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## PLURISUBHARMONIC DEFINING FUNCTIONS

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### PLURISUBHARMONIC DEFINING FUNCTIONS

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Let  $\Omega$  be a bounded pseudoconvex open set in *n*-dimensional complex Euclidean space  $C^n$  with a smooth  $(\mathscr{C}^{\infty})$ -boundary. It has been known for some time that it is not always possible to choose a defining function  $\rho$  which is plurisubharmonic in a neighborhood of  $\overline{\Omega}$ . We study here the question whether for every point  $p \in \partial \Omega$ , there exists an open neighborhood on which  $\rho$  can be chosen to be plurisubharmonic. Our main conclusion is that this is not always the case.

1. Notation and results. In what follows,  $\Omega$  will always be a bounded open set in  $C^n$  with  $\mathscr{C}^{\infty}$ -boundary. This means that there exists a real-valued  $\mathscr{C}^{\infty}$ -function  $\rho: C^n \to R$  such that  $\Omega = \{\rho < 0\}$  and  $d\rho \neq 0$  on  $\partial \Omega$ . Let  $z = (z_1, z_2, \dots, z_n), z_j = x_j + iy_j$ , denote complex coordinates in  $C^n$ , and define

$$rac{\partial}{\partial z_j} = rac{1}{2} \Bigl( rac{\partial}{\partial x_j} - i rac{\partial}{\partial y_j} \Bigr) \ , \quad rac{\partial}{\partial \overline{z}_j} = rac{1}{2} \Bigl( rac{\partial}{\partial x_j} + i rac{\partial}{\partial y_j} \Bigr) \ .$$

DEFINITION 1. The set  $\Omega$  is pseudoconvex if for every  $p \in \partial \Omega$ , we have

$$(1) \qquad \qquad \sum_{i,j=1}^n rac{\partial^2 
ho}{\partial z_i \partial \overline{z}_j} (p) t_i \overline{t}_j \geq 0$$

whenever

$$t=(t_1, \cdots, t_n)\in C^n-(0) \text{ and } \sum_{i=1}^n rac{\partial 
ho}{\partial z_i}(p)t_i=0$$
 .

If we have strict inequality in (1) for all  $p \in \partial \Omega$ , then  $\Omega$  is said to be strongly pseudoconvex.

DEFINITION 2. A real-valued  $\mathcal{C}^2$ -function, u, defined on an open set V in  $C^n$  is plurisubharmonic if

$$\sum_{i,j=1}^n rac{\partial^2 u}{\partial {oldsymbol z}_i \partial {oldsymbol \overline z}_j}(p) t_i {oldsymbol \overline t}_j \geqq 0$$

whenever  $p \in V$  and  $t = (t_1, \dots, t_n) \in \mathbb{C}^n - (0)$ .

If we have strict inequality for all  $p \in V$ , then u is strictly plurisubharmonic.

The following results are known:

THEOREM 3 [2]. If  $\Omega$  is strongly pseudoconvex, then  $\rho$  may be chosen to be strictly plurisubharmonic in some neighborhood of  $\overline{\Omega}$ .

The next example shows that the theorem fails in general if we drop the hypothesis of *strong* pseudoconvexity.

EXAMPLE 4 [1]. There exists a bounded pseudoconvex domain  $\Omega$  in  $C^2$ , with  $\mathscr{C}^{\infty}$ -boundary, such that no  $(\mathscr{C}^2)$  defining function  $\rho$  exists with

$$\sum_{i,j=1}^{n} \frac{\partial^{2} \rho}{\partial z_{i} \partial \overline{z}_{j}}(p) t_{i} \overline{t}_{j} \geq 0$$

whenever

$$p \in \partial \Omega$$
 and  $t = (t_1, \dots, t_n) \in C^n$ .

There exists an example, similar to the one above, which has a real analytic boundary.

