omega^1 \wedge \dots \wedge \omega^p \neq 0$  and  $\omega^{p+1} = \dots = \omega^n = 0$  when restricted to  $E_z^p$ .

2. the vectors  $\{H_{ma}: 1 \leq a \leq p, a \leq m \leq n - 1\}$  are linearly independent. Let  $V^p = \{E_z^p \in I^p(U): L_A^*(E_z^p) \in J^p \text{ for some } A \in O(n)\}$ .

Then  $V^p$  is an open subset of  $I^p(U)$ . Part of the next lemma is proved following ([5], with the obvious modifications).

LEMMA 1.

- (a) If  $0 \leq p < n$ , then  $\dim H(E_z^p)$  is constant on  $V^p$ ;  
 (b) For  $0 \leq p < n$ , if  $E_z^p \in V^p$ , then it is a regular element;  
 (c) If  $p = n - 1$ , and  $E_z^{n-1}$  is an integral element such that  $\pi_* \circ \rho_*(E_z^{n-1})$  is  $(n - 1)$ -dimensional, then  $E_z^{n-1}$  is regular if and only if  $E_z^{n-1} \in V^{n-1}$ .

*Proof.* (a) Since  $L_\lambda^*(U) = U$  it suffices to show that  $\dim H(E_z^p)$  is constant on  $J^p$ . Assume that  $E_z^p$  is generated by  $e_1, \dots, e_p$  such that  $\omega^a(e_b) = \delta_b^a$  and  $\omega^r(e_b) = 0$ . We consider the polar space

$$\begin{aligned} H(E_z^p) &= \{v \in T_z B; \phi(v, e_1, \dots, e_p) = 0 \forall \phi \in U_n\} \\ &= \{v \in T_z B; \phi_1(v) = 0 \text{ and } \phi_2(v, e_a) = 0 \forall \phi_1 \in U_1, \phi_2 \in U_2\} \end{aligned}$$

where last equality follows from the fact that  $U$  is generated by (\*). Hence  $H(E_z^p)$  consists of vectors  $v \in T_z B$  which satisfy the following system of equations:

$$(3) \quad \bar{\omega}^i(v) - \omega^i(v) = 0$$

$$(4) \quad \bar{\omega}^\lambda(v) = 0$$

$$(5) \quad \bar{\omega}_i^j(v) - \omega_i^j(v) = 0$$

$$(6) \quad h_{ia}^\lambda \omega^i(v) - \bar{\omega}_a^\lambda(v) = 0$$

$$(7) \quad \sum_\lambda h_{ja}^\lambda \bar{\omega}_i^\lambda(v) + \sum_\lambda h_{ia}^\lambda \bar{\omega}_j^\lambda(v) - R_{ijia} \omega^i(v) = 0, \quad i < j.$$

If we specify  $\omega^i(v)$ ,  $\omega_i^j(v)$  then equations (3)–(6) will uniquely determine  $\bar{\omega}^i(v)$ ,  $\bar{\omega}_i^j(v)$  and  $\bar{\omega}_a^\lambda(v)$ . Moreover we remark that for  $1 \leq i, j \leq p$ , equation (7) is an immediate consequence of (1), (2) and (6). So we need only to consider (7) where  $1 \leq i \leq p$ ,  $p + 1 \leq j \leq n$  and  $p + 1 \leq i < j \leq n$ , i.e.,

$$(8) \quad \begin{aligned} \sum_\lambda h_{sa}^\lambda \bar{\omega}_b^\lambda(v) + \sum_\lambda h_{ba}^\lambda \bar{\omega}_s^\lambda(v) - R_{bsia} \omega^i(v) &= 0 \\ \sum_\lambda h_{sa}^\lambda \bar{\omega}_t^\lambda(v) + \sum_\lambda h_{ta}^\lambda \bar{\omega}_s^\lambda(v) - R_{tsia} \omega^i(v) &= 0. \end{aligned}$$

