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AN IMPLICIT FUNCTION THEOREM IN BANACH SPACES

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We prove the following theorem:

THEOREM: Suppose X, Y , and Z are complex Banach spaces, U and V are open sets in X and Y respectively, and $x \in U, y \in V$. Suppose $f: U \rightarrow V$ and $k: V \rightarrow Z$ are holomorphic maps with $f(x) = y$, $k \circ f$ constant and range $f'(x) = \ker k'(y) \neq \{0\}$. Let D be a domain in C^n , $z \in D$ and $g: D \rightarrow Y$ be a holomorphic map with $g(z) = y$ and $k \circ g$ constant. Then there is an open neighborhood W of z and a holomorphic map $h: W \rightarrow X$ such that $h(z) = x$ and $g|_W = f \circ h$.

We use this result to prove an Oka principle for sections of a class of holomorphic fibre bundles on Stein manifolds whose fibres are orbits of actions of a Banach Lie group on a Banach space.

Introduction. Suppose U is an open set in C^n , $x \in U$, and $f: U \rightarrow C^m$ is a holomorphic map such that $f'(x)$ is surjective. Then a form of the implicit function theorem tells us that there is a neighborhood V of $f(x)$ and a holomorphic map $\rho: V \rightarrow U$ such that $\rho(f(x)) = x$ and $f \circ \rho$ is the identity on V . This theorem remains true if f is a holomorphic map of an open set U in a Banach space X into a Banach space Y , provided that $\ker f'(x)$ is a complemented subspace of X . That this is also a necessary condition follows from the fact that $f'(x) \circ \rho'(f(x))$ is the identity operator on Y , so that $\rho'(f(x)) \circ f'(x)$ is a projection of X onto $\ker f'(x)$.

In general, implicit function theorems work well in a Banach space setting, provided that we impose suitable complementation conditions (see, for example [4]). In practice it can be very hard to find out whether a given subspace of a Banach space is complemented; our main theorem is an implicit function theorem which has no complementation hypothesis. Before we state our theorem, we shall reword the result mentioned above. Let X and Y be complex Banach spaces, U be open in X , $x \in U$, and $f: U \rightarrow Y$ be a holomorphic map such that $f'(x)$ is surjective and $\ker f'(x)$ is a complemented subspace of X . Then if V is an open set in a Banach space W , $w \in V$, and g is a holomorphic map of V into Y such that $g(w) = f(x)$, there is a neighborhood N of w and a holomorphic map $h(= \rho \circ g)$ of N into X such that $f \circ h = g$ on N . Our main theorem asserts that provided W is finite-dimensional, this theorem is still true without the hypothesis that $\ker f'(x)$ be complemented. More generally, suppose there is a third Banach space Z and a holomorphic map $k: Y \rightarrow Z$ such that $k \circ f$ is constant and range $f'(x) = \ker k'(f(x))$. Let D be

an open set in C^n , and let $z \in D$. Then our main theorem says that if g is a holomorphic map of D into $k^{-1}(k(f(x)))$ with $g(z) = f(x)$, then there is a holomorphic map h of a neighborhood N of z into X such that $f \circ h = g|_N$. We shall prove this theorem in §2.

Grauert [2] has proved an Oka principle for sections of a holomorphic fibre bundle over a Stein manifold with fibre a complex Lie group. Ramspott [10] has generalized this result to allow homogeneous spaces as fibres, and Bungart [1] has extended it to the case where the fibres are infinite-dimensional Lie groups. In §3, as an application of our implicit function theorem, we shall extend the theorems of Ramspott and Bungart to allow for infinite-dimensional fibres which are the orbits of suitable actions $(g, x) \rightarrow g \cdot x$ of an infinite-dimensional Lie group G on a Banach space X ; more specifically, we demand that such an orbit M also be the level set of a holomorphic map k in such a way that the derivatives of the orbit map $g \rightarrow g \cdot x_0$ and k form an exact sequence at $x_0 \in M$.

