# Pacific Journal of Mathematics

LINEAR OPERATORS FOR WHICH  $T^*T$  AND  $T + T^*$ COMMUTE, III

STEPHEN LAVERN CAMPBELL

Vol. 76, No. 1 November 1978

# LINEAR OPERATORS FOR WHICH T\*T AND T + T\* COMMUTE III

### STEPHEN L. CAMPBELL

Let  $\Theta$  denote the set of all linear operators T acting on a separable Hilbert space  $\mathscr H$  for which  $T^*T$  and  $T+T^*$  commute. It will be shown that if  $T\in\Theta$  and  $T^*$  is hyponormal, then T is normal. Also if  $T\in\Theta$  and T is hyponormal, then T is subnormal.

I. Introduction. Operators in  $\Theta$  need not be hyponormal [4], but have many hyponormal-like properties [1]-[4], [7], [8]. Therefore our first result is not surprising.

THEOREM 1. If  $T \in \Theta$  and  $T^*$  is hyponormal, then T is normal.

Let  $(QA) = \{T \mid T = Q + A, [Q, Q^*Q] = 0, A = A^*, [A; Q] = 0\}$  where [X, Y] = XY - YX. Then  $(QA) \subset \Theta$  [2] and all operators in (QA) are subnormal. In [4] an example of a hyponormal operator in  $\Theta$ , that is not in (QA), is given. That operator is a block weighted shift. Given that it is much "easier" for a shift to be hyponormal instead of subnormal, our second result is, at least to us, surprising.

THEOREM 2. If  $T \in \Theta$  and T is hyponormal, then T is subnormal.

2. Proof. The proofs of Theorems 1 and 2 are closely related. If A is a positive linear operator with spectral resolution  $A=\int \lambda dE(\lambda)$ , then  $A^+$  is defined by  $A^+=\int \lambda^+ dE(\lambda)$ , where  $\lambda^+=1/\lambda$  if  $\lambda\neq 0$  and  $0^+=0$ . Note that  $A^+$ , while possibly unbounded, is self-adjoint, and  $\mathscr{D}(A^+)=R(A)$ . Here  $\mathscr{D},R$  denote domain and range. The null space is denoted N.

Proof of Theorem 2. Suppose  $T \in \Theta$  and  $[T^*T - TT^*] \ge 0$ . Without loss of generality assume ||T|| < 1. Let  $A = [T^*T - TT^*]^{1/2}$  be the positive square root of  $[T^*T - TT^*]$ . Then  $T^*A^2 = A^2T$  since  $T \in \Theta$  [1]. Thus  $A^+T^*A^2 = AT$ . Hence,  $A^+T^*Ax = ATA^+x$  for all  $x \in \mathcal{D}(A^+)$ . Let  $B = ATA^+$ . Since AT is bounded,  $B^* = A^+T^*A$ , and  $B \subseteq B^*$ . But  $\lambda - A^+T^*A = A^+(\lambda - T^*)A + \lambda(I - A^+A)$ . Since  $(i + T^*)$ ,  $(i - T^*)$  are both invertible, both deficiency indices of B are zero. Thus  $\overline{B} = B^*$  where  $\overline{B}$  is the closure of B [5, p. 1230]. Now on  $\hat{\mathcal{H}} = \mathcal{H} \oplus \mathcal{H}$ , define

$$N = egin{bmatrix} T & A & 0 \ 0 & ar{B} & A \ 0 & 0 & T^* \end{bmatrix}$$
 .

But for all  $x \in \mathcal{D}(B) = \mathcal{D}(A^+)$ ,  $AB = T^*A$ . Hence  $A\bar{B} = T^*A$  for all  $x \in (\bar{B})$ . Since A,  $T^*$  are bounded, we also have  $\bar{B}^*A = \bar{B}A = AT$ . But then N is closed and  $N^*N = NN^*$ . Hence N is normal [5, 1258–1259] and

$$(1)$$
  $Nx = \lim_{n \to \infty} \int_{|\lambda| \le n} \lambda F(d\lambda) x$  ,  $x \in \mathscr{D}(N)$ 