Since in (8), for  $a \neq b$ , interchanging  $a$  and  $b$  does not modify the equation, we need only to consider

$$(9) \quad \sum_\lambda h_{ba}^\lambda \bar{\omega}_s^\lambda(v) = \left( \sum_\lambda h_{sa}^\lambda h_{ib}^\lambda - R_{bsia} \right) \omega^i(v), \quad a \leq b$$

$$(10) \quad \sum_\lambda h_{sa}^\lambda \bar{\omega}_t^\lambda(v) - \sum_\lambda h_{ta}^\lambda \bar{\omega}_s^\lambda(v) = R_{tsia} \omega^i(v), \quad s < t.$$

Denote the vectors

$$H_i(v) = (\bar{\omega}_i^{p+1}(v), \dots, \bar{\omega}_i^N(v)).$$

We determine the vectors  $H_{p+1}(v), \dots, H_n(v)$  so that they satisfy (9) and (10). The system (9) determines the dot product of  $H_{p+1}(v)$  with the  $p(p+1)/2$  linearly independent vectors  $H_{b,a}$ ,  $a \leq b$ . Once we have chosen a particular  $H_{p+1}(v)$  which satisfies this linear system of rank  $p(p+1)/2$ , the dot product of  $H_{p+2}(v)$  with each of the  $p(p+1)/2 + p$  linearly independent vectors  $\{H_{m,a}: 1 \leq a \leq p, a \leq m \leq p+1\}$  is completely determined by (9) and (10). We continue in this fashion. Finally we find that the dot product of  $H_n(v)$  with each of the  $p(p+1)/2 + p(n-p-1)$  linearly independent vectors  $\{H_{m,a}: 1 \leq a \leq p, a \leq m \leq n-1\}$  is completely determined. Hence we find that  $\bar{\omega}_i^j(v)$  must satisfy a consistent system of linear equations which has rank  $np(n-p)/2$ . The polar system of  $E_z^p$  consists of these equations together with (3)-(6). Hence  $\dim H(E_z^p)$  depends only on  $n$  and  $p$  whenever  $E_z^p \in J^p$ .

(b) Suppose that  $E_z^p \in J^p$  is generated by  $e_1, \dots, e_p$ , such that  $\omega^a(e_b) = \delta_b^a$  and  $\omega^r(e_b) = 0$ . If  $0 \leq q \leq p$ , we let  $E_z^q$  be the  $q$ -dimensional integral element generated by  $e_1, \dots, e_q$ . Then  $E_z^q \in J^q$  and hence  $\dim H(E_z^q)$  is constant in a neighborhood of  $E_z^q$  in  $I^q(U)$ . It follows that  $E_z^q$  is regular. Consequently if  $E_z^p \in V^p$ , then it is a regular integral element.

(c) From (b) we only need to prove that if  $E_z^{n-1}$  is a regular integral element then  $E_z^{n-1} \in V^{n-1}$ . Since  $\pi_* \circ \rho_*(E_z^{n-1})$  is  $(n-1)$ -dimensional, we can find an element  $A \in O(n)$  such that  $\omega^n = 0$  on  $L_A(E_z^{n-1})$ . Hence, we can assume that  $E_z^{n-1}$  is generated by  $e_1, \dots, e_{n-1}$ , such that  $\omega^a(e_b) = \delta_b^a$  and  $\omega^n(e_b) = 0$ , where  $1 \leq a, b \leq n-1$ . Since  $E_z^{n-1}$  is regular, it follows that  $\dim H(E_z^{n-1})$  is constant in a neighborhood of  $E_z^{n-1}$  in  $I^{n-1}(U)$ . The polar system of  $E_z^{n-1}$  is given by (3)-(6) and (7) reduces to

$$(11) \quad \sum_{\lambda} h_{b\lambda}^i \bar{\omega}_n^\lambda(v) = \left( \sum_{\lambda} h_{n\lambda}^i h_{i\lambda}^b - R_{bni\lambda} \right) \omega^\lambda(v), \quad a \leq b.$$