1. Preliminaries. Let X and Y be complex Banach spaces, let U be an open set in X and let f be a continuous map of U into Y . We say f is holomorphic in U if at each point of U f has a Fréchet derivative which is a complex linear map of X into Y . Equivalently, f is holomorphic in U if for each $x \in U$ and $h \in X$ the function $z \rightarrow f(x + zh)$ is holomorphic in a neighborhood of 0 in C . If $f: U \rightarrow Y$ is holomorphic in U , then f has complex Fréchet derivatives of all orders; that is, for $x \in U$ and all n the n th derivative $f^{(n)}(x)$ exists as a complex multilinear map of X^n to Y . We give X^n the norm $\|(x_1, \dots, x_n)\| = \sup \{\|x_i\|\}$ and put the corresponding operator norm on $L^n(X^n, Y)$, the space of complex n -linear maps of X^n into Y . If $f: U \subset X \rightarrow Y$ is holomorphic, it is well-known that $\limsup (\|f^{(n)}(x)\|/n!)^{1/n}$ is finite for each $x \in U$. For further details of this material, we refer to [7].

We shall use many times two differentiation techniques which are well-known in one variable; namely, the chain rule and Liebnitz' formula. Let U be open in X , V be open in Y , and let $f: U \rightarrow V$ and $g: V \rightarrow Z$ be differentiable. Then the chain rule [5, p. 99] says that $g \circ f$ is differentiable, and, for $x_0 \in U$, the derivative $(g \circ f)'(x_0) \in L(X, Z)$ is given by

$$(g \circ f)'(x_0)x = g'(f(x_0))[f'(x_0)x] \quad \text{for } x \in X.$$

Let U be an open set in C , and let $f: U \rightarrow L(Y, Z)$ and $g: U \rightarrow L(X, Y)$ be n times continuously differentiable maps. Then we can define $fg: U \rightarrow L(X, Z)$ by $fg(u) = f(u) \circ g(u)$ for $u \in U$, and a special case of the product formula [5, p. 97] gives that fg is differentiable and

$$(fg)'(u) = f(u) \circ g'(u) + f'(u) \circ g(u) .$$

Proceeding exactly as in the scalar case, an induction argument gives us our version of Liebnitz' formula: the function fg is n times continuously differentiable and

$$(fg)^{(n)}(u) = \sum_{r=0}^n \begin{bmatrix} n \\ r \end{bmatrix} f^{(r)}(u) \circ g^{(n-r)}(u) \quad \text{for } u \in U .$$

2. The implicit function theorem.

THEOREM 2.1. *Suppose X, Y , and Z are complex Banach spaces, U and V are open sets in X and Y respectively, and $x \in U, y \in V$. Suppose $f: U \rightarrow V$ and $k: V \rightarrow Z$ are holomorphic maps with $f(x) = y$, $k \circ f$ constant and range $f'(x) = \ker k'(y) \neq \{0\}$. Let D be a domain in \mathbb{C}^n , $z \in D$, and $g: D \rightarrow Y$ be a holomorphic map with $g(z) = y$ and $k \circ g$ constant. Then there is an open neighborhood W of z and a holomorphic map $h: W \rightarrow X$ such that $h(z) = x$ and $g|_W = f \circ h$.*

Proof. We shall assume for simplicity that x, y , and z are all 0. By shrinking D if necessary, we may assume that g has a power series representation

$$g(z) = \sum_{|I|=0}^{\infty} \frac{g^{(I)}(0)}{I!} z^I \quad \text{for } z \in D ,$$

where I denotes the multiindex (i_1, \dots, i_n) , $z^I = z_1^{i_1} \dots z_n^{i_n}$, $I! = i_1! i_2! \dots i_n!$, and

$$g^{(I)}(0) = \frac{\partial^{i_1}}{\partial z_1^{i_1}} \frac{\partial^{i_2}}{\partial z_2^{i_2}} \dots \frac{\partial^{i_n}}{\partial z_n^{i_n}} g(0) .$$

We shall suppose first that such an h exists; then $f \circ h$ is a holomorphic map of D into Y . Let I be a nonzero multiindex, and assume without loss of generality that $i_1 > 0$. If $I' = (i_1 - 1, i_2, \dots, i_n)$, then by the chain rule applied to the function $z_1 \rightarrow f \circ h(z_1, 0, \dots, 0)$ we have

$$g^{(I)}(0) = (f \circ h)^{(I)}(0) = \left((f' \circ h) \frac{\partial h}{\partial z_1} \right)^{(I')} (0) .$$