for a resolution of the identity  $F(\cdot)$  defined on the complex plane.  $\mathscr{D}(N)$  is just those x for which the limit in (1) exists. Note that  $N-N^*$  is bounded and hence the support of  $F(\cdot)$  lies in a horizontal strip. Let  $\Delta=\{\lambda||\lambda|\leq||T||\}$ . We now wish to show that  $F(\Delta)\mathscr{H}=\mathscr{H}$  when  $\mathscr{H}$  is imbedded into  $\hat{\mathscr{H}}$  by  $\mathscr{H}\to\mathscr{H}\oplus 0\oplus 0$ . But  $x\in R(F(\Delta))$  if and only if both

(i)  $x \in \mathscr{D}(N^m)$  for all  $m \ge 0$ 

and

(ii)  $||N^m x||/||T||^m \le ||x||$  for all  $m \ge 0$ .

Since  $\mathscr{H}$  clearly satisfies both (i) and (ii), we have  $F(\Delta)\mathscr{H}=\mathscr{H}$ . But then  $NF(\Delta)$  is a bounded normal extension of T and T is subnormal as desired.

Proof of Theorem 1. Suppose that  $T \in \Theta$  and  $T^*$  is hyponormal. We shall first show that  $T^*$  is subnormal. Let  $A = [TT^* - T^*T]^{1/2}$  be the positive square root of  $[TT^* - T^*T]$ . Again,

 $T^*A^2=A^2T$ . Define  $B,\,ar{B}$  as in the proof of Theorem 2. This time let

$$N = egin{bmatrix} T & 0 & 0 \ A & ar{B} & 0 \ 0 & A & T^* \end{bmatrix}$$
 .

Again N is a possibly unbounded normal operator, and one can argue that  $N^*F(\Delta)$  is a normal extension of  $T^*$ . Hence  $T^*$  is subnormal. The remainder of the proof is a modification of the proof of Lemma 2 in [9].

Let  $M = \begin{bmatrix} T^* & C \\ 0 & B \end{bmatrix}$  be the normal extension of  $T^*$ . Let  $L = \begin{bmatrix} D & 0 \\ 0 & 0 \end{bmatrix}$ , where  $D = [TT^* - T^*T] \ge 0$ . Then  $ML = LM^*$  since  $T \in \Theta$ . Hence by the Fuglede-Putnam theorem  $M^*L = LM$  and  $LM = M^*L$ . Thus

$$DT^* = TD$$
,  $DC = 0$ .

But  $T^*D = DT$  since  $T \in \Theta$ . Hence

$$DTT^* = T^*TD$$
.

or equivalently,

$$(TT^* - T^*T)(TT^*) = T^*T(TT^* - T^*T)$$
.

Simplifying gives

$$(TT^*)^2 + (T^*T)^2 = 2(T^*T)(TT^*)$$
 .

Hence  $[T^*T, TT^*] = 0$ . But  $T \in \Theta$  and  $[T^*T, TT^*] = 0$  implies T is quasinormal [6]. Hence T is subnormal. But then T is normal since T and  $T^*$  are both subnormal.

It should be noted that one has to consider the extensions of B in the proofs since  $A^+$  may be unbounded. Examples can easily be constructed by taking direct sums of multiples of the block shift in [4].

### REFERENCES

- 1. S. L. Campbell, Operator valued inner functions analytic on the closed disc II, Pacific J. Math., 61 (1975), 53-58.
- 2. ——, Linear operators for which  $T^*T$  and  $T+T^*$  commute, Pacific J. Math., **61** (1975), 53-57.
- 3. S. L. Campbell and Ralph Gellar, Spectral properties of linear operators for which  $T^*T$  and  $T+T^*$  commute, Proc. Amer. Math. Soc., **60** (1976), 197-202.
- 4. ——, Linear operators for which  $T^*T$  and  $T+T^*$  commute II, Trans. Amer. Math. Soc., **224** (1977), 305-319.
- 5. N. Dunford and J. Schwartz, *Linear Operators*, *Part II*, Interscience Publishers, New York, New York, 1963.
- 6. Mary Embry, Conditions implying normality in Hilbert space, Pacific J. Math., 18 (1966), 457-460.
- 7. V. I. Istratescu, A characterization of hermitian operators and related classes of operators I, preprint.
- 8. ———, A class of operators satisfying  $\operatorname{Re} \sigma(T) = \sigma(\operatorname{Re} T)$ , preprint.
- 9. H. Radjavi and P. Rosenthal, On roots of normal operators, J. Math. Anal. Appl., 34 (1971), 653-664.