As in (a) if we specify  $\omega^i(v)$ ,  $\omega^j(v)$  then  $\bar{\omega}^I(v)$ ,  $\bar{\omega}_i^j(v)$  and  $\bar{\omega}_a^i(v)$  will be uniquely determined by (3)-(6). Moreover the  $n(n-1)/2$  components  $\bar{\omega}_n^\lambda(v)$  must satisfy the linear system (11) which has exactly  $n(n-1)/2$  equations. Hence, if  $\dim H(E_z^{n-1})$  is constant in a neighborhood of  $E_z^{n-1}$ , then the determinant of the coefficient matrix in (11) is nonzero, i.e., the vectors  $\{H_{b,a}: 1 \leq a \leq b \leq n-1\}$  are linearly independent, which implies  $E_z^{n-1} \in J^{n-1}$ .

Let  $M$  be an  $n$ -dimensional Riemannian manifold and  $f: M \rightarrow E^N$  an isometric imbedding. If  $x_0 \in M$ , there exists a neighborhood  $V$  of

$x_0$  in  $M$  and a section  $\bar{\sigma}: V \rightarrow F(E^N)$  such that if  $\bar{\sigma}(x) = (f(x), \bar{e}_1(x), \dots, \bar{e}_n(x))$ , then  $\bar{e}_1(x), \dots, \bar{e}_n(x)$  are tangent to  $f(M)$ . We consider the section  $\sigma: V \rightarrow F(M)$ , defined by  $\sigma(x) = (x, e_1(x), \dots, e_n(x))$  where  $f_*(e_i(x)) = \bar{e}_i(x)$ . For simplicity, we denote by  $\omega^i, \omega_i^j$  the differential forms  $\sigma^*\omega^i, \sigma^*\omega_i^j$  induced on  $V$  and similarly  $\bar{\omega}^i, \bar{\omega}_i^j$  will denote the pulled-back forms  $\bar{\sigma}^*\bar{\omega}^i, \bar{\sigma}^*\bar{\omega}_i^j$  on  $V$ . Consider the map  $\Gamma: V \rightarrow B$  defined by  $\Gamma(x) = (\sigma(x), \bar{\sigma}(x))$ . Since  $f$  is an isometry,  $\Gamma(V)$  is an integral submanifold for  $U$  in  $B$ . We say that a  $q$ -dimensional vector space  $L^q \subset T_{x_0}M$ ,  $0 \leq q < n$  is regular if  $\Gamma_*(L)$  is a regular integral element for  $U$ . Similarly, a  $q$ -dimensional submanifold  $S$  of  $V$  is said to be characteristic, if  $\Gamma(S)$  is a characteristic submanifold of  $\Gamma(V)$ . The characteristic hypersurfaces of  $M$  have at each point a nonregular tangent space. Our next lemma characterizes the nonregular  $(n-1)$ -dimensional spaces tangent to  $M$ .

We denote the matrix  $H^\lambda = (h_{ij}^\lambda)$  where  $h_{ij}^\lambda = \bar{\omega}_i^\lambda(e_j)$ . Moreover, given a matrix  $A$ , we denote by  $A_b$  the  $b$ th row of  $A$  and  $A_b^t$  denotes the transpose of  $A_b$ . Assume  $\Gamma(V)$  is not a singular integral submanifold for  $U$ , then as an immediate consequence of Lemma 1(c), we obtain

LEMMA 2. Let  $u_i\omega^i = 0$  be an  $(n-1)$ -dimensional subspace of  $T_{x_0}M$ . We may assume that  $\sum_{i=1}^n u_i^2 = 1$ . Choose  $A = (a_{ij}) \in O(n)$  such that  $a_{ni} = u_i$ . Then  $u_i\omega^i = 0$  is nonregular if and only if the vectors

$$(A_a H^{n+1} A_b^t, \dots, A_a H^N A_b^t), \quad 1 \leq a \leq b \leq n-1$$

are linearly dependent, as vectors in  $E^{N-n}$ .