Now $f' \circ h$ is a holomorphic map of D into $L(X, Y)$ and we can regard $\partial h / \partial z_1$ as a holomorphic map of D into $L(\mathbb{C}, X) \cong X$, so our Liebnitz formula applies; we obtain

$$g^{(I)}(0) = \sum_{r=0}^{i_1-1} \begin{bmatrix} i_1 - 1 \\ r \end{bmatrix} \left[\frac{\partial^r}{\partial z_1^r} (f' \circ h) \frac{\partial^{i_1-r}}{\partial z_1^{i_1-r}} h \right]^{(I - (i_1, 0, \dots, 0))} (0) .$$

By successively applying the Liebnitz formula to the different variables, we obtain

$$g^{(I)}(0) = \sum_{J \leq I'} \begin{bmatrix} I' \\ J \end{bmatrix} (f' \circ h)^{(J)}(0) \circ h^{(I-J)}(0)$$

where

$$\begin{bmatrix} I' \\ J \end{bmatrix} = \begin{bmatrix} i_1 - 1 \\ j_1 \end{bmatrix} \begin{bmatrix} i_2 \\ j_2 \end{bmatrix} \cdots \begin{bmatrix} i_n \\ j_n \end{bmatrix}.$$

Hence if such an h exists, for all multiindices I its derivatives satisfy

$$(1) \quad (f' \circ h)(0)h^{(I)}(0) = g^{(I)}(0) - \sum_{0 < J \leq I'} \begin{bmatrix} I' \\ J \end{bmatrix} (f' \circ h)^{(J)}(0)[h^{(I-J)}(0)].$$

We observe that by repeating this process on the term $(f' \circ h)^{(J)}(0)$, we find that each $(f' \circ h)^{(J)}(0)h^{(I-J)}(0)$ can be written as a linear combination of points of Y of the form

$$(f^{(j)} \circ h)(0)[h^{(L_1)}(0), \dots, h^{(L_j)}(0)]$$

for some $j \geq 2$ and multiindices L_1, \dots, L_j with $L_i > 0$ for all i and $\sum_{i=1}^j L_i = I$.

We first define $h(0) = 0$. Then $(f' \circ h)(0) = f'(0): X \rightarrow Y$, and $\text{range } f'(0) = \ker k'(0)$, a closed linear subspace of Y . Then by the open mapping theorem there is a constant C such that for each $y \in \text{range } f'(0)$ there exists $x \in X$ with $f'(0)x = y$ and $\|x\| \leq C\|y\|$. We shall assume that $C\|f'(0)\| \geq 1$. We shall define $h^{(I)}(0)$ inductively so that (1) holds and

$$(2) \quad \|h^{(I)}(0)\| \leq C \left\| g^{(I)}(0) - \sum_{0 < J \leq I'} \begin{bmatrix} I' \\ J \end{bmatrix} (f' \circ h)^{(J)}(0)[h^{(I-J)}(0)] \right\|$$

where by $(f' \circ h)^{(I)}(0)h^{(I-J)}(0)$ we mean the linear combination described above. We observe that for $|I| = 1$, $(k \circ g)^{(I)}(0) = k'(0)g^{(I)}(0)$, and since $k \circ g$ is constant we have $g^{(I)}(0) \in \ker k'(0) = \text{range } f'(0)$, so that we can choose $h^{(I)}(0)$ as required. Suppose now that for all J with $|J| < |I|$ the right hand side of (1) is in the range of $f'(0)$ and we have chosen $h^{(J)}(0)$ satisfying (1) and (2). For notational convenience we shall regard h as the polynomial

$$h(z) = \sum_{0 \leq J < I} \frac{h^{(J)}(0)}{J!} z^J \quad \text{for } z \in D,$$

so that for $J < I$ the terms $(f' \circ h)^{(J)}(0)$, $(k' \circ f \circ h)^{(J)}(0)$ and so on all make sense, and all such terms agree with those given by expanding and using (1). To show that we can define $h^{(I)}(0)$ as required it is enough to show that

$$(3) \quad k'(0) \left[g^{(I)}(0) - \sum_{0 < J \leq I'} \begin{bmatrix} I' \\ J \end{bmatrix} (f' \circ h)^{(J)}(0) [h^{(I-J)}(0)] \right] = 0.$$