EXAMPLE 5. Let

$$egin{aligned} arOmega &= arOmega_{\scriptscriptstyle K} = \{(z_1, \, z_2) \in ({m C} - ({m 0})) imes \, {m C}; \, \sigma \ &= |\, z_2 \, + \, e^{i \ln z_1 ar z_1}|^2 - {m 1} + \, K (\ln z_1 ar z_1)^4 < {m 0} \} \; . \end{aligned}$$

Then, if, K > 1 is sufficiently large,  $\Omega$  is a bounded pseudoconvex domain in  $C^2$  with smooth real analytic boundary, such that no  $\mathscr{C}^2$  defining function,  $\rho$ , exists such that

$$\sum_{i,j=1}^{^2}rac{\partial^2
ho}{\partial z_i\partial\overline{z}_j}(p)t_i\overline{t}_j\geqq 0$$

whenever  $p \in \partial \Omega$  and  $(t_1, t_2) \in C^2$ .

The details will be given in the next section.

EXAMPLE 6. There exists a bounded pseudoconvex domain  $\Omega$  in  $C^3$ , with  $\mathscr{C}^{\infty}$ -boundary, and a point  $p \in \partial \Omega$  such that whenever  $\rho$  is a  $\mathscr{C}^2$  defining function for  $\Omega$ ,

$$\sum\limits_{i,j=1}^{3}rac{\partial^{2}
ho}{\partial z_{i}\partial \overline{z}_{j}}(q)t_{i}\overline{t}_{j}<0$$

for some  $(t_1, \dots, t_n)$  and  $q \in \partial \Omega$  arbitrarily close to p.

This example shows that one does not have plurisubharmonic

defining functions for pseudoconvex domains, even locally, in general.

2. Examples.

EXAMPLE 5. Clearly,  $\Omega$  is bounded in  $(C - (0)) \times C$ . If  $\partial \sigma / \partial z_2 = 0$ , then  $z_2 = -e^{i \ln z_1 \overline{z_1}}$ . Hence, if  $d\sigma = 0$ , then  $0 = z_1 \partial \sigma / \partial z_1 = 4K(\ln z_1 \overline{z_1})^3$ . This implies that  $|z_1| = 1$  and  $z_2 = -1$ . At such points,  $\sigma(z_1, z_2) = -1$ , so  $d\sigma \neq 0$  on  $\partial \Omega$ .

To show that  $\Omega$  is pseudoconvex, we compute the Leviform

$$\mathscr{L} = \frac{\partial^2 \sigma}{\partial z_1 \partial \overline{z}_1} \left| \frac{\partial \sigma}{\partial z_2} \right|^2 - \frac{\partial^2 \sigma}{\partial z_1 \partial \overline{z}_2} \frac{\partial \sigma}{\partial z_2} \frac{\partial \sigma}{\partial \overline{z}_1} - \frac{\partial^2 \sigma}{\partial \overline{z}_1 \partial z_2} \cdot \frac{\partial \sigma}{\partial \overline{z}_1} \cdot \frac{\partial \sigma}{\partial \overline{z}_2} + \frac{\partial^2 \sigma}{\partial z_2 \partial \overline{z}_2} \cdot \left| \frac{\partial \sigma}{\partial z_1} \right|^2$$

to obtain

$$\mathscr{L} = rac{z_2 ar{z}_2 + K(\ln z_1 ar{z}_1)^4 + 12K(\ln z_1 ar{z}_1)^2}{z_1 ar{z}_1} \cdot |z_2 + e^{i \ln z_1 ar{z}_1}|^2 \ + 4K rac{(\ln z_1 ar{z}_1)^3}{z_1 ar{z}_1} (i ar{z}_2 e^{i \ln z_1 ar{z}_1} - i z_2 e^{-i \ln z_1 ar{z}_1}) + 16K^2 rac{(\ln z_1 ar{z}_1)^6}{z_1 ar{z}_1}$$

on  $\partial \Omega$ .