Received March 21, 1977.

NORTH CAROLINA STATE UNIVERSITY RALEIGH, NC 27607

### PACIFIC JOURNAL OF MATHEMATICS

### EDITORS

RICHARD ARENS (Managing Editor)

University of California Los Angeles, California 90024

C. W. CURTIS

University of Oregon Eugene, OR 97403

C.C. MOORE

University of California Berkeley, CA 94720 J. Dugundji

Department of Mathematics University of Southern California Los Angeles, California 90007

R. FINN AND J. MILGRAM

Stanford University Stanford, California 94305

### ASSOCIATE EDITORS

E. F. BECKENBACH

B. H. NEUMANN

F. Wolf

K. Yoshida

### SUPPORTING INSTITUTIONS

UNIVERSITY OF BRITISH COLUMBIA
CALIFORNIA INSTITUTE OF TECHNOLOGY
UNIVERSITY OF CALIFORNIA
MONTANA STATE UNIVERSITY
UNIVERSITY OF NEVADA, RENO
NEW MEXICO STATE UNIVERSITY
OREGON STATE UNIVERSITY
UNIVERSITY OF OREGON

UNIVERSITY OF SOUTHERN CALIFORNIA STANFORD UNIVERSITY UNIVERSITY OF HAWAII UNIVERSITY OF TOKYO UNIVERSITY OF UTAH WASHINGTON STATE UNIVERSITY UNIVERSITY OF WASHINGTON

Printed in Japan by International Academic Printing Co., Ltd., Tokyo, Japan

## **Pacific Journal of Mathematics**

Vol. 76, No. 1 November, 1978

Ata Nuri Al-Hussaini, Potential operators and equimeasurability	1			
Tim Anderson and Erwin Kleinfeld, Semisimple nil algebras of type $\delta$	9			
Stephen LaVern Campbell, <i>Linear operators for which</i> $T^*T$ <i>and</i> $T + T^*$				
commute. III	17			
Robert Jay Daverman, Special approximations to embeddings of				
codimension one spheres	21			
Donald M. Davis, Connective coverings of BO and immersions of projective				
spaces	33			
V. L. (Vagn Lundsgaard) Hansen, The homotopy type of the space of maps of				
a homology 3-sphere into the 2-sphere	43			
James Victor Herod, A product integral representation for the generalized				
inverse of closed operators	51 61			
A. A. Iskander, <i>Definability in the lattice of ring varieties</i>				
Russell Allan Johnson, Existence of a strong lifting commuting with a				
compact group of transformations	69 83			
Heikki J. K. Junnila, <i>Neighbornets</i>				
Klaus Kalb, On the expansion in joint generalized eigenvectors	109			
F. J. Martinelli, Construction of generalized normal numbers				
Edward O'Neill, On Massey products	123			
Vern Ival Paulsen, Continuous canonical forms for matrices under unitary				
equivalence	129			
Justin Peters and Terje Sund, Automorphisms of locally compact groups	143			
Duane Randall, Tangent frame fields on spin manifolds	157			
Jeffrey Brian Remmel, <i>Realizing partial orderings by classes of co-simple</i>				
sets	169			
J. Hyam Rubinstein, One-sided Heegaard splittings of 3-manifolds	185			
Donald Charles Rung, Meier type theorems for general boundary approach				
and σ-porous exceptional sets	201			
Ryōtarō Satō, <i>Positive operators and the ergodic theorem</i>	215			
Ira H. Shavel, A class of algebraic surfaces of general type constructed from				
quaternion algebras	221			
Patrick F. Smith, Decomposing modules into projectives and injectives	247			
Sergio Eduardo Zarantonello, <i>The sheaf of outer functions</i> in the				
polydisc	267			