Since $k \circ g = 0$, $(k \circ g)^{(I)}(0) = 0$, and so as before

$$k'(0)g^{(I)}(0) = - \sum_{0 < K \leq I'} \begin{bmatrix} I' \\ K \end{bmatrix} (k' \circ g)^{(K)}(0) [g^{(I-K)}(0)].$$

By the inductive hypothesis

$$g^{(I-K)}(0) = \sum_{0 \leq L \leq (I'-K)} \begin{bmatrix} I' - K \\ L \end{bmatrix} (f' \circ h)^{(L)}(0) [h^{(I-K-L)}(0)]$$

for all $K \leq I'$. Hence

$$(4) \quad k'(0)g^{(I)}(0) = - \sum_{0 < K \leq I'} \sum_{0 \leq L \leq (I'-K)} \begin{bmatrix} I' \\ K \end{bmatrix} \begin{bmatrix} I' - K \\ L \end{bmatrix} \\ \times (k' \circ f \circ h)^{(K)}(0) \circ (f' \circ h)^{(L)}(0) [h^{(I-K-L)}(0)]$$

since all derivatives of $f \circ h$ of less than I th order are those of g . Now $(k \circ f)' = 0$, and so

$$0 = (k \circ f)' \circ h = (k' \circ f \circ h)(f' \circ h).$$

Thus for every $J < I$

$$0 = ((k' \circ f \circ h)(f' \circ h))^{(J)}(0) \\ = \sum_{0 < M \leq J} \begin{bmatrix} J \\ M \end{bmatrix} (k' \circ f \circ h)^{(M)}(0) (f' \circ h)^{(J-M)}(0),$$

and so as elements of $L(X, Z)$,

$$k'(0)(f' \circ h)^{(J)}(0) = - \sum_{0 < M \leq J} \begin{bmatrix} J \\ M \end{bmatrix} (k' \circ f \circ h)^{(M)}(0) / (f' \circ h)^{(J-M)}(0).$$

Thus

$$- \sum_{0 < J \leq I'} \begin{bmatrix} I' \\ J \end{bmatrix} k'(0) \circ (f' \circ h)^{(J)}(0) [h^{(I-J)}(0)] \\ = \sum_{0 < J \leq I'} \sum_{0 \leq M \leq J} \begin{bmatrix} I' \\ J \end{bmatrix} \begin{bmatrix} J \\ M \end{bmatrix} (k' \circ f \circ h)^{(M)}(0) \circ (f' \circ h)^{(J-M)}(0) [h^{(I-J)}(0)] \\ = \sum_{0 < M \leq I'} \sum_{M \leq J \leq I'} \begin{bmatrix} I' \\ M \end{bmatrix} \begin{bmatrix} I' - M \\ J - M \end{bmatrix} (k' \circ f \circ h)^{(M)}(0) \circ (f' \circ h)^{(J-M)}(0) [h^{(I-J)}(0)] \\ = - k'(0)g^{(I)}(0) \quad \text{by (4).}$$

Thus we have proved (3) and we can define $h^{(I)}(0)$ to satisfy (1) and (2).

Now define

$$(5) \quad h(z) = \sum_{|I|=0}^{\infty} \frac{h^{(I)}(0)}{I!} z^I \quad \text{for } z \in C^n.$$

If we can show that this series converges absolutely in some neighborhood of 0, then we shall be done. Now, by (2), if I is a multi-index

$$\|h^{(I)}(0)\| \leq k \left\{ \|g^{(I)}(0)\| + \sum_{0 < J \leq I'} \begin{bmatrix} I' \\ J \end{bmatrix} \chi_J^{I'} \right\},$$

where $\chi_J^{I'}$ is a linear combination of terms of the form

$$\chi = \|f^{(j)}(0)\| \|h^{(L_1)}(0)\| \cdots \|h^{(L_j)}(0)\|,$$

for some $j \geq 2$, $L_1, \dots, L_j > 0$ with $\sum_{i=1}^j L_i = I$. Define $F(0) = \|f(0)\| = 0$, $F^{(1)}(0) = \|f'(0)\|$, and $F^{(n)}(0) = -\|f^{(n)}(0)\|$ for $n > 1$. Since $f: U \rightarrow Y$ is holomorphic, we have that $\limsup (\|f^{(n)}(0)\|/n!)^{1/n}$ is finite, and so there is an open neighborhood V of 0 such that