We remark that this condition determines a first order partial differential equation, and the characteristic hypersurfaces of  $M$  are the solutions of this equation. In the next section as a consequence of Lemma 3, the partial differential equation will be given in another form, which will not involve the choice of matrix  $A$ .

3. Asymptotic submanifolds; proof of main result. Let  $M$  be an  $n$ -dimensional  $C^\infty$  submanifold of  $E^N$ ,  $N = n(n+1)/2$  with the induced metric and such that the inclusion  $i: M \rightarrow E^N$  is nondegenerate. Let  $x \in M$  and denote by  $s$  the second fundamental form. A  $q$ -dimensional  $0 < q < n$  linear subspace  $L$  of the tangent space  $T_x M$  is called *asymptotic* if there exists a vector  $\xi$  normal to  $T_x M$  such that  $\langle s(X, Y), \xi \rangle = 0, \forall X, Y \in L$  where  $\langle, \rangle$  denotes the Euclidean metric. If  $L$  is of codimension one, we have an *asymptotic hyperplane* at  $x$ . A  $q$ -dimensional submanifold  $V$  of  $M$ ,  $q < n$  is called *asymptotic at  $x \in V$*  if  $T_x V$  is asymptotic and asymptotic if this is



true for each  $x \in V$ . It is not difficult to see that  $V$  is an asymptotic hypersurface of  $M$  if and only if there exists a normal to the osculating space of  $V$ , which is also normal  $M$ . The notation of asymptotic submanifold in a more general context can be found in [4].

Let  $e_1, \dots, e_N$  be an orthonormal frame defined on a neighborhood of  $x \in M$ , such that  $e_1, \dots, e_n$  are tangent to  $M$  and  $e_{n+1}, \dots, e_N$  are normal to  $M$ . Let  $\omega^1, \dots, \omega^N$  be the dual frame. With the same indices convention as in §2, we denote by  $h^i_j = \omega^i(e_j)$  where  $\omega^i$  are the connection forms. It follows from the definition that a hyperplane  $u_i \omega^i = 0$  is asymptotic if and only if the second fundamental forms  $h^i_j \omega^i \otimes \omega^j$  are linearly dependent when restricted to  $u_i \omega^i = 0$ .

The following algebraic lemma shows that the condition obtained in Lemma 2 is equivalent to saying that  $u_i \omega^i = 0$  is asymptotic. As in §2 given a matrix  $A$  we denote by  $A_b$  the  $b$ th row of  $A$  and  $A_b^t$  denotes the transpose of  $A_b$ .

**LEMMA 3.** *Let  $\varphi^i$  be  $n \times n$  symmetric matrices  $\lambda = n + 1, \dots, N$ .  $N = n(n + 1)/2$  and let  $A = (a_{ij}) \in O(n)$ . Then the vectors*

$$(A_b \varphi^{n+1} A_c^t, \dots, A_b \varphi^N A_c^t), \quad 1 \leq b \leq c \leq n - 1$$

are linearly dependent, as vectors in  $E^{N-n}$ , if and only if the quadratic forms  $\varphi_{ij} \omega^i \otimes \omega^j$  are linearly dependent when restricted to  $a_n \omega^i = 0$ , where  $\omega^i$  are  $n$  independent 1-forms.

*Proof.* The vectors  $(A_b \varphi^{n+1} A_c^t, \dots, A_b \varphi^N A_c^t)$  are linearly dependent iff  $\exists \alpha_\lambda \in \mathbf{R}$  not all zero, such that

$$A_b \left( \sum_{\lambda=n+1}^N \alpha_\lambda \varphi^\lambda \right) A_c^t = 0, \quad \forall 1 \leq b \leq c \leq n - 1.$$

We denote by  $D$  the matrix  $D = \sum_{\lambda} \alpha_\lambda \varphi^\lambda$  and  $W = (\omega^1, \dots, \omega^n)$ . We will prove that  $A_b D A_c^t = 0 \quad \forall 1 \leq b \leq c \leq n - 1$  if and only if  $W D W^t = 0$  whenever  $A_n W^t = 0$ .