If  $|z_2 + e^{i \ln z_1 \overline{z_1}}| \geq 1/2$ , we have

 $\mathscr{L} \geq 3K(\ln z_1\overline{z}_1)^2/z_1\overline{z}_1 - 16K|\ln z_1\overline{z}_1|^3/z_1\overline{z}_1$  ,

since  $|z_2| \leq 2$  on  $\partial \Omega$ . If K is sufficiently large, then  $|\ln z_1 \overline{z}_1| < 3/16$ on  $\partial \Omega$  and hence  $\mathscr{L} \geq 0$ .

Consider next a boundary point where  $|z_2 + e^{i \ln z_1 \overline{z_1}}| < 1/2$ . Then  $K(\ln z_1 \overline{z_1})^4 \geq 3/4$ , since  $\sigma(z_1, z_2) = 0$ . Hence

$$\mathscr{L} \ge -16K |\ln z_1 \overline{z}_1|^3 / z_1 \overline{z}_1 + 16K^2 (\ln z_1 \overline{z}_1)^6 / z_1 \overline{z}_1 | = 16K |\ln z_1 \overline{z}_1|^3 / z_1 \overline{z}_1 (-1 + K (\ln z_1 \overline{z}_1)^4 / |\ln z_1 \overline{z}_1|)$$

which is nonnegative if K is sufficiently large.

Assume next that  $\rho$  is a  $\mathscr{C}^2$  defining function for  $\Omega$  such that

$$\sum_{i,j=1}^{2}rac{\partial^{2}
ho}{\partial z_{i}\partial \overline{z}_{j}}(p)t_{i}\overline{t}_{j}\geq0$$

whenever  $p \in \partial \Omega$  and  $(t_1, t_2) \in C^2$ . In particular,  $\rho = h\sigma$  for some  $\mathscr{C}^{1,2}$  function h > 0. We observe that  $\partial^2 \rho / \partial z_1 \partial \overline{z}_1(z_1, z_2) = 0$  whenever  $|z_1| = 1$  and  $z_2 = 0$ . (All such points are in  $\partial \Omega$ .) Therefore,  $\partial^2 \rho / \partial \overline{z}_1 \partial z_2(z_1, z_2) = 0$  at these points also. Hence

$$\Big(rac{\partial h}{\partial \overline{z}_1} rac{\partial \sigma}{\partial z_2} + h rac{\partial^2 \sigma}{\partial \overline{z}_1 \partial z_2}\Big) (e^{i heta}, 0) \equiv 0$$

and so

$$rac{\partial}{\partial \overline{z}_1}(he^{i\ln z_1\overline{z}_1})(e^{i heta},\,0)\equiv 0$$
 .

Multiplying with  $e^{i \operatorname{Log} z_1}$  we get that

$$rac{\partial}{\partial \overline{z}_{_1}}(he^{-2\mathrm{Arg}\,z_1})(e^{i heta},\,0)\equiv 0$$

which implies that  $h(e^{i\theta}, 0) = ce^{2\theta}$  for some constant c > 0. This is of course impossible.

In the next example, we localize the above idea suitably.

EXAMPLE 6. Let us use coordinates  $(w, z_1, z_2)$  in  $C^3$  with  $w = \eta + i\zeta$  and  $z_j = x_j + iy_j$ , j = 1, 2. We pick a  $\mathscr{C}^{\infty}$ , convex function  $\chi_1(t): \mathbf{R} \to \mathbf{R}$  such that  $\chi_1(t) = 0$  when  $t \leq 1$  and  $\chi_1(t) > 0$  when t > 0. Define  $\sigma_1: C^3 \to \mathbf{R}$  by

$$\sigma_{_1}=\eta\,+\,\eta^{_2}\,+\,K\zeta^{_2}\,+\,K(y_{_1}^{_2}\,+\,y_{_2}^{_2})^2\,+\,(y_{_1}^{_2}\,+\,y_{_2}^{_2})\zeta^{_2}\,+\,\chi_{_1}(x_{_1}^{_2}\,+\,x_{_2}^{_2})$$
 ,

and let  $\Omega_1 = \{\sigma_1 < 0\}$ . Here  $K \gg 1$  is a constant which will be chosen later.