$$F(t) = \sum_{n=0}^{\infty} \frac{F^{(n)}(0)}{n!} t^n \quad \text{for } t \in V,$$

defines a holomorphic function of V into C . Similarly we define a holomorphic map G of D into C by $G^{(I)}(0) = \|g^{(I)}(0)\|$ for all multi-indices I and

$$G(z) = \sum_{|I|=0}^{\infty} \frac{G^{(I)}(0)}{I!} z^I \quad \text{for } z \in D.$$

Since $F'(0) = \|f'(0)\| \neq 0$, by the inverse function theorem for one variable there is a neighborhood W of 0 in D , and a holomorphic map H of W into C with $F \circ H = G|_W$ and $H(0) = 0$. By differentiating $F \circ H$ using the chain rule and Liebnitz' formula, we obtain

$$F'(0)H^{(I)}(0) = G^{(I)}(0) - \sum_{0 < J \leq I'} \begin{bmatrix} I' \\ J \end{bmatrix} (F' \circ H)^{(J)}(0) H^{(I-J)}(0).$$

Again, we expand each $(F' \circ H)^{(J)}(0)$ in the same way and obtain

$$F'(0)H^{(I)}(0) = G^{(I)}(0) + \sum_{0 < J \leq I'} \begin{bmatrix} I' \\ J \end{bmatrix} \xi_J^{I'},$$

where $\xi_J^{I'}$ is identical to $\chi_J^{I'}$ with each $\|h^{(L_i)}(0)\|$ replaced by $H^{(L_i)}(0)$. We shall now prove that there is a constant M such that for all multiindices I

$$(6) \quad \|h^{(I)}(0)\| \leq M^{2|I|-1} H^{(I)}(0).$$

In fact, take $M = C \|f'(0)\|$ which was chosen to be ≥ 1 . The inequality is trivially true for $I = 0$. Suppose (6) holds for all J with $|J| < |I|$. Then for each term χ of χ_j'

$$(7) \quad \begin{aligned} \chi &\leq \|f^{(j)}(0)\| M_{i=1}^j (2|L_j|-1) H^{(L_1)}(0) \cdots H^{(L_j)}(0) \\ &\leq M^{2|I|-2} \|f^{(j)}(0)\| H^{(L_1)}(0) \cdots H^{(L_j)}(0), \end{aligned}$$

since $j \geq 2$ and $\sum L_i = I$. The right hand side of (7) is $M^{2|I|-2}$ times the term of ξ_j' corresponding to χ , and so we have

$$\begin{aligned} \|h^{(I)}(0)\| &\leq k \left\{ \|g^{(I)}(0)\| + \sum_{0 < |J| \leq |I|} \left[\begin{matrix} I' \\ J \end{matrix} \right] M^{2|I|-2} \xi_J^{I'} \right\} \\ &\leq k M^{2|I|-2} F''(0) H^{(I)}(0) \\ &= k M^{2|I|-2} \|f'(0)\| H^{(I)}(0) \end{aligned}$$

as required. Since H is holomorphic in a polydisc, from (6) it follows that the power series (5) converges in a polydisc about 0, and the proof is complete.

3. Sections of holomorphic fibre bundles. We shall start this section with a couple of technical results which we shall need later. The first is an application of the mean value theorem [5, p. 103].

LEMMA 3.1. *Let X and Y be Banach spaces, let U be open in X , and let $f: U \rightarrow Y$ be continuously differentiable. Let K be a compact Hausdorff space and define $\tilde{f}: C(K, U) \rightarrow C(K, Y)$ by $(\tilde{f}\phi)(k) = f(\phi(k))$ for $\phi \in C(K, U)$ and $k \in K$. Then \tilde{f} is continuously differentiable and for $\phi \in C(K, U)$*

$$(\tilde{f}'(\phi)\psi)(k) = [\tilde{f}'(\phi(k))]\psi(k) \quad \text{for all } \psi \in C(K, X), k \in K.$$