Consider

$$(12) \quad W D W^t = W A^t (A D A^t) A W^t.$$

Suppose  $A_b D A_c^t = 0, \quad \forall 1 \leq b \leq c \leq n - 1$ , then since  $D$  is symmetric

$$W D W^t = [W A_1, \dots, W A_{n-1}^t W A_n^t] \begin{bmatrix} 0 & A_1 D A_n^t \\ & \vdots \\ & A_{n-1} D A_n^t \\ A_n D A_1^t & \dots & A_n D A_n^t \end{bmatrix} \begin{bmatrix} A_1 W^t \\ \vdots \\ A_{n-1} W^t \\ A_n W^t \end{bmatrix}.$$

Hence if  $A_n W^t = 0$  then  $WDW^t = 0$ , i.e., the quadratic forms  $W\varphi^t W^t$  are linearly dependent whenever  $A_n W^t = 0$ .

Conversely, suppose  $WDW^t = 0$  when  $A_n W^t = 0$ , then it follows from (12) that

$$(13) \quad 0 = \sum_{b=1}^{n-1} A_b DA_b^t \left( \sum_{k=1}^n a_{bk} \omega^k \right)^2 + 2 \sum_{\substack{b,c=1 \\ b < c}}^{n-1} A_b DA_c^t \left( \sum_{k,l=1}^n a_{bk} a_{cl} \omega^k \otimes \omega^l \right).$$

Let  $e_i$  be the dual basis of  $\omega^i$ , i.e.,  $\omega^i(e_j) = \delta_j^i$ . If we evaluate (13) at the pair  $(e_k, e_k)$  we get

$$\sum_{b=1}^{n-1} A_b DA_b^t a_{bk}^2 + 2 \sum_{\substack{b,c=1 \\ b < c}}^{n-1} A_b DA_c^t a_{bk} a_{ck} = 0, \quad \forall k = 1, \dots, n.$$

Adding over  $k$ , since  $A \in O(n)$  we get

$$(14) \quad \sum_{b=1}^{n-1} A_b DA_b^t = 0.$$

If we apply (13) to the pairs  $(e_k, e_l)$ ,  $(e_l, e_k)$ ,  $l \neq k$  and subtract we get

$$(15) \quad \sum_{\substack{b,c=1 \\ b < c}}^{n-1} A_b DA_c^t (a_{bk} a_{cl} - a_{bl} a_{ck}) = 0, \quad \forall 1 \leq k \leq l \leq n.$$

This is an homogeneous linear system of  $n(n-1)/2$  equations with  $(n-1)(n-2)/2$  unknowns  $A_b DA_c^t$ ,  $1 \leq b < c \leq n-1$ . We claim that the rank of this system is  $(n-1)(n-2)/2$ . In fact, otherwise it follows from Sylvester-Franke theorem on determinants ([8], p. 94, take  $m=2$ ), that the cofactor of  $a_{ii}$  in  $A$  is zero,  $\forall i=1, \dots, n$ , which contradicts the fact that  $\det A \neq 0$ . Hence from (15) we have that

$$(16) \quad A_b DA_c^t = 0, \quad 1 \leq b < c \leq n-1.$$

Now (13) reduces to

$$(17) \quad \sum_{b=1}^{n-1} A_b DA_b^t \left( \sum_{k=1}^n a_{bk} \omega^k \right)^2 = 0$$

and from (14) we have

$$(18) \quad A_{n-1} DA_{n-1}^t = - \sum_{b=1}^{n-2} A_b DA_b^t.$$

If we substitute (18) in (17) we get

$$\sum_{b=1}^{n-2} A_b DA_b^t \left( \sum_{k=1}^n (a_{bk} - a_{n-1k}) \omega^k \right) \left( \sum_{k=1}^n (a_{bk} + a_{n-1k}) \omega^k \right) = 0.$$