LEMMA 7. The set  $\Omega_1$  is bounded and pseudoconvex with  $\mathscr{C}^{\infty}$ -boundary for all K sufficiently large.

*Proof.* Computation shows that  $d\sigma_1 = 0$  only at points  $(-1/2, x_1, x_2)$  with  $x_1^2 + x_2^2 \leq 1$ . Since  $\sigma_1 = -1/4$  at these points, it follows that  $d\sigma_1 \neq 0$  on  $\partial \Omega_1$ . Further computation shows that  $\sigma_1$  is plurisubharmonic in a neighborhood of  $\overline{\Omega}_1$  if K is sufficiently large.

In the following K, sufficiently large, is fixed.

The next step is to make an infinite number of perturbations of the boundary of  $\Omega_1$ . Let  $p_j = (0, 1/2^j, 0), j = 1, 2, \cdots$  and let  $B(p_j, r) = \{(w, z_1, z_2); (|w|^2 + |z_1 - 1/2^j|^2 + |z_2|^2)^{1/2} < r\}$  be the ball centered at  $p_j$  of radius r. Choose functions  $\chi^{(j)} \in \mathscr{C}_0^{\infty}(B(p_j, 1/2^{j+2}))$ with  $\chi^{(j)} \equiv 1$  on  $B(p_j, 1/2^{j+3})$  and  $\chi^{(j)} \ge 0, j = 1, 2, \cdots$ . Observe that  $\sup \chi^{(i)} \cap \sup \chi^{(j)} = \emptyset$  whenever  $i \neq j$ . We may arrange that  $|d\chi^{(j)}|^2 \le C_j \chi^{(j)}$  and  $|\partial\chi^{(j)}/\partial y_k| \le C_j |y_k|$  for suitable  $C_1, C_2, \cdots$ , and k = 1, 2. Let  $\varepsilon = \{\varepsilon_j\}_{j=1}^{\infty}$  denote a rapidly decreasing sequence,  $\varepsilon_1 > \varepsilon_2 > \cdots > 0$  and define

$$\sigma_{\scriptscriptstyle 2} = \sigma_{\scriptscriptstyle 1} + \sum\limits_{j=1}^\infty arepsilon_j \chi^{(j)} \! \cdot \! (y_{\scriptscriptstyle 1}^{\scriptscriptstyle 2} + y_{\scriptscriptstyle 2}^{\scriptscriptstyle 2}) \! \cdot \! x_{\scriptscriptstyle 2}^{\scriptscriptstyle 2}$$
 .

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Clearly  $\sigma_2$  is a  $\mathscr{C}^{\infty}$ -function, and if  $\Omega_2 = \{\sigma_2 < 0\}$ , then  $d\sigma_2 \neq 0$  on  $\partial \Omega_2$  and  $\Omega_2$  is a bounded domain which is pseudoconvex at every point in  $\partial \Omega_2 - \bigcup_j B(p_j, 1/2^{j+2})$ .

LEMMA 8. The set  $\Omega_2$  is pseudoconvex if  $\varepsilon$  decreases sufficiently fast.

*Proof.* Fix a  $j \ge 1$ . It suffices to show that  $\sigma_1 + \varepsilon_j \chi^{(j)} \cdot (y_1^2 + y_2^2) x_2^2$  is plurisubharmonic in  $B(p_j, 1/2^{j+2})$  for all small enough  $\varepsilon_j > 0$ . This is checked by a direct computation.

We fix a sequence  $\{\varepsilon_i\}$  decreasing sufficiently fast.