Let X and Y be Banach spaces, $T \in L(X, Y)$ and suppose T has closed range. Then by the open mapping theorem $T: X \rightarrow \text{range } T$ has a bounded inverse T^{-1} . Call $\|T^{-1}\|$ the inversion constant of T . Let K be a compact Hausdorff space, and let $T: K \rightarrow L(X, Y)$ be a continuous map. Then T induces a bounded linear map $\tilde{T}: C(K, X) \rightarrow C(K, Y)$, where

$$(\tilde{T}f)(k) = T(k)f(k) \quad \text{for } f \in C(K, X), k \in K.$$

LEMMA 3.2. *Suppose that $T(k)$ has closed range for each $k \in K$ and suppose that the inversion constant of $T(k)$ is less than M for each $k \in K$. Then*

(1) *If $g \in C(K, Y)$ satisfies $g(k) \in \text{range } T(k)$ for all $k \in K$, then for each $\varepsilon > 0$ there is $f \in C(K, X)$ with $\|f\| \leq M \|g\|$ and $\|\tilde{T}f - g\| < \varepsilon$.*

(2) \tilde{T} has closed range and the inversion constant of \tilde{T} is less than $2M$.

Proof. Part (1) follows by a standard partition of unity argument. To prove part (2) it is enough to show that for each $g \in C(K, Y)$ with $g(k) \in \text{range } T(k)$ for all $k \in K$, there is some $f \in C(K, X)$ with $\tilde{T}f = g$ and $\|f\| \leq 2M\|g\|$. Let such a g be given. Then by (1) we can choose f_1 such that $\|f_1\| \leq M\|g\|$ and $\|Tf_1 - g\| \leq 1/2\|g\|$. Then $(g - \tilde{T}f_1)(k) \in \text{range } T(k)$ for each $k \in K$, and so by (1) we can find $f_2 \in C(K, X)$ such that $\|f_2\| \leq M\|g - \tilde{T}f_1\| \leq M(1/2)\|g\|$ and $\|\tilde{T}f_2 + \tilde{T}f_1 - g\| \leq 1/4\|g\|$. In this way we can find a sequence $\{f_n\} \subset C(K, X)$ such that $\|f_n\| \leq M\|g\|/2^{n-1}$ and $\|\tilde{T}(\sum_{i=1}^n f_i) - g\| \leq \|g\|/2^n$. Then $f = \sum_{i=1}^{\infty} f_i$ is the required function.

Let G be a Banach Lie group, and suppose that G is acting holomorphically on a Banach space X . Let $x_0 \in X$, write $\pi(g) = g \cdot x_0$ for $g \in G$, and set $F = \pi(G)$. We shall say F is a homogeneous space under the action of G if there is a Banach space Y and a holomorphic map $k: X \rightarrow Y$ satisfying

- (1) $k(x) = y_0$ for all $x \in F$ and some $y_0 \in Y$;
- (2) $\text{range } \pi'(1) = \ker k'(x_0)$;
- (3) there is a neighborhood N of 1 in G such that $k'(g \cdot x_0)$ has closed range for $g \in N$ and inversion constant uniformly bounded over N ;
- (4) $H = \{g \in G: g \cdot x_0 = x_0\}$ is a Banach Lie group.

EXAMPLES. (1) Let A and B be Banach algebras with identity, and let $\text{Hom}(A, B)$ be set of continuous homomorphisms of A into B . If $\phi \in \text{Hom}(A, B)$ we set

$$F_\phi = \{\psi \in \text{Hom}(A, B): \exists b \in B^{-1} \text{ with } \psi(a) = b\phi(a)b^{-1} \text{ for } a \in A\}.$$

Denote by B the two sided Banach A -module consisting of B with the products

$$a \cdot b = \phi(a)b, \quad b \cdot a = b\phi(a) \quad \text{for } a \in A, b \in B.$$

Then if the Hochschild cohomology groups $H^1(A, B_\phi)$ and $H^2(A, B_\phi)$ vanish (for the definitions, see [3]), F_ϕ is a homogeneous space under the action of B^{-1} . That conditions (1), (2), and (3) hold is checked in [9, §3]; (4) follows from the observation that $\{b \in B^{-1}: b\phi(a)b^{-1} = \phi(a) \text{ for } a \in A\}$ is the set of invertible elements in $\phi(A)'$, the commutant of $\phi(A)$, which is a closed subalgebra of B .