Applying this equation to the pairs of vectors  $(e_k, e_l)$ ,  $(e_l, e_k)$ ,  $l \neq k$  and subtracting we get

$$\sum_{b=1}^{n-2} A_b DA_b^i (a_{bk} a_{n-1l} - a_{n-1k} a_{bl}) = 0, \quad 1 \leq k < l \leq n.$$

This is a linear system of  $n(n-1)/2$  equations with  $n-2$  unknowns  $A_b DA_b^i$ ,  $1 \leq b \leq n-2$ . The rank of this system is  $n-2$ . Otherwise, using Laplace's development of a determinant in the general version (i.e., the determinant is a linear function of the minors comprised in any number of lines) we get that the system (15) has rank lower than  $(n-1)(n-2)/2$ , which is a contradiction. Therefore  $A_b DA_b^i = 0$  for  $b = 1, \dots, n-2$  and finally from (16) and (18) we conclude that  $A_b DA_b^i = 0 \forall 1 \leq b \leq c \leq n-1$ .

Let  $f: M \rightarrow E^N$  be an isometric embedding, with the same notation as in 2, we say that  $f$  is *singular* if  $\forall x \in M, \Gamma_*(T_x M)$  is not an ordinary integral element for  $U$  in  $B$ . Then our main result follows immediately from Lemmas 2 and 3:

**THEOREM.** *Let  $f: M \rightarrow E^N$  be a nonsingular isometric imbedding. An  $(n-1)$ -dimensional submanifold of  $M$  is characteristic if and only if it is asymptotic.*

We remark that  $f$  being nonsingular implies that  $f$  is nondegenerate, but for  $n > 2$  it may exist a nondegenerate isometric imbedding which is singular; in this case all hypersurfaces would be asymptotic.

We observe that it is not difficult to prove that  $u_i \omega^i = 0$  is asymptotic if and only if there exist real numbers  $a_\lambda, b_i$  not all zero, such that

$$a_\lambda h_{ij}^{\lambda} \omega^i \otimes \omega^j \equiv u_i \omega^i \otimes b_j \omega^j.$$

This reduces to a homogeneous equation in  $u_i$  of degree  $n$ ,  $P(u_1, u_2, \dots, u_n) = 0$ . In order to describe the polynomial  $P$  we consider the matrices

$$U_0 = \begin{bmatrix} u_1 & & & 0 \\ & u_2 & & \\ & & \ddots & \\ 0 & & & u_n \end{bmatrix} \quad U_p = \begin{bmatrix} 0 & 0 & 0 \\ \vdots & \vdots & \vdots \\ 0 & 0 & 0 \\ u_{p+1} & u_{p+2} & \dots & u_n \\ u_p & & & 0 \\ & u_p & & \\ & & \ddots & \\ 0 & & & u_p \end{bmatrix}$$

where  $U_p$  has the first  $(p-1)$  rows equal to zero,  $1 \leq p \leq n-1$

$$A_0 = \begin{bmatrix} h_{11}^{n+1} & h_{22}^{n+1} & \cdots & h_{nn}^{n+1} \\ \vdots & \vdots & & \vdots \\ h_{11}^N & h_{22}^N & \cdots & h_{nn}^N \end{bmatrix} \quad A_p = 2 \begin{bmatrix} h_{pp+1}^{n+1} & h_{pp+2}^{n+1} & \cdots & h_{pn}^{n+1} \\ \vdots & \vdots & & \vdots \\ h_{pp+1}^N & h_{pp+2}^N & \cdots & h_{pn}^N \end{bmatrix},$$

$$1 \leq p \leq n-1.$$

Then

$$P(u_1, u_2, \dots, u_n) = \det \begin{bmatrix} U_0 & U_1 & \cdots & U_{n-1} \\ A_0 & A_1 & \cdots & A_{n-1} \end{bmatrix} = 0.$$

Hence the characteristic hypersurfaces of  $M$  are the solutions of the first order partial differential equation defined by  $P(u_1, \dots, u_n) = 0$ . For  $n = 3$  this equation was obtained by Cartan ([2], p. 208).

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