To complete the construction of the example, we will perturbe  $\sigma_2$  inside each  $B(p_j, 1/2^{j+3})$ . More precisely, let  $\chi_{(j)} \in \mathscr{C}_0^{\infty}(B(p_j, 1/2^{j+3}))$  with

$$\int_{\mathbf{R}} \left( \frac{\partial \chi_{(j)}}{\partial x_1} + \chi_{(j)} \right) (0, x_1, 0) dx_1 \neq 0$$

for each  $j, \chi_{(j)} \ge 0$ . We may assume that  $|\partial \chi_{(j)} / \partial \eta|$ ,  $|\partial \chi_{(j)} / \partial \zeta|$ ,  $|\partial \chi_{(j)} / \partial y_k|$ ,  $|\partial \chi_{(j)} / \partial x_2| \le C_j (|\eta| + |\zeta| + |x_2| + |y_1| + |y_2|)$ ,  $k = 1, 2, C_j$  some constant.

If  $\delta = \{\delta_j\}_{j=j_0}^{\infty}$ ,  $\delta_{j_0} > \delta_{j_{0+1}} > \cdots > 0$  is any sufficiently rapidly decreasing sequence,

$$\sigma = \sigma_2 + \sum_{j=j_0}^{\infty} \delta_j \chi_{(j)} \cdot (\eta + \zeta y_1)$$

is a  $\mathscr{C}^{\infty}$ -function and  $d\sigma \neq 0$  on  $\partial \Omega$ ,  $\Omega = \{\sigma < 0\}$ . Moreover,  $\Omega$  is a bounded domain which is pseudoconvex on  $\partial \Omega - \bigcup B(p_j, 1/2^{j+3})$ .

**LEMMA 9.** The set  $\Omega$  is pseudoconvex if  $\delta$  decreases sufficiently fast, and  $j_0$  is sufficiently large.

**Proof.** Fix a  $j \gg 1$ . It suffices to show that  $\Omega$  is pseudoconvex at those boundary points which are in  $B(p_j, 1/2^{j+3})$  for all  $\delta_j$  sufficiently small. In  $B(p_j, 1/2^{j+3})$ ,  $\sigma = \eta + \eta^2 + K\zeta^2 + K(y_1^2 + y_2^2)^2 + (y_1^2 + y_2^2)\zeta^2 + \varepsilon_j(y_1^2 + y_2^2) \cdot x_2^2 + \delta_j \chi_{(j)} \cdot (\eta + \zeta y_1)$ . Differentiating, we obtain:

$$egin{aligned} rac{\partial \sigma}{\partial w} &= rac{1}{2} + \eta - i K \zeta - i \zeta \left(y_1^2 + y_2^2
ight) + \delta_j rac{\partial \chi_{(j)}}{\partial w} \cdot \left(\eta + \zeta y_1
ight) \ &+ rac{1}{2} \delta_j \chi_{(j)} - rac{i}{2} \delta_j \chi_{(j)} y_1 \ , \ &rac{\partial \sigma}{\partial z_1} &= -2 i K (y_1^3 + y_1 y_2^2) - i y_1 \zeta^2 - i arepsilon_j y_1 x_2^2 \ &+ \delta_j rac{\partial \chi_{(j)}}{\partial z_1} \cdot \left(\eta + \zeta y_1
ight) - rac{i}{2} \delta_j \chi_{(j)} \cdot \zeta \ , \end{aligned}$$