(2) Let F_1 be the set of continuous algebra multiplications on A which give algebras isomorphic to A . Then if the Hochschild groups

$H^2(A, A)$ and $H^3(A, A)$ vanish, F_1 is a homogeneous space under the action of $L(A)^{-1}$ given by

$$\phi \cdot m(a, b) = \phi^{-1}(m(\phi(a)\phi(b))) \quad \text{for } a \in A, b \in B,$$

where $\phi \in L(A)^{-1}$ and m is a multiplication on A . Again, (1), (2), and (3) are checked in [9, §4]; (4) follows since the isotropy group of the usual multiplication is the set of algebra automorphisms of A , which is a Banach Lie group with Lie algebra the set of bounded derivations of A .

THEOREM 3.3. *Let F be a homogeneous space under the action of a Banach Lie group G . Let M be a Stein manifold, N be a closed submanifold of M and suppose E is a holomorphic fibre bundle over M with fibre F and structure group G . Then*

(I) *If $s: M \rightarrow E$ is a continuous section such that $s|_N$ is holomorphic, then s is homotopic in the space of sections which extend $s|_N$ to a holomorphic section $\tilde{s}: M \rightarrow E$.*

(II) *If two holomorphic sections f_1 and f_0 of E over M are homotopic in the space of continuous sections, then they are homotopic in the space of holomorphic sections.*

Proof. Let $s: M \rightarrow E$ be a continuous section whose restriction to N is holomorphic, and let $p: E \rightarrow M$ denote the bundle projection. We shall show that there is an open cover $\{U_j\}_{j \in J}$ of M by holomorphically convex sets such that $E|_{U_j}$ is trivial for each j , and satisfying:

(*) Let $\Phi_j: U_j \times F \rightarrow p^{-1}(U_j)$ be a trivialization of $E|_{U_j}$, and for $m \in U_j$ define $\Phi_{j,m}: F \rightarrow p^{-1}(m)$ by $\Phi_{j,m}(e) = \Phi_j(m, e)$ for $e \in F$. Then $p_j(e) = \Phi_{j,p(e)}^{-1}(e)$ for $e \in p^{-1}(U_j)$ defines a holomorphic map p_j of $p^{-1}(U_j)$ into F . There exist continuous maps $s_j: U_j \rightarrow G$ such that $\pi \circ s_j = p_j \circ s|_{U_j}$ for all j and such that $s_j|_{U_j \cap N}$ is holomorphic.

Let $m \in M$; it is enough to show that m has a neighborhood U satisfying (*). Choose a neighborhood V of m such that

- (a) V is relatively compact;
- (b) $E|_V$ is trivial via $\Phi: V \times F \rightarrow p^{-1}(V)$;
- (c) $V \cap N$ is a co-ordinate neighborhood in N .

Since G acts transitively on the fibre F , there is some $g \in G$ with $\pi(g) = \Phi_m^{-1}(s(m))$. Define a continuous map $t: V \rightarrow F$ by

$$t(m') = g^{-1} \cdot (\Phi_m^{-1}s(m')) \quad \text{for } m' \in V.$$

Then $t|_{V \cap N}$ is a holomorphic map of $V \cap N$ into $F \subset X$. By Theorem 2.1, there is a neighborhood $W \subset V$ of m in M and a holomorphic map f of $W \cap N$ into G such that $\pi \circ f = t|_{W \cap N}$.

Let $K = \bar{W}$. Then if G has Lie algebra \mathfrak{G} , $C(K, G)$ is a Banach Lie group with Lie algebra $C(K, \mathfrak{G})$. As in Lemma 3.1, the sequence $G \xrightarrow{\pi} X \xrightarrow{k} Y$ induces a sequence

$$(1) \quad C(K, G) \xrightarrow{\tilde{\pi}} C(K, X) \xrightarrow{\tilde{k}} C(K, Y)$$

of holomorphic maps. Since $(\tilde{k} \circ \tilde{\pi})(g) = \underline{y}_0$ for $g \in C(K, G)$, where \underline{y}_0 denotes the constant function value y_0 , the derivatives form a complex

$$(2) \quad C(K, \mathfrak{G}) \xrightarrow{\tilde{\pi}'(1)} C(K, X) \xrightarrow{\tilde{k}'(\underline{x}_0)} C(K, Y).$$