$$\begin{split} \frac{\partial \sigma}{\partial z_2} &= -2iK(y_1^2y_2 + y_2^2) - iy_2\zeta^2 - i\varepsilon_j y_2 x_2^2 + \varepsilon_j (y_1^2 + y_2^2) x_2 \\ &+ \delta_j \frac{\partial \chi_{(j)}}{\partial z_2} \cdot (\eta + \zeta y_1) , \\ \frac{\partial^2 \sigma}{\partial w \partial \overline{w}} &= \frac{1}{2} + \frac{K}{2} + \frac{1}{2}(y_1^2 + y_2^2) + \delta_j \frac{\partial^2 \chi_{(j)}}{\partial w \partial \overline{w}} \cdot (\eta + \zeta y_1) \\ &+ \frac{1}{2} \delta_j \frac{\partial \chi_{(j)}}{\partial w} + \frac{i}{2} \partial_j \frac{\partial \chi_{(j)}}{\partial w} \cdot y_1 + \frac{1}{2} \delta_j \frac{\partial \chi_{(j)}}{\partial \overline{w}} \cdot (\eta + \zeta y_1) \\ &- \frac{i}{2} \delta_j \frac{\partial \chi_{(j)}}{\partial \overline{w}} \cdot y_1 , \\ \frac{\partial^2 \sigma}{\partial w \partial \overline{z}_1} &= \zeta y_1 + \delta_j \frac{\partial^2 \chi_{(j)}}{\partial \overline{w} \partial \overline{z}_1} \cdot (\eta + \zeta y_1) + \frac{i}{2} \delta_j \frac{\partial \chi_{(j)}}{\partial \overline{w}} \cdot \zeta \\ &+ \frac{1}{2} \delta_j \frac{\partial \chi_{(j)}}{\partial \overline{z}_1} - \frac{i}{2} \partial_j \frac{\partial \chi_{(j)}}{\partial \overline{z}_1} y_1 + \frac{1}{4} \partial_j \chi_{(j)} , \\ \frac{\partial^2 \sigma}{\partial w \partial \overline{z}_2} &= \zeta y_2 + \delta_j \frac{\partial^2 \chi_{(j)}}{\partial \overline{w} \partial \overline{z}_2} \cdot (\eta + \zeta y_1) + \frac{1}{2} \delta_j \frac{\partial \chi_{(j)}}{\partial \overline{z}_2} - \frac{i}{2} \delta_j \frac{\partial \chi_{(j)}}{\partial \overline{z}_2} \cdot y_1 , \\ \frac{\partial^2 \sigma}{\partial z_1 \partial \overline{z}_1} &= 3Ky_1^2 + Ky_2^2 + \frac{1}{2} \zeta^2 + \frac{1}{2} \varepsilon_j x_2^2 + \delta_j \frac{\partial^2 \chi_{(j)}}{\partial \overline{z}_1} \cdot (\eta + \zeta y_1) \\ &+ \frac{i}{2} \delta_j \frac{\partial \chi_{(j)}}{\partial \overline{z}_1} \cdot \zeta - \frac{i}{2} \partial_j \frac{\partial \chi_{(j)}}{\partial \overline{z}_1} \cdot \zeta , \\ \frac{\partial^2 \sigma}{\partial z_1 \partial \overline{z}_2} &= 2Ky_1 y_2 - i\varepsilon_j y_1 x_2 + \delta_j \frac{\partial^2 \chi_{(j)}}{\partial \overline{z}_2} \cdot (\eta + \zeta y_1) - \frac{i}{2} \partial_j \frac{\partial \chi_{(j)}}{\partial \overline{z}_2} \cdot \zeta \end{split}$$

and

$$egin{aligned} rac{\partial^2\sigma}{\partial z_2\partial\overline{z}_2} &= Ky_1^2 + 3Ky_2^2 + rac{1}{2}\zeta^2 + rac{arepsilon_j}{2}x_2^2 - iarepsilon_j x_2 y_2 + iarepsilon_j y_2 x_2 \ &+ rac{1}{2}arepsilon_j (y_1^2 + y_2^2) + \delta_j rac{\partial^2 oldsymbol{\chi}_{(j)}}{\partial z_2\partial\overline{z}_2} \cdot (\eta + \zeta y_1) \ . \end{aligned}$$

Observe that  $\eta = 0(\zeta^2 + y_1^2 + y_2^2)$  on  $\partial \Omega \cap B(p_j, 1/2^{j+3})$ . Hence there is a  $D_j \gg 1$  such that for all sufficiently small  $\delta_j > 0$ ,  $\partial^2 \sigma / \partial w \partial \bar{w} \ge K/2$ ,

$$egin{aligned} &\left|rac{\partial^2\sigma}{\partial w\partial\overline{z}_1}-\zeta y_1-rac{1}{4}\partial_jrac{\partial\chi_{(j)}}{\partial x_1}-rac{1}{4}\partial_j\chi_{(j)}
ight|\leq D_j\partial_j||(w,\,iy_1,\,z_2)||\ ,\ &\left|rac{\partial^2\sigma}{\partial w\partial\overline{z}_2}-\zeta y_2
ight|\leq D_j\partial_j||(w,\,iy_1,\,z_2)||\ ,\ &rac{\partial^2\sigma}{\partial z_1\partial\overline{z}_1}\geq (3K-1)y_1^2+(K-1)y_2^2+rac{1}{4}\zeta^2+rac{1}{4}arepsilon_jx_2^2\ ,\ &\left|rac{\partial^2\sigma}{\partial z_1\partial\overline{z}_2}-2Ky_1y_2+iarepsilon_jy_1x_2
ight|\leq D_j\partial_j||(w,\,iy_1,\,z_2)||^2 \end{aligned}$$

and

$$rac{\partial^2\sigma}{\partial z_2\partial\overline{z}_2} \geq Ky_1^2 + 3Ky_2^2 + rac{1}{4}\zeta^2 + rac{arepsilon_j}{4}x_2^2 \,.$$

We compute the Leviform,

$$\mathscr{L}_{\sigma} = \sigma_{w\,\overline{u}} t_0 \overline{t}_0 + 2\operatorname{Re}\sigma_{w\overline{z}_1} t_0 \overline{t}_1 + 2\operatorname{Re}\sigma_{w\overline{z}_2} t_0 \overline{t}_2 
onumber \ + \sigma_{z_1\overline{z}_1} t_1 \overline{t}_1 + 2\operatorname{Re}\sigma_{z_1\overline{z}_2} t_1 \overline{t}_2 + \sigma_{z_2\overline{z}_2} t_2 \overline{t}_2$$

for vectors  $(t_0, t_1, t_2)$  such that

$$t_{\scriptscriptstyle 0} = (-1/\sigma_w) \! \cdot \! (\sigma_{z_1} t_{\scriptscriptstyle 1} + \sigma_{z_2} t_{\scriptscriptstyle 2})$$
 .

Using the above estimates, we obtain

$$egin{aligned} & \mathscr{D}_{\sigma} \geqq \left((3K-2)y_{1}^{2}+(K-2)y_{2}^{2}+rac{1}{8}\zeta^{2}+rac{1}{8}arepsilon_{j}x_{2}^{2}
ight)t_{1}\overline{t}_{1} \ & +\left((K-2)y_{1}^{2}+(3K-2)y_{2}^{2}+rac{1}{8}\zeta^{2}+rac{arepsilon_{j}}{8}x_{2}^{2}
ight)t_{2}\overline{t}_{2} \ & +2\operatorname{Re}\left(2Ky_{1}y_{2}-iarepsilon_{j}y_{1}x_{2}
ight)t_{1}\overline{t}_{2} \ & +2\operatorname{Re}\left(rac{1}{4}\delta_{j}rac{\partial\chi_{(j)}}{\partial x_{1}}+rac{1}{4}\delta_{j}\chi_{(j)}
ight)\cdot\left[\left(rac{-1}{rac{1}{2}+rac{1}{2}\delta_{j}\chi_{(j)}}
ight)\cdotrac{-i}{2} \ & imes\delta_{j}\chi_{(j)}\zeta t_{1}
ight]\overline{t}_{1} \end{aligned}$$

which clearly is nonnegative.

Assume that there exists a  $\mathscr{C}^2$ -function  $\rho: \mathbb{C}^3 \to \mathbb{R}$ , such that  $\Omega = \{\rho < 0\}$  and  $d\rho \neq 0$  on  $\partial\Omega$ , with a nonnegative complex Hessian on some neighborhood U of 0 in  $\partial\Omega$ .