Now, since, near 1, $C(K, G)$ can be identified with $C(K, \mathfrak{G})$, we can apply Lemma 3.1 to deduce that

$$(\tilde{\pi}'(1)\psi)(k) = \pi'(1)\psi(k) \quad \text{for } \psi \in C(K, \mathfrak{G}), k \in K$$

$$(\tilde{k}'(\underline{x}_0)\alpha)(k) = k'(\underline{x}_0)\alpha(k) \quad \text{for } \alpha \in C(K, X), k \in K.$$

Now $\text{range } \pi'(1) = \ker k'(\underline{x}_0)$, and so in particular $\text{range } \pi'(1)$ is closed. Thus (see, for example, [6]) there is a continuous map $\eta: \text{range } \pi'(1) \rightarrow X$ such that $\pi'(1) \circ \eta$ is the identity on $\text{range } \pi'(1)$. Now let $\alpha \in \ker \tilde{k}'(\underline{x}_0)$. Then $\alpha(k) \in \ker k'(\underline{x}_0)$ for every k in K , and so $\eta \circ \alpha$ is a continuous map of K into X such that $\tilde{\pi}'(1)(\eta \circ \alpha) = \alpha$, proving that the complex (2) is exact. For $\alpha \in C(K, X)$ close to \underline{x}_0 , Lemma 3.1 gives

$$(\tilde{k}'(\alpha)\beta)k = k'(\alpha(k))\beta(k) \quad \text{for } \beta \in C(K, X), k \in K.$$

Thus, by Lemma 3.2, for α sufficiently close to \underline{x}_0 , $k'(\alpha)$ has closed range and bounded inversion constant. Hence we can apply [9, Theorem 1] to the complex (1) and deduce that there is $\varepsilon > 0$ such that if $\psi \in C(K, X)$ satisfies $\tilde{k}(\psi) = \underline{y}_0$ and $\|\psi - \underline{x}_0\| < \varepsilon$, ψ has a preimage in $C(K, G)$.

Now choose a neighborhood $W' \subset W$ of m such that $\|t(m') - t(m)\| < \varepsilon$ for $m' \in W'$, and choose a neighborhood U of m such that $\bar{U} \subset \text{int } W'$ and U is holomorphically convex. Since K is a compact Hausdorff space, by Urysohn's lemma there is a continuous function $\phi: K \rightarrow [0, 1]$ with $\phi = 0$ off \bar{W}' and $\phi = 1$ on \bar{U} . Then $\phi t + (1 - \phi)\underline{x}_0$ is within ε of \underline{x}_0 on K , and so there is a continuous map $\tilde{t}: K \rightarrow G$ such that $\pi \circ \tilde{t}|_{\bar{U}} = t|_{\bar{U}}$. Now $\tilde{t}^{-1}f$ is a continuous map of $\bar{U} \cap N$ into H , and so by shrinking U if necessary, we can assume $\tilde{t}^{-1}f$ is a continuous map of $\bar{U} \cap N$ into a Banach space. Thus by Dugundji's extension theorem $\tilde{t}^{-1}f$ extends to a continuous map u of $U \cap N$ into H . Then $v = \tilde{t}u$ is a continuous map of U into G with $\pi \circ v = t$ and $v|_{U \cap N} = f$ holomorphic. The map \tilde{s} defined by $\tilde{s}(m') = gv(m')$ for $m' \in U$ is the required lift of s .

We are now in the situation that Ramspott is in after the first paragraph of § 4 of [10]. We can use the rest of his proof, using Theorem 8.4 of [1] and Theorems A and B of [8, § 3] in place of the corresponding finite-dimensional theorems of Grauert. We note that the hypothesis—which has not been used so far—that the isotropy group of x_0 is a Banach Lie group is required to apply the lemma in [10, § 5].

Note. The results of Grauert, Ramspott, and Bungart apply to bundles over Stein spaces; since our basic technique involves lifting of power series it does not immediately apply in this more general setting.

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