Let  $\gamma_i$ , i = 1, 2, 3, 4, be straight lines in the  $(x_1, x_2)$ -plane,

$$\begin{array}{l} \gamma_1 \ \text{goes from} \ \left(\frac{1}{2^j} - \frac{1}{2^{j+2}}, 0\right) \quad \text{to} \quad \left(\frac{1}{2^j} + \frac{1}{2^{j+2}}, 0\right), \\ \gamma_2 \ \text{goes from} \ \left(\frac{1}{2^j} + \frac{1}{2^{j+2}}, 0\right) \quad \text{to} \quad \left(\frac{1}{2^j} + \frac{1}{2^{j+2}}, \frac{1}{2^{j+2}}\right), \\ \gamma_3 \ \text{goes from} \ \left(\frac{1}{2^j} + \frac{1}{2^{j+2}}, \frac{1}{2^{j+2}}\right) \quad \text{to} \quad \left(\frac{1}{2^j} - \frac{1}{2^{j+2}}, \frac{1}{2^{j+2}}\right) \quad \text{and} \\ \gamma_4 \ \text{goes from} \ \left(\frac{1}{2^j} - \frac{1}{2^{j+2}}, \frac{1}{2^{j+2}}\right) \quad \text{to} \quad \left(\frac{1}{2^j} - \frac{1}{2^{j+2}}, 0\right). \end{array}$$

We fix j so large that each  $\gamma_i \subset U$ . The function  $\rho = \sigma h$  for some  $\mathscr{C}^1$ -function h > 0.

We will show that  $\int_{r_*} d(\ln h) \neq 0$  for all small enough  $\delta_j > 0$ , while

$$\int_{\tau_i} d(\ln h) = 0, \, i = 2, \, 3, \, 4 \; .$$

First consider the curves  $\gamma_2$  and  $\gamma_4$ . There  $ho = (\eta + \eta^2 + K\zeta^2 +$  $K(y_1^2+y_2^2)^2+(y_1^2+y_2^2)\zeta^2)h$  from which it follows that  $\partial^2
ho/\partial z_2\partialar z_2\equiv 0$  on  $\gamma_2 \cup \gamma_4$ . Hence  $\partial^2 \rho / \partial w \partial \overline{z}_2 \equiv 0$  on  $\gamma_2 \cup \gamma_4$  as well. This reduces to the equation  $\partial h/\partial \overline{z}_2 = 0$  from which it follows that  $\int_{\tau_i} d(\ln h) = 0$ , i = 2, 4. Similarly  $\int_{\tau_a} d(\ln h) = 0$ .

Finally, consider the curve  $\gamma_1$ . Here  $\sigma = \eta + \eta^2 + K\zeta^2 + K(y_1^2 + y_2^2)^2 +$  $(y_1^2+y_2^2)\zeta^2+arepsilon_j\chi^{(j)}\cdot(y_1^2+y_2^2)\cdot x_2^2+\delta_j\chi_{(j)}\cdot(\eta+\zeta y_1).$  Clearly  $\partial^2
ho/\partial z_1\partial \overline{z}_1\equiv 0$ on  $\gamma_1$  and hence  $\partial^2 \rho / \partial w \partial \overline{z}_1 \equiv 0$  there also. This reduces to the equation

$$\partial^2\sigma/\partial w\partialar z_1\cdot h\,+\,\partial\sigma/\partial w\cdot\partial h/\partialar z_1\equiv 0\quad ext{on}\quad \gamma_1\;.$$

Hence

$$rac{\partial}{\partial x_1}(\ln h) = (-\delta_j)(\partial \chi_{(j)}/\partial x_1 + \chi_{(j)})/(1+\delta_j \chi_{(j)}) \;.$$

Since we choose  $\chi_{(j)}$  such that

$$\int_{I\!\!R} \Bigl( rac{\partial \chi_{_{(j)}}}{\partial x_{_1}} + \chi_{_{(j)}} \Bigr) (0, \, x_{_1}, \, 0) dx_{_1} 
eq 0$$
 ,

it follows that  $\int_{r_1} d(\ln h) \neq 0$  for all small enough  $\partial_j > 0$ . So  $\int_{r_1+\dots+r_4} d(\ln h) \neq 0$ , which contradicts the assumption that h was well defined.

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